# Critical magnetic flux for Weyl points in the three-dimensional Hofstadter model

Pierpaolo Fontana

Departament de Física, Universitat Autònoma de Barcelona, 08193 Bellaterra, Spain

Andrea Trombettoni

Department of Physics, University of Trieste, Strada Costiera 11, I-34151 Trieste, Italy and SISSA and INFN, Sezione di Trieste, Via Bonomea 265, I-34136 Trieste, Italy

(Received 8 March 2024; revised 11 June 2024; accepted 24 June 2024; published 11 July 2024)

We investigate the band structure of the three-dimensional Hofstadter model on cubic lattices, with an isotropic magnetic field oriented along the diagonal of the cube with flux  $\Phi = 2\pi m/n$ , where m, n are coprime integers. Using reduced exact diagonalization in momentum space, we show that, at fixed m, there exists an integer n(m) associated with a specific value of the magnetic flux, that we denote by  $\Phi_c(m) \equiv 2\pi m/n(m)$ , separating two different regimes. The first one, for fluxes  $\Phi < \Phi_c(m)$ , is characterized by complete band overlaps, while the second one, for  $\Phi > \Phi_c(m)$ , features isolated band-touching points in the density of states and Weyl points between the mth and the (m + 1)-th bands. In the Hasegawa gauge, the minimum of the (m + 1)-th band abruptly moves at the critical flux  $\Phi_c(m) \equiv \Phi_c$ . Our estimate is  $\Phi_c/2\pi = 0.1296(1)$ . Based on the values of n(m) determined for integers  $m \le 60$ , we propose a mathematical conjecture for the form of  $\Phi_c(m)$  to be used in the large-m limit. The asymptotic critical flux obtained using this conjecture is  $\Phi_c^{(conj)}/2\pi = 7/54$ .

DOI: 10.1103/PhysRevB.110.045121

# I. INTRODUCTION

The interaction between charged particles and background magnetic fields plays an important role in the realms of condensed-matter physics and solid-state theory [1-3]. The associated phenomena have been largely studied in the past decades, leading to the discovery of mathematical models that have changed our understanding of electronic properties in crystalline materials. In this context, one of the most fascinating and paradigmatic models is the Hofstadter model [4,5], which combines the coupling of electrons in the lattice structure of solids with external magnetic fields. Over the years, this model has become increasingly important for its complex and rich structure from both the physical and mathematical points of view.

In two dimensions, the tight-binding model combined with the Peierls substitution [6] captures how the properties of electrons moving in a periodic lattice are influenced and modified by the presence of a magnetic field. The first studies were focused on the broadening of energy levels and the determination of the wave function of the system using semiclassical techniques, reducing the problem to a finite-difference equation known as the Harper equation [7-10]. The complete band structure as a function of the magnetic flux has an intricate self-similar structure, which is the celebrated fractal Hofstadter butterfly [5]. Moreover, the two-dimensional (2D) Hofstadter model is closely connected to the physics of Chern insulators and quantum Hall effect [11–13], due to the possibility of realizing novel topological phases of matter by introducing strong magnetic fluxes in 2D materials [14–16].

Interesting features emerge as well in the 3D case, showing a highly complex band structure depending on the hopping strengths in the various directions. For strong anisotropic hoppings along a particular spatial axis there exists a 3D analog of the Hofstadter butterfly [17], with a fractal structure of the energy levels as a function of the magnetic field tilt with respect to the anisotropy axis. For general hopping coefficients or orientations of the magnetic field, however, this structure disappears and the spectrum is no more gapped. The general absence of energy gaps between the bands is the reason why the connection with the quantum Hall physics cannot be trivially extended to the 3D case [18,19]. The 3D Hofstadter model has been studied for varying directions and intensities of the magnetic flux [17-23]. Analogously to the behavior observed in the 2D Hofstadter model in the  $\pi$ -flux case [24], the 3D case also exhibits points in the spectrum with zero-energy density of states and band touching, such as those found with a  $\pi$  flux [21] or for fluxes of the form  $\Phi = 2\pi/n$  with *n* integer [20,22,23]. Due to these properties, the 3D Hofstadter model can be used to model various topological metallic phases, such as Weyl metals and semimetals [25–28]. Interestingly, the semimetal behavior persists even at finite interaction strengths, below a critical value [29,30], and in presence of anisotropic tunneling coefficients [20,30]. In addition to the 3D case, higher-dimensional extensions of the Hofstadter model have been considered in relation to the investigation of integer quantum Hall effect in even dimensions [31].

<sup>\*</sup>Contact author: Pierpaolo.Fontana@uab.cat

The features of the Hofstadter model can be explored experimentally in various platforms, also due to the recent remarkable progress in the engineering of quantum matter in artificial lattices in presence of large magnetic fluxes [32]. Recent studies includes photonic crystals [33], ultracold atoms in optical lattices [34-38], moiré double-layer heterostructures and superlattices [39,40], and molecular nanostructures built with scanning tunneling microscopes [41,42]. Moreover, the topological properties of the Hofstadter model in different dimensionalities have interesting connections with optical diffraction figures resulting from optical gratings [43]. A significant effort is currently in progress on ultracold atoms platforms to quantum simulate the 3D Hofstadter model (see the latest results in the recent review [44]). On the other hand, a remarkable amount of interest in the solid-state realm is focusing on UTe<sub>2</sub> field-boosted superconductivity [45]. In this context, it has been proposed that the properties of the superconducting state in magnetic field in UTe<sub>2</sub> may be due to Landau quantization in a "Hofstadter butterfly" regime with large superlattices. The highest considered magnetic field is around 60 T, with a magnetic length  $\ell_B \approx 3.3$  nm [46], resulting in fluxes ranging from  $\sim \pi/50$  to  $\sim \pi/5$  for lattice length scales  $a \sim (4, 6, 14) \text{ Å} [47]$ .

Besides these physical features and applications to the analysis of new phases of matter, the Hofstadter model displays a rich mathematical structure interesting *per se*, intrinsically connected to incommensurability effects [5,48–52] and topological invariants [53]. The Harper equation represents a particular type of almost Mathieu operator, and its properties and solutions strongly depends on the rational nature of the magnetic flux. Indeed, if the flux is an irrational number, then it can be proven that the spectrum of the model is a Cantor set [54,55]. Conversely, for rational fluxes the spectrum has a finite number of bands and can be investigated in the reciprocal space [56,57] by taking advantage of the Bloch theorem applied to the magnetic translation group [58–60].

Throughout this paper, we focus on the 3D case and rational magnetic fluxes parametrized as

$$\Phi = 2\pi \frac{m}{n},\tag{1}$$

where  $m, n \in \mathbb{N}$  are coprime integers. The spectrum consists of the union of *n* bands. By excluding the case of strong anisotropic hopping, previous works with this setting suggest that these bands touch in isolated points or overlap, depending on the value of the magnetic flux [20,22,23]. Investigations for small values of *n* and *m* indicate that for large fluxes the bands touch and by progressively decreasing  $\Phi$  they overlap [20,22]. We may then define, at fixed *m*, the *critical flux*  $\Phi_c(m)$  as the magnetic flux at which this transition from isolated touching to full bands overlap possibly takes place. For example, for m = 1, Weyl points appear if  $n \leq 7$ , while bands overlap occurs for  $n \ge 8$  [20,23]. Similarly, for m = 4 a transition occurs between n = 31 and n = 32 [20]. Moreover, for m = 1, the Weyl points are observed between the first and second bands [20,21,23]. It is expected that for fluxes  $\Phi > \Phi_c(m)$ , Weyl points exists between the *m*th and the (m + 1)-th band.

The questions we address in the present paper are the following ones: (i) is the critical flux  $\Phi_c(m)$  defined for any m? (ii) If the the answer to the previous question is affirmative,

then does the limit for large *m* converge to a well-defined finite flux  $\Phi_c$ ? Additionally, we aim to investigate whether, as expected, the Weyl points separate the *m*th and the (m + 1)-th bands, for  $\Phi > \Phi_c(m)$  or, in the limit of large *m*, for  $\Phi > \Phi_c$ . Furthermore, if  $\Phi_c$  exists, then we investigate whether  $\Phi_c/2\pi$ is a rational number. We also look for a qualitative characterization of the transition occurring at  $\Phi_c(m)$ . To address these questions in a well-defined setting, we choose to consider an isotropic flux with the magnetic field oriented along the diagonal of the cubic lattice, and with isotropic hopping coefficients.

Due to the involved analytical structure of the problem, an exact mathematical expression of  $\Phi_c(m)$  as a function of m is not present in the literature, to the best of our knowledge. Numerical estimates for  $\Phi_c$  for small *m* are present in few works [20,23], and are based on the exact diagonalization (ED) of the 3D model. The aim of this work is to numerically investigate the existence of the critical flux  $\Phi_c(m)$  for general coprime pairs (m, n). By means of momentum space ED, we analyze the structure of isolated band-touching points for various magnetic fluxes, by increasing progressively the value of m. Based on the sequence of critical fluxes obtained for different values of m,  $\Phi_c(m)$  for  $m \leq 60$ , we argue in favor of an asymptotic finite value of  $\Phi_c$  for large *m* by keeping the ratio m/n finite. Additionally, based on the same sequence of values, we formulate a conjecture for the value of  $\Phi_c(m)$  to be used in the large-*m* limit. The resulting value of  $\Phi_c/2\pi$ , referred to as  $\Phi_c^{(\text{conj})}/2\pi$ , yields a rational number, in agreement with the numerical estimate of  $\Phi_c$  obtained from a fit using the values of  $\Phi_c(m)$ . We also characterize other relevant physical quantities and the momentum space bands structure of the model for large *m*, such as the ground-state energies and the isolated band-touching points.

The paper is organized as follows. In Sec. II we introduce the 3D Hofstadter model and show its diagonalization in momentum space. In Sec. III we define the spectral measures of the reduced Hamiltonian and how they are related to the possible definitions of the critical flux. In Sec. IV we present our numerical results on the band structure of the model for  $m \ge 2$ . We discuss the scaling of the ground-state energy, the band-touching points position in momentum space, and the numerical determination of the critical flux. In Sec. V we present our mathematical conjecture for the critical flux, based on our numerical results for  $m \le 60$ . In Sec. VI we summarize and present our conclusions. The various Appendixes contain numerical details and computations, and the relevant tables with the pairs (m, n) analyzed in the paper.

#### **II. THE HOFSTADTER MODEL**

The real-space Hamiltonian of the Hofstadter model is

$$H = -t \sum_{r,\hat{j}} c^{\dagger}_{r+\hat{j}} e^{i\theta_{j}(r)} c_{r} + \text{ H.c.}, \qquad (2)$$

where *t* is the hopping amplitude, assumed equal in the three directions *x*, *y*, *z*,  $c_r^{\dagger}$ , and  $c_r$  are the creation and annihilation operators, and we used the Peierls substitution

$$\theta_j(\mathbf{r}) \equiv \int_{\mathbf{r}}^{\mathbf{r}+j} \mathbf{A}(\mathbf{x}) d\mathbf{x}$$
(3)

to take into account the effect of the external magnetic fields, defining the fluxes across all plaquettes of the cube [2,6]. We consider the case of isotropic commensurate magnetic fluxes

$$\Phi = \frac{2\pi \ m}{n}, \qquad m, \ n \in \mathbb{N}, \tag{4}$$

with (m, n) coprime integer pairs corresponding to a magnetic field

$$\mathbf{B} = \Phi(1, 1, 1)$$

in units of the magnetic flux quantum  $\Phi_0 = h/(2e)$ . In two dimensions, this is a paradigmatic model for the study of commensurability effects [5]. In the following we focus on the 3D case, considering a cubic lattice with  $V = L^3$  sites, hopping amplitude t = 1 and periodic boundary conditions.

The cubic lattice can be decomposed in independent sublattices whose size depends, in general, on the gauge choice. This freedom can be employed to identify the gauge producing the smallest number of sublattices related to the magnetic flux  $\Phi$ . As discussed and reviewed in Ref. [61], for a 3D cubic lattice the minimal set of sublattices has dimension *n*, and a practical gauge choice in this context is the Hasegawa gauge [20], defined as

$$A(\mathbf{x}) = \Phi(0, x - y, y - x).$$
 (5)

Within this gauge, the Peierls phases are

$$\theta_x(\mathbf{r}) = 0, \quad \theta_y(\mathbf{r}) = \Phi\left(x - y - \frac{1}{2}\right), \quad \theta_z(\mathbf{r}) = \Phi(y - x),$$
(6)

immediately observing that the *z* coordinate is absent. Another interesting feature of the Hasegawa gauge is the explicit dependence only on the relative difference x - y, which indicates that the problem is effectively 1D in momentum space [18].

#### A. Momentum space diagonalization

The 3D Hofstadter model can be solved in momentum space by introducing the magnetic translation group, exploiting the interplay between gauge and translational invariance in the system when a commensurate background magnetic field is present [1,58,59]. For the gauge choice in Eq. (5), we can define the magnetic Brillouin zone (MBZ) as

MBZ: 
$$k_x \in \left[-\frac{\pi}{n}, \frac{\pi}{n}\right], k_y \in \left[-\frac{\pi}{n}, \frac{\pi}{n}\right],$$
  
 $k_z \in \left[-\pi, \pi\right].$  (7)

The Hamiltonian is then expressed in terms of independent blocks known as magnetic bands. Each sublattice corresponds to a specific band, and each of these bands exhibits an *n*-fold degeneracy. We notice that when  $\mathbf{k} \in \text{MBZ}$ , the allowed values of  $\mathbf{k}$  are  $L/n^2$ , and for each of them the associated matrix is of size  $n \times n$ . Consequently, we obtain L/n eigenvalues, each one being degenerate *n* times, matching the real-space dimensionality of the problem, as described, for example, in Ref. [61]. The form of Eq. (2) in momentum space is

$$H = -t \sum_{\mathbf{k} \in \text{MBZ}} \sum_{\hat{j},s} c^{\dagger}_{s',\mathbf{k}} (T_{\hat{j}})_{s',s} e^{-i\mathbf{k}j} c_{s,\mathbf{k}}$$
$$+ \text{H.c.} \equiv -t \sum_{\mathbf{k} \in \text{MBZ}} C^{\dagger}_{\mathbf{k}} \mathcal{H}(\mathbf{k}) C_{\mathbf{k}}, \qquad (8)$$

where *s* labels the magnetic bands and the  $n \times n$  matrices  $T_{\hat{j}}$  are for  $\hat{j} = \hat{x}, \hat{y}, \hat{z}$  given by

$$T_{\hat{x}} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & \ddots & 0 \\ 0 & \cdots & 0 & 1 \\ 1 & 0 & \cdots & 0 \end{pmatrix},$$
  
$$T_{\hat{y}} = e^{-\frac{i\Phi}{2}} \begin{pmatrix} 0 & \cdots & 0 & \varphi_{0} \\ \varphi_{1} & 0 & \cdots & 0 \\ 0 & \ddots & 0 & 0 \\ 0 & 0 & \varphi_{n-1} & 0 \end{pmatrix},$$
  
$$T_{\hat{z}} = \begin{pmatrix} \varphi_{0} & 0 & \cdots & 0 \\ 0 & \varphi_{n-1} & 0 & 0 \\ 0 & 0 & \ddots & 0 \\ 0 & \cdots & 0 & \varphi_{1} \end{pmatrix}$$
(9)

in the sublattice basis. To lighten the notation, in Eq. (9) we defined  $\varphi_l = e^{i\Phi l} = e^{\frac{2\pi im l}{n}}$ , with  $l = 0, \ldots, n-1$ . We note that for n = 2, the well-known  $\pi$ -flux case, the model hosts a Weyl semimetallic phase at half filling [21,24,62,63], notably preserving physical time-reversal and space inversion symmetries [64]. When  $n \neq 2$  we generally have the explicit breaking of time-reversal symmetry dictated by the presence of the external magnetic field, mathematically reflected into the structure of the matrices  $T_j$ , which are not invariant under the conjugate operation. Additionally, the real-space Hamiltonian in Eq. (2) has a chiral sublattice symmetry  $c_{\mathbf{r}} \rightarrow (-1)^{x+y+z}c_{\mathbf{r}}$ , which maps  $\mathcal{H} \rightarrow -\mathcal{H}$ . As a consequence, the model shows a symmetric single-particle energy spectrum.

The energy dispersion relations of the *n* bands of the model can be obtained by diagonalizing the matrix  $\mathcal{H}(\mathbf{k})$ , whose general structure is

$$\mathcal{H}(\mathbf{k}) = \begin{pmatrix} D_0 & U_1 & 0 & \dots & 0 & U_0^* \\ U_1^* & D_{n-1} & U_2 & 0 & \dots & 0 \\ 0 & U_2^* & D_{n-2} & U_3 & 0 & \dots \\ \vdots & \ddots & \ddots & \ddots & \ddots & \ddots \\ \vdots & \ddots & \ddots & \ddots & \ddots & U_{n-1} \\ U_0 & 0 & \dots & \dots & U_{n-1}^* & D_1 \end{pmatrix},$$
(10)

where

$$D_{j}(k_{z}) = e^{-ik_{z}}\varphi_{j} + \text{H.c.} = 2\cos(k_{z} - j\Phi),$$
  
$$U_{j}(k_{x}, k_{y}) = e^{-ik_{x}} + e^{i(\Phi/2 + k_{y})}\varphi_{j}^{*}.$$
 (11)

To summarize, the spectral problem of the 3D Hofstadter model with isotropic commensurate fluxes is equivalent to a family of  $n \times n$  matrices parametrized by the three continuous parameters  $\mathbf{k} \in \text{MBZ}$ . The periodic tridiagonal structure obtained in Eq. (10) at fixed values of  $\mathbf{k}$  corresponds to a periodic Jacobi matrix [65,66]. For this class of matrices, the Hamiltonian level dynamics can be related to a 2 × 2 matrix known as the transfer matrix, as already pointed out by Hofstadter in his celebrated work for the 2D model [5]. Theorems and results for energy bands and gaps measures in the case of real Jacobi matrices have been extensively discussed [67–70], and there exists a criterion to establish if the measure of union of the gaps in the spectrum has vanishing measure, depending on the elements on the first diagonal of the Jacobi matrix [69]. The extension of these results to certain classes of complex Jacobi matrices has also been recently studied recently in the mathematical literature [71].

### III. SPECTRAL MEASURES AND CRITICAL FLUX DEFINITIONS

Before showing our numerical results and analytical conjecture for the critical flux, we define the fundamental quantities we look at in the analysis of the bands structure of the Hofstadter model. As previously stated, the reduced Hamiltonian  $\mathcal{H}(\mathbf{k})$  has *n* degenerate bands, labeled as  $B_j(\mathbf{k})$ , j = 0, ..., n - 1. For any of these bands we define

$$\min B_j(\mathbf{k}) = \epsilon_j(\mathbf{k}), \quad \max B_j(\mathbf{k}) = E_j(\mathbf{k}), \quad j = 1, \dots, n,$$
(12)

and the energy range of the *j* band is  $\sigma_j = [\epsilon_j, E_j]$ . The union  $\cup_j \sigma_j \equiv \sigma(\mathcal{H})$  is defined as the spectrum of the 3D Hofstadter problem at the fixed flux pair (m, n). For any pair of consecutive bands  $B_j, B_{j+1}$  we can have

$$B_j \cap B_{j+1} = \emptyset$$
 or  $B_j \cap B_{j+1} \equiv \mathcal{O}_{j,j+1} \neq \emptyset$ , (13)

and in the first case the bands do not overlap, while in the second case they do, and we denote with  $\mathcal{O}_{j,j+1}$  their intersection. In the 2D case, we can also define the set of the gaps as

$$G(\mathcal{H}) \equiv [\min \sigma(\mathcal{H}), \max \sigma(\mathcal{H})] / \sigma \equiv \bigcup_j [E_j, \epsilon_{j+1}],$$
  
$$j = 1, \dots, n-1,$$
(14)

since it is well known that  $G(\mathcal{H}) \neq \emptyset$  [5,13]. In this respect, the 3D is generically different, as the gap set's measure depends on the orientation of the magnetic fields **B**. In the case of a cubic lattice, for directions of the field that are not the high-symmetry crystallographic ones the spectrum is gapful [19]. For **B**  $\propto$  (1, 1, 1), which is the case considered here, the spectrum is *gapless* for any coprime pair (*m*, *n*) [20,22]. Nonetheless, we can have  $B_j \cap B_{j+1} = \emptyset$  for some values of *j*, corresponding to bands touching in isolated points: If a coprime pair realizes this situation, then the measure spectrum has a peculiar structure [20] and can be expressed as the union of three nonoverlapping sets,

$$\sigma(\mathcal{H}) = \sigma_1 \cup \sigma_2 \cup \sigma_3, \quad \sigma_1 \equiv \bigcup_{j=1}^m B_j, \quad \sigma_2 \equiv \bigcup_{j=1}^{n-2m} B_{j+m},$$
$$\sigma_3 \equiv \bigcup_{j=1}^m B_{j+n-m}. \tag{15}$$

With this spectral measure, and due to the symmetry properties of  $\mathcal{H}(\mathbf{k})$ , to understand if there is overlap between all the degenerate groups of bands we can simply look at  $\mathcal{O}_{m,m+1}$ , i.e., the intersection between  $\sigma_1$  and  $\sigma_2$ . If instead the coprime pair does not realize this situation, then all the degenerate blocks of bands overlap.

Given these considerations, we can define the critical flux  $\Phi_c(m)$  at fixed *m* as the magnetic flux at which we observe the transition from disjoint blocks of degenerate bands to overlapping bands. In any case, it is important to notice that  $\Phi/2\pi$  is not irrational, and parametrized by coprime integer ratios  $\Phi \propto m/n$ . At fixed *m*, therefore, we have  $\Phi_c(m) \propto n_c^{-1}$ , allowing for the identification of the corresponding critical integer  $n_c$ . We note that small fluctuations of  $\Phi$  result in significant variations of the coprime pairs (m, n) [5,18,20], meaning that arbitrary close values of  $\Phi$  could be represented by coprime pairs that are apparently unrelated and "far away" from each other. To generalize the definition of  $\Phi_c$  towards the limit  $n_c \rightarrow \infty$ , and to identify a regular and well-behaved succession of coprime pairs such that, in units of  $2\pi$ ,

$$\frac{m_1}{n_{c,1}}, \ \frac{m_2}{n_{c,2}}, \ \cdots, \ \frac{m_\ell}{n_{c,\ell}} \ \xrightarrow{\ell \to \infty} \ \frac{\Phi_c}{2\pi} = \frac{m_\infty}{n_{c,\infty}},$$
(16)

we need to give a more precise meaning to the critical integer  $n_{c,\ell}$ .

We propose to look to four different definitions, for any integer *m*:

(1) the element  $n_{c,m}$  is identified as the first coprime integer such that the bands  $B_m$ ,  $B_{m+1}$  of the model *do overlap*:

$$\{n_{c,m} \in \mathbb{N} | \gcd(m,n) = 1, \ \mathcal{O}_{m-1,m} = \emptyset \text{ and } \mathcal{O}_{m,m+1} \neq \emptyset\};$$
(17)

(2) the element  $n'_{c,m}$  is identified as the last coprime integer immediately before the bands overlap. In this case, the bands  $B_m$ ,  $B_{m+1}$  do not overlap:

$$\{n'_{c,m} \in \mathbb{N} | \gcd(m, n) = 1, \ \mathcal{O}_{m,m+1} = \emptyset \text{ and } \mathcal{O}_{m+1,m+2} \neq \emptyset\};$$
(18)

(3) the element  $\tilde{n}_{c,m}$  defined as the first *non-co-prime* integer value for which the bands *do overlap*:

$$\{\tilde{n}_{c,m} \in \mathbb{N} | \mathcal{O}_{m-1,m} = \emptyset \text{ and } \mathcal{O}_{m,m+1} \neq \emptyset\}; \qquad (19)$$

(4) the element  $\tilde{n}'_{c,m}$  defined as the last *non-co-prime* integer value for which the bands *do not overlap*:

$$\{\tilde{n}'_{c,m} \in \mathbb{N} \mid \mathcal{O}_{m,m+1} = \emptyset \text{ and } \mathcal{O}_{m+1,m+2} \neq \emptyset\}.$$
(20)

With the last two definitions we simply want to include critical pairs that would be neglected by the coprime condition, and whose exclusion may give rise to a wrong estimation of the real values of the critical flux  $\Phi_c$ . Due to these definitions, it is immediate to verify that  $\tilde{n}_c \leq n_c$  and  $\tilde{n}'_c \geq n'_c$ , at fixed *m*. As will be shown in the next section, these different definitions produce compatible results and agree in the large-*m* limit. Among the aforementioned four definitions, somehow the most practical and intuitive is the last one, which we use to define the critical value at fixed *m*:

$$\Phi_c(m) = 2\pi \frac{n}{\tilde{n}'_{c,m}}.$$
(21)

When there is no risk of ambiguity, we will use either  $n_c(m)$  or simply n(m) instead of  $\tilde{n}'_{c.m}$ .

In the next section, we present numerical results for the critical flux  $\Phi_c(m)$  obtained from the diagonalization of the

TABLE I. Examples of values of energies  $E_m$ ,  $\epsilon_{m+1}$  for various coprime pairs crossing the critical value  $\Phi_c(m)$ , alongside their position in momentum space. Pairs above the horizontal line within the table have even *m*, while the remaining ones have odd *m*. The MBZ is spanned in steps of size  $\delta \mathbf{k} = O(10^{-3})(1, 1, 1)$  for all the considered pairs (m, n).

т	n	$E_m$	<b>k</b> <sub>max</sub>	$\epsilon_{m+1}$	$\mathbf{k}_{\min}$
4	29	-2.52	$(0, 0, \pi)$	-2.50	$(0, 0, \pi)$
	31	-2.52	$(0, 0, \pi)$	-2.53	(0,0,0)
6	43	-2.52	$(0, 0, \pi)$	-2.51	$(0, 0, \pi)$
	47	-2.52	$(0, 0, \pi)$	-2.56	$(-3 \times 10^{-3}, 3 \times 10^{-3}, 0)$
10	77	-2.52	$(0, 0, \pi)$	-2.51	$(0, 0, \pi)$
	79	-2.51	$(0, 0, \pi)$	-2.58	$(2 \times 10^{-3}, 2 \times 10^{-3}, 0)$
5	38	-2.52	$(\mp 0.077, \pm 0.077, \pi)$	-2.50	$(\mp 0.077, \pm 0.077, \pi)$
	39	-2.52	$(\mp 0.011, \pm 0.011, \pi)$	-2.54	$(\mp 0.040, \pm 0.040, 0)$
7	54	-2.52	$(\mp 0.055, \pm 0.055, \pi)$	-2.51	$(\mp 0.055, \pm 0.055, \pi)$
	55	-2.51	$(\mp 0.033, \pm 0.033, \pi)$	-2.56	$(\mp 0.046, \pm 0.046, , 0)$

matrix  $\mathcal{H}(\mathbf{k})$ . Based on the coprime pairs extracted with this procedure, we provide our estimate for

$$\Phi_c = \lim_{m \to \infty} \Phi_c(m) = \lim_{m \to \infty} 2\pi \frac{m}{n(m)}$$

in Sec. V.

#### **IV. NUMERICAL RESULTS**

We present the results obtained with large ED of the Hamiltonian in Eq. (8) for different coprime pairs (m, n) parametrizing the magnetic flux  $\Phi$ . Apart from Sec. IV A, where we briefly remind the known results for m = 1, we will consider integers  $m \ge 2$ . Besides the critical flux identification, we aim to characterize, at fixed *m*, the energy features of the model as a function of *n*.

#### A. Summary of results for m = 1

The properties of the 3D Hofstadter model with flux  $\Phi = 2\pi/n$  have recently been studied as a function of  $n \in \mathbb{N}$  [23].

By looking at the two definitions given by Eqs. (17) and (18), for this particular case we have

$$n_c = \tilde{n}_c = 8, \quad n'_c = \tilde{n}'_c = 7.$$
 (22)

As a general feature, for  $n < n_c$  the density of states (DOS) of the system shows isolated zeros, associated to Weyl points separating the lowest band from the others. The corresponding filling at the Weyl points is  $v = n^{-1}$ , generalizing the result for n = 2 to higher integers [20,24,62]. In the opposite regime  $n > n_c$ , the DOS does not show any zero. As a consequence, there are values of the chemical potential  $\mu$  for which the system is in a multiband metallic state, and the corresponding Lifshitz transitions between these states and single-band metallic phases can be identified.

The lowest-energy-bands extrema, denoted by  $\epsilon_0$  and  $E_0$ , are respectively the ground-state energy and the Weyl energy and scale with *n* with the power laws  $\epsilon_0 \sim n^{-0.8}$ ,  $E_0 \sim n^{-2.8}$  [23].

## **B.** Energy bands structure and inversion points for $m \ge 2$

For values of up to m = 10, we numerically diagonalize the Hamiltonian by spanning the full MBZ with discretization of size  $\delta \mathbf{k} = O(10^{-3})$  in all directions, and investigate how the bands  $B_m(\mathbf{k})$ ,  $B_{m+1}(\mathbf{k})$  behave. We find that for values of  $\mathbf{k}$  in the planes

$$\Pi_{\bar{k}_z} = \{ \mathbf{k} \in \text{MBZ} | k_z = \bar{k}_z \}, \quad \bar{k}_z = 0, \pi , \qquad (23)$$

we are able to extract the minimum of the lowest band  $\epsilon_0$ and explore the energy interval  $[E_m, \epsilon_{m+1}]$  (see details in Appendix D).

We characterize the band structure around the bandtouching points  $\mathbf{k} \approx \mathbf{k}_W$  by varying the magnetic flux  $\Phi$ . As a general pattern, almost independently of the coprime pairs (m, n), we observe that when  $\Phi$  crosses the critical value from above, i.e.,  $\Phi \searrow \Phi_c$ , the value  $E_m$  remains the same, while the energy  $\epsilon_{m+1}$  of degenerate central block of bands is lowered, giving rise to the nontrivial overlap when  $\Phi < \Phi_c$ . At the same time, we observe an abrupt change in the *z* component of the momentum  $\mathbf{k}_{min}$  associated to  $\epsilon_{m+1}$ , with associated values of  $(k_x, k_y)$  that depends on the parity of *m*. We have

$$\Phi > \Phi_c \to \Phi < \Phi_c \quad \Rightarrow \quad \mathbf{k}_{\min} = (0, 0, \pm \pi) \to \mathbf{k}_{\min} = (0, 0, 0), \quad m \text{ even},$$
(24)

$$\Phi > \Phi_c \to \Phi < \Phi_c \quad \Rightarrow \quad \mathbf{k}_{\min} = \left( \mp \frac{\pi}{n}, \pm \frac{\pi}{n}, \pm \pi \right) \to \mathbf{k}_{\min} = (\pm \bar{k}_x, \mp \bar{k}_x, 0), \quad m \text{ odd},$$
(25)

where  $\bar{k}_x \in MBZ$  is determined numerically, up to the precision with which we span the MBZ. For even *m*, the minimum is always in the origin of the *x*-*y* plane in momentum space, in both the regimes of  $\Phi$ . On the other hand, for odd *m* the bands extrema are located at opposite corners of the MBZ for  $\Phi > \Phi_c$ , and they are shifted towards the origin along the line  $k_x = -k_y$  when we cross the critical value, as reported in Eq. (25). The jump in  $k_z$  is a common feature of all *m*, suggesting—in the Hasegawa gauge—the presence of a band inversion point in correspondence of the high symmetry points  $k_z = 0$ ,  $\pi$ . Notice that in other gauges, this result does not appear to hold. In general, however, we observe that for time-reversal broken Weyl systems the onset of Weyl nodes in correspondence of such a band inversion is related to an odd number of inverted eigenvalues of the parity operator [72–74], this property being in agreement with the jump in  $k_z$  of the Weyl points in the Hasegawa gauge. We report in Appendix B the form of the Jacobi matrix  $\mathcal{H}(\mathbf{k})$  in correspondence of the momenta of Eqs. (24) and (25).

We refer to Table I for examples with specific values of (m, n), and to Fig. 1 for two examples with even and odd m. We further show in Figs. 2 and 3 the 2D cuts in momentum



FIG. 1. Color plots of  $B_m(\mathbf{k})$ ,  $B_{m+1}(\mathbf{k})$  in the  $(k_x, k_y)$  plane for m = 10, n = 77, 79 (two leftmost columns) and m = 7, n = 54, 55 (two rightmost columns) with  $k_z = 0$ ,  $\pi$  as written in the color bar labels. White cross markers represent  $\mathbf{k}_{\min}$  in the  $(k_x, k_y)$  plane, whose explicit coordinates are reported in Table I. We point out the different energy scales of the bands  $B_{m+1}(\mathbf{k})$ , compared to those of the bands  $B_m(\mathbf{k})$ .



FIG. 2. Band dispersions  $B_{m,m+1}(\mathbf{k})$  at fixed values of the momenta for  $\Phi > \Phi_c$ , in the case of m = 4. Left plot:  $B_m$  and  $B_{m+1}$  as a function of  $k_z$  at fixed  $k_x = k_y = 0$ , to show the onset of the Weyl node along the *z* direction in reciprocal space. Right plot:  $B_m$  and  $B_{m+1}$  as a function of  $k_x = -k_y$  at fixed  $k_z$ , according to the labels in the legend, to highlight the absence of overlap for this coprime pair.



FIG. 3. Band dispersions  $B_{m,m+1}(\mathbf{k})$  at fixed values of the momenta for  $\Phi < \Phi_c$ , in the case of m = 4. Left plot:  $B_m$  and  $B_{m+1}$  as a function of  $k_z$  at fixed  $k_x = k_y = 0$ , to show the onset of the Weyl node along the *z* direction in reciprocal space. Right plot:  $B_m$  and  $B_{m+1}$  as a function of  $k_x = -k_y$  at fixed  $k_z$ , according to the labels in the legend, to highlight the band overlap for this coprime pair.



FIG. 4. Left plot:  $\epsilon_0$  vs *n* at fixed values m = 4, 7, 15. The dashed lines correspond to the fit function in Eq. (26). Right plot: Groundstate energies  $\epsilon_0$  for various fluxes  $m/n = \Phi/2\pi$  for  $m \in [4, 60]$  superimposed with the scaling fit function in Eq. (26). We also report the tight-binding point  $\epsilon_0 = -6$  (t = 1), corresponding to  $\Phi = 0$  (red circle).

space to exemplify the presence of Weyl nodes and the nature of the band overlaps for the reference case of m = 4. These considerations provide another way of extracting the critical flux, based on physical arguments: By looking at the bands structures of  $B_m(\mathbf{k})$ ,  $B_{m+1}(\mathbf{k})$  in the reduced MBZ for various  $\Phi$ , the critical flux  $\Phi_c$  is the one for which there is a band inversion point in the (m + 1) band along the *z* direction [3].

According to these numerical observations, we are able restrict further the exploration of the MBZ in the ED algorithm and speed up the numerics for large values of n. To extract the ground-state energy  $\epsilon_0$  and the bands-touching points we explore the planes  $\Pi_{\bar{k}_{-}} \subset MBZ$ , while for the determination of  $\Phi_c$  solely we can limit to the bisector  $k_x = -k_y$  of  $\Pi_{\bar{k}}$ . In both cases, we have to take into account the corresponding uncertainty on the energies, which is higher if compared with the ED obtained with the full MBZ. For completeness, we report in Appendix G, see Table IV, the energies  $E_m$  and  $\epsilon_{m+1}$  rounded to the second, third, and fourth significant digits, showing how different levels of precision in the energies may lead to different estimates of the critical integers. Notably, when the entire MBZ is explored, we typically reach a maximum size  $L \sim 500$ , which in turn fixes the grid of the MBZ. The second column of the second and third tables in Appendix G are identical, while the second column of the first table (pertaining to the rounding of energies to the second digit) differs from the corresponding ones in the other tables only for m = 25. This demonstrates the robustness of our results across the errors committed in the determination of the energy eigenvalues.

From now on, unless differently specified in the text, we round the relevant energies to four decimal digits and use the corresponding integers as critical  $n_c$  values.

# C. Ground-state energy scaling and Weyl energies

In addition to the momentum space characterization of the central bands  $B_m(\mathbf{k})$  and  $B_{m+1}(\mathbf{k})$ , we characterize the scaling of the ground-state energy  $\epsilon_0 = \min \sigma(\mathcal{H})$  and the band-touching energies  $\epsilon_{m+1}$  as a function of the magnetic flux  $\Phi$ . For what concerns the ground-state energy, it is lowered as long as  $\Phi$  is decreased, without any discontinuity when we cross the critical value  $\Phi_c$ . This is expected, since we progressively go towards the  $\Phi \rightarrow 0$  limit, recovering the standard tight-binding model in the absence of background magnetic fields, for which  $\epsilon_0(\Phi = 0) = -6$  when t = 1. Our results indicate that  $\epsilon_0(\Phi = 0) = \min_{\Phi} \epsilon_0(\Phi)$ .

The presence of  $\Phi \neq 0$  raises the ground-state energy, in agreement with the m = 1 case [23]. This increase is related to the localization effects of the external magnetic field on the charged particle, analogously to what happens in the 2D case. In the left plot of Fig. 4 we show  $\epsilon_0$  as a function of *n* at fixed values of *m*: Ground-state energies are on different curves that we fit with the form

$$\epsilon_0(n) = -6 + \frac{a}{n^b},\tag{26}$$

by taking into account the tight-binding limit  $\epsilon_0(n \to \infty) = -6t$ . We report the estimates of the parameters in Table II. The dependence on *m* enters only in the multiplicative coefficient *a*, while, independently of *m*, the scaling relation is  $\epsilon \sim n^{-0.8}$ , consistent with the result obtained for m = 1.

We plot in Fig. 4 (right plot) the various ground-state energies for some of the flux ratios m/n considered in our analysis, verifying the perfect collapse of the ED data as a function of the magnetic flux. We extrapolate the scaling function as

$$\epsilon_0(\Phi) = -6t + \alpha \left(\frac{\Phi}{2\pi}\right)^{\beta}, \qquad (27)$$

TABLE II. Fit parameters of Eq. (26) obtained from the ED data in Fig. 4 (right plot).

m	а	b		
4	21.8(8)	0.74(1)		
7	32(1)	0.82(1)		
15	48(1)	0.765(8)		



FIG. 5. Left plots: Top subplots: all the critical pairs of Table III plotted as a function of *m*. Bottom subplots:  $\Phi_c(m)/2\pi$  for the various  $m \leq 60$  for the four critical successions  $n_c$ ,  $n'_c$  (left subplot) and  $\tilde{n}_c$ ,  $\tilde{n}'_c$  (right subplot). The color code and markers are the same of the top subplots. Right plot: Critical fluxes for all the considered sequences, in ascending (for the not-primed sequences) or descending (for the primed sequences) order, according to the definition of  $\pi(m)$  given in the main text. This plot is not an indicator of how fast we reach the convergence to the  $m \to \infty$  case.

obtaining the general, i.e., independent of the coprime pair (m, n), estimates  $\alpha = 6.46(7)$ ,  $\beta = 0.799(6)$ . The scaling function superimposed to the ED data is shown again in Fig. 4 (right plot).

Regarding the band-touching energy, due to the properties of the Hamiltonian for  $\mathbf{k} \approx \mathbf{k}_W$  [23] we do not observe a significant scaling of its location, as shown in Appendix E. From some of the entries in Table I, it can be inferred that the location of the band-touching point can be estimated or through  $E_m$  or  $\epsilon_{m+1}$ , since these bands touch at isolated points. For progressively increasing values of *m*, and within the precision with which we sample the MBZ with the numerical ED, the location is almost constant and around the value  $E_w = -2.5150(9)$  (see Appendix E for details) independently of the considered magnetic flux  $\Phi > \Phi_c$ .

#### D. Determination of the critical flux

We present the numerical estimation of the critical flux  $\Phi_c(m)$  for various coprime pairs (m, n), with  $m \ge 2$  up to m = 60. By means of momentum space reduced ED in the planes  $\Pi_{\bar{k}_c}$  introduced in Eq. (23), we extract the four sequences of critical integers *n*, explicitly reported in Table III (see Appendix F) and plotted in Fig. 5. From the top subplots of Fig. 5, it is evident the linear trend for all the four critical sequences identified in Sec. III.

We plot as well all the critical fluxes  $\Phi_c(m)/2\pi$ , obtained with the same critical pairs, in the bottom subplots of Fig. 5: As expected from their definitions, both  $n'_c$ ,  $\tilde{n}'_c$  approach the critical flux from below, while the other two definitions  $n_c$ ,  $\tilde{n}_c$ from above. We observe a more regular trend by considering the two sequences  $\tilde{n}_c$ ,  $\tilde{n}'_c$ , as they satisfy  $|\tilde{n}_c - \tilde{n}'_c| = 1$  at fixed *m*. This is particularly clear by looking at the bottom right subplot of Fig. 5. For this reason, from now on we focus on the determination of  $\Phi_c(m)$  using these two sequences, and comment on the estimate of  $\Phi_c(m)$  estimated through  $n'_c$  and  $n_c$  in Appendix C. Based on these considerations, we perform a linear regression on the  $\tilde{n}_c$ ,  $\tilde{n}'_c$  data with straight lines  $h(m) \equiv a_0 m + a_1$ , obtaining the results

$$\tilde{n}_c: a_0 = 7.718(2), a_1 = 0.49(8),$$
  
 $\tilde{n}'_c: a_0 = 7.718(2), a_1 = -0.50(8),$ 
(28)

consistent with the properties of the critical sequences. However, since  $m, n \in \mathbb{N}$ , when we compare this estimate with the data of the critical flux  $\Phi_c(m)$  we have to round the values obtained with h(m). We choose to round using the round-halfup function, i.e., half-way values of h(m) are always rounded up to the corresponding integer value:

$$h(m) \in \mathbb{R} \quad \rightarrow \quad \left\lfloor h(m) + \frac{1}{2} \right\rfloor \in \mathbb{N} \,.$$
 (29)

With this definition, the estimated critical flux as a function of m is

$$\frac{\Phi_c(m)}{2\pi} = \frac{m}{\lfloor a_0 m + a_1 + \frac{1}{2} \rfloor} \,. \tag{30}$$

We plot this function with momentum ED data in Fig. 6. Regarding the estimation of the parameters  $a_0$ ,  $a_1$ , we extrapolate them for all considered the values of m, as we observe an excellent agreement with the numerical data. However, we observe that if we progressively discard data at low m and fit only for values of m above a given threshold, then few isolated points are not consistent with our estimate (inset in left plot of Fig. 6).

By considering Eq. (30) as the form of the critical flux  $\Phi_c(m)$  as a function of *m*, we simply observe that

$$\lim_{m \to \infty} \frac{\Phi_c(m)}{2\pi} = \frac{1}{a_0} = \Phi_c \tag{31}$$

is the asymptotic estimate of the critical flux. For the two sequences  $\tilde{n}_c$ ,  $\tilde{n}'_c$  we estimate the same  $a_0$ , and therefore



FIG. 6. Left plot: Critical fluxes  $\Phi_c(m)/2\pi$  for  $\tilde{n}'_c$  together with the estimates of Eq. (30). The parameters  $a_0$ ,  $a_1$  of Eq. (30) are obtained by discarding the values of *m* below the threshold represented by the gray dashed vertical line: In the main plot and the inset, respectively,  $m \ge 1$  and  $m \ge 25$ . Right plot: Band overlaps as a function of m/n for the coprime pairs  $(m, \tilde{n}_c)$  with  $m \in [4, 60)$ , listed in Table III, superimposed with the straight line  $\mathcal{O}_{m,m+1} = m(\Phi/2\pi) + q$ .

we get

$$\tilde{n}_c, \; \tilde{n}'_c: \; \Phi_c = 0.1296(1).$$
 (32)

This is a unique and well-defined quantity, as it coincides for both the sequences, consistent with the chosen energies uncertainties and within the precision of the parameters  $a_0$ ,  $a_1$ . The critical coprime pairs in Table III closest to this value are

$$\frac{m}{\tilde{n}_c} = \frac{39}{301}, \quad \left|\frac{\Phi_c}{2\pi} - \frac{m}{\tilde{n}_c}\right| = 2 \times 10^{-6},$$
 (33)

$$\frac{m}{\tilde{n}'_c} = \frac{46}{355}, \quad \left|\frac{\Phi_c}{2\pi} - \frac{m}{\tilde{n}'_c}\right| = 7 \times 10^{-6}.$$
 (34)

On the same line, Eq. (32) is also consistent with the estimated value that can be obtained from the sequence  $\tilde{n}_c$  through the analysis of the overlaps  $\mathcal{O}_{m,m+1}$ , introduced in Sec. III. In this case  $\Phi \nearrow \Phi_c$ , and we look at the functional dependence on *m* of the quantity  $\mathcal{O}_{m,m+1} \equiv |\epsilon_{m+1}(\mathbf{k}) - E_m(\mathbf{k})|$  as long as we go towards  $\Phi_c$ . The results obtained for the coprime pairs  $(m, \tilde{n}_c)$  with  $m \in [4, 60)$  are plotted in Fig. 6 (right plot). In the explored region, the overlaps close almost linearly as a function of the flux ratio m/n. We therefore perform a linear fit of the form

$$\mathcal{O}_{m,m+1} = m\frac{\Phi}{2\pi} + q, \qquad (35)$$

obtaining m = -20.6(6) and q = 2.66(7). The critical flux  $\Phi_c$  is extrapolated as

$$\mathcal{O}_{m,m+1} = 0 \quad \Rightarrow \quad \Phi_c = -\frac{2\pi q}{m},$$
 (36)

which corresponds to  $m/n_c = 0.129(1)$ , in agreement with Eq. (32). We finally point out that the other sequences of integers, i.e.,  $n_c$  and  $n'_c$ , are consistent with the estimated  $\Phi_c$ , as can be seen from Fig. 5 (right plot). Here we show all the critical fluxes plotted as a function of the permutations  $\pi(m)$ , defined in such a way that the values of  $\Phi_c(\pi(m))$  are in ascending (descending) order for the not-primed (primed)

sequences of critical integers *n* to visualize the convergence towards  $\Phi_c$ . All the numerical details left in Appendix C.

### V. TWO CONJECTURES AND THE ASYMPTOTIC CRITICAL FLUX

Based on the extrapolated form of the critical sequences, we propose two mathematical conjectures to establish the critical integer n(m) as a function of m. Specifically, we focus on the sequence  $\tilde{n}'_c$ , but our approach can be translated to the other sequences as well.

To begin with, we plot the deviation of  $\tilde{n}'_c$  from the line  $y(m) \equiv 8m$ , which is the integer upper bound of the linear regression extrapolated in Eq. (28). We observe that the numerical data are organized in blocks of seven points, as highlighted in the left plot of Fig. 7, that can be characterized through an integer valued function. The characterization in terms of this function can be done for a specific subsequence of *m* values or by considering all of them. We discuss both cases, showing how to conjecture the critical flux and highlighting similarities and differences.

Let us consider the first conjecture, which is for all values of *m*. Given the round-half up function, we notice that the estimate of  $\tilde{n}'_c$  satisfies the inequalities

$$\lfloor ma_0 \rfloor + \lfloor a_1 + \frac{1}{2} \rfloor \leqslant \tilde{n}'_c \leqslant \lfloor ma_0 \rfloor + \lfloor a_1 + \frac{1}{2} \rfloor + 1. \quad (37)$$

We further observe that  $\lfloor a_1 + 1/2 \rfloor = 0$ , due to our numerical estimates in Eq. (28). Hence, motivated by the numerical results presented in Sec. IV D, we conjecture that

$$a_1 + \frac{1}{2} = 0, \qquad (38)$$

which implies  $\tilde{n}'_c = \lfloor ma_0 \rfloor$ . Now we can apply the Hermite identity [75], a mathematical identity stating that, for any  $x \in$ 



FIG. 7. Left plot: Values of  $C_7(m)$  obtained with the mathematical conjecture (38) superimposed with the numerical values obtained with momentum space exact diagonalization. Right plot: Difference  $C_7(m + 7) - C_7(m)$  and the associated numerical values. The inset shows the conjectured values  $C_7(m)$  computed with two values of  $a_0$  (respectively, 7.720 and 7.716) compatible with our estimate  $a_0 = 7.718(2)$ . In both the plots, f(m) generically denotes one of the possible functions reported in the legend.

 $\mathbb{R}$  and  $n \in \mathbb{N}$ , it holds

$$\lfloor xn \rfloor = \sum_{k=0}^{n-1} \left\lfloor x + \frac{k}{n} \right\rfloor.$$
(39)

When applied to  $\tilde{n}'_c(m) = \lfloor a_0m \rfloor$ , the Hermite identity allows us to rewrite a generic element of the critical sequence in terms of a summation involving floor functions of the numerical parameter  $a_0 \in \mathbb{R}$ , i.e.,  $\lfloor a_0 + k/m \rfloor$ . Since  $\lfloor a_0 \rfloor = 7$ , the summation of Eq. (39) contains only the integers  $\ell = 7, 8$ . This is because the term k/m in the argument of the floor function is limited in the range [1/m, 1), and for  $m \ge 1$  it can change the integer part of  $a_0$  at most by one.

Due to this property, we can always identify a unique index, labeled as  $C_7$ , such that  $m - C_7 \leq m\{a_0\} \leq m - C_7 - 1$ , where  $\{a_0\} \equiv a_0 - \lfloor a_0 \rfloor$  is the fractional part of  $a_0$ . We can then split the sum in the Hermite identity as

$$\tilde{n}'_{c} = \sum_{k=0}^{m-1} \left\lfloor a_{0} + \frac{k}{m} \right\rfloor = \sum_{k=0}^{C_{7}-1} \lfloor a_{0} \rfloor + \sum_{k=C_{7}}^{m-1} (\lfloor a_{0} \rfloor + 1) = 8m - C_{7}.$$
(40)

The index  $C_7$  is an integer function of *m*, counting how many times the integer  $\ell = 7$  appears in the Hermite decomposition of  $|a_0m|$ .

With the introduction of this counting index  $C_7$ , the critical flux  $\Phi_c(m)$  for all values of *m* can be written as

$$\frac{\Phi_c(m)}{2\pi} = \frac{m}{8m - \mathcal{C}_7(m)}.$$
(41)

Therefore, our conjecture (38) implies that, given any integer  $m \ge 1$  decomposed as a sum of the integers  $\ell = 7, 8$ , the associated critical flux  $\Phi_c(m)$  is determined simply by counting how many times the number  $\ell = 7$  appear in such a decomposition and then apply the Eq. (41). It follows that, by the conjecture (38), the large-*m* limit of  $\Phi_c(m)$  is explicitly defined by

$$\frac{\Phi_c}{2\pi} = \frac{1}{a_0} \,. \tag{42}$$

However, since  $a_0$  is not analytically known, it must be determined through fitting from the numerically determined values of n(m), as done in Sec. IV D. Thus, while the conjecture (38) gives a form for  $\Phi_c(m)$ , it does not provide a new estimate for  $\Phi_c$ , which is instead provided by the second conjecture we provide below.

In Fig. 7, the left plot shows the value of the  $C_7(m)$  obtained by using (40) with the numerical estimate for  $a_0$  provided in (28), and the numerically extracted one, i.e., the value  $8m - \tilde{n}'_c$  for each *m* (see Appendix F). From the plot, we also observe that the values of  $C_7(m)$  are grouped into blocks of length 7. This feature can be captured by the quantity  $C_7(m + 7) - C_7(m)$ , as depicted in the right plot of Fig. 7.

An interesting observation is that for the subsequence of the form  $m = m_p = 7p + 1$ , with p integer, the value of  $C_7(m_p)$ , for  $m \leq 60$  (i.e.,  $p \leq 8$ ), is given by  $C_7(m_p) = 2p + 2p$ 1. Furthermore, for these values  $C_7(m_{p+1}) - C_7(m_p) = 2$ . On the other hand, we point out that for all *m* there may be isolated exceptions, as can be seen from the specific cases of  $m = 32 (C_7)$  and  $m = 39 (8m - \tilde{n}_c)$ , and the clustering of the conjectured values depends on the estimate of  $a_0$ , which can modify the position of the spikes (see the inset in Fig. 7). This is a direct consequence of the fact that, despite its simplicity, our proposed conjecture in Eq. (38) is valid up to the precision associated to  $a_0$ . Possible effects on the estimate of  $\tilde{n}'_c$  are expected to show up for extremely large values of the integer *m*, without affecting, however, the convergence of the critical sequences to the critical value  $\Phi_c$ . The asymptotic relation of  $\Phi_c$  to  $a_0$  is given by Eq. (31). Last, we observe that the entire analysis can be applied to the other critical sequence  $\tilde{n}_c$ , with the working hypothesis  $\lfloor a_1 + 1/2 \rfloor = 1$  within the precision of the parameter  $a_1$  of Eq. (28).

We now state our second conjecture, which applies to a subsequence with integers *m* of the form  $m \mod 7 = 1$ , such as  $m = 1, 8, 15, \ldots$ . This sequence can be parametrized as  $m_p = 7p + 1$ , where  $p \in \mathbb{N}$ . For these values, we conjecture that

$$8m_p - \tilde{n}'_c = 2p + g(p), \quad g(p): \quad \lim_{p \to \infty} \frac{g(p)}{2p} = 0, \quad (43)$$

based on the numerical results obtained for  $m \leq 60$ . For these specific values, i.e., when  $p \leq 8$ , the difference  $8m_p - \tilde{n}'_c = 2p + 1$ , and g(p) is found to be equal to 1. However, for larger values of p, deviations from the value g(p) = 1, while still compatible with the conjecture, can be allowed. This can be exemplified already by a single case: Consider  $m_{19} = 134$ with p = 19, such that the associated  $\tilde{n}'_c \times \tilde{n}'_c$  matrix is still computationally accessible, i.e.,  $\tilde{n}'_c = O(10^3)$ , and the numerical considerations given at the end of Sec. IV B apply. We find  $\tilde{n}'_c = 1034$  and g(19) = 2.

A complete characterization of g(p) for very large values of p is very challenging, due to increasingly higher matrix size in momentum space. Nevertheless, due to the coprime condition on the pairs (m, n), we conjecture that the function g(p) is subdominant with respect to the linear term in p, leading to the assumption of Eq. (43).

In light of these considerations and based on the conjecture (43), the asymptotic critical flux can be estimated for large p, and it is given by

$$\lim_{m_p \to \infty} \frac{m_p}{\tilde{n}'_c} = \lim_{p \to \infty} \frac{7p+1}{8(7p+1) - (2p+g(p))}$$
$$= \frac{7}{54} \equiv \frac{\Phi_c^{(\text{conj})}}{2\pi}.$$
 (44)

The value  $7/54 = 0.1\overline{296}$  for  $\Phi_c^{(\text{conj})}/2\pi$  is compatible with the value  $\Phi_c/2\pi = 0.1296(1)$  reported in Sec. IV D.

#### **VI. CONCLUSIONS**

We characterized the band structure of the 3D Hofstadter model on cubic lattices in the presence of isotropic fluxes, associated with a magnetic field **B** aligned along the main diagonal of the lattice and parametrized as a ratio of coprime pairs (m, n), i.e.,  $\Phi = 2\pi m/n$ . As a function of m, we identified the presence of a critical flux  $\Phi_c(m)$ , which separates two regimes characterized respectively by complete overlap between the degenerate bands of the model ( $\Phi < \Phi_c$ ) and the presence of isolated band-touching points between the mth and (m + 1)-th bands of the model ( $\Phi > \Phi_c$ ).

By writing the model using the Hasegawa gauge in the magnetic Brillouin zone [20,61], we performed numerical exact diagonalization and established a connection between the critical flux and the appearance of inversion points in the central bands of the model along the *z* axis of the reciprocal space. The minimum of the (m + 1)-th band moves from  $k_z = \pi$  to  $k_z = 0$  on crossing the critical flux, passing from a band touching to an overlapping scenario. At the same time, we characterized the scaling of the ground-state energy, which is a function of the magnetic flux  $\Phi$ . We showed that the Weyl energy, corresponding to the band-touching points, does not scale with m/n nor m. Its position remains, up to our

numerical precision, the same for the explored critical pairs  $(m \leq 60)$ .

Regarding the critical flux  $\Phi_c$ , we characterized it introducing four different critical sequences of integers *n*, as discussed in Sec. III. We distinguished two types of sequences: the first two, labeled  $n_c$  and  $\tilde{n}_c$ , tend towards  $\Phi_c$  from below, i.e., from the overlapping scenario, while the remaining two,  $n'_c$ and  $\tilde{n}'_c$ , approach it from above, in the band-touching case. All these sequences tend asymptotically to the same critical value, leading to the conclusion that  $\Phi_c(m)$  is defined for any *m* and that the limit for large *m* is unique, well defined, and finite.

Considering the sequences  $\tilde{n}_c$  and  $\tilde{n}'_c$ , we conjectured an analytical form for the critical flux as a function of the numerator *m* parametrizing the magnetic field in two cases. If all the values of  $m \in \mathbb{N}$  are considered, then we applied the Hermite identity [75] to conjecture that  $\tilde{n}'_c$  is determined by the difference  $8m - C_7(m)$ , where  $C_7(m)$  is an index function counting the occurrences of the integer  $\ell = 7$  in the application of the Hermite identity. If, at variance, we consider only the subset of values for which  $m \mod 7 = 1$ , then we provided a value for the asymptotic critical flux given by  $\Phi_c^{(\text{conj})}/2\pi = 7/54$ . This prediction is based on the numerically determined values of  $\tilde{n}'_c$  obtained through numerical ED for  $m \leq 60$  but does not depend on the parameters estimated from the critical sequences.

Knowing the existence and precise magnitude of the critical flux defined in this work for the 3D Hofstadter model is important to distinguish nontrivial topological regimes from trivial metallic ones [23,76] also in experimental scenarios. Indeed, the experimental realization of Hofstadter model with ultracold atoms with artificial gauge fluxes [32,34,35,37] combined with band-touching points detection techniques, such as interferometric experiments [77], Bragg spectroscopy [78], or Landau-Zener scattering processes [79], could be applied to verify the existence and separation of the overlapping and band-touching regimes. This can be further relevant for the identification of Weyl semimetallic phases and determination of Weyl nodes locations in momentum space [80]. It would be also useful to study the robustness of Weyl points when the hopping coefficients are not isotropic or for different orientation (parametrized by rational numbers) of the magnetic field. Moreover, our study provides a basis to investigate the effects of interacting pairing terms [81], which has been recently studied in the context of UTe<sub>2</sub> superconductivity in magnetic fields. Our results indicate that it would be interesting to study such effect close to the (conjectured) critical flux  $\Phi_c^{(\text{conj})} = 7/54.$ 

Finally, from the mathematical point of view, it would be very interesting in our opinion to complement our numerical observations and conjectures with analytical predictions of the critical flux  $\Phi_c(m)$  based on the structure of the Jacobi matrix  $\mathcal{H}(\mathbf{k})$  in momentum space. This computation, which is not present in the literature to the best of our knowledge, can shed light on the existence and well-definiteness of the large-*m* limit of  $\Phi_c(m)$  and on the rational (or potentially irrational) nature of the large *m* critical flux  $\Phi_c$ .

#### ACKNOWLEDGMENTS

We are very thankful to M. Burrello and M. Gallone for discussions and suggestions. P.F. gratefully acknowledges discussions with C. Iacovelli and M. Rizzi. We thank the Galileo Galilei Institute for Theoretical Physics for the hospitality and the INFN for partial support during the completion of this work. P.F. acknowledges the support of MCIN/AEI/10.13039/501100011033 (LIGAS PID2020-112687GB-C22), Generalitat de Catalunya (AGAUR 2021 SGR 00138), and the financial support of European Union — NextGenerationEU.

# **APPENDIX A: HARPER EQUATION**

Within the Hasegawa gauge (5), the discrete Schrödinger equation  $\mathcal{H}\psi(\mathbf{r}) = E\psi(\mathbf{r})$  is written as

$$\frac{1}{2}\left(\psi(\boldsymbol{r}+\hat{x})+\psi(\boldsymbol{r}-\hat{x})+\psi(\boldsymbol{r}+\hat{y})\exp\left(i\left(x-y-\frac{1}{2}\right)\Phi\right)+\psi(\boldsymbol{r}-\hat{y})\exp\left(-i\left(x-y-\frac{1}{2}\right)\Phi\right)\right)$$

$$\times\psi(\boldsymbol{r}+\hat{z})\exp\left(-i(x-y)\Phi\right)+\psi(\boldsymbol{r}-\hat{z})\exp\left[i(x-y)\Phi\right]\right)=E\psi(\boldsymbol{r}),$$
(A1)

where we used the Harper operator [7]

$$H_{\hat{j}}\psi(\mathbf{r}) = \frac{\psi(\mathbf{r} + a\hat{j})e^{i\theta_{j}(\mathbf{r})} + \psi(\mathbf{r} - a\hat{j})e^{-i\theta_{j}(\mathbf{r})}}{2}$$
(A2)

and set the lattice spacing a = 1. The absence of the *z* coordinates in the Peierls phases allows for the factorization of the wave function  $\psi(\mathbf{r}) = e^{ik_z z}\varphi(x, y)$ , with  $k_z \in [-\pi, \pi]$ . The last two terms in the left-hand side of the discrete Schrödinger equation then become

$$\psi(\mathbf{r}+\hat{z})\exp\left(-i(x-y)\Phi\right) + \psi(\mathbf{r}-\hat{z})\exp\left(i(x-y)\Phi\right) \quad \to \quad 2\cos\left(k_z - \Phi(x-y)\right)\varphi(\mathbf{r}'). \tag{A3}$$

With the flux defined in Eq. (4), the Peierls phases  $\theta_{x,y}(\mathbf{r})$  are periodic in x, y with period n. We can apply the Bloch theorem to the wave function  $\varphi(\mathbf{r}')$  [2], by writing

$$\varphi(\mathbf{r}') = e^{i\mathbf{k}\mathbf{r}'}\tilde{\varphi}_{\ell\mathbf{k}}(\mathbf{r}'), \quad \mathbf{k} \in \left[-\frac{\pi}{n}, \frac{\pi}{n}\right] \times \left[-\frac{\pi}{n}, \frac{\pi}{n}\right].$$
(A4)

By inserting this into the Schrödinger equation we end up in the Harper equation for the 3D Hofstadter model,

$$\tilde{\varphi}_{\ell \mathbf{k}}(\mathbf{r}' + \hat{x})e^{ik_x} + \tilde{\varphi}_{\ell \mathbf{k}}(\mathbf{r}' - \hat{x})e^{-ik_x} + \tilde{\varphi}_{\ell \mathbf{k}}(\mathbf{r}' + \hat{y})e^{i(k_y + \Phi(x - y - 1/2))} + \tilde{\varphi}_{\ell \mathbf{k}}(\mathbf{r}' - \hat{y})e^{-i(k_y + \Phi(x - y - 1/2))} + 2\tilde{\varphi}_{\ell \mathbf{k}}(\mathbf{r}')\cos(k_z - \Phi(x - y)) = E\tilde{\varphi}_{\ell \mathbf{k}}(\mathbf{r}').$$
(A5)

This eigenvalue equation has n degenerate solutions, with **k** defined in the MBZ. As expected, we recover the same spectrum obtained with the momentum space diagonalization described in Sec. II A.

# APPENDIX B: JACOBI MATRIX FOR THE BAND INVERSION POINTS

In this Appendix we report the periodic Jacobi matrix  $\mathcal{H}(\mathbf{k})$  for the values of  $\mathbf{k}_{\min}$  in Eqs. (24) and (25). When *m* is even, we have  $k_x = k_y = 0$ , simplifying the off-diagonal matrix elements to

$$U_j(0,0) \equiv U_j = 1 + e^{i(\Phi/2)}\varphi_j^* = 1 + e^{i\Phi(1/2-j)},$$
(B1)

with the symmetry property  $U_{n-j}(0,0) = 1 + e^{i\Phi(1/2+j)}e^{-2\pi im} = U_{-j}(0,0)$ . The diagonal elements involve only  $k_z$ , which can be  $k_z = 0$  or  $k_z = \pi$ . In the two cases we have, respectively,

$$D_j(0) \equiv D_j = 2\cos(j\Phi), \quad D_j(\pi) = 2\cos(\pi - j\Phi) = -2\cos(j\Phi) = -D_j,$$
 (B2)

with the symmetry property  $D_{n-j}(0) = 2\cos(2\pi m - j\Phi) = D_j(0)$ . The matrix for  $k_z = 0$ , which we label  $\mathcal{H}_e$ , has the simplified form

$$\mathcal{H}_{e} = \begin{pmatrix} 2 & 1 + e^{-i\Phi/2} & 0 & \dots & 0 & 1 + e^{-i\Phi/2} \\ 1 + e^{i\Phi/2} & 2\cos\Phi & 1 + e^{-i3\Phi/2} & 0 & \dots & 0 \\ 0 & 1 + e^{i3\Phi/2} & 2\cos2\Phi & 1 + e^{-i5\Phi/2} & 0 & \dots \\ \vdots & \ddots & \ddots & \ddots & \ddots & \ddots \\ \vdots & \ddots & \ddots & \ddots & \ddots & 1 + e^{i3\Phi/2} \\ 1 + e^{i\Phi/2} & 0 & \dots & 1 + e^{-i3\Phi/2} & 2\cos\Phi \end{pmatrix};$$
(B3)

the corresponding one for  $k_z = \pi$  has the elements on the diagonal with the opposite sign.

For odd values of *m*, the position of the minimum is at  $(k_x, k_y) = (\bar{k}, -\bar{k})$ , and therefore

$$U_j(\bar{k}, -\bar{k}) = e^{-i\bar{k}} + e^{-i\bar{k}}e^{i\Phi(1/2-j)} = e^{-i\bar{k}}U_j, \qquad (B4)$$



FIG. 8. Left plot: Band overlaps as a function of m/n for the coprime pairs  $(m, n_c)$  listed in Table III, superimposed with the straight line  $\mathcal{O}_{m,m+1} = m(\Phi/2\pi) + q$ . Central plot: Band gap as a function of m/n for the coprime pairs  $(m, n'_c)$  listed in Table III, superimposed with the fit and parameters values of Eq. (C1). Right plot: Three-dimensional scatter plot of overlapping bands, with  $B_m(k_z = 0)$  (red dots) and  $B_{m+1}(k_z = \pi)$  (black dots), for (m, n) = (4, 31).

showing that the off-diagonal structure is the same as the even *m* case up to a phase factor due to the different location of the Weyl nodes in momentum space. The diagonal part, being  $k_z = 0$ ,  $\pi$  for this case, too, is the same as the even case. By calling  $\mathcal{H}_o$  the matrix for odd *m*, we can write it for  $k_z = 0$  as

$$\mathcal{H}_{o} = \begin{pmatrix} 2 & e^{-i\bar{k}}(1+e^{-i\Phi/2}) & 0 & \dots & 0 & e^{i\bar{k}}(1+e^{-i\Phi/2}) \\ e^{i\bar{k}}(1+e^{i\Phi/2}) & 2\cos\Phi & e^{-i\bar{k}}(1+e^{-i3\Phi/2}) & 0 & \dots & 0 \\ 0 & e^{i\bar{k}}(1+e^{i3\Phi/2}) & 2\cos2\Phi & e^{-i\bar{k}}(1+e^{-i5\Phi/2}) & 0 & \dots \\ \vdots & \ddots & \ddots & \ddots & \ddots & \ddots & \ddots \\ \vdots & \ddots & \ddots & \ddots & \ddots & e^{-i\bar{k}}(1+e^{i3\Phi/2}) \\ e^{-i\bar{k}}(1+e^{i\Phi/2}) & 0 & \dots & \dots & e^{i\bar{k}}(1+e^{-i3\Phi/2}) & 2\cos\Phi \end{pmatrix},$$
(B5)

and similarly for  $k_z = \pi$ .

## APPENDIX C: FEATURES OF THE CRITICAL SEQUENCES $n_c$ AND $n'_c$

If the critical flux is determined from below, i.e.,  $\Phi \nearrow \Phi_c$ , then it is convenient to look at the overlaps between the bands  $B_m$  and  $B_{m+1}$  [20], choosing the first and third definitions of critical sequences  $(n_c, \tilde{n}_c)$  among the ones given at the end of Sec. III. Once the overlaps are identified, the corresponding integers in the other sequences  $(n'_c, \tilde{n}'_c)$  follow immediately. We repeat the same analysis done at the end of Sec. IV D, this time considering the values of  $n_c$  instead than  $\tilde{n}_c$ , that is, only true coprime pairs at fixed *m*. By performing the same linear regression of Eq. (35), we obtain the parameters m =-22.3(3) and q = 2.88(4), with the associated estimate of the critical flux  $\Phi_c/2\pi = m/n_c = 0.129(1)$ , consistent with the estimate  $\Phi_c/2\pi \sim 4/31$  [20]. The corresponding bands



FIG. 9. Three-dimensional plot of the explored part of the MBZ in the intervals  $I(\delta_E)$  around the band-touching points for the coprime pairs (m, n) = (4, 29) (left plot) and (4, 31) (right plot), with even m, for  $\delta_E = 0.03$ . In both the plots,  $\delta k = O(10^{-2})$ .



FIG. 10. Three-dimensional plot of the explored part of the MBZ in the intervals  $I(\delta_E)$  around the band-touching points for the coprime pair (m, n) = (5, 38), with odd *m*, for two different values of  $\delta_E = 0.03$  (left plot) and  $\delta_E = 0.01$  (right plot). In both the plots,  $\delta k = O(10^{-2})$ .

overlap is shown in Fig. 8 (right plot). We notice that this estimate is compatible with the one given in the main text, see Eq. (32).

If instead we consider  $\Phi \searrow \Phi_c$ , then the bands of the model do not overlap but touch in isolated points [20]. However, due to the numerical discretization of the explored MBZ and the consequent uncertainty on the energy values discussed in the paper, we observe small gaps separating the bands  $B_m(\mathbf{k})$ ,  $B_{m+1}(\mathbf{k})$ . We denote such gaps by  $\mathcal{G}_{m,m+1} = |\epsilon_{m+1}(\mathbf{k}) - E_m(\mathbf{k})|$ . Interestingly, we observe a regular behavior of these gaps as a function of *m* at a fixed value of the discretization  $\delta k = O(10^{-3})$  in the MBZ. The plot of the data for the critical sequence  $n'_c$  is shown in Fig. 8 (central plot), superimposed with a fit of the form

$$\mathcal{G}_{m,m+1} = \frac{g_0}{m^{g_1}}, \quad g_0 = 0.16(1), \quad g_1 = 1.49(9).$$
 (C1)

#### APPENDIX D: IDENTIFICATION OF THE PLANES $\Pi_{k_r}$

In this Appendix we show the numerical details regarding the determination of the planes  $\Pi_{\bar{k}_z}$  of Eq. (23) in the main text. In the reduced ED procedure, we choose a discretization  $\delta k$  of the MBZ and keep track of the momenta associated to  $\epsilon_0$  and of the energy interval  $I(\delta_E) = [E_m - \delta_E, \epsilon_{m+1} + \delta_E]$ , where  $\delta_E$  is a small parameter introduced to take into account the possible discretization effects related to  $\delta k$ . We plot the



FIG. 11. Energies  $\epsilon_{m+1}(\mathbf{k})$  and  $E_m(\mathbf{k})$  vs *m* for the sequences  $\tilde{n}'_c$  (left plot) and  $n'_c$  (right plot). In both the plots, the insets show the estimated Weyl energies  $E_w$  as a function of *m* (black dots), with the corresponding estimates (red dashed lines).

explored regions for even and odd *m* in Figs. 9 and 10, respectively, for different values of the parameter  $\delta_E$ . It is evident that by properly tuning  $\delta k$  and  $\delta_E$  the regions we are interested in are the bisectors  $k_x = -k_y$  at  $k_z = \pm \pi$  for odd *m*, with the additional plane at  $k_z = 0$  for even *m* when the bands overlap (see the right plot of Fig. 9). If we put in relation  $\delta k$  to the linear size *L* of the system in real space, and consider uniform grids in all the three directions in the MBZ, then we have the usual correspondence [2,20],

$$\delta k_{x,y} = \frac{2\pi}{nL}, \quad \delta k_z = \frac{2\pi}{L}.$$
 (D1)

In our numerical diagonalization, we considered  $\delta k_{x,y} = O(10^{-3})$ , which for values of  $n \in [8, 500]$  is associated to linear sizes of the order  $L \in [10, 700]$ .

# APPENDIX E: DETERMINATION OF THE WEYL ENERGY

We present here details about the estimate of  $E_w(\mathbf{k})$  from the critical sequence of integers  $\tilde{n}'_c$ . Due to the discretization effects discussed in Appendix C, we can identify the Weyl points  $E_{w,m}$  for every coprime pair  $(m, \tilde{n}'_c)$  as the center of  $\mathcal{G}_{m,m+1}$ , i.e.,

$$E_{w,m}(\mathbf{k}) = \frac{\epsilon_{m+1}(\mathbf{k}) + E_m(\mathbf{k})}{2} \,. \tag{E1}$$

We plot in Fig. 11 (left plot) the values of  $\epsilon_{m+1}(\mathbf{k})$  and  $E_m(\mathbf{k})$  as a function of *m*, highlighting the fact that the amplitude of  $\mathcal{G}_{m,m+1}$  shrinks as long as we go progressively to large *m*. The asymptotic value of  $E_{w,\infty} \equiv E_w$  extracted from this critical sequence can be estimated as the weighted average of all the values computed through Eq. (E1), obtaining  $E_w = -2.5150(9)$ .

For comparison, we consider the critical sequence  $n'_c$ , whose energy values determining  $\mathcal{G}_{m,m+1}$  are reported in Fig. 11 (right plot). In this case we observe the same behavior, but with a larger standard deviation associated to the asymptotic Weyl energy, which turns out to be  $E_w = -2.515(2)$ , in any case compatible with the one obtained with the  $\tilde{n}'_c$  sequence.

#### APPENDIX F: TABLES WITH SEQUENCES OF INTEGERS n(m)

We summarize in Table III all the critical pairs (m, n) analyzed in the main text, according to the definitions given in Sec. III.

TABLE III. Critical pairs (m, n), when defined, for the definitions  $\{n_c, n'_c, \tilde{n}_c, \tilde{n}'_c\}$ . The left table is for  $1 \le m \le 30$  and the right table for  $31 \le m \le 60$ .

$\overline{m}$	$n_c$	$n_c'$	$\tilde{n}_c$	$\tilde{n}_c'$	m	$n_c$	$n_c'$	$\tilde{n}_c$	1
1	8	7	/	/	31	240	239	/	
2	17	15	16	/	32	247	245	/	4
3	25	23	24	/	33	256	254	255	
4	31	29	/	30	34	263	261	/	2
5	39	38	/	/	35	271	269	/	4
6	47	43	/	46	36	281	277	278	
7	55	54	/	/	37	286	285	/	
8	63	61	62	/	38	295	293	294	
9	70	68	/	69	39	301	298	/	
10	79	77	78	/	40	309	307	/	:
11	85	84	/	/	41	317	316	/	
12	95	91	93	92	42	325	323	/	:
13	101	100	/	/	43	332	331	/	
14	109	107	/	108	44	343	339	340	
15	116	113	/	115	45	349	347	348	
16	125	123	124	/	46	357	355	356	
17	132	131	/	/	47	363	362	/	
18	139	137	/	138	48	371	367	/	;
19	147	146	/	/	49	379	377	/	
20	157	153	155	154	50	387	383	386	
$\overline{21}$	163	160	/	162	51	394	392	/	
22	171	169	170	/	52	405	401	402	
23	178	177	/	/	53	410	409	/	
24	187	185	186	/	54	419	415	417	4
25	193	192	/	/	55	426	424	425	
26	201	199	/	200	56	433	431	/	4
27	209	208	/	/	57	440	439	/	
28	219	215	217	216	58	449	447	448	
29	224	223	/	/	59	456	455	/	
30	233	229	232	231	60	467	463	464	

# APPENDIX G: TABLES OF THE ROUNDED ENERGIES $E_m$ , $\epsilon_{m+1}$

We report in Table IV the energies  $E_m$  and  $\epsilon_{m+1}$  rounded to different significant digits.

TABLE IV. Maximum of the *m*th band ( $E_m$ ) and minimum of the (m + 1)-th band ( $\epsilon_{m+1}$ ) for the sequence  $\tilde{n}'_c$ . From left to right: Energies rounded to the second, third, and fourth significant digits, respectively.

$m  \tilde{n}_c'  E_m  \epsilon_{m+1}$	m	$\tilde{n}_c'$	$E_m$	$\epsilon_{m+1}$		m	$\tilde{n}_c'$	$E_m$	$\epsilon_{m+1}$
1 7 -2.57 -2.39	1	7	-2.567	-2.392	-	1	7	-2.5670	-2.3923
2 15 -2.54 -2.48	2	15	-2.539	-2.482	-	2	15	-2.5393	-2.4822
3 23 -2.53 -2.50	3	23	-2.529	-2.498	-	3	23	-2.5291	-2.4983
5 38 -2.52 -2.51	5	38	-2.522	-2.506	-	5	38	-2.5222	-2.5062
7 54 -2.52 -2.51	7	54	-2.519	-2.514	-	7	54	-2.5192	-2.5139
8 61 -2.52 -2.51	8	61	-2.518	-2.509	-	8	61	-2.5178	-2.5094
10 77 -2.52 -2.51	10	77	-2.518	-2.512	-	10	77	-2.5180	-2.5122
11 84 -2.52 -2.51	11	84	-2.516	-2.510	-	11	84	-2.5156	-2.5104
13 100 -2.52 -2.51	13	100	-2.517	-2.514	-	13	100	-2.5175	-2.5137
16 123 -2.52 -2.51	16	123	-2.517	-2.515		16	123	-2.5173	-2.5148
17 131 -2.52 -2.51	17	131	-2.516	-2.513		17	131	-2.5162	-2.5133
19 146 -2.52 -2.52	19	146	-2.517	-2.515		19	146	-2.5172	-2.5155
22 169 -2.52 -2.52	22	169	-2.517	-2.516		22	169	-2.5171	-2.5160
23 177 -2.52 -2.51	23	177	-2.516	-2.514		23	177	-2.5163	-2.5144
24 185 -2.52 -2.51	24	185	-2.515	-2.514		24	185	-2.5154	-2.5136
25 193 -2.52 -2.52	25	192	-2.517	-2.516		25	192	-2.5171	-2.5163
27 208 -2.52 -2.51	27	208	-2.516	-2.514		27 1	208	-2.5157	-2.5141
29 223 -2.52 -2.52	29	223	-2.516	-2.515		29 1	223	-2.5164	-2.5152
31 239 -2.51 -2.51	31	239	-2.515	-2.514		31	239	-2.5149	-2.5138
33 254 -2.52 -2.51	33	254	-2.516	-2.515		33	254	-2.5157	-2.5146
36 277 -2.52 -2.51	36	277	-2.516	-2.515		36	277	-2.5160	-2.5150
37 285 -2.52 -2.51	37	285	-2.516	-2.515		37	285	-2.5156	-2.5146
38 293 -2.51 -2.51	38	293	-2.515	-2.514		38	293	-2.5146	-2.5138
41 316 -2.52 -2.51	41	316	-2.515	-2.514		41	316	-2.5151	-2.5142
43 331 -2.52 -2.51	43	331	-2.515	-2.515	_	43	331	-2.5154	-2.5147
44 339 -2.52 -2.51	44	339	-2.515	-2.514	_	44	339	-2.5153	-2.5144
45 347 -2.51 -2.51	45	347	-2.514	-2.514	_	45	347	-2.5144	-2.5139
46 355 -2.52 -2.52	46	355	-2.515	-2.515	_	46	355	-2.5152	-2.5150
47 362 -2.52 -2.51	47	362	-2.515	-2.515	_	47	362	-2.5155	-2.5148
52 401 -2.51 -2.51	52	401	-2.514	-2.514	_	52	401	-2.5142	-2.5139
53 409 -2.52 -2.51	53	409	-2.515	-2.515	_	53	409	-2.5151	-2.5149
55 424 -2.51 -2.51	55	424	-2.515	-2.514		55	424	-2.5147	-2.5141
57 439 -2.52 -2.52	57	439	-2.515	-2.515		57	439	-2.5154	-2.5150
58 447 -2.51 -2.51	58	447	-2.515	-2.514		58	447	-2.5150	-2.5144
59 455 -2.51 -2.51	59	455	-2.514	-2.514	_	59	455	-2.5141	-2.5139
60 463 -2.52 -2.51	60	463	-2.515	-2.515		60	463	-2.5151	-2.5149

- L. D. Landau and E. M. Lifshitz, *Quantum Mechanics; Non-Relativistic Theory* (Butterworth-Heinemann, Oxford, 1981).
- [2] G. Grosso and G. P. Parravicini, *Solid State Physics* (Academic Press, San Diego, CA, 2000).
- [3] M. El-Batanouny, Advanced Quantum Condensed Matter Physics: One-Body, Many-Body, and Topological Perspectives (Cambridge University Press, Cambridge, UK, 2020).
- [4] M. Y. Azbel, Energy spectrum of a conduction electron in a magnetic field, Sov. Phys. JETP 19, 634 (1964).
- [5] D. R. Hofstadter, Energy levels and wave functions of bloch electrons in rational and irrational magnetic fields, Phys. Rev. B 14, 2239 (1976).

- [6] R. Peierls, Zur theorie des diamagnetismus von leitungselektronen, Z. Phys. 80, 763 (1933).
- [7] P. G. Harper, Single band motion of conduction electrons in a uniform magnetic field, Proc. Phys. Soc. A 68, 874 (1955).
- [8] J. Sokoloff, Unusual Band Structure, Wave Functions and Electrical Conductance in Crystals with Incommensurate Periodic Potentials (North-Holland, Amsterdam, 1985).
- [9] D. J. Thouless, The quantum Hall effect and the Schrödinger equation with competing periods, in *Number Theory and Physics* (Springer, Berlin, 1990), pp. 170–176.

- [10] J. H. Han, D. J. Thouless, H. Hiramoto, and M. Kohmoto, Critical and bicritical properties of harper's equation with nextnearest-neighbor coupling, Phys. Rev. B 50, 11365 (1994).
- [11] K. v. Klitzing, G. Dorda, and M. Pepper, New method for highaccuracy determination of the fine-structure constant based on quantized Hall resistance, Phys. Rev. Lett. 45, 494 (1980).
- [12] D. Yoshioka, *The Quantum Hall Effect* (Springer-Verlag, Berlin, 2002).
- [13] F. Harper, S. H. Simon, and R. Roy, Perturbative approach to flat chern bands in the Hofstadter model, Phys. Rev. B 90, 075104 (2014).
- [14] J. Wang and L. H. Santos, Classification of topological phase transitions and van Hove singularity steering mechanism in graphene superlattices, Phys. Rev. Lett. 125, 236805 (2020).
- [15] J. Herzog-Arbeitman, Z.-D. Song, N. Regnault, and B. A. Bernevig, Hofstadter topology: Noncrystalline topological materials at high flux, Phys. Rev. Lett. **125**, 236804 (2020).
- [16] B. Andrews and A. Soluyanov, Fractional quantum Hall states for moiré superstructures in the Hofstadter regime, Phys. Rev. B 101, 235312 (2020).
- [17] M. Koshino, H. Aoki, K. Kuroki, S. Kagoshima, and T. Osada, Hofstadter butterfly and integer quantum Hall effect in three dimensions, Phys. Rev. Lett. 86, 1062 (2001).
- [18] Z. Kunszt and A. Zee, Electron hopping in three-dimensional flux states, Phys. Rev. B 44, 6842 (1991).
- [19] M. Koshino and H. Aoki, Integer quantum Hall effect in isotropic three-dimensional crystals, Phys. Rev. B 67, 195336 (2003).
- [20] Y. Hasegawa, Generalized flux states on 3-dimensional lattice, J. Phys. Soc. Jpn. 59, 4384 (1990).
- [21] R. B. Laughlin and Z. Zou, Properties of the chiral-spin-liquid state, Phys. Rev. B 41, 664 (1990).
- [22] Y. Hasegawa, Energy spectrum of electrons on three dimensional lattice in external magnetic field, J. Phys. Soc. Jpn. 61, 1657 (1992).
- [23] P. Fontana, M. Burrello, and A. Trombettoni, Topological van Hove singularities at phase transitions in Weyl metals, Phys. Rev. B 104, 195127 (2021).
- [24] J. B. Marston and I. Affleck, Large-*n* limit of the Hubbard-Heisenberg model, Phys. Rev. B 39, 11538 (1989).
- [25] X. Wan, A. M. Turner, A. Vishwanath, and S. Y. Savrasov, Topological semimetal and fermi-arc surface states in the electronic structure of pyrochlore iridates, Phys. Rev. B 83, 205101 (2011).
- [26] A. A. Burkov and L. Balents, Weyl semimetal in a topological insulator multilayer, Phys. Rev. Lett. 107, 127205 (2011).
- [27] A. Burkov, Weyl metals, Annu. Rev. Condens. Matter Phys. 9, 359 (2018).
- [28] F. Abdulla, A. Das, S. Rao, and G. Murthy, Time-reversalbroken Weyl semimetal in the Hofstadter regime, SciPost Phys. Core 5, 014 (2022).
- [29] S. Sorella and E. Tosatti, Semi-metal-insulator transition of the Hubbard model in the honeycomb lattice, Europhys. Lett. 19, 699 (1992).
- [30] G. Mazzucchi, L. Lepori, and A. Trombettoni, Semimetal– superfluid quantum phase transitions in 2D and 3D lattices with dirac points, J. Phys. B 46, 134014 (2013).
- [31] T. Kimura, Hofstadter problem in higher dimensions, Prog. Theor. Exp. Phys. 2014, 103B05 (2014).

- [32] M. Aidelsburger, S. Nascimbene, and N. Goldman, Artificial gauge fields in materials and engineered systems, C. R. Phys. 19, 394 (2018).
- [33] T. Ozawa, H. M. Price, A. Amo, N. Goldman, M. Hafezi, L. Lu, M. C. Rechtsman, D. Schuster, J. Simon, O. Zilberberg, and I. Carusotto, Topological photonics, Rev. Mod. Phys. 91, 015006 (2019).
- [34] M. Aidelsburger, M. Atala, M. Lohse, J. T. Barreiro, B. Paredes, and I. Bloch, Realization of the Hofstadter Hamiltonian with ultracold atoms in optical lattices, Phys. Rev. Lett. 111, 185301 (2013).
- [35] H. Miyake, G. A. Siviloglou, C. J. Kennedy, W. C. Burton, and W. Ketterle, Realizing the Harper Hamiltonian with laserassisted tunneling in optical lattices, Phys. Rev. Lett. 111, 185302 (2013).
- [36] N. Goldman, G. Juzeliūnas, P. Öhberg, and I. B. Spielman, Light-induced gauge fields for ultracold atoms, Rep. Prog. Phys. 77, 126401 (2014).
- [37] M. Aidelsburger, M. Lohse, C. Schweizer, M. Atala, J. T. Barreiro, S. Nascimbène, N. Cooper, I. Bloch, and N. Goldman, Measuring the Chern number of Hofstadter bands with ultracold bosonic atoms, Nat. Phys. 11, 162 (2015).
- [38] C. Weitenberg and J. Simonet, Tailoring quantum gases by Floquet engineering, Nat. Phys. 17, 1342 (2021).
- [39] C. R. Dean, L. Wang, P. Maher, C. Forsythe, F. Ghahari, Y. Gao, J. Katoch, M. Ishigami, P. Moon, M. Koshino, T. Taniguchi, K. Watanabe, K. L. Shepard, J. Hone, and P. Kim, Hofstadter's butterfly and the fractal quantum Hall effect in moiré superlattices, Nature (Lond.) 497, 598 (2013).
- [40] B. Hunt, J. D. Sanchez-Yamagishi, A. F. Young, M. Yankowitz, B. J. LeRoy, K. Watanabe, T. Taniguchi, P. Moon, M. Koshino, P. Jarillo-Herrero, and R. C. Ashoori, Massive Dirac fermions and Hofstadter butterfly in a van der Waals heterostructure, Science 340, 1427 (2013).
- [41] S. N. Kempkes, M. R. Slot, S. E. Freeney, S. J. Zevenhuizen, D. Vanmaekelbergh, I. Swart, and C. M. Smith, Design and characterization of electrons in a fractal geometry, Nat. Phys. 15, 127 (2019).
- [42] M. Fremling, M. van Hooft, C. Morais Smith, and L. Fritz, Existence of robust edge currents in Sierpiński fractals, Phys. Rev. Res. 2, 013044 (2020).
- [43] F. Di Colandrea, A. D'Errico, M. Maffei, H. M. Price, M. Lewenstein, L. Marrucci, F. Cardano, A. Dauphin, and P. Massignan, Linking topological features of the Hofstadter model to optical diffraction figures, New J. Phys. 24, 013028 (2022).
- [44] J. C. Halimeh, M. Aidelsburger, F. Grusdt, P. Hauke, and B. Yang, Cold-atom quantum simulators of gauge theories, arXiv:2310.12201.
- [45] S. K. Lewin, C. E. Frank, S. Ran, J. Paglione, and N. P. Butch, A review of UTe<sub>2</sub> at high magnetic fields, Rep. Prog. Phys. 86, 114501 (2023).
- [46] M. J. Park, Y. B. Kim, and S. Lee, Geometric superconductivity in 3D hofstadter butterfly, arXiv:2007.16205.
- [47] V. Hutanu, H. Deng, S. Ran, W. T. Fuhrman, H. Thoma, and N. P. Butch, Crystal structure of the unconventional spin-triplet superconductor UTe<sub>2</sub> at low temperature by single crystal neutron diffraction, arXiv:1905.04377.

- [48] G. M. Obermair and G. H. Wannier, Bloch electrons in magnetic fields. Rationality, irrationality, degeneracy, Physica Status Solidi (b) 76, 217 (1976).
- [49] G. H. Wannier, A result not dependent on rationality for Bloch electrons in a magnetic field, Physica Status Solidi (b) 88, 757 (1978).
- [50] S. Aubry and G. André, Analyticity breaking and anderson localization in incommensurate lattices, Ann. Isr. Phys. Soc. 3, 133 (1980).
- [51] J. P. Guillement, B. Helffer, and P. Treton, Walk inside Hofstadter's butterfly, J. Phys. France 50, 2019 (1989).
- [52] B. Andrews, Hofstadtertools: A python package for analyzing the Hofstadter model, J. Open Source Softw. 9, 6356 (2024).
- [53] D. J. Thouless, M. Kohmoto, M. P. Nightingale, and M. den Nijs, Quantized Hall conductance in a two-dimensional periodic potential, Phys. Rev. Lett. 49, 405 (1982).
- [54] A. Avila and S. Jitomirskaya, The ten martini problem, Ann. Math. 170, 303 (2009).
- [55] J. Brüning, V. Geyler, and K. Pankrashkin, Cantor and band spectra for periodic quantum graphs with magnetic fields, Commun. Math. Phys. 269, 87 (2007).
- [56] M. Kohmoto, Zero modes and the quantized Hall conductance of the two-dimensional lattice in a magnetic field, Phys. Rev. B 39, 11943 (1989).
- [57] X. G. Wen and A. Zee, Winding number, family index theorem, and electron hopping in a magnetic field, Nucl. Phys. B 316, 641 (1989).
- [58] J. Zak, Magnetic translation group, Phys. Rev. 134, A1602 (1964).
- [59] J. Zak, Magnetic translation group. II. Irreducible representations, Phys. Rev. 134, A1607 (1964).
- [60] A. Rauh, G. H. Wannier, and G. Obermair, Bloch electrons in irrational magnetic fields, Physica Status Solidi (b) 63, 215 (1974).
- [61] M. Burrello, I. Fulga, L. Lepori, and A. Trombettoni, Exact diagonalization of cubic lattice models in commensurate abelian magnetic fluxes and translational invariant non-Abelian potentials, J. Phys. A: Math. Theor. **50**, 455301 (2017).
- [62] L. Lepori, G. Mussardo, and A. Trombettoni, (3 + 1) massive Dirac fermions with ultracold atoms in frustrated cubic optical lattices, Europhys. Lett. 92, 50003 (2010).
- [63] T. Dubček, C. J. Kennedy, L. Lu, W. Ketterle, M. Soljačić, and H. Buljan, Weyl points in three-dimensional optical lattices: Synthetic magnetic monopoles in momentum space, Phys. Rev. Lett. 114, 225301 (2015).
- [64] L. Lepori, I. C. Fulga, A. Trombettoni, and M. Burrello, *PT*invariant Weyl semimetals in gauge-symmetric systems, *Phys. Rev. B* 94, 085107 (2016).

- [65] L. Molinari, Transfer matrices and tridiagonal-block Hamiltonians with periodic and scattering boundary conditions, J. Phys. A: Math. Gen. 30, 983 (1997).
- [66] L. Molinari, Spectral duality and distribution of exponents for transfer matrices of block-tridiagonal Hamiltonians, J. Phys. A: Math. Gen. 36, 4081 (2003).
- [67] Y. Last, On the measure of gaps and spectra for discrete 1D Schrödinger operators, Commun. Math. Phys. 149, 347 (1992).
- [68] Y. Last, A relation between a.c. spectrum of ergodic Jacobi matrices and the spectra of periodic approximants, Commun. Math. Phys. 151, 183 (1993).
- [69] E. Korotyaev and I. V. Krasovsky, Spectral estimates for periodic Jacobi matrices, Commun. Math. Phys. 234, 517 (2003).
- [70] M. Shamis and S. Sodin, On the measure of the absolutely continuous spectrum for Jacobi matrices, J. Approx. Theory 163, 491 (2011).
- [71] G. Świderski, Spectral properties of some complex Jacobi matrices, Integr. Equ. Oper. Theory **92**, 11 (2020).
- [72] T. L. Hughes, E. Prodan, and B. A. Bernevig, Inversionsymmetric topological insulators, Phys. Rev. B 83, 245132 (2011).
- [73] A. M. Turner, Y. Zhang, R. S. K. Mong, and A. Vishwanath, Quantized response and topology of magnetic insulators with inversion symmetry, Phys. Rev. B 85, 165120 (2012).
- [74] Z. Wang, Y. Zheng, Z. Shen, Y. Lu, H. Fang, F. Sheng, Y. Zhou, X. Yang, Y. Li, C. Feng, and Z.-A. Xu, Helicity-protected ultrahigh mobility Weyl fermions in NbP, Phys. Rev. B 93, 121112(R) (2016).
- [75] Y. Matsuoka, On a proof of hermite's identity, Am. Math. Mon. 71, 1115 (1964).
- [76] T. Louvet, M. Houzet, and D. Carpentier, Signature of the chiral anomaly in ballistic Weyl junctions, J. Phys. Mater. 1, 015008 (2018).
- [77] L. Duca, T. Li, M. Reitter, I. Bloch, M. Schleier-Smith, and U. Schneider, An Aharonov-Bohm interferometer for determining Bloch band topology, Science 347, 288 (2015).
- [78] P. T. Ernst, S. Götze, J. S. Krauser, K. Pyka, D.-S. Lühmann, D. Pfannkuche, and K. Sengstock, Probing superfluids in optical lattices by momentum-resolved Bragg spectroscopy, Nat. Phys. 6, 56 (2010).
- [79] L.-K. Lim, J.-N. Fuchs, and G. Montambaux, Bloch-Zener oscillations across a merging transition of Dirac points, Phys. Rev. Lett. 108, 175303 (2012).
- [80] N. R. Cooper, J. Dalibard, and I. B. Spielman, Topological bands for ultracold atoms, Rev. Mod. Phys. 91, 015005 (2019).
- [81] D. Shaffer, J. Wang, and L. H. Santos, Theory of Hofstadter superconductors, Phys. Rev. B 104, 184501 (2021).