# Electric-field-induced optical second-harmonic generation in KTaO<sub>3</sub> and SrTiO<sub>3</sub>

Y. Fujii and T. Sakudo

Electrotechnical Laboratory, Tanashi, Tokyo, Japan
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Electric-field-induced optical second-harmonic generation (SHG) has been studied for KTaO<sub>3</sub> and SrTiO<sub>3</sub> in the temperature range from 300 to 4.2 K. The results can be summarized by the fourth-order Miller constants (in units of  $10^{-11}$  esu): for KTaO<sub>3</sub>,  $|\Delta_{1111}| = 2.2 \pm 30\%$  and  $|\Delta_{1122}| = 0.77 \pm 33\%$ ; for SrTiO<sub>3</sub>,  $|\Delta_{1111}| = 0.56 \pm 34\%$  and  $|\Delta_{1122}| = 0.77 \pm 36\%$ . The anisotropy of the SHG coefficients varies considerably among these isomorphous crystals: the ratios  $|d_{33}/d_{31}|$  are 2.86  $\pm$  6%, 0.74  $\pm$  5%, and 0.37  $\pm$  15% for KTaO<sub>3</sub>, SrTiO<sub>3</sub>, and BaTiO<sub>3</sub>, respectively. This is discussed in terms of Levine's bond-charge model of nonlinear optical susceptibilities.

#### I. INTRODUCTION

Recently, there has been considerable progress in understanding the microscopic mechanism of nonlinear optical properties. Theoretical analysis based on a bond-charge model has been especially successful in systematizing the optical second-harmonic-generation (SHG) coefficients of many AB compounds. There has also been a substantial effort to extend this theory to ferroelectric oxide crystals such as LiNbO<sub>3</sub> and BaTiO<sub>3</sub>. The successful progression of the successful progression of

KTaO<sub>3</sub> and SrTiO<sub>3</sub>, isomorphous with BaTiO<sub>3</sub>, are paraelectric and nonpiezoelectric at all temperatures, <sup>4</sup> but have dielectric constants as high as 10<sup>4</sup> at liquid-helium temperature. <sup>5,6</sup> We have studied the effect of electric fields on their optical properties, <sup>7,8</sup> with the viewpoint that these displacive-type ferroelectrics are best understood in terms of "spontaneous-polarization-induced" effects on the paraelectric "prototype" crystals. Previously, we have reported that the optical SHG was easily observable for SrTiO<sub>3</sub> and KTaO<sub>3</sub> when an external electric field was applied, and that the induced SHG coefficients were found to be proportional to the induced polarization. <sup>7</sup>

The electric-field-induced optical SHG is described by a fourth-rank tensor  $f_{ijkl}$  as<sup>9</sup>

$$P_i^{2\omega} = \sum_{jkl} f_{ijkl}^{2\omega 0\omega\omega} E_j^0 E_k^\omega E_l^\omega , \qquad (1)$$

where  $P_i$  and  $E_i$  denote, respectively, the ith components of an induced polarization and an electric field in the crystal (i=1, 2, 3): parallel to the pseudocubic  $\langle 100 \rangle$  directions). Superscripts indicate the frequencies concerned. Experimentally, this effect is analyzed with a conventional SHG coefficient  $d_{ijk}$  which is related to  $f_{ijkl}$  by

$$d_{ikl}^{2\omega\omega\omega} = \sum_{j} f_{ijkl}^{2\omega0\omega\omega} E_{j}^{0}. \tag{2}$$

For highly polarizable materials such as  $KTaO_3$  and  $SrTiO_3$ , the electric field effect is better described as a function not of the external field but

of the local field which is proportional to the induced polarization. In this connection, it is useful to employ the fourth-order Miller constants  $\Delta_{ijkl}$  defined by  $^{9,10}$ 

$$\Delta_{ijkl} = \left(\chi_{ii}^{2\omega} \chi_{jj}^{0} \chi_{kk}^{\omega} \chi_{ll}^{\omega}\right)^{-1} f_{ijkl}^{2\omega 0\omega \omega}. \tag{3}$$

Using  $\Delta_{ijkl}$ , Eq. (1) is transformed to

$$E_i^{2\omega} = \Delta_{iibl} P_i^0 P_b^{\omega} P_l^{\omega}. \tag{4}$$

Experimental observations, to be described in Sec. III, show that the  $d_{ijk}$  are quite sensitive to temperature and to the bias-field strength, whereas the  $\Delta_{ijkl}$  are not .

In a perovskite-type crystal biased along the pseudocubic [001] direction, the ratio of the nonlinear coefficients  $(d_{33}/d_{31})$  has an important bearing on the bond-charge theory. In this theory, we employ the following assumptions: (i) the macroscopic nonlinear optical susceptibility  $d_{ijk}$  may be

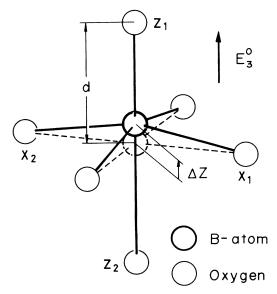


FIG. 1.  $B-O_6$  octahedron in the  $ABO_3$  perovskite-type crystals under a biasing electric field along the [001] direction.

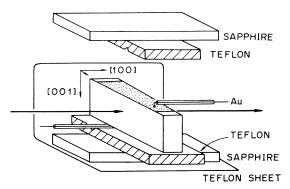


FIG. 2. Sample and insulating accessories. The electrodes are evaporated Al, ultrasonically welded with Au lines and covered with GE-7031 insulating varnish.

resolved into contributions from various types of bonds  $as^{2,11}$ 

$$d_{ijk} = \sum_{\mu} G^{\mu}_{ijk} \beta^{\mu} , \qquad (5)$$

where  $\beta^{\mu}$  stands for the nonlinear optical polarizability of the  $\mu$ th bond and  $G_{ijk}$  is the bond geometrical factor; (ii) the nonlinear bond polarizability tensor is so highly anisotropic that the component transverse to the bond direction is negligible; (iii) contributions from the B-O bonds predominate over those from the A-O bonds (cf. Table I); and (iv) the dependence of  $\beta$  on the bond length d can be represented as a power law<sup>3</sup>

$$\beta \propto d^{\sigma_{\rm NL}}$$
 (6)

We then get a particularly simple relation (for the derivation, see the Appendix and Fig. 1)

$$d_{33}/d_{31} = \sigma_{\rm NL}$$
, (7)

irrespective of other details of the bond-charge theory. Hence, the experimental determination of the ratio  $d_{33}/d_{31}$  provides us with a chance to study the microscopic orgin of the nonlinear optical phenomena in this type of crystal. Other aspects of the bond-charge theory will also be described in Sec. IV.

## II. EXPERIMENTAL

The KTaO $_3$  crystal used in the experiment was grown by a top-seeded solution-growth method in our laboratory. The SrTiO $_3$  crystal was grown by a flame-fusion method at Nakazumi Crystals Corp. In order to employ the wedge technique for the Maker-fringe observation, <sup>12</sup> crystals were cut and polished to wedge shape, with dimensions of  $2\times6\times(1-2)$  mm³ and with wedge angle of  $10^{-2}-10^{-3}$  rad. Each face except the inclined one was pseudocubic (100) plane. Electrodes were evaporated with aluminum on the  $(1-2)\times6$ -mm² faces. Insulating accessories around the sample holder are

shown in Fig. 2.

The experimental arrangement is schematically shown in Fig. 3. An acoustically Q-switched YAG: Nd laser (transversely single moded, 100mW average power, 100-cps pulse with 160-nsec width) was used as a fundamental light source. Half of the laser beam, divided by a splitter, was focused on a quartz crystal which emitted a reference SHG signal. The main beam was passed through a quarter-wave plate, a polarizer and filters, and focused by a lens (f=100 mm) on the sample mounted at the holder in a cryostat. The cryostat temperature was controlled within ±0.02 ℃ by the combination of a cold gas flow and a heater around the holder. For the Maker-fringe observation, the cryostat was set on a movable stage which was motor driven to move perpendicular to the laser beam at a rate of 0.1-0.2 mm/min. For biasing the sample, a high-power pulse generator supplied a voltage pulse, (up to 4 kV,  $30-\mu$ sec duration) synchronized with the laser pulse.

SHG light beams generated from both the sample and the reference quartz were passed through ir-cut filters, analyzer, dielectric multilayer filters and ground-glass diffusers and were detected by cooled photomultipliers. These two signals were accumulated by boxcar integrators, and the value of the ratio was recorded. From the period of the recorded signal, the coherence length was obtained. Then, from the observed ratio of the average value of SHG power R, one can calculate the nonlinear coefficient of the sample (A) relative to that of quartz (B) with the relation (A)

$$\left| \frac{d_A}{d_B} \right| = \sqrt{R} \left( \frac{l_B}{l_A} \right) \left( \frac{n_A^{\omega} + 1}{n_B^{\omega} + 1} \right)^2 \left( \frac{n_A^{2\omega} + 1}{n_B^{2\omega} + 1} \right), \tag{8}$$

where  $l_A$  and  $n_A^\omega$  are the coherence length and the refractive index of the sample (A), etc. In practice, over-all transmittance of the two optical paths and several other factors due to, e.g., multiple reflections at the sample surfaces were also

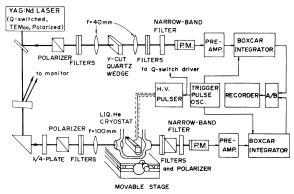


FIG. 3. Schematic diagram of experimental arrangement.

needed to be corrected for.

For observations of the electric field dependence and temperature characteristics, to be shown in Figs. 4 and 5, a different experimental arrangement using a Q-switched Nd-glass laser (10-MW peak power), a dc biasing voltage supply, oscilloscope recordings and the method of signal averaging with a wedge-shaped sample<sup>13</sup> was employed.

## III. RESULTS

#### A. KTaO<sub>3</sub>

First, we examined the proportionality of the induced SHG coefficients and the corresponding polarization characteristics. Figures 4(a) and 4(b) show the electric field dependence of the nonlinear susceptibilities at 78 and 4.2 K, respectively. Lines in the figures represent the induced polarization P calculated from the observed dielectric constant  $e^{14}$  e(E) with the relation

$$P(E) = \frac{1}{4\pi} \int_{0}^{E} [\epsilon(E') - 1] dE'.$$
 (9)

The temperature dependence of coefficients  $d_{33}$  and  $d_{31}$  under a constant biasing field is shown in Fig. 5. Each point in these figures represents the average of about ten measurements. Corresponding characteristics of the polarization are also shown for comparison. Except for a small extra contribution, to be explained in Refs. 15 and 16 the induced SHG susceptibilities were observed to vary concurrently with the electric polarization.

Next, using the arrangement described in the previous section, the magnitude of the induced SHG susceptibilities was carefully determined at 78 K and at 1.9 K. For this purpose, the value of the coherence length  $l_c$  of this crystal was measured by observing the periodicity of the Makerfringe for the SHG signal at both temperatures to be

$$l_c = 2.77 \ \mu \text{m} \pm 2\%$$
 . (10)

This agrees well with the value calculated from the linear susceptibilities at room temperature  $^{14}$  ( $n^{\omega}=2.171$  and  $n^{2\omega}=2.266$ , which gives  $l_c=2.79~\mu\mathrm{m}$ . Then, from the SHG measurement at 78 K and at  $E_3^0=20.5~\mathrm{kV/cm}$ , we obtained

$$\begin{aligned} \left| d_{33} \right| &= (2.4 \pm 7\%) \, d_{11}^{\text{quartz}} = (2.8 \pm 27\%) \times 10^{-9} \text{ esu,} \\ \left| d_{31} \right| &= (0.86 \pm 9\%) \, d_{11}^{\text{quartz}} = (1.0 \pm 29\%) \times 10^{-9} \text{ esu,} \end{aligned} \tag{11} \\ \left| d_{33} \middle/ d_{31} \right| &= 2.70 \pm 4\%, \end{aligned}$$

and, from the measurement at 1.9 K and  $E_3^0 = 15.3$  kV/cm, we obtained

$$\begin{vmatrix} d_{33} \end{vmatrix} = (6.2 \pm 8\%) d_{11}^{\text{quartz}} = (7.5 \pm 28\%) \times 10^{-9} \text{ esu},$$
  
 $\begin{vmatrix} d_{31} \end{vmatrix} = (2.1 \pm 11\%) d_{11}^{\text{quartz}} = (2.5 \pm 31\%) \times 10^{-9} \text{ esu}, (12)$ 

$$|d_{33}/d_{31}| = 3.03 \pm 4\%$$
,

where we employed as the reference for the absolute value the recommended value for quatrz  $d_{11}^{\rm wartz} = (1.20 \pm 20\%) \times 10^{-9} \ {\rm esu.}^{17}$  Kleinman's condition  $d_{31} = d_{15}$  was found to hold within the experimental accuracy of observation. <sup>18</sup> We now evaluate the Miller constants, using <sup>19</sup> Eq. (3) and the result of dielectric measurement. <sup>14</sup> The observed thirdrank SHG coefficients shown in Eqs. (11) and (12) can both be reduced, within experimental error, to the fourth-rank Miller constants

$$|\Delta_{1111}| = (2.2 \pm 30\%) \times 10^{-11} \text{ esu,}$$

$$|\Delta_{1122}| = (0.77 \pm 33\%) \times 10^{-11} \text{ esu,}$$
(13)

which are thus found to be independent of temperature. The ratio of the constants is, ignoring the small variation of the ratio with temperature, equal to

$$\left| \frac{\Delta_{1111}}{\Delta_{1122}} \right| = \left| \frac{d_{33}}{d_{31}} \right| = 2.86 \pm 6\% . \tag{14}$$

Here, it is to be noted that the experimental accuracy of the ratio is much better than that of the separate components. This is of importance from a theoretical viewpoint described below.

For this crystal, we have shown in a previous report<sup>7</sup> that the electric-field-induced optical SHG effect was easily observable. The magnitude of the  $d_{ijk}$  coefficients was found, except for an extra constant contribution, to be proportional to that of the induced polarization, even at lower temperatures where the P-E characteristics were highly nonlinear. Here we report the refinement of data for this crystal at 120 K well above the structural phase transition temperature.

The value of the coherence length determined from the Maker-fringe observation was

$$l_c = 1.98 \ \mu \text{m} \pm 3\%$$
 . (15)

The difference between  $l_{33}$  and  $l_{31}$  due to the quadratic electro-optic effect was negligible and the value agrees well with the one calculated from refractive indices at room temperature  $^{20}$   $(n^{\omega}=2.313$  and  $n^{2\omega}=2.445$ , which gives  $l_c=2.02~\mu\mathrm{m})$ . The SHG observation at 120 K and  $E_3^0=20.5~\mathrm{kV/cm}$  indicated that

$$\begin{aligned} \left| \, d_{33} \right| &= (1.\,11 \pm 12\%) \, d_{11}^{\,\rm quar\,tz} = (1.\,3 \pm 32\%) \times 10^{-9} \,\, {\rm esu}, \\ \left| \, d_{31} \right| &= (1.\,51 \pm 14\%) \, d_{11}^{\,\rm quar\,tz} = (1.\,8 \pm 34\%) \times 10^{-9} \,\, {\rm esu}, \\ \left| \, d_{33} / d_{31} \right| &= 0.\,74 \pm 5\%. \end{aligned} \tag{16}$$

Kleinman's condition  $d_{31} = d_{15}$  was also found to hold in this crystal. The Miller constants were eval-

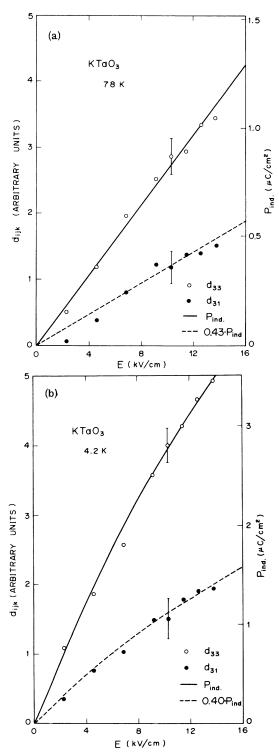


FIG. 4. Electric field dependences of  $d_{33}$  and  $d_{31}$  for KTaO<sub>3</sub> at (a) 78 K and at (b) 4.2 K. Experimental points in the figures represent averages of about ten measurements, and the bars show the typical standard errors. Residual intensity at zero-applied field is subtracted from the observed SHG intensity by the procedure explained in Ref. 15. Lines in the figures represent the observed characteristics of the induced polarization.

uated, using the corresponding dielectric data, 6 as

$$\left| \Delta_{1111} \right| = (0.56 \pm 34\%) \times 10^{-11} \text{ esu,}$$

$$\left| \Delta_{1122} \right| = (0.77 \pm 36\%) \times 10^{-11} \text{ esu.}$$
(17)

### IV. DISCUSSION

First, let us comment briefly on the relative sign of  $d_{33}$  and  $d_{31}$ . Unfortunately, as the difference of coherence lengths  $(l_{33}$  and  $l_{31})$  is very small, it was difficult to determine the relative sign in our case by the conventional interference method. <sup>21</sup> For SrTiO<sub>3</sub>, the observation indicated that they were probably of the same sign. For KTaO<sub>3</sub>, it was not possible to determine the sign experimentally. Previously, Jerphagnon has studied the relation between the spontaneous polarization and the vector part v of the nonlinear susceptibility tensor, <sup>22</sup> and found the empirical rule

$$v = -(1.0 \pm 0.3) \times 10^{-7} P_s \text{ esu.}$$
 (18)

Applying this rule to the present case with Eqs. (2) and (3), we find the relation

$$\Delta_{1111} + 2\Delta_{1122} = -(3.3 \pm 1.0) \times 10^{-11} \text{ esu.}$$
 (19)

Then, from the experimental data shown in Eqs. (13) and (14), we see that the values of  $|\Delta_{1111}| + 2\Delta_{1122}|$  should be (in units of  $10^{-11}$  esu) 3.75 for KTaO<sub>3</sub> and 2.10 for SrTiO<sub>3</sub> if the sign is the same. On the other hand, if they are of different sign, they should be, respectively, 0.67 and 0.98. Hence, Jerphagnon's relation clearly favors for the same sign for  $d_{33}$  and  $d_{31}$  in both crystals.

Next, we compare the observed results with calculations based on the bond-charge theory. Following Levine's theoretical treatment of nonlinear optical susceptibility,  $^{2,3}$  we have evaluated various parameters to obtain the theoretical values of  $\beta$  and  $\sigma_{\rm NL}$  for KTaO3 and SrTiO3. The results are summarized, together with those for BaTiO3

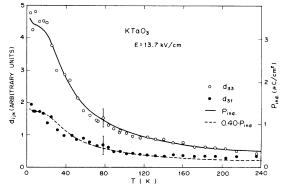


FIG. 5. Temperature dependences of  $d_{33}$  and  $d_{31}$  for KTaO<sub>3</sub> under E=13.7 kV/cm. Lines are the corresponding characteristics of the induced polarization.

TABLE I. Theoretical parameters from the bond-charge theory, and the experimentally determined  $\beta$  and  $\sigma_{\rm NL}$  (= $d_{33}/d_{31}$ ), for KTaO<sub>3</sub>, SrTiO<sub>3</sub>, and BaTiO<sub>3</sub> crystals.

	KTaO <sub>3</sub>		SrTiO <sub>3</sub>		BaTiO <sub>3</sub> <sup>a</sup>	
-	K - O	Ta - O	Sr-O	Ti - O	Ba-O	Ti –O
$d^{\boldsymbol{\mu}}(\mathring{\mathrm{A}})^{\mathtt{b}}$	2.82	2.00	2.76	1,95	2.81	2.00
$4\pi\chi^{\boldsymbol{\mu}}$	2.22	6.34	2.05	8.36	2.41	7.74
$\chi_b^{\mu}$ (Å <sup>3</sup> )	0.62	$1.78^{a}$	0.54	$2.20^{a}$	0.68	2.20
$Z_{lpha}^{*}$		5.9ª		7.1ª		7.1
$C^{\mu}$ (eV)	11.0	14.9	16.2	12.9	15.5	13.0
$E_h^\mu$ (eV)	3.0	7.2	3.2	7.6	3.1	7.1
$f^{\mu}_{i}$	0.929	0.813	0.963	0.745	0.962	0.768
$\delta^{\mu}$ (10 <sup>-28</sup> esu)	0.04	2.09	0.02	3.53	0.04	3.5
$\beta^{\mu}$ (10 <sup>-30</sup> esu)	0.11	6.0	0.11	16.8	0.2	17
$\beta$ (expt) (10 <sup>-30</sup> esu)	$\textbf{10.8} \pm 37\%$		$\textbf{16.4} \pm \textbf{40}\%$		$+21\pm28\%$	
$\sigma^{\mu}_{b}$		+1.38		+0.37		+0.71
$\sigma_{\mathbf{NL}}^{\mu}$	-1.67		+1.43			+0.49
σ <sub>NL</sub> (expt)	$(\pm) \ 2.86 \pm 6\%$		$(+)0.74 \pm 5\%$		+0.37 ± 15%	

<sup>&</sup>lt;sup>a</sup>See Ref. 3.

for comparison, in Table I.

The outline of the bond-charge theory and the notations involved are described in the Appendix. To evaluate the linear susceptibilities, we adopted as optical refractive indices at the low-frequency limit n = 2.143 for KTaO<sub>3</sub> and n = 2.270 for  $SrTiO_3$ . The values of  $\chi_b^{\mu}$  for Ti-O and Ta-O bonds are estimated from the data of TiO2 and  ${\rm Li\,TaO_3.}^3$   $Z^*_{\alpha}$  in Table I stands for the effective transition-metal core charge<sup>23</sup> which is assumed to be the same as Ti in TiO2 and Ta in LiTaO3, 3 respectively. The  $\beta$  value was calculated using Eq. (A6), where the parameters involved were evaluated according to the bond-charge theory. 2,3 The  $\sigma_{NL}$  value was calculated using Eq. (A8), where the parameter  $\sigma_h$  was evaluated using the empirical rule for the dependence of  $\sigma_b$  on  $f_i$  due to Levine<sup>3</sup>; for d-electron compounds,

$$\sigma_{b} = \begin{cases} 0 & (f_{i} < F_{d}), \\ 14.8 (f_{i} - F_{d}) & (f_{i} > F_{d}), \end{cases}$$
 (20)

where  $F_d$  represents a critical ionicity and is found to be 0.72. The experimental  $\beta$  values cited in the table were derived from the observed  $d_{31}$  values using Eq. (13) where the shift  $\Delta Z$  of the B atom was estimated from the magnitude of polarization P assuming that  $\Delta Z$  and P were proportional. <sup>24</sup>

In the table, one notices the following: (i) the theoretical values of  $\beta^{\mu}$  for the B-O bond are much larger than those of the A-O bond, which justifies the assumption that the contribution from the A-O bond may be neglected in evaluating  $\sigma_{\text{NL}}$ . (ii) The experimental values of  $\beta$  are found to be in fair agreement with theoretical ones, though the latter are a little smaller for KTaO3 and BaTiO3. (iii) For the  $\sigma_{\text{NL}}$  values, there seems to be a substantial discrepancy between theory and experiment for both KTaO3 and SrTiO3. Especially, the theory predicts a negative sign for  $\sigma_{\text{NL}}$  in KTaO3 (i.e., a different sign for  $d_{33}$  and  $d_{31}$ ), which contradicts Jerphagnon's rule (see above) and thus remains to be clarified experimentally.

Let us conclude with a comment on the observed disagreement for the  $\sigma_{\rm NL}$  value. Within the framework of the bond-charge theory, it seems that, as the theoretical  $\sigma_{\rm NL}$  is a sensitive function of  $f_i$  or  $\sigma_b$  [c.f. Eqs. (A7) and (A8)], a modification of the empirical relation of  $\sigma_b - f_i$  expressed by Eq. (20) is needed. Or, it might suggest that some of the assumptions on which Eq. (7) is based are not warranted and need to be modified. Anyway, because of the theoretical importance of the parameter and the relatively high accuracy of the experimental determination of the ratio, this disagreement should not be simply overlooked but regarded as an interesting starting point for a further investigation of the mechanism of the non-

<sup>&</sup>lt;sup>b</sup>F. Jona and G. Shirane, *Ferroelectric Crystals* (Pergamon, Oxford, 1962), Chap. V, p. 217.

linear optical phenomena in this type of crystals.

## **ACKNOWLEDGMENTS**

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#### APPENDIX

To explain the notation used in the text (especially in Sec. IV and Table I), we now summarize the bond-charge theoretical treatment of nonlinear optical phenomena, mostly following Levine's papers.  $^{1,2,23}$  The theory is based on the following assumptions: (i) Charge in the bonding region in a crystal is weakly bound and highly mobile. Hence it is the main contributor to the linear and the nonlinear optical susceptibilities. The bond charge q is calculated as

$$(q/e) = n_v [1/\epsilon + (1/3)(1 - f_i)],$$
 (A1)

where  $n_v$  is the ratio of the number of s and p electrons to the number of bonds,  $\epsilon$  is the low-frequency electronic dielectric constant, and  $f_i$  is the fractional ionicity (see below). (ii) The fractional ionicity of the bond can be determined by separating the average gap  $E_{\ell}$  into homopolar  $E_h$  and heteropolar C parts and then using

$$E_g^2 = E_h^2 + C^2$$
, (A2)

$$f_i = C^2 / E_s^2 \,. \tag{A3}$$

Expressions for  $E_{\ell}$  and  $E_{h}$  are given by

$$4\pi\chi = (\hbar\omega_b)^2 AD/E_E^2, \qquad (A4)$$

$$E_h = 39.74 \, d^{-s} \, (eV), \quad s = 2.48 \,, \tag{A5}$$

where  $\chi$  is the low-frequency electronic susceptibility of the crystal,  $\omega_{p}$  the plasms frequency, A and D the correction factors (of order unity), and d is the bond length. For complex crystals composed of different bonds, these quantities must be specified for each type of bond (when necessary labelled by superscript  $\mu$ ).

One can then obtain the macroscopic nonlinear optical coefficient  $d_{ijk}$  from the constituent bond contributions  $\beta$  [cf. Eq. (5)]. The latter can be calculated, neglecting the homopolar contribution as in the case of BaTiO<sub>3</sub>, <sup>3</sup> by the theoretical expression

$$\beta = (\chi^{\omega})^{2} \chi^{2\omega} \delta,$$

$$\delta = \frac{600 b e^{-kd/2} [Z_{\alpha} + (n/m)Z_{\beta}] \chi_{b}^{2} C}{E_{x}^{2} d^{2}(q/e) \chi^{3}} \text{ esu,}$$
(A6)

where  $\delta$  is the Miller constant,  $e^{-kd/2}$  the Thomas-Fermi screening factor, b the prescreening factor,  $Z_{\alpha}$  and  $Z_{\beta}$  the numbers of valence electrons on the two bonding atoms ( $\alpha$  and  $\beta$ ),  $n/m = \frac{3}{2}$  for  $ABO_3$  compounds, and  $\chi_b$  is the linear susceptibility of

a single bond.

The power-law exponent of the dependence of  $\beta$  on d is given by Eq. (6) in the text, or by

$$\sigma_{\rm NL} \equiv \frac{d}{\beta} \frac{\partial \beta}{\partial d}$$
 (A7)

By differentiating Eq. (A6), we get the expression

$$\sigma_{\rm NL} = \sigma_0 - (6f_i - 2) \sigma_b \,, \tag{A8}$$

where  $\sigma_b$  represents the power-law exponent of the prescreening factor b on d, and  $\sigma_0$  is given by

$$\sigma_0 = (6s - \frac{1}{2}kd - 9) - \left[6(s - 1) - \frac{3}{2}kd\right]f_i. \tag{A9}$$

These relations were used to calculate the  $\sigma_{\rm N\,L}$  value cited in Table I.

If a crystal is composed of different types of bonds then the total electronic susceptibility  $\chi$  may be resolved as

$$\chi = \sum_{\mu} F^{\mu} \chi^{\mu} = \sum_{\mu} N_b^{\mu} \chi_b^{\mu}, \qquad (A10)$$

where  $\chi^{\mu}$  is the total macroscopic susceptibility which a crystal composed entirely of bonds of type  $\mu$  would have,  $F^{\mu}$  the fraction of the  $\mu$ th-type bond,  $\chi^{\mu}_{b}$  the single bond susceptibility, and  $N^{\mu}_{b}$  is the number of bonds per cm<sup>3</sup>. For  $ABO_3$  crystals,

$$\chi(ABO_3) = \frac{2}{3} \chi^{(A-O)} + \frac{1}{3} \chi^{(B-O)}$$
 (A11)

Finally, we derive the important relation represented by Eq. (7). Consider the geometry of the B-O bonds in the perovskite-type crystal with the shift of B ion shown in Fig. 1, then, as  $G_{ijk}$  in Eq. (5) is simply a product of the direction cosines between the bond axes and the crystallographic axes, we can see that the  $d_{33}$  coefficient is mainly contributed from the B-O( $z_1$ ) bond and the B-O( $z_2$ ) bond, so called "the bond-stretching contribution," which may be calculated as follows:

$$\begin{split} d_{33} &= \sum_{\mu} G_{333}^{\mu} \, \beta^{\mu} \\ &= \frac{1}{V} \bigg[ \left( \beta_{0} - \frac{\partial \beta}{\partial d} \, \Delta Z \right) \, - \left( \beta_{0} + \frac{\partial \beta}{\partial d} \, \Delta Z \right) \bigg] + O\bigg( \left( \frac{\Delta Z}{d} \right)^{3} \bigg) \\ &= - \frac{2}{V} \left( \frac{\partial \beta}{\partial d} \right) \left( \frac{\Delta Z}{d} \right) \left( \frac{d}{\beta} \right) \beta_{0} + O\bigg( \left( \frac{\Delta Z}{d} \right)^{3} \bigg) \\ &\simeq - \frac{2}{V} \, \sigma_{\text{NL}} \left( \frac{\Delta Z}{d} \right) \, \beta_{0}. \end{split} \tag{A12}$$

On the other hand, the  $d_{31}$  coefficient contributed by the B-O( $x_1$ ) and the B-O( $x_2$ ) bonds, so-called "the bond-bending contribution," is

$$d_{31} = -\frac{2}{V} \left( \frac{\Delta Z}{d} \right) \beta_0 + O\left( \left( \frac{\Delta Z}{d} \right)^3 \right).$$

Hence, we obtain the relation  $d_{33}/d_{31}=\sigma_{\rm NL}$ . It should be remarked that this relation does not include  $\beta$  and so it should be possible to compare  $\sigma_{\rm NL}$  and  $\beta$  independently with experiment.

<sup>1</sup>Reference 2 includes an extensive collection of references in this area.

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<sup>15</sup>At zero-applied field a small residual SHG intensity  $I_0$  was also observed amounting to, respectively, 3% and 0.6% of the observed SHG intensity  $I^{obs}(E)$  for E = 13.7

kV/cm at 78 and 4.2 K. We have tested two methods of subtracting the extra contribution: that is,  $d_{ijk}$  $\propto [I^{obs}(E) - I_0]^{1/2}$  under the out-of-phase condition and  $d_{ijk} \propto [I^{\text{obs}}(E)]^{1/2} - I_0^{1/2}$  under the in-phase condition. The former procedure proved to give better fit with the polarization characteristics, the results of which are plotted in Fig. 4. As this effect is negligible for data in Fig. 5, no subtraction was done for the figure. This effect was previously reported for the case of electric-field-induced SHG in CaCO3 (Ref. 16). <sup>16</sup>R. W. Terhune, P. D. Maker, and C. M. Savage,

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