Elastic and elasto-optic constants of rutile from a Brillouin scattering study*

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Brillouin scattering in rutile is studied with a triple passed scanning Fabry-Perot interferometer. By observing the spectra with large-order differences between a Brillouin component and its parent laser line, all the elastic moduli c_{ij} were determined with high accuracy; we obtain $c_{11} = 2.674 \pm 0.002$, $c_{33} = 4.790 \pm 0.002$, $c_{44} = 1.233 \pm 0.008$, $c_{66} = 1.894 \pm 0.015$, $c_{12} = 1.808 \pm 0.037$, and $c_{13} = 1.466 \pm 0.029$ in units of 10^{12} dyn/cm². Elasto-optic constants p_{ijk} of rutile are deduced from an intercomparison of its Brillouin components with those of fused quartz as well as from the intensity ratios of the Brillouin components observed with a variety of scattering geometries. These constants are determined as a function of wavelength and show interesting dispersion effects. We have verified for the first time the recent prediction of Anastassakis and Burstein that $p_{ijkl} \neq p_{jikl}$ by obtaining $p_{zyz}/ p_{yzzy} = 1.16 \pm 0.06$. We have also confirmed the prediction by Nelson and Lax and the observations of Nelson and Lazay regarding the inequality $p_{ijk} \neq p_{ijk}$. By measuring the Brillouin spectra and the 447-cm⁻¹ E_{g} Raman line under identical experimental conditions with a double monochromator, we have determined the absolute value of the single independent component characterizing the Raman tensor per unit cell, viz., $|d| = 22 \pm 2 \text{ Å}^2$.

II. INTRODUCTION **III. THEORY**

It is now well established that Brillouin scattering is an effective experimental technique for determining elastic and elasto-optic constants of ing is an effective experimental technique for de-
termining elastic and elasto-optic constants of
crystals.^{1,2} Using this technique we recently determined' the elastic constants of diamond with very high precision and also deduced all the elastooptic constants including their wavelength deyendence. In this yaper we present our results on the Brillouin scattering in rutile. We have investigated this crystal in view of a number of interesting aspects of the phenomenon resulting from (a) large birefringence, $^{\rm 4-6}$ (b) dispersion of optical proper ties in the visible spectrum,⁴ (c) the symmetry of the elasto-optic tensor as reformulated by Nelson and Lax^7 and Anastassakis and Burstein, 8 and (d) large elastic moduli which yermit high precision in their determination.

II. EXPERIMENTAL

The rutile samples were cut from a boule free from Al_2O_3 obtained from the National Lead Co.⁹ Samples of various geometries were oriented with x rays, cut and polished to within $\sim 2^{\circ}$ from the specified orientations. The samyle of fused quartz used as a scattering intensity standard was of Suused as a scattering intensity standard was of S
prasil grade obtained from Amersil, Inc.¹⁰ The equipment and the experimental procedures used have been described elsewhere^{3, 11}; besides the two sets of matched etalon plates described in Ref. 3, a third pair with $(98 \pm 0.5)\%$ reflectivity in the range 4500-5500 Å was used in some of the experiments. All the experiments in this investigation were performed at ~ 23 °C.

The theory of Brillouin scattering requires a complete syecification of the tensors characterizing the elasto-optic constants (p_{ijkl}) and the elastic moduli (c_{ijkl}) . Traditionally, the symmetry of these tensors is derived assuming that the stress and strain tensors are symmetric.¹² These stress and strain tensors are symmetric.¹² Thes
assumptions were questioned by Laval,¹³ Viswana assumptions were questioned by Laval,¹³ Viswan
than,¹⁴ and Raman and Viswanathan,¹⁵ and, more recently, by Nelson and Lax' and Anastassakis and Burstein.⁸ More specifically, Nelson and Lax⁷ predicted that "rotations of volume elements within an acoustic wave can make a large contribution to the scattering of light from an acoustic shear wave in optically anisotropic media, thus removing the traditionally assumed symmetry between the last two (acoustic) indices of the usual photoelastic last two (acoustic) indices of the usual photoela
tensor p_{ijkl} ," Nelson and Lazay^{16,17} and Nelson Lazay, and Lax^6 verified this prediction in a spectacular fashion in rutile and calcite, respectively. It is useful to comment here on the implications of the nonsymmetric strain for the symmetry of the elastic moduli. Lax¹⁸ has recently discussed this problem and has concluded that the usual 21-constant theory of elastic moduli is adequate, "rotational" effects playing no significant role. The following simple argument may be advanced to clarify this point. It is easy to show that for longwavelength transverse phonons the components of stress satisfy $(\sigma_{ij} - \sigma_{ji})/\sigma_{ij} \sim l^2/\lambda^2$, where *l* is on the order of the unit-cell dimensions and λ is the wavelength of the phonon; thus $\sigma_{ij} = \sigma_{ji}$ is an excellent approximation when $\lambda \gg l$. Energy considerations taken together with this equality lead to the traditional symmetry of c_{ijkl} . It should be re-

 $\frac{14}{1}$

TABLE I. Elastic moduli for crystals of $D_{4h}(\frac{4}{m}\frac{2}{m}\frac{2}{m})$ point group.

$\begin{bmatrix} c_{11} & c_{12} & c_{13} & 0 \ c_{12} & c_{11} & c_{13} & 0 \ c_{13} & c_{13} & c_{33} & 0 \ 0 & 0 & 0 & c_{44} \ 0 & 0 & 0 & 0 \ 0 & 0 & 0 & 0 \end{bmatrix}$			
		$c_{\,44}$	
			c_{66}

marked here that the above discussion on the elasto-optic tensor p_{ijkl} assumes the symmetry arising from the interchange of i and j , the indices characterizing the dielectric tensor. Anastassakis and Burstein' have recently proposed that in Brillouin scattering where frequency shifts are involved, this assumption need not hold.

In Tables I and II the elastic and elasto-optic constants for rutile $(D_{4h}$ symmetry) derived on the basis of the above discussion are given. The contracted two index notation of Ref. 7 $(xx - 1, y \rightarrow 2,$ $zz \rightarrow 3$, $yz \rightarrow 4$, $zy \rightarrow \overline{4}$, $zx \rightarrow 5$, $xz \rightarrow \overline{5}$, $xy \rightarrow 6$, and $yx-\overline{6}$) is used for the elasto-optic constants.

As is well known, Brillouin scattering can be viewed as a Bragg reflection from the optical stratifications produced by the long-wavelength acoustic waves, the frequency shifts being due to the Dopyler affect associated with the "moving mirrors." In Fig. 1, we present a schematic view of Brillouin scattering in a birefringent medium, following the treatment given by Chandrasekharan.⁵ It can be seen that the ordinary law of "reflection" has to be modified according to

$$
n_i \cos \theta_i = n_s \cos \theta_s \,, \tag{1}
$$

where i, s refer to the incident and scattered directions, θ_i and θ_s are the glancing angles with respect to the stratifications, and n_i , and n_s are the corresponding refractive indices. Thus, in general, the phonon propagation direction will not be along the bisector of the incident and scattered directions. The frequency shifts of the Brillouin components are given by

$$
\Delta \omega = \pm \omega_L (v_s/c) (n_i^2 + n_s^2 - 2n_i n_s \cos \theta)^{1/2},
$$
 (2)

where ω_L is the frequency of the exciting laser, v_s is the velocity of propagation of the sound wave producing the scattering, c is the velocity of light in vacuum, and θ is the scattering angle; v, can be written $(X/\rho)^{1/2}$, where X is an appropriate combination of the elastic moduli and ρ is the density. Table III gives the values of X for different propagation directions of the sound waves.

TABLE II. Elasto-optic constants for crystals of TABLE II. Elasto-c
 $D_{4h}(\frac{4}{m} \frac{2}{m} \frac{2}{m})$ point group

p_{11} p_{12} p_{13} 0 0 0 0 0								$\bf{0}$
					\dot{p}_{12} \dot{p}_{11} \dot{p}_{13} 0 0 0	$\bf{0}$	$\mathbf{0}$	$\bf{0}$
	\mathbf{p}_{31} \mathbf{p}_{31} \mathbf{p}_{33} 0 0				$\bf{0}$	0	0	$\bf{0}$
0	0			0 p_{44} p_{44} 0		$\overline{0}$	0	$\mathbf{0}$
$\bf{0}$	0				0 $p_{\bar{4}4}$ $p_{\bar{4}4}$ 0 0		$\mathbf{0}$	$\mathbf 0$
0	0	0			0 0 $p_{\overline{44}}$ $p_{\overline{44}}$ 0 0			
0	θ	0			0 0 \vec{p}_{44} \vec{p}_{44} 0			$\bf{0}$
0	$\mathbf{0}$	$\mathbf{0}$	$\mathbf{0}$	$\bf{0}$	$\bf{0}$		0 p_{66} $p_{6\overline{6}}$	
	0	0	$\mathbf{0}$	$\boldsymbol{0}$	$\bf{0}$	$\mathbf{0}$	$p_{66} p_{66}$	

The intensity of light scattered by a sound wave is given by

$$
I = \text{const} \; \frac{(\hat{e}_s \cdot \underline{\mathrm{T}} \cdot \hat{e}_i)^2}{X} \;, \tag{3}
$$

where \hat{e}_s and \hat{e}_i are unit vectors along the polarization direction of the scattered and incident light, respectively, and T is the scattering tensor characterizing the sound wave under consideration. The components of the scattering tensor, referred to the axes in which the dielectric tensor is diagonal, are given by

$$
T_{ij} = \sum_{k,l} \epsilon_{ij} \epsilon_{jj} p_{ijkl} \beta_k \alpha_l, \quad i, j, k, l = x, y, \text{ or } z.
$$
\n(4)

Here ϵ_{ii} and ϵ_{jj} are the principal dielectric con-

FIG. 1. Brillouin scattering in a birefringent medium. The incident and scattered radiations make glancing angles θ_i and θ_s , respectively, with the stratifications produced by the sound wave, and $\theta = \theta_i + \theta_s$ is the angle through which the radiation is scattered; ON , the normal to the stratifications, is the direction of propagation of the sound wave.

\vec{q}	Χ		ũ
	c_{11}	$2\begin{bmatrix} n_o^4 p_{11} & 0 & 0 \\ 0 & n_o^4 p_{12} & 0 \\ 0 & 0 & n_e^4 p_{31} \end{bmatrix}$	$(1, 0, 0)$: L
(1, 0, 0)	c_{66}	$2\begin{bmatrix} 0 & n_o^4 p_{6\overline{6}} & 0 \\ n_o^4 p_{66} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$	$(0, 1, 0)$: T
	c_{44}	$2 \begin{bmatrix} 0 & 0 & n_o^2 n_e^2 p_{4\overline{4}} \\ 0 & 0 & 0 \\ n_o^2 n_e^2 p_{\overline{4}\overline{4}} & 0 & 0 \end{bmatrix}$	$(0, 0, 1)$: T
	c_{33}	$2\begin{bmatrix} n_o^4 \boldsymbol{p}_{13} & 0 & 0 \\ 0 & n_o^4 \boldsymbol{p}_{13} & 0 \\ 0 & 0 & n_e^4 \boldsymbol{p}_{33} \end{bmatrix}$	$(0, 0, 1)$: L
(0, 0, 1)	C_{44}	$2\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & n_o^2n_e^2p_{44} \\ 0 & 0 & n_o^2n_e^2p_{44} \end{bmatrix}$ $n_o^2n_e^2p_{\overline{4}4}$	$(0, 1, 0)$: T
	c_{44}	$2\left[\begin{array}{cccc} 0 & 0 & n_{o}^{2}n_{e}^{2}p_{44} \\[1mm] 0 & 0 & 0 \\[1mm] n_{o}^{2}n_{e}^{2}p_{44} & 0 & 0 \end{array}\right]$	$(1, 0, 0)$: T
		$\int n_o^4 (p_{11} + p_{12}) n_o^4 (p_{66} + p_{66})$ $\pmb{0}$ $\frac{1}{2}(c_{11}+c_{12}+2c_{66})\begin{bmatrix} 1 \ n_{o}^{4}(p_{66}+p_{66}) & n_{o}^{4}(p_{11}+p_{12}) & 0 \\ 0 & 0 & 2n_{e}^{4}p_{31} \end{bmatrix}$	$\left(\frac{1}{\sqrt{2}}\,, \frac{1}{\sqrt{2}}\,, 0\right)$: L
$\left(\frac{1}{\sqrt{2}},\frac{1}{\sqrt{2}}\,,0\right)_{-\frac{1}{2}(c_{11}-c_{12})}$		$\begin{bmatrix} n_o^4\left(p_{11}-p_{12}\right) & n_o^4\left(p_{66}-p_{6\overline{6}}\right) & 0 \ -n_o^4\left(p_{66}-p_{6\overline{6}}\right) & -n_o^4\left(p_{11}-p_{12}\right) & 0 \ 0 & 0 & 0 \end{bmatrix}$ 0	$\left(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0\right)$: T
	c_{44}	$\sqrt{2} \begin{bmatrix} 0 & 0 & n_o^2 n_e^2 p_{4\overline{4}} \ 0 & 0 & n_o^2 n_e^2 p_{4\overline{4}} \ n_o^2 n_e^2 p_{\overline{4}\overline{4}} & n_o^2 n_e^2 p_{\overline{4}\overline{4}} & 0 \end{bmatrix}$	$(0, 0, 1)$: T
	$\frac{1}{2}(R+\sqrt{L})$ b,c	a	a:QL
$\left(\frac{1}{\sqrt{2}}\,,\,0\,,\frac{1}{\sqrt{2}}\,\right)\,$ $\frac{1}{2}(R-\sqrt{L})$		a	a:QT
	$\frac{1}{2} (c_{66}+c_{44})$	$\sqrt{2} \begin{bmatrix} 0 & n_o^4 p_{6\overline{6}} & 0 \\ n_o^4 p_{66} & 0 & n_o^2 n_e^2 p_{44} \\ 0 & n_e^2 n_e^2 p_{74} & 0 \end{bmatrix}$	$(0, 1, 0)$: T

TABLE III. Scattering tensor (\underline{T}) , $X=v_s^2\rho$, and the polarization vector (\vec{u}) of phonons traveling along $\vec{\mathfrak{q}}$; the entries in the columns for $\vec{\mathfrak{q}}$ and $\vec{\mathfrak{u}}$ are their direction cosines. L, T, QL, and QT denote longitudinal, transverse, quasilongitudinal, and quasitransverse, respectively.
The two index notation for the p_{ij} 's is explained in the text.

đ	X	т	น้
	$\frac{1}{2}(M+\sqrt{N})$ d,e	a	a:QL
$\left(\frac{1}{2},\frac{1}{2},\frac{1}{\sqrt{2}}\right)$ $\frac{1}{2}(M-\sqrt{N})$		a	a:QT
		$\frac{1}{4}(c_{11}+2c_{44}-c_{12}) \begin{array}{ l l }\hline n_0^4\left(\frac{p_{11}-p_{12}}{\sqrt{2}}& \frac{n_0^4\left(\frac{p_{66}-p_{66}}{\sqrt{2}}\right)}{\sqrt{2}}& n_0^2n_e^2p_{44}\\ \hline -n_0^4\left(\frac{p_{66}-p_{66}}{\sqrt{2}}\right)& \frac{-n_0^4\left(\frac{p_{11}}{\sqrt{2}}-p_{12}\right)}{\sqrt{2}}& -n_0^2n_e^2p_{44}\\ \hline n_0^2n_e^2p_{44}^2& -n_$	

TABLE III. (Continued)

^a Indicates that the vector or tensor depends on the c_{ij} 's.

 $b R = \frac{1}{2}(c_{11} + c_{33}) + c_{44}$ ${}^{c}L = \frac{1}{4}(c_{11} - c_{33})^{2} + (c_{13} + c_{44})^{2}$. $d M = \frac{1}{4}(C_{11} + 2C_{33} + C_{12} + 4C_{44} + 2C_{66}).$

 $(c_{11} - 2c_{33} + c_{12} + 2c_{66})^2 + (c_{13} + c_{44})$

stants, and β_k and α_i are the direction cosines of the polarization and propagation vectors of the phonon, respectively. The scattering tensors for phonons along various crystallographic directions are yresented in Table III.

In the application of the above theory to the analyses of experimental data, a number of important points should be borne in mind. (i) As a consequence of the birefringence, the yhonon propagation direction may not coincide with the bisector of the incident and the scattered directions. (ii) lt should be noted that \hat{e}_i and \hat{e}_s in Eq. (3), the polarization vectors of the incident and scattered light, may not be normal to the wave vector of the light, though they are always so with respect to Poynting's vector. It turns out that effects arising from this are important even for directions of incidence and scattering normal to the crystal surfaces. (iii) In intensity calculations, it is necessary to consider such factors as solid-angle expansion, scattering-

TABLE IV. Intensities (I) of Brillouin components for (a) light incident along $\vec{k}_i \parallel (1/\sqrt{2})$ $\sqrt{2}$)($\overline{1}, 1, 0$) and scattered along $\overline{k}_s \parallel (1/\sqrt{2})$ (1, 1, 0) and (b) $\overline{k}_i \parallel (1, 0, 0)$ and $\overline{k}_s \parallel (0, \overline{1}, 0)$. H (horizontal) and V (vertical) denote the polarizations relative to the horizontal scattering plane. Superscript and subscript refer to the scattered and incident polarizations, respectively. For case (a) the phonon propagation directions (q) are $(1, 0, 0)$ for I_V^V and I_H^H , and $(\cos 3.2^\circ, \pm \sin 3.2^\circ,$ 0) for I_H^V and I_V^H , respectively. For case (b) $\vec{q} \parallel (1/\sqrt{2})(1, 1, 0)$ for I_V^V and I_H^H , (sin41.8°, cos41.8°, 0) for I_H^V , and (cos41.8°, sin41.8°, 0) for I_V^H . The vector \vec{u} denotes the polarization of the phonon when it propagates along the bisector.

	ű	I_{V}^{V}	$I_{\mathrm{V}}^{\mathrm{H}}$	I_H^V	$I_{\rm H}^{\rm H}$
	L(1, 0, 0)	$\frac{4 n_e^8 p_{31}^2}{c_{11}}$	$\bf{0}$	$\bf{0}$	$n_{o}^{8}(p_{11}-p_{12})^{2}$ $\overline{c_{11}}$
(a)	$T_1(0, 1, 0)$	$\bf{0}$	$\bf{0}$	$\mathbf{0}$	$4n_0^8(p_{66}-p_{66})^2$ C_{66}
	$T_2(0, 0, 1)$	$\bf{0}$	$2.223 n_e^4 n_o^4 p_{44}^2$ c_{44}	$2.223n_e^4n_o^4p_{\bar{4}\bar{4}}$ C_{44}	$\bf{0}$
	$L\frac{1}{\sqrt{2}}(1, 1, 0)$	$\frac{8 n_e^8 p_{31}^2}{c_{11}+c_{12}+2 c_{66}}$	Ω	θ	$\frac{2 n_0^8 (p_{66}+p_{66})^2}{c_{11}+c_{12}+2 c_{66}}$
(b)	$T_1 \frac{1}{\sqrt{2}} (1, \overline{1}, 0)$	Ω	θ	θ	$\frac{2 n_o^8 (p_{66}-p_{6\overline{6}})^2}{c_{11}-c_{12}}$
	$T_2(0, 0, 1)$	$\mathbf{0}$	$2.223n_e^4n_o^4p_{44}^2$ C_{44}	$2.223 n_e^4 n_o^4 p_{44}^2$ C_{44}	$\bf{0}$

FIG. 2. Room-temperature Brillouin spectra of rutile observed with a triple passed scanning Fabry-Perot interferometer and excited with the multimode 4880-A. $Ar⁺$ radiation incident along x $(1,0,0)$ and scattered along $y(0, 1, 0)$. The scales below and above the base line refer to the shifts of the Stokes (S) and the anti-Stokes (AS) components, respectively. T (transverse) and L (longitudinal) give the polarization characteristics of the phonon responsible for the scattering. The upper and lower portions of the figure were recorded with the incident polarization parallel and perpendicular to the scattering plane, respectively.

volume demagnification, and the transmission factors at the entrance and exit faces. Both (ii) and (iii) have been discussed by Nelson, Lazay, and Lax.' In the interpretation of our results all these factors have been incorporated.

In Table IV we give the intensities of the Brillouin components for two of the scattering geometries extensively studied.

IV. EXPERIMENTAL RESULTS AND DISCUSSION A. Elastic moduli

Figures 2 and 3 show the Brillouin spectra for two of the scattering geometries we have extensively studied. In Fig. 2, the incident and scattered directions are along x $(1, 0, 0)$ and y $(0, 1, 0)$, respectively; thus the phonon propagation direction is along $(1/\sqrt{2})(1, 1, 0)$ provided the incident and scattered light are both vertically or horizontally polarized. Here vertical (V) and horizontal (H) are defined with respect to the horizontal scattering plane. In Fig. 3, the results are for inci-

FIG. 3. Conditions under which these spectra were recorded are identical to those of Fig. 2 except that the incident radiation was along x' [(-1/2), (1/2), 0] and scattered along y' $[(1/\sqrt{2}), (1/\sqrt{2}), 0]$.

dent light along $(1/\sqrt{2})(\overline{1},1,0)$ and scattered along $(1/\sqrt{2})(1, 1, 0)$, selecting a phonon propagation direction along (1, 0, 0) for both the incident and scattered polarizations either vertical or horizontal and designated VV and HH. Both figures dramatically illustrate the role of birefringence in the frequency shifts of Brillouin components. From Table IV it is at once evident that the longitudinal components occur in the VV or the HH polarizations; the lines which have these polarizations have been accordingly labeled as L and exhibit a larger frequency shift when the polarization of the incident light is changed from H to V. The designation S and AS refer to Stokes and anti-Stokes, respectively. It is clear that for these geometries HH and VV correspond to n_i $=n_s = n_o$ and $n_i = n_s = n_e$, respectively, hence the frequency shifts deduced from Eq. (2} will be in the ratio of $n_o: n_e$. For $\lambda_L = 4880 - \text{\AA}$ exciting radiation, this ratio is 0.893 in excellent agreement with the shifts of the lines labeled L in Figs. 2 and 3. From Table IV it can be seen that the transverse T Brillouin components occur in the VH and HV polarizations and hence are produced by phonons propagating in directions making an angle of $\pm 3.2^{\circ}$ with the bisector in the xy plane. The symmetry of the geometries under consideration results in

TABLE V. Refractive indices of rutile (Ref. 4), fused quartz (Ref. 29), and glycerine (Ref. 28) at various wavelengths. n_o and n_e are the ordinary and extraordinary refractive indices and n'_e is the extraordinary refractive index for light propagating at 45' to the optic axis of rutile.

λ (Å)	6328	5145	4880	4579
Rutile (n_a)	2.584	2.690	2.731	2.793
Rutile (n_a)	2.872	3.006	3.059	3.138
Rutile (n'_e)	2.717	2.835	2.881	2.950
Fused quartz $(n_{\rm FO})$	1.4570	1.4616	1.4630	1.4650
Glycerine (n_c)	1.4714	1.4766	1.4783	1.4805

the phonons on either side of the bisector being equivalent. For this reason, noting that Eq. (2) is symmetric in n_i and n_s , the splittings observed for HV and VH are identical as experimentally observed in Figs. 2 and 3.

The procedures followed in the determination of elastic moduli are described in our earlier paper exasted modula are described in our earlier paper. light incident along $(0, 0, 1)$, conoscopic figures¹⁹ allowed a higher degree of precision in the alignment of the sample. This proved to be especially important for the study of phonons which did not have an extremum in the angular dependence of their velocity in the vicinity of the direction under study. In our studies, we used both right-angle and back-scattering geometries. In the calculation of the X's we have used $\rho = 4.2493 \pm 0.0005$ g/cm³, of the X's we have used $\rho = 4.2493 \pm 0.0005$ g/cm³, as determined by Straumanis, Ejima, and James.²⁰ The refractive indices were calculated from the dispersion formulae given by DeVore' and are presented in Table V.

Our experimental results for various combinations of c_{ij} 's are given in Table VI. A leasttions of c_{ij} 's are given in Table VI. A least-
squares fit^{21, 22} was made to the first seven entries in order to obtain c_{11} , c_{33} , c_{44} , c_{66} , and c_{12} ;

TABLE VI. Elastic moduli of rutile from Brillouin scattering (units: 10^{12} dyn/cm²). R, L, M, and N are defined in Table III.

Х	Experiment	Least-squares fit
c_{11}	2.674 ± 0.002	2.674 ± 0.002
c_{33}	4.790 ± 0.002	4.790 ± 0.002
C_{44}	1.232 ± 0.008	1.233 ± 0.008
c_{66}	1.886 ± 0.020	1.894 ± 0.015
$\frac{1}{2}(c_{11}+2c_{66}+c_{12})$	4.134 ± 0.016	4.135 ± 0.035
$\frac{1}{2}(c_{66}+c_{44})$	1.571 ± 0.013	1.564 ± 0.012
$\frac{1}{4}(c_{11}-c_{12}+2c_{44})$	0.832 ± 0.013	0.833 ± 0.014
$\frac{1}{2}(R+\sqrt{L})$	3.91 ± 0.15	3.993 ± 0.023
$\frac{1}{2}(R-\sqrt{L})$	1.036 ± 0.008	1.033 ± 0.023
$\frac{1}{2}(M-\sqrt{N})$	1.482 ± 0.008	1.489 ± 0.033

 c_{13} was calculated using the values thus obtained and the remaining three combinations of c_{ij} 's. The column labeled "Least-squares fit" lists the calculated values of X 's using the results of the least-squares fit; the agreement with the experimental values shows the excellent internal consistency of our results. Table VII shows the complete set of elastic moduli obtained in this investigation. Also listed are the previous determina--
gation. Also listed are the previous determina-
tions of these constants by other techniques.²³⁻²⁵ It is gratifying to note that our values agree with the most accurate previous determination by
Wachtman, Tefft, and Lam.²³ Wachtman, Tefft, and Lam.²³

We have calculated the Debye temperature Θ_{p} using our values of c_{ij} 's and a procedure of averaging the appropriate sound velocities for 400
propagation directions in one octant.²⁶ We obt $\tt{proparation}$ directions in one octant. 26 We obtain Θ_D = 758 ± 6°K which is to be compared with Θ_D $= 778 \pm 5$ °K deduced by Sandin and Keesom²⁷ from specific-heat measurements. Robie and Edwards²⁶ have calculated $\Theta_D = 775$ K using c_{ij} 's of Ref. 24;

Method	c_{11}	c_{33}	c_{44}	c_{66}	c_{12}	c_{13}	Ref.
Brillouin scattering $(\sim 23 \degree C)$	2.674 ± 0.002	4.790 ±0.002	1.233 ±0.008	1.894 ± 0.015	1.808 ±0.037	1.466 ± 0.029	Present study
Illtrasonic resonance	2.660 ± 0.066	4.699 ±0.081	1.239 ±0.007	1.886 ±0.050	1.733 ±0.071	1.362 ±0.081	23
Ultrasonic pulse technique	2.73	4.84	1.25	1.94	1.76	1.49	24
Ultrasonic pulse technique	2.48 ±0.08	4.52 ±0.08	1.20 ±0.03	1.6 ± 0.1	2.0 ± 0.1	1.4 ± 0.1	25

TABLE VII. Elastic moduli of rutile (units: 10^{12} dyn/cm²).

FIG. 4. Brillouin spectra of rutile (R) , solid line, and fused quartz (FQ), dashed line, taken under identical experimental conditions. The spectra were recorded using 5145-A incident radiation. The scattering geometry for the rutile sample is the same as that used to obtain Fig. 3.

the increase from 758 to 775 $^{\circ}\!$ K arises from the differences in the values for c_{ij} 's used. We feel that the accuracy of the results in the present investigation suggests that the difference between Θ_{p} obtained from our room-temperature c_{ij} 's and that obtained from low-temperature specificheat data, is due to changes in c_{ij} 's as the temperature is decreased.

B. Elasto-optic constants: Comparison with fused quartz

The absolute values of several of the elastooptic constants of rutile were deduced from an intercomparison of the intensities of the Brillouin

components of rutile and fused quartz. For fused quartz, the intensity (I) of the longitudinal Brillouin component with incident light vertically polarized is proportional to $4n^8p_{12}^2/c_{11}$; the intensities of the Brillouin components of rutile for some of the geometries studied are givenin Table IV. The constants of proportionality associated with the intensity expressions for the Brillouin components are the same for fused quartz and rutile.

The Brillouin spectra of fused quartz and rutile were recorded in the right-angle scattering geometry. Figure 4 shows typical spectra from which the experimental results were obtained. These were recorded with 5145-A exciting radiation, the scattering geometry for rutile being the same as that used in Fig. 3. Since the intensity of the exciting radiation inside the sample is affected by the contributions from the reflections at the surfaces, the effect was minimized by immersing the samples in glycerine. Table V lists the refractive indices of glycerine²⁸ and fused quartz²⁹ used in the reduction of our data. We have used p_{12} = +0.270 for fused quartz which has a very small wavelength dependence as determined by
Primak and Post.³⁰ Also, c_{11} =7.8394×10¹¹ Primak and Post.³⁰ Also, $c_{11} = 7.8394 \times 10^{11}$ $dyn/cm²$ obtained by Bogardus³¹ for fused quartz was used in our calculations. In Table VIII we give the results obtained after incorporating appropriate corrections for solid angle and transmission. In Table IX we summarize the elastooptic constants found in the literature.^{16, 17, 32-34} As can be seen, the agreement between our values in Table VIII and those in Table IX is reasonable. Using our values of $|p_{12}|$ and $|p_{13}|$, we find that agreement with the combination labeled A in Table VIII can be achieved only if p_{12} and p_{13} have opposite signs. With this assumption, the calculated

TABLE VIII. Elasto-optic constants of rutile obtained from an intercomparison with the TABLE VIII. Elasto-optic constants of rutile obtained from an intercomparison with the
Brillouin scattering in fused quartz. The values of $|p_{31}|$ were obtained with $k_i||(1/\sqrt{2})(1,1,0)$) and $\vec{k}_i||(1, 0, 0), \vec{k}_s||(0, 1, 0); |p_{12}|$ with $\vec{k}_i||(1/\sqrt{2})$ $\lfloor p_{13} \rfloor$ with $\kappa_i \lfloor (1/\sqrt{2})(1,0,\overline{1}), \kappa_s \rfloor | (1/\sqrt{2})(1,0,1);$ A with $\kappa_i || (0,0,1), \kappa_s || (1,0,0),$ and B with $\frac{1}{\lfloor p_{13} \rfloor}$ with $\mathbf{k_i} \lfloor (1/\sqrt{2})(1, 0, 1)$, $\mathbf{k_s} \lfloor (1/\sqrt{2})(1, 1, 0, 1) \rfloor$, A with $\mathbf{k_i} \lfloor (0, 0, 1)$, $\mathbf{k_s} \lfloor (1, 0, 0) \rfloor$, and B with $\mathbf{k_i} \lfloor (1/\sqrt{2})(1, 1, 0) \rfloor$. A and B refer to intensities of the rest of the p_{ij} 's are related to the intensity scattered by longitudinal phonons. All the lines studied were in the VV polarization. $A = |\frac{1}{2}(1.1671p_{12} - 0.7986p_{13})|$; $B = |\frac{1}{2}[0.5285(p_{11} + p_{12})$ $-0.9405p_{13}-1.0570p_{66}$].

λ (Å)	6328	5145	4880	4579
$ p_{31} $	0.090 ± 0.008	0.106 ± 0.008	0.112 ± 0.008	0.128 ± 0.009
$ p_{12} $	0.144 ± 0.010	0.110 ± 0.014	0.102 ± 0.014	0.082 ± 0.014
$ p_{13} $	0.140 ± 0.009	0.18 ± 0.02	0.19 ± 0.02	0.20 ± 0.02
A	0.14 ± 0.02	0.13 ± 0.02	0.13 ± 0.02	0.13 ± 0.02
B	0.15 ± 0.02	0.15 ± 0.02	0.15 ± 0.02	0.15 ± 0.02

^a The signs of the p_{ij} 's follow Ref. 34. Note, however, that the sign of p_{11} has not been determined unambiguously.

values for A are 0.140 ± 0.010 , 0.135 ± 0.017 , 0.134 ± 0.017 , and 0.126 ± 0.017 at 6328, 5145, 4880, and 4579 A, respectively. The agreement with the measured values in Table VIII is seen to be excellent. The opposite signs of p_{12} and p_{13} as determined by our results agree with the results of Ref. 34, and hence we assign the same signs as determined in that paper to our values of p_{12} and p_{13} . In this respect we would like to point out that in Ref. 16 it was found that $| 0.828p_{12} + 0.564p_{13} |$ = 0.237. This is in striking contradiction to the assumption that p_{12} and p_{13} have opposite signs. It appears that this discrepancy is due to an error in the sign of the second term in their expression for the intensity.

C. Elasto-optic constants: Ratios of intensities of Brillouin components

From Table IV it is clear that ratios of elastooptic constants can be deduced from the relative intensities of the Brillouin components. We have summarized in Table X these ratios obtained from various scattering geometries for different exciting wavelengths. Many of the ratios show a strong wavelength dependence. For example Fig. 5 shows the strong wavelength dependence found in one of the measured intensity ratios, where the incident and scattered radiation are along $z(0,0,1)$ and x $(1,0,0)$, respectively, and the incident polarization was along $y(0,1,0)$. The upper- and lower-halves of the figure were recorded with 6328- and 4579-A exciting radiation. The ratio of intensities of the quasitransverse to the quasilongitudinal is found to change from >120 to 10.2 ± 1.0 when the spectra are excited with 6328- and 4579-A radiation, respectively. The elasto-optic constants determined from the ratios in Table X together with the p_{ij} 's of Table VIII are given in Table XI.

The following considerations were used in the preparation of Table XI. The elasto-optic constants p_{12} and p_{13} were determined from a leastsquares fit of p_{12} , p_{13} , and A from Table VIII and ratios 13 and 15 in Table X; as mentioned earlier, the signs of p_{12} and p_{13} are opposite and taken to

be positive and negative, respectively, to conform to Ref. 34. Note that two sets of values are given for ratio 8 in Table X; the lower set was selected to determine p_{33} since the values obtained with this choice agree with those given in Refs. 32 and 33. It can be seen that p_{33} and p_{13} have the same sign as indicated by the sign of the ratio. On the basis of ratios 2, 6, and 7 we conclude that $|p_{11}|$ is much smaller than $|p_{12}|$ and $|p_{13}|$. The above ratios also allow a rough determination of p_{11} which is given in Table XI. It is not possible to determine the sign of p_{31} from our data; the negative sign chosen for it in Table XI follows the results of Ref. 34.

As discussed in Secs. I and III, the symmetry of the elasto-optic constants as reformulated by Nelson and Lax' and by Anastassakis and Burstein⁸ results in $p_{44} \neq p_{44} \neq p_{44} \neq p_{44}$, and $p_{66} \neq p_{66}$. Within our experimental accuracy we find p_{66} $=p_{6\bar{6}}$ and hence an average obtained from ratios 5, 10, 16, and 18 in Table X is given in Table XI. The entry labeled B in Table VIII allows us to determine the sign of p_{66} to be negative. Figure 6 illustrates the intensity changes ascribable to $p_{\overline{44}} \neq p_{44}$. In the upper part of the figure the scattering geometry is that used in Fig. 2 and the incident light is horizontally polarized. From Table IV it is clear that the intensity of the transverse Brillouin component is proportional to p_{33}^2 . The lower-half corresponds to incident and scattered light along x' $[(1/\sqrt{2}), 0, (-1/\sqrt{2})]$ and z' $[(1/\sqrt{2}),$ $0, (1/\sqrt{2})$, respectively, and horizontally polarized incident radiation. It can be shown that a transverse phonon should produce a Brillouin component at the positions labeled T with an intensity proportional to p_{44}^2 . The absence of this line in the observed spectrum clearly indicates that \overline{p}_{44} is considerably smaller than $\overline{p}_{\overline{4}\overline{4}}$ in agreement with the results of Ref. 16. The lines labeled a, a', b, b' in the lower part of the figure arise from the incident radiation reflected at the exit face of the crystal and which in turn suffers Brillouin scattering; since different phonons are selected by the reflected radiation, the frequency shifts are correspondingly changed. From ratios 4 and 12 in Table X it is clear that $p_{\overline{44}} \neq p_{44}$. This

TABLE X. (continued)

is the first verification of the prediction of Ref. 8, that $p_{ijkl} \neq p_{jijkl}$. Using the values of $|p_{31}|$, $|p_{11}|$ $-p_{12}$, and $\frac{1}{2} |p_{66}+p_{66}|$ determined in this investigation, a least-squares fit is made to ratios 1, 3, 4, 9, 11, and 12 to obtain $|p_{\overline{44}}|$ and $|p_{\overline{44}}|$. These are given in Table XI. From ratio 17, $|p_{44}|$ was calculated to be 0.0048 ± 0.0015 , 0.0012 ± 0.0010 , and 0.0045 ± 0.0005 for 5145-, 4880-, and 4579- \AA exciting radiation; also p_{44} has a sign opposite to that of $p_{4\bar{4}}$ at 5145 and 4880 Å and the same sign at 4579 Å. Ratio 14 permits us to establish that $p_{\overline{4}4} \ll p_{\overline{4}4}$. Nelson and Lazay^{16,17} have obtained $p_{44} = -0.0009$, $p_{44} = 0.0257$, and p_{44} $= 0.0253$ at 5145 Å. It is important to draw attention to the fact that they did not observe a significant difference between $p_{\overline{44}}$ and $p_{4\overline{4}}$. It is possible to calculate $(p_{44}-p_{44})$ and $(p_{44}-p_{44})$ in terms of the refractive indices as shown by Nelson and Lax.⁷ Using their Eq. (8) in which no explicit assumption has been made regarding the symmetry of the first two indices of p_{ijkl} we obtain

$$
p_{13[13]} = \frac{1}{2}(p_{44} - p_{44}) = \frac{1}{2}(1/n_e^2 - 1/n_0^2)
$$
 (5)

and

$$
p_{31[13]} = \frac{1}{2} (p_{\overline{4}4} - p_{\overline{4}4}) = \frac{1}{2} (1/n_e^2 - 1/n_0^2). \tag{6}
$$

Here the $[$ denotes the antisymmetry of the indices involved. Table XII compares the predictions of Eq. (5), with our experimental results. In order to obtain the excellent agreement seen in Table XII, it is necessary to assume, following Nelson and Lazay,^{16,17} that $p_{4\overline{4}}$ is positive. With the sign of $p_{4\bar{4}}$ thus fixed, the analysis of ratio 17 in Table X yields the sign of p_{44} given in Table XI. It is interesting to note the change in the sign of p_{44} in going from 5145 to 4579 Å. The values for $p_{\overline{4}4}$ given in Table XI are obtained from $\frac{1}{2}(1/n_e^2)$ $-1/n_0^2$ and $p_{\overline{44}}$ using Eq. (6).

D. Relative intensities of Raman and Brillouin spectra

Figure 7 shows the Brillouin spectrum and the 447-cm⁻¹ first-order Raman line of E_g symmetry of rutile³⁵ recorded under identical experimental

FIG. 5. Brillouin scattering in rutile as a function of wavelength of the exciting radiation. $\vec{k}_i \parallel (0,0,1)$ and k_s (1,0,0) with the incident polarization vertical, i.e., along $(0, 1, 0)$. The upper- and lower-halves of the figure were recorded with ~ 80 mW of 6328- \AA and ~ 250 mW of 4579-Å exciting radiation, respectively.

λ (A)	6328	5145	4880	4579
p_{12}	$+0.144 \pm 0.010$	$+0.113 \pm 0.009$	$+0.100$ ± 0.008	$+0.078 \pm 0.006$
p_{13}	-0.140 ± 0.009	-0.167 ± 0.013	-0.183 ± 0.014	-0.194 ± 0.015
p_{33}	-0.057 ± 0.009	-0.064 ± 0.010	-0.070 ± 0.011	-0.078 ± 0.011
$p_{66} \cong p_{66}$	-0.062 ± 0.005	-0.066 ± 0.004	-0.068 ± 0.006	-0.073 ± 0.006
p_{31}	-0.090 ± 0.008	-0.106 ± 0.008	-0.112 ± 0.008	-0.128 ± 0.009
P_{44}	\cdots	$+0.0237 \pm 0.0013$	$+0.0255 \pm 0.0014$	$+0.0300 \pm 0.0015$
PIT	$+0.023 \pm 0.003$	$+0.0277 \pm 0.0015$	$+0.0304 \pm 0.0017$	$+0.0344 \pm 0.0018$
p_{44}	\cdots	-0.0048 ± 0.0015	-0.0012 ± 0.0010	$+0.0045 \pm 0.0005$
p_{44}	-0.006 ± 0.003	$+0.0001 \pm 0.0015$	$+0.0032 \pm 0.0017$	$+0.0078 \pm 0.0018$
p_{11}	$+0.012 \pm 0.015$	-0.001 ± 0.018	-0.005 ± 0.021	-0.017 ± 0.034

conditions with a grating double monochromator 36 ; the results are corrected for the instrument function. The scattering geometry used is the same as that in Fig. 2 with incident light vertically polarized. From Table IV, it can be seen that the longitudinal Brillouin component appears only in the VV polarization. The scattering efficiency of the longitudinal Brillouin component S per unit crystal length, per unit solid angle at temperature T can be shown to be 37

$$
S(Brillouin_{L}) = \frac{kT\omega_s^4}{128\pi^2c^4} \frac{8n_0^8p_{31}^2}{c_{11} + c_{12} + 2c_{66}},
$$
 (7)

where ω_s is the frequency of the scattered light. The scattering efficiency for the Baman lines may be defined by the following equation:

$$
S(\text{Raman}) = K(\hat{e}_s \cdot \text{T} \cdot \hat{e}_i)^2, \tag{8}
$$

where T is the appropriate Ram<mark>a</mark>n tensor.³⁷ From a comparison of Eq. (8) above and Eq. (4) of Ref. 3 for the zone-center optical phonon of diamond we obtain

$$
K = (2\hbar \omega_s^4 N^2 / \rho c^4 \omega_j)(n_0 + 1),
$$
\n(9)

where N is the number of primitive cells per unit volume, n_0 is the Bose population factor, and ω_i is the frequency of the Baman line. It should be noted that K has been deduced for the zone-center optical phonon for diamond by Smith from a microoptical phonon for diamond by Smith from a miscopic description of the normal mode.³⁸ Since we have not carried out a corresponding calculation for the 447 -cm⁻¹ E_g line of rutile, the single constant d characterizing the Raman tensor per unit cell should only be regarded as an experimentally determined parameter. For the geometry used in Fig. 7, it can be shown using the Raman tensors given in Ref. 35,

 $S(\text{Raman}) = (2\hbar\omega_x^4 N^2 d^2 / \rho c^4 \omega_y)(n_0 + 1).$ (10)

From our experimental results we find that the ratio of the integrated intensity of the Baman line

FIG. 6. Brillouin scattering spectra of rutile, illustrating the dramatic changes in intensities due to the recently predicted (Refs. 7 and 16) changes in the p_{ij} 's. In the upper-half of the figure the scattering geometry is that of Fig. 2 and the incident light is horizontally polarized. The lower-half corresponds to incident and scattered light along x' $[(1/\sqrt{2}), 0, (-1/\sqrt{2})]$ and z' $[(1/\sqrt{2}), 0,$ $(1/\sqrt{2})$, respectively, and horizontally polarized incident radiation. The arrows indicate the positions at which the transverse components for this geometry are expected. The lines labeled a, a', b , and b' can be traced to the presence of a reflected laser beam from the exit face of the sample. The spectra were recorded with 4880-A exciting radiation.

TABLE XII. Rotational contribution to Brillouin scattering calculated from Eq. (5) using the refractive indices given in Table V. In order to obtain the agreement in sign, it is necessary to assume that the sign of P_{44} is positive.

λ (Å)	6328	5145	4880	4579
Calc.	-0.0143	-0.0138	-0.0136	-0.0133
Expt.	\cdots	-0.0143 ± 0.0014	-0.0134 ± 0.0012	-0.0128 ± 0.0010

FIG. 7. Brillouin spectrum and the 477 -cm⁻¹ firstorder Raman line of rutile recorded with the scattering geometry of Fig. 2. The spectra were obtained using vertically polarized 5145-A exciting radiation under identical experimental conditions on a double monochromator. The data presented in this figure have been corrected for the instrument function.

to that of the longitudinal Brillouin component is 3.3 ± 0.6 . In the determination of this ratio, we have taken into account the effects of solid angle and transmission factors described earlier. From Eqs. (7) and (10) we obtain $d=22\pm 2$ \AA^2 . using $N = 1.6 \times 10^{22}$ cm⁻³ calculated with the lattice parameters³⁹ and the other constants given in this paper.

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