

## Optical transmission enhancement of ionic crystals via superionic fluoride transfer: Growing VUV-transparent radioactive crystals

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The 8-eV first nuclear excited state in  $^{229}\text{Th}$  is a candidate for implementing a nuclear clock. Doping  $^{229}\text{Th}$  into ionic crystals such as  $\text{CaF}_2$  is expected to suppress nonradiative decay, enabling nuclear spectroscopy and the realization of a solid-state optical clock. Yet, the inherent radioactivity of  $^{229}\text{Th}$  prohibits the growth of high-quality single crystals with high  $^{229}\text{Th}$  concentration; radiolysis causes fluoride loss, increasing absorption at 8 eV. These radioactively doped crystals are thus a unique material for which a deeper analysis of the physical effects of radioactivity on growth, crystal structure, and electronic properties is presented. Following the analysis, we overcome the increase in absorption at 8 eV by annealing  $^{229}\text{Th}$ -doped  $\text{CaF}_2$  at 1250 °C in  $\text{CF}_4$ . This technique allows to adjust the fluoride content without crystal melting, preserving its single-crystal structure. Superionic state annealing ensures rapid fluoride distribution, creating fully transparent and radiation-hard crystals. This approach enables control over the charge state of dopants, which can be used in deep-UV optics, laser crystals, scintillators, and nuclear clocks.

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Thorium-229 ( $^{229}\text{Th}$ ), possessing an 8-eV and approximately 600-s lifetime first nuclear excited state (isomer state), enables high-precision VUV laser spectroscopy [1–3]. The anomalously low energy of this excited state offers the potential for the construction of an optical clock based on a nuclear transition [4,5]. The structure of the nuclear levels is governed by both Coulomb and nuclear forces [6]. This allows probing of these forces via nuclear spectroscopy, paving the way for new fundamental research—for example, the search for dark matter, or potential drifts in the fine-structure constant [7,8].

$^{229}\text{Th}$  is required to be in a 3+ or higher charge state to suppress the nonradiative decay [9]. The possibility of trapping charged  $^{229}\text{Th}$  in a solid-state matrix offers an alternative to ion traps. In the solid, the nucleus is isolated due to the small interaction with its chemical environment [10]. Ionic crystals such as  $\text{CaF}_2$  are an excellent choice as host material for the  $^{229}\text{Th}$ -based nuclear clock [11]. The ionic character of these crystals naturally forces the  $^{229}\text{Th}$  into a 4+ charged state, substituting the calcium ( $\text{Ca}^{2+}$ ) cation [12,13], and their large band gaps make them transparent to wavelengths around 150 nm or 8 eV [14]. Ionic crystals such as oxides and fluorides display good scintillator properties and are resistant to VUV radiation, making them suitable to host the radioactive

$^{229}\text{Th}$  and observe its radiative decay [2,15]. In this experiment, calcium fluoride ( $\text{CaF}_2$ ) was chosen as the host material due to its excellent scintillator properties [16], simple cubic structure [17], large 12-eV electronic and 10-eV optical band gap [14], and an unchanged 10-eV optical band gap after thorium [18] doping. Both  $^{232}\text{Th}$  and  $^{229}\text{Th}$  were used to grow single-crystalline  $\text{Th}:\text{CaF}_2$  using the vertical gradient freeze method [19].

The process of growing  $\text{CaF}_2$  in a vacuum environment leads to a fluoride ion ( $\text{F}^-$ ) deficit due to thermal dissociation of  $\text{CaF}_2$  and its reaction with the residual water in the system [20,21]. This sequence leads to the formation of a nonstoichiometric or fluoride-deficient crystal. To counterbalance the loss in fluoride ions, the crystal tends to generate metallic Ca nanoparticles [18], which possess the capacity to absorb and scatter light [22], especially within the VUV and optical range. Nonstoichiometry in all ionic crystals leads to changes in the configuration, either change of charge state of cations or formation of metal colloids [23]. Although this variation in configuration has been thoroughly examined in oxides like  $\text{CeO}_2$  [24,25], it has not been extensively studied for fluorides, and there is a lack of common terminology, understanding, and means of controlling the compositions [26–30].

Due to the presence of a radioactive element during growth, radiolysis causes enhanced dissociation of  $\text{CaF}_2$  [19,31]. The strong dissociation creates a very nonstoichiometric composition. The change in composition leads to a change of dopant configuration and absorption profile, as was first observed in the work of Cirillo and Wright (1987) [26]. Here, adding  $\text{F}_2$

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to  $\text{Eu}^{2+}:\text{CaF}_2$  changed the charge state of Eu and thus the absorption profile of the crystal. A lack of fluoride in the crystal is compensated for by reducing the calcium to neutral and the dopant to a lower charge state. By adding fluoride, the charge state of the dopant can be increased and the calcium oxidized.

Radioactivity not only affects growth: (doped)  $\text{CaF}_2$  subjected to radiation from radioactive decay after growth shows complex behavior centered around fluoride motion in the lattice [32]. The  $^{229}\text{Th}:\text{CaF}_2$  crystals therefore are a unique and complex system for which we give a concise description, following the literature [18,22,33–35].

The presence of a radioactive element in the  $\text{CaF}_2$  matrix is a source of excitation of the lattice that produces defects and aggregates which lie at the core of understanding the dynamic processes. Mainly the motion of fluorine determines the produced defects and their respective absorption and emission bands. Fluoride moves through the crystal expending much less energy (0.6–1.5 eV) than the calcium ions, and the formation energy of an anion Frenkel pair ( $\text{F}^-$  interstitial and vacancy) is 2.7 eV as compared to 6 eV to form a cation Frenkel pair. Therefore the main defects in  $\text{CaF}_2$  are related to fluoride: F, H, and  $\text{V}_k$  centers. The F center is an electron trapped at the location of a fluoride vacancy, which is similar to an electron in a box. Therefore the F center has rich optical absorption and emission bands. The H center is a fluoride interstitial that shares a trapped hole with a lattice fluoride, thereby creating an  $\text{F}_2^-$  dimer with high mobility. The  $\text{V}_k$  center is a trapped hole shared between two lattice fluorides, thereby also creating an  $\text{F}_2^-$  dimer.

The energy deposited by  $^{229}\text{Th}$  and its daughters through  $\alpha$  and  $\beta$  decay is in the range of 100 keV to 8.5 MeV per decay. Such a high-energy excitation will produce core shell holes and highly excited electrons. These will decay through various mechanisms such as Auger electrons, x-ray emission, and plasmons to electron-hole pairs (12.2-eV formation energy), and self-trapped excitons (STEs, formation energy 11.18 eV, absorption bands at 282 and 482 nm, emission bands from 200 to 500 nm). The electron-hole pair can annihilate under photon emission, nonradiatively creating a separate F and H center in the lattice (imperfect damaged crystal), or decay to a STE. The STE initially constitutes of a self-trapped-hole ( $\text{V}_k$  center) and a captured electron but quickly decays to an F and H pair. The F-H pair again either annihilates and emits a photon or decays nonradiatively, leaving a separate F and H center in the crystal.

The F center (absorption at 378 nm, emission at 585 nm) agglomerates into higher-order M (2 F), R (3 F), and N (4 F) centers and continues to form Ca metallic colloids (fluoride vacancy agglomeration in  $\text{CaF}_2$  is metallic Ca), as it is energetically favorable. The agglomeration shifts absorption and emission bands to lower energies. Most importantly, the Ca colloids absorb in two bands, from 550 to 960 nm and 160 to 200 nm, depending on their size [30]. In thorium-doped crystals these colloids absorb around 150 nm [18] due to the change in refractive index.

The H center (absorption at 310 nm) can further collapse either to an impurity trapped hole (identified at 295 nm for Th), or two H centers form an interstitial dimer (two

interstitial  $\text{F}^-$  that share a hole,  $\text{F}_2^-$ ). The dimer formation can also aggregate to form dislocation loops (as observed). Through hopping, the single (or higher order) F and H centers can again find one another and annihilate (evaporate) under photon emission, thereby again producing a perfect crystal. If a stoichiometric amount of Ca and  $\text{F}_2$  is present, this can be done through annealing at 600 °C. If not, fluoride annealing needs to be applied.

Due to the constant internal irradiation of this crystal, the above processes are dynamic and the fluorides are in motion. Defects will accumulate until a steady state of growth and evaporation of Ca colloids and H center dimers is reached. The constant irradiation also provides a constant stream of Cherenkov photons (200–122 nm). Irradiated nonstoichiometric  $\text{CaF}_2$  damages faster, as a larger amount of F and H centers is already present to compensate for nonstoichiometry, which can quickly aggregate upon irradiation to larger, more absorbing defects. The damage steady state is reached quicker, and annealing does not completely repair radiation damage. To create more transparent and radiation-resistant  $\text{CaF}_2$ , fluorine needs to be added for a stoichiometric crystal.

Therefore we study the impact of the Th: $\text{CaF}_2$  crystal composition on its VUV transmission and the electronic structure of the dopant sites. Due to the  $^{229}\text{Th}$  radioactivity, radiolysis becomes the major cause of the fluorine ( $\text{F}_2$ ) loss during the growth phase. This substantial  $\text{F}_2$  loss modifies the electronic structure of the  $\text{CaF}_2$  crystal, resulting in a consequential change in its absorption profile [19]. The large resulting VUV absorption would make such a  $^{229}\text{Th}:\text{CaF}_2$  unsuitable for a nuclear optical clock.

We follow [26] and developed a safer experimental method that does not require toxic  $\text{F}_2$  gas to add fluoride ions to already grown, single-crystalline, Th-doped  $\text{CaF}_2$  and still dramatically improve the transmission profile.

We use an induction-heated carbon crucible to anneal Th: $\text{CaF}_2$  at above its superionic temperature, but below its melting temperature, in a carbon tetrafluoride ( $\text{CF}_4$ ) atmosphere. The crystal is placed in the center of an induction coil in a carbon crucible, after which the system is evacuated to approximately  $1 \times 10^{-6}$  mbar. The chamber is then filled with 1.1 bar  $\text{CF}_4$ . In the heating step, the carbon crucible is heated using the induction coil (1300 °C, heating rate 20 K/min) while the walls of the vacuum system are water-cooled, creating a steep temperature gradient. The temperature gradient causes the  $\text{CF}_4$  gas to be reactive only at the crystal surface and inert at the vacuum system walls, which significantly reduces safety concerns while ensuring the efficiency of the process. After annealing for 1 hour while holding the temperature, the system is cooled down (cooling rate 1 K/min) and at room temperature the  $\text{CF}_4$  is replaced by  $\text{N}_2$ . A schematic representation of the process is shown in Fig. 1.

Above the superionic transition temperature of  $\text{CaF}_2$  (1250 °C [37]) but below the melting temperature, fluoride anions exhibit high mobility while calcium cations remain immobile. The mobility of fluoride ions ensures their uniform distribution throughout the bulk crystal.

To produce a heavily fluoride-deficient crystal, we first performed superionic annealing in vacuum instead of a  $\text{CF}_4$  atmosphere on a  $^{232}\text{Th}:\text{CaF}_2$  crystal. Excessive fluorine loss

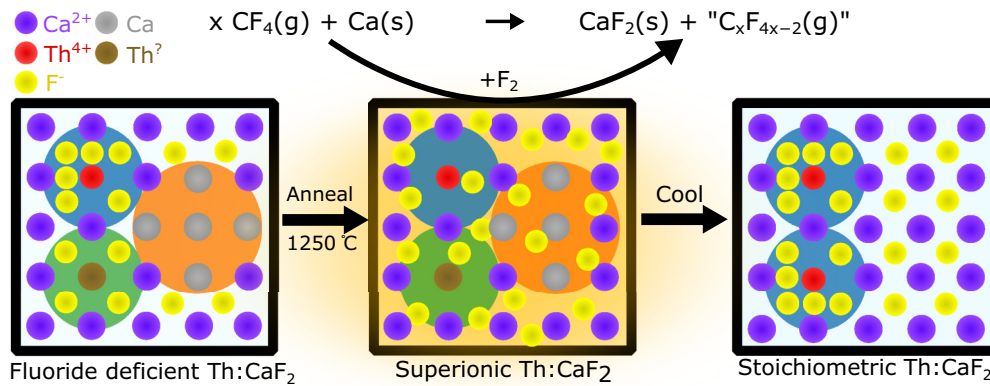


FIG. 1. Schematic representation of the fluorination cycle and the corresponding change in local crystal structure. The fluoride-deficient, imperfect crystal shows Ca metallic nanoparticles (orange region, right), Th doping with unknown surrounding and charge state (green region, bottom left), and Th stoichiometrically surrounded by fluoride ions (blue region, top left) [36]. The associated absorption peaks are identified in the text and measured in Fig. 3. Adding F atoms at superionic temperatures distributes the anions quickly through the crystal due to the increased mobility [37]. After slowly cooling, the crystal reaches a stoichiometric composition with the dopant in the ideal surrounding. The increase in blue absorption and decrease in all others is shown in Fig. 4.

from the crystal during vacuum superionic annealing for 24 hours turned an initially transparent crystal into a cloudy and opaque one (see Fig. 2, left). Annealing in an argon atmosphere was also performed, producing a similar but less deficient crystal. The formation of calcium metal colloids can explain the opacity [22]. In the following phase, which consists of CF<sub>4</sub> annealing in two cycles of one hour each, the crystal regains its visible transparency (see Fig. 2, middle and right).

Following the fluorination treatment, we observed a significant improvement in the VUV transparency of the <sup>229</sup>Th:CaF<sub>2</sub> crystals, as shown in Fig. 3. Note that this crystal was fully opaque at around 8 eV (150 nm) and hence unusable for nuclear laser spectroscopy directly after growth. After several cycles the absorption was lower as compared to the non-radioactive <sup>232</sup>Th-doped crystal, indicating CF<sub>4</sub> could improve its absorption profile as well (as was done in [13]). When comparing this absorption spectrum to pure CaF<sub>2</sub>, three absorption centers can be seen to appear and disappear. The absorption spectrum was recorded using a McPherson 204/302 VUV spectrometer, as described in the work of Beeks and Schumm [18]. As verified by  $\gamma$  spectroscopy, the annealing process did not cause any quantifiable loss of radioactivity, indicating no noticeable reduction of the <sup>229</sup>Th concentration.

To quantitatively describe the VUV absorption profile over the time of fluorination, we identify three absorption lines

(assuming a Gaussian profile) in Fig. 3. We attribute the 122-nm absorption to the Th<sup>4+</sup> charge-transfer state [38,39], the 130-nm absorption to Th ions in a different surrounding, and the broad 150-nm absorption to Ca metallic colloids [22,40]. The 130-nm absorption is likely caused by a change in the surrounding of the Th ion: either the change of charge state of the Th atom to neutral/1+/2+/3+ due to the fluoride deficiency, reaction of the nonstoichiometric CaF<sub>2</sub> with oxygen in the air thereby replacing the F atoms surrounding Th by O atoms, or a change in charge compensation mechanism to, for example, a Ca vacancy [12,13]. Investigations of the 130-nm absorption line are ongoing. In Fig. 4 it can be seen that in low- and high-doped crystals the 122-nm absorption increases

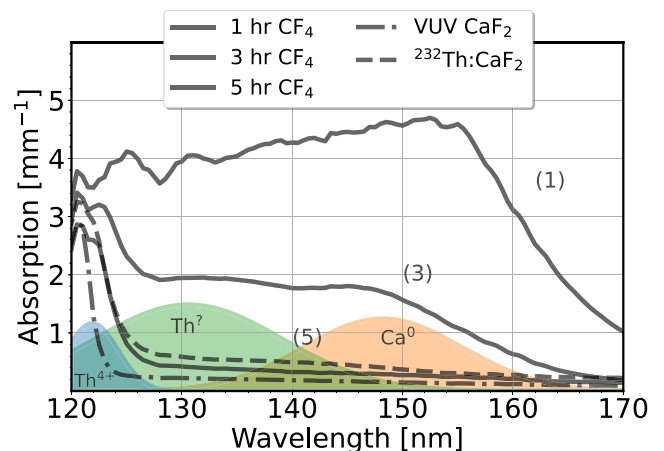


FIG. 3. VUV absorption spectrum of a  $6 \times 10^{18} \text{ cm}^{-3}$  doped <sup>229</sup>Th:CaF<sub>2</sub> crystal for different superionic fluoride annealing durations (numbers indicate annealing time). To compare, absorption of a <sup>232</sup>Th:CaF<sub>2</sub> crystal with similar doping concentration without fluoride annealing is plotted, and that of a VUV-grade pure CaF<sub>2</sub> sample. The three absorption line centers shown in Fig. 1 are fitted with Gaussian functions, while compensating for the undoped CaF<sub>2</sub> absorption background, and drawn in the figure with the same colors and indicated defect.

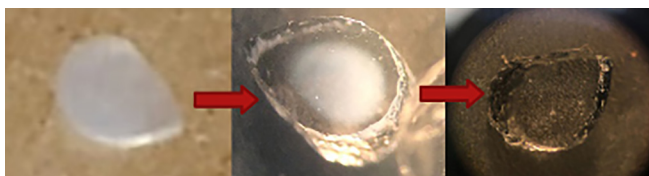


FIG. 2. Annealing of a fluoride-deficient <sup>232</sup>Th:CaF<sub>2</sub> crystal in two steps, each step lasting one hour. Ca colloids absorb and scatter heavily in the visible region [22]. It is clearly seen that after the first cycle the opaqueness recedes to the center, while after the second fluorination step the crystal has fully regained optical transmission.

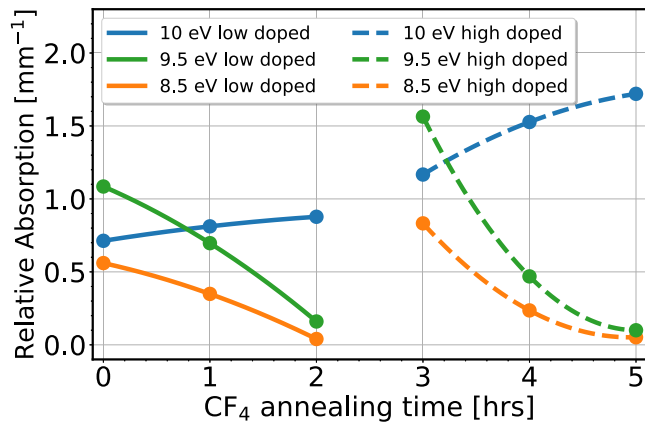


FIG. 4. Intensities of the three absorption lines relative to undoped CaF<sub>2</sub> identified in Figs. 1 and 3 at 121.9, 130.5, and 148.2 nm or approximately 10, 9.5, and 8.5 eV are plotted as a function of superionic fluoride transfer time for two <sup>229</sup>Th:CaF<sub>2</sub> crystals ( $1 \times 10^{18}$  and  $6 \times 10^{18}$  cm<sup>-3</sup>). Data points are connected with splines to lead the eye.

with cycle number, indicating an increase in Th<sup>4+</sup> with a fully charge-compensated surrounding. The other two absorption lines assigned to Ca colloids and Th in a different surrounding both diminish. Effectively, the electronic structure of the Th dopants is manipulated by adding fluoride ions to the deficient crystal.

After fluorination of the <sup>229</sup>Th:CaF<sub>2</sub>, no change in color is observed in the crystal over the course of a year, as opposed to the original fluoride-deficient crystals [19]. The VUV transmission decreased from 50% to 35% over the course of one year, as opposed to complete VUV opacity in three days for nonfluorinated crystals. The original transmission could be completely regained through superionic fluoride transfer. After a year, no other changes in the radioactive crystals are observed, such as cracking; thus it is concluded the radiation hardness significantly increased after fluorination, as predicted.

Alternative methods of fluorination of low-doped <sup>229</sup>Th:CaF<sub>2</sub> crystals were also tested. Highly fluoride-deficient crystals (opaque) were treated using three different methods.

The first method was annealing in an F<sub>2</sub> atmosphere. A Mg sample holder was passivated for two days at 600 °C (heating rate 4 K h<sup>-1</sup>, cooling rate 1 K min<sup>-1</sup>) in an F<sub>2</sub> flow (20% F<sub>2</sub> in N<sub>2</sub> atmosphere, 5 cm<sup>3</sup> min<sup>-1</sup>). The <sup>229</sup>Th:CaF<sub>2</sub> crystal was placed in the sample holder and was fluorinated for five days at 600 °C (heating rate 4 K h<sup>-1</sup>, cooling rate 1 K min<sup>-1</sup>) in an F<sub>2</sub> flow (20% F<sub>2</sub> in N<sub>2</sub> atmosphere, 5 cm<sup>3</sup> min<sup>-1</sup>).

The second method was treatment using an NF<sub>3</sub> plasma. In this method a Ni sample holder was passivated in a fluorine plasma at room temperature for one hour. The <sup>229</sup>Th:CaF<sub>2</sub> crystal was placed in the sample holder and was fluorinated in a fluorine plasma at room temperature for three hours. NF<sub>3</sub> was used as feeding gas with a flow rate of 30 cm<sup>3</sup> min<sup>-1</sup>.

The third method was treatment in an F<sub>2</sub>-filled autoclave. In this method a Ni sample holder was passivated for one day at 400 °C and approximately 400 bar (heating rate 100 K h<sup>-1</sup>, cooling rate 4 K min<sup>-1</sup>, 20% F<sub>2</sub> in Ar atmosphere). The <sup>229</sup>Th:CaF<sub>2</sub> crystal was placed in the Ni sample holder and

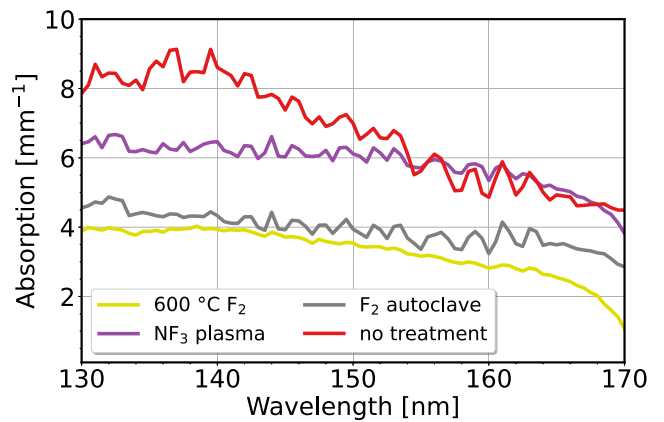


FIG. 5. Absorption spectra of different segments of a  $1 \times 10^{-17}$  cm<sup>-3</sup> doped <sup>229</sup>Th:CaF<sub>2</sub> crystal that was grown using 1 MBq of activity for different fluoride treatments. No reliable data could be obtained below 130 nm due to the high absorption.

was high-pressure fluorinated at 400 °C and approximately 400 bar for five days (heating rate 100 K h<sup>-1</sup>, cooling rate 4 K min<sup>-1</sup>, 50% F<sub>2</sub> in Ar atmosphere). The resulting crystals still displayed high absorption, as can be seen in Fig. 5. The five days of F<sub>2</sub> annealing at 600 °C displayed results similar to one hour of CF<sub>4</sub> annealing at 1250 °C.

Compared to alternative treatments, only superionic annealing under CF<sub>4</sub> atmosphere demonstrated a significant improvement in the VUV transmission (compare Fig. 3 with Fig. 5). Consequently, it can be concluded that the superionic state of CaF<sub>2</sub> allows for efficient and rapid homogeneous distribution of the acquired fluoride ions. This contrasts with other methods where the solid phase of the crystal prevents fluoride ion diffusion through the crystal.

Many facts have been gathered on <sup>229</sup>Th:CaF<sub>2</sub>: The observed absorption centers at 122, 130, and 150 nm, their change with fluoride content, and the known fluorescence of <sup>229</sup>Th:CaF<sub>2</sub> at 184 nm [2] (and at 168, 230, 238, 250, and 295 nm [18]). Aside from the 150-nm absorption that has been identified as Ca nanoparticles [22], we can speculate on the origin of the other absorption and fluorescence bands. We will compare thorium with chemical analogs such as cerium and hafnium.

We use the cerium analog to compare spectroscopic data in CaF<sub>2</sub>, as its 4+ and more common 3+ states have similar electronic configuration. Due to relativistic effects, the differences between Ce and Th might be large. The hafnium analog we use for the charge state that the thorium can assume or its oxidation state in the chemical reactions. Neutral hafnium has a similar valence electron configuration ( $5d^26s^2$  vs  $6d^27s^2$ ) and chemically behaves similar to thorium. Just as thorium, hafnium almost exclusively takes a 4+ charge state, as opposed to cerium.

It is known that the rare earths in CaF<sub>2</sub> reduce upon x-ray irradiation [41]. Hf<sup>4+</sup> doped in YPO<sub>4</sub> can be reduced to Hf<sup>3+</sup> by x-ray irradiation [42], and it is known that the actinides reduce through self-irradiation in CaF<sub>2</sub> [43]. We thus speculate that thorium undergoes the same process from 4+ to 3+. As F centers and electrons in the conduction band are



constantly produced, the  $\text{Th}^{4+}$  cations will attract them through the Coulomb force more strongly compared to  $\text{Ca}^{2+}$  cations. Through the change of absorption intensities with fluoride annealing we assert that the change in charge state is not only through irradiation but also stoichiometry. It can be argued that these are the same processes: locally, the creation of F centers through irradiation creates nonstoichiometry, thereby changing the charge state of the dopant.

Comparing  $\text{Th}^{3+}$  to  $\text{Ce}^{3+}$  doped in  $\text{CaF}_2$ , we can find that  $\text{Ce}^{3+}$  has several  $4f^n$  to  $4f^{n-1}5d$  excitations around 180 nm [44,45]. The bare  $\text{Th}^{3+}$  ion has a strong  $6d$  to  $7p$  line at 170 nm [46]. Fluorescence lines in the VUV are known to exist for 3+ heavy rare-earth ions in  $\text{CaF}_2$  [47]. We speculate that the fluoride-deficient  $\text{CaF}_2$  contains some  $\text{Th}^{3+}$  that is optically active through absorption at 130 nm and emission at 168 and 180 nm. Through addition of fluoride these disappear and only the  $\text{Th}^{4+}$  absorption at 120 nm remains. This charge-transfer state was predicted [38,39] and would constitute creating an electron-hole pair on the thorium dopant and a neighboring fluoride. We speculate that this pair decays to a defect-stabilized  $V_k$  plus electron center [48], which emits at 295 nm [18].

This report demonstrates the enhancement of optical transmission in ionic crystals through superionic fluoride transfer. This superionic state substantially decreases the treatment duration. Furthermore, our findings suggest the possibility of controlling the dopant surrounding in  $\text{CaF}_2$  by the addition or removal of  $\text{F}^-$ , although the removal process carries a potential risk of creating Ca metallic nanoparticles. We have developed a simple and safe method of fluorine manipulation in fluoride ionic crystals. Utilizing this method, we are able to fabricate highly transparent, heavily doped  $^{229}\text{Th}:\text{CaF}_2$  crystals for a solid-state nuclear clock. The manipulation of the electronic structure brings the potential for advancements in optics, scintillator, and laser crystal development by optimizing light absorption and emission through control of dopant and cation surroundings (e.g.,  $\text{Eu}:\text{CaF}_2$ ).

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