Electronic structure of americium sesquioxide probed by resonant inelastic x-ray scattering

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Received 5 September 2023; revised 6 November 2023; accepted 13 November 2023; published 30 November 2023)

The Am 5*d*-5*f* resonant inelastic x-ray scattering (RIXS) data of americium sesquioxide were measured at incident photon energies throughout the Am $O_{4,5}$ edges. The experiment was supported by calculations using several model approaches. While the experimental Am $O_{4,5}$ x-ray absorption spectrum of Am₂O₃ is compared with the spectra calculated in the framework of atomic multiplet and crystal-field multiplet theories and Anderson impurity model (AIM) for the Am(III) system, the recorded Am 5*d*-5*f* RIXS data are essentially reproduced by the crystal-field multiplet calculations. A combination of the experimental scattering geometry and theoretical analysis of the character of the electronic states probed during the RIXS process confirms that the ground state of Am₂O₃ is singlet Γ_1 . An appearance of the low-intense charge-transfer satellite in the Am 5*d*-5*f* RIXS spectra at an energy loss of ~5.5 eV suggests weak Am 5*f*-O 2*p* hybridization which is in agreement with AIM estimations of the 5*f* occupancy from spectroscopic data in Am₂O₃ as being 6.05 electrons.

DOI: 10.1103/PhysRevB.108.195152

I. INTRODUCTION

The important feature of the actinide 5f electrons is that they can exhibit both itinerant and localized character depending on the system or compound. Although the overall degree of the 5f localization is usually expected to increase for the Am-Cf part of the actinide row, it is necessary to understand the 5f character in terms of such a degree for each material when the electronic structure and physical and chemical properties are to be characterized.

Americium oxides play a significant role in the nuclear cycle. Aside from the intention to have them as a part of fuels for the fourth generation (Gen IV) nuclear reactors, the use of these oxides for the power sources in the deep space missions is also being exploited [1-3]. However, the experimental studies of the electronic structure of americium oxides are scarce due to their substantial radioactivity.

X-ray spectroscopy is an important tool for this type of studies and allows for measurements on very small quantities of material in question. It can provide information about the chemical state of actinides in various systems, (non)stoichiometry, oxygen/metal (O/M) ratio, local symmetry of the environment and charge distribution, as well as other parameters important for nuclear fuel performance. One of the attractive techniques is resonant inelastic x-ray scattering

(RIXS) which is very sensitive to changes in chemical state and in symmetry of the environment of the studied element, in particular by probing the elementary low-energy excitations (5f-5f excitations in case of actinide systems). The availability of high resolution allows one to monitor the distribution of the electronic states in greater detail. In contrast to commonly used x-ray absorption spectroscopy (XAS), the RIXS resolution is not limited by the core-hole lifetime broadening and depends solely on the instrumental resolution. However, a number of pioneering valence-to-core RIXS studies at U 3d edges of uranium compounds [4-9] suffered from a limited resolution of utilized crystal analyzers allowing one to resolve only the U 5f-ligand 2p charge-transfer excitations which are mainly at higher energy losses than the 5f-5f excitations (only very recently, the high-resolution RIXS measurements at the U 3d edge became available [10]).

Soon after the experimental proof [11] that valence-to-core RIXS probes elementary electronic excitations (*d-d* excitations in case of MnO), going to shallow edges was a natural way to improve the resolution of the RIXS technique. The *d-d* RIXS measurements at the Cu 3p edge of the Sr₂CuO₂Cl₂ cuprate [12] followed by the measurements at the Ni 3pedge of NiO (Refs. [13,14]) and NdNiO₃ (Ref. [13]) which indicated the ability of the technique to provide greater details on the *d-d* excitations. As to *f*-block systems, first RIXS measurements of *f-f* excitations at the 4*d* edges of lanthanides were performed for the Gd oxide [15], shortly followed by RIXS experiments for Ce-based heavy-fermion materials [16], while the first RIXS measurements at the 5*d* edges of actinides were carried out for UF₄ (Ref. [5]).

At actinide 5*d* thresholds, the main absorption edge is very broad due to so-called autoionization processes as it can be seen from XAS measurements (see, e.g., Refs. [17-25]) and electron energy loss spectroscopy (EELS) [1,26-31]. Such a

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broadening makes it difficult to determine the chemical shifts and obtain information about the ground state of the system from the XAS spectra. In this situation, the employment of the RIXS technique with its potentially unlimited resolution at the actinide 5d edges can be very helpful.

Although, initially pioneering 5d-5f RIXS studies at the actinide $O_{4,5}$ edges were carried out for oxides of uranium [23,24,32–35], neptunium [24,34,35] (see also the Supporting Information section of Ref. [36]), plutonium [24,34], and curium [37], the RIXS technique at the actinide 5d edge was only rarely used because of safety restrictions for research on radioactive samples in the ultrahigh vacuum (UHV) environment at sources of synchrotron radiation.

Here we present first Am 5d-5f RIXS data of Am₂O₃ recorded at a number of incident photon energies at the Am $O_{4,5}$ edges. The data are analyzed with help of the model calculations. The many-particle theoretical approaches turn out to be successful in reproducing the experimental spectra due to a significant localization of the 5f states in Am₂O₃.

II. EXPERIMENT

The Am oxide sample used for measurements was fabricated by technique used to prepare radionuclide counting plates at the Lawrence Berkeley National Laboratory (LBNL; see the "Preparation of counting sources" subsection in Ref. [38]). The counting plate was prepared from an aqueous solution of about 2.0 mM Am-243 (better than 99.6% Am-243 by mass) in 0.1 M HCl that was delivered by micropipette techniques to an area of $\sim 4 \text{ mm}^2$ area on a high-purity Pt substrate (25.4 mm diameter). The aqueous droplets were allowed dry leaving a residue that was ring shaped. This was followed by inductive heating to nearly 700 °C under atmosphere to oxidize the material and fixing the material to the Pt substrate to preclude loss when placed in the UHV spectrometer chamber during the measurement. This process is expected to yield the Am oxide sesquioxide with an approximate composition of Am_2O_3 (Ref. [37]). The counting plated was trimmed to 3 mm \times 3 mm around the center and mounted on the sample holder with conductive tape as described below. The Am sample taken to the Advanced Light Source (ALS) was close to 1 µg of Am-243.

A specially designed sample holder, which is described in Refs. [24,39], was used for the Am_2O_3 sample during the measurements. It is essentially a cylindrical can with slots for incoming and outgoing radiation. The sample is attached to the slab inside the can just behind the slot. Due to such a design, the sample holder served as a catch tray for material that might come loose during handling and the measurements, thus ensuring that no contamination will be left in the experimental chamber after the experiment.

Experiments in the energy range of the Am $O_{4,5}$ x-ray absorption edge ($5d \rightarrow 5f, 7p$ transitions) of Am₂O₃ were performed at beam line 7.0.1 of the ALS, LBNL employing a spherical grating monochromator [40]. The Am 5*d* XAS data were measured in the total electron yield (TEY) mode using drain current on the sample. The incidence angle of the incoming photons was close to 90° to the surface of the sample. The monochromator resolution was set to ~50 meV at 115 eV during measurements at the Am 5*d* edge.

Am 5d-5f RIXS spectra of the sample at the Am $O_{4,5}$ x-ray absorption edge were recorded using a grazingincidence grating spectrometer [41] with a two-dimensional multichannel-plates (MCPs) detector where the front MCP had a CsI coating. The 400 lines/mm grating of this spectrometer (based on the Rowland circle criterion) was employed to collect the RIXS data with the high-energy resolution and the 300 lines/mm grating was used to measure the RIXS spectra in a larger energy range but with lower resolution. The incidence angle of the photon beam was 10° from the sample surface and the spectrometer was placed in the horizontal plane at an angle of 90° , with respect to the incidence beam. The total energy resolution of the RIXS spectra was estimated to be \sim 70 meV for the 400 lines/mm grating and \sim 160 meV for the 300 lines/mm grating, using the full-width at half-maximum (FWHM) of the elastic peak. Measured RIXS spectra were normalized to the current in the ring.

III. COMPUTATIONAL DETAILS

The XAS spectra at the actinide 5d edges were calculated using the formalism described in Ref. [42] in order to account for the "giant resonance," highly inhomogeneous broadening of the spectral transitions (due to differences in core-hole lifetime of various core-excited states) and Fano effect. In our calculations, in addition to the interactions for the free ion, as used in Ref. [42], the crystal-field splittings in the 5f shell and the hybridization effects between actinide and oxygen states were taken into account using the Anderson impurity model (AIM) approach [43].

To simplify the calculations of the Am 5d-5f RIXS map around the Am(III) $O_{4,5}$ thresholds, the crystal-field multiplet theory approach was used because the Am 5f-O 2pcharge-transfer effects were not expected to be significant in americium sesquioxide (see, e.g., Ref. [25]). The RIXS map was calculated using Kramers-Heisenberg equation

$$I_{qq'}(\omega, \omega') = \sum_{f} \left| \sum_{m} \frac{\langle f | D_{q'} | m \rangle \langle m | D_{q} | g \rangle}{E_{g} + \omega' - E_{m} - i\Gamma_{m}/2} \right|^{2} \\ \times \delta(E_{g} + \omega' - E_{f} - \omega), \tag{1}$$

where $|g\rangle$, $|m\rangle$, and $|f\rangle$ are the ground, intermediate, and final states with energies E_g , E_m , and E_f , respectively, while ω' and ω represent energies of incident and scattered photons, respectively. D_q is the dipole operator, Γ stands for the intermediate state lifetime (Lorentzian FWHM), and q and q'are polarizations of the light with respect to the quantization axis. The experimental geometry for RIXS measurements was taken into account in the calculations as described in Ref. [44].

The required Slater integrals F^k , G^k , and R^k , spin-orbit coupling constants ζ , and matrix elements were obtained with the TT-MULTIPLETS package which combines Cowan's atomic multiplet program [45] (based on the Hartree-Fock method with relativistic corrections) and Butler's point-group program [46], which were modified by Thole [47], as well as the charge-transfer program written by Thole and Ogasawara.



FIG. 1. Experimental and calculated XAS spectra at Am $O_{4,5}$ edges of Am₂O₃. The spectra are calculated using atomic and crystal-field multiplet theory for the Am³⁺ ion and Anderson impurity model, respectively.

IV. RESULTS AND DISCUSSION

The recorded Am O_{4,5} XAS spectrum of Am₂O₃ is displayed in Fig. 1. For Am₂O₃, the autoionization processes can be characterized by three decay channels following the $5d^{10}5f^6 \rightarrow 5d^95f^7$ excitation. The $5d \rightarrow 5f$ absorption and $5d \rightarrow \varepsilon f$ ionization are competing routes coupled to each other by the $\langle 5d^95f^7|1/r|5d^95f^6\varepsilon f\rangle$ configuration interaction (CI), where ε stands for an electron in the continuum. The decay of the excited $5d^95f^7$ states via $(5d^95f^7|1/r|5d^95f^6\varepsilon f)$ can be called the $5f \rightarrow$ εf tunneling channel [42,48]. The $5d^95f^7$ core excitations can also decay via 5d-5f6(s, p) Coster-Kronig and 5d-5f5f super-Coster-Kronig channels so that the excited states are coupled to the $6(s, p)^{-1}$ and $5f^{-1}$ ionization continua by the $(5d^95f^7|1/r|5d^{10}5f^66(s, p)^{-1}\varepsilon l)$ and $\langle 5d^95f^7|1/r|5d^{10}5f^5\varepsilon l\rangle$ CI processes, respectively. The broadening of the 5f edge which is highly inhomogeneous can be evaluated by calculating these CI matrix elements.

Figure 1 shows the calculated Am $O_{4,5}$ XAS spectra of Am₂O₃ compared with experimental one. First, the XAS spectrum was progressively calculated using atomic and

TABLE I. The *ab initio* Hartree-Fock values of Slater integrals and spin-orbit coupling constants for ground (first four rows) and core-excited states of Am(III) ion. In XAS and RIXS calculations these values were reduced as described in the text. *F*, *G*, and ζ are given in eV, *R* in \sqrt{eV} .

=

Am(III)	Value
$F^{2}(5f, 5f)$	10.086
$F^4(5f, 5f)$	6.577
$F^{6}(5f, 5f)$	4.823
$\zeta(5f)$	0.345
$F^{2}(5f, 5f)$	10.270
$F^{4}(5f, 5f)$	6.707
$F^{6}(5f, 5f)$	4.923
$\zeta(5f)$	0.359
$F^{2}(5d, 5f)$	11.434
$F^{4}(5d, 5f)$	7.377
$G^{1}(5d, 5f)$	13.599
$G^{3}(5d, 5f)$	8.412
$G^{5}(5d, 5f)$	6.005
$\zeta(5d)$	4.002
$R^1(5d\varepsilon g, 5f^2)$	1.208
$R^3(5d\varepsilon g,5f^2)$	0.761
$R^5(5d\varepsilon g,5f^2)$	0.540

crystal-field multiplet theory for the $(5d^{10}5f^6 \rightarrow 5d^95f^7)$ excitation of the Am(III) ion. Only the super-Coster-Kronig decay channel $(5d^{10}5f^6 \rightarrow 5d^95f^7 \rightarrow 5d^95f^5\varepsilon l)$ was taken into account in the calculations as a dominating process [42,48].

It has been established (see, e.g., [49-51]) that the Slater integrals describing the f-f interaction as well as the interaction of the f electrons with core holes in shallow levels such as 4d for lanthanides and 5d for actinides need to be scaled down from their *ab initio* Hartree-Fock values for a description of the XAS spectra at those levels. In our calculations Slater integrals $F^k(5f, 5f)$, $F^k(5d, 5f)$, $G^k(5f, 5f)$, and $R^k(5f5f, 5d\varepsilon l)$ were reduced to 75%, 75%, 65%, and 80%, respectively (see Table I). The actual reduction values were also a result of the optimization of the agreement between calculated and measured Am 5d-5f RIXS spectra of Am₂O₃ (see below). Furthermore, the value of the spin-orbit coupling constant for the 5f shell was reduced in the calculations to 93% from the Hartree-Fock value for the same reason.

In the crystal-field multiplet calculations, Wybourne's crystal-field parameters were set to $B_0^4 = -0.835$ eV and $B_0^6 = 0.100$ eV based on the estimates for the case of Am(III) in ThO₂ [52]. In addition, the direct interatomic exchange and superexchange, treated as a magnetic field along the *z* axis and acting on the spin *S*, were set to 0.001 eV to lift the degeneracy of the states.

Furthermore, the Am $O_{4,5}$ XAS spectrum of Am₂O₃ was calculated using the AIM approach (which also included the full multiplet structure) as shown in Fig. 1. The hybridization of Am 5*f* states with oxygen 2*p* states was taken into account in the AIM calculations for the Am(III) system. The same AIM parameter values were applied as in Ref. [25] where the Am $N_{4,5}$ XAS, and 4*f* x-ray photoelectron spectra of



FIG. 2. Calculated Am 5d-5f RIXS map of Am₂O₃ using the crystal-field multiplet approach. The intensity scale is linear and represented in arbitrary units as a color scale on the right-hand side.

Am₂O₃ were calculated. The ground state was described as a mixture of the $5f^6$ and $5f^7\underline{v}^1$ configurations and Am 5f–O 2p charge-transfer energy Δ , 5f-5f Coulomb interaction U_{ff} , core-hole potential U_{fd} acting on the 5f electrons, and Am 5f–O 2p hybridization strength V were set to 6.5, 5.7, 6.0, and 0.7 eV, respectively.

An inspection of Fig. 1 reveals that the calculated XAS spectra are rather similar for all the three cases, i.e., taking into account the crystal-field interaction and Am 5f–O 2p charge transfer does not lead to significant differences between calculated XAS spectra. This is because the ground state of Am₂O₃ is singlet Γ_1 (notations are for C_{4h} symmetry since the finite exchange field is applied along *z* axis) and because the $O_{4,5}$ XAS spectrum is significantly broadened due to the autoionization processes. However, such a large corehole lifetime broadening at the 5*d* edge does not affect the resolution of the 5*d*-5*f* RIXS spectra which mainly depends on the instrumental resolution in this case.

To make a comparison with experimental RIXS data, we calculated the Am 5d-5f RIXS map of Am₂O₃ for incident photon energies varying throughout the Am $O_{4,5}$ edges as shown in Fig. 2. For simplicity, the crystal-field multiplet approach was used since the contribution of the Am 5f-O 2pcharge-transfer excitations to the RIXS spectra was expected to be small. The calculated RIXS intensities are displayed using the energy-loss scale in Fig. 2 and represent 5f-5fexcitations. One can see that an elastic peak (at 0 eV energy loss) is missing in the calculated spectra because the 5d-5fRIXS map in Fig. 2 was obtained for the scattering geometry used in our RIXS experiment. For the singlet Γ_1 ground state, the $\Gamma_1 \rightarrow 5d^95f^7 \rightarrow \Gamma_1$ transitions are forbidden for the scattering geometry employed. In this geometry, mainly Γ_3 and Γ_4 manifold states are probed as the final states of the RIXS process. The first scattering structure which gains a nonzero intensity appears at an energy loss of 360 meV and is the most intense on the map.

The experimental Am 5d-5f RIXS spectra of Am₂O₃ recorded with the 400 lines/mm grating at several incident photon energies at the Am $O_{4,5}$ edges are compared with



FIG. 3. Experimental and calculated Am 5d-5f RIXS spectra of Am₂O₃ for several incident photon energies at Am $O_{4,5}$ edges.

the calculated results in Fig. 3. All the experimental spectra have huge elastic peaks as a result of the reflectivity contribution in this energy range, nevertheless, the first RIXS structure at \sim 360 meV is still resolved in the recorded data. The calculated energies of the *f*-*f* (crystal-field) excitations and relative intensities of the calculated RIXS structures are in good correspondence with the measured ones (except for RIXS structures on the slope of the elastic peak). We avoided a subtraction of the elastic peak from experimental spectra because, for the correct procedure, elastic scattering needs to be measured from the surface with exactly the same (smooth) profile but without Am₂O₃ which is difficult to achieve.

An inspection of Fig. 3 shows the RIXS structures are not significantly resonating with varying incident photon energies as a result of the very short core-hole lifetime in the intermediate state of the RIXS process. Some dependence on the excitation energy can be seen for the structure at an energy loss of about 3.3 eV. The more accurate description of the behavior of this structure in the calculated spectra can



FIG. 4. Measured and calculated Am 5d-5f RIXS spectra of Am₂O₃ at an incident photon energy of 118.0 eV together with calculated energy diagram for Γ_1 , Γ_3 , Γ_4 , and Γ_2 states of the $5f^6$ ground-state configuration.

be probably achieved by the nonuniform instead of uniform reduction of the Slater integrals with different k (the uniform reduction was done to simplify the computational efforts). As a whole, in contrast to the discussion on the dual character of 5f states in some actinide systems, a good agreement between calculated results and experimental data in our case indicates a significant localization of the 5f states in Am₂O₃.

Note that a comparison of the strength of resonances between the calculation and experiment can be affected by some uncertainty (due to dependence on the compound type) in the contribution of the autoionization channels as a function of the incident photon energy on the theory side and the energy-dependent reflectivity of the gratings and possible inhomogeneous CsI coverage of detector's front MCP in the spectrometer on the experiment side.

The first RIXS structure at the energy loss of ~360 meV corresponds to transitions to the Γ_4 and Γ_3 states which are split by 0.001 eV. This structure is characteristic for the Am(III) chemical state (see Fig. 4) and an existence of this structure in the RIXS spectra can be used to distinguish between Am(III) and Am(IV) compounds. Figure 4 shows experimental and calculated Am 5*d*-5*f* RIXS spectra of Am₂O₃ at the incident photon energy of 118.0 eV together with the calculated energy diagram for the Γ_1 , Γ_3 , Γ_4 , and Γ_2 states of the 5*f*⁶ ground-state configuration. Such a comparison of spectra with the energy diagram indicates the influence of the chosen scattering geometry on which states of the groundstate configuration can be probed as a result of the RIXS process.

For RIXS spectra displayed in Fig. 3 which were recorded with the 400 lines/mm grating, the intensity is significantly cut off for energy losses of around 5 eV and higher due to the use of the round detector (for example, a full cutoff is



FIG. 5. Am 5d-5f RIXS spectra of Am₂O₃ for several incident photon energies at Am $O_{4,5}$ edges measured with lower resolution but for a more extended energy range.

observed for a spectrum recorded at the incident energy of 112.0 eV). Therefore, the 300 lines/mm grating was used which provided the opportunity to measure the Am 5d-5f RIXS spectra for a more extended energy range but with lower resolution. Figures 5 and 6 display such spectra of Am₂O₃ for a number of the excitation energies on the incident photon energy and energy-loss scales, respectively. The excitation energies used can be identified by present huge elastic peaks. The ~360-meV-energy-loss RIXS structure is not resolved but the resonating behavior of the ~3.3-eV-energy-loss RIXS structure appears to be more distinct.

In RIXS spectra recorded at excitation energies between 113.5 and 117.0 eV, a new structure at \sim 5.5 eV below the elastic peak can be identified which behavior upon changing excitation energy is indicated by a dashed line in Fig. 5. This structure follows the increasing excitation energy (follows the elastic peak) and can be assigned to RIXS structures. Since the crystal-field multiplet calculations do not suggest the existence of the significant RIXS structures at the energy losses higher than 4.6 eV, the new structure can be attributed to the result of the Am 5*f*-O 2*p* charge transfer (charge-transfer satellite). For the description of the ground state as a mixture



FIG. 6. The same spectra as in Fig. 5 shown on the energy-loss scale.

of the $5f^6$ and $5f^7\underline{v}^1$ configurations, the RIXS transitions to the antibonding combination of the Γ_1 character are also forbidden in the scattering geometry employed, while some transitions to the weakly bonded states of the $5f^7\underline{v}^1$ configuration are allowed (see also discussion in Ref. [44] related to RIXS spectra of CeO₂). These latter transitions are a result of an involvement of the O 2p states which are rather close to the valence band maximum. The observed 5.5-eV energy loss of the charge-transfer satellite is consistent with the choice of the value of Am 5f–O 2p charge-transfer energy $\Delta = 6.5$ eV as a parameter in our AIM calculations (see also Ref. [25]), because the Δ value is related to the center of the O 2p band.

The XAS and core-level x-ray photoelectron spectra probe the electronic structure of Am_2O_3 with a core hole in the final state of the spectroscopic process, whereas RIXS probes the electronic structure of the ground-state configuration. While it is difficult to clearly identify the charge-transfer satellites in the XAS and core-level x-ray photoelectron spectra of Am_2O_3 (so that one mainly relies on the theoretical analysis), such satellites are observed in the experimental RIXS spectra which are an important finding here. As a result, this observation also confirms the validity of the AIM analysis in Ref. [25].

In the followup work, the application of the x-ray Raman scattering technique in the high-momentum-transfer regime at the Am $O_{4,5}$ edges will help to avoid the dominant contribution of the giant resonance in the XAS spectrum. Due to nondipole selection rules, the XAS spectrum exhibits sharp, multipletlike structures (see, e.g., Ref. [30]) and therefore can provide the reliable information about the ground state through the multiplet analysis. The multiplet approach was also predicted to be valid for core-to-core 3d-4f RIXS of insulating Am compounds [53,54].

V. CONCLUSIONS

The obtained Am 5d-5f RIXS data help characterize the electronic structure of Am₂O₃. The observed agreement

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between recorded RIXS spectra and calculated results using the crystal-field multiplet approach indicates the significantly localized character of the Am 5*f* states in this oxide. The analysis of the RIXS structures by comparing the experimental and calculated data confirms that the ground state of Am₂O₃ is singlet Γ_1 of the 5*f*⁶ configuration. The experimental scattering geometry used, which leads to restrictions for specific RIXS transitions, made the analysis easier, despite the presence of the intense elastic peak due to high reflectivity contribution. The observation of the weak charge-transfer satellite in the Am 5*d*-5*f* RIXS spectra at the energy loss of ~5.5 eV is consistent with Am 5*f*-O 2*p* charge-transfer energy $\Delta = 6.5$ eV used in the AIM calculations of XAS and x-ray photoelectron spectra of Am₂O₃ (Ref. [25]), estimating the 5*f* occupancy to be 6.05 electrons in the ground state.

ACKNOWLEDGMENTS

S.M.B acknowledges the support from the Swedish Research Council (research Grant No. 2017-06465). The computations and data handling were enabled by resources provided by the Swedish National Infrastructure for Computing (SNIC) at National Supercomputer Centre at Linköping University partially funded by the Swedish Research Council through Grant Agreement No. 2018-05973. This work was supported in part by the Director, Office of Science, Office of Basic Energy Sciences, Division of Chemical Sciences, Geosciences, and Biosciences Heavy Elements Chemistry program (D.K.S.) of the U.S. Department of Energy at Lawrence Berkeley National Laboratory under Contract No. DE-AC02-05CH11231. This research used resources of the Advanced Light Source, a U.S. DOE Office of Science User Facility under Contract No. DE-AC02-05CH11231. The Am-243 used in this work was supplied by the U.S. DOE through the transplutonium element production facilities at ORNL.

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