

**Epitaxial two-dimensional membranes under intrinsic and extrinsic strains**Nicolas Rougemaille  and Johann Coraux *Université Grenoble Alpes, CNRS, Institut NEEL, Grenoble INP, 38000 Grenoble, France*

(Received 11 September 2023; revised 18 October 2023; accepted 28 November 2023; published 11 December 2023)

Two-dimensional (2D) materials naturally form moiré patterns with other crystalline layers, such as other 2D materials or the surface of a substrate. These patterns add a nanoscale characteristic length in the form of a superlattice: the moiré wavelength. Understanding the origin and characteristics of these patterns is crucial to design and interpret moiré-induced physical properties. Here, we use a mixed continuum mechanics plus atomistic model to study two experimentally relevant epitaxial 2D materials—graphene on Ir(111) and MoS<sub>2</sub> on Au(111)—under extrinsic and intrinsic strains. We consider three different scenarios that substantially affect the lattice constant of the 2D materials, the wavelength, and the corrugation of the moiré pattern. (i) Under the influence of the interaction with the substrate, bending energy produces nontrivial variations of the moiré properties, even when the strain is small. (ii) When locked on a progressively strained substrate via the valleys of the moiré, the membranes' nanorippling amplitude goes through several jumps related to relatively smaller jumps in the interatomic distance of the 2D materials. (iii) Finally, with an increasing zero-deformation value of this interatomic distance (possibly controllable with temperature or illumination in experiments), the moiré wavelength can either increase or decrease.

DOI: [10.1103/PhysRevB.108.235415](https://doi.org/10.1103/PhysRevB.108.235415)**I. INTRODUCTION**

Moiré patterns in two-dimensional (2D) materials like graphene, boron nitride, and transition metal chalcogenides are a key ingredient for enriching the properties of these flexible, atomically thin membranes [1–4]. Deformations, both at the atomic scale and at the larger scale of moiré nanorippled patterns, are relevant here [5,6]. They are actively studied in twisted bilayers of 2D materials with varying degrees of control on the geometry of moiré patterns [7–9]. When the layers have different lattice parameters, which can be adjusted by strain imposed on one of the layers, considerable modification of the electronic properties is expected [10], giving rise to the concept of moiré gravity within a cosmology viewpoint and even to gauge fields experienced by the electronic states in the presence of out-of-plane deformations [11]. The role of moiré patterns in bilayer systems is also possibly prominent in relation to the relative interfacial sliding between the two layers, bringing peculiar frictional behavior [12,13] and possibly superlubricity [14].

Epitaxial 2D materials, i.e., 2D materials that have been synthesized onto crystalline substrates, are also characterized by moiré nanorippled patterns [15–19]. These patterns introduce a spatial modulation of the 2D material's electronic properties [20–22] and superlattice effects, including replicas of electronic bands [23,24] and mini band gaps [24], whose positions depend on the moiré structure and its wavelength  $\Lambda$  in particular. Understanding the influence of metal/2D material moirés is a lively field of research, which has, for instance, revealed graphene phonon enhancement via top-deposited

molecules [25], rotational ordering of incommensurate confined monolayers in the presence of competing length scales [26], a modified interaction between Kondo impurities [27], and nanoscale charge modulations [28]. Knowledge of  $\Lambda$  and of the nanorippling height modulation  $\Delta$  is hence crucial to understand and control moiré-related properties. This motivated us to understand how  $\Lambda$  and  $\Delta$  are selected by the competition between the elastic, membranelike properties of epitaxial 2D materials, on the one hand, and the interaction with their substrate, on the other hand [29]. It is accordingly possible to explain a mechanical instability in epitaxial graphene on Ir(111), wrinkling, that occurs as the strength of the graphene-substrate interaction changes [30] and is not due to only a mismatch of thermal expansion coefficients in the substrate and the 2D material [31,32].

A more obvious way to modify the moiré topography is to induce mechanical strain within the 2D material, i.e., compression or extension of interatomic bonds. This is accompanied by an elastic energy penalty which can be relieved by either further rippling or unripping. In principle, this can be achieved in several practical situations: applying mechanical stress to the substrate, varying the temperature to benefit from the different lattice compressions/expansions in the substrate and 2D material [31,32], and illuminating the 2D material [33,34].

Here, we explore strain-induced changes in the moiré topography using a mixed continuum mechanics plus atomistic model [29]. With this method it is possible to address systems comprising several hundred atoms, with no need to impose commensurability between the lattices of the substrate and of the 2D material as in most density functional theory (DFT) approaches treating the substrate in a realistic way. It is even possible, at the expense of relatively large computational times,

\*johann.coraux@neel.cnrs.fr

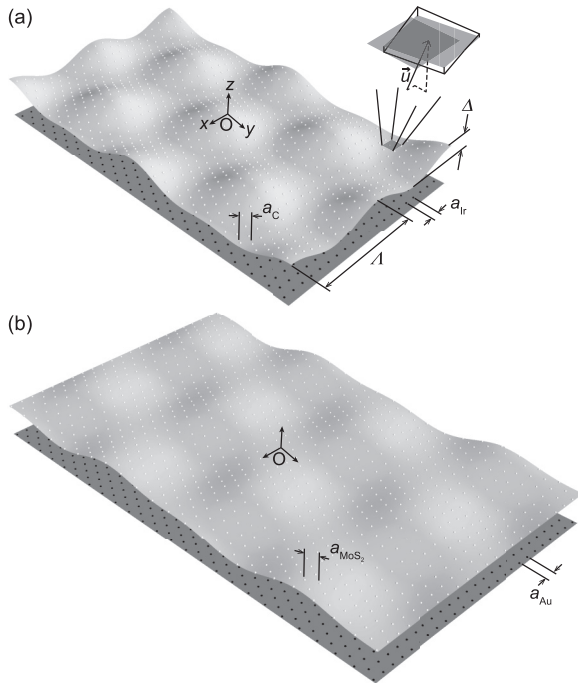


FIG. 1. (a) Graphene and (b) MoS<sub>2</sub> single layers on their crystalline substrates, each with a distinct nanoundulation pattern (cosine and sine, respectively). The strength and spatial variations of the interaction potential between the substrate (lattice constant  $a_s$ ) and the 2D material, which depend on the relative atomic coincidences, together with the elastic energy stored in the 2D membrane, set the moiré lattice's structural parameters (wavelength  $\Lambda$ , rippling amplitude  $\Delta$ , membrane lattice constant  $a_{C,MoS_2}$ ). The variations of these three parameters with  $a_s$  and the zero-deformation values of  $a_{C,MoS_2}$ ,  $a_{C,MoS_2}^0$ , are studied here. The top cartoon illustrates a possible local deformation of the membrane's surface (first in plane, between the two gray shaded areas, then out of plane, from the gray shaded area to the black frame).

to tune different control parameters of the structure, particularly strain, which cannot be tuned freely with numerical frameworks requiring commensurability. More specifically, we consider two prototypical systems, epitaxial graphene on Ir(111) and MoS<sub>2</sub> on Au(111), that are widely studied by experimentalists (see, e.g., Refs. [25–28]). We first determine the equilibrium configurations of the moiré patterns in terms of  $\Lambda$  and  $\Delta$  and the lattice parameter  $a$  (within the 2D membranes) as the lattice constant of the substrate is varied—an experimentally relevant situation with heteroepitaxial stress. We find that bending effects play an important role in the precise value of  $\Lambda$ . Next, we revisit this question by imposing a constraint on the membrane, namely, locking it on its substrate at the valleys of the moiré, where an atomic coincidence occurs between the substrate's and 2D material's lattices. This is, once more, an experimentally relevant situation where the 2D membrane is prevented from sliding on its substrate. We find that the 2D material experiences a series of mechanical transformations, whereby  $\Delta$  abruptly varies for certain substrate strain values. Finally, we study the influence of the zero-deformation value of the lattice constant within the 2D material. This quantity, which can vary with temperature or

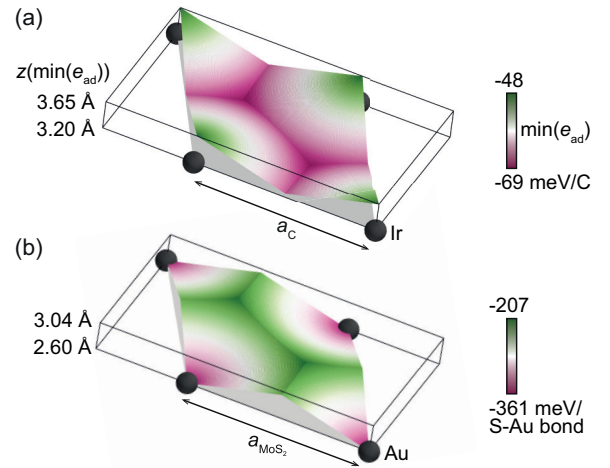


FIG. 2. Three-dimensional colored surface representing the minimum of the (a) graphene/Ir(111) and (b) MoS<sub>2</sub>/Au(111) adhesion energies as a function of the  $x, y$  position of a membrane atom with respect to the substrate atoms' in-plane positions.

illumination in certain 2D materials, is found to influence the moiré topography in a different way than substrate strain does.

## II. METHODS

Our model considers the 2D materials to be flexible and deformable membranes, on the one hand, within a continuum mechanics viewpoint and, on the other hand, atomic lattices that interact with their crystalline substrates according to the relative positions of the atoms (Fig. 1). The membranes' mechanical properties are treated within the thin plate theory, which assumes phenomenological elastic constants. The adhesion to the substrate is modeled by starting with existing DFT calculations of the interaction potential versus the distance between the substrate and 2D material. The details of this potential vary with the relative in-plane positions of the atoms in the substrate and the 2D material (see Fig. 2). A parametric analytic form of the potential is then sought, with parameters varying with the relative atomic positions. Here, any interfacial lattice mismatch produces a periodic spatial variation of these relative positions, leading to a periodic lattice (a superlattice) of (quasi)coincidences.

This superlattice is the well-known moiré pattern, with wavelength  $\Lambda$  (its period), and the effect of the adhesion potential is to create a nanoripple pattern with the same wavelength and amplitude  $\Delta$ . Of course,  $\Lambda$  and  $\Delta$  depend on the relative values of the lattice constants of the substrate,  $a_s$ , and of the 2D material,  $a$ , and on the twist angle (which we disregard here but considered in our earlier works [29]). Note that  $a$  is really the lattice constant within the membrane's local surface and not its projection along the substrate's surface (which we assume is flat, i.e., unperturbed by the presence of the 2D material). Choosing a simple, yet realistic, 2D shape for the membranes based on sine or cosine functions, it is then possible to numerically compute the total energy of the system, including elastic and adhesion contributions, which may or may not compete. This is precisely what we did in our recent work [29] in the spirit of previous works on bilayers

TABLE I. Elastic constants of freestanding and on-substrate graphene and MoS<sub>2</sub> (Lamé parameters  $\lambda$  and  $\mu$ , Poisson's ratio  $\nu$ , and bending modulus  $\kappa$ ) and structural parameters of the 2D materials and of the substrates [lattice constants (LCs) and thermal expansion coefficients  $\alpha$ ] from experiments (Expt.) and simulations (Sim.) performed within different theoretical frameworks.

	Graphene	Graphene/Ir	MoS <sub>2</sub>	MoS <sub>2</sub> /Au(111)	Ir(111)	Au(111)
$\lambda$ (eV/Å <sup>2</sup> )						
Expt.	3.60 [38]	4.21 [39]				
Sim.	2.41, 3.29 [40,41]		3.29 [36]			
$\mu$ (eV/Å <sup>2</sup> )						
Expt.	9.12 [38]	8.98 [39]				
Sim.	9.95, 9.38 [40,41]		3.6 [36]			
$\nu$						
Expt.		0.19 [39]				
Sim.	0.12, 0.149 [40,41]		0.29 [42]			
$\kappa$ (eV)						
Expt.	1.17 <sup>a</sup> [43]		6.0 [44]			
Sim.	1.1, 1.41 [45,46]		9.61 [47,48]			
LCs (Å)						
Expt.	2.462 <sup>a</sup> [49]	2.455, 2.462 [50]	3.167 <sup>a</sup> [51]	3.163 [52]	2.715	2.883
Sim.	2.457 [40]					
$\alpha$ (10 <sup>-6</sup> K <sup>-1</sup> )						
Expt.	[-8, -5.5] [53-56]		[6.7, 7.3] [57-59]		6.47 [60]	14.00 [61]
Sim.	-3.6 [62]		7.2 [63]			

<sup>a</sup>Values for the bulk material.

of 2D materials [35,36], but taking into account out-of-plane deformations which can play important roles in the case of epitaxial 2D materials. Although our model can treat strain inhomogeneities, for simplicity we assume a uniform  $a$  in the following. This assumption is reasonable in the present case of small- $\Lambda$  ( $< 10$  nm) moirés, for which the variation of interatomic distances is expectedly very small across a moiré unit cell [37].

The system's elastic energy within a moiré unit cell is written as a continuous sum,

$$\begin{aligned}
 E_{\text{el}} = & \frac{1}{2} \iint [\lambda(\varepsilon_{xx} + \varepsilon_{yy})^2 \\
 & + 2\mu(\varepsilon_{xx}^2 + \varepsilon_{yy}^2 + 2\varepsilon_{xy}^2)] dx dy \\
 & + \frac{\kappa}{2} \iint \{(\partial_x \partial_x u_z + \partial_y \partial_y u_z)^2 + 2(1 - \nu) \\
 & \times [(\partial_x \partial_y u_z)^2 - \partial_x \partial_x u_z \partial_y \partial_y u_z]\} dx dy, \quad (1)
 \end{aligned}$$

where  $\lambda$  and  $\mu$  are the Lamé coefficients,  $\kappa$  is the bending constant, and  $\nu$  is the Poisson ratio. Different values for these constants are summarized in Table I for graphene and MoS<sub>2</sub> (we disregard their possible variations with the crystallographic direction [64-66]). We do not consider the effect of temperature, which may change the values of the mechanical constants in a so far unknown way in the case of on-substrate 2D materials. Whenever possible, in our calculations we use experimental values for the epitaxial systems. When they do not exist, we use experimental values for isolated single layers or, if no other value is available, those for the corresponding three-dimensional material. Equation (1) combines the components of the strain tensor  $\varepsilon_{\alpha\beta}$ , with  $\alpha, \beta = x, y$ , and the spatial derivatives of the  $z$  component of the displacement vector  $\vec{u}$  (see Fig. 1). The former are defined as  $\varepsilon_{\alpha\beta} = 1/2(\partial_\beta u_\alpha + \partial_\alpha u_\beta + \sum_{\tau=x,y,z} \partial_\alpha u_\tau \partial_\beta u_\tau)$ , with  $\partial_{\alpha,\beta} = \partial/\partial_{\alpha,\beta}$ . Importantly,

all spatial derivatives of  $\vec{u}$  implicitly involve the 2D material's lattice constant and its deviation from its value in the absence of deformation of the atomic bonds, the zero-deformation value  $a^0$ , whose influence will be studied in Sec. V.

We write the adhesion energy as a sum over all  $N$  atoms of the membrane within the moiré unit cell,

$$E_{\text{ad}} = \sum_{i \in [1, N]} e_{\text{ad}}(x_i, y_i, u_{z,i}), \quad (2)$$

where  $x_i$  and  $y_i$  are the in-plane positions of the 2D material's atoms and  $e_{\text{ad}}$  is in the form of a Morse potential,  $p \times (1 - e^{-q \times (u_{z,i} - r)})^2 + s$ . The parameters  $p$ ,  $q$ ,  $r$ , and  $s$  depend on  $x_i$  and  $y_i$  and are determined by seeking the best fit of the Morse potential with the DFT data. The minimum of  $e_{\text{ad}}$  and the corresponding distance between the 2D material and its substrate are represented for epitaxial graphene on Ir(111) and MoS<sub>2</sub> on Au(111) in three-dimensional surface plots in Fig. 2. For both systems the parameters were determined previously (see Ref. [29] for details of the DFT data and Morse parametrization).

In the present work, using a home-made multiprocessor PYTHON code running on a 10-core Intel Xeon W-2150B 3.00 GHz CPU, we sought low-total-energy (i.e.,  $E_{\text{el}} + E_{\text{ad}}$ ) configurations among extended sets of configurations where  $\Lambda$ ,  $\Delta$ ,  $a$ ,  $a^0$ , and  $a_s$  were varied, in some cases imposing specific constraints on the system, which will be the case in Sec. IV. We considered height variations with the position  $\vec{r}$  in the form of  $\Delta/3 + \Delta/9(\cos \vec{k}_1 \cdot \vec{r} + \cos \vec{k}_2 \cdot \vec{r} + \cos \vec{k}_3 \cdot \vec{r})$  for graphene and  $\Delta/2 + \Delta\sqrt{3}/3(\sin \vec{k}_1 \cdot \vec{r} + \sin \vec{k}_2 \cdot \vec{r} + \sin \vec{k}_3 \cdot \vec{r})$  for MoS<sub>2</sub>, with  $\vec{k}_1$ ,  $\vec{k}_2$ , and  $\vec{k}_3$  being three vectors forming 120° angles and having a norm of  $4\pi/(\Lambda\sqrt{3})$ .

### III. MEMBRANE DEFORMATIONS VERSUS SUBSTRATE STRAIN

In-plane compressive or tensile strain in the substrate, i.e., a variation of  $a_s$  with respect to a reference value (usually, the bulk equilibrium value at a given temperature), is one of the structural parameters that can be controlled experimentally. This is the case, for example, when graphene grown on a substrate at elevated temperature wrinkles upon cooling down due to the distinct thermal expansion (Table I) in the two materials [31,32]. Another possible implementation of substrate strain could be mechanical stress applied macroscopically to the substrate. In all these situations, substrate strain can typically reach 1%, rarely more.

An interesting question concerns the equivalence of positive (negative) substrate strain with negative (positive) strain within the 2D material. In our framework, where the lattice constant within the 2D material is assumed to be spatially uniform, the two situations are equivalent. A follow-up question is whether, experimentally, it is possible to directly control the strain of an epitaxial 2D material, i.e., to stress it independently from the substrate. In fact, this seems to be challenging. Another way to generate strain in the 2D material, probably more relevant experimentally, will be discussed in Sec. V.

For both graphene/Ir(111) and MoS<sub>2</sub>/Au(111), we explored 7000 configurations with varying values of  $\{\Lambda, \Delta, a\}$ . For each  $a$  value, we determined the minimum of the total-energy 2D surface versus  $\Lambda$  and  $\Delta$  and finally identified the  $a$  value, yielding the global energy minimum (and the corresponding  $\Lambda$  and  $\Delta$  values) [29]. The procedure was repeated for 11 substrate strain  $\epsilon_s$  values.

The result is represented in Fig. 3 for the two systems. As expected, the moiré wavelength  $\Lambda$  increases as the lattice mismatch between the 2D material and its substrate decreases, i.e., towards increasing negative  $\epsilon_s$  for graphene/Ir(111) ( $a_C$  remains smaller than  $a_{Ir}$ ) and positive  $\epsilon_s$  for MoS<sub>2</sub>/Au(111) ( $a_{MoS_2}$  remains larger than  $a_{Au}$ ).

Qualitatively, the general trend is similar to what a basic calculation of  $\Lambda = 1/|1/a_{||} - 1/a_s|$  [67], neglecting any nanorippling, predicts (dotted curves in Fig. 3, calculated with  $a_{||}$  values of the in-plane projections of  $a$  measured in high-resolution diffraction experiments [50,52]). There are, however, significant deviations from this purely 2D viewpoint, as our model systematically predicts larger  $\Lambda$  values. This confirms one of the key conclusions of our previous work [29], namely, that the accumulation of the bending energy penalty in the membrane is mitigated by increasing  $\Lambda$ . This is here put in evidence by studying the effect of substrate strain, whereas only the influence of  $e_{ad}$  was addressed previously.

Beyond the sign of the  $\Lambda$  versus  $\epsilon_s$  slope, whose origin is rather trivial, there are noticeable differences between graphene/Ir(111) and MoS<sub>2</sub>/Au(111). The former adjusts not only its  $\Lambda$  value but also its nanorippling amplitude  $\Delta$  (0.35–0.45 Å) and its lattice constant (0%–0.04%). The variations of  $\Delta$  are nonexistent for MoS<sub>2</sub>/Au(111).

Interpreting these different behaviors is not straightforward. Obviously, pure 2D strains are more costly for graphene than for MoS<sub>2</sub> (stronger interatomic bonds and larger Lamé parameters; see Table I), while bending graphene is less costly [graphene is thinner, and although Poisson's ratio is smaller,

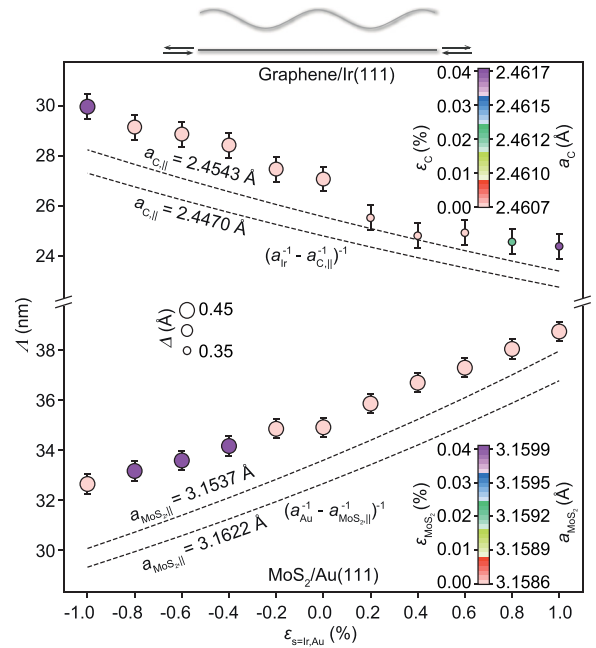


FIG. 3. Influence of in-plane biaxial deformation of the substrate lattice. The variations of  $\Lambda$ ,  $\Delta$ , and  $a_{C,MoS_2}$  in the graphene and MoS<sub>2</sub> moiré lattices are represented as a function of the substrate's in-plane deformation  $\epsilon_s$ , with respect to the room-temperature equilibrium lattice constant [Ir(111): 2.715 Å; Au(111): 2.883 Å]. The  $a_{C,MoS_2}$  values are coded by the color of the symbols; the  $\Delta$  values are represented by the size of the disks. Dotted lines show values calculated disregarding the influence of nanorippling for two sets of room-temperature experimental values of the in-plane projection of the 2D material's lattice constant (values from Refs. [50,52] for graphene/Ir(111) and MoS<sub>2</sub>/Au(111), respectively).

$\kappa$  is much smaller; see Eq. (1) and Table I]. This does not, however, explain the outcome of our calculations. More relevant here are the differences in the values of the minimum of  $e_{ad}$  at different sites of the moiré. They are significantly larger in the case of MoS<sub>2</sub> [29] (Fig. 2). Hence, for MoS<sub>2</sub> there is a stronger tendency to adopt a well-defined value of  $\Delta$  (0.45 Å, with no variations in the  $\epsilon_s$  range explored): there is a strong, substrate-induced selection of the nanorippling. In contrast, in the case of graphene  $\Delta$  can vary.

For positive  $\epsilon_s$  values, where the graphene/Ir lattice mismatch increases,  $\Lambda$  decreases, tending to increase the bending energy penalty. One way for the membrane to circumvent this cost is to decrease  $\Delta$ . Beyond a certain  $\epsilon_s$  value, further decreasing  $\Delta$  becomes too costly ( $e_{ad}$  penalty), so  $a$  increases to limit the lattice mismatch, hence the excessive decrease of  $\Lambda$  (and bending energy penalty). The same line of thought explains the increase of  $a$  for MoS<sub>2</sub> at increasing negative  $\epsilon_s$  values.

### IV. LOW-ENERGY CONFIGURATIONS WITH SUBSTRATE STRAIN AND WITHOUT INTERFACIAL SLIDING

Until now, we have sought the equilibrium energy configuration of the system, imposing no constraint on the way the 2D membrane can change its topography and the

compression/extension of its interatomic bonds. In other words, we have assumed a total absence of energy barriers between different membrane configurations on their substrate. In an experiment where a control parameter varies (more or less) continuously, this assumption might be too strong. One can, for instance, think of the Peierls-Nabarro barrier [68,69] related to the lattices' discreteness, which opposes the motion of interfacial dislocations; actually, a moiré lattice is nothing more than a 2D array of dislocations [70]. Experimental evidence exists that  $\Lambda$  hardly varies over several hundred kelvin below graphene's growth temperature on Ir(111) [71,72], suggesting that the graphene lattice cannot glide over the substrate lattice while the latter is compressed, despite a mismatch of thermal expansion coefficients. Instead, the two lattices are "locked in" due to the weak, but nonzero, covalentlike contribution to the adhesion energy in graphene/Ir [73] (also present in MoS<sub>2</sub>/Au [74]).

The lock-in scenario should apply to various 2D material/substrate systems, as long as the covalentlike contribution to the adhesion energy is non-negligible. This contribution translates into variations of the adhesion energy from one moiré site to another [75]. They are especially strong for metal surfaces like Ni(111) and Ru(0001) in contact with graphene, for which the lock-in scenario is experimentally the most relevant one. They are much fainter, but nonvanishing, for Ir(111) and even smaller for Cu(111) and Au(111); for these graphene substrates the lock-in scenario is expectedly relevant within a limited range of substrate strain. The scenario can be translated into a criterion characterizing the relative in-plane atomic positions of the 2D material and its substrate at a specific site of the moiré unit cell. There, the relative atomic positions must be unchanged (along with the number of atoms within the unit cell) during the process that is imposed on the system. In practice, we need to consider different sites depending on the shape of the nanorippling, especially depending on whether it is a 2D cosine (graphene/Ir) or a 2D sine (MoS<sub>2</sub>/Au). For the former, the site is a moiré hill, located at the end of the  $\vec{u}_m$  moiré unit vector [73]; for the latter, the site is located at the end of  $\vec{v}_m + 1/2\vec{u}_m$  [52,74] ( $\vec{v}_m$  is the second moiré unit vector).

Our calculation of the relative atomic positions requires a 2D integration of distances along a nanorippled surface, a task that we perform numerically; no simple analytical expression is available in the present case. It is hence not possible to directly invert the problem, namely, to deduce  $\Lambda$ ,  $\Delta$ , and  $a$  for a given value of the relative atomic positions. Instead, we use extended sets of  $\{\Lambda, \Delta, a\}$  values (typically,  $10^6$ ), select those (a few thousand) yielding relative atomic positions within a 0.4% tolerance range, and calculate their total energies to figure out which of these has the lowest energy. Strictly speaking, this is not the system's equilibrium configuration but is the most favorable one within the locked-in scenario. The process is repeated for all  $\varepsilon_s$  values. Note that for certain  $\varepsilon_s$  values, a second lowest-energy configuration with close energy is found (by "close," we mean that the configuration is only 10% or less higher than the full energy span of the calculated configurations).

Figure 4 shows the  $\Delta$  and  $a$  values calculated within this scenario as the substrate strain  $\varepsilon_s$  is varied ( $\Lambda$ , not shown, is imposed by the lock-in constraint and has only

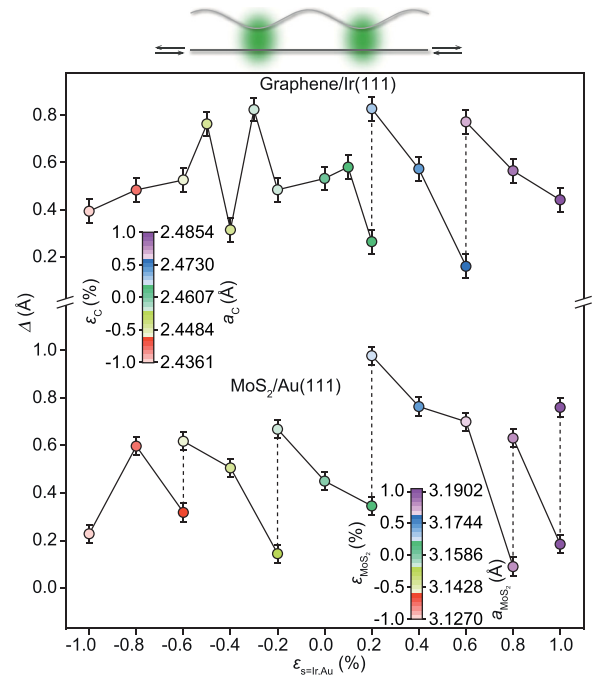


FIG. 4. Influence of in-plane biaxial deformation of the substrate lattice in the absence of interfacial sliding. The variations of  $\Delta$  and  $a_{C,MoS_2}$  in the graphene and MoS<sub>2</sub> moiré lattices are represented as a function of the substrate's in-plane deformation  $\varepsilon_s$ , with respect to the room-temperature equilibrium in-plane lattice constant. In the calculations, the relative positions of the C or S atoms with respect to the Ir and Au atoms, respectively, are kept constant within the valleys when  $\varepsilon_s$  varies.

slight variations purely due to the variation of  $a_s$ ). Not surprisingly, the variations are markedly different from those in Fig. 3. The most striking observation is the existence of jumps in  $\Delta(\varepsilon_s)$ . Such jumps also occur in  $a(\varepsilon_s)$ , but they are much smaller, about 0.15% (graphene) and 0.05% (MoS<sub>2</sub>).

What is the origin of these jumps? Let us first invoke a purely mechanical argument: a membrane subjected to compressive (tensile) biaxial heteroepitaxial stress is expected to have an increased (decreased)  $\Delta$  value. The membrane, however, is here also subjected to the interaction with the substrate, and as we saw earlier,  $e_{ad}$  favors a specific  $\Delta$  value. A reduction or increase of  $\Delta$  too far from this value, promoted by the mitigation of elastic energy accumulation, will hence become unfavorable at some point. In this case the membrane can increase (decrease) its nanorippling if  $a$  increases (decreases).

At this point, a few remarks can be made. The abrupt change in  $\Delta$  and  $a$  appears to be discontinuities in Fig. 4 (they are marked by vertical dotted lines connecting an upper branch and a lower branch). For these jumps, the two sets of  $\Delta$  and  $a$  values correspond to slightly different total energies, and one should expect that repeating the calculations for slightly lower or larger (few 0.01%)  $\varepsilon_s$  values will change the hierarchy between the two branches; that is, the jumps are expectedly less abrupt than is apparent in Fig. 4. We note that the calculation results presented in Fig. 4 represent considerable computation time, several full weeks. Anyway, the observed

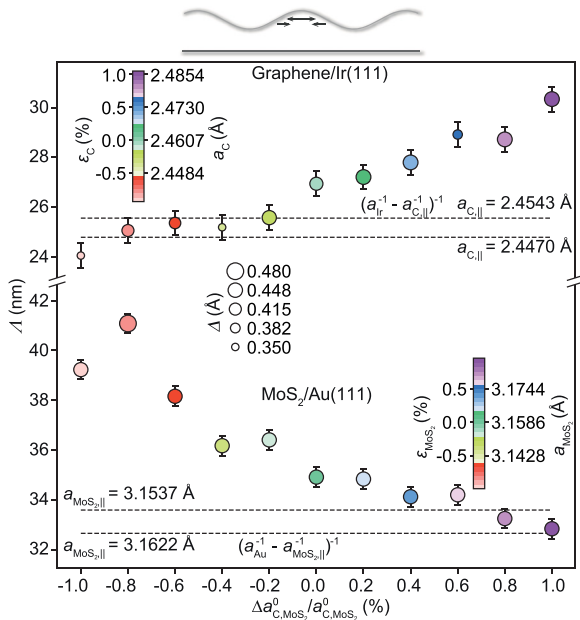


FIG. 5. Influence of the zero-deformation value  $a^0_{C,MoS_2}$  of the lattice constant of the membrane. The variations of  $\Lambda$ ,  $\Delta$ , and  $a_{C,MoS_2}$  in the graphene and MoS<sub>2</sub> moiré lattices are represented as a function of the variations of  $a^0_{C,MoS_2}$  and  $\Delta a^0_{C,MoS_2}/a^0_{C,MoS_2}$ . The  $a_{C,MoS_2}$  values are coded by the color of the symbols; the  $\Delta$  values are represented by the size of the disks. Dotted lines show values calculated disregarding the influence of nanorippling for two sets of room-temperature experimental values of the in-plane projection of the 2D material's lattice constant (values are from Refs. [50,52] for graphene/Ir(111) and MoS<sub>2</sub>/Au(111), respectively).

jump recalls a well-known instability of membranes, namely, their sudden strain-induced buckling, here controlled by the interaction with the substrate.

## V. MEMBRANE DEFORMATION BY INTERNAL STRAIN

In this section, we turn to the influence of another parameter which may be seen as the source of what we call “internal strain.” So far we have assumed fixed zero-deformation values of the lattice constant within the membrane  $a^0$  (2.462 Å for graphene and 3.167 Å for MoS<sub>2</sub>, values measured at 300 K for the flat layers within the bulk version of the materials; see Table I). If by some process  $a^0$  varies, then the  $\epsilon$  strain within the membrane varies too. The membrane might tend to limit the corresponding elastic energy penalties with changes in  $\Lambda$  and  $\Delta$ . Several processes may lead to  $a^0$  variations. Temperature, for instance, can have this effect in relation to phonon anharmonicity [40,57,62,76], and nontrivial variations can be expected, possibly altered by the presence of a substrate [77]. Illumination can also be relevant, as envisaged so far in 2D materials other than graphene and MoS<sub>2</sub>, for example, via photostriction [33] or light-induced electronic states [34].

Figure 5 shows the calculated  $\Lambda$ ,  $\Delta$ , and  $a$  variations as a function of  $a^0$ . There is a global increase of  $\Lambda$  as  $a^0$  increases in the case of graphene/Ir and a global decrease for MoS<sub>2</sub>/Au, at variance with the  $\Lambda(\epsilon_s)$  trend observed in Fig. 3. This is actually expected, at least qualitatively: here, increasing  $a^0$  tends to effectively decrease  $\epsilon$  (strain within the

membrane). Although the extent of the  $\Lambda$  variations is close to that in Fig. 3, close inspection reveals several differences. More importantly, the  $a$  variations are very different: in both graphene and MoS<sub>2</sub>,  $a$  increases *similarly* to  $a^0$  but with some small differences, i.e.,  $\epsilon \sim \Delta a^0/a^0$ . This is accommodated in different ways in graphene and MoS<sub>2</sub>: in the former,  $\Lambda$  steadily increases with  $\Delta a^0/a^0$ , and the membrane adjusts  $\Delta$ , while in the latter,  $\Lambda$  occasionally decreases and  $\Delta$  is constant. This is, once more, a manifestation of the strong driving force of  $e_{ad}$  to select a well-defined value of  $\Delta$  in the case of MoS<sub>2</sub>. Said differently,  $\Delta$  is not, for this material and in this range of  $\Delta a^0/a^0$  values, a free parameter that the membrane can adjust to minimize its energy.

The comparison between Figs. 3 and 5 illustrates that directly changing  $a$  (in Fig. 3 changes in  $a_s$  are considered, but as mentioned earlier, they are equivalent to changes in  $a$ ) does not have the same effects as changing  $a^0$ . The reason is that a change in  $a^0$  directly translates into an in-plane elastic energy penalty or gain, varying like  $\epsilon^2$ , while the direct effect of a change in  $a$  is to alter the relative atomic coincidences between the substrate and membrane atoms, leading to an  $e_{ad}$  penalty or gain (which can then be mitigated with elastic energy changes).

## VI. SUMMARY

Using a model mixing a continuum mechanics description of 2D membranes and an atomistic description accounting for the interaction of these membranes with crystalline substrates, we have explored how epitaxial graphene/Ir(111) and MoS<sub>2</sub>/Au(111) adapt to different forms of strain, implemented in different situations. We explored the regime of small strains, which seems to be the most relevant experimentally.

First, we considered planar, biaxial strain within the substrate (which is somehow equivalent to a strain within the membranes). In this situation the membranes were allowed to adopt a lowest-energy configuration, their equilibrium configuration, and we found that nanorippling, and the related energy cost, causes a departure from the purely geometrical standard estimate of the moiré wavelength usually assumed in the literature ( $\Lambda = 1/|1/a_{||} - 1/a_s|$ ). We also found that MoS<sub>2</sub> is more strongly influenced by its Au substrate and therefore does not readily change its nanorippling amplitude, while graphene has more freedom in this respect on an Ir substrate. Both materials can also adapt their lattice parameter to manage the substrate biaxial strain.

We then turned to another situation in which the 2D membrane is no longer free to find its equilibrium state when the substrate is strained but, rather, is locked on it via the moiré. In this experimentally relevant situation, the membrane is pinned on its substrate at the valleys of the moiré (where the 2D material has the strongest interaction with its substrate), and the moiré wavelength is not a free parameter. The membrane experiences a series of transformations, with jumps in its nanorippling amplitude and its lattice parameter as the substrate strain varies, and overall, its lattice constant increases with increasing substrate strain.

Finally, we considered a situation in which the zero-deformation value  $a^0$  of the lattice constant in the 2D material

varies and sought the minimum-energy configurations once more. Reminiscent of the effect of substrate strain, a global increase of the moiré wavelength with  $a^0$  was obtained. The 2D material's lattice constant tends to follow, at least to some extent, the  $a^0$  variations; the membrane can now change its nanorippling amplitude (in the case of graphene/Ir), and when it cannot, on the contrary, it needs to adjust its moiré wavelength, which complexifies the evolution of the latter.

Our analysis could serve as a starting point to quantitatively understand certain mechanical instabilities of on-substrate 2D materials. Strains, induced by temperature, for instance, alter the at-equilibrium mechanical state of the membranes. Certain hinderances can prevent the material from adopting this low-energy state, and processes such as the buildup of lateral compressive stress due to a lattice locking onto the substrate might be relevant in practice. The 2D material itself might change its (internal) mechanical properties under external stimuli (e.g., via photostriction or with a change in temperature [76,78,79] in relation to flexural modes that are strongly influenced by the presence of the substrate [77]). Carefully studying the relative importance of these different effects could allow us to quantitatively understand the formation, density, and morphology of wrinkles in epitaxial 2D materials in the future. Intuitively at least, one expects wrinkles to locally introduce what resembles open boundary conditions for the moiré lattice, acting as more or less free-to-move edges letting the moiré lattice expand or unripple in response to planar stress.

After earlier works focused on the influence of the interaction potential between the 2D membrane and the substrate [29], the present work analyzed the role of strains, external ones from the substrate and internal ones within the membrane itself. We have so far disregarded the effect of the twist angle between the two materials, which would require a dedicated study. Considering substrates with a small lattice mismatch with the 2D material, e.g., Cu(111) for graphene, would lead to the interesting question of the reconstruction of the moiré lattice, possibly producing a spatially nonuniform lattice constant, as observed in other large- $\Lambda$  systems [80,81], which can be treated using the theoretical framework we used. Systematically investigating the influence of each of the mechanical constants of the membranes, which can vary with temperature, for example, is another possible direction for future investigations. Our results can serve as a basis to understand and conceive experimental endeavors toward engineering moiré patterns—their wavelength and nanorippling amplitude—for instance, via mechanical stress, temperature, light, or the presence of imperfections in the substrate (e.g., step edges, dislocations emerging at the surface).

#### ACKNOWLEDGMENT

We thank V. T. Renard and N. Atodiresi for fruitful discussions.

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