Prediction of giant tunneling magnetoresistance in RuO₂/TiO₂/RuO₂ (110) antiferromagnetic tunnel junctions

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Using first-principles quantum-transport calculations, we investigate spin-dependent electronic and transport properties of antiferromagnetic tunnel junctions (AFMTJs) that consist of (110)-oriented antiferromagnetic (AFM) metal RuO₂ electrodes and an insulating TiO₂ tunneling barrier. We predict the emergence of a giant tunneling magnetoresistance (TMR) effect in a wide energy window, a series of barrier layer thicknesses, and different interface terminations, indicating the robustness of this effect. We show that the predicted TMR cannot be explained in terms of the global transport spin-polarization of RuO₂ (110) but is well understood based on matching the momentum-dependent spin-polarized conduction channels of the two RuO₂ (110) electrodes. We predict oscillations of TMR with increasing barrier thickness, indicating a non-negligible contribution from the perfectly epitaxial interfaces. Our work helps the understanding of the physics of TMR in AFMTJs and aids in realizing efficient AFM spintronic devices.

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I. INTRODUCTION

Spintronics utilizes a spin degree of freedom and magnetic order parameters as state variables to encode information [1]. The electrical readout of information in spintronic devices requires a strong transport response to the variation of the magnetic order parameters. A typical and widely used spintronic device is a magnetic tunnel junction (MTJ) that is composed of two ferromagnetic (FM) metal electrodes separated by a nonmagnetic insulating tunneling barrier [2–7]. In MTJs, low and high resistance states occur for parallel and antiparallel magnetization of the two electrodes, respectively. This effect, known as tunneling magnetoresistance (TMR), offers an ON/OFF ratio as high as a few hundred percent, sufficient for accurate readout. Due to TMR, MTJs can serve as building blocks of magnetic random-access memories (MRAMs) for data storage and processing [8].

The TMR effect in MTJs has been widely understood in terms of a spin-polarized tunneling current that is controlled by the relative magnetization orientation of the two FM electrodes. This mechanism is often empirically quantified by Julliere's formula, TMR = $\frac{2p_1p_2}{1-p_1p_2}$, where p_i (i = 1, 2) is the transport spin polarization of the *i*th FM electrode in a MTJ

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[2]. Based on this formula, a larger spin polarization of the electrodes favors a larger TMR. While Julliere's formula offers a qualitative explanation of TMR, in crystalline MTJs where the transverse wave vector is conserved in the tunneling process, a more accurate description should take into account symmetry matching of the incoming and outgoing Bloch states in the electrodes and evanescent states in the barrier [9]. In particular, matching the majority-spin Δ_1 band in the Fe (001) electrode to the Δ_1 evanescent state in the MgO (001) barrier layer is responsible for a large positive spin polarization and giant values of TMR predicted for crystalline Fe/MgO/Fe (001) MTJs [10,11]. These concepts seem to rule out using antiferromagnetic (AFM) metals as electrodes in MTJs due to the spin degeneracy, and hence $p_i = 0$ is expected for antiferromagnets with their zero net magnetization.

This understanding has been challenged by the recent theoretical [12–15] and experimental [16,17] demonstrations of a sizable TMR effect in AFM tunnel junctions (AFMTJs). AFMTJs represent tunnel junctions with two AFM electrodes, where the TMR effect occurs in response to a change of the relative orientation of the AFM order parameters, known as the Néel vectors. The TMR effect relies on the conservation of the transverse momentum in the process of tunneling that requires epitaxial AFMTJs with a well-defined crystalline texture propagating across the whole junction. The possibility of TMR in AFMTJs opens perspectives for employing antiferromagnets in MRAMs, making use of their advantages

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of being robust against magnetic perturbations, not producing stray fields, and exhibiting ultrafast spin dynamics [18,19].

In our previous work [12], using first-principles quantum transport calculations, we have explored $RuO_2/TiO_2/RuO_2$ (001) AFMTJs. These AFMTJs employed RuO_2 —a high-temperature AFM metal exhibiting a spin-split band structure [20,21]. We predicted that TMR in these AFMTJs was controlled by the matching of the spin-polarized conduction channels in the two RuO_2 (001) electrodes. As a result, a large TMR appeared in these AFMTJs, even in the presence of the globally spin-neutral currents. This implies that the net spin polarization of the electrodes is not essential for obtaining a large TMR in AFMTJs.

Recent theoretical predictions also show that the antiferromagnets with spin-split band structures, including the noncollinear antiferromagnets [22,23] and certain types of collinear antiferromagnets [24–28], dubbed altermagnets [29,30], are capable of supporting longitudinal spin-polarized currents [31]. For example, it has been predicted that the spin currents occur along the crystallographic directions different from (001) in RuO₂ [28]. Specifically, the presence of a spinpolarized longitudinal current with a large polarization p_i is expected to directly support TMR in the relevant AFMTJs, according to the conventional Julliere's picture. It would be interesting to explore the role of this contribution to the total TMR response associated with the spin-dependent Fermi surface of RuO₂.

To address this question, we consider an AFMTJ based on RuO_2 electrodes that are stacked in the (110) plane, where the crystallographic [110] direction of RuO_2 supports spinpolarized transport providing a "direct" contribution to TMR similar to a conventional MTJ. We perform first-principles quantum-transport calculations of TMR in $RuO_2/TiO_2/RuO_2$ (110) AFMTJs and find a giant effect for a series of TiO₂ barrier thicknesses. We argue that the predicted TMR effect cannot be explained by the conventional picture based on the globally spin-polarized current emitted by the RuO_2 (110) electrode but rather originates from the matching of the spin-polarized conduction channels in the two RuO_2 (110) electrodes. These results uncover the important physics of the TMR effect which may be useful for the practical realization of AFMTJs.

II. THEORETICAL METHODS

First-principles calculations are performed based on density functional theory (DFT) [32] as implemented in the Vienna *ab initio* simulation package (VASP) [33,34]. The pseudopotentials are described using the projector augmented wave (PAW) method [35], and the exchange-correlation functional is treated within the generalized gradient approximation (GGA) developed by Perdew, Burke, and Ernzerhof (PBE) [36]. In the calculations, the cutoff energy for the plane-wave expansion is set to 500 eV, and the *k*-point grid is set to $16 \times 16 \times 16$ to sample the irreducible Brillouin zone. The GGA + U [37,38] method with $U_{eff} = 2$ eV on Ru 4*d* orbitals and $U_{eff} = 5$ eV on Ti 3*d* orbitals is employed in the calculations for RuO₂ and TiO₂. The Fermi surfaces are calculated using the WANNIER90 code [39] with the maximally



FIG. 1. (a) The atomic and magnetic structures of a RuO_2 unit cell. (b) The atomic and magnetic structures of a RuO_2 supercell stacked in the (110) plane. (c) The band structure of RuO_2 . (d) The spin-up and spin-down Fermi surfaces of RuO_2 .

localized Wannier functions [40,41] and visualized by FermiSurfer [42].

Transport properties are calculated using the nonequilibrium Green's function formalism (the DFT+NEGF approach) [43,44], as implemented in QuantumATK, Synopsys QuantumATK [45] using the atomic structures relaxed by VASP. In QuantumATK, we set the cutoff energy of 100 Ry and use the nonrelativistic SG15 pseudopotentials [46], and k-point meshes of $12 \times 12 \times 12$ for bulk RuO₂ and TiO₂ and $11 \times$ 11×101 for RuO₂/TiO₂/RuO₂ (110) AFMTJ. The spinpolarized GGA+U [37,38] method with $U_{\text{eff}} = 1.2 \text{ eV}$ on Ru 4d orbitals and $U_{\rm eff} = 5$ eV on Ti 3d orbitals is used in the calculations. These parameters have been well tested to ensure that the electronic structure around $E_{\rm F}$ calculated by QuantumATK is consistent with that calculated by VASP. Transmission functions are calculated using k-point meshes of 401×401 in the two-dimensional (2D) Brillouin zone of RuO₂ (110) and RuO₂ (110) based AFMTJs.

III. RESULTS AND DISCUSSION

The AFM metal RuO₂ [20] has a rutile structure with two spin sublattices Ru_A and Ru_B [Figs. 1(a) and 1(b)]. Its Néel vector is pointing along the [001] direction, and the Néel temperature is reported to be above 300 K [20]. RuO₂ can be considered as a *C*-type antiferromagnet with strong *intrasublattice* coupling along the [001] direction. As a result, a globally spin-neutral current along this direction is carried by the staggered Néel spin currents on the two magnetic sublattices, resulting in a giant TMR effect [12] and a fieldlike spin-transfer torque (STT) that enables deterministic switching of the RuO₂ (001) Néel vector [47]. The magnetic space group of RuO₂ is $P4'_2/mnm'$. It supports fully compensated antiferromagnetism with a spin-split electronic band



FIG. 2. (a) The conduction channels in the 2D Brillouin zone of RuO₂ (110) for spin up $(N_{\parallel}^{\uparrow})$ and spin down $(N_{\parallel}^{\downarrow})$ at the Fermi energy. (b) The spin polarization of conduction channels (p_{\parallel}) at the Fermi energy for RuO₂ (110), where the gray color indicates regions with $N_{\parallel}^{\uparrow} = N_{\parallel}^{\downarrow} = 0$. (c) The global transport spin polarization of RuO₂ (110) as a function of energy.

structure [21]. Figure 1(c) shows the calculated band structure of RuO₂, indicating a pronounced spin splitting along the high-symmetry Γ -*M* and *Z*-*A* directions. Figure 1(d) displays the associated spin-up and spin-down Fermi surfaces of RuO₂. They can be transformed to each other by a 90° rotation around the [001] direction. Such momentum-dependent spin splitting is responsible for various spin-dependent transport properties [31,48–52].

The spin-split Fermi surface of RuO₂ supports longitudinal spin-polarized currents along the [110] or $[\bar{1}10]$ directions [28]. This is evident from the calculated ballistic transmission of bulk RuO₂ along the [110] direction which reflects the number of conduction channels, i.e., the number of the propagating Bloch states in RuO₂ [110]. In the calculation, we used a supercell of RuO₂ along the [110] direction shown in Fig. 1(b). Figure 2(a) displays the number of conduction channels N_{\parallel}^{\uparrow} ($N_{\parallel}^{\downarrow}$) contributed by the spin-up (spin-down) Fermi surface at different transverse wave vector \vec{k}_{\parallel} in the 2D Brillouin zone of RuO₂ (110) [53]. We find a region of a finite N_{\parallel}^{\uparrow} around the zone center, resulting in the maximum $N_{\parallel}^{\uparrow} = 3$. There are also some small pockets of $N_{\parallel}^{\uparrow} = 1$ at the left and right edges of the zone. The regions of a finite $N_{\parallel}^{\downarrow}$ have a smaller area located around the zone center and at the left and right edges of the zone. We find that $N_{\parallel}^{\downarrow} = 1$ in all these regions of the spin-down Fermi surface.

Figure 2(b) shows the \vec{k}_{\parallel} -dependent spin polarization that is defined as follows:

$$p_{\parallel}(\vec{k}_{\parallel}) = \frac{N_{\parallel}^{\uparrow} - N_{\parallel}^{\downarrow}}{N_{\parallel}^{\uparrow} + N_{\parallel}^{\downarrow}}.$$
 (1)

The full spin polarization ($p_{\parallel} = \pm 100\%$) appears in the regions of a finite $N_{\parallel}^{\uparrow,\downarrow}$ with no overlap between spin-up and spin-down conduction channels. The region around the zone center exhibits a relatively small spin polarization [$p_{\parallel} = 50\%$ in the pink colored area and $p_{\parallel} = 0$ in the white colored area in Fig. 2(b)].

Figure 2(c) shows the calculated total transport spin polarization as a function of energy that is defined as follows:

$$p = \frac{\sum_{\vec{k}_{\parallel}} N_{\parallel}^{\uparrow} - N_{\parallel}^{\downarrow}}{\sum_{\vec{k}_{\parallel}} N_{\parallel}^{\uparrow} + N_{\parallel}^{\downarrow}}.$$
 (2)



FIG. 3. (a) The atomic structures of a TiO₂ supercell stacked in the (110) plane. (b) The complex band structure of TiO₂ (110) at the Γ point. (c) The lowest decay rates of the evanescent states in TiO₂ (110) as a function of \vec{k}_{\parallel} at the Fermi energy.

As expected [and in contrast to RuO₂ (001)], the total spin polarization is nonzero despite the antiferromagnetism of RuO₂. We find that p = 39% at the Fermi energy (E_F), which is comparable to the spin polarization of representative ferromagnetic metals such as Fe, Co, and Ni [54–56]. The spin polarization is enhanced with the increase of energy, reaching a maximum value of p = 63% at E = 0.3 eV, reduced at lower energy, and changes sign at around E = -0.135 eV.

Next, we consider a $RuO_2/TiO_2/RuO_2$ (110) AFMTJ where RuO_2 (110) serves as electrodes and TiO_2 (110) [Fig. 3(a)] as the barrier material. Due to the same rutile structure and similar lattice constants of bulk RuO_2 and TiO_2 , such epitaxial AFMTJ is viable in practice. To characterize the evanescent states in bulk TiO_2 (110), we calculate the complex band structure at the Γ point [Fig. 3(b)] and the lowest decay rates of the evanescent states in TiO_2 (110) at the Fermi energy [Fig. 3(c)]. We find that TiO_2 (110) exhibits the smallest decay rates around the vertical midline of the 2D Brillouin zone. Figure 4(a) shows the atomic structure of a $RuO_2/TiO_2/RuO_2$ (110) heterostructure, including two TiO_2 monolayers in the



FIG. 4. (a), (b) The atomic structure (a) and layer-resolved density of states (DOS) (b) of the $RuO_2/TiO_2/RuO_2$ (110) heterostructure. Each DOS panel contains two MO_2 monolayers (M = Ru, Ti).



FIG. 5. The calculated \vec{k}_{\parallel} -resolved transmission in the 2D Brillouin zone for the AFMTJ in parallel (P) (a) and antiparallel (AP) (b) states. (c) The total transmission as a function of energy for the AFMTJ in the P state (red dots) and the AP state (blue dots). (d) TMR as a function of energy.

center and six RuO₂ monolayers on each side. As seen from the layer-resolved density of states (DOS) in Fig. 4(b), the Fermi energy lies in the middle of the band gap of TiO₂, while some nonvanishing local DOS in the band gap of the TiO₂ layer is due to the metal-induced gap states resulting from RuO₂ electrodes. As shown in the Supplemental Material [53], with the increasing TiO₂ layer thickness, the band gap opens wider thus sustaining the insulating character of TiO₂ and the tunneling transport regime in the AFMTJ.

The RuO₂/TiO₂/RuO₂ (110) heterostructure shown in Fig. 4(a) serves as the scattering region in an AFMTJ by connecting this region to two semi-infinite RuO₂ (110) electrodes. This geometry allows calculating electron transmission, as described in Sec. II. Figures 5(a) and 5(b) shows the calculated \vec{k}_{\parallel} -resolved transmission for the parallel (P) state of the AFMTJ, $T_{\rm P}^{\sigma}(\vec{k}_{\parallel})$, and for the antiparallel (AP) state, $T_{\rm AP}^{\sigma}(\vec{k}_{\parallel})$, where the $\sigma = \uparrow$ or \downarrow is the spin index. We find that only conduction channels around the vertical midline in the 2D Brillouin zone contribute to the transmission. This is due to the evanescent states in TiO₂ having the lowest decay rates in this region [Fig. 3(c)] and thus being mostly supportive to electron transmission. For the P state, the distribution of $T_{\rm P}^{\uparrow}(\vec{k}_{\parallel})$ qualitatively reflects that of N_{\parallel}^{\uparrow} [compare the top panels in Figs. 2(a) and 5(a)], while $T_{\rm P}^{\downarrow}(\vec{k}_{\parallel})$ is significantly suppressed [Fig. 5(a), bottom panel], indicating that spin-up electrons dominate in the tunneling process. On the other hand, for the AP state, $T_{AP}^{\sigma}(\vec{k}_{\parallel})$ vanishes at those \vec{k}_{\parallel} where $|p_{\parallel}| = 100\%$ and is finite only at \vec{k}_{\parallel} where p_{\parallel} is small with the maximum of $T^{\sigma}_{\rm AP}(\vec{k}_{\parallel})$ appearing at \vec{k}_{\parallel} with $p_{\parallel}=0$ [compare Figs. 2(b) and 5(b)]. These facts indicate the $T_{\rm P}^{\sigma}(\vec{k}_{\parallel})$ and $T^{\sigma}_{AP}(\vec{k}_{\parallel})$ are largely controlled by the matching of the



FIG. 6. The barrier and interface atomic structure for $RuO_2|TiO_2|RuO_2$ (110) AFMTJs with TiO_2 thickness of two layers (a) and three layers (b). (c) TMR as a function of the number of TiO₂ monolayers for different energies.

spin polarization p_{\parallel} of the conduction channels of the two electrodes.

Figure 5(c) shows the total transmission as a function of energy for the RuO₂/TiO₂/RuO₂ (110) AFMTJ in the P state (T_P) and AP state (T_{AP}). The T_P is always greater than T_{AP} , leading to a positive TMR ratio [($T_P - T_{AP}$)/ T_{AP}] [Fig. 5(d)]. We find notable TMR = 200% at E_F , much larger than that predicted by Julliere's formula TMR = $\frac{2p_1p_2}{1-p_1p_2}$, using $p_1 = p_2 = 39\%$. Moreover, the maximum TMR at energies above E_F does not appear at E = 0.3 eV where p_1 and p_2 reach a maximum, and the TMR around E = -0.135 eV is still very large even though $p_1 = p_2 = 0$. These facts indicate that TMR cannot be described in terms of the total transport spin polarization, but requires knowledge of its distribution in the momentum space.

We note here that while we are using the concept of \vec{k}_{\parallel} dependent spin polarization $p_{\parallel}(\vec{k}_{\parallel})$ to qualitatively analyze TMR in terms of the Fermi surface matching, this quantity can be used for the *quantitative* prediction of TMR. A more rigorous description requires using the interface transmission function and its spin polarization [57,58]. The latter takes into account not only the momentum- and spin-dependent Bloch states in the electrode, but also the evanescent states in the barrier as well as the transmission across the interface. The purpose of the present analysis is therefore just to emphasize the deficiency of the total spin polarization and the importance of the Fermi surface matching in the qualitative picture of TMR in AFMTJs based on spin-split antiferromagnets.

Next, we calculate TMR in $RuO_2/TiO_2/RuO_2$ (110) AFMTJs with larger thickness of the TiO₂ barrier. In the ideal crystalline AFMTJs, changing TiO₂ thickness alters the interface structure, as shown in Figs. 6(a) and 6(b). For all barrier



FIG. 7. (a) The supercells and interface atomic structures for $\text{RuO}_2 |\Box| \text{RuO}_2$ (110) AFMTJs. (b) The calculated TMR for the four AFMTJs in (a) at the Fermi energy.

thicknesses considered, we find a positive TMR. This indicates that the TMR mainly originates from the spin-polarized conduction channels of bulk RuO₂ (110). However, we find that TMR in AFMTJs with an odd number of TiO₂ monolayers is always larger than TMR in AFMTJs with an even number of TiO₂ monolayers, leading to an oscillation of TMR as a function of barrier thickness [Fig. 6(c)]. We attribute this phenomenon to the effect of interface. As shown in Fig. 6(a), for an AFMTJ with an even number of TiO₂ monolayers, the left and right interfacial RuO₂ monolayers are asymmetric and can be transformed to each other by a half-unit cell translation along the vertical direction. In this case, the P (AP) state of the AFMTJ has AP (P) interfacial magnetic moments in the horizontal Ru chains in the two electrodes. This makes the bulk Néel vectors of the electrodes aligned oppositely to the alignment of the interfacial moments. As a result, the large transmission of the AFMTJ for the P-aligned Néel vectors is reduced by the AP-aligned interfacial moments, while the low transmission of the AFMTJ for the AP-aligned Néel vectors is enhanced by the P-aligned interfacial moments, thus reducing the overall TMR. On the contrary, the interfacial RuO₂ layers are the same for an AFMTJ with an odd number of TiO₂ monolayers. As a result, the P (AP) state of the AFMTJ has P (AP) interfacial moments in the two electrodes. This matching enhances TMR. As evident from Fig. 6(c), the oscillatory TMR appears at different energies. It is slightly suppressed at high energy where TMR is large, while it is more pronounced at E = -0.1 eV where TMR has a minimum. These oscillations reflect the competition of bulk and interfacial contributions to TMR.

In order to further understand the influence of the interface structure on TMR, we replace the TiO_2 barrier in the AFMTJ with a vacuum layer of ~5 Å to construct a $RuO_2|\Box|RuO_2$ (110) AFMTJ (\Box denotes the vacuum layer). We fix the left electrode and shift the right electrode to obtain different interface configurations, as shown in Fig. 7(a). The two interfaces in configuration (1) correspond to these in the $RuO_2/TiO_2/RuO_2$ (110) AFMTJ with an even number of TiO_2 monolayers, while the interfaces in configuration (2) represent these in the $RuO_2/TiO_2/RuO_2$ (110) with an odd number of TiO_2 monolayers. Configuration (3) is obtained by applying a quarter-unit-cell translation of the right electrode along the in-plane diagonal direction. Configuration (4) is obtained from configuration (3) by applying a half-unit-cell translation along the vertical direction in the right electrode. As seen from Fig. 7(b), TMR is significantly larger for configuration (2) than for configuration (1), which is expected due to the enhancement of TMR for configuration (2) and its reduction for configuration (1) by the interfacial magnetic moments [53]. On the other hand, a moderate TMR of the same magnitude is calculated for configurations (3) and (4). This is due to the misaligned horizontal Ru chains at the two interfaces, which averages out the interfacial effect on TMR [53].

Interface effects in AFMTJs are important due to interface roughness and disorder being inevitable in experimental conditions. In this regard, the previous predictions of large magnetoresistive effects in AFM spin valves [59-61] and AFMTJs [62-64] are not expected to be robust to interface roughness and disorder [65,66]. These predictions employed spin-degenerate AFM metals where the bulk contribution to TMR could not occur. The predicted large effects entirely relied on perfect interfaces and switching the interfacial magnetic moments between parallel- and antiparallel-like in conventional MTJs. In contrast, RuO2 exhibits spin-dependent band structure which is largely responsible for TMR in $RuO_2/TiO_2/RuO_2$ (110) AFMTJs. While the interface magnetic structure contributes to TMR, its contribution is not dominant and therefore even in the presence of interface roughness the predicted large TMR effects are expected to survive. On the other hand, modern film-growth techniques are capable of fabricating high-quality epitaxial heterostructures with the atomic scale control of the interface structure. Using these techniques, it may be possible to manufacture RuO₂/TiO₂/RuO₂ (110) AFMTJs with a well-controlled interface structure and observe TMR oscillations predicted in this work.

The giant TMR effect in RuO_2 (110) based AFMTJs implies a possibility of a large STT in these junctions. However, since the longitudinal current in RuO_2 (110) is globally spin-polarized, the generated STT is expected to be mostly dampinglike [67–69]. This kind of STT is capable to drive an ultrafast oscillation of the Néel vector [70], but is not able to realize its deterministic switching. An accurate and efficient write-in may be realized by applying an in-plane current in the RuO_2 (110) free layer along the [001] direction. Such a current is globally spin-neutral, but staggered, i.e., it represents a Néel spin current [47]. For an AFMTJ with a nanoscale width and asymmetric boundary conditions, the Néel spin current can generate a net fieldlike STT for the deterministic switching of the RuO₂ free layer.

Furthermore, since antiferromagnets such as RuO₂ (110) host an unbalanced $p_{\parallel}(\vec{k}_{\parallel})$, they could serve as counter electrodes in conventional MTJs with a single FM electrode, where the matching of the unbalanced $p_{\parallel}(\vec{k}_{\parallel})$ in AFM and FM electrodes generates TMR [71–73]. It is interesting both from the fundamental point of view and from the practical perspective, as it allows using a spin-polarized current from an AFM electrode to generate STT on an FM electrode for magnetization switching and eliminates the pinning layer required in conventional MTJs.

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IV. SUMMARY

In summary, we have investigated spin-polarized transport properties of $RuO_2/TiO_2/RuO_2$ (110) AFMTJs using first-principles quantum-transport calculations. We predicted a giant TMR effect in these junctions and showed that it is robust to the change of electron energy, barrier layer thickness, and the interface termination. The predicted TMR effect cannot be explained by the conventional picture based on the globally spin-polarized current produced by the RuO₂ (110) antiferromagnet but rather originates from the matching of the spin-polarized conduction channels in the two RuO₂ (110) electrodes. We found TMR oscillations with the increase of TiO₂ barrier thickness that reflect a non-negligible contribution from the perfectly epitaxial interfaces. Our work helps the understanding of the physics of TMR and aids in realizing functional AFMTJs in practice.

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