# Anomalies in the vacuum uv absorption spectrum of Yb<sup>3+</sup> in CaF<sub>2</sub>

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The vacuum uv absorption spectrum of Yb<sup>3+</sup> in CaF<sub>2</sub> shows marked differences from the consistent pattern of the other rare-earth ions. The differences suggest that the interaction between the ion and the crystal is unusually weak, possibly due to a contraction in the mean-square radius of the excited 5*d* electronic wave function.

## INTRODUCTION

In a sequence of previous papers,<sup>1-4</sup> we have studied the vacuum uv absorption spectra of a number of triply ionized rare-earth ions introduced as a small impurity into a  $CaF_2$  host crystal. The absorption is due to electronic transitions of the type  $4f^n + 4f^{n-1}5d$ , together with associated phonon side bands. Although the spectra differ in detail, the general features are very similar for all the rare-earth ions from  $Ce^{3*}$  to  $Tm^{3*}$ . The purpose of this Comment is to report on some marked changes from the usual pattern in the spectrum of the last rare-earth ion,  $Yb^{3*}$ , and to offer a possible explanation.

### EXPERIMENTAL

The crystals used in the present investigation were obtained from Optovac Inc. The impurity was added to the melt in the trifluoride form (YbF<sub>3</sub>). Care was taken to ensure that the crystals were oxygen free. Under such circumstances, one expects the triply ionized rare-earth sites to be charge compenstated by an F<sup>-</sup> ion located in the neighboring otherwise empty cube ( $C_{4v}$ point symmetry). Some centers with nonlocal charge compensation ( $O_h$  point symmetry) are also possible.

The experimental setup for the absorption measurements was the same as described previously.<sup>3,4</sup> The light sources in the present work were homemade rf-excited noble-gas lamps. Emission from intentionally introduced impurities provided an accurate wavelength calibration. The optical resolution in the present measurements was better than 0.4 Å.

#### **RESULTS AND DISCUSSION**

The absorption spectra due to  $Yb^{3+}$  are shown in Fig. 1 at two different concentrations. Curves (a) and (b) are for an impurity concentration of 0.05 at.% and curve (c) for a concentration of 0.005 at.%. While curve (a) was taken at room temperature, curves (b) and (c) were recorded at liquid-helium temperature (4.2 °K). For comparison, we show in Fig. 2 a similar set of curves for  $Tm^{3+}$  which is typical of the other rare-earth ions in  $CaF_2$ . (Note the change in wavelength scale.)

The qualitative features of the spectra in Figs. 1 and 2 differ in two important ways: (i) the zerophonon lines in Fig. 1 are closely grouped together with little evidence of phonon structure, while in Fig. 2 the zero-phonon lines are widely separated with well-developed phonon side bands; (ii) at room temperature, the zero-phonon lines are still visible in Fig. 1, while they are totally washed out in Fig. 2.

The usual explanation for the spectra typified by Fig. 2 is that the wave function for the 5*d* electron in the excited  $4f^{n-1}$  5*d* configuration has a large amplitude outside the  $5p^6$  closed shell. The  $5p^6$  closed shell normally screens the inner  $4f^n$  electrons in the ground state, allowing it to interact only weakly with the host crystal. For this reason,  $4f^n - 4f^n$  type transitions remain well defined, even at room temperature. On the other hand, the 5*d* electron in the excited state is largely unscreened. This accounts for the strong crystal-field splitting of the excited state evident in Fig. 2 (~6000 cm<sup>-1</sup>), the well-developed phonon structure, and the smearing-out of structure at higher temperatures.

The different nature of the Yb<sup>3+</sup> spectrum in Fig. 1 suggests that the interaction between the 5d electron and the crystal is unusually weak. It may be that a contraction occurs in the 5d wave function relative to the other rare-earth ions so that it lies largely within the screening influence of the  $5p^6$  shell. Whether the effect is present in the free ion, or is a result of crystal-field interactions must await detailed calculations.

Our interpretation of the peaks between 1360 and 1440 Å in Fig. 1 as a closely spaced group of crystal-field-split electronic transitions is supported by a more detailed study of the spectrum. The lowest excited state of the Yb<sup>3+</sup> free

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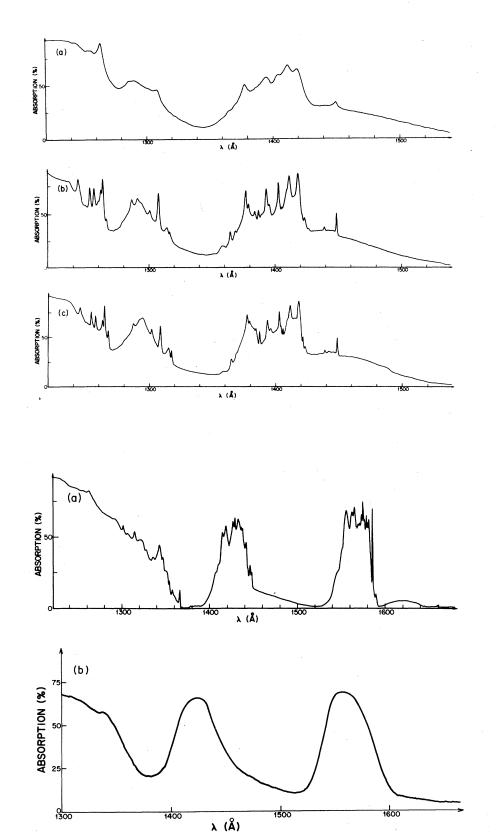


FIG. 1. Absorption spectra of CaF<sub>2</sub>: Yb<sup>+3</sup> in the 1220-1540-Å region, for two crystals: (a) impurity concentration of 0.05-at.% sample of 0.32-mm thickness; (b) same as (a); (c) impurity concentration of 0.005-at.% sample of 1.6mm thickness. Curve (a) taken at room temperature, curves (b) and (c) at  $4.2 \,^{\circ}$ K.

FIG. 2. Absorption spectra of CaF<sub>2</sub>: Tm  $^{3+}$  in the 1220-1680-Å region for two crystals with impurity concentration of 0.05 at.%. (a) sample of 0.35-mm thickness; measurement at 4.2 °K. (b) sample of 0.47mm thickness; measurement at room temperature.

ion reported by Brewer<sup>5</sup> is the  $4f^{12} 5d 4H_{9/2}$  at 88195 cm<sup>-1</sup>. The expected number of levels for a  $J = \frac{9}{2}$  state at a crystal site with  $C_{4v}$  symmetry is  $5.^{6}$  It is therefore gratifying to find five main peaks in the above wavelength interval. The measured crystal field splittings for the  $4f^{12}$  $5d \, 4H_{9/2}$  term listed in Table I are about a factor of 10 smaller than the corresponding  $4f^{n-1} 5d$ term splittings for the other rare-earth ions, and a factor of 5 larger than the typical splittings in the  $4f^n$  configuration.<sup>8</sup> The 5d electron therefore appears to be unusually well screened in Yb<sup>3+</sup> compared with the other rare-earth ions, but the screening is not as complete as for the 4f shell. The other structure below 1320 Å probably corresponds to a number of other electronic states of the free ion beginning at 94 636 cm<sup>-1</sup> as reported by Bryant.<sup>7</sup> The measurements cannot be extended to shorter wavelengths because the CaF, host crystal becomes opaque.

In summary, our data suggest that the interaction between the  $CaF_2$  crystal and the 5d electron of excited Yb<sup>3+</sup> is much weaker than in the other rare-earth ions. The weaker interaction may be evidence for a contraction in the mean square radius of the 5d wave function.

TABLE I. Tetragonal  $(C_{4\nu})$  crystal-field-split levels of the  $({}^{4}H_{9/2}) 4f^{12}5d$  term in Yb<sup>3\*</sup>.

λ (Å)	Energy (cm <sup>-1</sup> )	$\Delta \nu$
1418.2	70 512	
1411.5	70 847	335
1402.8	71 286	439
1393.3	71 772	486
1377.2	72 611	839

Note added in proof. Relativistic Hartree-Fock calculations have now been done by us for the  $Yb^{3+}$  free ion in the  $4f^{12}$  5*d* configuration. The results do not show any evidence of contraction of the 5*d* orbital relative to the other rare earth ions in the corresponding  $4f^{n-1}$  5*d* configurations. If the suggested contraction is real, it could still be the result of configuration mixings induced by the crystal field.

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