Electrostatic energy and its contribution to elastic coefficients for body-centered-tetragonal metallic lattices*

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General expressions for the electrostatic energy and its contribution to the first- and second-order elastic coefficients are presented which can be used to evaluate the change in these quantities with arbitrary homogeneous deformations. Results are given for a bcc lattice under finite uniaxial deformation, i.e., the bct lattice. All the quantities calculated are shown to satisfy the internal check that the bct lattice with $c/a = (2)^{1/2}$ is identical to the fcc lattice.

I. INTRODUCTION

An important part of the calculation of the elastic constants of metallic solids is the evaluation of the electrostatic energy of the jellium model (i.e., a lattice of positive ions embedded in a uniform sea of electrons). General expressions for the electrostatic energy and its contribution to the first- and second-order elastic coefficients are presented which can be used to evaluate the change in these quantities with arbitrary homogeneous deformations. Results are given for a bcc lattice under finite uniaxial deformation. Similar general expressions have appeared elsewhere^{1,2} but they are not directly applicable to the calculations presented here.

Fuchs^{3,4} was the first to use the Ewald transformation of the electrostatic energy in an evaluation of the second-order elastic constants of metallic solids. In the Fuchs calculations the derivatives of the transformed electrostatic energy expression are taken with respect to pure shear and pure dilatational strain parameters. Following the Fuchs method Cousins obtained the third-order shear constants for bcc and fcc metallic structures,⁵ and the elastic constants through third order for hexagonal metal structures^{6,7} with various c/a ratios. Suzuki *et al.*⁸ calculated the elastic constants to third order for bcc structures by first taking derivatives of the untransformed electrostatic energy with respect to general Lagrangian strain parameters. The resulting expressions were then transformed to facilitate rapid convergence of the lattice sums.

Using a general method MacDonald *et al.*¹ (referred to as I) obtained elastic constants to third order for bcc and fcc metallic structures. The transformed electrostatic energy expression is first simplified by choosing the Ewald convergence parameter so that the reciprocal-lattice terms can be omitted. The remaining parameters of the transformed electrostatic energy are then expressed in terms of Green's deformation tensor and derivatives taken with respect to Green's deformation tensor.

By a similar general method Fuller and Naimon² calculated the electrostatic energy contribution to the elastic constants to third order for six metallic and five ionic structures. Here again the parameters of the transformed electrostatic energy are expressed in terms of Green's deformation tensor but the derivatives are taken with respect to the Lagrangian strain parameter. The elastic coefficient expressions of Fuller and Naimon are applicable to nonmetallic lattices and in that respect are more general than those of I.

In one respect the expressions of I are advantageous in that the elastic coefficients are defined for a homogeneously deformed state, whereas in Fuller and Naimon's² paper they are defined just at the equilibrium state. Thus, only the expressions in I are applicable to the evaluation of the change in the elastic coefficients under finite homogeneous deformations. This paper illustrates the usefulness of the approach used in I and serves to clarify the interpretation of the expressions given there for the elastic coefficients.

General expressions for the complete transformed electrostatic energy and its contribution to the elastic coefficients to second order are given in Sec. II. The results for the body-centered-tetragonal metallic lattice for c/a from 0.5 to 2.0 are given in Sec. III.

II. ELASTIC-COEFFICIENT EXPRESSIONS

Using the Ewald-Fuchs method the electrostatic energy per atom of a homogeneously deformed lattice of positive ions embedded in a uniform electron gas can be written³

$$E'_{\rm ES} = \frac{Z^2 e^2}{2} \left(\frac{\pi}{\Omega} \sum_{m'} \frac{\exp(-K'_m^2/E^2)}{K'_m^2} + \sum_{n'} \frac{\exp(E|\vec{R}'_n|}{|\vec{R}'_n|} - \frac{2E}{\sqrt{\pi}} - \frac{\pi}{E^2 \Omega} \right) , \qquad (1)$$

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sums indicates that the origin lattice site is omitted. \vec{R}'_n and \vec{K}'_m are the homogeneously deformed direct and reciprocal-lattice vectors, respectively; Ω is the deformed atomic sphere volume; e is the electron charge; and Z is the ion valence. The abbreviation erfc denotes the complementary error function and E is the Ewald convergence parameter.

For calculations of the variation of the electrostatic energy under finite homogeneous deformation, it is convenient to write the variables \vec{R}'_n , \vec{K}'_m , and Ω in terms of the Green's deformation tensors, C_{st} and C_{st}^{-1} , referred to coordinates of the undeformed lattice configuration¹:

$$\left|\vec{\mathbf{R}}_{n}'\right| = \frac{1}{2} a (n_{s} n_{t} C_{st})^{1/2} , \qquad (2)$$

$$K_m'^2 = (\pi/a)^2 m_s m_t C_{st}^{-1} , \qquad (3)$$

$$\Omega = \Omega_0 I_3^{1/2} , \quad I_3 = \det |C_{st}| .$$
 (4)

In the above, *a* is the lattice constant, Ω_0 is the undeformed atomic sphere volume, and I_3 is the third principal invariant of C_{st} .

By writing the convergence parameter as $E = \eta/a$

and the undeformed atomic sphere volume as
$$\Omega_0 = a^3/2\mu$$
, the explicit volume dependence of the electrostatic energy can be factored out. Here μ is a parameter that depends on how many atoms there are per unit cell (e.g., $\mu = 1$ for bcc and $\mu = 2$ for fcc). The resulting expression for the electrostatic energy in terms of the Green's deformation tensor is then

$$E'_{\rm ES} = \frac{Z^2 e^2}{a} \left(\frac{\mu I_3^{-1/2}}{\pi} \sum_m' \frac{\exp(-\pi^2 m_a m_b C_{ab}^{-1}/\eta^2)}{m_a m_b C_{ab}^{-1}} + \sum_n' \frac{\operatorname{erfc}[\frac{1}{2} \eta (n_a n_b C_{ab})^{1/2}]}{(n_a n_b C_{ab})^{1/2}} - \frac{\eta}{\sqrt{\pi}} - \frac{\mu \pi}{\eta^2} I_3^{-1/2} \right) .$$
(5)

The electrostatic energy contribution to the total strain energy density is found by dividing Eq. (5) by the initial volume, i.e., $\Sigma_{\rm ES} = E'_{\rm ES}/\Omega_0$. The elastic coefficients are defined here as derivatives of the strain energy density with respect to the Green's deformation measure evaluated at a homogeneously deformed state. The electrostatic energy contribution to the first-order elastic coefficient is then

$$T_{ij}^{ES}(C) = 2 \left(\frac{\partial \Sigma_{ES}}{\partial C_{ij}} \right)_{C \neq I} = \frac{Z^2 e^2}{a \Omega_0} \left\{ \frac{\mu I_3^{-1/2}}{\pi} \sum_m' \frac{\exp(-\pi^2 m_a m_b C_{ab}^{-1}/\eta^2)}{m_a m_b C_{ab}^{-1}} \right. \\ \left. \times \left[-C_{ij}^{-1} + m_a m_b (C_{ai}^{-1} C_{bj}^{-1} + C_{aj}^{-1} C_{bi}^{-1}) \left(\frac{\pi^2}{\eta^2} + \frac{1}{m_a m_b C_{ab}^{-1}} \right) \right] - \sum_n' \frac{n_i n_j}{n_a n_b C_{ab}} \\ \left. \times \left(\frac{\eta}{\sqrt{\pi}} \exp\left[-\frac{1}{4} \eta^2 (n_a n_b C_{ab}) \right] + \frac{\operatorname{erfc}\left[\frac{1}{2} \eta (n_a n_b C_{ab})^{1/2} \right]}{(n_a n_b C_{ab})^{1/2}} \right) + \frac{\mu \pi C_{ij}^{-1} I_3^{-1/2}}{\eta^2} \right\} .$$
(6)

The electrostatic energy contribution to the second-order elastic coefficient is then

$$C_{ijkl}^{ES}(C) = 2 \left(\frac{\partial T_{ij}^{ES}}{\partial C_{kl}} \right)_{C\neq I} = \frac{Z^2 e^2}{a\Omega} \left(\frac{\mu I_3^{-1/2}}{\pi} \sum_{m}' \frac{\exp(-\pi m_a m_b C_{ab}^{-1}/\eta^2)}{m_a m_b C_{ab}^{-1}} \left\{ \left[-C_{ij}^{-1} + m_a m_b \right] \right\} \right\} \\ \times (C_{ai}^{-1} C_{bj}^{-1} + C_{aj}^{-1} C_{bi}^{-1}) \left(\frac{\pi^2}{\eta^2} + \frac{1}{(m_a m_b C_{ab}^{-1})} \right) \right] \left[-C_{kl}^{-1} + m_e m_f (C_{ek}^{-1} C_{fl}^{-1} + C_{el}^{-1} C_{fk}^{-1}) \left(\frac{\pi^2}{\eta^2} + \frac{1}{m_a m_b C_{ab}^{-1}} \right) \right] \\ + (C_{ik}^{-1} C_{jl}^{-1} + C_{ik}^{-1} C_{il}^{-1}) - m_a m_b \left(\frac{\pi^2}{\eta^2} + \frac{1}{m_a m_b C_{ab}^{-1}} \right) \left[C_{ai}^{-1} (C_{bk}^{-1} C_{jl}^{-1} + C_{jk}^{-1} C_{jl}^{-1}) + C_{bi}^{-1} (C_{ak}^{-1} C_{il}^{-1} + C_{ik}^{-1} C_{al}^{-1}) \right] \\ + C_{aj}^{-1} (C_{bk}^{-1} C_{il}^{-1} + C_{ik}^{-1} C_{bl}^{-1}) + C_{bi}^{-1} (C_{ak}^{-1} C_{jl}^{-1} + C_{jk}^{-1} C_{al}^{-1}) \right] + \frac{m_a m_b (C_{ai}^{-1} C_{bi}^{-1} + C_{aj}^{-1} C_{bi}^{-1}) m_e m_f (C_{ek}^{-1} C_{jl}^{-1} + C_{el}^{-1} C_{jk}^{-1})}{(m_a m_b C_{ab}^{-1})^2} \\ + \sum_{n'}' \frac{n_i n_j n_k n_l}{(n_a n_b C_{ab})} \left[\left(\frac{\eta^3}{2\sqrt{\pi}} + \frac{3\eta}{\sqrt{\pi} (n_a n_b C_{ab})} \right) \exp[-\frac{1}{4}\eta^2 (n_a n_b C_{ab})] + \frac{3 \operatorname{erfc} \left[\frac{1}{2} \eta (n_a n_b C_{ab})^{3/2} \right]}{(n_a n_b C_{ab})^{3/2}} \right] .$$

$$(7)$$

In the above expressions the following relations have been used¹:

$$\frac{\partial C_{rs}^{-1}}{\partial C_{jk}} = -\frac{1}{2} \left(C_{rj}^{-1} C_{ks}^{-1} + C_{sj}^{-1} C_{kr}^{-1} \right) , \qquad (10)$$

(11)

 $\frac{\partial}{\partial C_{ib}} \left\{ \operatorname{erfc}\left[\frac{1}{2} \eta(n_a n_b C_{ab})^{1/2}\right] \right\}$

 $= \frac{-\eta}{2\sqrt{\pi}} \frac{n_i n_k \exp[-\frac{1}{4}\eta^2 (n_a n_b C_{ab})]}{(n_a n_b C_{ab})^{1/2}} .$

$$\frac{\partial I_3^b}{\partial C_{jk}} = p I_3^b C_{jk}^{-1} , \qquad (8)$$

$$\frac{\partial C_{rs}}{\partial C_{jk}} = \frac{1}{2} (\delta_{rj} \delta_{ks} + \delta_{sj} \delta_{kr}) , \qquad (9)$$

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The coefficients in expressions (6) and (7) are defined relative to the undeformed state since the Green's deformation tensor is referred to the coordinates of the undeformed lattice. It should be pointed out here that in I an expression similar to Eq. (7) above [i.e., Eq. (7) of I] was incorrectly described as being the contribution to the second-order elastic coefficients relative to the deformed state. The distinction between coefficients evaluated at the deformed state but relative to either the undeformed or deformed state is significant when dealing with finite deformations.

In general, the first derivative of the strain energy density with respect to a deformation measure results in an expression for the thermodynamic tensions (or "stress") conjugate to the deformation. The particular type of "stress" depends on the deformation measure used. The T_{ij}^{ES} shown in Eq. (6) are in fact the pseudostress components introduced by Piola.⁹ The actual stress components at any deformed state are obtained from the derivative of the strain energy density with respect to the strain measured from that state.¹⁰ Thus, the actual stress components t_{ij}^{ES} and the pseudostress components of Eq. (6) can be related by

$$t_{ij}^{\rm ES}(C) = I_3^{-1/2} \frac{\partial X_i}{\partial a_r} \frac{\partial X_j}{\partial a_s} T_{rs}^{\rm ES}(C) , \qquad (12)$$

where a_i and X_i are the coordinates of the undeformed and deformed states, respectively. Equation (12) for the actual stress $t_{ij}^{ES}(C)$ is the general expression for the electrostatic energy contribution to the first-order elastic coefficients defined



FIG. 1. Unit cells for bcc, fcc, and bct lattice structures. The relationship between the fcc and bct unit cells is illustrated for bct with $c/a = \sqrt{2}$.



FIG. 2. Variation of the electrostatic energy in units of Z^2e^2/a as a function of the axial ratio c/a, here a is the "undeformed" bcc lattice constant.

relative to any deformed state.

The true general expression for the electrostatic energy contribution to the second-order elastic coefficients defined relative to any deformed state can likewise be found by substituting Eq. (7) for C_{ijkl}^{ES} into

$$c_{ijkl}^{\text{ES}}(C) = I_3^{-1/2} \frac{\partial X_i}{\partial a_p} \frac{\partial X_j}{\partial a_q} \frac{\partial X_k}{\partial a_q} \frac{\partial X_k}{\partial a_r} \frac{\partial X_l}{\partial a_s} C_{pqrs}^{\text{ES}}(C) .$$
(13)

III. RESULTS FOR bct LATTICE

To obtain results for the body-centered-tetragonal lattice, a bcc lattice was subjected to uniaxial deformation along one of the edges of the unit cell (i.e., along a_1 , see Fig. 1). The transformation equations representing this uniaxial deformation are

$$X_1 = \lambda a_1$$
, $X_2 = a_2$, and $X_3 = a_3$, (14)

where as before a_i and X_i are the coordinates of the undeformed and deformed states, respectively. (Note that the ratio $c/a = \lambda$.) Since the Green's deformation tensor can be defined as⁹ the values for uniaxial deformation are given as

$$C_{11} = \lambda^2$$
, $C_{11}^{-1} = 1/\lambda^2$, $I_3 = \lambda^2$, (16)

and $C_{ij} = C_{ij}^{-1} = \delta_{ij}$ for all other *i* and *j*.

The electrostatic energy for the bct lattice is obtained from Eq. (5) using Eq. (16), i.e.,

$$E'_{ES} = E'_{ES}(C_{11} = \lambda^2, C_{11}^{-1} = 1/\lambda^2, \dots, \text{ etc.}).$$
(17)

Using Eqs. (14) and (16) together with the general expressions (6) and (12), the relations for the electrostatic energy contribution to the first-order elastic coefficients for the bct lattice can be represented schematically by

$$t_{11}^{\rm ES} = \lambda T_{11}^{\rm ES}(C_{11} = \lambda^2, \quad C_{11}^{-1} = 1/\lambda^2, \dots, \text{etc.}),$$

$$t_{22}^{\rm ES} = (1/\lambda) T_{22}^{\rm ES}(C_{11} = \lambda^2, \quad C_{11}^{-1} = 1/\lambda^2, \dots, \text{etc.}),$$

(18)

where $t_{22}^{ES} = t_{33}^{ES}$, and all other $t_{ij}^{ES} = 0$. Similarly, starting with the general expressions (7) and (13), the relations for the electrostatic energy contribution to the second-order elastic coefficients for the bct lattice can be represented by

. .

$$c_{2232}^{ES} = \lambda^{-1} C_{2223}^{ES} (C_{11} = \lambda^2 , C_{11}^{-1} = 1/\lambda^2, \dots, \text{etc.}),$$

$$c_{2222}^{ES} = \lambda^{-1} C_{2222}^{ES} (C_{11} = \lambda^2 , C_{11}^{-1} = 1/\lambda^2, \dots, \text{etc.}),$$

$$c_{1122}^{ES} = \lambda C_{1122}^{ES} (C_{11} = \lambda^2 , C_{11}^{-1} = 1/\lambda^2, \dots, \text{etc.}),$$

$$c_{2233}^{ES} = \lambda^{-1} C_{2233}^{ES} (C_{11} = \lambda^2 , C_{11}^{-1} = 1/\lambda^2, \dots, \text{etc.}),$$
(19)

where $c_{2222}^{ES} = c_{3333}^{ES}$, $c_{1122}^{ES} = c_{1133}^{ES}$ and all other c_{ijkl}^{ES} =0. The relations represented by (17)-(19) were programmed on an IBM 370 computer and results obtained for the axis ratio c/a from 0.5 to 2.0.

There are several ways the values of the computed quantities can be presented. For instance, the electrostatic energy in Eq. (5) is expressed in terms of the dimensions of the "undeformed" bcc lattice constant a, i.e.,

$$E'_{\rm ES} = (Z^2 e^2 / a) \alpha_a , \qquad (20)$$

where the expression within large parentheses in Eq. (5) is written here as α_a and is known as the geometric coefficient. The plot of α_a for c/a



FIG. 3. Variation of the electrostatic energy in units of $Z^2 e^2/2r_s$ as a function of the axial ratio c/a, where r_s is the atomic sphere radius in the deformed state. The minima in (b) at c/a=1 and $c/a=\sqrt{2}$ correspond to the bcc and fcc structures, respectively.

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TABLE I. Electrostatic energy and its contribution to the elastic coefficients for body-centered-tetragonal structure. Electrostatic energy entries are in units of $Z^2 e^2/2r_s$ and the elastic coefficient entries are in units of $Z^2 e^2/2r_s \Omega$, where r_s and Ω are the atomic sphere radius and volume, respectively, in the deformed state.

	Electrostatic						
c/a	energy	t_1	t_2	c_{11}	c_{22}	c_{12}	c_{23}
0.5	-1.688771841	0.119 060 694	0,784855573	1,158 307 548	-1.812381895	- 0.757744481	0.215 559 989
0.6	-1.750183044	0.343160770	0.703511137	0.223721988	-1.636578260	-0.626602150	0.152646999
0.7	-1.777169870	0.474833518	0.651168176	-0.422074576	-1.524120561	-0.501 212 989	0,071 829 022
0.8	-1.787861712	0.547762737	0.620049488	- 0.866 892 503	-1.447763108	-0.388197853	-0.024187502
0.9	-1.791270047	0.583557958	0.603856045	-1.157606812	-1,386999107	-0.296 533 531	-0.128 035 495
1.0 ^a	-1.791 858 511	0.597286170	0.597286170	-1.328364763	-1.328364763	-0.231746874	-0.231 746 874
1.1	-1.791693349	0.599512845	0.596090252	-1.408721977	-1.264466865	-0.194908279	-0.328 895 611
1.2	-1.791571097	0.597404865	0.597083116	-1.424710901	-1.192239909	-0.183751847	-0.415257592
1.3	-1.791639883	0.595567318	0.598036282	-1.398286443	-1.111245318	-0.194207756	-0.488655773
1.4	- 1. 791 744 588	0.596741574	0,597501507	-1.346955267	-1,022 385 777	-0.221634727	-0.548484016
√ <u>2</u> ъ	-1.791747230	0.597249077	0.597249077	-1.338452706	-1.009197246	-0,226647262	-0.555902722
1.5	-1.791614797	0.602372350	0.594621224	-1.283915457	-0.927075369	-0.261 600 797	-0.595187505
1.6	-1.790962484	0.613045169	0,588958657	-1.218 569 425	-0.826769595	-0,310283042	-0,629823336
1.7	-1.789 528 445	0.628807414	0.580360516	-1.157206253	-0,722737162	-0,364 607 995	-0.653736390
1.8	-1.787100475	0.649393678	0,568853398	-1.103701637	-0.615977200	-0.422239699	-0.668343296
1.9	-1.783516663	0.674377421	0,554569621	-1.060149297	-0.507215400	- 0.481 491 483	-0.675001979
2.0	-1.778 661 500	0.703268640	0.537696430	-1.027 387 222	- 0. 396 937 559	- 0. 541 209 349	-0.674942382

 $a_c/a = 1$ corresponds to bcc.

 $bc/a = \sqrt{2}$ corresponds to fcc referred to the rotated axes X_1 , X_2 , and X_3 of Fig. 1.



FIG. 4. Variation of the first-order elastic coefficients t_1 and t_2 in units of $Z^2 e^2/2r_s\Omega$ as a function of the axial ratio c/a, where r_s and Ω are the atomic sphere radius and volume, respectively, in the deformed state. The points in (b) where $t_1 = t_2$ correspond to the bcc and fcc structures as well as to the intermediate metastable structure.

from 0.5 to 2.0 is shown in Fig. 2. With this particular representation of the electrostatic energy, however, it is not apparent that for $c/a=\sqrt{2}$ the bct lattice is identical to the fcc lattice (see Fig. 1).

In order to sensibly display the variation of the electrostatic energy and its contribution to the first- and second-order elastic coefficients, the computed values are scaled to the "deformed" atomic sphere radius r_s and volume $\Omega = \frac{4}{3} \pi r_s^3$. That is, the results are presented for the particular bct lattice at equilibrium and not in terms of the "undeformed" bcc lattice dimensions.

For example, the electrostatic energy can also be written

$$E'_{\rm ES} = (Z^2 e^2 / 2r_s) \alpha_s , \qquad (21)$$

where α_s is the geometric coefficient relative to $2r_s$. The variation of α_s with c/a from 0.5 to 2.0 shown in Fig. 3(a) now exhibits a broad relatively flat region for α_s when the axial ratio c/a is between 0.9 and 1.6. Figure 3(b) is a greatly expanded plot of this region showing the minima corresponding to the bcc (c/a = 1) and fcc $(c/a = \sqrt{2})$ structures.

In Table I the values of the electrostatic energy in units of $Z^2 e^2/2r_s$ at these minima c/a = 1 and $c/a = \sqrt{2}$ agree with the published values for the bcc and fcc structures, respectively.^{1,2}

Also included in Table I are the values of the electrostatic energy contribution to the first- and second-order elastic coefficients listed in terms of the Voigt reduced notation and expressed in units of $Z^2 e^2/2r_s \Omega$. The plots of the first-order elastic coefficients for c/a from 0.5 to 2.0 are shown in Fig. 4(a). The detail plot in Fig. 4(b) of the region $0.9 \le c/a \le 1.6$ shows that, as required, t_1 = t_2 for the bcc and fcc structures as well as at the intermediate metastable structure. The graphs of c_{11} and c_{22} in Fig. 5(a) and c_{12} and c_{23} in Fig. 5(b) cross at c/a = 1 and the values there (see Table I) correspond to the proper ones for the bcc structure⁸. The match of c_{11} and c_{22} as well as c_{12} and c_{23} for the fcc structure $(c/a=\sqrt{2})$ is not apparent from Figs. 5(a) and 5(b).

In order to see that the second-order elastic coefficients satisfy the requirement that bct $(c/a = \sqrt{2}) \equiv fcc$, the following coordinate transformation



FIG. 5. Variation of the second-order elastic coefficients in units of $Z^2 e^2/2r_s\Omega$ as a function of the axial ratio c/a, where r_s and Ω are the atomic sphere radius and volume, respectively, in the deformed state. (a) c_{11} and c_{22} . (b) c_{12} and c_{23} .

is needed (see Fig. 1):

$$X'_{1} = X_{1} ,$$

$$X'_{2} = (1/\sqrt{2}) (X_{2} - X_{3}) ,$$

$$X'_{3} = (1/\sqrt{2}) (X_{2} + X_{3}) .$$
(22)

Using the transformation equations (12) and (13) the relations between the elastic coefficients for the bct (unprimed) and fcc (primed) coordinates are

$$t'_{11} = t_{11} , t'_{22} = t_{22} , c'_{1111} = c_{1111} , c'_{1122} = c_{1122} ,$$

$$c'_{2222} = \frac{1}{2} (c_{2222} + 3c_{2233}) , \qquad (23)$$

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 $c'_{2233} = \frac{1}{2}(c_{2222} - c_{2233})$.

The entries in Table I for $c/a = \sqrt{2}$ confirm that $c'_{1111} = c'_{2222}$ and that $c'_{1122} = c'_{2233}$ and that these values correspond to the fcc structure.⁸ Thus, all the calculations satisfy the internal check that bct $(c/a = \sqrt{2}) \equiv \text{fcc.}$

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