

Incommensurate broken helix induced by nonstoichiometry in the axion insulator candidate EuIn_2As_2

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Zintl phase EuIn_2As_2 has garnered growing attention as an axion insulator candidate, triggered by the identification of a commensurate double- \mathbf{Q} broken-helix state in previous studies, however, its periodicity and symmetry remain subjects of debate. Here, we perform resonant x-ray scattering experiments on EuIn_2As_2 , revealing an incommensurate nature of the broken-helix state, where both the wave number and the amplitude of the helical modulation exhibit systematic sample dependence. Furthermore, the application of an in-plane magnetic field brings about a fanlike state that appears to preserve the double- \mathbf{Q} nature, which might be attributed to multiple-spin interactions in momentum space. We propose that the itinerant character of EuIn_2As_2 , most likely induced by Eu deficiency, gives rise to the helical modulation and impedes the realization of a theoretically predicted axion state with the collinear antiferromagnetic order.

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Europium-based compounds offer a fertile playground for exploring nontrivial magnetotransport phenomena [1–4]. In the presence of Fermi surfaces, the carrier-mediated Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction can stabilize a variety of modulated magnetic structures such as helix [5–8] and skyrmions [9–13]. The correlation between magnetism and electronic band topology is one other intriguing aspect, as extensively studied in the 122 families of the Zintl phase [14–20]. First-principles calculations predict that EuIn_2As_2 can host an axion-insulating state (AXS) with the layered antiferromagnetic (AFM) order [21,22], thereby potentially exhibiting the quantized magnetoelectric effect [23–25]. Given that the AXS remains unestablished in stoichiometric bulk materials [25–30], a comprehensive investigation on the physical properties of EuIn_2As_2 stands as a critical issue [31–41], not only from the fundamental viewpoint but also for its applications in next-generation devices [23].

EuIn_2As_2 forms a hexagonal crystal structure with the space group $P6_3/mmc$, consisting of alternating stacks of Eu triangular layers and In_2As_2 blocks [Fig. 1(a)]. The theoretically predicted band structure is schematically illustrated in Fig. 1(b) [22,42]. There is a crossing of In 5s and As 4p bands

near the Γ point, where a gap opens due to spin-orbit coupling. Although the Fermi energy is theoretically located in the band gap, angle-resolved photoemission spectroscopies (ARPESs) revealed holelike bulk bands crossing the Fermi level [33,34]. The magnetism is dominated by intraplane ferromagnetic and interplane AFM interactions between localized Eu^{2+} moments, with an easy-plane anisotropy becoming evident at low temperatures [32]. Neutron diffraction (ND) [39] and resonant x-ray scattering (RXS) experiments [40] observed a concurrent short-period magnetic modulation, $\mathbf{Q}_1 = (0, 0, q_{1z})$, along with the AFM component $\mathbf{Q}_2 = (0, 0, 1)$; the reported q_{1z} values are 0.303(1) [39] and 0.3328(6) [40], respectively, in the reciprocal-lattice unit. A phase separation scenario was excluded by azimuthal scans in the RXS [40]. Both studies proposed a six-layer-period double- \mathbf{Q} helical structure, termed *broken helix*, under the assumption that \mathbf{Q}_1 represents an exactly commensurate modulation with $q_{1z} = 1/3$ [39,40]. Initially, the AXS was believed to be realized in the commensurate broken-helix state, as the \mathcal{TC}_2 symmetry (the combination of time-reversal and twofold rotational operations) is preserved once the principal axis of the broken helix is aligned along a specific crystallographic axis [39,40]. However, a recent optical birefringence study challenged the above picture in terms of the symmetry, and proposed the unpinned nature of the broken helix owing to minimal hexagonal anisotropy [41].

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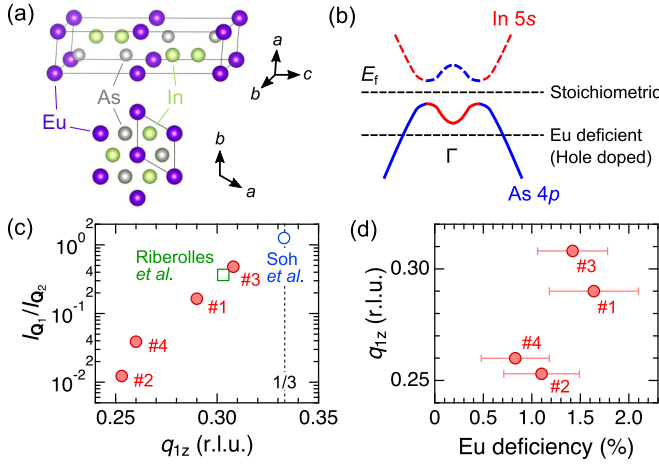


FIG. 1. (a) Crystal structure of Zintl phase EuIn_2As_2 . The black line represents a crystallographic unit cell, which contains two Eu layers. (b) Schematic of the bulk band structure around the Γ point. The Fermi level is in the gap according to the first-principles calculations [22,42], although a hole pocket was observed in ARPES [33,34]. (c) Relationship between the q_{1z} value and the integrated-intensity ratio of the Q_1 to the Q_2 peak I_{Q_1}/I_{Q_2} , observed for samples #1 ~ #4 in this study (5 K) and those reported in Refs. [39,40] (6 K). See the SM [43] for the correction factors in estimating I_{Q_1}/I_{Q_2} . (d) Plots of the q_{1z} value versus Eu deficiency for samples #1 ~ #4.

In this Letter, we reexamine the magnetic structure of EuIn_2As_2 . While the preceding ND study identified an *incommensurate* Q_1 modulation [39], no incommensurate spin configuration has been considered so far [39–41]. Whether Q_1 is commensurate or not is pivotal, as the latter is not compatible with the AXS due to the \mathcal{TC}_2 symmetry breaking. The mechanism for the emergence of the Q_1 modulation also remains puzzling [40,41]. To address these issues, we perform the RXS experiments, revealing that the Q_1 peak exhibits variability in the q_{1z} value (0.25–0.31) as well as the intensity across samples [Fig. 1(c)]. The complementary single-crystal structure analyses reveal a greater amount of Eu deficiency for samples exhibiting a larger q_{1z} value [Fig. 1(d)]. These results suggest that the Q_1 modulation stems from the RKKY interaction mediated by doped holes due to Eu deficiency. We argue that, to avoid the loss of generality, the broken-helix state should be considered as a superposition of incommensurate helical and collinear AFM modulations. The appearance of an exotic double- Q fanlike state is also revealed in an in-plane magnetic field.

Single crystals of EuIn_2As_2 were grown by an indium flux method. Details of sample growth and characterization are described in the Supplemental Material (SM) [43]. We picked up four specimens (#1 ~ #4) from the same batch. RXS experiments were performed at BL-3A, Photon Factory, KEK, by using horizontally (π) polarized incident x rays in resonance with the Eu L_2 absorption edge ($E = 7.612$ keV) [7,11]. The incident x-ray beam was collimated with 1×1 mm², which is comparable or slightly larger than the sample size. Accordingly, the observed RXS intensity would come from almost all areas of the sample. Samples with the as-grown (001) plane were glued on an aluminum plate and set in a cryostat equipped with a vertical-field superconducting magnet.

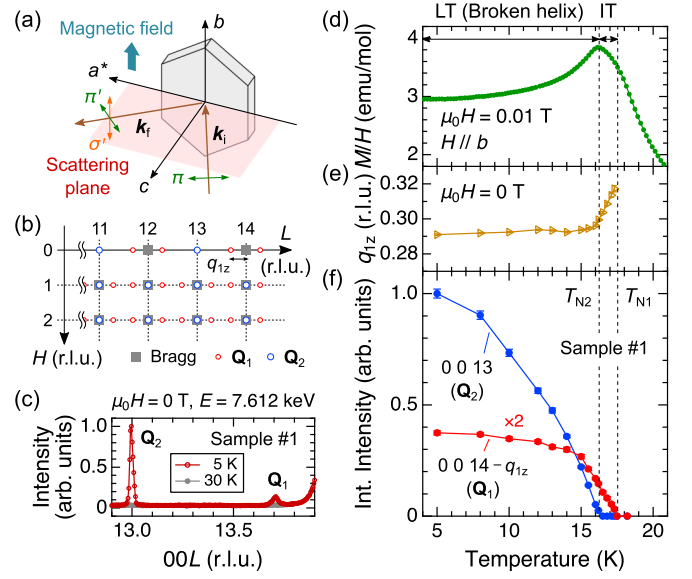


FIG. 2. (a) Experimental geometry of the RXS. k_i (k_f) and π (π' and σ') represent the propagation vector and polarization direction of incident (scattered) x rays, respectively. (b) Positions of the Bragg peaks in the $(H, 0, L)$ scattering plane below T_{N2} . Gray squares are the fundamental Bragg peaks, and red (blue) open circles are the magnetic Q_1 (Q_2) peaks. (c) RXS profiles observed in the $(0, 0, L)$ scan at 5 K (red) and 30 K (gray) at zero field for sample #1. [(d)–(f)] Temperature dependence of (d) magnetic susceptibility M/H , (e) q_{1z} , and (f) integrated intensity of the 00L reflections with $L = 14 - q_{1z}$ (red) and $L = 13$ (blue) at zero field.

The scattering plane was set to be $(H, 0, L)$, and a magnetic field was applied along the crystallographic b axis [Fig. 2(a)]. We could access fundamental Bragg peaks at $(3m, 0, 2n)$ and $(3m \pm 1, 0, n)$ (m, n : integer), and their magnetic satellite peaks in the reciprocal space [Fig. 2(b)]. Unless otherwise stated, we performed the $(0, 0, L)$ scan with $L = 12$ –16, and the scattered x rays were detected without analyzing the π' and σ' polarizations, parallel and perpendicular to the scattering plane, respectively. For polarization analysis, the 006 reflection of a pyrolytic graphite (PG) crystal was used, where the scattering angle was $\sim 92^\circ$ near the Eu L_2 edge.

As in Refs. [39,40], we observe two kinds of magnetic Bragg peaks, $Q_1 = (0, 0, q_{1z})$ and $Q_2 = (0, 0, 1)$ [Fig. 2(c)]. Figures 2(d)–2(f) show the temperature dependence of magnetic susceptibility, q_{1z} , and integrated intensities of the Q_1 and Q_2 peaks at (nearly) zero field for sample #1. Upon cooling, the Q_1 and Q_2 peaks emerge below $T_{N1} = 17.6$ K and $T_{N2} = 16.2$ K, respectively. q_{1z} gradually decreases from 0.32 to 0.30 in the intermediate-temperature (IT) phase, while q_{1z} is almost temperature independent in the low-temperature (LT) phase, eventually reaching $q_{1z} = 0.29$ at 5 K. These features agree with Refs. [39,40], although there is a discrepancy in the q_{1z} value. Notably, distinct q_{1z} values are found for the other three samples: $q_{1z} = 0.25, 0.31$ and 0.26 for samples #2, #3, and #4, respectively (for additional RXS data, see the SM [43]). Besides, we find an important trend that the integrated intensity ratio of the Q_1 peak to the Q_2 peak, I_{Q_1}/I_{Q_2} , is higher for samples with larger q_{1z} [Fig. 1(c)]. We note that the way of adhering samples to the Al plate had little influence on

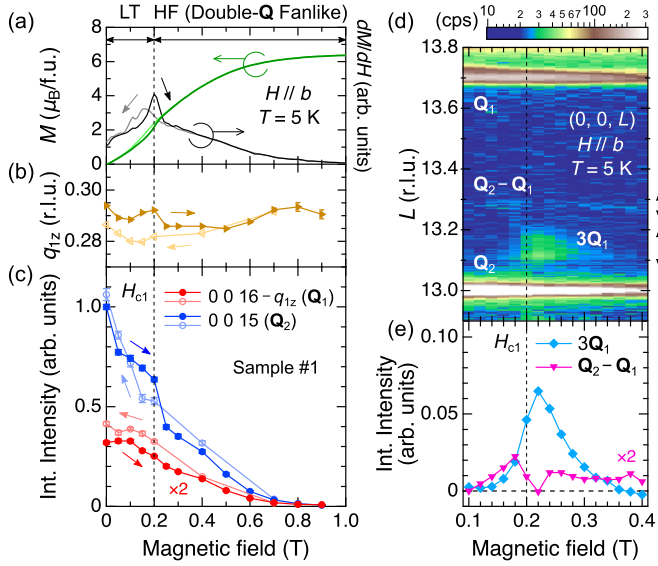


FIG. 3. [(a)–(c)] Magnetic-field dependence of (a) magnetization M (left axis) and its field derivative dM/dH (right axis), (b) q_{1z} , and (c) integrated intensity of the 00L reflections with $L = 16 - q_{1z}$ (red) and $L = 15$ (blue). Dark and light colors represent data in field increasing and decreasing processes, respectively. (d) Logarithmic contour plot of the RXS intensity in the $(0, 0, L)$ scan near H_{c1} in a field-increasing process. Black arrows on the right represent peak widths for $3Q_1$ and $Q_2 - Q_1$. (e) Magnetic-field dependence of the integrated intensity of the $3Q_1$ (cyan) and $Q_2 - Q_1$ (pink) peaks. The absolute value is normalized by the intensity of the 0013 reflection at 0 T. All the data are taken at 5 K with $H \parallel b$ for sample #1.

the RXS profile. Therefore, the observed variability in q_{1z} and I_{Q_1}/I_{Q_2} appears to be primarily attributed to the sample dependence rather than extrinsic strain.

To confirm this from the structural point of view, we performed single-crystal x-ray diffraction experiments for all the four samples. Our crystal-structure analyses reveal the presence of a Eu deficiency of 1.64(46)%, 1.10(39)%, 1.42(36)%, and 0.83(35)% for samples #1 ~ #4, respectively (for details, see the SM [43]). As shown in Fig. 1(d), one can see a trend that samples exhibiting a larger q_{1z} value host a greater amount of Eu deficiency. Recalling the first-principles calculations predicting a gapped insulating state with the AFM order characterized by Q_2 [22], the additional Q_1 modulation would be induced by doped hole carriers which contribute to the RKKY interaction between the Eu^{2+} moments. The above scenarios agree with the three-dimensional character of a hole pocket observed in a previous ARPES [33], although the details of the Fermi-surface shape need to be clarified. Indeed, our Hall resistivity measurements reveal the multicarrier nature, making the quantitative estimation of the carrier number challenging (see the SM [43]).

Next, we investigate the magnetic structure changes with the application of an in-plane magnetic field for sample #1 ($q_{1z} = 0.29$), which undergoes a metamagnetic transition at $\mu_0 H_{c1} = 0.2$ T at 5 K [32,35,40]. Figures 3(a)–3(c) show the field dependence of magnetization, q_{1z} , and integrated intensities of the Q_1 and Q_2 peaks. With increasing a magnetic field, both Q_1 and Q_2 peaks survive until the saturation field of 1.0 T. Here, q_{1z} exhibits only a small change of at most ± 0.01

even across H_{c1} . With subsequently decreasing a magnetic field, a hysteresis appears around H_{c1} in the RXS profile, in line with the magnetization process. Ultimately, neither the intensities of the Q_1 and Q_2 peaks nor q_{1z} revert to the original values at zero field. The observed intensity changes against a magnetic field are qualitatively consistent with Ref. [40].

We find remarkable features in the RXS profile near H_{c1} . Figure 3(d) shows a logarithmic contour plot of the RXS intensity against a magnetic field, observed in the $(0, 0, L)$ scan in the L range between 12.9 and 13.8. Apart from the strong Q_1 and Q_2 peaks at $L = 13.71$ and 13, respectively, peaks around $L = 13.29$ and 13.13 are discernible in certain field ranges (for the RXS profile, see the SM [43]). These additional peaks likely correspond to higher-harmonic $Q_2 - Q_1$ and $3Q_1$ modulations, respectively. The field evolution of integrated intensities of these peaks are displayed in Fig. 3(e). The weak $Q_2 - Q_1$ peak appears above 0.1 T and persists until at least 0.4 T, except immediately after H_{c1} where the intensity of the $3Q_1$ peak becomes prominent. The presence of the $Q_2 - Q_1$ peak even above H_{c1} indicates the double-Q nature with a superposition of Q_1 and Q_2 in the high-field (HF) phase. We note that the $2Q_1$ peak, expected in the conventional fanlike structure [48,49], is not observed at $L = 13.42$ in the entire field range, in spite of the observation of the $3Q_1$ peak [Fig. 3(d)]. This also rules out the possibility of the presence of a single-Q fanlike state in the HF phase.

To get information on the orientation of magnetic moments in each phase at 5 K, we performed polarization analysis with $H \parallel b$. In general, the magnetic scattering intensity I is given by $I \propto |(\mathbf{e}_i \times \mathbf{e}_f) \cdot \mathbf{m}_Q|^2$, where \mathbf{e}_i (\mathbf{e}_f) is the polarization vector of the incident (scattered) beam, and \mathbf{m}_Q is a magnetic moment of the Q modulation. The $\pi - \pi'$ channel ($I_{\pi - \pi'}$) always detects the modulated component along b (m_b), whereas the $\pi - \sigma'$ channel ($I_{\pi - \sigma'}$) contains those along a^* (m_{a^*}) and c (m_c) in the ratio of $\cos^2 \omega : \sin^2 \omega$, where ω is the angle between the propagation vector of the incident beam (\mathbf{k}_i) and the a^* axis. Figures 4(a) and 4(b) show the experimental configurations of polarization analysis focusing on the Bragg spots at $(-2, 0, 11.71)$ and $(0, 0, 9)$, in which $I_{\pi - \sigma'}$ mainly reflects m_{a^*} ($\sim 100\%$ and 83%) of the Q_1 and Q_2 modulations, respectively. The results are summarized in Figs. 4(c)–4(h). Additional measurements focusing on the Bragg spots at $(2, 0, 11.71)$ and $(0, 0, 17)$ confirm the absence of m_c (see the SM [43]), i.e., all the spins lie within the ab plane.

At zero field, both $I_{\pi - \pi'}$ and $I_{\pi - \sigma'}$ have intensities at $(-2, 0, 11.71)$ and $(0, 0, 9)$ [Figs. 4(c) and 4(f)]. At 0.1 T ($< H_{c1}$), a drastic enhancement is observed in $I_{\pi - \sigma'}$ at $(0, 0, 9)$, accompanied by a suppression of $I_{\pi - \pi'}$ [Fig. 4(g)], suggesting the reorientation of magnetic domains so as to lie the AFM component perpendicular to the field direction. In contrast, $I_{\pi - \pi'}$ and $I_{\pi - \sigma'}$ still have comparable intensities at $(-2, 0, 11.71)$ [Fig. 4(d)]. This observation suggests that the Q_1 peak originates from a helical modulation rather than a sinusoidal one, as the intensity should appear only in either $I_{\pi - \pi'}$ or $I_{\pi - \sigma'}$ in the latter case. Followed by the metamagnetic transition, the presence of only m_{a^*} is confirmed for both the Q_1 and Q_2 modulations, as evidenced by the disappearance of $I_{\pi - \pi'}$ at both Bragg positions at 0.3 T ($> H_{c1}$) [Figs. 4(e) and 4(h)]. Accordingly, the HF phase can be ascribed to a double-Q fanlike state.

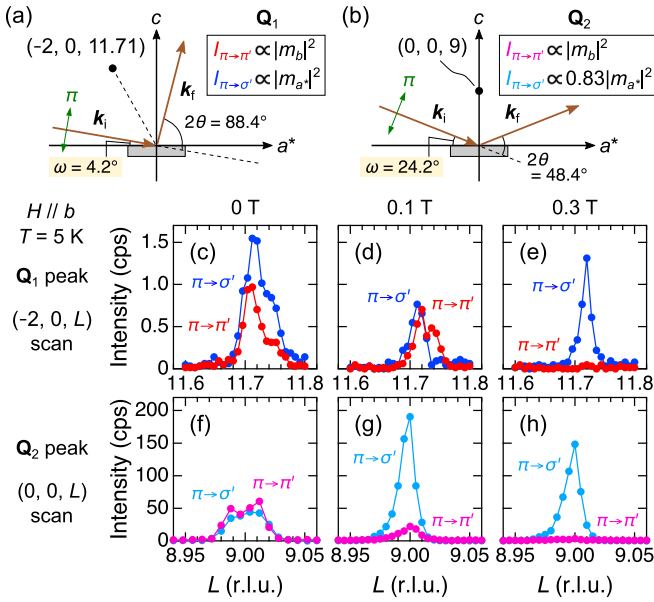


FIG. 4. [(a),(b)] Schematic geometrical configuration of the RXS focusing on the \mathbf{Q}_1 and \mathbf{Q}_2 peaks around the magnetic Bragg spots $(-2, 0, 11.71)$ and $(0, 0, 9)$, respectively. See also Figs. 2(a) and 2(b). [(c)–(h)] RXS profiles of the polarization analysis at 5 K at 0 T [(c),(f)], 0.1 T [(d),(g)], and 0.3 T [(e),(h)] with $H \parallel b$. Panels (c)–(e) and (f)–(h) show the data of the $(-2, 0, L)$ scan around $L = 11.71$ and the $(0, 0, L)$ scan around $L = 9$, respectively.

Let us here update the understanding of the magnetic structure in EuIn_2As_2 . Our RXS experiments suggest that the LT phase is a broken-helix state with a superposition of incommensurate helical \mathbf{Q}_1 and AFM \mathbf{Q}_2 modulation [Fig. 5(a)], which can be approximately described as $\mathbf{S}_i = \mathbf{m}(\mathbf{r}_i)/|\mathbf{m}(\mathbf{r}_i)|$, where $\mathbf{m}(\mathbf{r}_i) \propto (1, i, 0) \sum_{\eta=1,2} m_{\mathbf{Q}_\eta} \exp(i\mathbf{Q}_\eta \cdot \mathbf{r}_i) + \text{c.c.}$ at zero field. This expression encompasses the commensurate broken helix proposed in Refs. [39,40] as a special case. Based on the above formula, we calculate the spin-structure factor $S(\mathbf{q}) = (1/N) \sum_{i,j} \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle e^{i\mathbf{q}(\mathbf{r}_i - \mathbf{r}_j)}$ at \mathbf{Q}_1 and \mathbf{Q}_2 as a function of $m_{\mathbf{Q}_1}/m_{\mathbf{Q}_2}$, as shown in Fig. 5(b) [50]. By comparing the calculated $S(\mathbf{Q}_1)/S(\mathbf{Q}_2)$ with the observed intensity ratio $I_{\mathbf{Q}_1}/I_{\mathbf{Q}_2}$ [Fig. 1(c)], we estimate $m_{\mathbf{Q}_1}/m_{\mathbf{Q}_2}$ at the lowest temperature for each sample used in this study, as shown in Fig. 5(c) (for details, see the SM [43]). A positive correlation between the Eu deficiency and $m_{\mathbf{Q}_1}/m_{\mathbf{Q}_2}$ agrees with the RKKY picture for the emergence of helimagnetism by hole doping, as mentioned above. Furthermore, our simulation of $S(\mathbf{q})$ for the broken-helix state indicates the appearance of weak higher-harmonic peaks at $\mathbf{Q}_2 - 2\mathbf{Q}_1$ and $3\mathbf{Q}_1$ [Fig. 5(b)], although we could not confirm their presence in the RXS experiments at zero field. We note that the IT phase was identified as a sinusoidal state by Mössbauer [39] and optical birefringence measurements [41]. As the hexagonal anisotropy is negligibly weak [32,41], the sinusoidal modulation would be stabilized by thermal fluctuations and gradually transforms into helix with decreasing temperature. We thus infer that in the LT phase at 5 K, there is a slight elliptical distortion of the helix component, leading to the suppression of the higher harmonics.

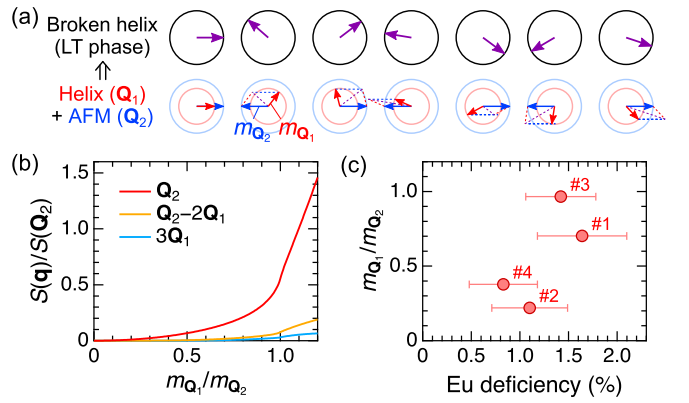


FIG. 5. (a) Schematic of the broken-helix state and an equivalent double- \mathbf{Q} representation as the superposition of incommensurate helical \mathbf{Q}_1 and collinear AFM \mathbf{Q}_2 modulations. Magnetic moments are in the ab plane, and the modulation is along the c axis. (b) $m_{\mathbf{Q}_1}/m_{\mathbf{Q}_2}$ dependence of the spin-structure factor $S(\mathbf{q})$ relative to $S(\mathbf{Q}_2)$ at \mathbf{Q}_1 , $\mathbf{Q}_2 - 2\mathbf{Q}_1$, and $3\mathbf{Q}_1$. The $S(\mathbf{q})$ profiles exhibit an anomaly at a singular point $m_{\mathbf{Q}_1}/m_{\mathbf{Q}_2} = 1$, where the antiparallel $m_{\mathbf{Q}_1}$ and $m_{\mathbf{Q}_2}$ moments cancel each other out. (c) Relationship between Eu deficiency and a moment-amplitude ratio of the $\mathbf{Q}_1 = (0, 0, q_{1z})$ to the $\mathbf{Q}_2 = (0, 0, 1)$ modulation, $m_{\mathbf{Q}_1}/m_{\mathbf{Q}_2}$, in the broken-helix state for samples #1 ~ #4.

Our RXS experiments also suggest a helix-fan transition while keeping the double- \mathbf{Q} nature in an in-plane magnetic field, as indicated by the observation of higher harmonics $\mathbf{Q}_2 - \mathbf{Q}_1$ and $3\mathbf{Q}_1$, along with the absence of $2\mathbf{Q}_1$. There have been reports of other Eu-based itinerant magnets exhibiting the coexistence of two magnetic modulations along the c axis, such as EuRh_2As_2 [5], EuCuSb [6], and EuZnGe [7], although their microscopic origins, as well as field-induced magnetic structure changes, are not yet fully understood. Accordingly, it is crucial to establish theoretical approaches for a better understanding of the complex helimagnetism characterized by double- \mathbf{Q} interplane modulations. Here, we investigate several types of spin models, aiming to reproduce the field-induced phase transition in EuIn_2As_2 . The detailed calculation results are presented in the SM [43], and in the following, we briefly describe our updated knowledge.

Donoway *et al.* proposed a spin Hamiltonian composed of long-range Heisenberg exchange and biquadratic exchange interactions in real space on a one-dimensional chain [41]. Through simulated annealing, we find that this model can stabilize an incommensurate broken helix with a dominant \mathbf{Q}_2 component at zero field. When applying an in-plane magnetic field, however, it fails to preserve the double- \mathbf{Q} nature and instead stabilizes a canted AFM state. Alternatively, an effective spin Hamiltonian composed of bilinear and biquadratic exchange interactions in momentum space [51] can yield qualitative agreement with the experimental observations, provided that some additional terms are introduced: (i) an intertwined exchange coupling term $-K_2(\mathbf{S}_{\mathbf{Q}_1} \cdot \mathbf{S}_{\mathbf{Q}_2})(\mathbf{S}_{-\mathbf{Q}_1} \cdot \mathbf{S}_{-\mathbf{Q}_2})$, and (ii) higher-harmonic exchange coupling terms $-J''\mathbf{S}_{3\mathbf{Q}_1} \cdot \mathbf{S}_{-3\mathbf{Q}_1}$ and $K''(\mathbf{S}_{3\mathbf{Q}_1} \cdot \mathbf{S}_{-3\mathbf{Q}_1})^2$, where $K_2, J'', K'' > 0$. These terms (i) and (ii) are necessary to stabilize a double- \mathbf{Q} fanlike state and to enhance the $3\mathbf{Q}_1$ modulation, respectively, in high magnetic fields. The

resultant magnetic structure exhibits a peculiar feature of the double- \mathbf{Q} fanlike state. Immediately after the metamagnetic transition, a square-wave-shaped modulation appears perpendicular to the field direction, reflecting the effect of $3\mathbf{Q}_1$ along with \mathbf{Q}_1 . In higher magnetic fields, where the $3\mathbf{Q}_1$ modulation diminishes, the spin configuration transforms into a conventional fanlike state, while the remaining \mathbf{Q}_2 component contributes to a complex spin-flipping pattern.

In summary, we elucidate the incommensurate nature of the double- \mathbf{Q} broken-helix state in EuIn_2As_2 through RXS experiments, contradicting the previously proposed commensurate broken helix [39,40]. Furthermore, we observe the possible emergence of a double- \mathbf{Q} fanlike state with higher-harmonic modulations in an in-plane magnetic field. The double- \mathbf{Q} nature likely arises from the RKKY mechanism via hole carriers introduced by Eu deficiency. To verify this scenario, further detailed studies on multiple samples, including ARPES, magnetotransport measurements, and extended x-ray absorption fine-structure analysis would be beneficial. Our study indicates that the modulation period of the helix

component is an excellent indicator for evaluating the sample quality of EuIn_2As_2 . We propose that electron doping in *off-stoichiometric* EuIn_2As_2 through chemical substitution can be a promising pathway to shift the Fermi energy to the band gap and suppress the helical modulation, realizing the theoretically predicted AXS with the collinear AFM order [22].

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