Design of spin-orbital texture in ferromagnetic*/***topological insulator interfaces**

A. L. Araúj[o](https://orcid.org/0000-0002-6835-6113) \bullet , ^{1,*} F. Cr[a](https://orcid.org/0000-0002-2937-2620)sto de Lima \bullet , ^{1,†} C. H. Lewenkop[f](https://orcid.org/0000-0002-2053-2798) \bullet ,² and A. Fazzio^{1,‡}

¹*Ilum School of Science, Brazilian Center for Research in Energy and Materials (CNPEM), Campinas 13083-970, São Paulo, Brazil* ²*Instituto de Física, Universidade Federal Fluminense, Niterói 24210-346, Rio de Janeiro, Brazil*

(Received 17 November 2023; revised 5 January 2024; accepted 9 February 2024; published 27 February 2024)

Spin-orbital texture in topological insulators due to the spin locking with the electron momentum play an important role in spintronic phenomena that arise from the interplay between charge and spin degrees of freedom. We have explored interfaces between a ferromagnetic system (CrI₃) and a topological insulator (Bi₂Se₃) that allow the manipulation of spin-orbital texture. Within an *ab initio* approach we have extracted the spin-orbitaltexture dependence of experimentally achievable interface designs. The presence of the ferromagnetic system introduces anisotropic transport of the electronic spin and charge. From a parametrized Hamiltonian model we capture the anisotropic backscattering behavior, showing its extension to other ferromagnetic/topological insulator interfaces. We verified that the van der Waals TI/MI interface is an excellent platform for controlling the spin degree of freedom arising from topological states, providing a rich family of unconventional spin-texture configurations.

DOI: [10.1103/PhysRevB.109.085142](https://doi.org/10.1103/PhysRevB.109.085142)

I. INTRODUCTION

Recent years have witnessed an enormous growth in the interest in the role played by symmetry, dimensionality, and topology in quantum properties of condensed matter and material science systems. The study and manipulation of the spin-orbit coupling (SOC) and the spin degree of freedom is key for advancing this line of research and revealed a plethora of new fascinating phenomena, such as quantum Hall effects [\[1\]](#page-6-0), charge/spin interplay effects [\[2,3\]](#page-6-0), that lead to many spintronic applications [\[4\]](#page-6-0).

Since SOC occurs through the response of the electron's spin to the nuclear electric field or in response to a polarization field resulting from symmetry breaking, efficient control of the spin configuration of electronic states has been achieved by manipulation of their inversion and/or time-reversal (TRS) symmetries. For instance, in materials without inversion symmetry, spin polarization is accompanied by a spin splitting of electronic states and the appearance of a chiral spin texture due to a spin-momentum locking, through Rashba [\[5](#page-6-0)[–7\]](#page-7-0) and Dresselhaus [\[8\]](#page-7-0) effects.

The SOC also plays a central role in the topological states of matter, where the nontrivial phase of the bulk is usually due to a band inversion at the Fermi level. Topological insulators (TI) are characterized by the presence of insulating bulk states but metallic states at the interfaces with topologically trivial systems [\[9,10\]](#page-7-0), which also exhibit a spin-momentum locking and a helical spin texture with opposite chiralities of spin. These states are robust against external perturbations due to the presence of TRS, which suppresses backscattering processes. In two-dimensional TIs this is manifest by dissipationless edge modes responsible for a quantized conductance

spintronics is to increase the carrier lifetime by suppressing the spin relaxation interaction. One path to achieve this goal is to obtain a material where the spin configuration is uniform in space and independent of the moment, configuring the persistent spin helix (PSH), where electron motion is accompanied by spin precession [\[16\]](#page-7-0). The advancement of spintronics is not only closely tied to the ability to obtain spin-textured surface states but primarily to the capacity to manipulate the configuration of such states. Substantial effort is currently dedicated to this task, particularly concerning the investigation of spin texture originating from topological materials (such as topological insulators [\[9,10,17–20\]](#page-7-0), Weyl semimetals $[21,22]$ or materials with inversion asymmetry. In this context, in recent years research on topological insulator/magnetic insulator (TI/MI) interfaces for spintronic applications has been driven by the quest for efficient charge-spin interconversion mechanisms [\[23\]](#page-7-0) and spin-torque transfer $[24,25]$, the latter being a promising effect for the develop-

[\[9–11\]](#page-7-0), while in three-dimensional TIs—which is the focus of this paper—the electronic transport properties are somewhat more subtle [\[12,13\]](#page-7-0). One of the main characteristics of chiral spin texture is that they can be exploited to generate out-of-equilibrium spin polarizations, a process directly linked to one of the primary objectives of spintronics: at room temperature achieving an efficient mechanism for interconversion between pure spin excitation and an electrical signal [\[14,15\]](#page-7-0), with the Rashba-Edelstein (REE) [\[2\]](#page-6-0) and the inverse Rashba-Edelstein (IREE) [\[3\]](#page-6-0) effects being among the most studied. Additionally, another challenge faced by

ment of nonvolatile and low-power magnetic memories [\[24\]](#page-7-0). Additionally, for practical applications, TI/MI heterostructures with van der Waals (vdW) coupling are excellent candidates for spintronics, as they preserve the topological properties and spin-texture behavior of TI surface states, suppressing the occurrence of interfacial charges while protecting the ma-terial lattice avoiding the formation of structural defects [\[26\]](#page-7-0).

^{*}augusto.araujo@ilum.cnpem.br

[†]felipe.lima@ilum.cnpem.br

[‡]adalberto.fazzio@ilum.cnpem.br

In this paper, we propose using a topological insulator/ magnetic insulator van der Waals interface to enable the creation and design of a large variety of topological spin textures. Our proposal is based on a systematic study of the electronic states of a CrI3/Bi2Se3 interface using realistic *ab initio* calculations. We choose the $\text{CrI}_3/\text{Bi}_2\text{Se}_3$ interface as a study platform due to its amenable synthesis and recent experimental interest $[27-30]$. In addition, $Bi₂Se₃$ is one of the most theoretically and experimentally studied three-dimensional (3D) topological insulators $[31–35]$, whereas CrI₃ stands as a robust ferromagnetic (FM) insulator [\[28,36,37\]](#page-7-0), being a promising platform for two-dimensional (2D) magnetism due to its magnetic anisotropy [\[36,37\]](#page-7-0), and all-optical control of magnetization [\[38\]](#page-7-0). We provide an interpretation of the results employing a simple effective model that captures the main features of coupling between the TI and FM surfaces. Further, we discuss some features of the disorder scattering of electrons in TI states depending on the FM magnetization direction.

This paper is organized as follows: In Sec. II we present the geometry of the $\text{CrI}_3/\text{Bi}_2\text{Se}_3$ interface, the methodology used in first-principles calculations, as well as the effective Hamiltonian model that describes the interface states and the behavior of the scattering processes. In Sec. [III,](#page-2-0) we analyze the behavior and properties of spin-orbital texture and scattering rates as a function of different interface degrees of freedom. We present our conclusions in Sec. [IV.](#page-6-0)

II. METHODS

A. CrI3*/***Bi2Se3 interface**

The $\text{CrI}_3/\text{Bi}_2\text{Se}_3$ interface is constructed by considering the CrI₃ layer on the surface of the $Bi₂Se₃$ with quintuple layers (QLs) stacking, as illustrated in Fig. 1(a). To achieve a lattice match between the two materials, we adopt a supercell of $(\sqrt{3} \times \sqrt{3})$ -Bi₂Se₃, with the CrI₃ layer slightly strained (∼2.17%). It is worth pointing out that for such strain value, the $CrI₃$ system retains its ferromagnetic and insulating character. Among the possible alignments between the $Bi₂Se₃$ and CrI₃ layers, we use the lowest-energy configuration, namely, that with the Cr atom positioned atop the Se atom [\[39\]](#page-7-0). The optimized separation distance between the two materials is 3.07 Å.

B. Density functional theory

The first-principles calculations are performed using the density functional theory (DFT) [\[40,41\]](#page-7-0) within the generalized gradient approximation (GGA) for the exchange and correlation functional, employing the Perdew-Burke-Ernzerhof (PBE) parametrization [\[42\]](#page-8-0). A fully relativistic *j*-dependent pseudopotential, within the projector augmented-wave method (PAW) [\[43,44\]](#page-8-0), was used in the noncollinear spin-DFT formalism self-consistently. We use the Vienna *ab initio* simulation package (VASP) [\[45,46\]](#page-8-0), with plane-wave basis set with a cutoff energy of 400 eV. The Brillouin zone is sampled using a number of *k* points such that the total energy converges within the meV scale. The vacuum space is set to 15 Å and atomic structures are optimized requiring that the force on each atom to be less than 0.01 eV/A .

FIG. 1. (a) Side view of the CrI_3/Bi_2Se_3 interface. CrI_3 layer, indicating the in-plane (b) and out-of-plane (c) magnetization directions adopted in our calculations. (d) Electronic structure emphasizing the absence of overlap between CrI_3 and Bi_2Se_3 states in low-energy states (close to the Fermi energy E_F). The mixing of the color pattern indicates states with contributions coming from different regions of the material.

To accurately describe the strong relativistic effect produced by the Bi and I atoms, as well as the weak van der Waals interaction between the $Bi₂Se₃$ and CrI₃ layers, we include the SOC term [\[47\]](#page-8-0) and the nonlocal vdW functional (optB86b-vdW) [\[48\]](#page-8-0). Finally, the strong exchange effect due to *d* orbitals of the Cr atoms is accounted for by introducing an onsite Coulomb $U_{\text{eff}} = 2.1$ eV interaction within the $L(S)DA+U$ approximation [\[49\]](#page-8-0).

The 2D spin-orbital texture are computed on a 21×21 *k*-points grid over the $k_x k_y$ plane in the BZ. More specifically, we study the 2D spin-orbital texture over wide and small reciprocal space regions around the Γ point, namely, $-0.1(2\pi/a) \le k_x, k_y \le 0.1(2\pi/a)$ and $-0.01(2\pi/a) \le$ $k_x, k_y \leq 0.01(2\pi/a)$. The analysis of the DFT results, including band-structure plots, surface/layer contribution projections, and spin texture along 2D Fermi surfaces, has been performed using the VASProcar postprocessing code [\[50\]](#page-8-0).

C. Effective Hamiltonian

 $Bi₂Se₃$ is a 3D topological insulator with electronic surface states characterized by a Dirac-like dispersion and chiral spin texture, as shown by our DFT results (see, for instance, Figs. 1 and [5\)](#page-4-0). The surface states are nicely described by the effective Hamiltonian model

$$
\hat{H}_0 = \hbar v_{\rm F}(\mathbf{k} \times \boldsymbol{\sigma}) \cdot \hat{\mathbf{z}} \otimes \tau_z, \qquad (1)
$$

where $\sigma = (\sigma_x, \sigma_y, \sigma_z)$ are Pauli matrices operating on the electron spin degree of freedom (up/down), whereas $\tau = (\tau_x, \tau_y, \tau_z)$ act on the surface degree of freedom (top/bottom). For clarity, the 2×2 identity matrix is represented by σ_0 and τ_0 .

For thin slabs (3QLs) an intersurface coupling opens an energy gap at the vertex of the Dirac cone. Such effect is captured by adding an interlayer coupling term to the effective model, Eq. [\(1\)](#page-1-0), namely

$$
\hat{H}_D = \delta \,\sigma_0 \otimes \tau_x,\tag{2}
$$

where δ stands for the interlayer hybridization matrix element.

At the interface, the magnetic moments of the $\rm CrI₃$ couple to electronic spin of the topological surface states, changing both their energy dispersion as well as their spin texture. Figure $1(d)$ shows the energy bands of a system formed by $CrI₃$ on the surface of $Bi₂Se₃$ 5QL, with out-of-plane magnetization. Despite the magnetic moment been located at the Cr atoms, the $Bi₂Se₃$ surface states effectively feel an almost uniform magnetic field. Therefore, we can add a uniform magnetic field term H_M to the effective model Hamiltonian

$$
\hat{H}_M = \sum_{i=t,b} \mathbf{m}_i \cdot \boldsymbol{\sigma} \otimes \tau_i, \tag{3}
$$

$$
H = \begin{pmatrix} U + m_{t,z} & m_{t,x} + \hbar v_F \tilde{k}^* & \delta \\ m_{t,x} + \hbar v_F \tilde{k} & U - m_{t,z} & 0 \\ \delta & 0 & -U + m_{b,z} \\ 0 & \delta & m_{b,x} - \hbar v_F \tilde{k} \end{pmatrix}
$$

where we define $\tilde{k} = k_y + ik_x = ke^{i\theta}$ with θ with respect to the k_{y} direction.

Figure [2](#page-3-0) shows a plethora of spin texture in the 2D Fermi surfaces obtained from the study of the effective model, for different possible parameters. The most striking features are (i) The in-plane magnetization $m_{t,x}$ shifts the center of the Fermi surface in the Brillouin zone; (ii) the surface potential difference *U* changes the radius of the 2D Fermi surface, and (iii) the intersurface coupling δ hybridizes states with different helicities at the Fermi surface. For the $\text{CrI}_3/\text{Bi}_2\text{Se}_3$ interfaces, several of the effective Hamiltonian parameters are extracted from DFT calculations and are, from now on, fixed. Particularly for the $\text{CrI}_3/\text{Bi}_2\text{Se}_3$ interfaces we have extracted from the density functional theory calculations each parameter for the effective Hamiltonian. For instance, $\hbar v_F = 1.5 \text{ eV}$ for all cases; $\delta = 7.5$ meV and 0.1 meV for the 3QL and 5QL slabs, respectively; $U = 19.5$ meV and 4.9 meV for singlesurface interfaces 3QL and 5QL slabs, respectively, and zero for both surfaces interface, i.e., $\text{CrI}_3/\text{Bi}_2\text{Se}_3/\text{CrI}_3$; the magnetization term $m_{\beta,i} = 6.4$ meV for $\beta = t$, *b* and $i = x$, *z*. It is worth pointing out that the obtained parameters reproduce both the DFT low-energy dispersion, as well as the correct spin/surface subspace polarizations, as shown in the Supplemental Material [\[51\]](#page-8-0).

D. Scattering probability

In order to estimate the robustness of the different spintexture configurations against disorder, we study the scattering probability from a given initial state $|n_1, \mathbf{k}_1\rangle$ to a final state $|n_2, \mathbf{k}_2\rangle$, where n_1, n_2 stand for band indices and $\mathbf{k}_1, \mathbf{k}_2$ for the here $m_i = \hbar \mu_B B_i/2$, where B_i is the effective external magnetic field of the CrI₃ in the top $(i = t)$ and bottom $(i = b)$ interfaces, and μ_B the Bohr magneton. Note also that $\tau_{t/b} = (\tau_z \pm \tau_0)/2$ project the surface subspace, and therefore \hat{H}_M can describe a single or both surfaces interacting with a uniform magnetic field. Given the possible asymmetric configuration between top/bottom surfaces, we also add an inversion symmetry breaking term

$$
\hat{V} = U\sigma_0 \otimes \tau_z,\tag{4}
$$

with $U = eV$, *e* the electron charge and *V* the potential difference between surfaces. Here, the inversion symmetrybreaking term represents a dipole moment originated by the presence of CrI3. Similar effect can also be induced by an external electric field due to a gate.

We can write the low-energy Hamiltonian in the basis $\{|t, \uparrow\rangle, |t, \downarrow\rangle, |b, \uparrow\rangle, |b, \downarrow\rangle\}$, where t (*b*) stand for the top (bottom) surface and $\uparrow(\downarrow)$ for spin up (down) in the σ_z basis, as

$$
\begin{aligned}\n-m_{t,z} & m_{t,x} + \hbar v_F \tilde{k}^* & \delta & 0 \\
\hbar \hbar v_F \tilde{k} & U - m_{t,z} & 0 & \delta \\
\delta & 0 & -U + m_{b,z} & m_{b,x} - \hbar v_F \tilde{k}^* \\
0 & \delta & m_{b,x} - \hbar v_F \tilde{k} & -U - m_{b,z}\n\end{aligned}
$$

crystal momenta. Here $|n_j, \mathbf{k}_j\rangle = e^{i\mathbf{k} \cdot \mathbf{r}}|\tau_j, \sigma_j\rangle$, with the fourdimensional spinor $|\tau_j, \sigma_j\rangle$ given by the eigenvectors of the effective Hamiltonian. For a given impurity potential \hat{H} ['], we estimate for the transition probability between initial and final states using the Fermi golden rule

$$
\Gamma_{i\rightarrow f} = \frac{2\pi}{\hbar} |\langle n_2, \mathbf{k}_2 | \hat{H}' | n_1, \mathbf{k}_1 \rangle|^2 \rho(E_{n_2, \mathbf{k}_2}), \tag{5}
$$

where $\rho(E)$ is the density of states at the energy E .

Since we are interested in general properties of elastic scattering processes, further simplification is in order. To avoid addressing specifics of the electron-impurity cross sections, we consider disorder processes that does not hybridize different surface states, and nonmagnetic impurities that do not flip spin. Further, we address the long range disorder limit, for which the scattering lengths *as* are much larger than the electron wave length λ , namely, $a_s \gg \lambda$. Hence, we can approximate the transition matrix squared by a product of a function that depends on some average impurity potential times a four-dimensional spinor (spin+surface) projection and write

$$
|\langle n_2, \mathbf{k}_2 | \hat{H}' | n_1, \mathbf{k}_1 \rangle|^2 \propto |\langle \tau_2, \sigma_2 | \tau_1, \sigma_1 \rangle|^2. \tag{6}
$$

Consequently, $\Gamma_{i \to f} \propto |\langle \tau_2, \sigma_2 | \tau_1, \sigma_1 \rangle|^2$. These approximations allow us to study the impurity-independent term and to infer some anisotropic features of disorder scattering processes.

III. RESULTS AND DISCUSSION

Here we present a comprehensive *ab initio* characterization of the electronic structure and spin-orbital texture behavior

FIG. 2. Families of spin texture and 2D Fermi surfaces generated by the effective Hamiltonian, by modulating the different degrees of freedom of the TI/MI interface. The red and blue curves indicate states centered at different surfaces, that is, with different helicities (direction indicated by the arrows). Fermi surface with hybridized helicities are depicted in black dashed curves. The absent Fermi surface in the upper panel is due to a gap energy over the surface states.

of $\rm CrI_3/Bi_2Se_3$ interfaces. In addition, we interpret the underlying physics in terms of the effective Hamiltonian and scattering probabilities introduced above.

Our calculations explore different system settings and configurations, namely, (i) finite-size effects caused by a TI slab width, (ii) the magnetization direction of the FM, and (iii) the presence of CrI₃ in the slab interface (single or both surfaces). The interplay of the effects corresponding to the latter settings give rise to a rich variety of emergent spin-orbital texture configurations.

A. Low-energy dispersion and 2D Fermi surfaces

The control of finite-size effects is achieved by varying the thickness of the $Bi₂Se₃$ stacking. We show that, while there is a significant surface-states hybridization in 3 QLs systems, the coupling is practically absent in 5 QLs. This is inferred by observing that for thinner slabs (3 QLs), the topological surface states from opposing surfaces are coupled, opening an energy gap at the crossing of states with the same spin. This overlap is suppressed in 5QLs slabs ($\delta \sim 0$). For instance, comparing Fig. $3(a)$ with Fig. $3(b)$, corresponding to 5QL and 3QL systems, respectively, (keeping other degrees of freedom fixed) we see a gap opening and a superposition between top (red) and bottom (blue) surface states. In those systems, there is a $CrI₃/Bi₂Se₃$ interface only at one of the $Bi₂Se₃$ slab surfaces, with the CrI₃ magnetization normal to the interface, namely,

FIG. 3. Out-of-plane magnetization. DFT: Projection of the top and bottom surfaces contribution to the low-energy dispersion, and spin texture over the 2D Fermi surfaces, where the size and color of the arrows represent the contribution surfaces to the spin vector (S_x, S_y) in the (k_x, k_y) plane. Model: Scattering probability, for different Fermi energies (in eV).

 $nQL + 1CrI_3(m_7)$ with $n = 3$ and 5. Figure $3(c)$ shows that the out-of-plane magnetization hybridizes the in-plane spin polarization opening an energy gap, but the top/bottom surface states remain almost orthogonal. The 3QL system behaves differently, namely, here the intersurface coupling hybridizes the top/bottom surface subspaces, see Fig. $3(d)$. Interestingly, the out-of-plane magnetization and intersurface coupling term in $Bi₂Se₃/CrI₃$ system have equal strength in the Hamiltonian $m_{i,z} \approx \delta$. Such synergy leads to the recovery of the Dirac cone in the band structure. (A systematic study of the δ and m_z parameters dependence of the effective model Hamiltonian is presented in the Supplemental Material [\[51\]](#page-8-0)).

Regarding the magnetization direction of $CrI₃$, we analyzed the magnetizations in-plane (m_x) and out-of-plane (m_z) . The main effect of m_z magnetization is to induce an energy gap in the surface states adjacent to the $CrI₃$ layer, due to the introduction of coupling between states of the same surface

FIG. 4. In-plane magnetization. DFT: Projection of the top and bottom surfaces contribution to the low-energy dispersion, and spin texture over the 2D Fermi surfaces, where the size and color of the arrows represent the contribution surfaces to the spin vector (S_x, S_y) in the (k_x, k_y) plane. Model: Scattering probability, for different Fermi energies (in eV).

and opposite spins (terms $m_{t/b,z}$ in the Hamiltonian). Additionally, there is a Zeeman-like energy shift of the Dirac states, lifting the degeneracy of the surface states, as shown in Fig. [3.](#page-3-0)

In turn, the in-plane m_x magnetization preserves the crossing of the Dirac cones. However, an efficient switching occurs between the magnetic-moment direction and the adjacent surface states, resulting in a momentum shift of the states perpendicular to the magnetization direction, whose direction is associated with helicity of the spin texture, leading to the breaking of Fermi contours concentricity, as shown in Fig. 4. Hence, by modulating the intensity and direction of magnetization, this allows for the control of the direction and shape of both the energy dispersion and the spin-component orientation of the topological states of $Bi₂Se₃$, a feature which is potentially advantageous for spintronic applications. Conversely, the reciprocal effect of this coupling can enable

FIG. 5. Spin-orbital texture (near the Γ point) corresponding to the p_x , p_y , and p_z orbitals of the surface states of a 5 QL stacking of $Bi₂Se₃$.

electrical control of the magnetization direction of $CrI₃$ when a charge current is introduced in $Bi₂Se₃$, given the wellestablished presence of the spin-orbit torque (SOT) effect mediated by REE in TI/FM interfaces with in-plane magnetization [\[24,](#page-7-0)[52\]](#page-8-0), with potential application for the development of magnetic memories.

B. Spin-orbital texture

The surface states of $Bi₂Se₃$ slabs show a very strong contribution from the p_z orbital, which is responsible for their helical spin texture, with a spin-momentum locking characteristic of topological states. Meanwhile, the in-plane p_x and p_y orbitals induce more complex and opposing spin texture, which cancel each other out, as seen in Fig. 5 for the upper Dirac cone of a 5QL stacking of $Bi₂Se₃$. Interestingly, several studies have reported $[53-57]$ that in $Bi₂Se₃$, in addition to the usual spin-momentum locking, the spin texture is tied to the orbital texture, giving rise to the so-called spin-orbital texture, with the p_z orbital perpendicular to the layer's plane, while the p_x and p_y orbitals, respectively, form a radial and tangential pattern for the upper Dirac cone, and vice versa for the lower cone.

For each of the analyzed CrI_3/Bi_2Se_3 interface configurations, we have examined the behavior of the spin-orbital texture arising from the p_x , p_y , and p_z orbitals. By comparison with the texture pattern originating from the stacking of pristine $Bi₂Se₃$ (see Fig. 5), we have verified that the main effects consist of the relative shifts in energy and momentum of the texture derived from opposite surfaces, as well as a blending of the texture patterns due to overlapping states. However, the predominant features of the spin texture from the p_z orbital, and the mutual cancellation of texture related to the p_x and p_y orbitals, remain unchanged, indicating that the magnetization originating from the Cr atom does not substantially modify the orbital distribution of the topological states of $Bi₂Se₃$. Due to the fact that the spin-orbital texture associated with the p_z full is equivalent to the complete spin texture of the topological state, it is therefore relevant to scattering processes.

Regarding the p_z spin-orbital texture, all the configurations we analyzed preserve the spin-momentum locking at the 2D Fermi surface, except for the $3QL + 2CrI_3(m_r)$ configuration [see Fig. $4(d)$]. For this specific case, we observe that the spin configuration near the Γ point becomes uniform in space and independent of momentum. Interestingly, this is a signature of a persistent spin helix (PSH) [\[58\]](#page-8-0). Additionally, we verify that the resulting spin texture aligns perpendicularly to the magnetization direction [\[51\]](#page-8-0). Thus, it can be controlled through the tuning of the in-plane magnetization direction. This result aligns with one of the challenges in spintronics, which is obtaining a material where PSH is an intrinsic, controllable, and robust property of the system.

Another unusual spin texture occurs for a similar configuration, now with out-of-plane magnetization [see Fig. [3\(d\)\]](#page-3-0). In the $3QL + 2CrI_3(m_7)$ configuration, the combination of m_z magnetization on both surfaces with the hybridization effect leads each state to be distributed throughout the $Bi₂Se₃$ stacking and exhibit a null net spin texture. However, when projecting the spin components onto each surface, we observe spin texture with opposite chiralities, similar to those of the nonhybridized surface state, but here, each state has equal contributions from both surfaces.

C. Anisotropic scattering

Given the 2D nature of the topological surface states in $Bi₂Se₃$, we have analyzed the elastic scattering amplitude between any two states, that captures both the collinear (forward and backscattering) as well as noncollinear scattering. Here, we analyze the scattering profile by setting the incoming state $|n_1, \mathbf{k}_1\rangle$ as a top surface state (colored in red in Figs. [3](#page-3-0)) and [4\)](#page-4-0). We summarized our results in Figs. 6 and [7,](#page-6-0) depicting the scattering profile for out-of-plane and in-plane CrI3 magnetizations, respectively. The most notable features are an isotropic behavior of the scattering for the out-of-plane magnetization (m_z) in Fig. 6 and an anisotropic behavior for the in-plane magnetization (m_x) in Fig. [7.](#page-6-0)

For interfaces with in-plane magnetization, we find that the combination of the *k*-moment shift of the Dirac cones on the surface covered by $CrI₃$, with the overlapping of the wave functions between opposite surface states, promotes a strong anisotropy of the scattering profile as a function of the propagation direction of the initial state, which intensifies in the low-energy limit (see Figs. [4](#page-4-0) and [7\)](#page-6-0). However, the $5QL + 1CrI₃(m_x)$ configuration [Fig. [4\(a\)\]](#page-4-0) does not present anisotropy, given the nondisplaced Dirac cone at *k* moment $(CrI₃$ free surface). For this specific case, the intersurface overlap term δ does not affect the scattering, as (i) the intersection between states (above the Fermi level) only occurs at a single *k* point along the entire BZ and (ii) is smaller for 5QL system.

Back scattering is expected to be favored in 3QL stacking due to intersurface hybridization caused by finite size effects. This is in line with the results shown in Figs. $6(b)$ and $7(b)$ for lower values of E_F . At larger values of E_F , backscattering is

FIG. 6. Out-of-plane magnetization: Scattering probabilities as a function of E_F and propagation direction of the state along the BZ (each figure within the same frame refers to a specific position at moment \mathbf{k}_1 of the initial state, respectively, forming angles of 0° , 45° and 90 \circ with respect to the k_x axis).

suppressed. Interestingly, the $3QL + 2CrI_3(m_7)$ configuration [Fig. $3(d)$], deviates from this behavior and shows an unusual and robust protection against backscattering for any analyzed energy value. Here a resonance occurs between the interlayer coupling term δ and the magnetization terms $m_{t/b,z}$, from DFT calculations we verify $\delta \approx m_{t/b,z}$. This resonance introduces an additional symmetry in the system: although time reversal (TR) is broken, a combination of TR and inversion is still preserved $([T\mathcal{T}])$ leading to the backscattering protection. On the other hand, in systems with 5QL backscattering, protection is due to the suppression of overlap between surface states with opposite spin chiralities, as seen in Figs. [3](#page-3-0) and [4.](#page-4-0) However, in the $5QL + 2CrI_3(m_z)$ configuration [Fig. [3\(c\)\]](#page-3-0) in the region near the Γ point, the states acquire a finite S_z component with the same direction, which in addition to the small (but finite) overlap between the wave functions, results in the breakdown of protection against backscattering.

Finally, we emphasize that the effects mentioned above are more prominent in the low-energy limit, as the state is shifted

FIG. 7. In-plane magnetization: Scattering probabilities as a function of E_F and deflection angle of the state along the BZ. Each figure within the same frame refers to a different orientation of the initial state \mathbf{k}_1 namely, 0° , 45° , and 90° with respect to the k_x axis.

to higher energies, the effects of the coupling terms cease to be significant, with the behavior of the pristine topological state (mainly protection against backscattering), being gradually reestablished.

IV. CONCLUSIONS

In conclusion, we have demonstrated that the surface states of a 3D topological insulator can give origin of a rich family of unconventional spin-orbital texture configurations on the 2D Fermi surfaces when in contact with a 2D ferromagnetic insulator. Further, we show that such van der Waals interface provides a simple handler to control over the spin degree of freedom. By mapping each experimentally achievable texture configuration and estimating the corresponding scattering rates, we observe that the scattering of states due to the breaking of time-reversal symmetry is significant only at low-energy scales (\sim 10 meV). The expected robustness of topological states is reestablished at higher energy values, even in the presence of magnetization.

Additionally, we note that magnetization in the plane of the ferromagnetic layers induces anisotropy in transport phenomena and efficient switching between the directions of the magnetic moment and spin orientation. Furthermore, we find that properties of interest in spintronics can be obtained for specific interface configurations when finite-sized effects are present. These include robust protection against backscattering in the presence of magnetization $[3QL + 2CrI_3(m_7)]$ and a unidirectional spin configuration independent of momentum near the Γ point $[3QL + 2Cr_3(m_x)].$

We believe that these results can provide an efficient guide for manipulating spin-polarized states in topological systems, aiming to enhance control over emerging surface phenomena. This includes improving spintronic mechanisms directly related to spin-texture configuration, such as charge/spin interconversion, spin relaxation-time suppression, and spintorque transfer, as well as aiding in the modulation of transport properties and the development of devices with lower energy loss. For instance, magnetic-field-effect transistors were proposed in topologically trivial materials [\[59\]](#page-8-0) where control over the spin-polarized current is achieved by external manipulation of a magnetic material. Here, we show that the anisotropic scattering can be generated and controlled by the magnetic interfacing system. Such anisotropy dictates that, for some particular directions, the backscattering protection is still present, while it can be "turned on/off" in other directions.

ACKNOWLEDGMENTS

The authors acknowledge financial support from the Brazilian agencies FAPESP (Grants No. 2022/08478-6, No. 2023/12336-5, No. 2019/20857-0, and No. 2017/02317- 2), CNPq (INCT - Materials Informatics and INCT - Nanocarbono), FAPERJ, and LNCC - Laboratório Nacional de Computação Científica for computer time (Projects No. ScafMat2 and No. EMT2D).

- [1] J. Sinova, S. O. Valenzuela, J. Wunderlich, C. H. Back, and T. Jungwirth, Spin Hall effects, [Rev. Mod. Phys.](https://doi.org/10.1103/RevModPhys.87.1213) **87**, 1213 (2015).
- [2] V. M. Edelstein, Spin polarization of conduction electrons induced by electric current in two-dimensional asymmetric electron systems, [Solid State Commun.](https://doi.org/10.1016/0038-1098(90)90963-C) **73**, 233 (1990).
- [3] S. D. Ganichev, E. L. Ivchenko, V. V. Bel'kov, S. A. Tarasenko, M. Sollinger, D. Weiss, W. Wegscheider, and W. Prettl, Spingalvanic effect, [Nature \(London\)](https://doi.org/10.1038/417153a) **417**, 153 (2002).
- [4] I. Žutić, J. Fabian, and S. D. Sarma, Spintronics: Fundamentals and applications, [Rev. Mod. Phys.](https://doi.org/10.1103/RevModPhys.76.323) **76**, 323 (2004).
- [5] E. I. Rashba, Properties of semiconductors with an extremum loop. I. Cyclotron and combinational resonance in a mag[netic field perpendicular to the plane of the loop,](https://cir.nii.ac.jp/crid/1571698600346713472) Sov. Phys.- Solid State **[2](https://cir.nii.ac.jp/crid/1571698600346713472)**, [1109](https://cir.nii.ac.jp/crid/1571698600346713472) [\(1960\)](https://cir.nii.ac.jp/crid/1571698600346713472).
- [6] Y. A. Bychkov and E. I. Rashba, Properties of a 2D electron gas with lifted spectral degeneracy, [JETP Lett.](http://jetpletters.ru/ps/1264/article_19121.shtml) **[39](http://jetpletters.ru/ps/1264/article_19121.shtml)**, [78](http://jetpletters.ru/ps/1264/article_19121.shtml) [\(1984\)](http://jetpletters.ru/ps/1264/article_19121.shtml).
- [7] C. Mera Acosta, O. Babilonia, L. Abdalla, and A. Fazzio, Unconventional spin texture in a noncentrosymmetric quantum spin Hall insulator, Phys. Rev. B **94**[, 041302\(R\) \(2016\).](https://doi.org/10.1103/PhysRevB.94.041302)
- [8] G. Dresselhaus, Spin-orbit coupling effects in zinc blende structures, Phys. Rev. **100**[, 580 \(1955\).](https://doi.org/10.1103/PhysRev.100.580)
- [9] M. Z. Hasan and C. L. Kane, Colloquium: Topological insulators, [Rev. Mod. Phys.](https://doi.org/10.1103/RevModPhys.82.3045) **82**, 3045 (2010).
- [10] X.-L. Qi and S.-C. Zhang, Topological insulators and superconductors, [Rev. Mod. Phys.](https://doi.org/10.1103/RevModPhys.83.1057) **83**, 1057 (2011).
- [11] L. R. F. Lima and C. Lewenkopf, Breakdown of topological protection due to nonmagnetic edge disorder in two-dimensional [materials in the quantum spin Hall phase,](https://doi.org/10.1103/PhysRevB.106.245408) Phys. Rev. B **106**, 245408 (2022).
- [12] M. Z. Hasan and J. E. Moore, Three-dimensional topological insulators, [Annu. Rev. Condens. Matter Phys.](https://doi.org/10.1146/annurev-conmatphys-062910-140432) **2**, 55 (2011).
- [13] J. H. Bardarson and J. E. Moore, Quantum interference and [Aharonov–Bohm oscillations in topological insulators,](https://doi.org/10.1088/0034-4885/76/5/056501) Rep. Prog. Phys. **76**, 056501 (2013).
- [14] S. A. Wolf, D. D. Awschalom, R. A. Buhrman, J. M. Daughton, S. von Molnár, M. L. Roukes, A. Y. Chtchelkanova, and D. M. Treger, Spintronics: A spin-based electronics vision for the future, Science **294**[, 1488 \(2001\).](https://doi.org/10.1126/science.1065389)
- [15] A. Hirohata, K. Yamada, Y. Nakatani, I.-L. Prejbeanu, B. Diény, P. Pirro, and B. Hillebrands, Review on spintronics: Principles [and device applications,](https://doi.org/10.1016/j.jmmm.2020.166711) J. Magn. Magn. Mater. **509**, 166711 (2020).
- [16] L. L. Tao and E. Y. Tsymbal, Persistent spin texture enforced by symmetry, [Nat. Commun.](https://doi.org/10.1038/s41467-018-05137-0) **9**, 2763 (2018).
- [17] [L. Fu, Topological crystalline insulators,](https://doi.org/10.1103/PhysRevLett.106.106802) Phys. Rev. Lett. **106**, 106802 (2011).
- [18] Y. Ando and L. Fu, Topological crystalline insulators and topo[logical superconductors: From concepts to materials,](https://doi.org/10.1146/annurev-conmatphys-031214-014501) Annu. Rev. Condens. Matter Phys. **6**, 361 (2015).
- [19] G. J. Ferreira and D. Loss, Magnetically defined qubits [on 3D topological insulators,](https://doi.org/10.1103/PhysRevLett.111.106802) Phys. Rev. Lett. **111**, 106802 (2013).
- [20] L. Seixas, D. West, A. Fazzio, and S. B. Zhang, Vertical twinning of the Dirac cone at the interface between topolog[ical insulators and semiconductors,](https://doi.org/10.1038/ncomms8630) Nat. Commun. **6**, 7630 (2015).
- [21] X. Wan, A. M. Turner, A. Vishwanath, and S. Y. Savrasov, Topological semimetal and Fermi-arc surface states in the elec[tronic structure of pyrochlore iridates,](https://doi.org/10.1103/PhysRevB.83.205101) Phys. Rev. B **83**, 205101 (2011).
- [22] S.-Y. Xu, I. Belopolski, N. Alidoust, M. Neupane, G. Bian, C. Zhang, R. Sankar, G. Chang, Z. Yuan, C.-C. Lee, S.-M. Huang, H. Zheng, J. Ma, D. S. Sanchez, B. Wang, A. Bansil, F. Chou, P. P. Shibayev, H. Lin, S. Jia *et al.*, Discovery of a Weyl fermion semimetal and topological Fermi arcs, Science **349**[, 613 \(2015\).](https://doi.org/10.1126/science.aaa9297)
- [23] R. Sun, S. Yang, X. Yang, E. Vetter, D. Sun, N. Li, L. Su, Y. Li, Y. Li, Z.-Z. Gong *et al.*, Large tunable spin-to-charge conversion induced by hybrid Rashba and Dirac surface states [in topological insulator heterostructures,](https://doi.org/10.1021/acs.nanolett.9b01151) Nano Lett. **19**, 4420 (2019).
- [24] A. R. Mellnik, J. S. Lee, A. Richardella, J. L. Grab, P. J. Mintun, M. H. Fischer, A. Vaezi, A. Manchon, E.-A. Kim, N. Samarth *et al.*, Spin-transfer torque generated by a topological insulator, [Nature \(London\)](https://doi.org/10.1038/nature13534) **511**, 449 (2014).
- [25] [D. C. Ralph and M. D. Stiles, Spin transfer torques,](https://doi.org/10.1016/j.jmmm.2007.12.019) J. Magn. Magn. Mater. **320**, 1190 (2008).
- [26] P. Li, J. Yu, J. Xu, L. Zhang, and K. Huang, Topologicalmagnetic proximity effect in Sb_2Te_3/CrI_3 heterostructures, [Phys. B: Condens. Matter](https://doi.org/10.1016/j.physb.2019.08.009) **573**, 77 (2019).
- [27] W.-B. Zhang, Q. Qu, P. Zhu, and C.-H. Lam, Robust intrinsic ferromagnetism and half semiconductivity in stable [two-dimensional single-layer chromium trihalides,](https://doi.org/10.1039/C5TC02840J) J. Mater. Chem. C **3**, 12457 (2015).
- [28] N. Mounet, M. Gibertini, P. Schwaller, D. Campi, A. Merkys, A. Marrazzo, T. Sohier, I. E. Castelli, A. Cepellotti, G. Pizzi *et al.*, Two-dimensional materials from high-throughput compu[tational exfoliation of experimentally known compounds,](https://doi.org/10.1038/s41565-017-0035-5) Nat. Nanotechnol. **13**, 246 (2018).
- [29] Z. Wang and S. Law, Optimization of the growth of the van der waals materials $Bi₂Se₃$ and $(Bi_{0.5}In_{0.5})Se₃$ by [molecular beam epitaxy,](https://doi.org/10.1021/acs.cgd.1c00663) Cryst. Growth Des. **21**, 6752 (2021).
- [30] S. Nasir, W. J. Smith, T. E. Beechem, and S. Law, Growth of ultrathin $Bi₂Se₃$ [films by molecular beam epitaxy,](https://doi.org/10.1116/6.0002299) J. Vac. Sci. Technol. A **41**, 012202 (2023).
- [31] D. Hsieh, D. Qian, L. Wray, Y. Xia, Y. S. Hor, R. J. Cava, and M. Z. Hasan, A topological Dirac insulator in a quantum spin Hall phase, [Nature \(London\)](https://doi.org/10.1038/nature06843) **452**, 970 (2008).
- [32] D. Hsieh, Y. Xia, D. Qian, L. Wray, F. Meier, J. H. Dil, J. Osterwalder, L. Patthey, A. V. Fedorov, H. Lin, A. Bansil, D. Grauer, Y. S. Hor, R. J. Cava, and M. Z. Hasan, Observation of time-reversal-protected single-Dirac-cone topological-insulator states in Bi₂Te₃ and Sb₂Te₃, Phys. Rev. Lett. **103**, 146401 (2009).
- [33] H. Zhang, C.-X. Liu, X.-L. Qi, X. Dai, Z. Fang, and S.-C. Zhang, Topological insulators in $Bi₂Se₃$, $Bi₂Te₃$ and $Sb₂Te₃$ [with a single Dirac cone on the surface,](https://doi.org/10.1038/nphys1270) Nat. Phys. **5**, 438 (2009).
- [34] W. Zhang, R. Yu, H.-J. Zhang, X. Dai, and Z. Fang, Firstprinciples studies of the three-dimensional strong topological insulators $Bi₂Te₃$, $Bi₂Se₃$ and $Sb₂Te₃$, New J. Phys. **12**, 065013 (2010).
- [35] O. V. Yazyev, J. E. Moore, and S. G. Louie, Spin polarization and transport of surface states in the topological insulators Bi2Se3 and Bi2Te3 [from first principles,](https://doi.org/10.1103/PhysRevLett.105.266806) Phys. Rev. Lett. **105**, 266806 (2010).
- [36] B. Huang, G. Clark, E. Navarro-Moratalla, D. R. Klein, R. Cheng, K. L. Seyler, D. Zhong, E. Schmidgall, M. A. McGuire, D. H. Cobden *et al.*, Layer-dependent ferromagnetism in a van [der Waals crystal down to the monolayer limit,](https://doi.org/10.1038/nature22391) Nature (London) **546**, 270 (2017).
- [37] J. L. Lado and J. Fernández-Rossier, On the origin of mag[netic anisotropy in two dimensional CrI3,](https://doi.org/10.1088/2053-1583/aa75ed) 2D Mater. **4**, 035002 (2017).
- [38] P. Zhang, T.-F. Chung, Q. Li, S. Wang, Q. Wang, W. L. B. Huey, S. Yang, J. E. Goldberger, J. Yao, and X. Zhang, All-optical switching of magnetization in atomically thin CrI₃, Nat. Mater. **21**, 1373 (2022).
- [39] Y. Hou, J. Kim, and R. Wu, Magnetizing topological surface [states of Bi2Se3](https://doi.org/10.1126/sciadv.aaw1874) with a CrI3 monolayer, Sci. Adv. **5**, eaaw1874 (2019).
- [40] [P. Hohenberg and W. Kohn, Inhomogeneous electron gas,](https://doi.org/10.1103/PhysRev.136.B864) *Phys.* Rev. **136**, B864 (1964).
- [41] W. Kohn and L. J. Sham, Self-consistent equations includ[ing exchange and correlation effects,](https://doi.org/10.1103/PhysRev.140.A1133) Phys. Rev. **140**, A1133 (1965).
- approximation made simple, [Phys. Rev. Lett.](https://doi.org/10.1103/PhysRevLett.77.3865) **77**, 3865 (1996).
- [43] [P. E. Blöchl, Projector augmented-wave method,](https://doi.org/10.1103/PhysRevB.50.17953) Phys. Rev. B **50**, 17953 (1994).
- [44] A. Dal Corso, Projector augmented-wave method: Application [to relativistic spin-density functional theory,](https://doi.org/10.1103/PhysRevB.82.075116) Phys. Rev. B **82**, 075116 (2010).
- [45] G. Kresse and J. Furthmüller, Efficiency of *ab-initio* total energy calculations for metals and semiconductors using a plane-wave basis set, [Comput. Mater. Sci.](https://doi.org/10.1016/0927-0256(96)00008-0) **6**, 15 (1996).
- [46] G. Kresse and J. Furthmüller, Efficient iterative schemes for *ab initio* total-energy calculations using a plane-wave basis set, Phys. Rev. B **54**[, 11169 \(1996\).](https://doi.org/10.1103/PhysRevB.54.11169)
- [47] S. Steiner, S. Khmelevskyi, M. Marsmann, and G. Kresse, Calculation of the magnetic anisotropy with projected-augmentedwave methodology and the case study of disordered Fe1[−]*x*Co*^x* alloys, Phys. Rev. B **93**[, 224425 \(2016\).](https://doi.org/10.1103/PhysRevB.93.224425)
- [48] J. Klimeš, D. R. Bowler, and A. Michaelides, Chemical accu[racy for the van der Waals density functional,](https://doi.org/10.1088/0953-8984/22/2/022201) J. Phys.: Condens. Matter **22**, 022201 (2010).
- [49] A. N. Andriotis, R. M. Sheetz, and M. Menon, $LSDA + U$ method: A calculation of the *U* values at the Hartree-Fock level of approximation, Phys. Rev. B **81**[, 245103 \(2010\).](https://doi.org/10.1103/PhysRevB.81.245103)
- [50] [A. L. Araújo and R. P. Maciel,](https://pypi.org/project/vasprocar/) VASProcar: Python tools for DFT calculations (2023).
- [51] See Supplemental Material at [http://link.aps.org/supplemental/](http://link.aps.org/supplemental/10.1103/PhysRevB.109.085142) 10.1103/PhysRevB.109.085142 for additional *ab initio* calculations that support the findings reported in the main text and for a systematic study of the parameter dependence of the effective model Hamiltonian.
- [52] A. G. Moghaddam, A. Qaiumzadeh, A. Dyrdał, and J. Berakdar, Highly tunable spin-orbit torque and anisotropic

magnetoresistance in a topological insulator thin film [attached to ferromagnetic layer,](https://doi.org/10.1103/PhysRevLett.125.196801) Phys. Rev. Lett. **125**, 196801 (2020).

- [53] H. Zhang, C.-X. Liu, and S.-C. Zhang, Spin-orbital texture in topological insulators, Phys. Rev. Lett. **111**[, 066801 \(2013\).](https://doi.org/10.1103/PhysRevLett.111.066801)
- [54] Z.-H. Zhu, C. N. Veenstra, G. Levy, A. Ubaldini, P. Syers, N. P. Butch, J. Paglione, M. W. Haverkort, I. S. Elfimov, and A. Damascelli, Layer-by-layer entangled spin-orbital texture of the topological surface state in $Bi₂Se₃$, Phys. Rev. Lett. **110**, 216401 (2013).
- [55] Y. Cao, J. A. Waugh, X. W. Zhang, J.-W. Luo, Q. Wang, T. J. Reber, S. K. Mo, Z. Xu, A. Yang, J. Schneeloch, G. D. Gu, M. Brahlek, N. Bansal, S. Oh, A. Zunger, and D. S. Dessau, Mapping the orbital wavefunction of the surface states [in three-dimensional topological insulators,](https://doi.org/10.1038/nphys2685) Nat. Phys. **9**, 499 (2013).
- [56] Z. Xie, S. He, C. Chen, Y. Feng, H. Yi, A. Liang, L. Zhao, D. Mou, J. He, Y. Peng *et al.*, Orbital-selective spin texture and its [manipulation in a topological insulator,](https://doi.org/10.1038/ncomms4382) Nat. Commun. **5**, 3382 (2014).
- [57] J. A. Waugh, T. Nummy, S. Parham, Q. Liu, X. Zhang, A. Zunger, and D. S. Dessau, Minimal ingredients for orbitaltexture switches at Dirac points in strong spin–orbit coupled materials, [npj Quant Mater](https://doi.org/10.1038/npjquantmats.2016.25) **1**, 16025 (2016).
- [58] J. D. Koralek, C. P. Weber, J. Orenstein, B. A. Bernevig, S.- C. Zhang, S. Mack, and D. D. Awschalom, Emergence of the [persistent spin helix in semiconductor quantum wells,](https://doi.org/10.1038/nature07871) Nature (London) **458**, 610 (2009).
- [59] R. N. Gurzhi, A. N. Kalinenko, A. I. Kopeliovich, A. V. Yanovsky, E. N. Bogachek, and U. Landman, A magnetic-field[effect transistor and spin transport,](https://doi.org/10.1063/1.1630839) Appl. Phys. Lett. **83**, 4577 (2003).