of the iterative calculation.

<sup>14</sup>Compare, for instance, the existence of self-consistent solutions of Hartree-Fock equations that do not correspond to any physically significant solution of the exact Schrödinger equation. S. I. Pekar, Zh. Eksperim. i Teor. Fiz. <u>18</u>, 525 (1948).

<sup>15</sup>For this to be true, it is of course essential to calculate F using equations valid for any distribution [ such as Eq. (14) of Ref. 11], rather than equations valid only for

PHYSICAL REVIEW B

VOLUME 2, NUMBER 6

15 SEPTEMBER 1970

# Self-Consistent Local Orbitals for Lithium Halide Crystals<sup>†</sup>

A. Barry Kunz Department of Physics and Materials Research Laboratory, University of Illinois, Urbana, Illinois 61801 (Received 25 May, 1970)

Using the Adams-Gilbert local-orbital theory, it has been possible to obtain approximate self-consistent local orbitals for the fcc lithium halide crystals. In this formalism, terms to first order in interatomic overlap are included. Nearest neighbors are considered ex-actly in this formalism, and more distant neighbors in a point-ion model. The method of Roothaan is used to obtain the solutions. All relativistic effects are neglected in this cal-culation

### I. INTRODUCTION

Because of the introduction of localized-orbital theories by Adams, <sup>1</sup> Gilbert, <sup>2</sup> and Anderson, <sup>3</sup> self-consistent Hartree-Fock solutions for groundstate wave functions of polyatomic systems have become possible. The author has taken the theory of Gilbert, expanded the environment in powers of interatomic overlap, and retained terms to first order in interatomic overlap. <sup>4</sup> This seems justified for most ionic or insulating solids, since the overlaps are typically of the order 0.1 or less. <sup>5</sup> In the original paper by the author, self-consistent orbitals for the LiH crystal were reported. In the present paper orbitals are obtained for LiF, LiCl, LiBr, and LiI using the analytic Hartree-Fock technique of Roothann<sup>6</sup> in a somewhat modified form.

self-consistent distributions [ such as Eq. (13) of Ref. 11].

<sup>16</sup>Corresponding data for other temperatures are avail-

<sup>18</sup>A. F. Schuch, R. L. Mills, and D. A. Depatie, Phys.

<sup>19</sup>J. C. Raich and R. D. Etters, Phys. Rev. <u>168</u>, 425

<sup>17</sup>A. B. Harris, Phys. Rev. B <u>1</u>, 1881 (1970).

These localized orbitals, which the author obtains for the ground state of the lithium halide crystal, should prove very useful for band-structure calculations. The preliminary results for LiCl crystal have been used with great success by the author in computing a band structure.<sup>7</sup> The author

 Li <sup>+</sup>			F-	(	C1-		Br <sup>-</sup>		I-	
J	$A_{oj}$	$oldsymbol{Z}_{oj}$	$A_{oj}$	$Z_{oj}$	$A_{oj}$	$Z_{oj}$	$A_{oj}$	$Z_{oj}$	$A_{oj}$	$Z_{oj}$
1	0	2.69	0	10.040	0	18.9832	0	37.3527	0	56,5239
2	0	4.00	0	8.3691	0	14.7941	1	33.1430	1	26.1681
3	0	2.00	0	5,5505	1	14.7181	$^{2}$	17.2808	2	24.7445
4			1	4.9546	1	9.6220	<b>2</b>	16.3407	2	12.7500
5			1	3.3675	1	6.7665	2	8.4198	3	10.0218
6			1	1.9804	<b>2</b>	6.2190	$^{2}$	6.6235	3	5.2591
7			1	1.1869	<b>2</b>	3.2450	3	6.6182	3	3.3269
8					2	2.1679	3	3.4730	4	3.0142
9					<b>2</b>	1.3550	3	2,1970	4	2.2501
10							3	1.4859	4	1.4859
11									4	1.1889

TABLE I. The values of  $A_{kj}$  and  $Z_{kj}$  are given for the s levels of the Li<sup>+</sup>, F<sup>-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup> ions.

(1968).

able on request to the author.

Rev. 165, 1032 (1968).

 F		<b></b>		C1-	I	Br <b>-</b>	I	asi	
J	A <sub>1j</sub>	$Z_{1j}$	$A_{1j}$	$Z_{1j}$	$A_{1j}$	$Z_{1j}$	$A_{1j}$	$Z_{1j}$	
 1	0	7.1171	0	13.7900	0	23.3102	0	26.0738	
<b>2</b>	0	3.5586	0	8.8355	0	15.6532	1	19.6079	
3	0	2.4367	0	5,3987	1	14.8256	1	9.2975	
4	0	1.0219	1	4.0186	1	9.5909	<b>2</b>	8.0386	
5	0	0.5003	1	2.4367	1	6.1399	<b>2</b>	4.2627	
6			1	1,6382	2	5.3085	2	2.4794	
7			1	0.8219	<b>2</b>	2.8150	3	2.4546	
8			1	0.4120	2	1.6374	3	1.6713	
9					2	1.1277	3	1.0150	
10			-				3	0.5075	

TABLE II. The values of  $A_{kj}$  and  $Z_{kj}$  are given for the p levels of F<sup>-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup>.

has also completed a detailed study of the band structure of LiCl using the final LiCl local orbitals.<sup>8</sup>

These local orbitals should also prove useful for computing lattice constants, binding energies, and the elastic properties of the pure solids. In addition, these orbitals should provide an excellent starting point for studies of color centers in these solids.

Computer codes were developed, for this calculation by the author, for the IBM 360-75 computer. Sufficient accuracy has been maintained in the codes and in self-consistency requirements so that the one-electron energy parameters appear to be accurate to about  $\pm 2$  in the fourth significant figure in virtually all cases. In Sec. II the local-orbital method is discussed as are the techniques of solution. In Sec. III numerical results are presented for the Li<sup>\*</sup>, F<sup>-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup> ions as well as for the crystals. The free-ion results are compared to the other calculations on these systems and conclusions are drawn from this comparison.

## II. LOCAL-ORBITAL TECHNIQUES

#### A. Local-Orbital Equation

In the case of solids with closed-shell ground states one may write the Hartree-Fock operation for the solid in the form

$$F_{i} = -\nabla_{i}^{2} - 2\sum_{A} \frac{Z_{A}}{|\vec{\mathbf{r}}_{Ai}|} + 4\int |\vec{\mathbf{r}}_{i} - \vec{\mathbf{r}}_{j}|^{-1}\rho(\vec{\mathbf{r}}_{j}, \vec{\mathbf{r}}_{j})d\vec{\mathbf{r}}_{j}$$

TABLE III. The values of  $A_{\ell j}$  and  $Z_{\ell j}$  are given for the *d* levels of Br<sup>-</sup> and I<sup>-</sup>.

j	A <sub>2i</sub> 1	$\frac{3r}{Z_{2i}}$	$A_{2i}$	$I^{-}Z_{2i}$	
1	0	16.0578	0	19.7943	
2	0	10.0855	0	11.0375	
3	0	7.2889	0	7.1802	
4	0	4.7416	1	6.9183	
5	0	2.9679	1	4.4943	
6			1	3.0332	
7			1	2.2939	

$$-\frac{-2\rho(\vec{\mathbf{r}}_i,\vec{\mathbf{r}}_j)}{|\vec{\mathbf{r}}_i-\vec{\mathbf{r}}_j|I(i,j)} \quad . \tag{1}$$

The operator I(i, j) interchanges coordinates *i* and *j*. In Eq. (1), the Fock operator for the *i*th electron is in Ry,  $Z_A$  is the charge on the Ath nucleus,  $\mathbf{\tilde{R}}_{Ai}$  is the radial vector from the Ath nucleus to the *i*th electron. The summation is over all nuclei in the polyatomic system.  $\rho(\mathbf{\tilde{r}}, \mathbf{\tilde{r}'})$  is the spin-in-dependent part of the kernel of the density operator and is seen to be

$$\rho(\mathbf{\vec{r}},\mathbf{\vec{r}}') = \sum_{Ai,Bj} |Ai(\mathbf{\vec{r}})\rangle S_{Ai,Bj}^{-1} \langle Bj(\mathbf{\vec{r}}')| \quad .$$
(2)

The quantity  $S_{Ai,Bj}^{-1}$  is the Ai, Bjth element of the inverse of the overlap matrix for the solid and may be evaluated using the familiar Löwdin expansion:

$$S_{Ai,Bj}^{-1} = \delta_{Ai,Bj} - (S_{Ai,Bj} - \delta_{Ai,Bj}) + \sum_{CK} (1 - \delta_{CA})(1 - \delta_{CB})S_{Ai,CK}S_{CK,Bj} + \cdots ,$$
(3)

with

$$S_{Ai,Bj} = \langle Ai | Bj \rangle$$

In the above we allow for the case in which the Hartree-Fock orbitals are not orthogonal. As has been demonstrated by Gilbert, <sup>2</sup> if one removes the restriction on orthogonality in the Hartree-Fock case, one is able to add in an additional constraint such that the Hartree-Fock equation is of the form

$$(F_i - \rho U'_A \rho)\phi_{Ai} = \epsilon_{Ai}\phi_{Ai} \quad . \tag{4}$$

In Eq. (4)  $U'_A$  is an arbitrary function, chosen to maintain localization. It is convenient to break the Fock operator into two parts such that

$$F_i = F_A + U_A \quad , \tag{5}$$

$$F_{A} = -\nabla_{i}^{2} - \frac{2Z_{A}}{|\vec{\mathbf{R}}_{Ai}|} + 4 \int \left|\vec{\mathbf{r}}_{i} - \vec{\mathbf{r}}_{j}\right|^{-1} \sum_{k} \left|Ak(\vec{\mathbf{r}}_{j})\rangle^{2} d\vec{\mathbf{r}}_{j} - 2\sum_{k,l} \frac{|Ak(\vec{\mathbf{r}}_{i})\langle Al(\vec{\mathbf{r}}_{j})|S_{Ak,Al}^{-1}}{|\vec{\mathbf{r}}_{i} - \vec{\mathbf{r}}_{j}|I(i,j)} .$$

$$(6)$$

TABLE IV. The lithium orbitals for the free ion and in the crystals. The normalization chosen is such that  $\sum_{i} C_{10i}^2 = 1.$ 

$C_{10j}$					
j	Free ion	LiF	LiCl	LiBr	LiI
1	0.587107	0.532146	0.56527	0.563807	0.576913
2	0.387533	0.401144	0.39311	0.393430	0.390119
3	0.710720	0.745590	0.72521	0.726178	0.717620

The expression for  $U_A$  is found by subtracting Eq. (6) from Eq. (1).

If one has  $U_A = U'_A$  and if one expands the expres-

sion for  $U_A$  and  $\rho U_A \rho$  in powers of the interatomic overlaps and retains terms linear in overlap one finds<sup>4</sup>

$$\left( -\nabla_{1}^{2} - \frac{2Z_{A}}{|\vec{\mathbf{R}}_{A1}|} + 4\sum_{j} \int \phi_{Aj}^{2}(\vec{\mathbf{r}}_{2}) |\vec{\mathbf{r}}_{12}|^{-1} d\vec{\mathbf{r}}_{2} - \sum_{B}' \frac{2Z_{B}}{|\vec{\mathbf{R}}_{B1}|} + 4\sum_{B,j}' \int \phi_{Bj}^{2}(\vec{\mathbf{r}}_{2}) |\vec{\mathbf{r}}_{12}|^{-1} d\vec{\mathbf{r}}_{2} - \epsilon_{Ai} \right) \phi_{Ai}(\vec{\mathbf{r}}_{1}) - 2\sum_{j} \phi_{Aj}(\vec{\mathbf{r}}_{1}) \int \phi_{Aj}^{*}(\vec{\mathbf{r}}_{2}) \phi_{Ai}(\vec{\mathbf{r}}_{2}) |\vec{\mathbf{r}}_{12}|^{-1} d\vec{\mathbf{r}}_{2} = \sum_{k}' \phi_{Ak}(\vec{\mathbf{r}}_{1}) \int \left[ \phi_{Ak}^{*}(\vec{\mathbf{r}}_{1}) \phi_{Ai}(\vec{\mathbf{r}}_{1}) \right] \\ \times \left( -\sum_{B}' \frac{2Z_{B}}{|\vec{\mathbf{R}}_{B1}|} + 4\sum_{Bj}' \int \phi_{Bj}^{2}(\vec{\mathbf{r}}_{2}) |\vec{\mathbf{r}}_{12}|^{-1} d\vec{\mathbf{r}}_{2} d\vec{\mathbf{r}}_{1} \right].$$

$$(7)$$

It is this equation which we will solve iteratively for the lithium halide crystals. Invoking the lattice symmetry, it is necessary to solve Eq. (7) for both a Li<sup>\*</sup> and an  $X^-$  lattice site.

#### B. Method of Solution

Equation (7) is to be solved using the analytic expansion techniques of Roothaan.<sup>6</sup> This method has been used previously to obtain solutions for the free Cl<sup>-</sup> ion,  $^{9,10}$  the Br<sup>-</sup> ion,  $^{11}$  and the F<sup>-</sup> ion.<sup>9</sup> In this method, one assumes the one-electron solution (dropping the subscript referring to the lattice site) to be of the form

$$\phi_n(\vec{\mathbf{r}}) = R_{nl}(r) Y_l^m(\theta, \phi) X(s) \quad . \tag{8}$$

In Eq. (8) the  $Y_i^{m}$ 's are the usual spherical harmonics and the X's are the spin functions. Then one assumes

$$rR_{nl}(r) = \sum_{j} C_{jnl} P_{jl}(r) ,$$

$$P_{jl}(r) = N_{lj}r^{l+1+A_{lj}} \exp(-Z_{lj}r) ,$$

$$N_{lj} = [(2Z_{lj})^{2+2A_{lj}+3}/(2l+2A_{lj}+2)!]^{1/2} .$$
(9)

The quantities  $A_{Ij}$  and  $Z_{Ij}$  are either set by variational techniques or otherwise determined. The  $C_{jnl}$  are determined by the variational technique.

In this method of solution the local-orbital equation [Eq. (7)] is used in integral form. If one uses the notation of Hartree, <sup>12</sup> one has for the 1*s* shell in Li<sup>+</sup>

$$\epsilon_{1s} = K_{1s} + 2F^{0}(1s, 1s) - G^{0}(1s, 1s) + V_{1s} \quad , \qquad (10)$$

and for the np shell of Cl<sup>-</sup>

TABLE V. The fluorine orbitals are given for both the free ion and the LiF crystal. The normalization chosen is  $\sum_{j} C_{n_{j}j}^{2} = 1$ .

	j	$C_{10j}$	C <sub>20</sub> j	C <sub>21 j</sub>
Free ion	1	0.778122	0.082182	0.068222
	<b>2</b>	0.124024	0.059816	0.343162
	3	0.591516	0.240537	0.676917
	4	-0.168621	0.026460	0.643332
	5	0.027204 -	-0.787052	-0.074111
	6	0.007684-	-0.553971	
	7	-0.004222-	-0.069100	
Crystal	1	0.778075	0.081802	0.066004
	<b>2</b>	0.123480	0.059759	0.342894
	3	0.591661	0.242616	0.647003
	<b>4</b>	-0.168725	0.021947	0.665854
	5	0.027306-	-0.780186	-0.126857
	6	0.007639-	- 0. 563 129	
	7	-0.004208 -	-0.067734	

	j	C <sub>10j</sub>	C <sub>20j</sub>	C <sub>30j</sub>	C <sub>21 j</sub>	C <sub>31 j</sub>	
Free ion	1	0.838748	0.274404	0.085654	0,035792	0.016475	
	<b>2</b>	0.016207	0.002254	-0.000169	0.341779	0.054640	
	3	0.322883	0.282570	0.097782	0.938861	0.338205	
	4	-0.313642	-0.25004	-0.120651	-0.001885	-0.145742	
	5	0.282767	-0.796700	-0.205331	0.019121	-0.544533	
	6	-0.112708	-0.384156	-0.276684	-0.008634	-0.694377	
	7	0.026265	-0.002011	0.508816	0.001600	-0.287094	
	8	-0.015685	-0.006 020	0.740437			
	9	0.004186	0.001676	0.206614			
Crystal	1	0.838394	0.274574	0,085598	0.035844	0.017550	
·	<b>2</b>	0.014900	0.001976	-0.000147	0.341382	0.047003	
	3	0.232146	0.282659	0.097129	0.938959	0.342845	
	4	-0.313982	-0.249998	-0.116000	-0.002 573	-0.163889	
	5	0.283122	-0.796624	-0.211443	0.020415	-0.488331	
	6	-0.112875	-0.384132	-0.271583	-0.009 943	-0.730251	
	7	0.026320	-0.002 035	0.502281	0.002570	-0.276374	
	8	-0.015722	-0,006065	0.744897	0.000757	0.070455	
	9	0.004197	0.001693	0.209809			

TABLE VI. The chlorine ion orbitals are given in free space and in the LiCl crystal. The normalization chosen is  $\sum_{j} c_{nlj}^2 = 1$ .

 $\epsilon_{np} = K_{np} + 2F^{0}(np, 1s) + 2F^{0}(np, 2s) + 2F^{0}(np, 3s) + 6F^{0}(np, 2p) + 6F^{0}(np, 3p) - \frac{1}{3}G^{1}(np, 1s)$ 

 $-\frac{1}{3}G^{1}(np,2s) - \frac{1}{3}G^{1}(np,3s) - G^{0}(np,2p) - G^{0}(np,3p) - 0.4G^{2}(np,2p) - 0.4G^{2}(np,3p) + V_{np} \quad . \tag{11}$ 

TABLE VII. The bromine ion orbitals are given in free space and in the LiBr crystal. The normalization chosen is  $\sum_{j} C_{nlj}^2 = 1$ .

j		$C_{10j}$	$C_{20j}$	$C_{30j}$	$C_{40j}$	$C_{21j}$	C <sub>31j</sub>	$C_{41j}$	C <sub>32j</sub>
Free ion	1	0.988316	0.318424	0.129 509	0.041 520	0,155636	0.055366	0.013738	0.051836
	<b>2</b>	0.144863	0.192586	0.076024	0.023708	0.963736	0.315855	0.096578	0.308338
	3	-0.015697	-0.729571	-0.292020	-0.091232	0.211547	0.181161	0.047802	0.542486
	4	0.012837	-0.554566	-0.430410	-0.153770	0.046484	-0.345795	-0.094146	0.766566
	5	-0.923362	-0.110747	-0.462644	0.204422	-0.008121	-0.858684	-0.323 529	0.142568
	6	0.023799	0.092369	0.663131	0.215529	0.003206	-0.083781	0.041937	
	7	-0.014589	-0.030025	0.228283	0.127586	-0.000493	-0.018095	0.725964	
	8	0.001036	-0.000439	0.209722	-0.680340	0.000260	0.008697	0.526995	
	9	-0.000573	0.00583	-0.012 192	-0.615244	-0.000109	-0.003821	0.261093	
	0	0.000200	-0.000239	0.004455	-0.140848				
Crystal	1	0.988347	0.318304	0.129256	0.041466	0.155643	0.055239	0.011531	0.051846
	<b>2</b>	0.144849	0.192578	0.075962	0.023818	0.963733	0.316026	0.100718	0.308304
	3	-0.015646	-0.729481	-0.291735	-0.091608	0.211546	0.180742	0.039172	0.542661
	4	0.012764	-0.554119	-0.429080	-0.152570	0.046515	-0.345198	-0.078004	0.766409
	5	-0.023037	-0.111981	0.457433	0.191645	-0.008166	-0.858995	-0.343122	0.142816
	6	0.032296	0.094364	0.66174	0.237821	0.003237	-0.083368	0.063582	
	7	-0.014347	-0.031014	0.223973	0,114294	-0.000 505	-0.018240	0.689343	
	8	0.001012	-0.000326	0.030226	-0,674324	0.000269	0.008768	0.587966	
	9	-0.000558	0.000511	-0.012527	-0.620659	-0.000118	-0.003840	0.198569	
	0	0.000194	-0.000213	0.004587	-0.140578				

j		<i>C</i> <sub>10<i>j</i></sub>	C <sub>20j</sub>	C <sub>30j</sub>	C <sub>40j</sub>	C <sub>50j</sub>	$C_{21j}$	C <sub>31j</sub>	C <sub>41j</sub>	C <sub>51j</sub>	C <sub>32j</sub>	$C_{42j}$
Free	1	0,908751	0.256507	0.095829	0.025364	0.013466	0.976380	0.210702	0.107499	0.050609	0, 196 383	0,098725
space	2	0.218448	-0.232535	-0.076394	-0.015542	-0,007873	0.200409	-0.018519	0.011767	0.007853	0.912755	0.485891
-	3	-0,205 193	-0.703736	-0.469050	-0.157342	-0.086688	-0.043688	-0.723071	-0.599550	-0.305746	-0.259236	-0.182102
	4	0.109253	0.196784	0.848575	0.338725	0.191636	0.033438	0.341377	0.658317	0.366594	0.229501	-0.407046
	5	-0.085398	-0.171069	0.044201	-0.083019	-0.052 020	-0.022569	-0.211386	0.364924	0.243941	-0.077976	-0.739719
	6	0.091798	0.197648	0.602650	-0.602 483	-0.476065	0,040734	0.386915	-0.200261	-0.700172	0.045486	-0.080518
	7	-0,151448	-0.332 446	- 0.065349	0.465561	0.633786	-0.035343	-0.338382	0.147047	0.027082	-0.017004	-0.040596
	8	0.156525	0,347255	0.130496	-0.449535	-0.210177	0.008267	0.808771	-0,203278	-0.450019		
	9	-0.085195	-0.191027	-0.075110	0.235682	0.522269	-0.002157	0.021385	0.004889	-0.128305		
	10	0.041026	0.092834	0.037911	-0.114011	-0.052250	0.000458	0.004578	-0.000928	-0.004053		
	11	-0.017712	-0.040211	-0.016649	0.049655	0.069422						
Crystal	1	0.908752	0,256 505	0.095823	0.025367	0.013703	0,976382	0,210746	0.107519	0.048190	0.196937	0.098880
	<b>2</b>	0.218451	-0.232 528	-0.076387	-0.015545	-0.008002	0.200405	-0.018 532	0.011769	0.007241	0.913723	0.485504
	3	-0.205 195	-0,703738	-0.469029	-0.157345	-0.088250	-0.043684	-0.723186	-0.599672	-0.289873	-0.257 433	-0.180381
	4	0.109252	0.196789	0.848546	0.338752	0.195118	0.033434	0.341406	0.685463	0,346285	0,227737	-0.409255
	5	-0.085398	-0.171073	0.044158	-0.083010	-0.053235	-0.022 565	-0.211356	0.346893	0.242377	-0.076910	-0.739059
	6	0.091796	0,197654	0.065702	-0.602602	-0.482 551	0.040726	0.386801	-0.199844	-0.707385	0.044681	-0.081473
	7	-0.151 445	-0.332451	-0.119456	0.465565	0.638540	-0.035335	-0.338247	0.146564	0.081461	-0.016668	-0.040443
	8	0.156521	0.347255	0.130618	- 0. 449 496	-0,201321	0.008265	0.080701	-0.203059	-0.466758		
	9	-0.085192	-0.191 020	-0.075176	0.235452	0,515780	-0.002 156	-0.021346	0.004794	-0.099269		
	10	0.041024	0,092821	0.037929	-0.113618	-0.029810	0.00457	0.004570	-0.000909	0.013855		
	11	-0.017711	-0.040202	-0.016649	0.049372	0.054941						

In this notation the term  $V_{nx}$  is to specify the term

$$V_{nx} = \langle nx | U_A | nx \rangle ,$$
  
$$U_A = -\sum_B' \frac{2Z_B}{|\vec{\mathbf{R}}_{B1}|} + 4\sum_{B,j}' \int \phi_{Bj}^2(\vec{\mathbf{r}}_2) |\vec{\mathbf{r}}_{12}|^{-1} d\vec{\mathbf{r}}_2 . \quad (12)$$

# **III. RESULTS**

Although the one-center integrals which occur in this theory could be evaluated analytically, the author has evaluated these integrals by numerical techniques using previously developed and tested computer codes. The multicenter integrals would need to be computed numerically in any event. Sufficient accuracy was maintained so that the oneelectron eigenvalues agreed with previously obtained values of 1 part in 1000 or better. In all cases the final one-electron orbitals agreed with previously obtained results within the self-consistency tolerance of 1 part in 1000 established for this calculation. This self-consistency requirement on the wave functions produced one-electron eigenvalues which were consistent to at least 1 part in 10 000. In testing these results one curious fact emerged: In the case of Cl<sup>-</sup> using the values of Z's and A's given by Watson and Freeman, <sup>10</sup> the resulting C's for the s levels obtained by the

TABLE IX. The free-ion one-electron energy parameters are given for the Li<sup>\*</sup>, F<sup>-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup> ions. The parameters for Cl<sup>-</sup> according to Refs. 9, 10, and 13 are also given. Ry are used.

Param- eter	Li <sup>+</sup>	F-	Br-	Ι-	C1-	C1- (Ref. 9)	C1- (Ref. 10)	C1- (Ref. 13)
E1e	- 5. 597	- 51.73	-980.1	-2354	-209.2	-209.0	-209.0	-209.0
$\epsilon_{2s}$		-2.159	-129.8	-359.1	-20.48	-20.46	-20.46	-20.46
$\epsilon_{3s}$			-19.07	-75.06	-1.473	-1.466	-1.471	-1.454
$\epsilon_{4s}$			-1.370	-13.84				
$\epsilon_{5s}$				-1.110				
€ <sub>2⊅</sub>		-0.3712	-116.4	- 330.7	-15.39	-15.39	-15.40	-15.39
$\epsilon_{3p}$			-14.27	-65.42	-0.3035	-0.3004	-0.3036	-0.2970
$\epsilon_{4p}$			-0.2767	-10.35				
$\epsilon_{5p}$				-0.2619				
$\epsilon_{3d}$			-5.761	-48.29				
$\epsilon_{4d}$				-4.249				

TABLE X. The one-electron energy parameters are given for the LiF, LiBr, and LiI crystals. Ry are used and the definition of the parameters is given in the text.

Crystal param– 		LiF	LiCl	LiBr	LiI
et Li <sup>*</sup> X <sup>-</sup>	am- er $\epsilon_1 s_1 s_1 s_1 s_1 s_1 s_1 s_1 s_1 s_1 s$	LiF -4.762 -52.62 -3.059 $36 \times 10^{-7}$ -1.274	L1C1 -4.910 -210.0 -21.16 -2.162 $11 \times 10^{-7}$ $18 \times 10^{-7}$ $17 \times 10^{-7}$ -16.07 -0.9965 $-155 \times 10^{-7}$	LiBr -4.957 -980.8 -130.4 -19.72 -2.024 $6 \times 10^{-7}$ $1 \times 10^{-7}$ 0.0 $5 \times 10^{-7}$ $2 \times 10^{-7}$ $-5 \times 10^{-7}$ -117.1 -14.92 -0.9325 $4 \times 10^{-7}$ $-4 \times 10^{-7}$	L11 -5.079 -2354. -539.6 -75.59 -14.36 -1.636 $24 \times 10^{-7}$ $10 \times 10^{-7}$ $-43 \times 10^{-7}$ $-92 \times 10^{-7}$ $-120 \times 10^{-7}$ $-255 \times 10^{-7}$ -340.2 -65.96 5 $-10.87-0.789615 \times 10^{-7}-37 \times 10^{-7}$
	$\epsilon_{3p5p} \\ \epsilon_{4p5p} \\ \epsilon_{3d3d} \\ \epsilon_{4d4d} \\ \epsilon_{3dAd} $			-6.408	$1608 \times 10^{-7}$ 268 × 10^{-7} - 48.81 - 4.769 4 × 10^{-7}

author were in poor agreement with those of Watson and Freeman, even though the resulting orbitals and one-electron eigenvalues were in good agreement. Since the physical quantities were in good agreement, no serious effort was expended in understanding the disagreement of the C's. It is obvious that this difference is due to computer coding differences and/or different computer operating systems. In fact, using our current codes, the values of the C's are somewhat dependent upon the compiler used.

In the case of Cl<sup>-</sup> and Br<sup>-</sup> the values of the A's and Z's given by Watson and Freeman were used.<sup>10,11</sup> In the case of Li<sup>+</sup>, F<sup>-</sup>, and I<sup>-</sup> the author determined the A's and Z's by a combination of scaling the Z's from one system to another and the variational theorem. No great effort was expended in minimizing the total system energy. It was considered sufficient to obtain good values for the one-electron eigenvalues and eigenvectors. Calculations were perfomed for the free ion as well as for the solids. A common set of A's and Z's was used for solidstate and free-ionic calculations. The values of the A's and Z's used are given in Tables I-III.

In the solid-state calculation, the detailed part of  $U_A$  was only constructed for the nearest neighbors; however, the point-ion part of the potential was considered exactly out to about four lattice constants. This degree of accuracy seems to be consistent with the use of an expansion linear in interatomic overlap.

In Table IV the values of the C's for the Li<sup>\*</sup> ion in free space and the several crystals are given. In Tables V–VIII the C's for the free ion and the Li X crystals are given for the F<sup>-</sup>, Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup> ions. The normalization chosen is that

$$\sum_{j=1}^{m} C_{nlj}^2 = 1 \quad . \tag{13}$$

In Table IX the one-electron eigenvalues are given for the various free ions. In the case of  $Cl^-$  we also present the free-ion one-electron eigenvalues given by other authors.<sup>10,11,13</sup> It is seen that a good degree of agreement is achieved among the several calculations.

Finally, in Table X the one-electron energy parameters are presented for the several crystals. These are not the eigenvalues of Eq. (7), the localorbital equation, but the expectation values of the Fock operator, Eq. (1), for the solutions to Eq. (7) accurate to first order in interatomic overlap; thus one defines

$$\boldsymbol{\epsilon}_{nl,n'l'} = \delta_{ll'} \langle nl | F | n'l' \rangle \quad . \tag{14}$$

It is noted that except for the inner orbitals these one-electron expectation values have no direct physical meaning since the translational symmetry of the lattice is neglected here, and hence all band effects are neglected. Thus if one requires energy bands, it is necessary to use these wave functions in a proper energy-band calculation. Such a calculation has been performed with considerable success by the author for  $\text{LiC1}^{7,8}$  and a calculation is currently under way for LiBr.<sup>14</sup>

In conclusion, it has been possible to obtain selfconsistent solutions to the local-orbital Hartree-Fock equations for the lithium halides. These solutions are useful for energy-band calculations and defect calculations.<sup>15</sup> The amount of computer time required to complete such a calculation varies from about 10 min of IBM 360-75 time for LiF to 3 h for LiI. It is also seen from Tables IV-VIII that the principal effect of the lattice is to cause distortions of the outer-shell orbitals. The inner shells are essentially stable. <sup>†</sup>Research supported in part by the Advanced Research Projects Agency under Contract No. SD-131.

<sup>1</sup>W. H. Adams, J. Chem. Phys. <u>34</u>, 89 (1961); <u>37</u>, 2009 (1962).

<sup>2</sup>T. L. Gilbert, in *Molecular Orbitals in Chemistry*, *Physics, and Biology*, edited by P. O. Löwdin and B. Pullman (Academic, New York, 1964).

<sup>3</sup>P. W. Anderson, Phys. Rev. Letters 21, 13 (1968).

<sup>4</sup>A. B. Kunz, Phys. Status Solidi 36, 301 (1969).

<sup>5</sup>D. W. Hafemeister and W. H. Flygare, J. Chem.

Phys. <u>43</u>, 795 (1965).

<sup>6</sup>C. C. J. Roothaan, Rev. Mod. Phys. <u>23</u>, 69 (1951); <u>32</u>, 179 (1960).

<sup>7</sup>A. B. Kunz, J. Phys. C <u>3</u>, 1542 (1970).

<sup>8</sup>A. B. Kunz, Bull. Am. Phys. Soc. <u>15</u>, 369 (1960), Abstract No. KF11.

<sup>9</sup>P. S. Bagus, Phys. Rev. 139, A619 (1965).

<sup>10</sup>R. E. Watson and A. J. Freeman, Phys. Rev. <u>123</u>, 521 (1961).

<sup>11</sup>R. E. Watson and A. J. Freeman, Phys. Rev. <u>124</u>, 1117 (1961).

<sup>12</sup>D. R. Hartree, *The Calculations of Atomic Structures* (Wiley, New York, 1957).

<sup>13</sup>D. R. Hartree and W. Hartree, Proc. Roy. Soc.

(London) A156, 45, (1936).

<sup>14</sup>N. O. Lipari (unpublished).

 $^{15}\mbox{W}.$  B. Fowler and A. B. Kunz, Phys. Status Solidi (to be published).

PHYSICAL REVIEW B

VOLUME 2, NUMBER 6

15 SEPTEMBER 1970

# Thermal Expansion and Phonon Frequency Shifts in Nonprimitive Lattices

E. Leo Slaggie

Gulf General Atomic Incorporated, San Diego, California 92112 (Received 30 January 1970)

Expressions have been derived in the quasiharmonic approximation for the change in normal-mode frequencies associated with thermal expansion in a nonprimitive lattice. The work is formulated in terms of coupling parameters for an undistorted lattice with cubic anharmonicity. Symmetric finite-strain parameters and appropriate internal strains are introduced in such a manner that the formulation exhibits explicitly the invariance of the crystal potential energy and normal-mode frequencies under rigid-body rotations. As a numerical application, the coefficient of linear expansion and the phonon frequency distributions at 300 and 800 °K have been calculated for zirconium hydride with a short-range central-force model including thirdnearest-neighbor forces.

## I. INTRODUCTION

The temperature dependence of phonon frequencies in a crystal can be conveniently divided into two parts: (1) a quasiharmonic part associated with thermal expansion, which results in a change of interatomic distances and a corresponding change in the harmonic force constants, and (2) a part arising directly from terms in the potentialenergy expansion of higher order than quadratic in powers of displacements of the atoms from their mean positions. We shall refer to the latter contribution, which is present even if the crystal is held at constant volume, as a pure anharmonic effect. In this paper we are concerned with the effects of thermal expansion on phonon frequencies. This problem was considered in a plausible but nonrigorous manner by Maradudin and Fein<sup>1</sup> as part of a study of anharmonic effects on neutron scattering by Bravais crystals. Further justification for their result was later provided by Maradudin<sup>2</sup> in a separate study also limited to Bravais crystals, in which explicit expressions for thermal deformations and frequency shifts in terms of force

constants were given. Neutron scattering and thermal expansion in more general anharmonic crystals have been studied by Cowley.<sup>3</sup> However, in these treatments the introduction of finite-strain parameters<sup>4</sup> was carried out in an approximate manner and internal strains (relative displacement of sublattices) were not included. It turns out that it is possible to introduce finite-strain parameters rigorously, so that at all stages of the calculation the phonon frequencies are manifestly invariant under rigid-body rotation of the crystal (in the absence of external forces or fields). Constructing the formalism in this manner is not only desirable from the standpoint of elegance but is also essential in the event that an extension to higher orders of approximation becomes necessary - for example, over wide temperature ranges for a strongly anharmonic crystal.

It is the purpose of the present paper to study thermal expansion and related phonon frequency shifts in nonprimitive lattices, employing a rigorous introduction of finite-strain parameters and appropriate internal strains. The calculation fol-