## Charge-density waves in tetrathiafulvalene-tetracyanoquinodimethane

David Mukamel\*

Baker Laboratory and Materials Science Center, Cornell University, Ithaca, New York 14853 (Received 27 December 1976)

The observed structural phase transitions in tetrathiafulvalene-tetracyanoquinodimethane (TTF-TCNQ) are analyzed using a Landau-Ginzburg-type free energy. It is shown that because of a coupling between the components  $q_a$  and  $q_c$  of the wave vector associated with the charge-density wave, the latter is expected to be nonzero for  $38 < T < 47^{\circ}$ K, contrary to previous expectations.

The structural phase transitions occurring in tetrathiafulvalene-tetracyanoquinodimethane (TTF-TCNQ) have been a subject of extensive experimental and theoretical study in recent years.<sup>1-6</sup> Early x-ray<sup>1</sup> and neutron-diffraction<sup>2</sup> experiments revealed two distinct transitions occuring at  $T_3 \simeq 38^{\circ}$ K and  $T_1 \simeq 54$  °K. Below 38 °K the charge-density wave is found to be associated with a reciprocal vector  $\bar{q}_{CDW} = (0.25a^*, 0.29b^*, 0)$ . As the temperature is raised above 38 °K the component of the reciprocal vector along the  $a^*$  direction  $q_a$  changes at first discontinuously, but then smoothly until it becomes  $q_{a} = \frac{1}{2}a^{*}$ . The satellite reflections disappear at 54 °K. In a most interesting recent paper,<sup>3</sup> Bak and Emery have analyzed these phase transitions using a Landau-Ginzburg approach. They conclude that a third transition should take place at some temperature  $T_2$ , between 38 and 54 °K, above which the component  $q_a$  of the charge-density wave is locked at  $q_a = \frac{1}{2}a^*$ . For  $T_3 < T < T_2$  the  $q_a$  component is expected to vary continuously with T. It then changes discontinuously at 38 °K and becomes  $q_a = \frac{1}{4}a^*$ . A careful examination of the original experimental data seems to support these predictions with  $T_{2}$  $\simeq 47$  °K. Further measurements<sup>2</sup> performed with higher resolution by Ellenson et al. show the transition  $T_2$  occurs at 49 °K.

In the present paper, symmetry considerations<sup>7</sup> are used to construct the most general Landau-Ginzburg free-energy functional corresponding to the phase transitions in TTF-TCNQ. Two new terms arise which have not been considered previously. The first is linear in  $q_b$  (the component of the  $\bar{q}$  vector along the  $b^*$  axis). As will be discussed later, it accounts for the temperature dependence of the Fermi momentum along the chains. The second term introduces a coupling between  $q_a - \frac{1}{2}a^*$  and  $q_c$ . Because of this coupling, the  $q_c$ component associated with the charge-density wave is predicted to be nonzero for  $T_3 < T < T_2$ . Furthermore, for  $T \leq T_2$  this component should vary linearly with  $q_a - \frac{1}{2}a^*$ . Experimental tests of these predictions should contribute significantly to our understanding of this interesting but complicated

system.

To start, consider the symmetry properties of the order parameter associated with the  $T_1 = 54$  °K transition. The symmetry group of the high-temperature phase of TTF-TCNQ is  ${}^{1}G_{0} = P2_{1}/c$ , where the twofold screw axis is parallel to the b axis. Following Landau, we assume that the order parameter belongs to one irreducible representation of the group  $G_0$ . Neutron-diffraction and x-ray experiments show that the charge-density wave associated with this transition is characterized by a wave vector  $\mathbf{\tilde{q}}_{CDW}$  which is found to be equal to  $\mathbf{\tilde{q}}_{o}$ =  $(0.5a^*, 0.295b^*, 0)$ . As will be discussed later, the component  $q_b$  of  $\bar{\mathbf{q}}_{CDW}$  is expected to vary slowly with temperature below  $T_1$ . This variation has not been observed experimentally. Consequently, the order parameter which describes the immediate vicinity of the transition transforms under translation as

$$\vec{\mathbf{t}}: \ \psi_{\vec{\mathbf{q}}_0} \Rightarrow e^{i \vec{\mathbf{q}}_0 \cdot \vec{\mathbf{t}}} \psi_{\vec{\mathbf{q}}_0} \tag{1}$$

for any lattice vector  $\mathbf{t} = (n_1 a, n_2 b, n_3 c)$ , with  $n_1$ ,  $n_2$ , and  $n_3$  integers. By applying the symmetry operators of  $G_0$  to  $\psi_{\vec{q}_0}$  one obtains two linearly independent order parameters,  $\psi_{\vec{q}_0}$  and  $\psi_{-\vec{q}_0}$ , which form a basis for an irreducible representation of  $G_0$ . To completely specify the symmetry properties of the order parameter one must determine how  $\psi_{\vec{q}_0}$ transforms under the symmetry operators of the group of  $\vec{q}_0$ , namely,  $G_{\vec{q}_0}$  (the subgroup of  $G_0$  which leaves  $\vec{q}_0$  invariant). We have  $G_{\vec{q}_0} = 2_1$ . Since the order parameter ( $\psi_{\vec{q}_0}, \psi_{-\vec{q}_0}$ ) forms a basis for an irreducible representation of  $G_0$ , the component  $\psi_{\vec{q}_0}$  should transform into itself as a basis of an irreducible representation of the group  $G_{\vec{q}_0}$ . Therefore,  $\psi_{\vec{q}_0}$  transforms under the twofold screw axis, either as

$$2_1: \psi_{q_0} \rightarrow +e^{0.295\pi i} \psi_{q_0}, \qquad (2a)$$

or as

$$2_1: \quad \psi_{\uparrow_0} \Rightarrow -e^{0.295\pi i} \psi_{\uparrow_0} \quad (2b)$$

In Eq. (2a) the charge-density waves on the two TCNQ (or TTF) chains which belong to the same

16

1741

unit cell have the same phase (and the mode is acoustic), while in Eq. (2b) they are out of phase (and the mode is optic). The actual distortion mode has not yet been determined experimentally. For our purposes, the exact nature of the order parameter (whether it is acoustic or optic) is not important since we obtain the same free-energy functional in both cases. It is, however, assumed that the order parameter belongs to only one irreducible representation of  $G_0$  and hence it is not a linear combination of the two modes. The appropriate Landau-Ginzburg functional associated with the order parameter  $(\psi_{\vec{q}_0}, \psi_{-\vec{q}_0})$  is the most general form invariant under the symmetry group  $G_0$ . Define two real order parameters  $\phi_1$  and  $\phi_2$  by

$$\psi_{\pm \overline{q}_0} \equiv \phi_1 \pm i \phi_2 \,. \tag{3}$$

To second order in  $\phi_j$ , the free-energy functional then takes the form

$$f(\phi_{1,\frac{1}{q}},\phi_{2,\frac{1}{q}}) = \frac{1}{2} \int d^{3}q \left[ (r + \alpha q_{1}^{2} + \beta q_{2}^{2} + \gamma q_{3}^{2} + \delta q_{1}q_{3}) (\phi_{1,\frac{1}{q}}\phi_{1,-\frac{1}{q}} + \phi_{2,\frac{1}{q}}\phi_{2,-\frac{1}{q}}) \right]$$
  
+ $\eta q_{2}(\phi_{1,\frac{1}{q}}\phi_{2,-\frac{1}{q}} - \phi_{1,-\frac{1}{q}}\phi_{2,\frac{1}{q}}) + \cdots, \qquad (4)$ 

where  $\phi_{j,\vec{q}}$  are the Fourier components of  $\phi_j$  and  $q_1, q_2, q_3$  are the components of  $\vec{q}$  along the  $a^*, b^*$ , and  $c^*$  axes, respectively. The fluctuating field  $\phi_{1,\vec{q}}+i\phi_{2,\vec{q}}$  corresponds to a charge-density wave with wave vector  $\vec{q}_0 + \vec{q}$ . The expression (4) includes two terms not previously considered:

$$\eta q_2(\phi_{1,\,\bar{q}}\phi_{2,\,-\bar{q}}-\phi_{1,\,-\bar{q}}\phi_{2,\,\bar{q}}), \qquad (5a)$$

$$\delta q_1 q_3(\phi_{1,\bar{q}} \phi_{1,-\bar{q}} + \phi_{2,\bar{q}} \phi_{2,-\bar{q}}).$$
 (5b)

To discuss the effect of these terms recall that the free energy of the system is given by

$$F = \min_{\{\phi_{i}, \overline{a}\}} f(\phi_{j}, \overline{a}).$$
(6)

As usual, we may assume  $r \sim T - T_1$ . For stability we require  $\beta > 0$  and the quadratic form  $Q = \alpha q_1^2$  $+\gamma q_3^2 + \delta q_1 q_3$  must be positive definite (i.e.,  $\alpha$ ,  $\gamma > 0$ ,  $\alpha\gamma - \delta^2 > 0$ ) for  $T \simeq T_1$ . Then the order parameter which minimizes the free energy (4) for  $T_2 < T < T_1$ is  $\phi_{j\vec{q}}$ , with  $\vec{q}' = [0, (\eta/2\beta)b^*, 0]$ . The chargedensity wave is therefore associated with  $\mathbf{\tilde{q}}_{CDW}(T)$  $=\vec{q}_0 + \vec{q}'$ . Since, by observation, the order parameter which becomes critical at  $T_1$  is associated with  $\vec{q}_{CDW}$   $(T = T_1) = \vec{q}_0$ , the vector  $\vec{q}'$  should vanish at  $T = T_1$  and hence  $\eta$   $(T = T_1) = 0$ . We therefore assume  $\eta \sim T - T_1$ . For  $T < T_1$  the vector  $\mathbf{\bar{q}}'$  does not vanish, and the component  $q_b$  of  $\mathbf{\tilde{q}}_{CDW}$  should become different from<sup>8</sup> 0.295b\*. The component  $q_b$  is associated with the Fermi momentum of the electrons along the b axis,<sup>9</sup> and therefore the  $\eta$  term represents the temperature variation for the Fermi momentum. This variation is very slow, and has not so far been detected experimentally. However, in a recent x-ray study by Kagoshima, Ishiguro, and Anzai,<sup>1</sup> it has been reported that the wave number of the phonon anomaly at 0.41b\* does vary with temperature. The  $\eta$  term can be used to explain this variation. At the temperature  $T_2 < T_1$  the quadratic form Q becomes unstable in a certain direction in the  $(q_1, q_3)$  plane, and another transition takes place. This transition occurs for  $\alpha\gamma - \delta^2 = 0$ . Below  $T_2$  (i.e., for  $\alpha\gamma - \delta^2 < 0$ ) both components  $q'_1$ and  $q'_3$  associated with the order parameter should become nonzero, and  $\tilde{q}_{CDW}(T) = \tilde{q}_0 + (q'_1, 0, q'_3)$ . By minimizing (4) for  $T < T_2$  we find

$$q'_3/q'_1 = \delta/2\gamma, \quad q_1^2 \sim \delta^2 - \alpha\gamma . \tag{7}$$

Therefore  $q'_3$  is proportional to  $q'_1$  for  $T \leq T_2$ , and both vary as  $(\delta^2 - \alpha \gamma)^{1/2} \sim (T_2 - T)^{1/2}$ . A model calculation, which takes into account the Coulomb forces between the charge-density waves, suggests that the ratio  $q'_3 c/q'_1 a$  is of the order of 0.1.<sup>10</sup> It would be of great interest to study the  $q_c$  component experimentally and test these predictions.

As pointed out by Bak and Emery,<sup>3</sup> one can understand the nature of the  $T_2$  transition from a more microscopic viewpoint as follows: TTF-TCNQ is composed of linear chains of TTF and TCNQ molecules. For  $T_2 < T < T_1$  only one set of molecules (apparently<sup>11</sup> the TCNQ) exhibits chargedensity waves. Coulomb interaction between the chains favors  $q_a = \frac{1}{2}a^*$  and therefore  $q'_1 = 0$ . At  $T = T_2$ the other set of chains orders. Coulomb interaction between nearest-neighbor chains of different types favors  $q_a = 0$ . Because of these competing interactions, the  $q_a$  component starts deviating from  $\frac{1}{2}a^*$  at  $T_2$ . However, if one recognizes that the a and c axes are not perpendicular (the angle between a and c is  $104^{\circ}$ ) and considers Coulomb interaction between next-nearest chains one finds that the component  $q_c$  should deviate from zero below  $T_2$  as predicted phenomenologically.

At T = 38 °K,  $\bar{q}_{CDW}$  changes discontinuously owing to fourth- and higher-order terms in the freeenergy functional (4). Below 38 °K it is expected that the component  $q_c$ , like  $q_a$ , should become locked and hence be commensurate with the lattice. The numerical value of  $q_c$  depends on the exact mechanism which drives the transition and therefore cannot be uniquely predicted from the freeenergy functional (4). There are, however, experimental indications<sup>1</sup> that  $q_c = 0$  for T < 38 °K. ACKNOWLEDGMENTS

I would like to thank Professor M. E. Fisher for many illuminating discussions. I have enjoyed discussions with Dr. E. Domany, Professor V. J. Emery, Dr. B. Horovitz, Professor J. A. Krumhansl, and Professor J. Wilkins. The support of the NSF, in part through the Materials Science Center at Cornell University, is gratefully acknowledged.

- \*Present address: Dept. of Electronics, The Weizmann Institute of Science, Rehovot, Israel.
- <sup>1</sup>F. Denoyer, R. Comès, A. F. Garito, and A. J. Heeger, Phys. Rev. Lett. <u>35</u>, 445 (1975); S. Kagoshima, H. Anzai, K. Kajimura, and T. Ishiguro, J. Phys. Soc. Jpn. <u>39</u>, 1143 (1975); S. Kagoshima, T. Ishiguro, and H. Anzai, *ibid.* <u>41</u>, 2061 (1976).
- <sup>2</sup>R. Comès, S. M. Shapiro, G. Shirane, A. F. Gariot, and A. J. Heeger, Phys. Rev. Lett. <u>35</u>, 1518 (1975);
  R. Comès, G. Shirane, S. M. Shapiro, A. F. Garito, and A. J. Heeger, Phys. Rev. B <u>14</u>, 2376 (1976); H. A. Mook and C. R. Watson, Phys. Rev. Lett. <u>36</u>, 801 (1976); W. D. Ellenson, R. Comès, S. M. Shapiro, G. Shirane, A. F. Garito, and A. J. Heeger, Solid State Commun. <u>20</u>, 53 (1976).
- <sup>3</sup>P. Bak and V. J. Emery, Phys. Rev. Lett. <u>36</u>, 978 (1975).

- <sup>4</sup>P. Bak, Phys. Rev. Lett. <u>37</u>, 1071 (1976).
- <sup>5</sup>K. Saub, S. Barisić, and J. Friedel, Phys. Lett. A <u>56</u>, 302 (1976).
- <sup>6</sup>A. Bjelis and S. Barlsić, Phys. Rev. Lett. <u>37</u>, 1517 (1976). <sup>7</sup>L. D. Landau and M. E. Lifshitz, *Statistical Physics*.
- 2nd ed. (Pergamon, New York, 1968), Chap. XIV.
- <sup>8</sup>This is a manifestation of the fact that the irreducible representation associated with the transition does not satisfy the Lifshitz condition. E. M. Lifshitz, J. Phys. <u>6</u>, 61 (1942); see also, S. Goshen, D. Mukamel, and S. Shtrikman, Int. J. Magn. 6, 221 (1974).
- <sup>9</sup>See, for example, J. P. Pouget, S. K. Khanna, F. Denoyer, R. Comès, A. F. Garito, and A. J. Heeger, Phys. Rev. Lett. <u>37</u>, 437 (1976).
- <sup>10</sup>B. Horovitz and D. Mukamel (unpublished).
- <sup>11</sup>T. D. Schultz and S. Etemad, Phys. Rev. B <u>13</u>, 4928 (1976).