


Thermal expansion and phase stability of BF_3 ($B = \text{Sc, Y, La, Al, Ga, In}$) from first principles

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ScF_3 has attracted much attention because of its simple crystal structure and negative thermal expansion (NTE) over a wide temperature range. No other binary trifluoride has the same crystal structure and exhibits NTE in as wide a temperature range as ScF_3 . There has hitherto been no sufficient explanation for this fact. Herein, to discuss the phase stability and the NTE behavior of ScF_3 , the six binary trifluorides BF_3 ($B = \text{Sc, Y, La, Al, Ga, In}$) are thoroughly compared by using *ab initio* molecular-dynamics calculations with the on-the-fly machine-learning technique, first-principles lattice-dynamics calculations, and electronic-structure analyses based on group-representation theory and the band-unfolding method. The present study reveals that (i) the $a^-a^-a^-$ octahedral rotational distortion (ORD) in ScF_3 increases the frequency of the crucial phonons for realizing NTE, leading to a weakening of the NTE behavior under hydrostatic pressure; (ii) the group-representation theory predicts the emergence of ORDs triggered by the second-order Jahn-Teller effect in cubic ScF_3 , YF_3 , AlF_3 , GaF_3 , and InF_3 ; (iii) cubic ScF_3 and YF_3 do not possess ORD without pressure due to their slight orbital overlap between the cations and anions; (iv) ScF_3 has a delicate balance between the repulsive force term and the energy stabilization term, induced by the octahedral rotational phonon modes; and (v) metastable cubic YF_3 and LaF_3 exhibit NTE behaviors. Our findings would provide an understanding of a material-design principle for realizing NTE.

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I. INTRODUCTION

Negative thermal expansion (NTE) is a counterintuitive physical property in which volume contracts with increase in temperature. Representative NTE materials quartz, ZrW_2O_8 , and ScF_3 were discovered in 1907 [1,2], 1968 [3], and 2010 [4], respectively, and a large amount of NTE materials have been reported, such as ReO_3 -type [4–6], ZrW_2O_8 -type [3,7–9], ZrV_2O_7 -type [10,11], $\text{Sc}_2\text{W}_3\text{O}_{12}$ -type [12–16], NASICON-type [17–19], NbPO_5 -type [20–22], $\text{Cu}_2\text{P}_2\text{O}_7$ -type [23–25], and Cu_2O -type structures [26], as well as delafossites [27,28], cyanides [29–32], perovskites [33–40], and intermetallic [41] families. Although some previous studies have reported a close correlation between crystal structure and NTE [42–46], the correlation between the element, the formulation of design principles for new NTE materials, and their exploration are still challenging issues [47–53]. As an adequate case study for uncovering the correlation between the element, crystal structure, and NTE, we focus on the simple NTE material ScF_3 and the relevant binary trifluorides $B(\text{III})\text{F}_3$ ($B = \text{Sc, Y, La, Al, Ga, In}$).

ScF_3 has a ReO_3 -type structure [$Pm\bar{3}m$ phase, Fig. 1(a)] and is known to exhibit NTE in a wide temperature range (10–1100 K) [4]. On the other hand, the ground-state

structures of AlF_3 , GaF_3 , and InF_3 are the distorted ReO_3 -type structures [$R\bar{3}c$ phases, Fig. 1(b)] with the $a^-a^-a^-$ octahedral rotational distortions (ORDs) [54–56], and those of YF_3 and LaF_3 are completely different from ReO_3 -type structure [57,58] (see Figs. S1(a) and S1(b) in Ref. [59]). The nature of NTE in ScF_3 is attributed to its crystal structure, which creates the low-frequency transverse phonon modes around the edge of the first Brillouin zone [61–63]. It has also been reported that local symmetry breaking in nanoparticles of ScF_3 weakens the NTE behavior [64,65], implying that the preservation of high space-group symmetry $Pm\bar{3}m$ is responsible for the NTE behavior of ScF_3 . Intriguingly, ScF_3 is the only binary trifluoride BF_3 that can stably adopt the $Pm\bar{3}m$ phase even at low temperature. To the best of our knowledge, there is no detailed explanation for the reason why only ScF_3 can possess the high-symmetry $Pm\bar{3}m$ phase and the NTE behavior.

What determines the emergence of ORD leading to the distorted $R\bar{3}c$ phase? This question has been addressed in terms of the second-order Jahn-Teller (SOJT) theory; the emergence of ORD can be explained by covalent bond formation between Bloch states, indicating that a symmetry analysis of the electronic structure is a powerful tool [66]. However, as will be discussed later, the group theory concludes that ScF_3 exhibits ORD leading to low crystal symmetry, whereas the experimentally reported structure has no distortion [4], indicating the necessity of further quantitative study.

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In this study, to consider the correlation between the crystal structure and thermal expansion of ScF_3 , we discuss the variations of mode-Grüneisen parameters and thermal expansion behaviors in the high-symmetry $Pm\bar{3}m$ and low-symmetry $R\bar{3}c$ phases for ScF_3 from first-principles lattice-dynamics and molecular-dynamics (MD) calculations. We then analyze the suppression of ORDs in ScF_3 , including hypothetical cubic YF_3 and LaF_3 , in detail through the group-representation theory, the band unfolding method, and crystal orbital overlap population (COOP) by comparing six $Pm\bar{3}m$ phases of BF_3 ($B = \text{Sc, Y, La, Al, Ga, In}$). Lastly, we predict the NTE behaviors in the metastable cubic YF_3 and LaF_3 .

II. THEORETICAL BACKGROUND

A. Grüneisen parameter and negative thermal expansion

In general, the Grüneisen parameter [67] is one of the most important quantities to consider in NTE. Within the scheme of the quasiharmonic approximation (QHA), the volumetric thermal expansion coefficient α_V of a solid is expressed as [51,67–69]

$$\alpha_V = \frac{\gamma C_V}{B_T V}, \quad (1)$$

where B_T , C_V , V , and γ are the bulk modulus at a constant temperature, the heat capacity per atom at a constant volume, the volume per atom in a lattice (average atomic volume), and the Grüneisen parameter, respectively. Since B_T , C_V , and V must be positive among the variables on the right-hand side in Eq. (1), the sign of α_V depends on the sign of γ . In other words, γ should be negative when NTE is indicated. γ is expressed as a weighted average of the mode-Grüneisen parameter $\gamma_{q,v}$ [67] with mode-heat capacity $C_{V,q,v}$:

$$\gamma = \frac{\sum_{q,v} \gamma_{q,v} C_{V,q,v}}{\sum_{q,v} C_{V,q,v}}, \quad (2)$$

$$C_{V,q,v} = k_B \left(\frac{\hbar\omega_{q,v}}{k_B T} \right)^2 \frac{\exp(\hbar\omega_{q,v}/k_B T)}{[\exp(\hbar\omega_{q,v}/k_B T) - 1]^2}, \quad (3)$$

where $\gamma_{q,v}$, $C_{V,q,v}$, and $\omega_{q,v}$ are the mode-Grüneisen parameter, the mode-heat capacity at constant volume, and the frequency of the v th phonon mode in a wave vector \mathbf{q} , respectively. As discussed above, since $C_{V,q,v}$ is always positive, a portion of $\gamma_{q,v}$ must be strongly negative for γ to be negative. $\gamma_{q,v}$ is defined by the volume derivative of the frequency $\omega_{q,v}$ of the relevant phonon mode and is expressed as

$$\gamma_{q,v} = -\frac{V}{\omega_{q,v}} \left(\frac{\partial \omega_{q,v}}{\partial V} \right)_T. \quad (4)$$

Here, $\gamma_{q,v}$ represents a portion of the anharmonicity of phonon modes, and a larger absolute value of $\gamma_{q,v}$ indicates stronger anharmonicity [53]. Equation (2) indicates that phonon modes with negative $\gamma_{q,v}$ are crucial for NTE; by calculating $\gamma_{q,v}$, it is possible to identify which phonon modes contribute the most to NTE. Also, by looking at the changes in these values due to the distortions, we can investigate the effect of symmetry breaking on NTE.

B. Second-order Jahn-Teller effect and irreducible representation

We describe the theoretical background of the SOJT effect below [66,70–74]. The Hamiltonian \mathcal{H} , which represents the energy of an electron system with an atomic displacement along a normal coordinate Q of an arbitrary phonon, is expressed as

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}^{(1)}Q + \frac{1}{2}\mathcal{H}^{(2)}Q^2 + \dots, \quad (5)$$

where \mathcal{H}_0 is an unperturbed Hamiltonian, and the first-order and second-order terms are given as [66,73,74]

$$\mathcal{H}^{(1)} = \left(\frac{\partial \mathcal{H}}{\partial Q} \right)_{Q=0}, \quad \mathcal{H}^{(2)} = \left(\frac{\partial^2 \mathcal{H}}{\partial Q^2} \right)_{Q=0}. \quad (6)$$

By using the perturbation theory, the total energy in the perturbed state is expressed as [66,73,74]

$$E = E_0 + \langle 0 | \mathcal{H}^{(1)} | 0 \rangle Q + \frac{1}{2} \left\{ \langle 0 | \mathcal{H}^{(2)} | 0 \rangle - 2 \sum_n \frac{|\langle 0 | \mathcal{H}^{(1)} | n \rangle|^2}{E_n - E_0} \right\} Q^2 + \dots, \quad (7)$$

where $|0\rangle$ and $|n\rangle$ represent the ground state and the n th excited state, respectively. The eigenvalues of $|0\rangle$ and $|n\rangle$ are E_0 and E_n , respectively. $\langle 0 | \mathcal{H}^{(1)} | 0 \rangle$ is the first-order term, which takes a nonzero value only when the ground state $|0\rangle$ is orbitally degenerate [73,74], describing the first-order Jahn-Teller effect. Regarding BF_3 ($B = \text{Sc, Y, La, Al, Ga, In}$), there are no degenerate states because they are band insulators. Hence, we ignore the first-order term. The first term of the second-order coefficients, $\frac{1}{2} \langle 0 | \mathcal{H}^{(2)} | 0 \rangle$, represents the short-range repulsive force induced by an atomic displacement Q . Since this term is always positive, the high-symmetry structure at $Q = 0$ is essentially preferred. On the other hand, the second term of the second-order coefficients, $-\sum_n \{ |\langle 0 | \mathcal{H}^{(1)} | n \rangle|^2 / (E_n - E_0) \} Q^2$, indicates the degree of one-electron energy stabilization due to the atomic displacement Q . This term is always negative unless it becomes zero by a symmetry restriction. Basically, when the second term $-\sum_n \{ |\langle 0 | \mathcal{H}^{(1)} | n \rangle|^2 / (E_n - E_0) \} Q^2$ exceeds the first term $\frac{1}{2} \langle 0 | \mathcal{H}^{(2)} | 0 \rangle Q^2$, the structural distortion along Q is generated, implying the emergence of the imaginary phonon mode, which tends to be realized in the cases of (i) nonzero $\langle 0 | \mathcal{H}^{(1)} | n \rangle$ and (ii) small energy gap $E_n - E_0$.

Here, to qualitatively analyze whether the second-order term in Eq. (7) becomes negative or not, the analysis based on group-representation theory for the term $\langle 0 | \mathcal{H}^{(1)} | n \rangle$ is effective [66,73]. At first, we extract the irreducible representations (irreps) of $|0\rangle$ and $|n\rangle$ in the high-symmetry group \mathcal{G} , that is, Φ_0 and Φ_n from the first-principles electronic-structure calculations, while the irrep of $\mathcal{H}^{(1)}$ is identical to that of an arbitrary atomic displacement Q , which is expressed as Φ_P . And then, the direct product $\Phi_0 \otimes \Phi_P \otimes \Phi_n$ is decomposed into irreps of \mathcal{G} through the wonderful orthogonality theorem [75]. If $\Phi_0 \otimes \Phi_P \otimes \Phi_n$ contains the totally symmetric representation of \mathcal{G} , $\langle 0 | \mathcal{H}^{(1)} | n \rangle$ must be nonzero. On the other hand, if $\Phi_0 \otimes \Phi_P \otimes \Phi_n$ does not contain the totally symmetric representation, $\langle 0 | \mathcal{H}^{(1)} | n \rangle$ must be zero. Because the energy

difference $E_n - E_0$ is smallest between the valence-band maximum (VBM) and the conduction-band minimum (CBM), it is reasonable to adopt the irreps of VBM and CBM as those of $|0\rangle$ and $|n\rangle$, respectively.

III. COMPUTATIONAL DETAILS

The first-principles calculations were performed using the projector-augmented-wave (PAW) method [76], the Perdew-Burke-Ernzerhof functional tuned for solids (PBEsol) within the generalized gradient approximation [77], and the Heyd-Scuseria-Ernzerhof (HSE06) hybrid functional with a Fock-exchange mixing parameter of 0.25 and a screening parameter of 0.208 \AA^{-1} [78–80] as implemented in VASP [81,82]. The plane-wave cutoff energy was set to 550 eV for all the calculations, and we used PAW data sets with radial cutoffs of 0.794, 1.40, 1.59, 1.40, 1.82, 1.68, and 1.54 \AA for F, Al, Sc, Ga, Y, In, and La, respectively. The following were described as valence electrons: $2s^2$ and $2p^5$ for F; $3s^2$ and $3p^1$ for Al; $4s^2$ and $3d^1$ for Sc; $4s^2$ and $4p^1$ for Ga; $4s^2$, $4p^6$, $5s^1$, and $4d^2$ for Y; $5s^2$ and $5p^1$ for In; and $5s^2$, $6s^2$, $5p^6$, and $5d^1$ for La. The PBEsol functional was used for the structural relaxation, MD calculations, and lattice-dynamics calculations, while the HSE06 hybrid functional was used for the electronic-structure calculations. All the initial crystal structures in this study were extracted from Materials Project [83]. We employed $10 \times 10 \times 10$, $8 \times 8 \times 8$, $6 \times 6 \times 9$, and $6 \times 6 \times 5$ Monkhorst-Pack k -point meshes for the ReO_3 -type $Pm\bar{3}m$ phase, the distorted ReO_3 -type $R\bar{3}c$ phase, the eightfold-coordinated $Pnma$ phase, and the ninefold-coordinated $P\bar{3}c1$ phase, respectively, to optimize their structures and to evaluate their total energies. The lattice constants and atomic internal coordinates were optimized until the residual stress and force converged down to 0.04 GPa and 7 meV/Å, respectively.

The *ab initio* MD calculations with the on-the-fly machine-learning technique in the isothermal-isobaric (NpT) ensemble in the range 100–700 K were carried out as implemented in VASP [84–86] with the $4 \times 4 \times 4$, $3 \times 3 \times 3$, and $2 \times 2 \times 2$ supercells for the $Pm\bar{3}m$ phase, the $R\bar{3}c$ phase, and the $Pnma$ and $P\bar{3}c1$ phases, respectively, which were extended from the relevant primitive cells. We employed a single k -point sampling for all the MD calculations. The temperature and pressure in the NpT ensemble were controlled by the Langevin method [87,88] and the Parrinello-Rahman method [89,90], respectively. The friction coefficients for the atoms and lattices were set to 1.0 and 10.0 ps⁻¹, respectively. The time increment of the velocity Verlet method was set to 2 fs, and the total number of steps was set to 20 000 (40 ps). The initial 2000 steps (4 ps) were used for a relaxation process, and the subsequent 18 000 steps (36 ps) were used for the analysis. We adopted the equilibrium volume as the average value of instantaneous volumes in all steps.

The phonon band structures were derived from the calculated force constants using PHONOPY [91–93]. We chose the supercell consisting of $2 \times 2 \times 2$ primitive cells for the $Pm\bar{3}m$ and $Pnma$ phases, that of $2 \times 2 \times 2$ conventional cells for the $R\bar{3}c$ phase, and that of $3 \times 3 \times 2$ primitive cells for the $P\bar{3}c1$ phase in order to contain all the special reciprocal points. The mode-Grüneisen parameters $\gamma_{q,v}$ within QHA [94] were derived from the phonon frequencies $\omega_{q,v}$ of the isotropically

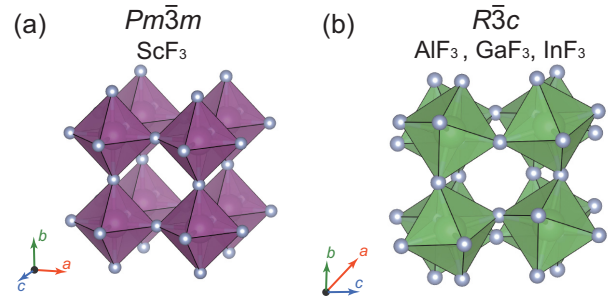


FIG. 1. Crystal structures for ScF_3 , AlF_3 , GaF_3 , and InF_3 . (a) The $Pm\bar{3}m$ phase, which is the same as the ABX_3 cubic perovskite without the A -site atom, and (b) the $R\bar{3}c$ phase that has the $a^- a^- a^-$ octahedral rotational distortions.

expanded and contracted lattice constants, which were multiplied by 1.0066 and 0.9934 with respect to the relaxed values. The atomic modulation that breaks the crystal symmetry was formed using PHONOPY [95–98]. The chemical bonding analysis through COOP was performed using LOBSTER [99–101]. The Madelung energies with the formal charges were calculated using Ewald methods as implemented in PYMATGEN [102]. The band-unfolding analyses from the $R\bar{3}c$ to $Pm\bar{3}m$ space group and the extraction of the projected electronic band structure with the density of states (DOS) were performed by using VASPKIT [103]. The symmetry analyses of phonons were performed by using AMPLIMODES [104,105] and ISODISTORT [106,107], while the symmetry analyses of electronic structures were done by using IRREP [108].

IV. RESULTS AND DISCUSSION

A. Effect of octahedral rotational distortion on thermal expansion of ScF_3

The previous experimental study [64,65] showed that the NTE behavior of nanoscale ScF_3 is weak due to the symmetry breaking associated with the $a^- a^- a^-$ ORD. To elucidate the effect of ORD in ScF_3 on its NTE behavior, we revisit and compare the $\gamma_{q,v}$ of the distorted $R\bar{3}c$ phase of ScF_3 , which is experimentally identified under pressure higher than 0.7 GPa at 300 K [4,109]. We can see that the NTE behavior in cubic ScF_3 originates from the phonons at R and M points due to their largely negative $\gamma_{q,v}$ [Fig. 2(a)], which is identical to the previous reports [61,62]. Consistent with the experimental result, we computationally obtained the dynamically stable $R\bar{3}c$ phase of ScF_3 under 1.5 GPa (see Fig. S2(g) in Ref. [59]). The calculated $\gamma_{q,v}$ of ScF_3 with and without the distortions indicates that the ORDs weaken the negativity of $\gamma_{q,v}$ in the $Pm\bar{3}m$ phase [Fig. 2(a)]. Note that the band path of $R(1/2, 1/2, 1/2)$ to $M(1/2, 1/2, 0)$ in $Pm\bar{3}m$ is identical to the band path of $\Gamma(0, 0, 0)$ to $F(1/2, 1/2, 0)$ in $R\bar{3}c$ [Fig. 2(b)]. From the distributions of the averaged $\gamma_{q,v}$ of the $Pm\bar{3}m$ and $R\bar{3}c$ phases, we can confirm that the negativity of the averaged $\gamma_{q,v}$ is significantly weakened by the ORDs in the entire first Brillouin zone [Fig. 2(c)].

Let us see the degree of weakening of negativity for $\gamma_{q,v}$ at R and M in the $Pm\bar{3}m$ phase of ScF_3 by the ORDs in detail. Here, from the group-theoretical analysis, the irrep R_4^+ of $Pm\bar{3}m$ is found to be converted into the irreps Γ_1^+ and

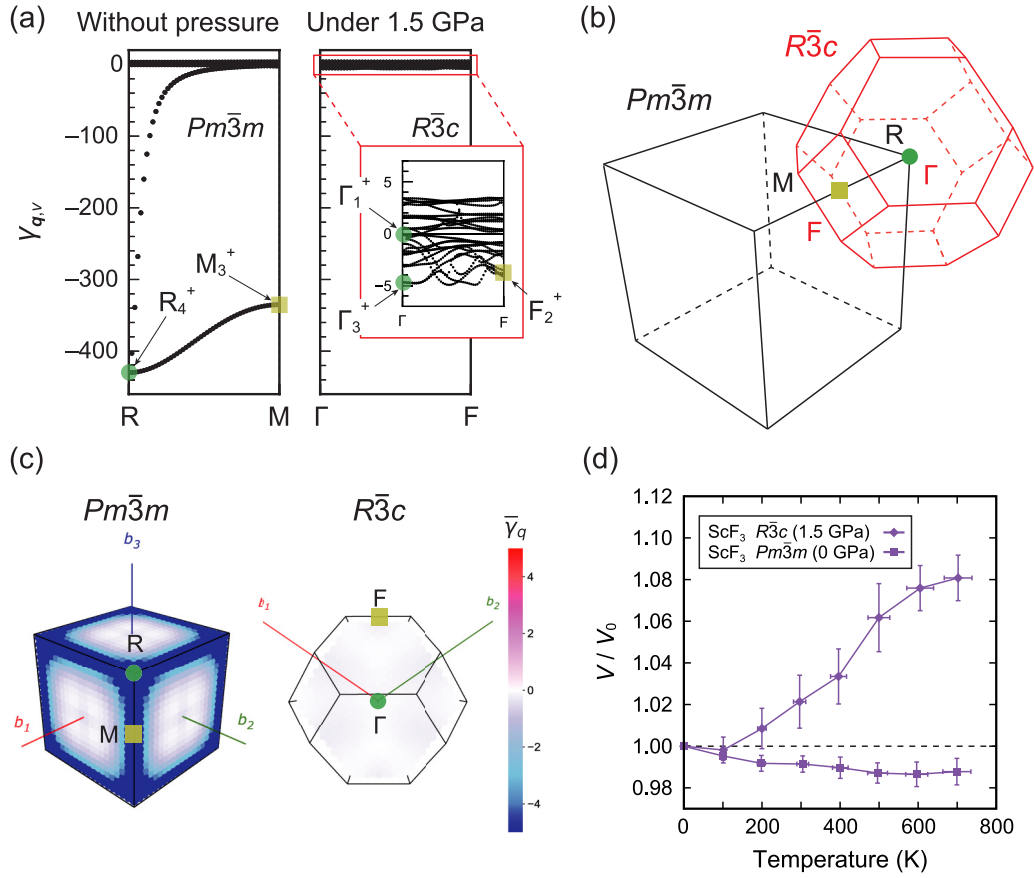


FIG. 2. (a)–(c) Comparison of mode-Grüneisen parameters $\gamma_{q,v}$ in the $Pm\bar{3}m$ and $R\bar{3}c$ phases of ScF_3 . The $R\bar{3}c$ phase was obtained under hydrostatic pressure (see text for the details). (a) The dispersions of $\gamma_{q,v}$ of the $Pm\bar{3}m$ and $R\bar{3}c$ phases. (b) The visualized first Brillouin zone of $Pm\bar{3}m$ and $R\bar{3}c$. (c) The distributions of averaged $\gamma_{q,v}$ in the first Brillouin zone of $Pm\bar{3}m$ and $R\bar{3}c$ phases. The special reciprocal points Γ , R , and M of $Pm\bar{3}m$ are located at $\Gamma(0, 0, 0)$, $R(1/2, 1/2, 1/2)$, and $M(1/2, 1/2, 0)$, respectively. The R and M points of $Pm\bar{3}m$ are transformed into $\Gamma(0, 0, 0)$ and $F(1/2, 1/2, 0)$ of $R\bar{3}c$, respectively. (d) Equilibrium lattice volumes of the $Pm\bar{3}m$ phases without pressure and $R\bar{3}c$ phases under 1.5 GPa for ScF_3 extracted from the *ab initio* molecular-dynamics calculations performed with the on-the-fly machine-learning force field. The error bars as the standard deviations for temperature and volumes are also indicated.

Γ_3^+ of $R\bar{3}c$, while the irrep M_3^+ of $Pm\bar{3}m$ is converted into the irrep F_2^+ of $R\bar{3}c$. As enumerated in Table I, we obtained $\gamma_{q,v}$ of the Γ_1^+ and Γ_3^+ (F_2^+) phonons in the distorted $R\bar{3}c$ phases that existed as the R_4^+ (M_3^+) phonons in the $Pm\bar{3}m$ phase. As can be seen in Table I and Figs. 2(a) and 2(c), the negativity of $\gamma_{q,v}$ in the $R\bar{3}c$ phase of ScF_3 is significantly weakened, which is comparable to those in $B'F_3$ ($B' = Al, Ga, In$) (see Table S1 in [59]). From Eq. (4), one can see that a low $\omega_{q,v}$ gives rise to a largely negative $\gamma_{q,v}$ when $(\partial\omega_{q,v}/\partial V)_T$

is positive. Thus, the weakening of the negativity for $\gamma_{q,v}$ is attributed to both the increase of $\omega_{q,v}$ and the decrease of $(\partial\omega_{q,v}/\partial V)_T$ for the R_4^+ and M_3^+ phonons due to the ORDs (see the values of $\omega_{q,v}$ and $(\partial\omega_{q,v}/\partial V)_T$ in Table I), which explain the experimental reports of weakened NTE behavior of ScF_3 nanoparticles [64,65].

To further consider the thermal-expansion behaviors of the $Pm\bar{3}m$ and $R\bar{3}c$ phases for ScF_3 , we also calculated the equilibrium lattice volumes from the *ab initio* MD calculations with the on-the-fly machine-learning technique [Fig. 2(d)]. We have found that the PTE behaviors are observed in the $R\bar{3}c$ phase of ScF_3 under 1.5 GPa [Fig. 2(d)]. These results are consistent with the weakened negativity of mode-Grüneisen parameters in the $R\bar{3}c$ phase [Table I]. Note that, in Sec. I in Ref. [59], we discuss the reproducibility of thermal-expansion behaviors from the MD calculations for the ground-state structures of six BF_3 [Fig. S1(c)] by comparing them with the experimental values [4,54,110,111].

The effect of the weakening of the negativity for $\gamma_{q,v}$ due to the ORDs would be generalized to the PTE behavior of $B'F_3$ ($B' = Al, Ga, In$) and other compounds. In AlF_3 , the previous experimental reports [54] have shown that the $Pm\bar{3}m$ ($R\bar{3}c$) phase is realized in temperatures higher (lower) than

TABLE I. Irreducible representations, frequencies in units of THz, mode-Grüneisen parameters $\gamma_{q,v}$, and volume derivatives of frequencies in units of THz/Å³ of ScF_3 for the $Pm\bar{3}m$ phase without pressure and the $R\bar{3}c$ phase under 1.5 GPa.

Space group	Irrep	$\gamma_{q,v}$	$\omega_{q,v}$	$(\partial\omega_{q,v}/\partial V)_T$
$Pm\bar{3}m$	R_4^+	−430	0.389	2.62
	M_3^+	−335	0.439	2.31
	Γ_1^+	-9.03×10^{-3}	6.07	4.93×10^{-4}
$R\bar{3}c$	Γ_3^+	−4.67	3.16	0.133
	F_2^+	−3.78	3.48	0.118

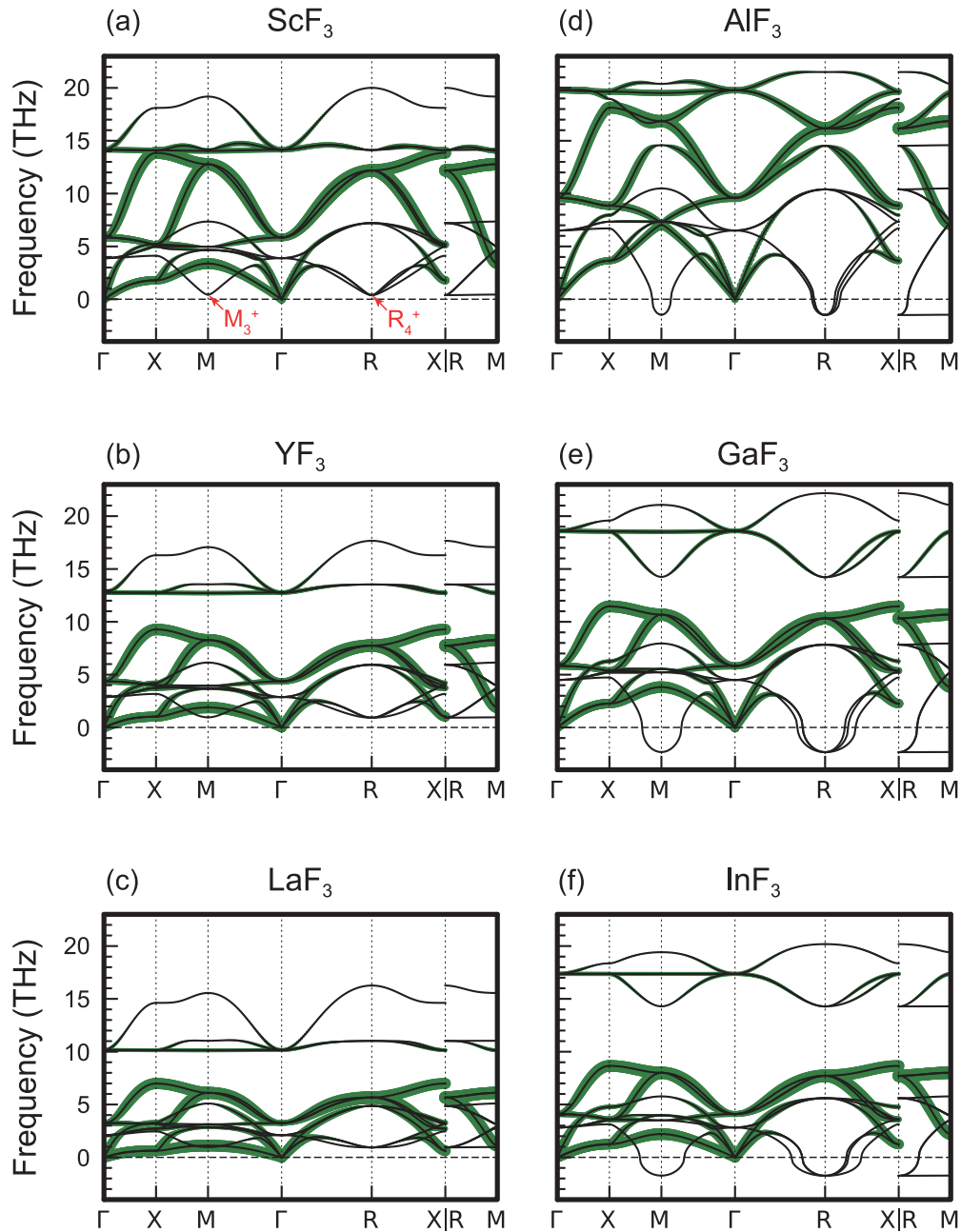


FIG. 3. Calculated phonon band structures for (a) ScF_3 , (b) YF_3 , (c) LaF_3 , (d) AlF_3 , (e) GaF_3 , and (f) InF_3 of the $Pm\bar{3}m$ phases. The green circles indicate the relative degree of projected mode amplitudes of the relevant cations.

700 K, and the volumetric thermal-expansion coefficients of the $Pm\bar{3}m$ phase are lower than those of the $R\bar{3}c$ phase. Moreover, in double- ReO_3 -type CaTiF_6 , it has been experimentally reported that the undistorted cubic $Fm\bar{3}m$ (distorted $R\bar{3}$) phase is realized in temperatures higher (lower) than 110 K, exhibiting NTE (PTE) behavior [54]. In addition, TaO_2F , which resembles ReO_3 , has been reported not to exhibit NTE behavior because of its local structural distortion [112].

B. Suppression of octahedral rotational distortions in ScF_3

To shed light on the dynamical properties of ORDs, phonon bands are calculated [Fig. 3] for the $Pm\bar{3}m$ phase of the six BF_3 , which is, however, totally hypothetical for YF_3 and

LaF_3 . Here, the size of the green circles in the phonon bands [Fig. 3] indicates the relative degree of projected vibration mode amplitudes of cations. We have found that BF_3 of the $Pm\bar{3}m$ phase becomes dynamically stable when the B -site cation is composed of early-transition metal [Figs. 3(a)–3(c)], whereas it becomes dynamically unstable if the B -site cation is composed of post-transition metal [Figs. 3(d)–3(f)]. The imaginary phonon modes at R and M in $B'\text{F}_3$ ($B' = \text{Al}, \text{Ga}, \text{In}$) transform as irreps R_4^+ and M_3^+ , respectively, which are responsible for the NTE behavior in ScF_3 . Figure 3 shows that the phonon modes transforming as R_4^+ and M_3^+ do not contain the vibration of cations, reconfirming that those phonon modes are composed of the vibration of fluorine.

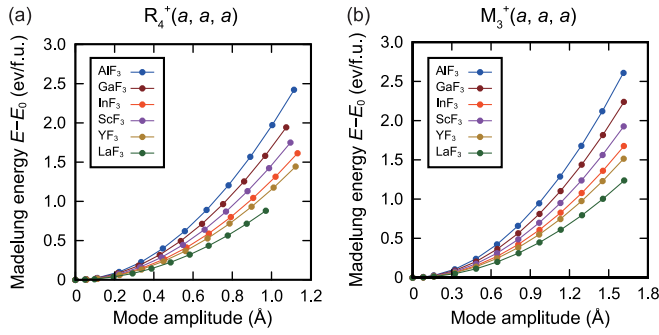


FIG. 4. Relative Madelung energies of six metal trifluorides as a function of the mode amplitudes transforming as irreducible representations (a) $R_4^+(a, a, a)$ and (b) $M_3^+(a, a, a)$.

To consider the origin of ORDs, we calculated relative Madelung energies of the distorted $R\bar{3}c$ and $Im\bar{3}$ phases, which are isotropy subgroups of $Pm\bar{3}m$ corresponding to irreps $R_4^+(a, a, a)$ and $M_3^+(a, a, a)$, respectively [Fig. 4], by using the formal charges. The previous computational study of antiperovskites [113] has shown that the ORDs transforming like irreps R_4^+ and M_3^+ reduce the Madelung energies, leading to a lowering in the total energies. However, in the case of BF_3 , the ORDs increase their Madelung energies. These results clarify that the nonclassical effect is essential to consider the dynamical stability of BF_3 , which cannot be considered in the Madelung energy. The nonclassical effect should be investigated to determine whether the VBM and CBM are hybridized or not under the ORD.

To uncover whether the hybridization of VBM and CBM is symmetrically permitted or not under the ORD, we analyze the irreps of VBM and CBM with the atomic displacement transforming as irrep R_4^+ in the $Pm\bar{3}m$ phase in the same way as in the previous studies [66,74]. The calculated band gaps, the irreps of VBM and CBM, the bond lengths of B and F , and the relative total energy of cubic BF_3 are enumerated in Table II (see Fig. S3 for the calculated electronic band structures with the full band paths for the six $Pm\bar{3}m$ phases in Ref. [59]). By using these irreps, we calculate the direct product $\Phi_0 \otimes \Phi_P \otimes \Phi_n$ and obtain the decomposed irreps of the $Pm\bar{3}m$ phases for ScF_3 as

$$R_4^+ \otimes R_4^+ \otimes \Gamma_5^+ = \Gamma_1^+ + \Gamma_2^+ + 2\Gamma_3^+ + 3\Gamma_4^+ + 4\Gamma_5^+, \quad (8)$$

TABLE II. Irreducible representations of VBM and CBM, band gaps ε_g calculated within the HSE06 functional, bond lengths l_{B-F} between B and F , and energies above hull ΔE (relative total energies with respect to the ground-state phases) for six BF_3 of the $Pm\bar{3}m$ phases calculated within the PBEsol functional.

Compound	VBM	CBM	ε_g (eV)	l_{B-F} (Å)	ΔE (eV/atom)
ScF ₃	R_4^+	Γ_5^+	8.39	2.00	0
YF ₃	R_4^+	Γ_1^+	9.28	2.16	6.30×10^{-2}
LaF ₃	Γ_4^+	Γ_1^+	8.65	2.31	1.43×10^{-2}
AlF ₃	R_4^+	Γ_1^+	9.98	1.80	3.18×10^{-3}
GaF ₃	R_4^+	Γ_1^+	6.55	1.90	2.69×10^{-2}
InF ₃	R_4^+	Γ_1^+	5.81	2.09	2.02×10^{-2}

while in the cases of $B'F_3$ ($B' = Al, Ga, In$) and YF_3 , the direct product is expressed as

$$R_4^+ \otimes R_4^+ \otimes \Gamma_1^+ = \Gamma_1^+ + \Gamma_2^+ + \Gamma_3^+ + \Gamma_4^+ + \Gamma_5^+. \quad (9)$$

These results indicate that the ORD transforming as irrep R_4^+ would be generated in these five compounds because the totally symmetric representation Γ_1^+ is included in Eqs. (8) and (9). On the other hand, the direct product of the $Pm\bar{3}m$ phase for LaF_3 is expressed as

$$\Gamma_4^- \otimes R_4^+ \otimes \Gamma_1^+ = R_1^- + R_3^- + R_4^- + R_5^-. \quad (10)$$

As for the $Pm\bar{3}m$ phase of LaF_3 , the emergence of ORD is not expected because Eq. (10) does not contain Γ_1^+ . These analyses based on group-representation theory for $B'F_3$ ($B' = Al, Ga, In$) and LaF_3 are consistent with their phonon bands, while those for ScF_3 and YF_3 are not fully addressed to their phonon bands, indicating the necessity of a quantitative discussion (see Sec. IV for further analyses on the electronic states other than VBM and CBM by using the group-representation theory in Ref. [59]).

To investigate the degree of the hybridization of VBM and CBM due to the ORDs in BF_3 , we directly compare the electronic structures between the $Pm\bar{3}m$ and $R\bar{3}c$ phases, as illustrated in Fig. 5. Here, the size of the red circles in Fig. 5 indicates the degree of the relevant projected DOS of cations s or d states. Note that we applied the band-unfolding method [114,115] to the electronic structures of the distorted $R\bar{3}c$ phases. Moreover, we unified the degree of ORDs in BF_3 for comparison in Fig. 5; the bond angle of $B-F-B$ is unified to 172.6° . This degree of distortion amplitude yields a small total-energy change as $+2$ meV/f.u. with respect to the $Pm\bar{3}m$ phase in ScF_3 so that the distorted structures can be treated as perturbed systems. We denote here that energies below 1 meV/f.u. are treated as numerical errors.

From Fig. 5, we can observe the variations in the electronic structures around VBM. In AlF_3 , GaF_3 , and InF_3 , we can see the comparatively large band splits of threefold-degenerate states at VBM, whereas the slight band splits are shown in ScF_3 , YF_3 , and LaF_3 . Note that the threefold-degenerate R_4^+ electronic state splits into nondegenerate Γ_1^+ and twofold-degenerate Γ_3^+ , while the threefold-degenerate Γ_4^- state in cubic LaF_3 splits into nondegenerate Γ_2^- and twofold-degenerate Γ_3^- in the $R\bar{3}c$ phase by the ORD. Additionally, due to the ORDs, the cation B' - s states ($B' = Al, Ga, In$) are hybridized into the valence bands in $B'F_3$, whereas the d states (s states) of ScF_3 (YF_3 and LaF_3) are less hybridized. These electronic-structure analyses indicate the emergence of ORD in AlF_3 , GaF_3 , and InF_3 [Figs. 3(d)–3(f)], and the suppression of ORD in the $Pm\bar{3}m$ phases of ScF_3 , YF_3 , and LaF_3 [Figs. 3(a)–3(c)].

Herein, we consider the suppression of ORD in ScF_3 in terms of bond length, band gap, and orbital overlap by comparing ScF_3 , AlF_3 , and InF_3 because of the qualitative prediction of the group representation theory. As discussed in Sec. II, the energy-stabilization term $-\sum_n \{ |\langle 0 | \mathcal{H}^{(1)} | n \rangle|^2 / (E_n - E_0) \} Q^2$ should have a largely negative value under the conditions of (i) large $|\langle 0 | \mathcal{H}^{(1)} | n \rangle|^2$ and/or (ii) small $E_n - E_0$. When we compare AlF_3 and ScF_3 , the bond length of AlF_3 is shorter than ScF_3 , while the band gap of AlF_3 is larger than that of ScF_3 . The shorter

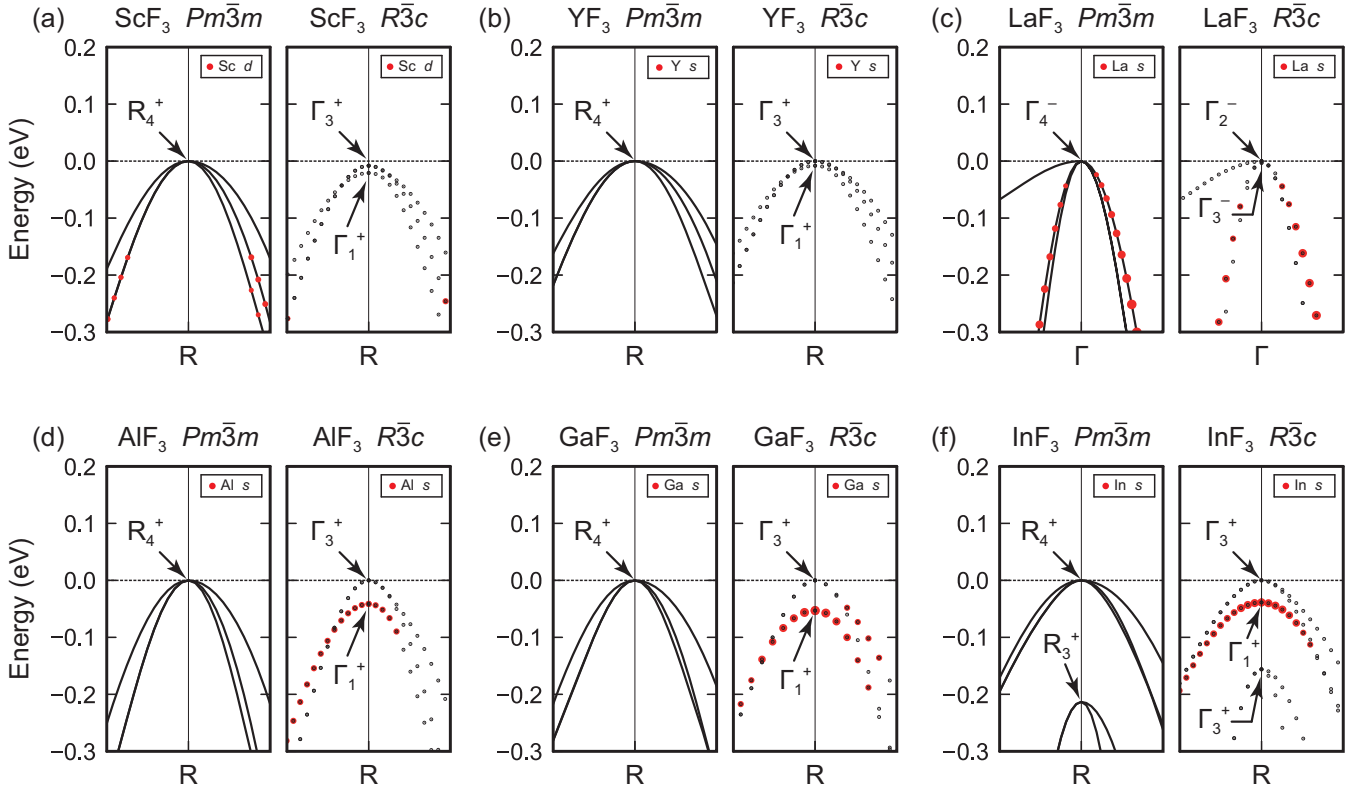


FIG. 5. Calculated electronic band structures with and without the octahedral rotational distortions for (a) ScF_3 , (b) YF_3 , (c) LaF_3 , (d) AlF_3 , (e) GaF_3 , and (f) InF_3 by using the HSE06 hybrid functional in the vicinity of VBM. All of the band structures of the $R\bar{3}c$ phases are unfolded into the first Brillouin zone of $Pm\bar{3}m$. The black dots in the $R\bar{3}c$ phase band structures represent the band edge with the spectral weight over 50%. The $B-F-B$ bond angles of the $Pm\bar{3}m$ and $R\bar{3}c$ phases are, respectively, 180° and 172.6° . The relevant $R\bar{3}c$ structures ($B-F-B = 172.6^\circ$) were generated by equidistantly separating the relaxed $Pm\bar{3}m$ and the $R\bar{3}c$ phases. The red circles represent the degree of the relevant projected DOS.

bond length of AlF_3 gives rise to a comparatively larger crystal orbital overlap, which should lead to a large value of $|\langle 0 | \mathcal{H}^{(1)} | n \rangle|^2$. As enumerated in Table III, we calculated the integrated COOPs (iCOOPs) of the distorted $R\bar{3}c$ phases of the six BF_3 . Here, for the calculation of iCOOPs, we unified the bond angle of $B-F-B$ in the same way as in Fig. 5. One can see that the iCOOP of AlF_3 is three times larger than that of ScF_3 , implying the larger value of $|\langle 0 | \mathcal{H}^{(1)} | n \rangle|^2$ in AlF_3 . On the other hand, as for the comparison between InF_3 and ScF_3 , the bond length of InF_3 is comparable with that of ScF_3 , while the band gap of InF_3 is smaller than ScF_3

TABLE III. Integrated COOPs (iCOOPs) up to VBM between the $B-F$ bonds for the $R\bar{3}c$ phases of six BF_3 , the band-gap changes $\Delta\varepsilon_g$ due to the ORD with the bond angle of $B-F-B$ as 172.6° .

Compound	iCOOPs (eV)	$\Delta\varepsilon_g$ (eV)
ScF_3	0.040	0.023
YF_3	0.062	0.019
LaF_3	0.038	0.008
AlF_3	0.120	0.134
GaF_3	0.121	0.316
InF_3	0.104	0.277

[Table III]. Although the bond lengths of InF_3 and ScF_3 are comparative, the iCOOP of InF_3 is about 2.5 times larger than that of ScF_3 because the orbital size of $5s$ states is far larger than that of $3d$ states.

In short, in ScF_3 , the repulsive force term $\frac{1}{2} \langle 0 | \mathcal{H}^{(2)} | 0 \rangle Q^2$ is slightly larger than the energy stabilization term $-\sum_n \{ |\langle 0 | \mathcal{H}^{(1)} | n \rangle|^2 / (E_n - E_0) \} Q^2$ without pressure. From the relative total energy of the distorted $R\bar{3}c$ phase as a function of the R_4^+ atomic displacement in ScF_3 , we can confirm that the coefficient of the quadratic term is almost zero (see Fig. S4 and Sec. V in Ref. [59]), leading to the very low frequency of the R_4^+ phonon [Fig. 3(a)] and the strongly anharmonic relative total energy due to the R_4^+ phonon. As discussed in Eq. (8), the emergence of ORD was qualitatively predicted by the group-representation theory for ScF_3 , which, in fact, does not contradict the experimental result: we consider that the energy-stabilization term, namely the SOJT effect in ScF_3 , is extremely scarce without pressure because of its large band gap and its long bond length. Indeed, we have confirmed the tiny hybridization of $\text{Sc-}3d$ and $\text{F-}2p$ states, which triggers the little band split [Fig. 5(a)] and slight band-gap opening [Table III] induced by the ORD in ScF_3 . We also consider that the delicate balance between the two terms in ScF_3 should explain the experimentally reported quantum phase transition of ScF_3 [116].

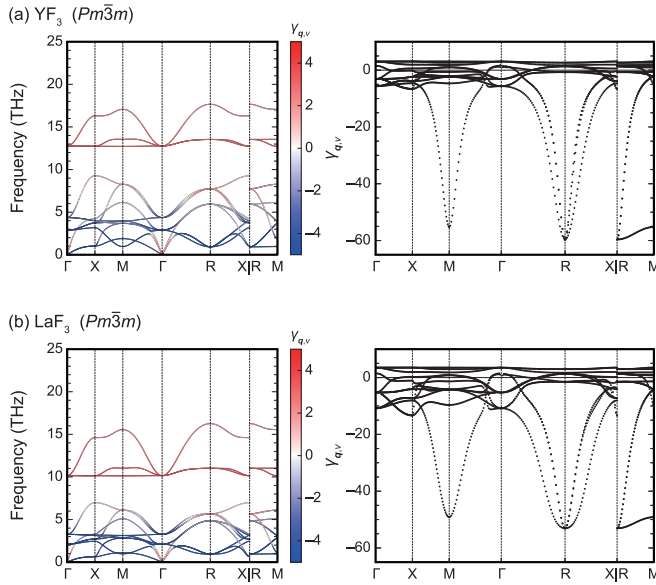


FIG. 6. Calculated phonon band structures and mode-Grüneisen parameters $\gamma_{q,v}$ for the metastable $Pm\bar{3}m$ phases of (a) YF_3 and (b) LaF_3 without hydrostatic pressure.

C. Negative thermal expansion in metastable cubic YF_3 and LaF_3

We have shown that the COOPs between the early-transition metals (Sc, Y, La) and fluorine are scarce, leading to the suppression of ORD, whereas those between the post-transition metals (Al, Ga, In) and fluorine are large [Table III] leading to the emergence of ORD. This perspective gives us an expectation that the metastable $Pm\bar{3}m$ phases of YF_3 and LaF_3 would possess NTE behavior. Intriguingly, the metastable $Pm\bar{3}m$ phases of YF_3 and LaF_3 have negative Grüneisen parameters at R and M points [Fig. 6], which are analogous to ScF_3 . Notably, the relative total energy of cubic YF_3 with respect to the ground-state phase is comparable to that of cubic GaF_3 [Table II]. We also present the *ab initio* MD calculation results for the cubic YF_3 and LaF_3 [Fig. 7], indicating their NTE behaviors. The prominent NTE behaviors can be

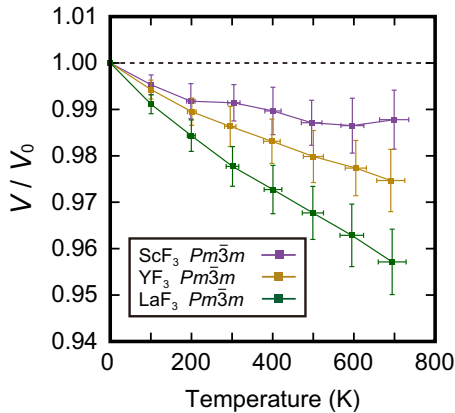


FIG. 7. Equilibrium volumes of the cubic YF_3 and LaF_3 derived from the *ab initio* MD calculations performed with the on-the-fly machine-learning force field. The error bars as the standard deviations for temperature and volumes are also indicated.

observed as the cation size increases. This phenomenon can be understood from the perspective of average atomic volume, which is defined as the lattice volume divided by the number of atoms in the lattice: the larger average atomic volume yields the stronger NTE behaviors [27] because the most crucial R_4^+ and M_3^+ low-energy transverse phonons make the anions vibrate forward to vast void space (void A -site in ABX_3 cubic perovskite structure) [53]. Note that the calculated equilibrium average atomic volumes at 300 K for the cubic ScF_3 , YF_3 , and LaF_3 are 15.82, 20.04, and 24.36 \AA^3 , respectively. Here, we also denote that the Grüneisen parameters at R and M in the cubic YF_3 and LaF_3 are less negative compared to those in ScF_3 . This quantitative inconsistency between the mode-Grüneisen parameters [Fig. 6] and the *ab initio* MD calculations [Fig. 7] should stem from the anharmonicity parameters and temperature-Grüneisen parameters [117], which are not included in QHA [118].

V. CONCLUSIONS

The group-representation theory qualitatively predicted the emergence of ORDs in the $Pm\bar{3}m$ phases of ScF_3 , YF_3 , AlF_3 , GaF_3 , and InF_3 , not in the $Pm\bar{3}m$ phase of LaF_3 . We have found that the suppression of ORD in the $Pm\bar{3}m$ phases of ScF_3 and YF_3 stems from the scarce energy-stabilization terms because of their large band gaps and long bond lengths. We have also found that the delicate balance between the repulsive force term and the energy-stabilization term gives rise to the low frequency of the R_4^+ phonon, which is crucial for the NTE behavior. From this perspective, we have computationally predicted that the metastable cubic YF_3 and LaF_3 exhibit NTE behaviors. Combining all the discussions, we can obtain a simple conclusion that the following two conditions are essential to realizing the NTE behavior: (i) the preservation of high crystal symmetry, and (ii) large average atomic volumes in pristine materials. The first condition can be perceived from the mode-Grüneisen parameters and thermal expansion behaviors in the $Pm\bar{3}m$ and $R\bar{3}c$ phases in ScF_3 [Fig. 2]. The second condition can be understood from the thermal expansion behaviors in the $Pm\bar{3}m$ phases of ScF_3 , YF_3 , and LaF_3 [Fig. 7], indicating the larger NTE behavior as the cation size increases. The SOJT effect is a pivotal indicator for considering the preservation of high crystal symmetry. We believe that our study would provide an understanding of a material design principle for realizing NTE.

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H.K. and Y.M. contributed equally to this work.

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