

## X-ray absorption spectroscopy of layer transition-metal disulfides

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We have measured the x-ray  $K$  absorption spectra of S and the  $L_{III}$  absorption spectra of Nb and Mo in layer transition-metal disulfides ( $TiS_2$ ,  $VS_2$ ,  $ZrS_2$ ,  $NbS_2$ ,  $MoS_2$ , and  $HfS_2$ ), using a Yohan-type curved-crystal spectrometer. These spectra reflect the electronic band structures of these materials very well and exhibit the large peak originating in the empty "d" bands and the broad peak originating in the "metal  $sp$ " bands. In combination with the photoemission spectra and the band calculations, we obtain information on detailed band structures of both occupied and empty states, which were not inconsistent with the other experimental results, such as the reflectivity and the energy-loss spectra. We could also obtain information on band character, owing to the selection rules and the localization property of an x-ray spectrum. The results obtained suggest the strong covalency of these materials.

## I. INTRODUCTION

The group-IV, -V, and -VI transition metals ( $T$ ) yield many layer compounds ( $TS_2$ ) in combination with sulfur (S). There are two different types of coordination of a metal atom in these compounds: One is octahedral coordination and the other is trigonal prismatic coordination. A sixfold S- $T$ -S sandwich layer is constructed of a two-dimensional arrangement of  $T$  and S atoms and a three-dimensional crystal is composed of the regular stacking of S- $T$ -S sandwich layers. The different stacking sequence of the same or different type of layers yields various polymorph and polytypes for these compounds. The bonding between the layers is believed to be due to the van der Waals force, so that the interlayer interaction does not so heavily affect the electronic band structure. As a result, the electric, magnetic, and optical properties of these materials exhibit a two dimensionality or a three dimensionality having a strong anisotropy.

Several energy-band models<sup>1-4</sup> have been proposed for these compounds, most of which are, however, modifications of Wilson and Yoffe's model.<sup>5</sup> In their model the  $d$  bands split by ligand field exist in the energy gap between the bonding  $\sigma$  band derived primarily from S  $p$  orbitals and the

antibonding  $\sigma^*$  band derived from transition-metal  $s$  and  $p$  orbitals. Wilson and Yoffe also suggested the application of a rigid-band model to the electronic band structures of a series of layer transition-metal dichalcogenides. Thereafter, many band calculations<sup>6</sup> have been performed and all of them roughly support Wilson and Yoffe's model in spite of different methods of calculation and different materials. However, there have also occurred some modifications in detail. For example, the lower  $d$  band overlaps the bonding  $\sigma$  band in compounds such as  $MoS_2$  and  $NbSe_2$ ,<sup>7</sup> and the large  $d$ -band separation of the materials with a trigonal prismatic structure is caused by the hybridization of  $d$  orbitals rather than by the ligand-field splitting.<sup>8</sup> The experimental information on the filled states of these compounds has been obtained from photoemission spectra,<sup>9-12</sup> while information on the joint density of states between the valence and conduction bands has been obtained from reflectivity,<sup>13,14</sup> transmission,<sup>15</sup> and the energy-loss spectra.<sup>16</sup> Knowledge of the empty states is, however, relatively scarce to date.

Although an x-ray spectrum is inferior to other optical spectra in resolving power, it gives information on both filled (emission) and empty (absorption) states separately over a wide energy range.

One also obtains information on the band character and the kind of orbitals constituting a band, owing to the selection rules. As core levels participate in electronic transition processes of x-ray emission or absorption, if charge transfer occurs in forming a compound, the absorption edge is shifted to lower or higher energies. Hence, we can also obtain information on bonding states involving charge transfer by analyzing the shift of the absorption edge or the peak positions. The following x-ray spectroscopies have been performed for layer  $TS_2$  compounds: (a) the emission and absorption spectra of  $TiS_2$ ,<sup>17</sup> (b) the  $MoL_{III}$  absorption spectrum<sup>18</sup> and a series of emission spectra<sup>19,20</sup> of  $MoS_2$ , (c) the  $SL_{II,III}$  absorption spectra of  $TiS_2$ ,  $MoS_2$ , and  $TaS_2$ ,<sup>21</sup> (d) the  $VK$  absorption spectrum of  $VS_2$ ,<sup>22</sup> and (e) the  $TaL_{III}$  absorption spectrum of  $TaS_2$ .<sup>23,24</sup> Almost all absorption spectra obtained by Fischer<sup>17</sup> were self-absorption replicas, while the spectra obtained by Sonntag *et al.*<sup>21</sup> exhibited the overlap of two absorptions originating in a spin-orbit splitting pair of core levels.

In the present paper, we discuss the  $SK$  absorption spectra of a series of layer  $TS_2$  compounds, comparing with the  $VK$ ,  $NbL_{III}$ , and  $MoL_{III}$  absorption spectra and the other experimental results such as photoemission, reflectivity, and energy-loss spectra. We compare experimental results with the theoretical band calculations. Finally, we describe the band structure of these compounds together with the contribution of atomic orbitals to each band.

## II. EXPERIMENT

All the samples except for the natural molybdenite were prepared by high-temperature reaction from elements in stoichiometric amounts in evacuated silica ampoules with inner diameter 1.5 cm and length 30 cm. The samples were investigated by x-ray powder patterns. The determination of the lattice parameters and the Miller indices was performed with the use of a computer. It was possible to assign Miller indices to almost all diffraction peaks.

The measurements of x-ray absorption spectra except for  $VK$  were done with the use of a Yohan type of vacuum soft x-ray spectrometer having a Rowland circle with radius 30 cm. The samples to be investigated were prepared in the form of a thin film by rubbing fine powder onto a sheet of thin paper. The dispersing crystal was quartz with a  $(10\bar{1}1)$  plane for  $SK$  and  $MoL_{III}$  spectra and with a

$(10\bar{1}0)$  plane for  $NbL_{III}$  spectra, respectively. The operating conditions of the x-ray tube were 4.3 kV and 30 mA with a tungsten anode. The x rays penetrating a sample were dispersed by the quartz crystal and detected by a gas-flow proportional counter using a 90 vol % argon—10 vol % methane mixture as flow gas. The detected photon signals passing through a preamplifier and a pulse height analyzer were integrated by a scaler during a preset time and then were printed out automatically on both a printer and a recorder. The total spectrum was obtained by step scanning. The one-step energies of  $SK$ ,  $MoL_{III}$ , and  $NbL_{III}$  spectra were 0.290, 0.300, and 0.323 eV, respectively. The final spectrum was drawn on an  $X$ - $Y$  plotter in the form of a normalized optical density as a function of energy by accumulating three to six data sets for each material and processing them with a computer. In obtaining the absolute photon energies, we used the energy values of  $MoL\alpha_1$ ,  $L\beta_1$ ,  $L\beta_3$ , and  $L\beta_{4,6}$  lines given in Bearden's x-ray wavelength table<sup>25</sup> and the  $SK$  absorption peak energy of  $CaSO_4 \cdot 2H_2O$  given by Sugiura *et al.*<sup>26</sup>

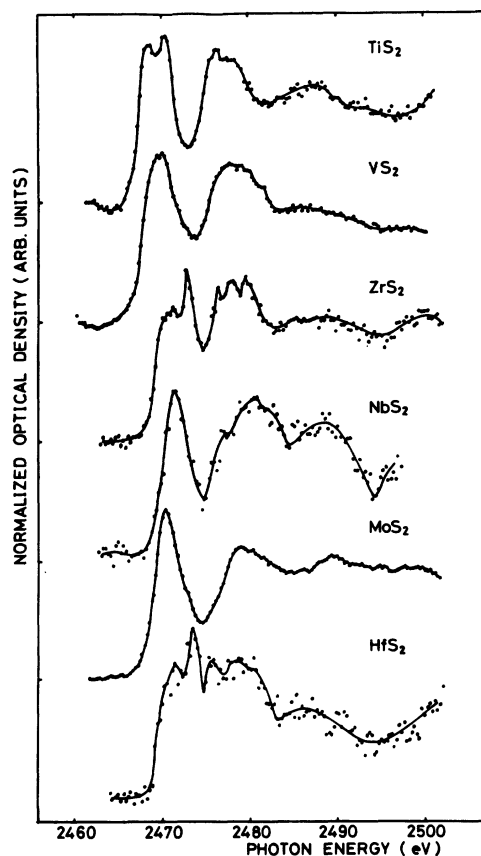
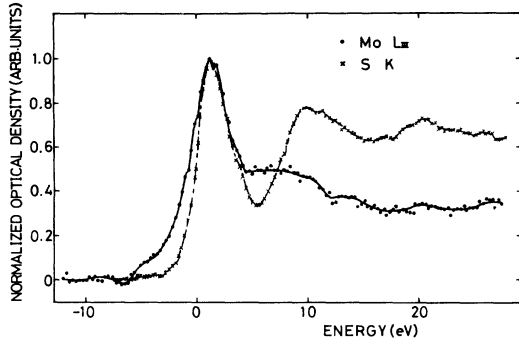
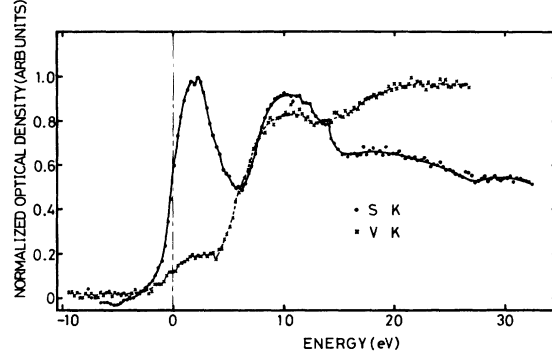


FIG. 1.  $SK$  absorption spectra of a series of layer transition-metal disulfides.

FIG. 2. Mo  $L_{III}$  and SK absorption spectra of MoS<sub>2</sub>.FIG. 3. VK and SK absorption spectra of VS<sub>2</sub>.

### III. RESULTS AND DISCUSSIONS

Figure 1 shows the SK absorption spectra of a series of layer  $TS_2$  compounds. These spectra are similar to each other in shape, especially, for the materials with the same crystal structure. TiS<sub>2</sub>, ZrS<sub>2</sub>, HfS<sub>2</sub>, and VS<sub>2</sub> crystallize in an octahedral structure, while NbS<sub>2</sub> and MoS<sub>2</sub> in a trigonal prismatic structure. As an x-ray absorption spectrum reflects the empty states, Fig. 1 suggests the similarity of the empty-band structure of these materials. Before discussing the absorption spectrum and the electronic band structure of each material, we will compare the different spectra of the same material.

Figure 2 shows a comparison between the Mo  $L_{III}$  and SK absorption spectra of MoS<sub>2</sub>. As an  $L_{III}$  absorption spectrum, in general, reflects the empty states with  $s$  and  $d$  character ( $s$  and  $d$  symmetry), while a  $K$  absorption spectrum reflects the empty states with  $p$  character, so it is found that the structures from the absorption edge (the middle point of the initial rise) to about 5 eV are primarily due to the bands of admixed  $p$  and  $d$  orbitals, while the structures from 6 to 14 eV are primarily due to the admixed  $s$  and  $p$  orbitals. Figure 3 shows a comparison between the VK and SK absorption spectra of

VS<sub>2</sub>. It is found from these spectra that the former  $p$  character is derived predominantly from S  $p$  orbitals, while the latter  $p$  character is derived from both metal and S  $p$  orbitals. This inference assumes the localized property of an x-ray excitation. Finally, these bands are found to correspond to the  $d$  and metal  $sp$  bands generally called for in the band structure of these materials.

#### A. The group-IV $TS_2$ compounds (TiS<sub>2</sub>, ZrS<sub>2</sub>, and HfS<sub>2</sub>)

The energies of the absorption edge of TiS<sub>2</sub>, ZrS<sub>2</sub>, and HfS<sub>2</sub> are 2467.4, 2469.1, and 2469.2 eV, respectively, and shift to higher energies while going from TiS<sub>2</sub> to ZrS<sub>2</sub> and then HfS<sub>2</sub>. This shift cannot be explained by the chemical shift. Since the S atom behaves as an anion in these compounds and their ionicity increases with the atomic number of a transition metal, the charge density around a S ion increases in the sequence. As a result, the shielding of core electrons against a nucleus increases while going from TiS<sub>2</sub> to HfS<sub>2</sub>, and the binding energies of core levels and the absorption edge are shifted to lower energies in the sequence contrary to our experimental results. It is well known that ZrS<sub>2</sub> and

TABLE I. Energies from the absorption edge to the main structures of SK spectra of layer transition-metal disulfides. Notations are given in Figs. 4–7, 9, and 10.  $\Delta E_0$  is the energy shift of the absorption edge and the results are given in eV.

Materials	$O$	$A'$	$A$	$B$	$C'$	$C$	$C''$	$D$	$E$	$F$	$\Delta E_0$
TiS <sub>2</sub>	0	1.3	3.3	5.8	9.2	10.5		14.9	20.1	20.2	0
ZrS <sub>2</sub>	0	1.9	3.4	5.4	6.9	8.6	10.2	14.0	19.4	25.1	+1.9
HfS <sub>2</sub>	0	1.6	3.6	4.7	5.4	8.6		13.4		24.3	+2.4
VS <sub>2</sub>	0	1.8	2.6	6.1		10.2	11.6	16.0			+0.4
NbS <sub>2</sub>	0		1.4	4.7	7.4	10.5		14.4	18.4	24.0	+2.6
MoS <sub>2</sub>	0		1.4	5.6		10.0	11.4	15.4	20.4		+2.0

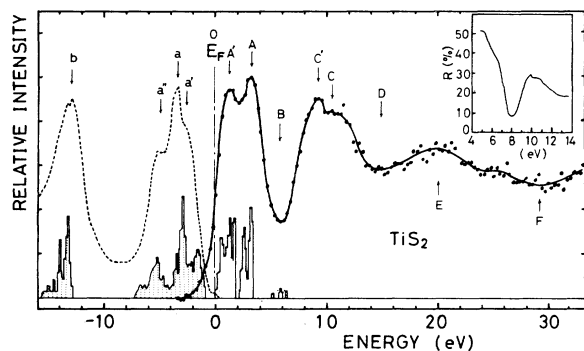


FIG. 4. SK absorption spectrum of  $\text{TiS}_2$ , together with the photoemission spectrum measured by Wertheim *et al.* (Ref. 9) and the density of states calculated by Bullett (Ref. 32). Inset is the reflectivity measured by Hughes and Liang (Ref. 14).

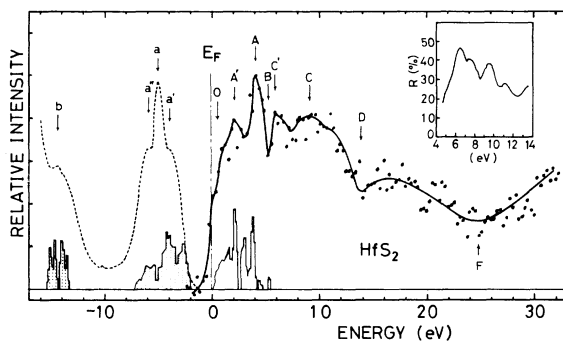


FIG. 5. SK absorption spectrum of  $\text{ZrS}_2$ , together with the photoemission spectrum measured by Wertheim *et al.* (Ref. 9) and the density of states calculated by Bullett (Ref. 32). Inset is the reflectivity measured by Hughes and Liang (Ref. 14).

$\text{HfS}_2$  are *n*-type semiconductors with a band gap of 1.7 and 2.0 eV, respectively.<sup>13</sup> It is uncertain whether  $\text{TiS}_2$  is a narrow-gap semiconductor or a semimetal. However, all band-structure calculations<sup>27–30</sup> to date suggest that it is a semiconductor. In addition, the angle-resolved photoemission data obtained by Chen *et al.*<sup>31</sup> also showed that  $\text{TiS}_2$  is a narrow-gap semiconductor with a band gap of  $0.3 \pm 0.2$  eV. According to the band-structure calculation by Bullett,<sup>32</sup> on the other hand, the energies of the lowest empty state of  $\text{TiS}_2$ ,  $\text{ZrS}_2$ , and  $\text{HfS}_2$  are  $-8.0$ ,  $-7.3$ , and  $-7.0$  eV, respectively, increasing while going from  $\text{TiS}_2$  to  $\text{HfS}_2$ . In the light of these facts, it is concluded that the shift of the absorption edge of these spectra may result from the final states or the empty states. The energies of the main structures of these spectra are summarized in Table I. These energies are measured from the absorption edge. The relative intensity of the first peak in the SK absorption spectrum of

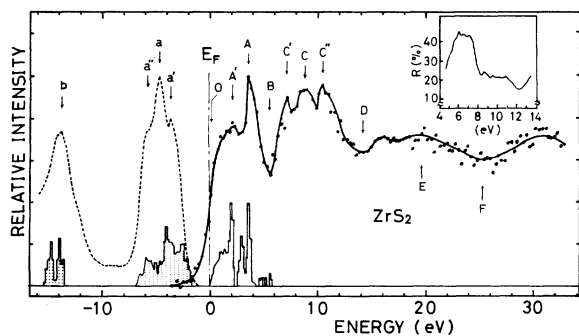


FIG. 6. SK absorption spectrum of  $\text{HfS}_2$ , together with the photoemission spectrum measured by Wertheim *et al.* (Ref. 9) and the density of states calculated by Bullett (Ref. 32). Inset is the reflectivity measured by Hughes and Liang (Ref. 14).

$\text{TiS}_2$  is large, compared with that obtained by Fischer.<sup>17</sup>

Figures 4, 5, and 6 show the SK absorption spectra of  $\text{TiS}_2$ ,  $\text{ZrS}_2$ , and  $\text{HfS}_2$ , respectively, together with the x-ray photoemission spectrum measured by Wertheim *et al.*<sup>9</sup> and the density of states calculated by Bullett.<sup>32</sup> The insets in Figs. 4–6 show the reflectivity spectra obtained by Hughes and Liang.<sup>14</sup> The origin of the horizontal axis is the Fermi energy estimated from the photoemission study. The density of states diagram has been plotted on the figures by fitting its peak position to that of the photoemission spectrum as well as possible and then the SK absorption has been plotted on the figures by fitting its peak position to that of the density of states. The reason why we used the x-ray photoemission spectra measured by Wertheim *et al.* is that the width of the valence band can be estimated more accurately, compared with the ultraviolet photoemission spectra, because the influences of the multielectron processes such as plasmons and the other electronic excitations and of the final-state modulation are smaller. The reasons why we particularly used Bullett's results among many band-structure calculations of these materials are as follows: (i) His results for  $\text{TiS}_2$  agree well with those of Zunger and Freeman,<sup>30</sup> who have calculated self-consistently, and further with the photoemission spectrum measured by Wertheim *et al.*<sup>9</sup> (ii) It is possible to discuss our experimental results systematically, comparing with his results, because he has calculated the band structures and the density of states of a series of layer transition-metal dichalcogenides. As a result, there is good agreement between theory and experiment. The Fermi level, in all cases, exists in the energy gap near the bottom of

the conduction band. This result coincides with the fact that  $ZrS_2$  and  $HfS_2$  are  $n$ -type semiconductors and  $TiS_2$  is also expected to be a degenerate  $n$ -type semiconductor. The absorption edge is found to be situated at the bottom of the conduction band as predicted. In addition, we can also obtain the following information from Figs. 4–6:

(a) The peaks  $A'$  and  $A$  of the  $SK$  absorption spectra correspond to two peaks of the density of states which are well known as  $t_{2g}$  and  $e_g$  bands. We can estimate from the peak distance that the  $t_{2g}$ - $e_g$  band splitting of  $TiS_2$ ,  $ZrS_2$ , and  $HfS_2$  is 2.0, 1.5, and 2.0 eV, respectively. The value for  $TiS_2$  is approximately equal to that obtained by Fischer<sup>17</sup> (2.1 eV), Webb *et al.*<sup>33</sup> (2.2 eV), and Sonntag *et al.*<sup>21</sup> (2.3 eV).

(b) There is considerable  $p$  character even in the  $t_{2g}$  and  $e_g$  bands. This  $p$  character is derived primarily from  $S p$  orbitals. This result suggests the presence of the strong admixture of transition-metal  $d$  and  $S p$  orbitals, in other words, the strong covalent bonding between metal and  $S$  atoms.

(c) We could not accurately estimate the  $d$ -band width because of the comparatively low resolution of the x-ray spectra due to the broadening of a core level and because of the overlap with metal  $sp$  bands situated at the higher-energy side. Assuming the energy distance between the absorption edge and the middle point between  $A$  and  $B$  to be the  $d$ -band width, however, it is 4.1, 4.6, and 5.0 eV for  $TiS_2$ ,  $ZrS_2$ , and  $HfS_2$ , respectively, which are larger than the theoretical values obtained by Bullett<sup>32</sup> by about 0.8 eV.

(d) The extent of the overlap between the  $d$  and

metal  $sp$  bands increases with the atomic number of a transition metal. According to Bullett,<sup>32</sup> the  $d$  level at  $d^2$  configuration increases, compared with the other levels, while going from  $Ti$  to  $Hf$ , and the  $d$  bands separate from the metal  $sp$  bands by a gap for  $TiS_2$ , but they are degenerate for  $ZrS_2$  and  $HfS_2$ . Therefore, it may be expected that the energy position of  $d$  levels plays an important role for the overlap between the  $d$  and metal  $sp$  bands and for the semiconductor gap.

(e) The width of the metal  $sp$  bands is estimated to be about 8 eV.

(f) The valence band consists of the lower bands (chalcogen  $s$  bands) situated at 13–15 eV below the Fermi level and of the upper bands (chalcogen  $p$  bands) having a main peak at a center and shoulder or small peak structures on both sides.

The reflectivities and the joint densities of states derived from the energy-loss spectra of  $ZrS_2$  and  $HfS_2$  exhibit large peaks around 3 and 7 eV together with a large dip around 4 eV. From Figs. 5 and 6, interband transitions of these materials are expected to arise at about 2 eV. Considering the relatively wide upper valence band, about 5-eV wide, and the relatively small  $t_{2g}$ - $e_g$  band splitting, however, it is impossible to predict a sharp peak around 3 eV and a large dip around 4 eV from the density of states. In relating with this point, Isomäki and Boehm<sup>34</sup> have indicated the importance of the matrix-element effect on  $\epsilon_2$ , the imaginary part of the dielectric constant. The peak around 6 eV and the dip around 12 eV, on the other hand, can be sufficiently explained in terms of only the density of states. The former is caused by the chalcogen  $p-d$

TABLE II. Relations between the main energy distances in Figs. 4–6 and the peak energies of the corresponding reflectivity given by Greenaway and Nitsche (Ref. 13) and Hughes and Liang (Ref. 14). The former data are in parentheses and the results are given in eV.

Notations	Main energy distances			Reflectivity-peak energies		
	$TiS_2$	$ZrS_2$	$HfS_2$	$TiS_2$	$ZrS_2$	$HfS_2$
				(1.95) (2.2)	(2.75) (3.3)	(2.9) (3.35)
$a'-O$	2.6	3.8	4.4			
$a-O$	3.4	4.9	5.4	(3.35)	4.97(4.7)	5.0(5.00)
$a'-A'$	4.0	5.7	6.0		5.7	
$a''-O$	4.9	5.9	6.4	(4.5)	6.07(6.1)	6.46(6.40)
$a-A'$	4.8	6.8	7.0	4.94(5.0)	6.6 7.1	
$a'-A$	5.8	7.3	8.0		7.36(7.3)	7.87(7.9)
$a''-A'$	6.3	7.8	8.0			8.67 8.98
$a-A$	6.6	8.4	9.0	6.72(6.8)	8.57(8.7)	9.34(9.35)
$a''-A$	8.1	9.3	9.9		9.56	9.6

interband transitions and the latter is caused by both the reduced chalcogen  $p-d$  interband transitions and the increase of the chalcogen  $p-metal$   $sp$  interband transitions. The main energy distances in Figs. 4–6 are connected with the peak energies of the reflectivity of the corresponding material in Table II. There is a comparatively good agreement between them, but a perfect coincidence cannot be obtained. This may be, of course, due to the imperfect coincidence between the convolution of the density of states of the valence and conduction bands and the joint densities of states.

## B. The group-V $TS_2$ compounds

### 1. An octahedral coordination type ( $VS_2$ )

The  $VK$  absorption spectra of  $VS_2$  and  $VSe_2$  have been already reported in Ref. 22 by the present authors, in which their similarity has been emphasized. Figure 3 shows the  $VK$  and  $SK$  spectra, whose absorption edges are 5465.0 and 2467.8 eV, respectively, but the absolute energy values of the empty states cannot be obtained from only x-ray spectra. However, many structures are connected with each other by fitting the absorption edges. Figure 7 shows the  $SK$  absorption spectrum of  $VS_2$ , together with the photoemission spectrum of  $VSe_2$  measured by Shepherd and Williams<sup>11</sup> and the density of states calculated by Myron,<sup>35</sup> with the use of the augmented-plane-wave (APW) method. It is found that the absorption edge coincides with the Fermi level present in the  $d$  band and the peaks  $A'$  and  $A$  of the  $SK$  absorption spectrum correspond to two peaks of the density of states as well as the group-IV  $TS_2$  compounds. The structures to about 5 eV above the absorption edge reflect the  $d$  bands and the structures from 6 to 14 eV reflect the metal  $sp$  bands. We can obtain the following information from Figs. 3 and 7:

(a) The  $d$  bands are derived primarily from  $V$   $d$  and  $S$   $p$  orbitals.

(b) The metal  $sp$  bands are derived primarily from  $V$  and  $S$   $s$  and  $p$  orbitals.

(c) The ligand-field splitting is observed, but is small, compared with those of the group-IV  $TS_2$  compounds. The whole appearance of the  $SK$  spectrum comes to resemble those of  $MoS_2$  and  $NbS_2$  having a trigonal prismatic structure.

(d) From the photoemission spectrum of  $VSe_2$ , we may expect some overlap between the chalcogen  $p$

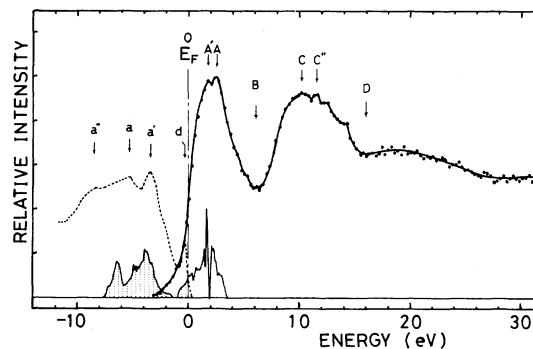


Fig. 7.  $SK$  absorption spectrum of  $VS_2$ , together with the photoemission spectrum of  $VSe_2$  measured by Shepherd and Williams (Ref. 7) and the density of states calculated by Myron (Ref. 35).

and  $d$  bands as well as  $NbSe_2$  and  $MoS_2$  described below.

As mentioned above, there are two different types of coordination in the crystal structure of layer  $TS_2$  compounds: octahedral and trigonal prismatic coordination. Wilson and Yoffe<sup>5</sup> suggested that the type of coordination depends on the number of electrons that occupy the  $d$  bands. Trigonal prismatic coordination gives rise to a large  $d$ -band separation due to both the ligand field and the hybridization of  $d$  orbitals, and the lower  $d$  band allows occupation by two electrons. To date it is believed that the electronic occupation of this band is the driving force toward the trigonal prismatic structure. As a result, the group-V and -VI  $TS_2$  compounds are stabilized for a trigonal prismatic structure because there are one or two electrons in the band, while the group-IV  $TS_2$  compounds are stabilized for the more symmetric, electrostatically favored, octahedral structure because there are no electrons in the band. In practice,  $TiS_2$ ,  $ZrS_2$ , and  $HfS_2$  crystallize in the octahedral structure, while  $NbS_2$  and  $MoS_2$  crystallize in the trigonal prismatic structure. Vanadium dichalcogenides, which belong to the group-V transition-metal dichalcogenides, however, crystallize in the octahedral structure. This fact may imply the comparatively low-energy gain in the trigonal prismatic structure for these materials, which seems to be related to the above-mentioned results (c) and (d). Especially, it appears that the overlap between the chalcogen  $p$  and  $d$  bands play an important role for the stabilization of the octahedral structure of these materials. In relation to this problem, it is interesting to note that their lattice-parameter ratios ( $c/a$ ) are in the neighborhood of those of  $MoS_2$  and  $NbS_2$  rather than those of the group-IV  $TS_2$  compounds.<sup>36</sup>

## 2. A trigonal prismatic coordination type ( $NbS_2$ )

The SK absorption edge of  $NbS_2$  is situated near the  $NbL_{II}$  absorption edge, and these spectra overlap as shown in Fig. 8(a). According to Bearden's x-ray wavelength table,<sup>23</sup> the energies of SK and  $NbL_{II}$  absorption edges are 2470.48 and 2464.1 eV, respectively. If the  $NbL_{III}$  absorption spectrum ( $2p_{3/2} \rightarrow$  empty  $s$  and  $d$  states) is the same shape as the  $L_{II}$  spectrum ( $2p_{1/2} \rightarrow$  empty  $s$  and  $d$  states), we can pick up only the SK absorption spectrum by fitting the first peak of the  $NbL_{III}$  spectrum shown in Fig. 8(b) to that of the raw spectrum shown in Fig. 8(a) and then subtracting the former from the latter. Figure 8(c) shows the normalized SK absorption spectrum of  $NbS_2$  obtained in this way. This spectrum is found to be similar to the SK absorption spectrum of  $MoS_2$  shown in Fig. 2. Although the first large peaks of Figs. 8(b) and 8(c) originate in the same bands, the width of the former peak is larger than that of the latter peak. The same phenomenon is observed in the corresponding absorption spectra of  $MoS_2$  and is probably due to the difference in the broadening of core levels or due to the core-hole-metal- $4d$ -electron interaction.

Figure 9 shows the SK absorption spectrum of  $NbS_2$ , together with the photoemission spectrum<sup>9</sup> of  $NbSe_2$  and the density of states.<sup>32</sup> The  $NbL_{III}$  ab-

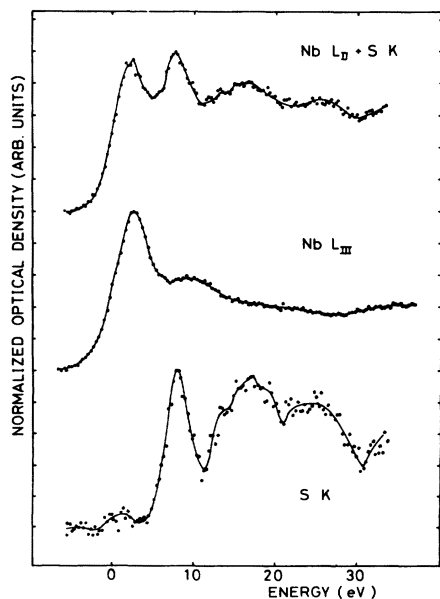


FIG. 8. X-ray absorption spectra of  $NbS_2$ ; (a)  $NbL_{II}$  and SK spectra, (b)  $NbL_{III}$  spectrum, and (c) SK spectrum.

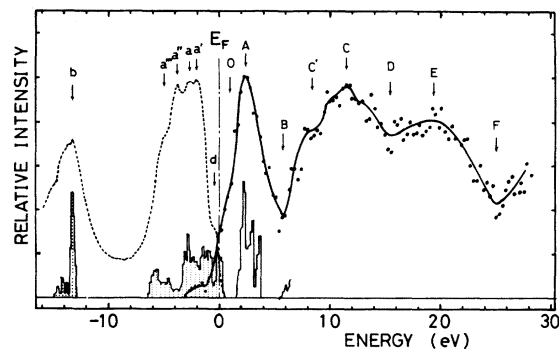


FIG. 9. SK absorption spectrum of  $NbS_2$ , together with the photoemission spectrum of  $NbSe_2$  measured by Wertheim *et al.* (Ref. 9) and the density of states calculated by Bullett (Ref. 32).

sorption spectra of  $NbS_2$  and  $NbSe_2$  resemble each other and the electronic band structures of these compounds are quite similar to each other. The absorption edge of the SK spectrum coincides with the bottom of the conduction band situated at 1 eV above the Fermi level. In this case, the Fermi level exists in the  $d_{22}$  band, so that we may expect two absorption peaks originating in the empty  $d_{22}$  band and the rest  $d$  bands. However, we can observe only a large peak having a shoulder structure at the lower-energy side of the absorption edge because of the broadening of the S  $1s$  level. It appears that the shoulder structure reflects the empty  $d_{22}$  band. We can obtain the following information from Figs. 8 and 9:

(a) The peak A reflects the bands of admixed Nb  $d$  and S  $p$  orbitals.

(b) The peaks C' and C reflect the bands of admixed Nb and S  $s$  and  $p$  orbitals. The former is more  $s$ -like and the latter is more  $p$ -like.

(c) The band structures of  $NbS_2$  and  $NbSe_2$  are similar to that of  $MoS_2$  as expected from the rigid-band model. According to the band calculations,<sup>8,32</sup> there is a large gap between the  $d$  and metal  $sp$  bands. However, our experimental results suggest the overlap of these bands.

(d) The sharp peak, which is observed at 4.4 eV in the reflectivity<sup>14</sup> and the joint density of states from the energy-loss spectrum<sup>16</sup> of  $NbSe_2$ , corresponds to the chalcogen  $p-d$  interband transitions between  $a'$  and A, while the dip around 8 eV may correspond to both the reduced chalcogen  $p-d$  interband transitions and the increase of the chalcogen  $p-metal$   $sp$  interband transitions because the energy distances between  $a''$  and A and between  $d$  and C' are about 8 eV.

### C. The group-VI $TS_2$ compounds ( $MoS_2$ )

The  $MoL_{III}$  and  $SK$  absorption spectra of  $MoS_2$  are shown in Fig. 2. The  $MoL_{III}$  spectrum is different from that of Barinski and Vainshtein<sup>18</sup> in shape. They have observed a large additional peak at the lower energy side of the absorption edge. However, the present  $MoL_{III}$  absorption spectrum resembles the  $NbL_{III}$  absorption spectra of  $NbS_2$  and  $NbSe_2$  and consists of only a large peak followed by a broad structure. These spectra have been already discussed in other sections of this paper and suggest the strong covalency of these materials. Haycock *et al.*<sup>20</sup> measured the  $MoL\beta_{2,15}$ ,  $Mo_{5p-3d}$ ,  $SK\beta_{1,3}$ , and  $SL_{1,\eta}$  emission spectra and indicated the strong covalent between Mo and S atoms, in agreement with our conclusions. Exciton peaks are observed in optical spectra of the group-VI transition-metal dichalcogenides<sup>5</sup> and are interpreted to be due to the  $d-d$  interband transitions in spite of the forbidden ones. However, if these bands are an admixture of metal  $d$  and chalcogen  $p$  orbitals, the exciton peaks would be caused by the allowed  $p-d$  or  $d-p$  transitions between the occupied and empty  $d$  bands.

Figure 10 shows the  $SK$  absorption spectrum of  $MoS_2$ , together with the photoemission spectrum measured by Wertheim *et al.*<sup>9</sup> and the density of states calculated by Bullett.<sup>32</sup> The absorption edge and the Fermi level, in this case, are situated within the band gap near the bottom of the empty  $d$  bands. This corresponds to the fact that  $MoS_2$  is the  $n$ -type semiconductor with a gap of 1–2 eV. The reflectivity<sup>14</sup> and the joint density of states derived from the energy-loss spectrum<sup>16</sup> give rise to the prominent dip around 9 eV. This dip may be due to both the reduced chalcogen  $p-d$  interband transitions

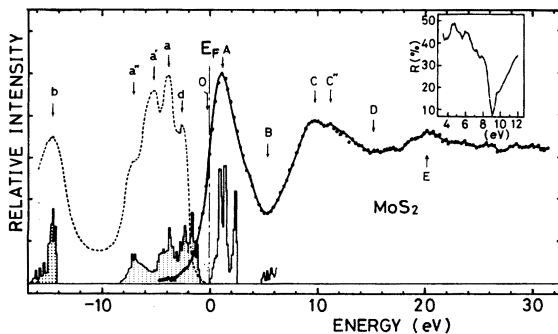


FIG. 10.  $SK$  absorption spectrum of  $MoS_2$ , together with the photoemission spectrum measured by Wertheim *et al.* (Ref. 9) and the density of states calculated by Bullett (Ref. 32). Inset is the reflectivity measured by Liang (Ref. 14).

TABLE III. Relations between the main energy distances in Fig. 10 and the peak energies of the reflectivity of  $MoS_2$  given by Liang (Ref. 14). The results are given in eV.

Notations	Main energy distances		Reflectivity-peak energies $MoS_2$
	$MoS_2$		
$d''-O$	2.4		
$d-A$	3.7		
			3.87
$a-O$	3.8		
			4.59
$a'-O$	4.9		
			4.86
$a-A$	5.0		
			5.46
			6.08
$a'-A$	6.4		
$a''-O$	6.8		
			6.25
$a''-A$	8.2		
			7.3
$d-B$	7.9		
			7.9
$a-B$	9.1		

and the increase of the occupied  $d$ -metal  $sp$  interband transitions because the energy distances between  $a''$  and  $A$  and between  $d$  and  $B$  are about 8 eV. However, the  $s$  part of the metal  $sp$  bands overlaps the unoccupied  $d$  bands, so that the chalcogen  $p-s$  interband transitions occur even at this energy range. The fine structures around 4 eV may reflect the valence-band structure because the conduction band of this energy range consists of only a large peak. The energy relations are summarized in Table III.

## IV. CONCLUSIONS

### A. Energy-band structures

Although we have discussed the x-ray absorption spectra and the electronic band structures of layer  $TS_2$  compounds, using the band names which are generally used for these materials, that is, chalcogen  $p$ ,  $d$ , and metal  $sp$  bands, this is not strictly appropriate because large admixture occurs between metal and S  $s$ ,  $p$ , and  $d$  orbitals. Therefore, we summarize the band structures of these materials by indexing each band (BD) by a simple number.

#### 1. The group-IV $TS_2$ compounds ( $TiS_2$ , $ZrS_2$ and $HfS_2$ )

These materials are semiconductors and the Fermi level is situated within the band gap near the



bottom of the BD3 band as shown in Fig. 11(a). The valence band consists of BD1 and BD2 bands. The former is situated at 13–15 eV below the Fermi level and has a bandwidth of 2–3 eV, while the latter has a bandwidth of 4–5 eV consists of a large peak at the center and the shoulder or small peak structures on both sides. The conduction band consists of BD3, BD4, and BD5 bands. The BD3 and BD4 bands are generally called the  $t_{2g}$  and  $e_g$  bands, whose splitting is due to ligand field and is 2.0, 1.5, and 2.0 eV for  $TiS_2$ ,  $ZrS_2$ , and  $HfS_2$ , respectively. The BD5 band is about 8-eV wide and overlaps the BD4 band. Its extent increases with the atomic number of a transition metal.

### 2. The group-V $1T$ - $TS_2$ compound ( $VS_2$ )

The valence band is quite similar to that of the group-IV  $TS_2$  compounds, as shown in Fig. 11(b). As the group-V transition metal has an extra  $d$  electron, compared with the group-IV transition metal, the Fermi level exists in the BD3 band, which overlaps the BD2 band. It appears that this band overlap plays an important role for the stabilization of the octahedral structure of this group of materials, compensating the energy gain of trigonal prismatic coordination due to a large hybridization gap.

### 3. The group-V $2H$ ( $3R$ )- $TS_2$ compounds ( $NbS_2$ )

The Fermi level, in this case, exists in the BD3 band as well as the group-V  $1T$ - $TS_2$  compounds. The BD3 band overlaps the BD2 band, but separates from the BD4 band by the hybridization gap. It is believed that this hybridization gap is the driving force toward the trigonal prismatic structure of this group of materials as well as the group-VI transition-metal dichalcogenides. The widths of the BD2 and BD5 bands are comparable with those of the  $1T$  compounds, but their shapes are slightly different. The BD4 band overlaps the  $s$  part of the BD5 band. The band structure of this group of materials is shown in Fig. 11(c).

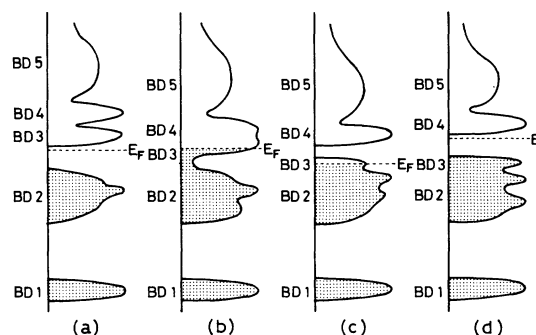


FIG. 11. Band-structure diagrams of layer transition-metal disulfides; (a) for the group-IV  $TS_2$  compounds (b) for the group-V  $1T$ - $TS_2$  compounds, (c) for the group-V  $2H$  ( $3R$ )- $TS_2$  compounds, and (d) for the group-VI  $TS_2$  compounds.

### 4. The group-VI $TS_2$ compounds ( $MoS_2$ )

The electronic band structure of this group of materials is closely similar to that of group-V  $2H$  ( $3R$ )- $TS_2$  compounds, excluding the position of the Fermi level, which exists in the band gap near the bottom of the BD4 band as shown in Fig. 11(d).

#### B. Band character

We can speculate on band character of these materials from a series of x-ray absorption spectra, adding a series of emission spectra obtained by Fischer<sup>17</sup> and Haycock *et al.*<sup>20</sup> The band names used to date are described in a parenthesis.

(a) *BD1 band (chalcogen  $s$  band)*. This band is derived primarily from S  $s$  orbitals, but includes some  $p$  characteristics, also.

(b) *BD2 band (chalcogen  $p$  or  $\sigma$  band)*. This band is derived from the admixture of S  $3p$  and metal  $s$ ,  $p$ , and  $d$  orbitals.

(c) *BD3 band ( $d$ ,  $t_{2g}$ , or  $d_{z^2}$  band)*. This band is derived from the strong admixture of metal  $d$  and S  $3p$  orbitals.

(d) *BD4 band ( $d$  or  $e_g$  band)*. This band is derived from the strong admixture of metal  $d$  and S  $3p$  orbitals. However, some  $s$  character is also present.

(e) *BD5 band (metal  $sp$  or  $\sigma^*$  band)*. This band is derived from the admixture of metal and S  $s$  and  $p$  orbitals.

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