Slow heterogeneous relaxation due to constraints in dual XXZ models

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(Received 11 May 2023; revised 12 July 2023; accepted 7 September 2023; published 21 September 2023)

With the aim to understand the role of the constraints in the thermalization of quantum systems, we study the dynamics of a family of kinetically constrained models arising through duality from the XXZ spin chain. We find that integrable and nonintegrable deformations around the stochastic point give rise to ground state phase transitions between localised and delocalised phases, which in turn determine the nature of the relaxation dynamics at finite energy densities. While in the delocalised phase thermalization is fast and homogeneous, in the localised phase relaxation is slow, temporal autocorrelations exhibit plateaus indicative of metastability, and the growth of entanglement is heterogeneous in space. Furthermore, by considering relaxation from initial product states, we demostrate that this slow thermalization can be rationalised directly from the presence of constraints in the dynamics.

DOI: 10.1103/PhysRevB.108.L100304

I. INTRODUCTION

Thermalization is one of the major challenges in the durability of quantum technologies: quantum coherence-their vital property-cannot be sustained indefinitely due to imperfect isolation from the environment [1-4]. It is also expected to occur in extended isolated systems, where infinitely many degrees of freedom provide an effective bath that leads to equilibration of few-body observables. These attain stationary values predictable by standard statistical ensembles or, in the case of integrable systems with infinitely many conservation laws constraining the dynamics, generalizations thereof [5-8]. In generic systems, where only the energy is conserved, one can understand this in the context of the eigenstate thermalization hypothesis. The latter, through a combination of thermodynamic suppression of coherences and dephasing, leads to a drastic reduction in the number of parameters required to describe stationarity [9-12].

While the statistical ensembles can predict the asymptotic expectation values of the few-body observables, they give no information about the timescales over which the relaxation towards them occurs. Speed of relaxation can be affected by various circumstances, such as the extent to which the symmetries of the physical system are broken by the initial conditions [13–15], the presence of emergent quasiconserved quantities [16–20], or dynamical constraints [21–29]. The latter are the main feature of kinetically constrained models (KCMs), originally conceived as toy models for slow hierarchical dynamics of classical viscous fluids and glasses [30–33]. Mimicking excluded-volume interactions [34]—a feature of systems extending from supercooled liquids [35,36] to Rydberg blockade [37,38]—can lead to a wide variety of exotic quantum nonequilibrium phenomena that have recently

been in the spotlight. Examples include jamming and related Hilbert space fragmentation [39–44], quantum many-body scars [45–48], anomalous transport [49,50], and cooperative dynamics of fractons [51,52]. Excluded-volume interactions and dynamical constraints often arise in models with tunable interactions, where strong correlations between excitations are induced in the large coupling limit [37,41,53–59]. Alternatively, KCMs can sometimes be related to such models through duality transformations [14,60–62], an approach we follow here.

In this paper, we consider a family of one-dimensional (1D) quantum models mappable to the anisotropic Heisenberg spin-1/2 chain and its nonintegrable deformation. We investigate two phases of the model depicted in Fig. 1(a): a phase where the ground state is localized (yellow) and one where it is delocalized (red). A striking feature of the model in the phase with a localized ground state is the emergence of facilitated dynamics at finite energy density, as illustrated in Fig. 1(b): certain local arrangements (pairs of spins down, see below) can move freely, whereas certain other isolated excitations remain frozen for long times. We demonstrate the resulting separation of timescales by considering the evolution of temporal autocorrelation functions of particle occupation numbers starting from various initial states-cf. Fig. 1(c). This, in turn, gives rise to a growth of the bipartite entanglement entropy (EE) which is heterogeneous in space, depending on the effect that the dynamical constraints have on spatial fluctuations in initial product states.

II. MODELS

We consider a one-dimensional XPX model on a chain of L spins 1/2 with open boundary conditions:

$$H_{\rm XPX} = \sum_{j=2}^{L-1} \sigma_{j-1}^{x} \left(\mathbb{1} - \sigma_{j}^{z} \right) \sigma_{j+1}^{x} + w_{1} \sigma_{j}^{z} + w_{2} \sigma_{j-1}^{z} \sigma_{j+1}^{z}.$$
 (1)

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FIG. 1. Ground-state phases and slow relaxation of the XPX model. (a) Ground-state phase diagram of the XPX model in Eq. (1) for L = 160 (from DMRG performed using the ITensor library [63]). For smaller $w_{1,2}$ (yellow region), the ground state is localized, while for larger $w_{1,2}$ (red region) it is delocalized. The arrow indicates the values of $w_{1,2}$ used in (b), (c). (b) Time evolution of a spin configuration $|\cdots \downarrow \cdots \downarrow \downarrow \cdots \rangle$ with dots denoting spins up (from TEBD based on the Armadillo library [64,65]) and for $w_1 = -2, w_2 = -1/2$ in the localized phase. (c) Normalized autocorrelation functions for individual initial configurations (light blue) and their average (black) in the localized phase ($w_1 = -3.5, w_2 = -1.5$) in a specific symmetry sector of the model.

Pauli matrices acting in the site *j* are denoted by σ_j^{α} , $\alpha \in \{x, y, z\}$, while $\mathbb{1}$ is the identity. The dynamical constraint $\mathbb{1}-\sigma_j^z=2|\downarrow\rangle\langle\downarrow|_j$ allows the spins in sites j-1 and j+1 to flip only if a spin down is between them.

When $w_2 = 0$, the XPX model is integrable and belongs to a family of models,

$$X_{j} \mapsto \sigma_{j-1}^{x} \sigma_{j}^{x} \qquad H_{XPX} \qquad \sigma_{j}^{z} \mapsto \tau_{j-1}^{z} \tau_{j+1}^{z}$$

$$Z_{j}Z_{j+1} \mapsto \sigma_{j}^{z} \qquad H_{XXZ} \qquad H_{XXZ} \qquad H_{XOR-FA} \qquad (2)$$

$$\tau_{j}^{z} \mapsto X_{j}X_{j+1}$$

related by degenerate duality maps often referred to as the bond-site transformations. One of them yields the anisotropic Heisenberg model [14,60,62]

$$H_{XXZ} = \sum_{j=2}^{L-1} X_j X_{j+1} + Y_j Y_{j+1} + w_1 Z_j Z_{j+1}$$
(3)

and the second one the XOR-Fredrickson-Andersen model

$$H_{\text{XOR-FA}} = \sum_{j=2}^{L-1} \tau_j^x \left(\mathbb{1} - \tau_{j-1}^z \tau_{j+1}^z \right) + w_1 \tau_{j-1}^z \tau_{j+1}^z, \quad (4)$$

whose kinetic constraint—a quantum XOR gate—allows a spin flip to occur only between two oppositely aligned spins [66]. Operators X_j, Y_j, Z_j , and separately τ_j^{α} , $\alpha \in \{x, y, z\}$, satisfy Pauli algebra and can be represented as Pauli matrices acting in site *j*. For $w_2 \neq 0$, the integrability is broken [14]:

the corresponding nonintegrable deformations are H_{XXZ} + $w_2 Z_{j-1} Z_j Z_{j+1} Z_{j+2}$ and H_{XOR-FA} + $w_2 \tau_{j-2}^z \tau_{j+2}^z$.

We note that there is some freedom in specifying the duality transformations. Choosing $X_j \mapsto \sigma_{j-1}^x \sigma_j^x$, $Y_j \mapsto \sigma_{j-1}^x \sigma_j^y \sigma_{j+1}^z \cdots \sigma_L^z$, $Z_j \mapsto \sigma_j^z \cdots \sigma_L^z$ for $1 \leq j \leq L$, with convention $\sigma_0^x = 1$, the conserved magnetization $S^z = \sum_{j=1}^L Z_j$ of the Heisenberg model is mapped into the semilocal charge $\tilde{S}^z = \sum_{j=1}^L \sigma_j^z \cdots \sigma_L^z$ of the XPX model: $[H_{XPX}, \tilde{S}^z] = 0$. Despite not being local, such an operator may crucially affect local relaxation [14,60].

Notably, all of the models in the family Eq. (2) have classical stochastic counterparts. The one for the XPX model with $w_2 = 0$ and $w_1 = -1 - s$ is associated to $W(s) = -U(H_{XPX} + (1 + s)\mathbb{1})U^{-1}$, where $U = \prod_{j=1}^{L/4} \sigma_{4j-1}^z \sigma_{4j}^z$ and we have assumed $L/4 \in \mathbb{N}$ for convenience. The operator W(s = 0) is a stochastic Markov generator, while for $s \neq 0$ it is a deformed (or tilted) generator encoding the large deviation (LD) statistics [33,67,68] of the number of spin-flips (dynamical activity [69–71]) in trajectories of the dynamics [72].

Via duality to the XXZ model, and up to trivial boundaries, W(s = 0) corresponds to the stochastic generator of the classical symmetric simple exclusion process (SSEP) [73], and for $s \neq 0$, it encodes the LDs of the activity in the SSEP [74,75]. The SSEP is known to have a phase transition in the space of its (long-time) stochastic trajectories between an active and an inactive phase, which shows up as a nonanalyticity at s = 0in the largest eigenvalue of W(s) (in the large-size limit) [74–76]. The dynamical LD method [33,67,68] provides a means for a statistical ensemble description of trajectories, and the ensuing dynamical phase transition, in the classical stochastic SSEP [74–76]. In the dual picture for the quantum model, this transition (occurring at $w_1 = -1$) corresponds to the ferromagnetic-paraferromagnetic phase transition in the ground state of the XXZ model [77,78]. In what follows, we explore how it affects the relaxation in the XPX model.

III. LOCALIZED AND DELOCALIZED PHASES

In the context of quantum dynamics, the inactive and active phases of the XPX model will be referred to as the localized and delocalized phases, respectively. Choosing the inverse participation ratio IPR = $\sum_{\psi} |\langle \psi | GS \rangle|^4$ as a measure of localization (|GS \rangle is the ground state and $|\psi\rangle$ are computational basis states), we indeed find IPR close to one in the former and close to zero in the latter. As shown in Fig. 2 [see also Fig. 1(a)], these two phases extend beyond the integrable line $w_2 = 0$. For all $w_2 \leq 0$, they are separated by a first-order transition, both along w_1 as well as w_2 . Instead, for $w_2 > 0$ the transition is a second-order one, cf. Fig. 2.

An interesting feature of the localized phase, indicated in Fig. 1(b), is what could be described as fractonic nature of the excitations [56]: an isolated spin down remains immobile for long times, while two adjacent spins down can move without an energy cost. We note that the isolated spin down in the integrable XPX model ($w_2 = 0$) corresponds to a domain wall in the XXZ model, which does not melt in the $|w_1| > 1$ regime due to being close to a stable kink solution [79–84]. The fractonic dynamics in which particles can move only if paired (assisted hopping) is a sort of dynamical facilitation [32],

-0.6

 w_1

-1.0



FIG. 2. Order of ground-state phase transitions in the XPX model. Panels in the first and second rows show the derivatives of the ground-state energy on w_1 , respectively, w_2 . For $w_2 \leq 0$, the transition between the localized and delocalized phase (i.e., between the regions color-coded yellow and red, respectively) is a first-order transition. For $w_2 > 0$, it is of the second order.

which can lead to separation of timescales [33]. Remarkably, the resulting metastability exhibited by correlation functions which involve the entire spectrum of H_{XPX} , and which will be explored in the following, is tied to the localization of the ground state.

IV. SLOW RELAXATION

To probe metastability, we consider the average temporal autocorrelation of the one-site occupation number $n_j = (1 + \sigma_j^z)/2$:

$$c_t = \frac{1}{L} \sum_{j=1}^{L} \langle \psi | n_j(t) n_j | \psi \rangle.$$
(5)

Here, $n_j(t) = e^{iH_{XPX}t} n_j e^{-iH_{XPX}t}$ and $|\psi\rangle$ is a computational basis product state (an eigenstate of all σ_j^z). For such initial states c_t corresponds to the average magnetization of the initially occupied sites at time t. To smooth out fast fluctuations we furthermore define $\overline{c_t} = t^{-1} \int_0^t d\tau c(\tau)$, which asymptotically approaches the diagonal-ensemble prediction $\overline{c_{\infty}} = L^{-1} \sum_j \sum_{E_\ell = E_m} \psi_\ell^* \psi_m \langle \ell | n_j | m \rangle$, the sum over j running over the initially occupied sites only.

Figures 3(a)–3(d) portray the autocorrelation functions $(\overline{c_t} - \overline{c_{\infty}})/(\overline{c_0} - \overline{c_{\infty}})$, normalized to lie between 0 and 1, for a selection of initial states $|\psi\rangle$ in the semilocal-charge sector $\tilde{S}^z = L - 4$ with L = 14. The same panels show also the average of $(\overline{c_t} - \overline{c_{\infty}})/(\overline{c_0} - \overline{c_{\infty}})$ over all $|\psi\rangle$ in that sector. In contrast to the delocalized phase, Figs. 3(b)–3(d) (red background), where all correlation functions quickly attain stationary values, a large number of correlators in the localized phase, Figs. 3(a) and 3(c) (yellow background), exhibit plateaus which persist for long times before finally relaxing.

Averaging the correlation function over the initial states reveals a hierarchical decay typical for classical glassy systems, where it is associated with a sequence of different length



FIG. 3. Slowdown of the relaxation in the localized phase. (a)–(d) Normalized time-integrated autocorrelations $(\overline{c_t} - \overline{c_{\infty}})/(\overline{c_0} - \overline{c_{\infty}})$ from initial computational basis states (light blue) and their average over the sector $\tilde{S}^z = L - 4$ (black) for L = 14. (a), (b) The integrable case $(w_2 = 0)$; (c), (d) the nonintegrable one $(w_2 \neq 0)$. (a), (c) In the localized phase; (b), (d) in the delocalized one. (e) Normalized time-integrated correlation $\langle \overline{c_t} - \overline{c_{\infty}} \rangle$ averaged over all computational basis states (all sectors of Hilbert space). In the delocalized regime (overlapping curves, topmost being dashed), there is almost no w_1 dependence of the relaxation time, while in the localized regime there is a clear slowdown of relaxation with increasing $|w_1|$. The relaxation time seems to obey exponential scaling $\tau_{rel} \sim \exp(\alpha |w_1 + 1|)$. (f) Estimate of $\log \tau_{rel}$ from the area under $\langle \overline{c_t} - \overline{c_{\infty}} \rangle/\langle \overline{c_0} - \overline{c_{\infty}} \rangle$ as a function of $\log t$, averaged over the entire Hilbert space.



FIG. 4. Dynamic heterogeneity of the entanglement entropy. (a)–(c) Evolution of the time-integrated bipartite entanglement $\overline{S_j(t)}$ (top panels) and the time-integrated temporal autocorrelation of the one-site occupation number $\overline{\langle \psi | n_j(t) n_j | \psi \rangle}$ (bottom panels), starting from three different initial computational basis states $|\psi\rangle$ (black squares denote spins down, white ones spins up). The plots are for the localized regime of the nonintegrable model with $w_1 = -2$, $w_2 = -1/2$. (d) Snapshots of the EE profile at different times. The initial configuration for which the time autocorrelations have plateaus indicative of slow relaxation exhibits spatially heterogeneous entanglement evolution. The evolution of EE in the configuration with fast relaxation is instead faster and homogeneous.

scales on which relaxation occurs [85–87]. This is most apparent in the autocorrelation $\langle \overline{c_t} - \overline{c_\infty} \rangle / \langle \overline{c_0} - \overline{c_\infty} \rangle$, where $\langle - \rangle$ is the infinite-temperature average over the entire Hilbert space, plotted in Fig. 3(e). Defining the relaxation time τ_{rel} as the one required by the average correlator to fall below a certain cutoff value ε , there is a clear distinction between the delocalized phase, with no dependence on w_1 , and the localized one, for which Fig. 3(e) suggests $\tau_{rel} \sim e^{\alpha |w_1+1|}$ for some $\alpha > 0$ which may differ between the successive plateaus.

An alternative estimate for the relaxation timescale is the area under the averaged correlator in the logarithmic timescale: $\log \tau_{rel} \approx \int_{\log t_{min}}^{\infty} d[\log t](\langle \overline{c_t} - \overline{c_\infty} \rangle / \langle \overline{c_0} - \overline{c_\infty} \rangle)$ [88]. We show this τ_{rel} in Fig. 3(f) as a function of w_1 ranging between the delocalized and the localized regime of the integrable model ($w_2 = 0$): there is a clear crossover from a regime where τ_{rel} is only weakly dependent on w_1 , coinciding with the delocalized phase (red background) to one of exponential dependence on w_1 in the localized one (yellow background). Note the lack of dependence on system size for the sizes accessible to our numerics. This indicates that relaxation can be slow but not divergent with system size, a typical feature of glassy dynamics.

Note that through duality between the models, cf. Eq. (2), the metastability presented above should also occur for the autocorrelation function of the domain-wall occupation number $(\mathbb{1} - Z_j Z_{j+1})/2$ in the XXZ model, as well as for the autocorrelation function of $(\mathbb{1} - \tau_{j-1}^z \tau_{j+1}^z)/2$ in the XOR-FA model.

V. LARGE COUPLING REGIME

The slow relaxation observed above should be contrasted with the one in the strong coupling limit of the XPX model. In particular, for $w_2 = 0$ and $w_1 \rightarrow -\infty$ the dynamics of the XPX model is described by the integrable dual-folded XXZ model [41,42,89]. The latter has an exponentially large sector of jammed states, typical for Rydberg blockade systems [45,90], and exhibits strong Hilbert space fragmentation [39,44,91]. For finite w_1 , the dual-folded XXZ model accurately describes the time evolution of the XPX model up to times $t \sim |w_1|$. On such timescales, the dynamics is confined to small subsectors of Hilbert space and time-averaged correlation functions $\overline{c_t}$ exhibit plateaus. While we have checked that they can be correctly predicted by the folded model's diagonal ensemble, such plateaus are not observed for the values of $w_{1,2}$ considered herein, but instead appear for much larger values of $|w_{1,2}|$ (see Ref. [92] for a perturbative picture of prerelaxation in certain nonintegrable deformations of the XXZ model). Indeed, the plateaus seen in our examples persist on time scales that are exponential and not linear in the parameter.

VI. DYNAMIC HETEROGENEITY IN ENTANGLEMENT

Entropy growth provides crucial insight into the role of kinetic constraints in the emergence of metastability [21,22,93,94]. To demonstrate the heterogeneity of dynamical facilitation, we consider the bipartite EE $S_j(t) =$ $-\text{Tr}[\rho_j(\tau)\log \rho_j(\tau)]$, where $\rho_j(t)$ is the time-evolved reduced density matrix of the subsystem consisting of sites 1, 2, ... *j*. Figures 4(a)-4(c) show $\overline{S_j(t)} = t^{-1} \int_0^t d\tau S_j(\tau)$ for several initial states in the localized phase ($w_1 = -2, w_2 = -1/2$) of the XPX model on L = 14 sites. Figure 4(a) shows the difference between the EE growth when spins down which facilitate relaxation are initially closer (faster EE growth) and the one when they are further apart (slower EE growth). Note that the heterogeneity of the <u>EE</u> evolution is accompanied by plateaus in the correlators $\overline{\langle \psi | n_j(t)n_j | \psi \rangle}$. Figure 4(b) illustrates the interplay of the kinetic term and the three-site potential energy term (for $w_2 \neq 0$): facilitation caused by the spin down closer to the boundary affects less neighboring sites, which are thus entangled faster by the quantum unitary dynamics, that is, $\overline{S_{12}(t)} \ge \overline{S_2(t)}$ for all *t*. Finally, due to the assisted hopping in the localized regime, a large density of paired spins down results in a quick equilibration, as shown in Fig. 4(c). The profiles of EE plotted at different times in Fig. 4(d) further corroborate the observation that metastability is associated with dynamic heterogeneity, as is also the case in classical glassy materials with or without quenched disorder [34,35,93,95].

VII. DISCUSSION

We have investigated how metastability and slow heterogeneous relaxation emerge from the kinetic constraints in the XPX spin chain (and by extension in its duals, the XXZ and XOR-FA models). The onset of anomalously slow dynamics coincides with a ground-state phase transition from a delocalized to a localized one. This is similar to what occurs in other 1D and 2D constrained models [21,22,24,27] for deformations around their stochastic (frustration-free) points.

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In our case, we also find that the two phases with distinct relaxation extend beyond the range of parameters for which the model is integrable and which include the stochastic point. Interestingly, another contrast to previous results is that the ground-state transitions delimiting the two dynamical regimes are not always first order.

While the models studied herein bear some resemblance to certain KCMs with a variety of low-entangled nonthermalizing eigenstates [24,96], the methods for constructing such states do not straightforwardly generalize here due to crucial differences in either dynamical facilitation or interaction. Whether the onset of slow heterogeneous dynamics in quantum KCMs is related to nonthermalizing states interwoven into the energy spectrum or the presence of some other exotic symmetries constraining the dynamics [47,97,98] remains one of the intriguing open questions.

ACKNOWLEDGMENTS

L.Z. thanks E. Ilievski for valuable discussions. This paper was supported by the ERC under Consolidator Grant No. 771536 NEMO (L.Z.) and Starting Grant No. 805252 LoCoMacro (L.Z.), and by the EPSRC under Grant No. EP/V031201/1 (J.P.G.).

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