




Large-gap quantum anomalous Hall insulators in the $ATiX$ ($A=K, Rb, Sr$; $X=Sb, Bi, Sn$) class of compounds

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Quantum anomalous Hall insulators provide an intriguing platform to study emergent magnetic topological phenomena, but the low critical temperature is a weighty obstacle for practical applications. We theoretically propose that the monolayer $ATiX$ family ($KTiSb$, $KTiBi$, $RbTiSb$, $SrTiSn$) are potential candidates for large-gap quantum anomalous Hall insulators with high Chern number $C = 2$. Both the topology and the magnetism in these materials are from $3d$ orbitals of Ti. We further construct the tight-binding model from orbital projected band structure and symmetry analysis to reveal the origin of topology. Remarkably, quite different from the conventional $s-d$ band inversion, here the topological band inversion within $3d$ orbitals is due to the crystal field and electron hopping, while spin-orbit coupling only trivially gaps out the Dirac cone at Fermi level. The general physics from the $3d$ orbitals here applies to a large class of transition metal compounds with the space group $P4/nmm$ or $P-42m$ and their subgroups. These notable predictions, if realized experimentally, could greatly promote the research and application of topological quantum physics.

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I. INTRODUCTION

The precise theoretical prediction and experimental realization of the quantum anomalous Hall (QAH) effect set a remarkable example for understanding and engineering topological states of quantum matter in complex materials [1–6]. The QAH insulator has a topologically nontrivial electronic structure with a finite Chern number [7] characterized by a bulk energy gap but gapless chiral edge states, leading to the quantized Hall effect without an external magnetic field [8]. The QAH effect has been realized in magnetically doped topological insulators (TIs) [9–13], in the intrinsic magnetic TI $MnBi_2Te_4$ [14], in the twisted bilayer graphene [15], and transition metal dichalcogenide heterobilayers [16], with comparable onset temperature of about a few kelvin. The QAH states have been proposed for low energy cost electronic devices and topological computation [17–20]; however, such low critical temperature is a major obstacle for practical applications. Seeking high-temperature QAH insulators [21–26] has become an important goal in condensed matter physics and material sciences.

The general mechanism for a QAH insulator is spin-polarized band inversion [27], where both ferromagnetic (FM) ordering and spin-orbit coupling (SOC) are sufficiently strong. Physically, the ferromagnetism favors transition metal elements with $3d$ electrons, while strong SOC prefers heavy elements. These considerations constitute the essential ingredients for QAH effect in magnetic TIs by introducing magnetic dopants or an intercalating magnetic layer [28–32].

However, the inhomogeneities from magnetic dopants [33] and defects [34] dramatically suppress the exchange gap by several orders of magnitude, which fundamentally limits the fully quantized anomalous Hall effect to very low temperatures. The challenge in searching for high-temperature QAH insulators is to synergize the seemingly conflicting requirements of ferromagnetism and SOC.

Here we propose the monolayer $ATiX$ class ($KTiSb$, $KTiBi$, $RbTiSb$, $SrTiSn$) as potential candidates for large-gap QAH insulators with high temperature. Their stability, magnetic, electronic, and topological properties are comprehensively investigated by first-principles calculations. Remarkably, these materials have Chern number $C = 2$ in the FM ground state, where topology and magnetism are purely from d orbitals of Ti. Remarkably, the band inversion is due to tetrahedral crystal field and hopping but not SOC. The general physics from the $3d$ orbitals here applies to the material class of transition metal compounds with the space group $P4/nmm$ or $P-42m$ and their subgroups.

II. STRUCTURE AND MAGNETIC PROPERTIES

The monolayer $ATiX$ class has a tetragonal lattice with the space group $P4/nmm$ (No. 129), similar to $LiFeSe$ [23]. As shown in Fig. 1(a), each primitive cell includes five atomic layers, where each Ti atom is surrounded by four X atoms forming a distorted edge-sharing tetrahedron, and the group IA (or IIA) element A with an ultralow electronegativity easily loses valence electrons and becomes A^+ (or A^{2+}). These QAH materials are obtained from high-throughput screening of insulating $ATiX$ with X from groups IVA and VA. Their lattice constants are listed in Table I. The absence of imaginary

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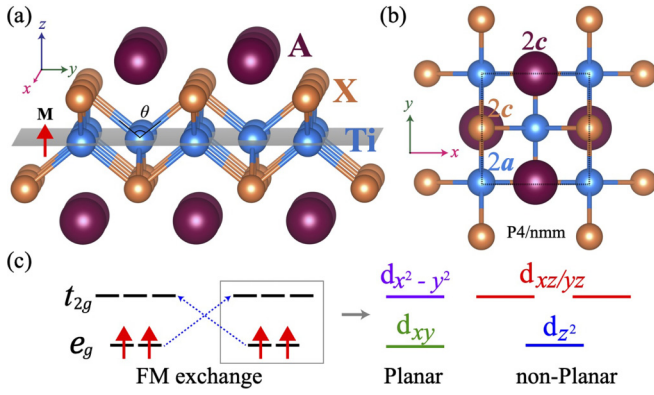


FIG. 1. (a) and (b) Side and top views of ATiX monolayer. The two-dimensional material class has a FM ground state along the z axis with spin magnetic moment $2\mu_B$ per Ti atom. θ is the bond angle of X-Ti-X. The Wyckoff positions $2a$ and $2c$ are displayed (notation adopted from Bilbao Crystallographic Server [35–37]). The key symmetry operations include C_{4z} rotation, M_x and M_y mirrors, and C_{2x} and C_{2y} rotations. (c) Crystal field splitting and schematic diagram of the FM kinetic exchange coupling between Ti atoms.

phonon frequency from the phonon spectra calculations suggests the dynamical stability of the monolayer ATiX structure (Supplemental Material Fig. S1 [38]). We will mainly discuss KTiSb with similar results for this class.

To determine the magnetic ground state of monolayer ATiX, we compare five magnetic configurations: (i) FM, (ii) checkerboard antiferromagnetic (AFM), (iii) collinear AFM, (iv) zigzag AFM, and (v) big zigzag AFM (see Fig. S2 [38]), and find that FM is the ground state (Supplemental Material Table S1 [38]). The large magnetic energy difference indicates the FM exchange coupling is strong. The magnetocrystalline anisotropy energy (MAE), defined as the total energy difference between in-plane and out-of-plane spin configurations, are listed in Table I, while a positive MAE implies an out-of-plane easy axis.

To elucidate the underlying mechanism of ferromagnetism, we analyze the orbital occupation of Ti atoms. The d orbitals are split by the tetrahedral crystal field into doublet $e_g(d_{xy}, d_{z^2})$ and triplet $t_{2g}(d_{x^2-y^2}, d_{xz}, d_{yz})$ orbitals [Fig. 1(c) with coordinates in Fig. 1(b)]. The energy of e_g stays lower with respect to t_{2g} , because the latter point towards the negatively charged ligands. Each Ti atom is in the $e_g^2 t_{2g}^0$ configuration with the magnetic moment of $2\mu_B$ according to the Hund's rule, which is consistent with the density functional theory calculation. The exchange between two neighboring Ti atoms with closed e_g^2 subshell must be AFM, which is associated with the Pauli

TABLE I. Lattice constants, bond angle, MAE per unit cell, and Curie temperature T_c from Monte Carlo simulations.

	a (Å)	θ (deg)	MAE (meV)	T_c (K)
KTiSb	4.49	101	2.6	637
KTiBi	4.56	99	9.8	662
RbTiSb	4.53	103	2.0	598
SrTiSn	4.50	98	1.0	436

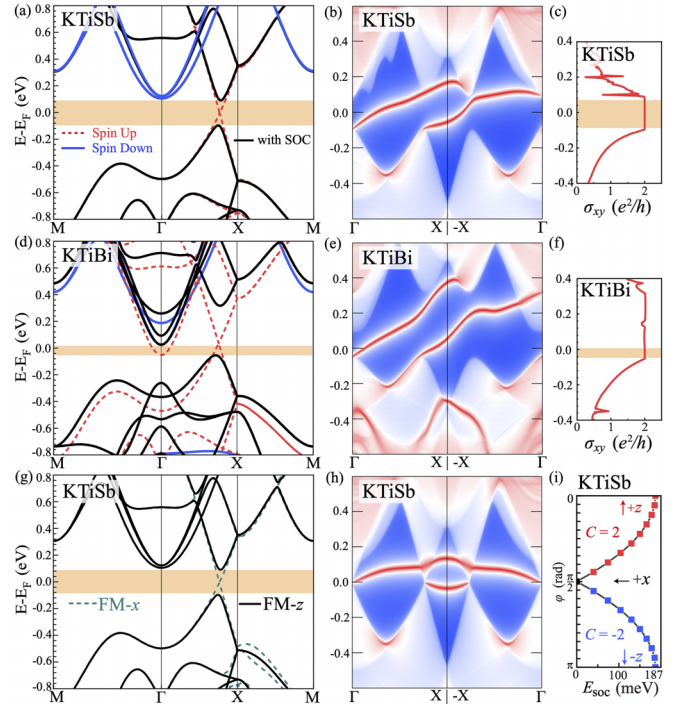


FIG. 2. Electronic structure and topological properties of monolayer KTiSb and KTiBi. (a)–(c) KTiSb, (d)–(f) KTiBi. The band structure with and without SOC; topological edge states calculated along the x direction; and anomalous Hall conductance σ_{xy} as a function of Fermi energy, respectively. (g) The band structure for FM along the z and x axes of KTiSb. (h) The edge states along the x axis under FM x state of KTiSb. (i) Dependence of band gap and C on the spin orientation quantified by a polar angle φ , where $\varphi = 0, \pi/2, \pi$ denotes the $+z, +x, -z$ directions, respectively.

exclusion principle in the virtually excited $e_g^1 e_g^3$ state. Meanwhile, the superexchange from the near 90° Ti-X-Ti bond leads to extremely weak FM only [39]. The key is rooted in the FM e_g - t_{2g} kinetic exchange; the large radius of ligands only causes small crystal field splitting, which further makes the FM e_g - t_{2g} exchange dominate over the AFM e_g - e_g exchange. The *ab initio* Heisenberg exchange parameters of KTiSb are $J_1 = -72.6$ meV, $J_2 = -33.1$ meV, and $J_3 = 16.4$ meV for nearest-, next-nearest-, and next-nearest-neighbor Ti-Ti pairs, respectively [38]. Negative J_i means the FM exchange coupling. Furthermore, the Curie temperatures for monolayer ATiX are listed in Table I (Fig. S3) by Monte Carlo simulations [38].

III. ELECTRONIC STRUCTURES

Figure 2(a) displays the electronic structure of monolayer KTiSb with and without SOC. In the absence of SOC, the spin-down bands have an insulating gap, and the spin-up bands form a spin-polarized Dirac semimetal. Specifically, the two spin-up bands near the Fermi level are mainly contributed by the $d_{x^2-y^2}$ and d_{xy} orbitals of Ti [Fig. 3(a)], where the Dirac points along Γ -X (Γ -Y) are protected by M_y (M_x). When including SOC, the out-of-plane ferromagnetism breaks M_x and M_y , and SOC opens a Dirac gap. The anomalous Hall conductance σ_{xy} as a function of Fermi energy is calculated in

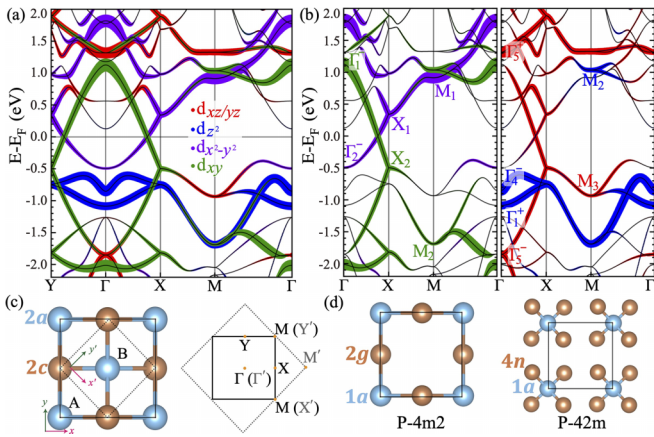


FIG. 3. (a) The d -orbital projected band structures without SOC for spin up of monolayer KTiSb. (b) The IRs of the high-symmetry point at the Brillouin zone boundary, where $(d_{xy}, d_{x^2-y^2})$ and $(d_{xz/yz}, d_z^2)$ are separately displayed. (c) The relation between the original (labeled by Γ - X - Y - M) and the unfolded Brillouin zone (labeled by Γ' - X' - Y' - M'). Here M' and Γ' are folded into Γ . The coordinates (x, y) and (x', y') are for the original and the new unit cell, respectively. (d) Lattices of space group $P-4m2$ (No. 115) and $P-42m$ (No. 111).

Fig. 2(c), which displays a quantized value of $2e^2/h$ within the bulk gap of 187 meV. This indicates the topological nontrivial bands with Chern number $\mathcal{C} = 2$, which is consistent with two chiral edge states dispersing within the bulk gap as in the edge local density of states calculations [Fig. 2(b)]. By further varying the spin orientation from $-z$ to $+x$, then to the $+z$ axis, the band gap monotonically decreases to close, and then reopens, which is accompanied by the topological phase transitions from $\mathcal{C} = -2$ to $\mathcal{C} = 2$ in Fig. 2(i). For in-plane ferromagnetism along the x axis, the gapless Dirac points along Γ - X [Fig. 2(g)] are protected by C_{2x} , while the Dirac points along Γ - Y are protected by M_x . The electronic structure of monolayer KTiBi with similar topological properties is shown in Figs. 2(d)–2(f), with an indirect band gap of 80 meV. The sizable band gap (Table S2) in this family is attributed to the enhanced effective SOC strength of Ti $3d$ orbitals by bonding with the ligand heavy elements.

IV. SYMMETRY AND ORIGIN OF TOPOLOGY

To reveal the origin of $\mathcal{C} = 2$ topology in the electronic structure, we perform a systematic investigation of the orbital projected band structure and symmetry analysis of irreducible representations (IRs), and further construct a tight-binding model to recover the essential topological physics. Remarkably, different from the conventional s - p or s - d band inversion, where SOC not only induces topological band inversion but also opens the Dirac gap, here, the band inversion is due to crystal field and hopping, while SOC only trivially gaps out the Dirac cone at Fermi level.

The orbital projected (spin-up) band structures without SOC are shown in Fig. 3(a). The band inversion between d_{xy} and $d_{x^2-y^2}$ at Fermi level induces four Dirac points, which are gapped by SOC. Naively, these features seem to explain the $\mathcal{C} = 2$ phase well with each gapped Dirac point contributing

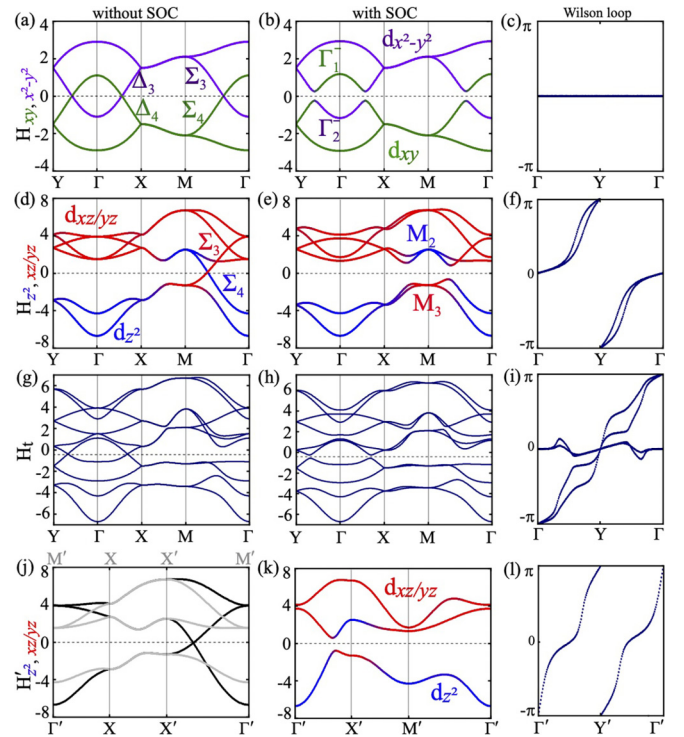


FIG. 4. The band structure and Wilson loop of the tight-binding model. (a)–(c) \mathcal{H}_1 , (d)–(f) \mathcal{H}_2 , and (g)–(i) \mathcal{H} from all five d orbitals. The band structure without SOC, with SOC, and the Wilson loop of the lowest bands below Fermi level, respectively. The IRs along symmetry lines and at symmetry points in (a) and (d) are consistent with that in Fig. 3(b). (j)–(l) The band structure of \mathcal{H}_2^z from (d_{xz}, d_{yz}, d_z^2) in the new unit cell with SOC, without SOC, and the Wilson loop of the lowest band, respectively.

$\mathcal{C} = 1/2$. However, by calculating the IRs of the inverted bands at Γ [Fig. 3(b), left], we find that the IRs of the two bands Γ_1^- and Γ_2^- have the same C_{4z} eigenvalue 1 (see character table in Table S3), which indicate the band inversion between d_{xy} and $d_{x^2-y^2}$ is trivial and does not contribute the Chern number. We further write down a tight-binding model from the planar orbitals $(d_{xy}, d_{x^2-y^2})$ as $\mathcal{H}_1 = \sum_{\langle ij \rangle} [d_i^\dagger \hat{t}_{ij} d_j + \text{H.c.}] + \sum_{\langle\langle ij \rangle\rangle} [d_i^\dagger \hat{t}'_{ij} d_j + \text{H.c.}]$, where $\langle ij \rangle$ and $\langle\langle ij \rangle\rangle$ denote the nearest-neighbor and next-nearest-neighbor sites, respectively, $d \equiv (d_{xy}^A, d_{x^2-y^2}^A, d_{xy}^B, d_{x^2-y^2}^B)^T$, A and B denote the sublattice, and \hat{t}_{ij} and \hat{t}'_{ij} are hopping matrices terms with SOC included [38]. As displayed in Figs. 4(a)–4(c), the Dirac points around Γ from the band inversion are indeed gapped by SOC, while the Wilson loop calculation confirms the trivial topology consistent with symmetry consideration. Furthermore, as indicated by the IRs shown in Fig. 4(a), the band structure of \mathcal{H}_1 without SOC has level crossing along Γ - M , which is contrary to the first-principles calculations. All of these results suggest the topology must originate from other d orbitals.

Another band inversion occurs at M between d_{xz}, d_{yz} and d_z^2 [Fig. 3(b), right], which is about 1 eV away from the Fermi level. The calculated IRs are consistent with the elementary band representations (EBRs) [40–44] listed in Table II. We further construct a concrete tight-binding

TABLE II. EBR without time-reversal symmetry for space group $P4/nmm$ from Wyckoff position $2a$.

	Γ	X	M
d_{xy}	$\Gamma_1^-(1) \oplus \Gamma_4^+(1)$	$X_2(2)$	$M_2(2)$
$d_{x^2-y^2}$	$\Gamma_2^-(1) \oplus \Gamma_3^+(1)$	$X_1(2)$	$M_1(2)$
d_{z^2}	$\Gamma_1^+(1) \oplus \Gamma_4^-(1)$	$X_1(2)$	$M_2(2)$
d_{xz}, d_{yz}	$\Gamma_5^+(2) \oplus \Gamma_5^-(2)$	$X_1(2) \oplus X_2(2)$	$M_3(2) \oplus M_4(2)$

model \mathcal{H}_2 from *nonplanar* orbitals d_{xz}, d_{yz} , and d_{z^2} to decipher whether the band inversion at M contributes nontrivial topology. $\mathcal{H}_2 = \sum_{(ij)} [c_i^\dagger \hat{h}_{ij} c_j + \text{H.c.}] + \sum_{\langle(ij)\rangle} [c_i^\dagger \hat{h}'_{ij} c_j + \text{H.c.}]$, where $c \equiv (d_{xz}^A, d_{yz}^A, d_{z^2}^A, d_{xz}^B, d_{yz}^B, d_{z^2}^B)^T$, and \hat{h}_{ij} and \hat{h}'_{ij} are general 6×6 matrices denoting hopping terms with SOC included, which can be simplified by symmetry considerations. The explicit forms are listed in the Supplemental Material [38]. As displayed in Figs. 4(d)–4(f), we use typical parameters by matching all of the IRs along symmetry lines and symmetry points with Fig. 3(b) and recover band inversion between M_2 and M_3 . The four Dirac points along Γ - M gapped by SOC contribute a total Chern number $\mathcal{C} = 4 \times 1/2 = 2$, which is consistent with the Wilson loop of the two lowest bands. Thus the band inversion at M accounts for $\mathcal{C} = 2$ in monolayer ATiX.

To fully understand the band structure and topology in Fig. 3(a), we need to include all of the five d orbitals. From the above analysis, \mathcal{H}_1 from $(d_{xy}, d_{x^2-y^2})$ without SOC accounts for the trivial band crossing along Γ - X and Γ - Y , but it inevitably introduces level crossing along Γ - M . \mathcal{H}_2 from $(d_{xz}, d_{yz}, d_{z^2})$ without SOC accounts for the topological band inversion at M , but it inevitably introduces level crossing along Γ - M . As shown in Figs. 4(a) and 4(d), the IRs of the two crossed bands along Γ - M are Σ_3 and Σ_4 in \mathcal{H}_1 , which is just the opposite to that in \mathcal{H}_2 . This symmetry analysis simply indicates by including interorbital hopping (as \mathcal{H}_{12}) between $(d_{xy}, d_{x^2-y^2})$ and $(d_{xz}, d_{yz}, d_{z^2})$, the symmetry protected band crossing along Γ - M will be gapped even in the absence of SOC. This is clearly demonstrated in Fig. 4(g) for $\mathcal{H} = \mathcal{H}_1 + \mathcal{H}_2 + \mathcal{H}_{12}$ without SOC [38], which leaves the Dirac points along Γ - X and Γ - Y only. Then putting the SOC back, we see a full gap opening in Fig. 4(h), and the Wilson loop for the lowest four bands is topological, which is equal to the direct sum of Figs. 4(c) and Fig. 4(f). There is a subtlety point in Fig. 3(a) worth mentioning, that at Γ the twofold degenerate IR $\Gamma_5^-(2)$ from d_{xz}, d_{yz} energetically lies below $\Gamma_1^+(1) \oplus \Gamma_4^-(1)$ from d_{z^2} . This will not affect the topology due to opposite angular momentum from $d_{xz} \pm i d_{yz}$. Now, we understand the essential physics and reproduce the main result in Figs. 3(a) and 3(b) by a five d -orbital model in Fig. 4(h). Since the topological band inversion is contributed by d_{xz}, d_{yz} , and d_{z^2} far away from Fermi level, the chiral edge states always exist irrespective of the band crossing or gap opening along Γ - X (Y). This explains the edge states in Fig. 2(h) for FM along the x axis.

Finally, we provide an intuitive understanding of why the band inversion from (d_{xz}, d_{yz}) and d_{z^2} at M leads to high Chern number $\mathcal{C} = 2$. For the unit cell in Fig. 1(b), the elements

at Wyckoff position $2c$ introduce the difference between the A and B sublattices of Ti at Wyckoff position $2a$. Since the topology is purely from the d orbitals of Ti, if we ignore the difference between the A and B sublattices, we can construct a new square lattice with the unit cell containing a single Ti atom [gray dashed line in Fig. 3(c)]. The relation between the unfolded (labeled by Γ' - X' - Y' - M') and the original Brillouin zone is also displayed. Now we can rewrite \mathcal{H}_2 in the new unit cell as \mathcal{H}'_2 from nonplanar orbitals d_{xz}, d_{yz} and d_{z^2} only [38], and replot the band structure. As shown in Fig. 4(j), the band structure without SOC along Γ' - X' - X' - Γ' (black line) together with M' - X' - X' - M' (gray line) almost reproduces the band features in Fig. 4(d), i.e., the band structure of \mathcal{H}_1 is almost the folding of that of \mathcal{H}'_1 . According to the band folding picture, the band inversion at M in the original Brillouin zone now is related to the band inversion at X' in the unfolded Brillouin zone as shown in Fig. 4(k). There is C_{2z} rotational symmetry at X' , so the band inversion between angular momentum $\ell_z = \pm 1$ of d_{xz}, d_{yz} and $\ell_z = 0$ of d_{z^2} at X' is similar to a s - p band inversion with $\mathcal{C} = 1$. The same band inversion also happens around Y' from C_{4z} with another $\mathcal{C} = 1$. Then the total Chern number is $\mathcal{C} = 1 + 1 = 2$, which is consistent with the Wilson loop calculation in Fig. 4(l). Now both X' and Y' are folded into M without gap closing, then the total Chern number of the folded bands below Fermi level remains the same.

V. GENERALIZATION AND DISCUSSION

The model and analysis from the d orbitals above are general, and also apply to the previous reported $\mathcal{C} = 2$ QAH insulator in monolayer TiTe [24], LiFeSe [23], and FeI [25] with similar d -orbital projected band structure and IR (Fig. S9 [38]), where the origin of topology from (d_{xz}, d_{yz}) and d_{z^2} at M were overlooked. The topological physics in TiTe is from d orbitals of Ti at Wyckoff position $2a$ of space group $P4/nmm$, which is in the $e_g^{\uparrow 2} t_{2g}^{\uparrow 0}$ configuration with occupied d_{xy} and d_{z^2} for majority spin in the polarized state, while in LiFeSe [23] and FeI [25], each Fe takes the Wyckoff position $2a$ of $P4/nmm$ and is in the $e_g^{\uparrow 2} t_{2g}^{\uparrow 3} = e_g^{\uparrow 2} t_{2g}^{\uparrow 3} e_g^{\downarrow 2} t_{2g}^{\downarrow 0}$ configuration. The e_g - t_{2g} kinetic exchange leads to ultrastable FM, and the system is in a spin-polarized state with occupied d_{xy} and d_{z^2} for minority spin. The similar polarized $e_g^{\uparrow 2} t_{2g}^{\uparrow 0}$ configuration with C_{4z} rotational symmetry in all of these materials (Ti or Fe lattices) gives rise to the $\mathcal{C} = 2$ QAH phase with the same origin of topology.

The key ingredient for the $\mathcal{C} = 2$ phase is the polarized $e_g^{\uparrow 2} t_{2g}^{\uparrow 0}$ configuration of d orbitals, which is generated by the distorted tetrahedral crystal field by ligands at Wyckoff position $2c$. The distortion of tetrahedron will lift the degeneracy within t_{2g} and e_g , and determine the splitting and relative energetics between the planar and nonplanar orbitals (Fig. S10 [38]). As long as the crystal field splitting is small compared to the d orbital band width, the five d orbital model here works, namely, d_{xz}, d_{yz} and d_{z^2} give rise to the topological band inversion. Here we suggest several layer groups in Table III, which are compatible with such a crystal field and have square lattice structure with C_{4z} symmetry. For example, the simplest structures are P - $4m2$ and P - $42m$ shown in Fig. 3(d). We propose that a similar electronic structure with similar topological

TABLE III. Two typical layer groups and their subgroups which are compatible with distorted tetrahedral crystal field and C_{4z} rotational symmetry.

Space group	$P4/nmm$	$P-42m$
Subgroup	$P4/n, P4_212, P-4m2, P-4_21m, P-4$	$P-4$

properties may be found in transition metal compounds with these space groups, when the d orbital configuration $e_g^2 t_{2g}^0$ is fulfilled. Interestingly, the octahedral crystal field could also lead to t_{2g} and e_g splitting with opposite energy sequence, and the polarized $t_{2g}^3 e_g^0$ configuration of d orbitals together with C_{4z} from certain transition metals may also lead to the $C = 2$ QAH phase; the concrete material family is left for future study.

In summary, our work uncovers the $C = 2$ QAH phase from $3d$ orbitals which applies to a large class of materials in the space group $P4/nmm$. We hope the theoretical work here can aid the search for new QAH insulators in transition metal compounds.

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