

**Emergence of radial Rashba spin-orbit fields in twisted van der Waals heterostructures**Tobias Frank, Paulo E. Faria Junior <sup>\*</sup>, Klaus Zollner , and Jaroslav Fabian *Institute for Theoretical Physics, University of Regensburg, 93040 Regensburg, Germany*

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Rashba spin-orbit coupling is a quintessential spin interaction appearing in virtually any electronic heterostructure. Its paradigmatic spin texture in the momentum space forms a tangential vector field. Using first-principles investigations, we demonstrate that in twisted homobilayers and heteromultilayers, the Rashba spin texture can be predominantly radial, parallel to the momentum. Specifically, we study four experimentally relevant structures: twisted bilayer graphene (Gr), twisted bilayer WSe<sub>2</sub>, and twisted multilayers WSe<sub>2</sub>/Gr/WSe<sub>2</sub> and WSe<sub>2</sub>/Gr/Gr/WSe<sub>2</sub>. We show that the Rashba spin texture in such structures can be controlled by an electric field, allowing to tune it from radial to tangential. Such spin-orbit engineering should be useful for designing spin-charge conversion and spin-orbit torque schemes, as well as for controlling correlated phases and superconductivity in van der Waals materials.

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**Introduction.** The Rashba effect is the appearance of an extrinsic spin-orbit coupling (SOC) at surfaces and interfaces of electronic materials. Following the study by Rashba and Sheka on wurtzite semiconductors [1], the effect was formulated by Bychkov and Rashba for 2D electron gas [2]; see the recent review [3]. The Rashba effect is at the heart of spintronics, allowing for efficient spin manipulation in a variety of spin transport, spin relaxation, and spin detection phenomena [4–8].

The advent of two-dimensional (2D) materials and van der Waals (vdW) heterostructures has significantly expanded the range of possibilities for controlling the electron spin [6–8]. In particular, novel spin interactions can be generated in vdW stacks by the proximity effect [9,10]. The prime example is the valley-Zeeman (Ising) SOC, induced in graphene (Gr) from a neighboring transition-metal dichalcogenide (TMDC) such as MoSe<sub>2</sub> or WSe<sub>2</sub>, yielding a giant spin relaxation anisotropy [11–13] and with controllable spin precession by gate voltages in spin transistor devices at room temperature [14].

For Gr-based Dirac systems, in addition to the valley-Zeeman term, the breaking of space and mirror symmetries leads to the Rashba spin-orbit field (SOF). Pioneering tight-binding studies of twisted Gr/TMDC [15,16] proposed that the Rashba SOF in Gr acquires an “unconventional” radial component encoded in the so-called Rashba angle  $\varphi$  (between the electron’s momentum and spin). The general form of this general Rashba coupling at  $K$  and  $K'$  points for  $C_3$  symmetric systems is

$$\mathcal{H}_R = \lambda_R e^{-i\varphi s_z/2} (\tau \sigma_x \otimes s_y + \sigma_y \otimes s_x) e^{i\varphi s_z/2}. \quad (1)$$

The coupling constant  $\lambda_R$  denotes the strength of the Rashba SOF,  $\tau = \pm 1$  is the valley index,  $\sigma_i$  are the pseudospin, and  $s_i$  spin Pauli matrices. If  $\varphi = 0$ , the Rashba field is tangential (conventional); if  $\varphi = 90^\circ$ , it is radial (unconventional).

We note that the term “unconventional” has been previously used [17–21] to identify the spin-to-charge conversion along the direction of the applied electric field, also referred to as unconventional Rashba-Edelstein effect. This unconventional signature is directly linked to the radial component of the spin texture.

The Rashba angle  $\varphi$  has been calculated for twisted Gr/TMDC from first-principles [17,22–24] and by tight-binding modeling [25], as well as for Gr/1T-TaS<sub>2</sub> [26]. DFT calculations for twisted Gr/TMDCs [17,22,23] find that the Rashba angle varies between  $-20^\circ$  and  $40^\circ$ , not being radial at any twist angle. Remarkably, the predicted variation of the Rashba angle has now been seen experimentally in Gr/WSe<sub>2</sub> bilayers [19], observing  $\varphi$  up to about  $\pm 60^\circ$ .

Here, we show that a radial Rashba SOF emerges in twisted homobilayers and multilayers of hexagonal lattices. Specifically, we perform first-principles calculations on four distinct structures: twisted bilayer graphene (TBLG), twisted bilayer WSe<sub>2</sub>, twisted multilayers WSe<sub>2</sub>/Gr/WSe<sub>2</sub>, and WSe<sub>2</sub>/TBLG/WSe<sub>2</sub>. We show that in all the investigated cases the in-plane Rashba spin texture can be radial,  $\varphi = 90^\circ$ , but we also discuss cases in which it is not. For computational reasons, we use the twist angle of  $21.8^\circ$  (and the complementary  $38.2^\circ$ ) for the homobilayers, noting that commensurate moiré crystals of bilayer WSe<sub>2</sub> twisted at these angles were recently demonstrated [27]. For such structures, our findings make even quantitative predictions. The origin of the radial Rashba SOF can be traced to the interference of two layer-locked (hidden in untwisted stacks) Rashba SOF that have opposite tangential, but the same radial components. Moreover, we demonstrate that the Rashba spin texture can be tuned from radial to tangential by a displacement out-of-plane electric field, particularly relevant to counteract undesired displacement fields that may be present in real devices.

Engineering the radial Rashba coupling would facilitate the unconventional charge-to-spin conversion in vdW heterostructures [17,18,20,21,23,28,29], improve the

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functionality of spin-orbit torque [30] by allowing the control the polarization of accumulated spin accumulation and spin current, and influence correlated phases [31–35], superconductivity [36–38], and magnetotransport [39]. Furthermore, a radial spin texture in a vdW heterostructure would emulate, in a controlled way, the 3D space group [40,41] predictions of the parallel spin-momentum locking in chiral materials such as tellurium [42,43] or chiral topological semimetals [44–46]. Very recently, chiral-induced spin selectivity has been predicted to be realized in vertical tunneling by twisted TMDCs [47]. Our first-principles calculations show that, while not being universal, the formation of a radial Rashba spin texture can be readily achieved in twisted vdW structures.

Moreover, with these radial spin textures we are bringing exciting manifestations of 3D group theory predictions [40,41] to the burgeoning field of 2D materials and their van der Waals heterostructures.

*Twisted bilayer graphene.* Monolayer Gr exhibits an intrinsic spin-orbit splitting of tens of  $\mu\text{eV}$ s [48,49], and no Rashba coupling, while an out-of-plane electric field induces a Rashba splitting of about  $10\ \mu\text{eV}$  per each  $\text{V/nm}$  [48]. Similar values appear in bilayer graphene (BLG) [50,51].

The electronic structure of BLG with SOC can be described as comprising conventional layer-dependent Rashba SOF with opposite orientations in the two layers [50]; the net result is no overall Rashba field and no spin-orbit splitting of the bands. In effect, each layer exerts an effective electric field on the other layer, causing a Rashba coupling in it. This is similar to the concept of “hidden” spin polarization in inversion symmetric materials [52]. The application of an out-of-plane electric field induces layer polarization and removes the perfect balance of the hidden Rashba SOFs, giving a nonzero *conventional* Rashba spin texture [50]. Chirally stacked (ABC) graphene trilayers follow the same SOC trends [53,54].

How do the hidden, layer-dependent Rashba SOF manifest themselves in the electronic structure when the two layers get twisted? Without layer polarization, the two SOFs in the momentum space add up; if the fields of the hybridizing Bloch states from each layer are similar, these two tangential (but rotated) fields yield a purely radial, *unconventional* Rashba spin texture. Layer polarization—due to a out-of-plane electric field or the presence of a substrate—can add a tangential component and make the Rashba field fully in-plane tunable.

We illustrate this concept by performing first-principles simulations and effective modeling for  $21.79^\circ$  (sublattice-exchange) even and odd TBLG [55], which exhibit threefold rotational symmetry and resemble energy-renormalized versions of AA and AB (Bernal) BLG dispersions, respectively. The supercells are constructed following Shallcross *et al.* [56]. Further geometry setup details are given in [57]. Even TBLG has two overlapping atomic sites, see Fig. 1(b), whereas the odd structure has only one overlapping atomic site, see Fig. 1(c). In twisted structures, spatial modulation of the interlayer interaction provides momentum conservation for the coupling of different single-layer momentum states via Umklapp processes [55]. The interaction between the layers predominantly happens at the overlapping atomic sites and the reduction in the energy scale (with respect to BLG analogues) is a measure for the loss of interlayer registry [58].

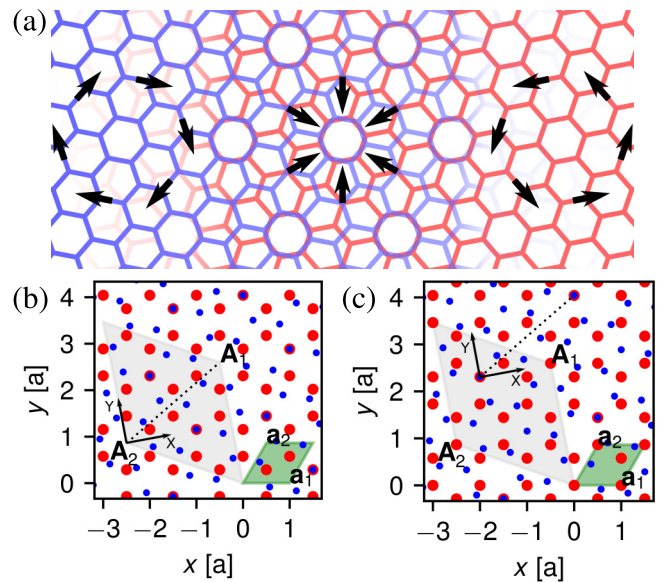


FIG. 1. (a) Sketch of radial Rashba emergence by the interference of oppositely rotating tangential Rashba fields. [(b),(c)]  $21.79^\circ$  TBLG ( $p = 1, q = 3$ ) and different sublattice-exchange symmetries. The primitive cell of graphene with its lattice vectors  $\mathbf{a}_{1/2}$  is indicated by the green rhombus. The shaded area is the moiré supercell with lattice vectors  $\mathbf{A}_{1/2}$ . The red/blue dots represent carbon atoms from the bottom/top layer. The even system (b) is obtained from AA stacking and rotation about the origin  $[0,0]$ , the odd system (c) from initial AB (Bernal) stacking. The coordinate system for DFT calculations is shown by labels X and Y. In-plane twofold rotation axes are indicated by dashed lines.

The band structures of even and odd TBLGs are calculated by the Wien2k code [59], which accounts for  $d$  orbitals responsible for SOC in Gr [48] (for computational details see the Supplemental Material, SM [57]). The band structure and spin texture of the even system are shown in Fig. 2. We focus on the low-energy physics at the K point of the moiré lattice, indicated by the meV energy scale. The band structure exhibits two crossings at the K point, which are remnants of the Dirac cones of single-layer graphene separated in energy by  $3.6\ \text{meV}$ . Shifting the cones would resemble the rescaled AA-stacked BLG band structure [55,57]. However, the interlayer interaction opens a gap of  $1.1\ \text{meV}$  between the two copies of Dirac cones. The Dirac cones themselves are gapped (not visible) by a spin-orbit gap of  $24\ \mu\text{eV}$  as in single layer Gr [48]. The spin degeneracy is lifted by  $\sim 10\ \mu\text{eV}$  due to missing inversion symmetry. The spin texture of the highest valence band around K, shown in Fig. 2(b), form a purely radial Rashba SOF. All the low-energy bands have such a spin texture, with alternating directions pointing towards or away from the K point (see Sec. S2 of the SM [57] for all the calculated spin textures). Interestingly, the radial texture can be tuned to tangential by applying an out-of-plane electric field, as shown in Fig. 2(d) for the field of  $29\ \text{mV/nm}$ , while the band structure is hardly affected, see Fig. 2(c). This control of the spin texture using an out-of-plane electric field is crucial to counteract undesirable displacement fields that appear in real samples, thus improving the operation of spintronic devices.

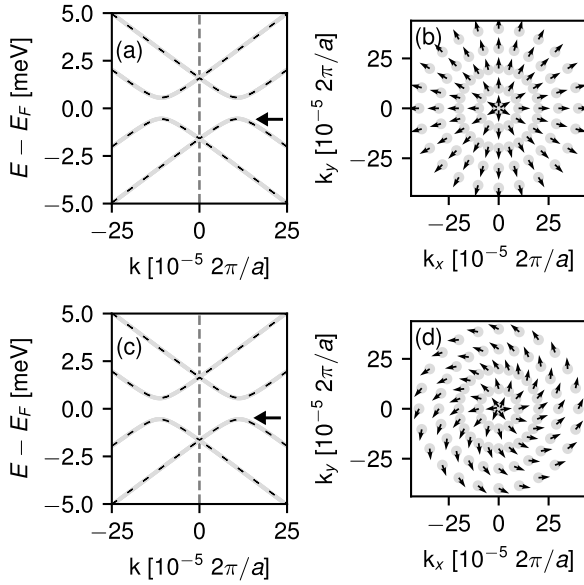


FIG. 2. *Ab initio* low-energy band structures and spin-fields of  $21.79^\circ$  even TBLG. (a) Low-energy TBLG band structure with corresponding (b) spin texture of the highest valence band, indicated by the horizontal arrow in (a). (c) TBLG band structure with an electric field  $29$  mV/nm with corresponding (d) spin texture of the highest valence band indicated by the horizontal arrow in (c). The spin texture encodes  $s_x$  and  $s_y$  components of the spin expectation value. The K point is marked by the vertical-dashed line. The color of the bands in (a) and (c) and the dots in (b) and (d) denote the spin-z expectation value, where red (blue) is spin-up (down) and grey color denotes zero polarization. In this particular system, the spin texture has only in-plane components therefore the energy bands in (a) and (c) as well as the dots in (b) and (d) appear with grey color. The dashed lines on top of the DFT bands are model fits with parameters  $v_F = 8.16 \times 10^5$  m/s,  $w = 1.597$  meV,  $\lambda_I = -11.858$   $\mu$ eV,  $\lambda_R = 13.5$   $\mu$ eV, and  $u = 0.43$   $\mu$ eV.

In the case of the odd system, Fig. 3, the band structure has a quadratic dispersion, similar to AB-stacked BLG. The valence-conduction band degeneracy at K is lifted by the intrinsic spin-orbit gap of  $23$   $\mu$ eV and band splittings on the sub- $\mu$ eV scale are introduced. The parabolic second valence and conduction bands, see e.g., Ref. [55], are split off by  $1.7$  meV, outside the energy window. In the odd system we recognize a radial shape of the spin texture as well. The SOF shows some deviations from pure radial, because the symmetry is reduced from sixfold rotation to a threefold symmetry. Application of a tiny electric field of  $29$   $\mu$ V/nm further opens the gap and the band splitting saturates at the intrinsic SOC energy scale. We find that the band structure becomes spin-polarized and that the spin texture acquires a tangential component.

In the SM [57] we present the band structure and spin textures for two additional cases: the twist angle  $27.8^\circ$  (sublattice even) and  $32.2^\circ$  (sublattice odd). Our calculations reveal that the predominant radial component in the spin texture also emerges for this set of twist angles, confirming the general nature of this phenomena. We also note that the emergence of the radial spin textures is consistent with the symmetry groups (with threefold or sixfold rotations) of the twisted structures

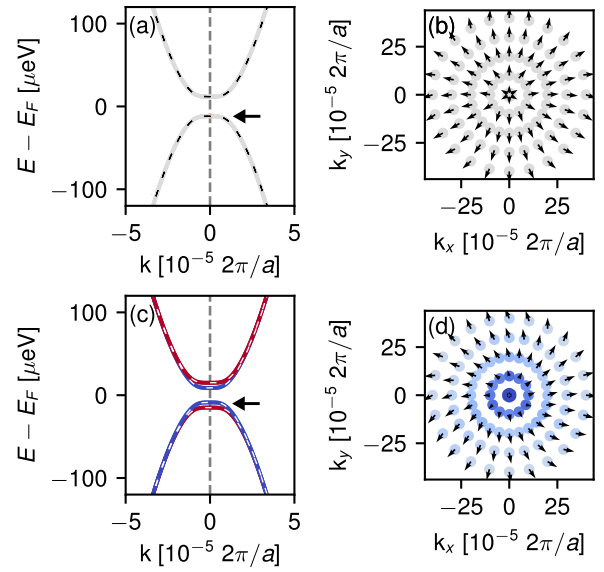


FIG. 3. Same as Fig. 2 but for  $21.79^\circ$ -rotated odd TBLG in an electric field of  $29$   $\mu$ V/nm. Model fit parameters are  $v_F = 8.16 \times 10^5$  m/s,  $w = 1.685$  meV,  $\phi = 0.171$ ,  $\lambda_I = -11.858$   $\mu$ eV,  $\lambda_R = 0.3$   $\mu$ eV, and  $u = 3.1$   $\mu$ eV.

predicted by group theory in 3D systems [40,41]. The calculated low-energy bands along with the spin textures can be quantitatively described by an effective model of TBLG with layer-dependent intrinsic and (hidden) Rashba couplings. We refer to [57] for details of the model, but present in Figs. 2 and 3 the fits.

We note that our calculated spin textures seem to be at odds with the recent report [60], which considered the same twist angle of TBLG, but found vortex-like spin textures at K, employing VASP code [61]. To crosscheck our results, we also employed Quantum Espresso [62] and confirmed the radial spin textures for both unrelaxed and relaxed geometries, without significant differences. Also, our effective model [57] fits well the DFT simulations, see Figs. 2 and 3, giving additional support for the emergence of radial Rashba fields. We found that the main difference is in the scale of the k space considered. In Sec. S2 of the SM [57] we present the spin texture for regions further away from the charge neutrality and found similar vortex-like spin textures as in Ref. [60], revealing that the trigonal character of the system is imprinted in the spin texture at some point.

*Twisted WSe<sub>2</sub> homobilayers.* To demonstrate that not only TBLG exhibits purely radial Rashba SOFs, we performed first-principles simulations of a twisted WSe<sub>2</sub> homobilayer using the Wien2k code [59]. Monolayer TMDCs such as WSe<sub>2</sub> lack space inversion symmetry, so their electronic states are naturally spin split. However, the presence of a horizontal mirror plane symmetry precludes the appearance of in-plane Rashba fields but rather enables robust spin polarization in the out-of-plane direction [63]. Conversely, naturally stacked bilayer TMDCs have space inversion symmetry and no spin-orbit polarization of their bands. The twisted structures start from a  $0^\circ$  stacking with W and Se atoms on top of each other (the R<sub>h</sub><sup>1</sup> stacking [64,65], containing a horizontal mirror plane). We discuss here in the main text the commensurate

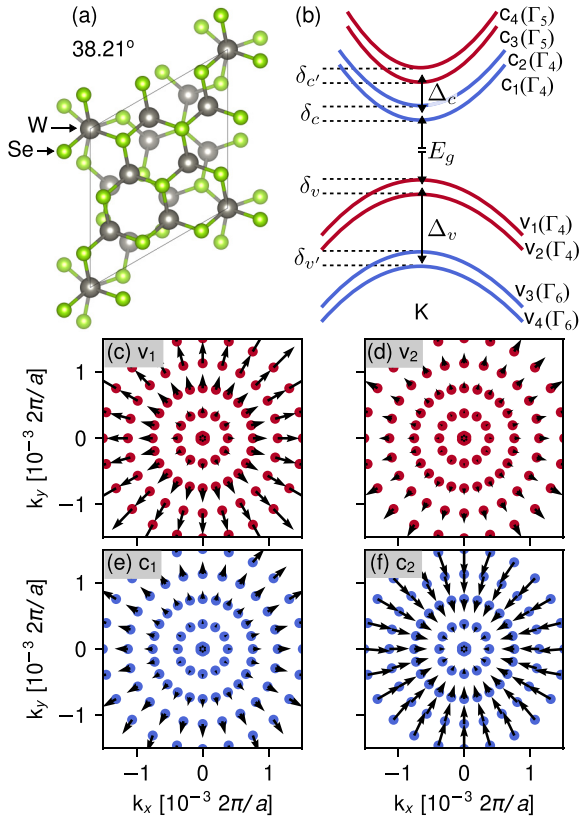


FIG. 4. Radial Rashba in twisted WSe<sub>2</sub> homobilayers. (a) 38.21° supercell with W and Se atoms indicated. (b) Sketch of the low-energy bands indicating the relevant energy splittings and their irreducible representations. The calculated *ab initio* splittings (in meV) are  $E_g = 1352.79$ ,  $\Delta_v = 453.22$ ,  $\Delta_c = 40.65$ ,  $\delta_{v'} = 4.29$ ,  $\delta_v = 1.94$ ,  $\delta_c = 0.63$ ,  $\delta_{c'} = 0.24$ . Calculated spin textures for the energy bands (c)  $v_1$ , (d)  $v_2$ , (e)  $c_1$ , and (f)  $c_2$ . The radial Rashba texture points outwards for  $v_1$ ,  $v_2$ , and  $c_1$  and inwards for  $c_2$ .

unit cell for a twist angle of 38.21° with the corresponding atomic structure shown in Fig. 4(a). The symmetry groups of these structures are discussed in [57].

The band structure of the 38.21° supercell is presented in Fig. 4(b), indicating monolayer- and moiré-derived spin-orbit splittings,  $\Delta$  (consistent with isolated monolayers [66]) and  $\delta$ , respectively. The spin textures for the lowest energy bands,  $v_1$ - $v_2$  and  $c_1$ - $c_2$ , are given in Figs. 4(c)-4(f). Despite the strong spin-valley locking (out-of-plane spins) [63], our calculations clearly reveal the emergence of in-plane radial Rashba textures in the vicinity of the K-valleys. The corresponding in-plane spin expectation values are on the order of  $10^{-5} - 10^{-4}$ , roughly three orders of magnitude smaller than in Gr systems (see Fig. S11 within the SM [57]) but well above the numerical precision [67]. In the SM [57], we show the full band structure and the spin textures for all the valence and conduction bands  $v_1$ - $v_4$  and  $c_1$ - $c_4$ , as well as the same analysis for the complementary twist angle of 21.79°. Particularly, in the 21.79° case the radial Rashba spin textures acquire trigonal features that become more pronounced as we move away from the K valleys for particular energy bands, due to the hybridization of the Bloch states from different layers occurring at different points in the Brillouin zone. These

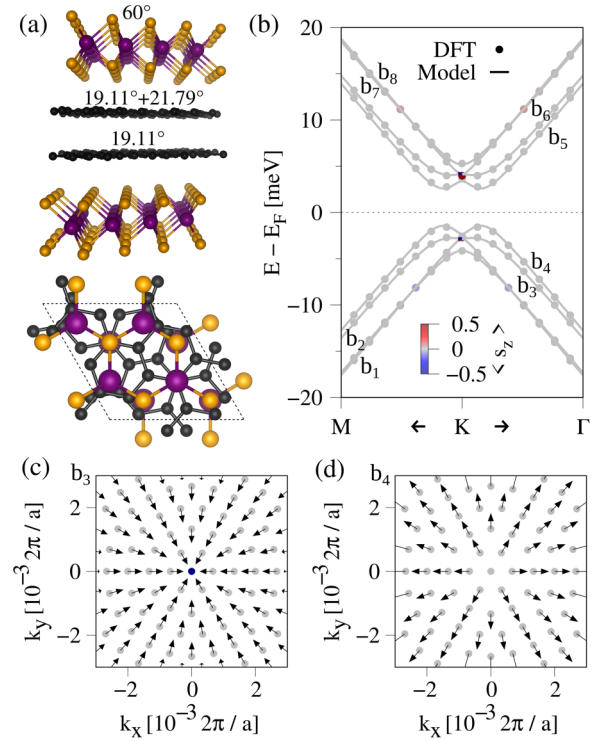


FIG. 5. Radial Rashba in WSe<sub>2</sub>-encapsulated even TBLG. (a) Top and side view of the twisted multilayer stack. The twist angle indicated above each layer is measured with respect to the bottom WSe<sub>2</sub> layer. (b) The corresponding low-energy bands with a fit to the model Hamiltonian. (c) and (d) Exemplary spin textures of the bands  $b_3$  and  $b_4$  as labeled in (b).

distinct k points can exhibit hidden Rashba fields with unequal magnitudes and/or angular textures (see Fig. S7 within the SM [57] for monolayer WSe<sub>2</sub> under electric field).

**WSe<sub>2</sub>-encapsulated TBLG.** BLG has weak Rashba coupling, but encapsulated by TMDCs the spin-splitting of BLG is on the meV scale, well within the reach of spin transport experiments. Here, we demonstrate the existence of unconventional Rashba coupling in twisted multilayer stacks, containing either monolayer Gr (see SM [57]) or TBLG, see Fig. 5. The twist angle of BLG is 21.79°, while the bottom layer of BLG is twisted by 19.11° from the adjacent WSe<sub>2</sub>. To complete the chiral structure, the top WSe<sub>2</sub> monolayer is twisted by 60° with respect to the bottom WSe<sub>2</sub>; see Fig. 5(a).

The energetically spin-split electronic states have no out-of-plane spin orientation, as shown in Fig. 5(b). The in-plane spin polarizations, presented in Figs. 5(c) and 5(d), are purely radial. The relative twist angle of the encapsulating WSe<sub>2</sub> layers allows to tailor the interference of layer-resolved Rashba and sublattice-odd valley-Zeeman couplings (see the SM [57]). The difference to BLG is the magnitude of the Rashba coupling, which is about 1 meV. We fit the DFT data to a model Hamiltonian in the SM [57]. The Hamiltonian description should be useful for investigating spin transport and correlation physics in such chiral multilayers. Importantly, the spin texture can turn from radial to tangential, upon applying an out-of-plane electric field (see the SM [57]).

*Conclusions and outlook.* We studied the emergence of purely radial SOFs in twisted homobilayers of Gr and WSe<sub>2</sub>, as well as in twisted multilayer heterostructures comprising Gr and WSe<sub>2</sub>. We found that this unconventional Rashba spin texture is fully in-plane tunable, from radial to tangential, by a displacement field. Such SOFs should be even more pronounced in homobilayers of strong spin-orbit materials with built-in Rashba SOC, such as Janus dichalcogenides [68] as well as in vdW heterostructures with strong interlayer coupling, which induces strong hidden Rashba fields.

The ramifications of our findings have direct impact in distinct research fields involving 2D materials and van der Waals heterostructures. For instance, it has been shown that the band topology of Gr can be modified by proximity effects [69–71] and that twisted (commensurate) bilayer Gr behaves as a topological crystalline insulator [72] with electric field tunable band gap [73]. These topological features can be

explored in the context of correlation physics with proximity induced spin-orbit coupling [33,74], which strongly depend on the particular shapes of the spin textures of the underlying energy bands. Moreover, recent efforts in characterizing the orbital angular momentum of 3D chiral materials [75] also motivate future studies in the heterostructures we proposed, which will be particularly relevant to the emerging field of orbitronics in van der Waals systems.

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