

## Raman scattering and phase transitions of $V_2O_3$ <sup>†</sup>

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Raman scattering in single crystals of  $V_2O_3$  has been studied in the range from  $\sim 20$  K to room temperature. Metal to insulator, crystallographic, and antiferromagnetic-ordering transitions take place concurrently at  $T_i \sim 150$  K. The observed spectra showed a drastic change at  $T_i$ . On either side of  $T_i$ , peaks were observed which had intensities rather insensitive to the variation of temperature. These peaks are identifiable as phonon excitations, and the peaks of the two temperature regions can be correlated on the basis of the structural transition of the crystal. One peak was observed in the low-temperature region, the intensity of which decreased strongly with increasing temperature, becoming unobservable at  $T \gtrsim T_i$ . Clearly, this peak involved the excitation of magnons which resulted from the magnetic transition.

### I. INTRODUCTION

Phase transition is a subject of great interest in physics, and  $V_2O_3$  is one of the crystals in which three kinds of transitions take place concurrently. As the temperature is lowered under normal pressure, the electrical resistivity of the crystal undergoes a metal-insulator transition at  $T_i \sim 150$  K. Simultaneously, the crystallographic structure makes a  $\alpha$ -corundum-monoclinic transition, and the crystal becomes antiferromagnetic. Numerous studies of various properties have been made, and theoretical treatments using different approaches have been attempted.<sup>1</sup> A conclusive thorough understanding has yet to be established.

Studies of Raman scattering yield information on certain crystal excitations. The scattering associated with phonon excitation can be effective for the study of crystallographic transition, and magnon scattering, if observed, can be significant for the study of magnetic ordering and magnetic transition. Little information is available on phonons in  $V_2O_3$ . Three peaks at  $\nu = 500$ , 750, and 820  $\text{cm}^{-1}$  with some associated fine structures were observed in the infrared absorption spectrum of 77 K, and some fluctuation corresponding to the peaks could be discerned in the spectrum for 293 K.<sup>2</sup> The peaks were attributed to the excitation of infrared-active phonons. It will be pointed out that such phonons are not expected to be active in Raman scattering. In a study of phonon scattering in  $TiO_3$ ,<sup>3</sup> it was mentioned in passing that a Raman spectrum was observed on  $V_2O_3$  at 300 K, with the most intense mode being  $A_{1g}$  at 240  $\text{cm}^{-1}$ . We report in this paper studies of Raman scattering in a range from  $\sim 20$  K to room temperature. Changes of phonon scattering due to the structural transition, and magnon scattering brought out by the transition to antiferromagnetic state have been observed.

### II. THEORY

The  $\alpha$ -corundum crystallographic structure of  $V_2O_3$  has the symmetry of the  $R\bar{3}c$  space group<sup>4</sup> with the  $D_{3d}$  point group. Shown in Fig. 1(a) are the hexagonal unit cell and the inscribed trigonal primitive cell<sup>5</sup>; only the V ions are indicated. The  $c/a$  ratio of the hexagonal unit cell is  $\sim 2.827$  at room temperature. There are two units of  $V_2O_3$  in the primitive cell and four units of  $V_2O_3$  in the unit cell. Some symmetry elements of the point group are indicated: the three-

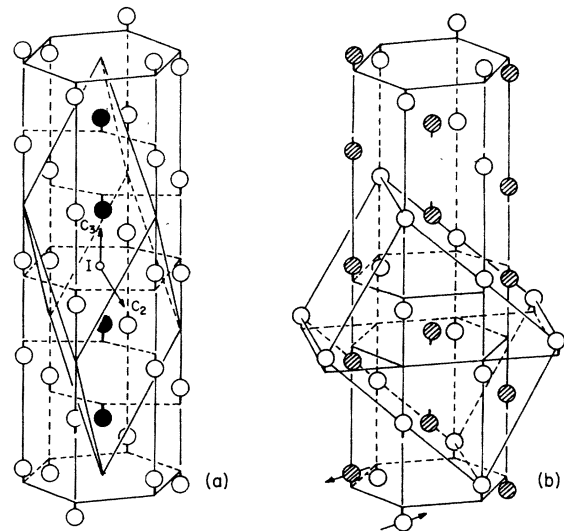


FIG. 1. (a) Hexagonal unit cell with inscribed trigonal primitive cell of  $V_2O_3$  in the  $\alpha$ -corundum phase; V ions are shown. Some elements,  $C_3$ ,  $C_2$ , and  $I$ , of the point group are shown. (b) Hexagonal unit cell of the  $\alpha$ -corundum phase and primitive cell of the monoclinic phase; hatched and open circles represent ions of opposite spins.

fold rotation axis  $C_3$ , one of the three twofold rotation axes  $C_2$ , and the inversion center  $I$ . Figure 1(b) serves to indicate the effect of structure distortion which occurs at the phase transition.<sup>5</sup> Shown are the original hexagonal unit cell and a cell which leads to the monoclinic primitive cell of the distorted structure. The space group of the crystal changes to  $B2/b$ ,<sup>4</sup> with the point group  $C_{2h}$ . The origin of the  $C_{2h}$  group is located at a V ion, and the twofold rotation axis of the group is parallel to the  $C_2$  axis shown in Fig. 1(a).

At  $T \geq T_i$ , the point group  $D_{3d}$  has six irreducible representations:  $A_{1g}$ ,  $A_{1u}$ ,  $A_2$ ,  $A_{2u}$ ,  $E_g$ ,  $E_u$ . There are two units of  $V_2O_3$  in a primitive lattice cell hence there are 30 branches of normal modes. The point-group representations of the  $k=0$  modes have been shown to be<sup>6</sup>

$$2A_{1g} + 2A_{1u} + 3A_{2u} + 5E_g + 5E_u \quad (1)$$

One of the acoustical modes which corresponds to a translation along the  $C_3$  axis has an  $A_{2u}$  representation. The other two acoustical modes corresponding to translations perpendicular to this axis have the  $E_u$  representation. The group  $D_{3d}$  has an inversion element. The subscript  $g$  or  $u$  of a representation indicates that the mode is symmetrical or antisymmetrical with respect to inversion. Only the  $g$  modes may be Raman active, and only the  $u$  modes may be active for infrared absorption. Since  $g$  modes are not accompanied by a long-range electric field, there are no such complications as splitting of degeneracy and variation of frequency shift with variation of light beams relative to each other or relative to the crystal orientation. Consideration of the characters of representation shows that  $A_{1g}$  and  $E_g$  are Raman active while  $A_{2g}$  is not. Thus, seven Raman lines may be expected for the crystal, two lines associated with  $A_{1g}$  modes and five lines associated with  $E_g$  modes.

At  $T \leq T_i$ , the space group changes to  $B2/b$ . The point group  $C_{2h}$  of the distorted structure is a subgroup of  $D_{3d}$  of the  $\alpha$ -corundum structure. The group  $C_{2h}$  contains also the inversion, and it has four irreducible representations:  $A_g$ ,  $A_u$ ,  $B_g$ ,  $B_u$ . The translational acoustical modes have  $A_u$  and  $B_u$  representations.  $A_g$  and  $B_g$  modes are Raman active. The correlation between the representations of  $D_{3d}$  and those of its subgroup  $C_{2h}$  is<sup>7</sup>

$$\begin{array}{cccccc} A_{1g} & A_{2g} & A_{1u} & A_{2u} & E_g & E_u \\ \hline A_g & B_g & A_u & B_u & A_g + B_g & A_u + B_u \end{array} \quad (2)$$

With the distortion, an  $A_{1g}$  Raman line, i.e., a Raman line involving phonons of  $A_{1g}$  representation, leads to an  $A_g$  line, and an  $E_g$  line leads to a pair of  $A_g$  and  $B_g$  lines. In addition, a  $B_g$  line evolves from each of the three inactive  $A_{2g}$  modes.

Raman scattering depends on the polarizability tensor  $\alpha$  characteristic of the phonon involved. The non-vanishing components of  $\alpha$  are indicated below for the

phonon species of the two crystal structures<sup>8</sup>:

$$D_{3d}: \left| \begin{array}{c} A_{1g} \\ a \\ a \\ b \end{array} \right|, \left| \begin{array}{c} E_g' \\ c \\ -c \\ d \end{array} \right|, \left| \begin{array}{c} E_g'' \\ -c \\ -d \end{array} \right|; \quad (3)$$

$$C_{2h}: \left| \begin{array}{c} A_g \\ a \\ b \\ d \end{array} \right|, \left| \begin{array}{c} B_g \\ e \\ f \end{array} \right|. \quad (4)$$

The two tensors for the  $E_g$  species of  $D_{3d}$  are differentiated by superscripts. The tensors for the trigonal group  $D_{3d}$  refer to a coordinate system with  $\bar{z}$  parallel to a  $C_3$ , and  $\bar{x}$  parallel to a  $C_2$ . The tensors of  $C_{2h}$  refer to a coordinate system with  $\bar{y} \parallel \bar{C}_2$ . The intensity  $I$  of a Raman line is given by

$$I \propto |\hat{e}_i \alpha \hat{e}_s|^2, \quad (5)$$

where  $\hat{e}$  is a unit vector giving the polarization of radiation, and the subscripts  $i$  and  $s$  indicate, respectively, the incident and the scattered radiations. The intensity of a Raman line varies with the polarization directions of the incident and the scattered radiations, according to the relative magnitudes of the tensor components for the phonons involved.

We have discussed phonons in the antiferromagnetic phase in terms of the Fedorov space group  $B2/b$  and the classical point group  $C_{2h}$  of the structure, assuming the magnetic effects on phonon symmetry to be small. In regards to magnon excitations, however it is necessary to consider the magnetic groups. It has been found<sup>9</sup> that, in the antiferromagnetic phase, the magnetic moments are ferromagnetically aligned in layers of V ions perpendicular to a hexagonal  $a$  axis, with a reversal between adjacent layers. The ordered moment of  $1.2\mu_B$  per V atom is oriented perpendicular to the hexagonal  $a$  axis at an angle of  $71^\circ$  from the hexagonal  $c$  axis. In Fig. 1(b), open and filled circles are used to differentiate V ions associated with the two opposite moments. Of the four elements  $E$ ,  $C_2$ ,  $I$ ,  $\sigma_h$  of the ordinary point group  $C_{2h}$ , the operations  $C_2$  and  $I$  exchange V ions of opposite moments. The magnetic point group<sup>10</sup> is  $2'/m$  consisting of a unitary subgroup  $C_{1h}$  with elements  $E$  and  $\sigma_h$ , and antiunitary elements  $RI$  and  $RC_2$ . Single-valued representations are pertinent for magnon transitions.  $C_{1h}$  has two single-valued irreducible representations  $A'$  and  $A''$  which correspond to two corepresentations  $DA'$  and  $DA''$  of the magnetic point group. It has been shown<sup>11</sup> that the important mechanism of magnon scattering is the electric-dipole coupling of the photon to the magnetic system, by virtue of spin-orbit coupling. On this basis, the magnon scattering is determined by a Raman tensor  $R$ , according to an expression similar to (5). The tensor has the following

forms for states of the two corepresentations<sup>12</sup>:

$$(DA') \begin{vmatrix} A & B & 0 \\ D & E & 0 \\ 0 & 0 & I \end{vmatrix}; (DA'') \begin{vmatrix} 0 & 0 & 0 \\ 0 & 0 & F \\ G & H & 0 \end{vmatrix}. \quad (6)$$

### III. EXPERIMENTAL RESULTS AND DISCUSSION

Measurements were made by using an argon-ion cw laser, Corad Model 54, as the source. The absorption of  $V_2O_3$  at the laser wavelength of 5145 or 4880 Å is very high, making it necessary to measure scattering in reflection. A polarizer was inserted in the laser beam, giving polarization in the plane of incidence. The beam was incident on sample surface at the Brewster angle  $\theta = 60.4^\circ$  in order to minimize the reflected radiation with polarization in the plane of incidence. The scattered radiation in the plane of incidence was collected at  $90^\circ$  from the laser beam. After passing through an analyzer, the collected radiation was dispersed by a Spex 31402 double monochromator and detected by a photon-counting system with a S-20 photomultiplier. The sample was mounted in a Janis Model 8 optical Dewar.  $V_2O_3$  crystals tend to crack upon cooling or warming through the transition temperature, on account of the first-order structural change. Therefore, it was necessary to use very thin samples for our measurements. Thin slabs were cut from the crystals grown at Purdue, and they were polished to a thickness of 10–20  $\mu\text{m}$ . The problem of sample cracking was found to be aggravated by the measurements made. It was desirable to use a laser intensity as high as possible in order to obtain a high scattering intensity for measurement. In so doing, a small volume of the sample under the laser beam could be at a significantly higher temperature than the rest of the sample. The situation is especially serious in regard to cracking when the bulk of the sample is close to the transition temperature. The temperature of the sample was indicated by a GaAs thermosensor. If needed, a better estimate of the temperature at which the scattering effectively occurred was obtained from the intensity ratio of Stokes and anti-Stokes scatterings.

Figure 2 shows the result of our measurements for the  $\alpha$ -corundum phase of  $V_2O_3$ . Shown are the results obtained on two samples. The surface of each sample contained the two directions  $\hat{I}$  and  $\hat{I}_1$  which are normal to the (101) and ( $\bar{1}20$ ) hexagonal planes, respectively. In terms of the right-handed Cartesian coordinates  $\bar{x} \parallel \bar{C}_2$  and  $\bar{z} \parallel \bar{C}_3$ ,

$$\hat{I} = \frac{(1, \tan 30^\circ, a/c)}{[1 + \tan^2 30^\circ + (a/c)^2]^{1/2}} \\ = (0.829, 0.479, 0.293),$$

$$\hat{I}_1 = \frac{(1, (2 - \sin 30^\circ)/\cos 30^\circ, 0)}{[1 + (2 - \sin 30^\circ)^2/\cos^2 30^\circ]^{1/2}} \\ = (-0.500, -0.865, 0),$$

where  $a/c \approx 1/2.2827$  is the ratio of hexagonal axes of  $V_2O_3$  at  $T \gtrsim 300\text{K}$ . The measurements on sample 302-2-2 were made with  $\hat{I}$  in the plane of incidence. The angle  $\theta$  of incidence being the Brewster angle, the laser beam inside the sample had a component  $E, \cos(\frac{1}{2}\pi - \theta)$  parallel to  $\hat{I}$  and a component  $E, \cos\theta$  normal to the sample surface. We denote this direction of  $\vec{E}$ , by  $\hat{I}_1$ . The measurements on sample 302-2-4 were made with  $\hat{I}$  in the plane of incidence. Similarly, the direction of  $\vec{E}$ , for this case will be denoted by  $\hat{I}_1$ . Depending on the setting of the analyzer in the scattered beam, the radiation measured was scattered with electric field  $\vec{E}, \parallel \hat{I}$  or  $\vec{E}, \parallel \hat{I}_1$  in sample 302-2-2 and with  $\vec{E}, \parallel \hat{I}$  or  $\vec{E}, \parallel \hat{I}_1$  in sample 302-2-4. It is easily shown that

$$\hat{I}_1 = (0.584, 0.338, 0.728),$$

$$\hat{I}_1 = (-0.566, 0.672, 0.475).$$

In terms of the polarization  $\vec{E}$ , of the exciting radiation and the polarization  $\vec{E}$ , of the measured scattering, the results obtained on sample 302-2-2 were  $\Pi_1\Pi_1$  and  $\Pi_1I_1$ , and the results obtained on sample 302-2-4 were  $I_1I_1$  and  $I_1\Pi_1$ .

The frequency shift and the dependence of intensity of polarizations are listed in the first four columns of Table I, for the five observed scattering peaks. Information in the fourth column is given in parentheses. It is less accurate since the information depends on the correlation of separate measurements which were made on different samples. The variation of intensity with polarizations can be theoretically calculated according to (5) by using each of the three tensors listed

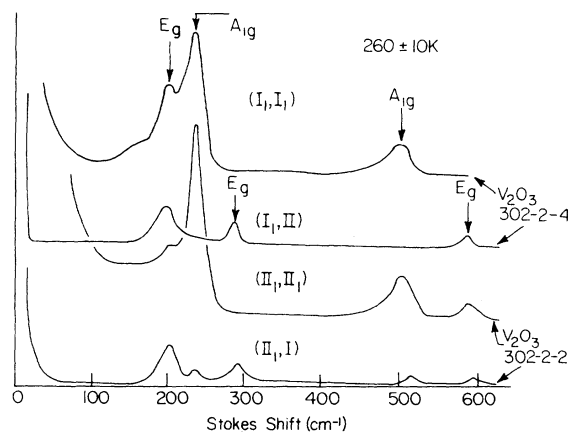


FIG. 2. Spectra of scattering for different polarizations, at  $T > T_t$ .

TABLE I. Identification of the scattering peaks observed in the spectra shown in Fig. 3. The frequency shift of peaks are given in column 1. The columns under "Experimental observation" give the observed comparison of intensities for different polarizations. The remaining columns under "Theoretical fit" give the assigned phonon mode, the components of the  $\alpha$  tensor used in calculation, and the calculated intensity ratios.

Frequency shift ( $\text{cm}^{-1}$ )	Experimental observation		Phonon mode	Theoretical fit		
	Sample			Components	Intensity ratios $I_{11}I_1 : I_1II : II_1II_1 : II_1I$	
	302-2-4 $I_1I_1/I_1II$	302-2-4 $II_1II_1/II_1I$				
203	$\leq 1$	$\ll 1$	$(I_1II \sim II_1I)$	$E_g'$	$d = \frac{1}{5}c$	0.2:0.4:~0:1
231	$\gg 1$	$\gg 1$	$(I_1I_1 \sim II_1II_1)$	$A_{1g}$	$a = b$	1:~0: 1:~0
290	0	0	$(I_1II \sim II_1I)$	$E_g'$	$ d  \ll  c $	0.1:0.6:~0:1
500	$\gg 1$	$\gg 1$	$(I_1I_1 \sim II_1II_1)$	$A_{1g}$	$a = b$	1:~0: 1:~0
590	$\ll 1$	$\geq 1$	$(I_1II \sim II_1II_1)$	$E_g'$	$c = -\frac{1}{2}d$	0.25:1:0.7:0.7

in (3). The phonon mode involved in each scattering peak is identified by fitting the observed with the calculated polarization dependence of intensity. The identified modes are listed in the fifth column. The sixth column gives the ratio of the tensor components which gives the calculated polarization dependence listed in the last column. Comparison of the last column with the experimental results shows that the fitting is satisfactory. We note that a reasonable fitting for each scattering peak cannot be obtained by attributing the peak to a different phonon mode.

The scattering was found to make an abrupt change as the temperature was lowered. The measured spectra for the samples at a temperature below  $T_i$  are shown in Fig. 3. According to the structural transformation discussed in connection with Fig. 1, the spectra refer to

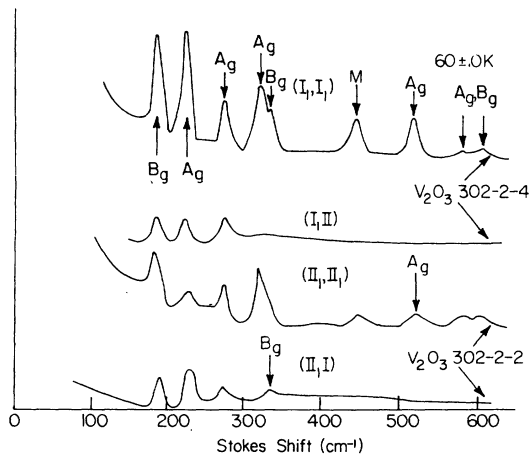


FIG. 3. Spectra of scattering for different polarizations, at a  $T < T_i$ .

$$\bar{E}_s \parallel \hat{1}_1 = (0.338, -0.584, 0.728),$$

$$\hat{2}_1 = (0.672, 0.566, 0.475) .$$

and

$$\bar{E}_r \parallel \hat{1}_1, \hat{2}_1, \hat{1} = (0.479, -0.829, 0.293),$$

$$\lambda = (0.865, 0.500, 0) ,$$

in terms of right-handed Cartesian coordinates with  $\bar{y} \parallel \bar{C}_2$ . The results obtained from sample 302-2-2 are  $2_12_1$  and  $2_11$ , and those measured on sample 302-2-4 are  $1_11_1$  and  $1_12$ .

The frequency shift as a function of temperature is given in Fig. 4 for the various scattering peaks. The dashed lines indicate the association of the peaks in the monoclinic phase with the peaks in the  $\alpha$ -corundum phase, according to the correlation given by (2). As shown in (4), the  $\alpha$ -tensor for the phonon species  $A_g$  contains four different nonvanishing components and that of  $B_g$  has only two. It is more meaningful to assess whether the polarization dependence of a peak is consistent with  $B_g$ , whereas the matching with  $A_g$  is too flexible. For a pair of peaks associated with the lowest  $E_g$  at  $\sim 203 \text{ cm}^{-1}$ , only the polarization dependence of the lower one can be fitted by  $B_g$ ; the intensities

$$1_11_1 : 1_12 : 2_12_1 : 2_11 = 1 : 0.2 : 1 : 0.3$$

calculated with  $e/f = 4$  give a reasonable fit. The next higher  $E_g$  at  $\sim 290 \text{ cm}^{-1}$  is not clearly split to warrant quantitative analysis; however, the component of higher frequency shift rather than the component prominent in  $1_11_1$  and  $2_12_1$  is qualitatively consistent with  $B_g$ . The two peaks associated the  $E_g$  at  $\sim 590 \text{ cm}^{-1}$  are very weak, and it is not possible to assign them between  $B_g$  and  $A_g$ .

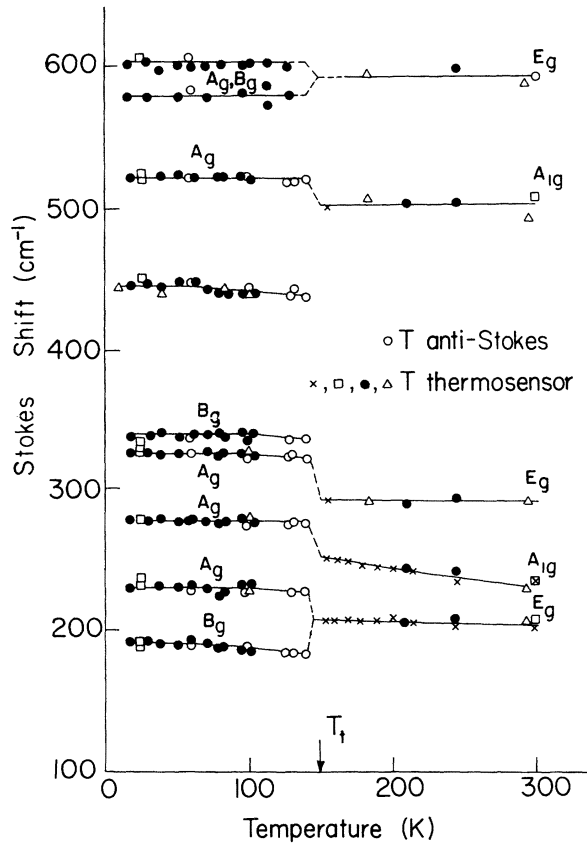


FIG. 4. Frequency shift as function of  $T$ , for the various scattering peaks. Correlation of peaks of the two phases are shown by dashed lines.

The peak denoted  $M$  in Fig. 3 is of special interest. It is not related to phonon scattering of the  $\alpha$ -corundum phase. More importantly, its intensity increases markedly with decreasing temperature in contrast to the intensities of phonon peaks which are largely insensitive to temperature. The observation is illustrated by the spectra shown in Fig. 5 for three different temperatures. The temperature dependence of  $M$  peak plotted in Fig. 6 shows the peak to become unobservable as the transition temperature  $T_t$  was reached. Clearly, the peak represents a magnon scattering. The measurements made indicate the dependence of intensity on polarization to be

$$I_{11_1} : I_{12} : I_{21} : I_{22} \sim 1 : \ll 1 : \frac{1}{3} : \ll 1$$

The result is insufficient for ascertaining the symmetry species of the magnon since each of the two tensors in (6) involves as many as four nonvanishing components. Detailed investigation is needed in order to fully exploit the magnon scattering for information on

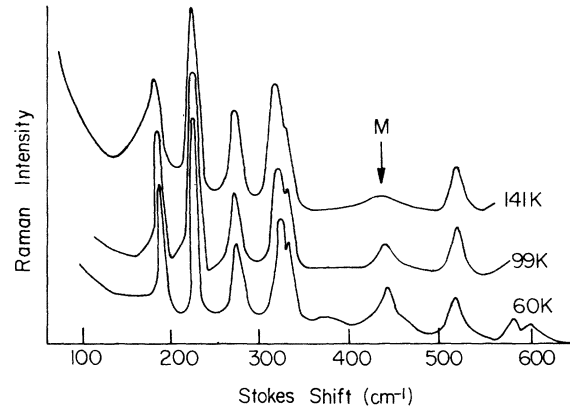


FIG. 5. Spectra of scattering for three different temperatures below  $T_t$ .

the magnetic state. Attempts were made to determine the effect of a strong applied dc magnetic field on the three types of transitions. Electrical measurements showed that a magnetic field up to 100 kG did not significantly affect the  $M-I$  transition. Measurements of scattering at  $T < T_t$  have not yet been made due to the difficulty of cooling the sample sufficiently since a sample mounted inside the superconducting solenoid is not conveniently cooled by the liquid-He vapor.

Measurements of Raman scattering coupled with the measurement of electrical resistance provide a simple method of ascertaining the concurrence of the different transitions under various conditions. Such information should be important for understanding the interrelationship of the transitions.

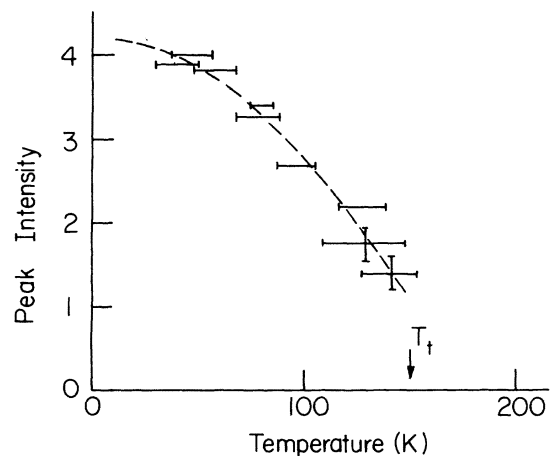


FIG. 6. Peak intensity of the  $M$  peak as a function of  $T$ .

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