Universal orbital and magnetic structures in infinite-layer nickelates

M. Rossi[®],¹ H. Lu,^{1,2} K. Lee[®],^{1,2} B. H. Goodge[®],^{3,4} J. Choi,⁵ M. Osada[®],^{1,6} Y. Lee[®],² D. Li[®],^{1,7} B. Y. Wang,^{1,2} D. Jost[®],¹ S. Agrestini,⁵ M. Garcia-Fernandez,⁵ Z. X. Shen,^{1,2,8} Ke-Jin Zhou[®],⁵ E. Been[®],² B. Moritz,¹ L. F. Kourkoutis,^{3,4}

T. P. Devereaux, 1,6,8 H. Y. Hwang, 1,7,8 and W. S. Lee 1,*

¹Stanford Institute for Materials and Energy Sciences, SLAC National Accelerator Laboratory,

2575 Sand Hill Road, Menlo Park, California 94025, USA

²Department of Physics, Stanford University, Stanford, California 94305, USA

³School of Applied and Engineering Physics, Cornell University, Ithaca, New York 14850, USA

⁴Kavli Institute at Cornell for Nanoscale Technology, Cornell University, Ithaca, New York 14850, USA

⁵Diamond Light Source, Harwell Campus, Didcot OX11 0DE, United Kingdom

⁶Department of Materials Science and Engineering, Stanford University, Stanford, California 94305, USA

⁷Department of Applied Physics, Stanford University, Stanford, California 94305, USA

⁸Geballe Laboratory for Advanced Materials, Stanford University, Stanford, California 94305, USA

(Received 6 October 2023; accepted 20 December 2023; published 19 January 2024)

We conducted a comparative study of the rare-earth infinite-layer nickelate films, $RNiO_2$ (R = La, Pr, and Nd), using resonant inelastic x-ray scattering (RIXS). We found that the gross features of the orbital configurations are essentially the same, with minor variations in the detailed hybridization. For low-energy excitations, we unambiguously confirm the presence of damped magnetic excitations in all three compounds. By fitting to a linear spin-wave theory, comparable spin exchange coupling strengths and damping coefficients are extracted, indicating a universal magnetic structure in the infinite-layer nickelates. Interestingly, while signatures of a charge order are observed in LaNiO₂ in the quasielastic region of the RIXS spectrum, it is absent in NdNiO₂ and PrNiO₂. This prompts further investigation into the universality and the origins of charge order within the infinite-layer nickelates.

DOI: 10.1103/PhysRevB.109.024512

I. INTRODUCTION

The discovery of superconductivity in the infinite-layer nickelates has marked a new milestone in the field of unconventional superconductivity [1]. While the nickelates were first thought to resemble the cuprates due to their similar crystal structure and electron count in the 3d orbitals [2,3], the initial stages of the experimental investigations have revealed notable differences between the two systems [4–10]. As a result, the nickelates have emerged as a new class of strongly correlated materials, presenting fresh opportunities to study the role of strong electronic correlations in unconventional superconductors.

To date, superconductivity has been found in La-, Pr-, and Nd-based infinite-layer nickelate thin films [4,11,12]. The doping-temperature phase diagram in these compounds appears to be quite similar, indicating a similar underlying microscopic origin. However, subtle differences have also been observed. For example, London penetration depth measurements indicate that La- and Pr-NiO₂ exhibit a node in the superconducting order parameter, whereas it is less clear in NdNiO₂, possibly due to the magnetic moment carried by the Nd ions [13]. Another example is the recent demonstration of substantial variations in the anisotropy of the upper critical field across La, Pr, and Nd-NiO₂ [14]. These observations imply that the rare-earth element may play a role in the lowenergy electronic behavior.

Indeed, theories have suggested that 5d orbitals of the rare-earth element hybridize with the Ni 3d orbitals and give rise to hole-like Fermi surface (FS) pockets, making the infinite-layer nickelates multiorbital systems [15–25]. The minimal model should at least consist of a hole-like band with dominant Ni $3d_{x^2-y^2}$ character which is coupled to an electron-like band with a dominant character of rare-earth 5d orbitals. It has been an intriguing question regarding whether rare-earth elements could serve as a tuning knob to control the properties of the infinite-layer nickelates, as they do in the well-known cases of the perovskite nickelates [26,27]. Along this line, theoretical studies have investigated the variation of electronic, magnetic, and lattice structures as a function of rare-earth elements [25,28,29], suggesting the possible occurrence of nontrivial phase transitions. Thus, it is of great interest to investigate the rare-earth dependence by examining the microscopic behaviors via spectroscopic measurements.

Owing to the element-specific capability, resonant inelastic x-ray scattering (RIXS) has been a powerful tool to reveal the electronic structure [7], magnetic excitations [30,31], and charge order in the infinite-layer nickelates [10,32–34]. To date, most of the RIXS studies were focused on one family of the infinite-layer nickelates from various sample sources, which is not ideal for a systematic study between La-, Pr-, and Nd-NiO₂. In this article, we report RIXS data that were

^{*}Corresponding author: leews@stanford.edu

measured with identical measurement conditions on Nd-, Pr-, and La-NiO₂ thin films. Importantly, the samples were grown and prepared using the same synthesis and characterization standard. Since uncapped infinite-layer nickelates are prone to heterogeneity, and their synthesis is hard to control [35], we focus our studies on samples with a protective SrTiO₃ capping layer, for which we have previously demonstrated uniform crystallinity throughout the thickness of the nickelate film [12,35,36]. We show that the gross features of orbital configurations are essentially identical with some minor variations in the detailed hybridization. For the low-energy excitations, we compare the dispersion and the bandwidth of the magnetic excitations in the three compounds and conclude that the magnetic structure is universal in the nickelates. Interestingly, in the quasielastic RIXS spectra, we found signatures of a charge order only in LaNiO₂, but not in NdNiO₂ and PrNiO₂. In the context of recent debates about the charge order in infinitelayer nickelates [37,38], we leverage cross-sectional scanning transmission electron microscopy (STEM) to investigate the secondary phases present in our LaNiO₂ film, and discuss the implications on the observed charge order peak in the LaNiO₂ film.

II. EXPERIMENTAL DETAILS

Thin films of the precursor perovskite $RNiO_3$ (R = La, Nd, Pr) with a thickness of 10 nm were grown on a substrate of SrTiO₃(001). The *c*-axis oriented infinite-layer $RNiO_2$ was obtained by employing a topotactic reduction process [35]. To protect and support the crystalline order, a capping layer made of five unit cells of SrTiO₃(001) was grown on top of the nickelate films before the topotactic reduction. X-ray diffraction (XRD) measurements were conducted to confirm the quality of the infinite-layer nickelate. The XRD data were included in the Supplementary Material [39]. X-ray absorption spectra (XAS) and RIXS measurements were performed at beamline I21 of the Diamond Light Source (United Kingdom). The combined energy resolution of the RIXS measurements was approximately 40 meV at the Ni L3 edge. For the incident energy RIXS map (Fig. 2), the spectra were collected at an incidence angle of 35° and scattering angle of 154°. Measurements were taken at a temperature of 20 K. For the dd excitations, magnetic excitations, and quasielastic map, the RIXS spectra were taken at the photon energy of 852.6 eV at which the intensity of the dd and magnetic excitations is maximal. Since the magnetic excitations are quasi-twodimensional [30], the momentum-dependent data have been denoted as a function of the projected in-plane momentum transfer q_{\parallel} , which can be varied by rotating the sample angle with a fixed scattering angle. All the momentum-dependent RIXS data shown here were obtained with the scattering angle set to 154° using π polarization of the incident photons with grazing exit geometry, at which the magnetic cross section is dominant.

Cross-sectional specimens for STEM analysis were prepared by the standard focused ion beam (FIB) lift-out process on a Thermo Fisher Helios G4 UX FIB and imaged on an aberration-corrected FEI Titan Themis 300 operating at 300 kV with a probe convergence angle of 21.4 mrad and inner (outer) collection angles of 68 (200) mrad.



FIG. 1. Ni L_3 edge XAS of NdNiO₂, PrNiO₂, and LaNiO₂ obtained by total electron yield in both normal (solid circles, $\theta = 90^{\circ}$) and grazing incident (open squares, $\theta = 10^{\circ}$) geometry. The measurement geometry is sketched in the right panel. A constant background has been removed and the post-edge intensity has been normalized for comparing XAS taken at both geometries. The raw XAS of LaNiO₂ (light red) is superimposed with the La- M_4 -edgeremoved XAS (dark red) for reference. The data of NdNiO₂ are adapted from Ref. [8].

III. RESULTS

We begin by examining the orbital configurations of La-, Nd-, and Pr-NiO₂. Figure 1(a) illustrates the x-ray absorption spectra (XAS) near the Ni L_3 edge for these three parent compounds. In the normal incident geometry ($\theta = 90^{\circ}$), where the polarization of the incident photons aligns with the Ni-O bond direction, all XAS exhibit a single main peak, as reported in previous experiments [7,8,30,31,33,34]. We note that the absorption is significantly reduced in the grazing incident geometry ($\theta = 10^{\circ}$), where the majority of the incident photon polarization lies in the normal direction of the NiO₂ plane. This observation indicates a significant anisotropy between the in-plane and out-of-plane orbital configurations of the system. The presence of a single XAS peak and the linear dichroism in XAS support the notion of a quasi-twodimensional electronic structure, primarily consisting of a dominant $3d^9$ character with a half-filled $3d_{x^2-y^2}$ orbital [7–9].

Further insights into the orbital configuration can be obtained from RIXS spectra across the Ni L_3 edge. As depicted in Fig. 2(b), the overall features of the RIXS incident map exhibit similarities among the three compounds. These include magnetic excitations below an energy of 0.2 eV, a spectral feature around ~0.65 eV (referred to as $3d^8R$), dd excitations within the 3d orbitals in the energy range of 1.0 to 2.5 eV, and fluorescence emission (FL) above 2.5 eV. The presence of similar energy scales for dd and FL excitations reflects a generic crystal field splitting characteristic of the three families of nickelates, as expected. Notably, the $3d^8R$ feature has been associated with an excitation related to the hybridized rare-earth 5d ligands and Ni 3d states [7]. Its observation in all three compounds indicates that it is a common feature of infinite-layer nickelates. This observation aligns with various



FIG. 2. (a) RIXS intensity maps of LaNiO₂, NdNiO₂, and PrNiO₂ as a function of incident photon energy across the Ni L_3 edge. $3d^8R$ denotes the spectral feature at approximately 0.65 eV. *dd* and FL indicate the excitations within the Ni 3*d* orbitals and the fluorescence emission, respectively. (b) The energy loss spectra taken at the incident photon energy of 852.4 eV, as indicated by the white dashed lines in (a). Data of NdNiO₂ are adapted from Ref. [30].

theoretical predictions that suggest a multiorbital nature in infinite-layer nickelates, where the rare-earth 5*d* states contribute to the band structures near the Fermi energy [15–25]. This is in contrast to high- T_c cuprates, where the states near the Fermi energy are primarily dominated by a mixture of Cu $3d_{x^2-y^2}$ character and the O 2*p* ligand states.

Despite the overall similarity, we have observed a subtle difference in the dd excitations. Figure 3 illustrates the dd excitations obtained at three different angles, effectively varying the incident photon polarization with respect to the crystallographic axes. As a result, the cross section of ddexcitations associated with different orbital symmetries is modulated [40]. Specifically, in the normal incident geometry ($\theta = 90^{\circ}$) depicted in Fig. 3(a), the electric field of the incident photon polarization lies in the NiO₂ plane, favoring transitions associated with in-plane orbitals such as $d_{x^2-y^2}$ and d_{xy} . In the other geometry ($\theta \sim 138.5^{\circ}$ in Fig. 3), the photon polarization now includes a notable component perpendicular to the NiO₂ plane, enabling excitations associated with out-of-plane $d_{xz/yz}$ orbitals. Since the dd excitations in the RIXS incident photon map are generic [Fig. 2(a)], we follow the assignment of dd peaks described in our previous work [8], as also denoted in Fig. 3. Interestingly, as shown in Figs. 3(c) and 3(d), while the excitations associated with the $d_{xz/yz}$ orbitals are prominent in Nd- and Pr-NiO₂, they are significantly weaker in LaNiO2. This observation suggests that LaNiO₂ exhibits more extended $d_{xz/yz}$ orbitals.

Next, we discuss the magnetic excitations, which bear the hallmarks of the underlying electronic correlations [30]. Figure 4(a) shows the unambiguous presence of a branch of dispersive magnetic excitations in all three compounds with similar characteristics. Specifically, the dispersion emanates away from the zone center and reaches respective maxima near (0.5, 0) and (0.25, 0.25), consistent with the spin wave dispersion in an antiferromagnetically coupled spin-1/2square lattice system. By comparing the RIXS spectra near the two maxima, as shown in Figure 4(b), we found that the peak positions of the magnetic excitations are essentially identical in the three compounds within the uncertainty of



FIG. 3. (a) A sketch of the π -scattering geometry used for taking the data reported in this article. In this geometry, the electric field polarization of the incident photon (denoted as "E") is in the scattering plane. RIXS spectra of LaNiO₂, NdNiO₂, and PrNiO₂ at (b) $\theta = 90^{\circ}$ and (c) $\theta \sim 138.5^{\circ}$ with the (100) direction (i.e., the Ni-O bond direction) in the scattering plane. (d) RIXS spectrum taken at $\theta \sim 138.5^{\circ}$ with (110) direction in the scattering plane.



FIG. 4. (a) RIXS intensity map of LaNiO₂, NdNiO₂, and PrNiO₂, as a function of in-plane momentum along (h, 0) and (h, h) directions. The white circles indicate peak positions of the magnetic excitations. (b) RIXS spectra of the three nickelates taken at two representative momenta near the maxima of the dispersion. The ticks are guides-to-the-eye for the peak position of the magnetic excitation spectra. (c) The extracted magnetic mode energy ω_q and damping coefficients γ_q from fitting the data to the damped harmonic oscillation function for the three compounds. The linear spin wave fits (dashed lines) to the extracted dispersions are also superimposed. The data of NdNiO₂ are adapted from Ref. [30]. The raw data of La- and PrNiO₂ are included in the Supplemental Material [39].

our experiments. This suggests that the bandwidths of the magnetic excitations are quite similar across the three families of nickelates. Additionally, we observe that the magnetic peak spectra are broad [Fig. 4(b)], indicating that the excitations are heavily damped. This is consistent with the presence of a rare-earth 5d FS pocket in the undoped parent compounds, which can dissipate the magnetic excitations in the particle-hole continuum [30].

To obtain a more quantitative comparison, following our previous work on NdNiO₂ [30], we fit the RIXS magnetic spectrum to a damped harmonic oscillation function $\chi''(q, \omega)$ [41], given by

$$\chi''(q,\omega) = \frac{\gamma_q \omega}{\left(\omega^2 - \omega_a^2\right)^2 + 4\gamma_a^2 \omega^2},\tag{1}$$

where ω_q is the undamped mode energy and γ_q the damping coefficient. The extracted ω_q and γ_q are summarized in Fig. 4(c). All three nickelates exhibit a similar energymomentum dispersion with a similar degree of damping. Then, we fit the extracted dispersion to a linear spin wave form for the spin-1/2 square-lattice Heisenberg antiferromagnet [42], including nearest- and next-nearest-neighbor exchange couplings,

$$H = J_1 \sum_{i,j} S_i \cdot S_j + J_2 \sum_{i,i'} S_i \cdot S_{i'}, \qquad (2)$$

where S_i , S_j , and $S_{i'}$ denote Heisenberg spins at site *i*, nearest-neighbor sites *j*, and the next- nearest-neighbor sites *i'*, respectively. The fitted J_1 and J_2 are summarized in Table I. Both J_1 and J_2 are comparable in all three nickelates, except that the J_1 of PrNiO₂ appears to be slightly larger than the others, consistent with the higher peak position in the raw magnetic spectra shown in Fig. 4(b). This finding aligns with the weak rare-earth dependence on the spin exchange interaction predicted by theoretical models [25,28]. We also note that there appears to be no clear correlation with the *c*-axis lattice parameter, which monotonically decreases by replacing the rare-earth element from La to Nd. This null correlation suggests that the spin exchange interaction is essentially insensitive to the electronic structure along the *c*-axis direction. Thus, the magnetic interactions are dictated by the inplane low-energy electronic structure, presumably the $3d_{x^2-y^2}$ orbitals.

It is important to emphasize that our results do not necessarily imply the existence or nonexistence of long-range antiferromagnetic order (AFM), as the putative AFM wave vector is beyond the reach of the Ni L edge RIXS. Indeed, multiple studies of bulk polycrystalline samples do not show long-range AFM order [43–45]. Nevertheless, the observation firmly establishes the universal presence of substantial antiferromagnetic spin interactions in infinite-layer nickelates, suggesting that Mott physics should play a significant role in shaping the microscopic electronic structure of these nickelates.

Another hallmark of strong electronic correlations is a complex phase diagram comprising multiple quantum phases. Particularly, those quantum phases that break the translation symmetry can be detected by examining the momentum distribution of the quasielastic peak intensity in the RIXS spectrum (see, for example, Ref. [46]). Indeed, previously, we observed a charge order in LaNiO₂ and investigated its doping and temperature dependence using RIXS in σ -scattering polarization [10], where the incident x-ray polarization is perpendicular to the scattering plane. Using a different scattering geometry (e.g., π polarization), as shown in Fig. 5, a peak at (0.345 ± 0.0007, 0) is observed in LaNiO₂, confirming the

TABLE I. A summary of the nearest-neighbor and next-nearestneighbor spin exchange coupling obtained by fitting the dispersion to a linear spin wave theory.

	$J_1 \text{ (meV)}$	$J_2 (\text{meV})$	c axis (Å)
LaNiO ₂	61.6 ± 4.2	-11.3 ± 3.0	3.39
PrNiO ₂	66.9 ± 3.2	-11.4 ± 2.3	3.31
NdNiO ₂	64.1 ± 3.4	-10.2 ± 2.4	3.28



FIG. 5. Quasielastic RIXS intensity map of LaNiO₂, PrNiO₂, and NdNiO₂, as a function of in-plane momentum along the (h, 0)directions. Momentum distribution of the integrated intensity within the black dashed box is shown in lower panels as red circles connected by dashed lines. The solid black curve is a fit to a Lorentzian function for the charge order peak with a fitted peak position of 0.345 ± 0.0007 r.l.u.

presence of the charge order. Interestingly, the signature of the charge order scattering peak is absent in the RIXS data of Nd- and Pr-NiO₂. While this may appear to indicate that the charge order is not universal in infinite-layer nickelates, recent findings have presented a puzzle. Specifically, a peak with a wave vector of (0.333, 0) has been reported in NdNiO₂ films prepared without a SrTiO₃ capping layer [32,33] and PrNiO₂ with a STO capping layer [34]. Notably, the peak intensity in uncapped NdNiO₂ exhibits a positive correlation with the spectral weight of the RIXS $3d^8R$ feature [32,33], but our LaNiO₂ exhibits the least pronounced $3d^8R$ peak in RIXS spectra of the three families [Fig. 2(b)]. Consequently, the emergence of CO appears to be sample-specific. Some aspects of the material specificity will be further discussed in the next section.

IV. DISCUSSION

As previously discussed by Krieger et al. [33], the XAS and RIXS spectra of NdNiO2 without a SrTiO3 capping layer exhibit distinct characteristics compared to their counterparts with a capping layer. Namely, the XAS exhibits less linear dichroism between σ and π polarization of the incident photon, indicating a more three-dimensional orbital configuration in the uncapped NdNiO₂. In addition, the $3d^8R$ feature in the RIXS spectra also becomes stronger and resonates at a photon energy lower than the main peak in Ni L edge XAS, producing a shoulder in the leading edge of the XAS [7,32,33]. Our results shown in Figs. 1 and 2 unambiguously establish the generic orbital configuration in capped La-, Pr-, and Nd-NiO₂ films and confirm the different electronic configurations between the capped and uncapped nickelate films. This notion gained further support from the work by Raji et al. via STEM-EELS and hard x-ray photoemission spectroscopy [37]. We also note that in the uncapped NdNiO₂ thin film, no magnetic excitations were resolved, raising a question regarding the dichotomy between the charge order and the spin order that could be switched by the presence of the capping layer [33]. We remark that this need not be the case, as magnetic excitations and charge order coexist in our capped LaNiO₂ (Fig. 4).

It is important to note that the recent discovery of charge order in infinite-layer nickelates has sparked a significant debate. Pelliciari *et al.* proposed that this observation could have arisen from the SrTiO₃ (STO) substrate's (1 0 1) Bragg peak due to the third harmonic x-ray photon contamination originating from the beamline [47]. Since all of our films were grown on STO substrates and capped with STO layers, we would have expected to observe the purported Bragg peak contamination in all of our samples. However, this is contradicted by the data presented in Fig. 5. In addition, we remark that Tam *et al* have conducted comprehensive measurements to specifically address Pelliciari's scenario [48].

Another scenario may be more difficult to completely rule out. Some recent studies propose that the "charge order" peak in Nd-based compounds originates from secondary phases, where ordered rows of excess apical oxygens with 3 unit cell periodicity (denoted as $3a_o$) are formed [37,38]. In fully reduced NdNiO₂, no charge order is detected, as found in our NdNiO₂ and PrNiO₂ samples (Fig. 5). But are secondary phases also present in our LaNiO₂ sample?

We first remark that while we cannot comprehensively rule out the presence of secondary phases, our LaNiO₂ film should predominantly consist of the infinite-layer nickelate phase, with a purity comparable to that of the NdNiO₂ and PrNiO₂ samples. This assertion is supported by the consistent spectroscopic features observed in XAS (Fig. 1), RIXS maps (Fig. 2), and RIXS magnetic excitation spectra (Fig. 4) across the three families of nickelates. Also, the charge order in LaNiO₂ has an incommensurate wave vector, unlike the commensurate $3a_o$ unit-cell periodicity found in the uncapped NdNiO₂ and the secondary phase [37,38]. Moreover, our previous work demonstrates a systematic doping evolution of the charge order wave vector, width, and onset temperature, inconsistent with an explanation solely based on oxygen order of secondary phases [10].

In order to gain further insight about secondary phases in our LaNiO₂ films, we conduct a detailed structural investigation of a capped LaNiO₂ thin film grown on SrTiO₃ with identical conditions to those studied by RIXS using highangle annular dark-field (HAADF) scanning transmission electron microscopy (STEM). Figure 6 shows a representative HAADF-STEM image of a large area of the LaNiO₂ thin film and the fast Fourier transform (FFT) of the micrograph which provides quantitative analysis of the periodicities present in the image. The diagonal canting which is observed on either side of the HAADF-STEM image in Fig. 6 has been discussed elsewhere [12] and is attributed to a local reorientation of the *c* axis into the plane of the film as a mechanism for relieving the relatively high compressive strain imposed on the reduced LaNiO₂ by epitaxial growth on SrTiO₃.

As sampled by our HAADF-STEM measurements, most of the film is consistent with the reduced infinite-layer structure and certain types of heterogeneity in the lattice (e.g., Ruddlesden-Popper type stacking faults) which have been well documented throughout all reported infinite-layer nickelate thin film species [4,12,35,36,49]. We do, however, observe some limited regions of off-stoichiometry from the infinite-layer formula LaNiO₂. But rather than the $3a_o$ order in the excess oxygen, we find instead local regions of film which appear consistent with the nominally unreduced



FIG. 6. Left: A representative HAADF-STEM image and (right) the corresponding fast Fourier transform (FFT) of a large area in a LaNiO₂ thin film on SrTiO₃.

perovskite precursor phase, i.e., LaNiO₃. The HAADF-STEM image in Fig. 7 shows one region with local perovskite and infinite-layer-like regions on either side of the ~ 40 nm field of view, separated by a diagonal canted region in the middle of the image. The right-hand region marked by a yellow box (region B) and its corresponding FFT show a lattice which is consistent with the infinite-layer phase: namely, a reduced caxis lattice constant and lack of additional superlattice peaks. The left-hand region marked by the blue box (region A) and its corresponding selected area FFT show a lattice structure which is consistent with the perovskite precursor phase, characterized in particular by the half-order in-plane (1/2, 0, 0)superlattice peaks and the expanded *c*-axis lattice constant similar to that of the SrTiO₃ substrate (STO in Fig. 7). A subtle (1/4, 0, 1/4) ordering can be found in the few unit cells nearest to the substrate-film interface within this perovskitelike region, as marked by the orange box and arrows the HAADF-STEM image and corresponding FFT shown in Fig. 8.

Across a set of ~40 images constituting a quasirandom survey spanning ~1.5 µm in this LaNiO₂ thin film, we find no signs of the (1/3, 0, 1/3) peaks of the $3a_o$ order described in similar studies of NdNiO₂ thin films. It is important to note that this lack of evidence for $3a_o$ oxygen ordering does not definitively rule out the possibility of such ordering elsewhere in the film, but rather provides an estimated upper bound on the prevalence of any such ordering we would expect in this and similar samples. Still, it is further interesting to reflect that the $3a_o$ ordering which has been observed by similar techniques in uncapped NdNiO₂ thin films reflects a different character of accommodating oxygen off-stoichiometry than what we observe here [37]. Here, we find nm-scale regions which appear more or less fully perovskite-like interspersed between large regions of what appear to be fully reduced infinite layers, while reports in the uncapped NdNiO₂ thin films suggest a wider or more disperse distribution of excess oxygen into regions which can be locally considered NdNiO_{2+ δ} ($\delta = 0.33$ -0.66) [37,38]. We speculate that these differences in the oxygen distribution could be related to different intrinsic effects of the La- and Nd-based compounds as well as to more extrinsic consideration such as lattice defects which may act as barriers or channels for oxygen de-intercalation during the chemical reduction process. A more thorough and comprehensive understanding of these differences is called for, and should include comparison of samples with different rare-earth cations (La, Nd, and even Pr) synthesized by different research groups.

Finally, some characteristics of LaNiO₂ are noteworthy. As suggested by Been et al. [25], due to the presence of empty 4f states, the calculated size of the La 5d Fermi surface (FS) pocket and the effective mass along the out-of-plane direction are the smallest among all rare-earth infinite-layer nickelates and do not follow the same trend as others. Additionally, theory has also suggested that the modulation of charge order might be intimately related to both the rare-earth FS and the Ni $3d_{x^2-y^2}$ states [50]. Therefore, it is possible that the La 5d FS in LaNiO₂ is somehow more conducive to the emergence of charge order. Another characteristic of LaNiO₂ is its epitaxial strain. By comparing the lattice constants to those of the bulk crystal powders [44], we determined that the La-, Pr-, and Nd-NiO₂ thin films exhibit compressive epitaxial strains of 1.34%, 0.9%, and 0.6%, respectively. Interestingly, the LaNiO₂ film experiences the most compressive strain compared to the other two families of nickelates. Drawing



FIG. 7. HAADF-STEM image and selected area FFTs of a region in the same LaNiO₂ film which shows mixed oxygen stoichiometry. The FFTs of regions A (blue box, unreduced perovskite nickelate) and B (infinite-layer nickelate) are shown in right panels; the far-right FFT (STO) is selected from a region of the SrTiO₃ substrate in the same image. The dotted line marks the out-of-plane peak distance in the SrTiO₃ substrate, corresponding to a lattice spacing of 3.905 Å, indicating a reduced *c*-axis lattice constant in region B.



FIG. 8. Higher-magnification HAADF-STEM image of region A in Fig. 7 and the associated FFT. No splitting in the *c*-axis peaks is observed, indicating the nominally perovskite phase of this region. Half-order in-plane peaks (white arrows) are clearly visible as discussed in Fig. 7, as well as faint (1/4, 0, 1/4) peaks in addition to the primary peaks (orange arrows) in the region marked by the orange box.

from the insights gained from the study of cuprates, where the emergence and behavior of charge order can be substantially influenced by strain [51–55], it may be reasonable to speculate whether strain plays a role in the emergence of charge order in infinite-layer nickelates. Further investigations are necessary to clarify the role of the rare-earth 5*d* Fermi surface (FS) pocket and strain in the emergence of charge order.

In summary, our RIXS data showcase the orbital configuration and magnetic excitations of early rare-earth infinite-layer nickelate thin films with a $SrTiO_3$ capping layer. The observed universal orbital configuration and magnetic features indicate a common behavior among these nickelates. Interestingly, we only observed a signature of charge order in LaNiO₂, but not in PrNiO₂ and NdNiO₂, calling for further investigations. As an outlook, it would be interesting to investigate infinite-layer nickelates with high-Z rare-earth elements, which can further examine the universality of the electronic and magnetic structures, as well as the emergence of other instabilities, as predicted by some theories [28,29].

ACKNOWLEDGMENTS

This work is supported by the U.S. Department of Energy (DOE), Office of Science, Basic Energy Sciences, Materials Sciences and Engineering Division, under Contract No. DE-AC02-76SF00515. We acknowledge the Gordon and Betty Moore Foundation's Emergent Phenomena in Quantum Systems Initiative through Grant No. GBMF9072 for synthesis equipment. We acknowledge Diamond Light Source for time on beamline I21-RIXS under Proposals No. NT25165 and No. MM25598. This research also used resources of the Advanced Light Source, a U.S. DOE Office of Science User Facility under Contract No. DE-AC02-05CH11231. B.H.G. acknowledges support by the Department of Defense Air Force Office of Scientific Research (Grant No. FA 9550-16-1-0305). This work made use of the Cornell Center for Materials Research (CCMR) Shared Facilities, which are supported through the NSF MRSEC Program (Grant No. DMR-1719875). The FEI Titan Themis 300 was acquired through Grant No. NSF-MRI-1429155, with additional support from Cornell University, the Weill Institute, and the Kavli Institute at Cornell. The Thermo Fisher Helios G4 UX FIB was acquired with support by NSF Grant No. DMR-1539918. D.J. gratefully acknowledges funding of the Alexander-von-Humboldt foundation via a Feodor-Lynen postdoctoral fellowship.

- D. Li, K. Lee, B. Y. Wang, M. Osada, S. Crossley, H. R. Lee, Y. Cui, Y. Hikita, and H. Y. Hwang, Superconductivity in an infinite-layer nickelate, Nature (London) 572, 624 (2019).
- [2] V. I. Anisimov, D. Bukhvalov, and T. M. Rice, Electronic structure of possible nickelate analogs to the cuprates, Phys. Rev. B 59, 7901 (1999).
- [3] K.-W. Lee and W. E. Pickett, Infinite-layer LaNiO₂: Ni¹⁺ is not Cu²⁺, Phys. Rev. B **70**, 165109 (2004).
- [4] D. Li, B. Y. Wang, K. Lee, S. P. Harvey, M. Osada, B. H. Goodge, L. F. Kourkoutis, and H. Y. Hwang, Superconducting dome in Nd_{1-x}Sr_xNiO₂ infinite layer films, Phys. Rev. Lett. **125**, 027001 (2020).
- [5] S. Zeng, C. S. Tang, X. Yin, C. Li, M. Li, Z. Huang, J. Hu, W. Liu, G. J. Omar, H. Jani, Z. S. Lim, K. Han, D. Wan, P. Yang, S. J. Pennycook, A. T. S. Wee, and A. Ariando, Phase diagram and superconducting dome of infinite-layer Nd_{1-x}Sr_xNiO₂ thin films, Phys. Rev. Lett. **125**, 147003 (2020).
- [6] K. Lee, B. Y. Wang, M. Osada, B. H. Goodge, T. C. Wang, Y. Lee, S. Harvey, W. J. Kim, Y. Yu, C. Murthy, S. Raghu, L. F. Kourkoutis, and H. Y. Hwang, Linear-in-temperature resistivity for optimally superconducting (Nd,Sr)NiO₂, Nature (London) 619, 288 (2023).
- [7] M. Hepting, L. Chaix, E. W. Huang, R. Fumagalli, Y. Y. Peng, B. Moritz, K. Kummer, N. B. Brookes, W. C. Lee, M. Hashimoto, T. Sarkar, J.-F. He, C. R. Rotundu, Y. S. Lee,

R. L. Greene, L. Braicovich, G. Ghiringhelli, Z. X. Shen, T. P. Devereaux, and W. S. Lee, Three-dimensional collective charge excitations in electron-doped copper oxide superconductors, Nature (London) **563**, 374 (2018).

- [8] M. Rossi, H. Lu, A. Nag, D. Li, M. Osada, K. Lee, B. Y. Wang, S. Agrestini, M. Garcia-Fernandez, J. J. Kas, Y.-D. Chuang, Z. X. Shen, H. Y. Hwang, B. Moritz, K.-J. Zhou, T. P. Devereaux, and W. S. Lee, Orbital and spin character of doped carriers in infinite-layer nickelates, Phys. Rev. B 104, L220505 (2021).
- [9] B. H. Goodge, D. Li, K. Lee, M. Osada, B. Y. Wang, G. A. Sawatzky, H. Y. Hwang, and L. F. Kourkoutis, Doping evolution of the Mott-Hubbard landscape in infinite-layer nickelates, Proc. Natl. Acad. Sci. USA 118, e2007683118 (2021).
- [10] M. Rossi, M. Osada, J. Choi, S. Agrestini, D. Jost, Y. Lee, H. Lu, B. Y. Wang, K. Lee, A. Nag, Y.-D. Chuang, C.-T. Kuo, S.-J. Lee, B. Moritz, T. P. Devereaux, Z.-X. Shen, J.-S. Lee, K.-J. Zhou, H. Y. Hwang, and W.-S. Lee, A broken translational symmetry state in an infinite-layer nickelate, Nat. Phys. 18, 869 (2022).
- [11] M. Osada, B. Y. Wang, K. Lee, D. Li, and H. Y. Hwang, Phase diagram of infinite layer praseodymium nickelate Pr_{1-x}Sr_xNiO₂ thin films, Phys. Rev. Mater. 4, 121801(R) (2020).
- [12] M. Osada, B. Y. Wang, B. H. Goodge, S. P. Harvey, K. Lee, D. Li, L. F. Kourkoutis, and H. Y. Hwang, Nickelate

superconductivity without rare-earth magnetism: (La,Sr)NiO₂, Adv. Mater. **33**, 2104083 (2021).

- [13] S. P. Harvey, B. Y. Wang, J. Fowlie, M. Osada, K. Lee, Y. Lee, D. Li, and H. Y. Hwang, Evidence for nodal superconductivity in infinite-layer nickelates, arXiv:2201.12971.
- [14] B. Y. Wang, T. C. Wang, Y.-T. Hsu, M. Osada, K. Lee, C. Jia, C. Duffy, D. Li, J. Fowlie, M. R. Beasley, T. P. Devereaux, I. R. Fisher, N. E. Hussey, and H. Y. Hwang, Effects of rareearth magnetism on the superconducting upper critical field in infinite-layer nickelates, Sci. Adv. 9, eadf6655 (2023).
- [15] Y. Nomura, M. Hirayama, T. Tadano, Y. Yoshimoto, K. Nakamura, and R. Arita, Formation of a two-dimensional single-component correlated electron system and band engineering in the nickelate superconductor NdNiO₂, Phys. Rev. B 100, 205138 (2019).
- [16] P. Adhikary, S. Bandyopadhyay, T. Das, I. Dasgupta, and T. Saha-Dasgupta, Orbital-selective superconductivity in a twoband model of infinite-layer nickelates, Phys. Rev. B 102, 100501(R) (2020).
- [17] A. S. Botana and M. R. Norman, Similarities and differences between LaNiO₂ and CaCuO₂ and implications for superconductivity, Phys. Rev. X 10, 011024 (2020).
- [18] Y. Gu, S. Zhu, X. Wang, J. Hu, and H. Chen, A substantial hybridization between correlated Ni-*d* orbital and itinerant electrons in infinite-layer nickelates, Commun. Phys. 3, 84 (2020).
- [19] J. Kapeghian and A. S. Botana, Electronic structure and magnetism in infinite-layer nickelates $RNiO_2$ (R = La-Lu), Phys. Rev. B **102**, 205130 (2020).
- [20] F. Lechermann, Late transition metal oxides with infinitelayer structure: Nickelates versus cuprates, Phys. Rev. B 101, 081110(R) (2020).
- [21] I. Leonov, S. L. Skornyakov, and S. Y. Savrasov, Lifshitz transition and frustration of magnetic moments in infinitelayer NdNiO₂ upon hole doping, Phys. Rev. B 101, 241108(R) (2020).
- [22] Z. Liu, Z. Ren, W. Zhu, Z. Wang, and J. Yang, Electronic and magnetic structure of infinite-layer NdNiO₂: Trace of antiferromagnetic metal, npj Quantum Mater. 5, 31 (2020).
- [23] H. Sakakibara, H. Usui, K. Suzuki, T. Kotani, H. Aoki, and K. Kuroki, Model construction and a possibility of cupratelike pairing in a new d⁹ nickelate superconductor (Nd, Sr)NiO₂, Phys. Rev. Lett. **125**, 077003 (2020).
- [24] X. Wu, D. Di Sante, T. Schwemmer, W. Hanke, H. Y. Hwang, S. Raghu, and R. Thomale, Robust $d_{x^2-y^2}$ -wave superconductivity of infinite-layer nickelates, Phys. Rev. B **101**, 060504(R) (2020).
- [25] E. Been, W.-S. Lee, H. Y. Hwang, Y. Cui, J. Zaanen, T. Devereaux, B. Moritz, and C. Jia, Electronic structure trends across the rare-earth series in superconducting infinite-layer nickelates, Phys. Rev. X 11, 011050 (2021).
- [26] J. B. Torrance, P. Lacorre, A. I. Nazzal, E. J. Ansaldo, and C. Niedermayer, Systematic study of insulator-metal transitions in perovskites $RNiO_3$ (R = Pr, Nd, Sm, Eu) due to closing of charge-transfer gap, Phys. Rev. B **45**, 8209 (1992).
- [27] S. Middey, J. Chakhalian, P. Mahadevan, J. Freeland, A. Millis, and D. Sarma, Physics of ultrathin films and heterostructures of rare-earth nickelates, Annu. Rev. Mater. Res. 46, 305 (2016).
- [28] Y. Zhang, J. Zhang, X. He, J. Wang, and P. Ghosez, Rare-earth control of phase transitions in infinite-layer nickelates, PNAS Nexus 2, pgad108 (2023).

- [29] A. Subedi, Possible structural quantum criticality tuned by rareearth ion substitution in infinite-layer nickelates, Phys. Rev. Mater. 7, 024801 (2023).
- [30] H. Lu, M. Rossi, A. Nag, M. Osada, D. F. Li, K. Lee, B. Y. Wang, M. Garcia-Fernandez, S. Agrestini, Z. X. Shen, E. M. Been, B. Moritz, T. P. Devereaux, J. Zaanen, H. Y. Hwang, K.-J. Zhou, and W. S. Lee, Magnetic excitations in infinite-layer nickelates, Science **373**, 213 (2021).
- [31] Q. Gao, S. Fan, Q. Wang, J. Li, X. Ren, I. Biało, A. Drewanowski, P. Rothenbühler, J. Choi, Y. Wang, T. Xiang, J. Hu, K.-J. Zhou, V. Bisogni, R. Comin, J. Chang, J. Pelliciari, X. J. Zhou, and Z. Zhu, Magnetic excitations in strained infinite-layer nickelate PrNiO₂, arXiv:2208.05614.
- [32] C. C. Tam, J. Choi, X. Ding, S. Agrestini, A. Nag, M. Wu, B. Huang, H. Luo, P. Gao, M. García-Fernández, L. Qiao, and K.-J. Zhou, Charge density waves in infinite-layer NdNiO₂ nickelates, Nat. Mater. 21, 1116 (2022).
- [33] G. Krieger, L. Martinelli, S. Zeng, L. E. Chow, K. Kummer, R. Arpaia, M. Moretti Sala, N. B. Brookes, A. Ariando, N. Viart, M. Salluzzo, G. Ghiringhelli, and D. Preziosi, Charge and spin order dichotomy in NdNiO₂ driven by the capping layer, Phys. Rev. Lett. **129**, 027002 (2022).
- [34] X. Ren, R. Sutarto, Q. Gao, Q. Wang, J. Li, Y. Wang, T. Xiang, J. Hu, F.-C. Zhang, J. Chang, R. Comin, X. J. Zhou, and Z. Zhu, Symmetry of charge order in infinite-layer nickelates, arXiv:2303.02865.
- [35] K. Lee, B. H. Goodge, D. Li, M. Osada, B. Y. Wang, Y. Cui, L. F. Kourkoutis, and H. Y. Hwang, Aspects of the synthesis of thin film superconducting infinite-layer nickelates, APL Mater. 8, 041107 (2020).
- [36] M. Osada, B. Y. Wang, B. H. Goodge, K. Lee, H. Yoon, K. Sakuma, D. Li, M. Miura, L. F. Kourkoutis, and H. Y. Hwang, A superconducting praseodymium nickelate with infinite layer structure, Nano Lett. 20, 5735 (2020).
- [37] A. Raji, G. Krieger, N. Viart, D. Preziosi, J.-P. Rueff, and A. Gloter, Charge distribution across capped and uncapped infinite-layer neodymium nickelate thin films, Small 19, 2304872 (2023).
- [38] C. T. Parzyck, N. K. Gupta, Y. Wu, V. Anil, L. Bhatt, M. Bouliane, R. Gong, B. Z. Gregory, A. Luo, R. Sutarto, F. He, Y. D. Chuang, T. Zhou, G. Herranz, L. F. Kourkoutis, A. Singer, D. G. Schlom, D. G. Hawthorn, and K. M. Shen, Absence of 3a₀ charge density wave order in the infinite layer nickelates, arXiv:2307.06486.
- [39] See Supplemental Material at http://link.aps.org/supplemental/ 10.1103/PhysRevB.109.024512 for additional experiment details, data, and analysis.
- [40] M. M. Sala, V. Bisogni, C. Aruta, G. Balestrino, H. Berger, N. B. Brookes, G. M. de Luca, D. D. Castro, M. Grioni, M. Guarise, P. G. Medaglia, F. M. Granozio, M. Minola, P. Perna, M. Radovic, M. Salluzzo, T. Schmitt, K. J. Zhou, L. Braicovich, and G. Ghiringhelli, Energy and symmetry of dd excitations in undoped layered cuprates measured by Cu L₃ resonant inelastic x-ray scattering, New J. Phys. 13, 043026 (2011).
- [41] J. Lamsal and W. Montfrooij, Extracting paramagnon excitations from resonant inelastic x-ray scattering experiments, Phys. Rev. B 93, 214513 (2016).
- [42] R. Coldea, S. M. Hayden, G. Aeppli, T. G. Perring, C. D. Frost, T. E. Mason, S.-W. Cheong, and Z. Fisk, Spin waves and

electronic interactions in La_2CuO_4 , Phys. Rev. Lett. **86**, 5377 (2001).

- [43] M. Hayward and M. Rosseinsky, Synthesis of the infinite layer Ni(I) phase NdNiO_{2+x} by low temperature reduction of NdNiO₃ with sodium hydride, Solid State Sci. **5**, 839 (2003).
- [44] H. Lin, D. J. Gawryluk, Y. M. Klein, S. Huangfu, E. Pomjakushina, F. von Rohr, and A. Schilling, Universal spinglass behaviour in bulk LaNiO₂, PrNiO₂ and NdNiO₂, New J. Phys. 24, 013022 (2022).
- [45] R. A. Ortiz, P. Puphal, M. Klett, F. Hotz, R. K. Kremer, H. Trepka, M. Hemmida, H.-A. K. von Nidda, M. Isobe, R. Khasanov, H. Luetkens, P. Hansmann, B. Keimer, T. Schäfer, and M. Hepting, Magnetic correlations in infinite-layer nickelates: An experimental and theoretical multimethod study, Phys. Rev. Res. 4, 023093 (2022).
- [46] G. Ghiringhelli, M. L. Tacon, M. Minola, S. Blanco-Canosa, C. Mazzoli, N. B. Brookes, G. M. D. Luca, A. Frano, D. G. Hawthorn, F. He, T. Loew, M. M. Sala, D. C. Peets, M. Salluzzo, E. Schierle, R. Sutarto, G. A. Sawatzky, E. Weschke, B. Keimer, and L. Braicovich, Long-range incommensurate charge fluctuations in (Y,Nd)Ba₂Cu₃O_{6+x}, Science **337**, 821 (2012).
- [47] J. Pelliciari, N. Khan, P. Wasik, A. Barbour, Y. Li, Y. Nie, J. M. Tranquada, V. Bisogni, and C. Mazzoli, Comment on newly found charge density waves in infinite layer nickelates, arXiv:2306.15086.
- [48] C. C. Tam, J. Choi, X. Ding, S. Agrestini, A. Nag, M. Wu, B. Huang, H. Luo, P. Gao, M. Garcia-Fernandez, L. Qiao, and K.-J. Zhou, Reply to "comment on newly found charge density waves in infinite layer nickelates", arXiv:2307.13569.
- [49] S. Zeng, C. Li, L. E. Chow, Y. Cao, Z. Zhang, C. S. Tang, X. Yin, Z. S. Lim, J. Hu, P. Yang, and A. Ariando, Superconductivity in infinite-layer nickelate La_{1-x}Ca_xNiO₂ thin films, Sci. Adv. 8, eabl9927 (2022).

- [50] C. Peng, H.-C. Jiang, B. Moritz, T. P. Devereaux, and C. Jia, Charge order and superconductivity in a minimal two-band model for infinite-layer nickelates, Phys. Rev. B 108, 245115 (2023).
- [51] H.-H. Kim, S. M. Souliou, M. E. Barber, E. Lefrançois, M. Minola, M. Tortora, R. Heid, N. Nandi, R. A. Borzi, G. Garbarino, A. Bosak, J. Porras, T. Loew, M. König, P. J. W. Moll, A. P. Mackenzie, B. Keimer, C. W. Hicks, and M. L. Tacon, Uniaxial pressure control of competing orders in a high-temperature superconductor, Science 362, 1040 (2018).
- [52] M. Bluschke, A. Frano, E. Schierle, D. Putzky, F. Ghorbani, R. Ortiz, H. Suzuki, G. Christiani, G. Logvenov, E. Weschke, R. J. Birgeneau, E. H. da Silva Neto, M. Minola, S. Blanco-Canosa, and B. Keimer, Stabilization of three-dimensional charge order in YBa₂Cu₃O_{6+x} via epitaxial growth, Nat. Commun. 9, 2978 (2018).
- [53] J. Choi, Q. Wang, S. Jöhr, N. B. Christensen, J. Küspert, D. Bucher, D. Biscette, M. H. Fischer, M. Hücker, T. Kurosawa, N. Momono, M. Oda, O. Ivashko, M. v. Zimmermann, M. Janoschek, and J. Chang, Unveiling unequivocal charge stripe order in a prototypical cuprate superconductor, Phys. Rev. Lett. 128, 207002 (2022).
- [54] Q. Wang, K. von Arx, D. G. Mazzone, S. Mustafi, M. Horio, J. Küspert, J. Choi, D. Bucher, H. Wo, J. Zhao, W. Zhang, T. C. Asmara, Y. Sassa, M. Månsson, N. B. Christensen, M. Janoschek, T. Kurosawa, N. Momono, M. Oda, M. H. Fischer *et al.*, Uniaxial pressure induced stripe order rotation in La_{1.88}Sr_{0.12}CuO₄, Nat. Commun. **13**, 1795 (2022).
- [55] N. K. Gupta, R. Sutarto, R. Gong, S. Idziak, H. Hale, Y.-J. Kim, and D. G. Hawthorn, Tuning charge density wave order and structure via uniaxial stress in a stripe-ordered cuprate superconductor, Phys. Rev. B 108, L121113 (2023).