Conduction-electron spin and orbital polarization effects in rare-earth Al₂ compounds

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Nuclear magnetic resonance of the rare-earth nuclei in rare-earth Al_2 compounds (R = Nd, Dy, Tb, Er) is reported. Separation of the hyperfine field into its various components is made and we deduce the variation of the self-polarization field with rare earth. The observed behavior indicates strong dependence on rareearth orbital moment, this is accounted for including both spin and orbital polarization of the conduction bands. From comparison with the transferred hyperfine field at the Al nuclei we conclude that the orbital polarization does not extend to these sites. The consequences of these results in terms of the magnetic coupling in $R Al_2$ compounds are considered.

I. INTRODUCTION

The origin of magnetic coupling in rare-earth intermetallic compounds has become the subject of much recent interest with the discovery of such phenomena as cooperative Jahn-Teller effects¹ and anisotropic exchange terms in the magnetization.² Inherent in such work is an understanding not only of the ion-ion coupling but of the local ion-conduction-electron exchange and its consequences in terms of the more classical Rudermann-Kittel-Kasuya-Yosida (RKKY) approach to magnetism. Most conventional techniques for the study of magnetism are sensitive to the macroscopic environment and do not reflect, except indirectly, effects local to the magnetic ion. Nuclear magnetic resonance is a technique which, in principle, is more sensitive to the local rather than general internal magnetic field in a magnetic system and is thus a microscopic probe. We have endeavored to study, via NMR, the local rareearth 4*f*-electron-conduction-electron exchange in magnetically ordered RAl₂ compounds. A study has already been made³ of the local hyperfine field at the nonmagnetic Al site and through combination of the two sets of results we hope to present some picture of the processes of magnetic coupling in these compounds.

The hyperfine field at the nucleus of a rare-earth ion in an ordered system is usually assumed composed of three terms,

$$H_N = H_{4f}^* + H_{sp} + H_{np} .$$
 (1)

 H_{4f} represents the field created by the 4f electrons and is proportional to the magnetic moment of these electrons. In consequence this term is sensitive to the crystalline electric field and the molecular field in the environment.⁴ H_{nn} is the so-called transferred hyperfine field arising from polarization of the conduction electrons⁵ (spin and orbital) by neighbor and distant magnetic ions

transferred to the nucleus under study. The spatial variation of this polarization is generally assumed to follow the RKKY model.⁶ H_{sp} is the "self-polarization" field arising from polarization of the conduction electrons by 4f-electron-conduction-electron exchange which consequently reflects itself through such mechanisms as the contact hyperfine field (s electrons), core polarization and/or orbital field (d or p electrons), etc.⁷ It is this term which reflects the character of the localized 4f-electron-conduction-electron exchange. In terms of order of magnitude, as we will discuss in some detail later, the 4f field is typically several megagauss, the transferred hyperfine field is some tens of kilogauss and $H_{\rm so}$ is several hundreds of kilogauss. Given that the self-polarization field is typically 10% of the total hyperfine field, only a technique such as NMR gives sufficient precision to permit accurate determination of H_{sp} . Other microscopic techniques such as the Mössbauer effect are typically an order of magnitude less precise in hyperfine-field determination.

In the following we report the results of nuclearmagnetic-resonance measurements at the rareearth (R) nucleus in RAl_2 compounds. We separate out the various terms given in Eq. (1) and show that it is necessary to introduce orbital polarization effects in H_{sp} . The transferred hyperfine field at the Al sites is found to be explained assuming a purely spin polarization of the conduction band. The consequences of these two results are discussed in terms of the magnetic coupling in RAl_2 compounds.

II. RESULTS AND METHOD OF INTERPRETATION

Samples were prepared following the method outlined previously.⁴ The rare-earth compounds prepared and studied were NdAl₂, DyAl₂, TbAl₂, and ErAl₂. GdAl₂ has been studied previously.⁷

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TABLE I. Experimental resonance frequencies (MHz) and equivalent hyperfine field H_N (kOe) for Pr, Nd, Gd, Dy, Tb, and Er in Al₂ compounds (Probtained from specific-heat measurement). Values of hyperfine parameter A from Ref. 9 [kOe/(unit of J_Z)]. H_{nn} is the transferred hyperfine field in kOe, and H_{4f} the 4f hyperfine field (see text). The self-polarization field is obtained by subtracting H_{nn} and H_{4f} from H_N . The values in parentheses are those obtained for PrAl₂ and NdAl₂ with a spin-exchange model (see text).

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	$PrAl_2$	NdAl ₂	GdAl_2	DyAl ₂	$TbAl_2$	ErAl ₂	
v _{'res}		-786 ± 0.5	20.6	1183.5 ± 0.5	3248 ± 1	-890 ± 1	
H_N	2918	3373 ± 2	-160.9 ± 0.3	5917.5 ± 1	3215.8 ± 1	7295 ± 8	
A	841 ± 8	946 ± 9	94	762 ± 15	525 ± 5	1027 ± 9.8	
H_{4f}	2632 ± 24 (3140±24)	2568 ± 24 (3366 ± 24)	-332±6	5285 ± 102	2949 ± 28	6246 ± 60	
H	5.6	7.2	-26.7	-18.5	-22.2	-10.0	
H _{sp}	282 ± 180 (-228 ± 108)	798 ± 203 (0 ± 90)	198 ± 50	651 ± 247	289 ± 86	1050 ± 268	

Spin-echo spectra of the ¹⁴³Nd, ¹⁶³Dy, ¹⁵⁹Tb, and ¹⁶⁷Er were observed at 1.4 K for the resonance frequencies given in Table I. These frequencies are appropriate to the $\frac{1}{2} \leftrightarrow -\frac{1}{2}$ nuclear transition.

The resonance frequencies were converted into magnetic field using the gyromagnetic ratios published in NBS tables⁸—it should be noted that these values can differ significantly from those most commonly used, which are given by the Varian Company. The resultant hyperfine fields are also quoted in Table I. In order to deduce $H_{\rm sp}$ from the total hyperfine field we must evaluate H_{4f} and $H_{\rm nn}$. Bleaney⁹ has shown that H_{4f} is related to the saturation moment of the 4f electron, $g_J \mu_B \langle J_Z \rangle$, through

$$H_{4f} = A \left\langle J_{\mathbf{Z}} \right\rangle, \tag{2}$$

where the values of A, the "hyperfine parameter," have been given for insulators in Ref. 9. The parameter A is in fact the hyperfine splitting parameter measured directly in electron paramagnetic resonance experiments where the effect of the nucleus on the 4f electron is studied rather than the inverse as in the case of NMR. In Fig. 1 we present the results available in the literature¹⁰ for Er and Dy in metallic and insulator hosts (values for Nd and Tb are not available). It can be seen that A is remarkably constant independent of both lattice parameter of the host and character of the environment, e.g., metal or insulator. These results, we believe, justify the use of the values of A given in Ref. 9 equally for the case of our intermetallic compounds.

In order to apply Eq. (2) it is necessary to have

values for $\langle J_Z \rangle$. If one admits the presence of orbital and spin polarization of the conductionband electrons, these terms will be included in the magnetic moment measured by magnetization experiments. The "bare" value of $\langle J_Z \rangle$ required for Eq. (2) must thus be deduced in a self-consistent manner. Since Gd carries no orbital moment, the saturation moment must include only the pure $\langle J_{\mathbf{Z}} \rangle$ value plus the results of spin polarization of the conduction electrons. This latter is thus estimated² to be $(+0.2 \pm 0.05)\mu_B$. There exists no rare earth with purely orbital moment so that one cannot obtain a value for the orbital polarization of the conduction bands. However, by polarized neutron diffraction, Boucherle¹¹ has found a total polarization of $(0.66 \pm 0.15)\mu_B$ in HoAl₂. Assuming that the spin polarization is



FIG. 1. Values of A (Ref. 9) for Dy^{3+} and Er^{3+} in various metallic and insulating materials.

proportional to $\langle S_Z \rangle$ one can estimate the spin polarization for HoAl₂ and thus, by subtraction, the orbital contribution. Combining these two results we write the measured rare-earth saturation moment in the RAl₂ series $\langle \langle \mu_S \rangle \rangle$ in terms of the purely 4f value $\langle g_J \langle J_Z \rangle \rangle$ in the form

$$\langle \mu_{s} \rangle = \langle J_{z} \rangle [g_{J} + \frac{0.2}{3.5} (g_{J} - 1) + (2 - g_{J}) \times 0.0826] \mu_{B},$$
(3)

where the second term in the square brackets represents the spin polarization proportional to $\langle S_Z \rangle [\langle S_Z \rangle = (g_J - 1) \langle J_Z \rangle]$ and the third represents the orbital polarization proportional to $\langle L_Z \rangle$ $[\langle L_Z \rangle = (2 - g_J) \langle J_Z \rangle].$

A problem arises for the light rare-earth compounds. For NdAl₂, polarized neutron results¹¹ suggest a polarization of $(-0.14\pm0.04)\mu_B$. This result is inconsistent with the prediction of the above formula and could be explained consistently with GdAl₂ (assuming spin only exchange terms) by an exchange constant for NdAl₂ approximately 2.6 times the value for GdAl₂. We have chosen to take both values into account in the following so that the predictions of a spin and orbital model and a pure spin model for PrAl₂ and NdAl₂ will be considered.

In Table II we show the measured saturation moment values, the values deduced for $\langle J_Z \rangle$ and hence $\langle L_Z \rangle$ and $\langle S_Z \rangle$. For NdAl₂ and PrAl₂ we include the values found if we ignore orbital effects and assume spin polarization of $-0.14 \mu_B$ for NdAl₂. These values are shown in parentheses in both tables. Using values of $\langle J_Z \rangle$ given in Table II we have evaluated the 4*f* hyperfine fields H_{4f} given in Table I.



FIG. 2. Plot of the values deduced for $H_{\rm sp}$ as given in Table I (\bigcirc). \Box Fit obtained assuming orbital and spin contributions to $H_{\rm sp}$ (fitting points DyAl₂ and GdAl₂). \bullet Fit following a spin polarization only model. Δ experimental and \blacksquare fit for PrAl₂ and NdAl₂ assuming spin-only polarization and exchange 2.6 times the GdAl₂ value (see text).

The transferred hyperfine field H_{nn} can be generally obtained by measuring the hyperfine field at the nucleus of a nonmagnetic rare-earth ion (e.g., La, Y, Lu) substituted for a magnetic ion.¹² A detailed study has been made of H_{nn} in various intermetallic Gd-based compounds⁵ from which one can estimate the value to be 27 kOe for GdAl₂. The values for other rare-earths can be estimated by assuming H_{nn} varies, following the molecular field model,⁴ as $\langle S_Z \rangle$, they are given in Table I. Although the simplified assumption of proportionality to $\langle S_Z \rangle$ ignores orbital effects¹³ the magnitude of the values of H_{nn} is, in all cases, small with respect to the errors induced by lack of precise knowledge of A.

	$PrAl_2$	NdAl ₂	$GdAl_2$	$DyAl_2$	TbAl ₂	$ErAl_2$
gj	<u>4</u> 5	<u>-8</u> 11	2	<u>4</u> . 3	$\frac{3}{2}$	<u>6</u> 5
$\mu_{\boldsymbol{S}}(\mu_{\boldsymbol{B}})$	2.88 ± 0.05^{a}	2.45 ± 0.02^{b}	7.2 ± 0.05 ^c	9.89 ± 0.1 ^c	8.90 ± 0.05^{d}	7.9 ± 0.1^{e}
$\langle J_Z \rangle$	3.13 ± 0.19 (3.74 ± 0.1)	2.72 ± 0.19 (3.56 \pm 0.07)	3.5	6.94 ± 0.19	5.62 ± 0.11	$\textbf{6.08} \pm \textbf{0.2}$
$\langle L_Z \rangle$	3.76 (4.48)	4.70 (6.16)	0	4.65	2.81	4.86
$\langle S_Z \rangle$	-0.63 (-0.75)	-0.74 (-0.97)	3.5	2.31	2.81	1.22

TABLE II. The Landé g factors and measured saturation moments for the various compounds. $\langle J_Z \rangle$ values were calculated using Eq. (3). Values for PrAl₂ and NdAl₂ in parentheses are those obtained assuming only spin polarization of the band.

^a Reference 22.

^b Reference 23.

^c Reference 24.

^d Reference 25.

^e Reference 26.

Values of H_{sp} determined by subtraction of $H_{4f}+H_{nn}$ from the measured total hyperfine field are given in Table I. It should be noted that the result for $PrAl_2$ does not come from NMR measurement, we have used the value for the total hyperfine field deduced from measurement of the nuclear specific heat.¹⁴ For the sake of later discussion and to emphasize pictorially the behavior, the deduced values of H_{sp} are shown graphically in Fig. 2.

III. DISCUSSION

A. Classical model

In the simplest model,¹⁵ assuming s and d conduction bands polarized by the spin-only part of the exchange interaction, the self-polarization field is given by

$$H_{\rm sp} = A(Z) \frac{J_{\rm s}\chi_{\rm s}}{g_e \mu_{\rm B}} \langle S_{\rm z} \rangle - \alpha_d \frac{J_d \chi_d}{g_e \mu_{\rm B}} \langle S_{\rm z} \rangle , \qquad (4)$$

where J_s and J_d are the 4f-electron-s-electron and 4f-electron-d-electron exchange parameters, χ_s and χ_d are the band susceptibilities, and A(Z)and α_d are the contact and core-polarization hyperfine fields per unit polarization of the conduction band.¹⁶ This form neglects *d*-electronnucleus dipole-dipole terms which have been shown via transferred hyperfine field studies to be small.¹³ From Eq. (4) it can be seen that the self-polarization field should vary across the rare-earth series as $\langle S_z \rangle$. In Fig. 2 we show the behavior of H_{sn} predicted using values of $\langle S_z \rangle$ from Table II and fitting to the hyperfine field at the Gd nucleus in GdAl₂ (any possible nonspin effects will be absent for Gd). The predicted behavior deviates significantly from experimental results. It should be emphasized that we have ignored variations in A(Z), α_d , J_s , and J_d across the rare-earth series; we justify this on the basis that there does not appear to exist in the published literature any evidence for dramatic variations.

The form of H_{sp} given in Eq. (4) arises through consideration of only the spin part of the exchange interaction. When considering d electrons and non-S-state rare-earth ions one must take into account orbital polarization,¹³ Orbitally polarized d electrons will give rise to an orbital field at the nucleus in exactly the same way as we assume 4felectrons do⁹; this field can be the dominant field for 4f electrons. We therefore assume that H_{sp} can be written

$$H_{\rm sp} = A' \langle S_{\mathbf{Z}} \rangle + B \langle l_{\mathbf{Z}} \rangle, \tag{5}$$

where A' and $B\langle l_z \rangle$ are determined from experiment. $\langle l_z \rangle$ is the average orbital polarization of the *d* band arising from an exchange energy of

the type

$E = J_d \langle L_Z \rangle l_Z,$

and hence one expects the term $B \langle l_z \rangle$ to vary as $\langle L_z \rangle$. In Fig. 2 we have plotted the results of fitting Eq. (5) to experimental results for GdAl, $\langle l_z \rangle$ equals zero since $\langle L_z \rangle$ equals zero) and DyAl₂. Allowing for the experimental errors, the fit between theory and experiment is good. The principal terms neglected in obtaining this fit, we repeat, are spin-spin¹³ and anisotropic-exchange effects¹⁷ from the d and s electrons. Development of a detailed theory including these terms is in progress.¹⁸ Also included in Fig. 2 are the results for Nd and Pr, neglecting orbital polarization as mentioned previously. The results for the light rare earths Nd and Pr fit a pure spin model [Eq. (4)] with Gd if we allow the exchange to be enhanced by a factor of approximately 2.5. This factor is identical to that required to bring the results for the transferred hyperfine field at the Al site into agreement for the light rare earths (Sec. IIIC). The implications of this and the apparent absence of orbital polarization for Nd and Pr will be discussed in a further publication.

B. Estimation of $\langle l_z \rangle$ for the *d* band

The orbital field produced at the rare-earth nucleus by a polarized d band can be written⁹

$$\langle H_d \rangle = -2\beta \langle r_l^{-3} \rangle \langle l_Z \rangle.$$
(6)

In fitting to results in Fig. 2 in Sec. III A we assumed $\langle l_{\mathbf{z}} \rangle$ proportional to $\langle L_{\mathbf{z}} \rangle$ by considering the orbital part of the 4f-electron-conduction-electron exchange. From knowledge of $\langle H_d \rangle$ for each ion we can use Eq. (6) to determine the equivalent value of $\langle l_z \rangle$. We have calculated a value for $\langle r_{1}^{-3} \rangle$ for the 5d electron of Gd using a Hartree-Fock-Slater atomic wave function.¹⁹, The sensitivity of $\langle r_l^{-3} \rangle$ to the wave function close in to the nucleus suggests that this value will not vary much in going to the metallic environment. Furthermore, since 5d wave functions are not available for ions other than Gd we assume that $\langle r_l^{-3} \rangle$ varies as does $\langle r^{-3} \rangle$ for the 4*f* electron across the rareearth series.²⁰ As an example, using $\langle H_d \rangle$ deduced for Er in ErAl₂, we find $\langle l_z \rangle$ equal to 0.53 ± 0.17 . Scaling via proportionality of $\langle L_z \rangle$ and $\langle r_i^{-3} \rangle$ we estimate $\langle l_Z \rangle$ for Ho in HoAl₂ to be 0.6±0.18 to be compared with the value of 0.55 ± 0.15 obtained from polarized neutron diffraction¹¹ after subtraction of spin polarization.

The general conclusion which must be drawn from measurements of the hyperfine field at the rare-earth nucleus is that, despite some problems associated with the absolute magnitude of the

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orbital polarization present, a model assuming spin polarization alone is grossly inadequate (Fig. 2).

C. Transferred hyperfine field

If the RKKY model can be assumed to apply equally to spin and orbital polarization in ordered ferromagnetic compounds, one expects the orbital polarization to be "transmitted" to neighboring atoms around the rare-earth ion. Dunlap et al.¹³ have suggested that in order to understand the hyperfine field at the Al nucleus in RAl₂ compounds, orbital contributions must be taken into account. This is a good test for such an effect since Al, carrying no intrinsic electron magnetic moment, finds itself purely in a transferred hyperfine field (H_r) . Since the transferred hyperfine field is sensitive to both spin and orbital terms, a plot of H_T measured at the Al nucleus as a function of rare earth should not a priori vary simply as $\langle S_z \rangle$ for the rare earth. The measured behavior³ is shown in Fig. 3. Unfortunately, in fitting to these results Dunlap et al. assumed the magnetic moment for the rare-earth ion to be given by $g_J \langle J \rangle \mu_B$, i.e., the maximum value in the absence of crystal-field and molecular-field effects. The actual values can be significantly reduced² and using the correct values of $\langle J_{Z} \rangle$ one obtains, using a spin-polarization-only model $[\langle S_{\mathbf{Z}} \rangle$ equals $(g_{\mathbf{J}} - 1) \langle J_{\mathbf{Z}} \rangle]$ the fit shown in Fig. 3; considering only the heavy rare earths initially one sees little deviation from experiment suggest-



FIG. 3. Measured transferred hyperfine field H_T at the Al sites in RAl_2 compounds (A), results taken from Ref. 3. The open circles represent the results of fitting a model involving rare earth ($\langle S_z \rangle$) only. Values for $\langle S_z \rangle$ taken from Table II. For $PrAl_2$ and $NdAl_2$ the solid squares give the results obtained if the exchange is enhanced by a factor of 2.6 (see text).

ing that the transferred hyperfine field at the Al nucleus depends essentially on spin polarization of the conduction electrons. It is significant that for the lighter rare earths the spin model does not seem to work (at least assuming polarization only proportional to $\langle S_{\mathbf{z}} \rangle$). An orbital contribution, being of opposite sign, would further destroy the agreement and one is tempted to suggest that a plausible explanation would be absence of orbital, but increase of s exchange effect. Such a conclusion would also be consistent with the neutrondiffraction results¹¹ and one of the interpretations possible of the self-polarization field for the light rare earths. However, it is hard to see a simple justification for the neglect of orbital polarization for the light rare earths and its inclusion for the heavy rare earth.

The apparent validity of the spin-only model for the transferred hyperfine field despite the presence of significant orbital polarization at the rareearth sites appears to demonstrate a breakdown of the RKKY model. However, in justice to the RKKY approach, it is unfair to try to apply it to a spatially nonuniform *d*-banded material. A semiquantitative explanation of the transferred hyperfine field can be advanced if we study the results of band structure calculations²¹ for dialuminide compounds. Results for LaAl, and LuAl₂ clearly indicate the absence of d electrons in the Wigner-Seitz sphere around the Al site, but predominance of their character at the rare-earth site. Thus, conclusion that orbital polarization is absent at the Al nucleus is completely consistent with the absence of d electrons in the localband character.

Before concluding this section, we will compare the results of the transferred hyperfine field at the Al nucleus and the field at the Gd nucleus in $GdAl_2$. Since orbital polarization is absent in $GdAl_2$ there should presumably be correlation between these two fields. At the Gd nucleus the field is +198 kOe and -47 kOe at the Al nucleus. In the absence of d electrons around the Al we have

$$H_{T}(\mathrm{Al}) \approx A_{\mathrm{Al}}(Z) \langle \sigma_{\mathrm{Al}} \rangle , \qquad (7)$$

where $\langle \sigma_{AI} \rangle$ represents the *s*-electron polarization at the Al site. The ratio is then

$$\frac{H_{\rm sp} \,({\rm Gd})}{H_{T}({\rm Al})} \approx \frac{A_{\rm Gd} \,(Z) \langle \sigma_{\rm Gd} \rangle - \alpha_{d} \langle \sigma_{\rm Gd}' \rangle}{A_{\rm Al} \,(Z) \langle \sigma_{\rm Al} \rangle} \,, \tag{8}$$

where $\langle \sigma'_{Gd} \rangle$ is used to denote the spin polarization of the *d* band. The *d* term is of opposite sign to $A_{Gd}(Z)\langle \sigma_{Gd} \rangle$ and hence reduces H_{sp} (Gd). To obtain an upper limit we can ignore the *d* terms to yield

$$\frac{H_{\rm sp}(\rm Gd)}{H_{\rm T}(\rm Al)} \approx \frac{\dot{A}_{\rm Gd}(Z)\langle\sigma_{\rm Gd}\rangle}{A_{\rm Al}} \frac{\langle Z \rangle \langle\sigma_{\rm Gd}\rangle}{\langle Z \rangle \langle\sigma_{\rm Al}\rangle} \,. \tag{9}$$

In a uniform polarization model 15 $\langle\sigma_{Gd}\rangle/\langle\sigma_{Al}\rangle$ $\cong n_s(E_F)_{\rm Gd}/n_s(E_F)_{\rm A1}$, where $n_s(E_F)$ are the local s densities of states. Taking values for A(Z) from Campell¹⁶ together with $n_s(E_F)$ from band calculations²¹ gives $|H_{sp}(Gd)/H_T(Al)| \sim 14$. The measured value is -4.2. Comparison of this result suggests: (a) Spin polarization of the d band at the Gd nucleus cannot be neglected; and (b) The s polarization at the Al nucleus has reversed sign-this is consistent with the neutron-diffraction results.¹¹ It should be noted that the RKKY model ignoring density of states variation, could certainly predict $\langle \sigma_{Gd} \rangle > \langle \sigma_{Al} \rangle$ leading to a larger ratio than - 14.0. However, although $\alpha_d \approx 0.1A_{\rm Gd}(Z)$, $n_d(E_F)_{\rm Gd}$ is approximately three times $n_s(E_F)_{Gd}$ so that, if the s and d exchange parameters are essentially equal, significant reduction of the Gd contact term will arise due to the core polarization (α_d) term. The latter cancellation effect presumably accounts for the experimental ratio being much less than the s-electron-only model.

IV. CONCLUSIONS

The conclusions drawn from the hyperfine-field experiments can be essentially summarized as

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follows: (a) The self-polarization field at the rare-earth nuclei in RAl_2 compounds cannot be explained unless one includes orbital polarization effects; (b) The orbital polarization of the 5d band at the rare-earth site is considerable; and (c) The transferred hyperfine field at the Al nucleus shows no evidence for the orbital polarization effect; however, the spin polarization is reversed in sign from that found at the rare-earth site.

It remains to be concluded that the next, and perhaps most interesting step, would be to measure the transferred hyperfine field between rareearth nuclei and observe whether or not the magnetic coupling is primarily via s or d electrons. It would also be of interest to analyze the transferred hyperfine field at nonmagnetic sites in compounds with more spatially uniform d bands. From these results it should be possible to see if indirect orbital coupling via the d-band electrons is important in ordered rare-earth systems.

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