# **Four-band tight-binding model of TiSiCO-family monolayers**

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The TiSiCO-family monolayers have recently been attracting significant attention due to their unique valleylayer coupling (VLC). In this work, we present a minimal, four-band tight-binding (TB) model to capture the low-energy physics of the TiSiCO-family monolayers  $Z_2YCO_2$  ( $Z = Ti$ ,  $Zr$ , Hf;  $Y = Si$ , Ge) with strong VLC. These monolayers comprise two *Z* atom layers separated by approximately 4 Å in the out-of-plane direction. Around each valley (*X* or *X'*), the conduction and valence bands are mainly dominated by the  $A_1\{d_{z^2(x^2-y^2)}\}$  and  $B_2{d_{yz}}$  orbitals of the top *Z* atoms, and the  $A_1{d_{z^2(x^2-y^2)}}$  and  $B_1{d_{xz}}$  orbitals of the bottom *Z* atoms. Using these four states as a basis, we construct a symmetry-allowed TB model. Through parameter fitting from first-principles calculations, the four-band TB model not only reproduces the electronic band structure, but also captures the strong VLC, high-order topology, and valley-contrasting linear dichroism of the monolayers. Furthermore, the TB model reveals that these monolayers may exhibit various intriguing topological phases under electric fields and biaxial strains. Hence, the TB model established here can serve as the starting point for future research exploring the physics related to VLC and the  $Z_2YCO_2$  monolayers.

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### **I. INTRODUCTION**

Valleytronics materials, which are characterized by the presence of multiple symmetry-connected energy extremal points in the low-energy bands, have been a focus of research in condensed matter physics [\[1–8\]](#page-7-0). The concept of valleytronics works in both three dimensions and two dimensions. However, due to the flexibility and controllability in two dimensions, it is the discovery of two-dimensional (2D) valleytronics materials like graphene and transition metal dichalcogenides (TMDs) that leads to the rapid growth in the field of valleytronics [\[9,10\]](#page-7-0). The 2D valleytronics materials are particularly attractive for both fundamental studies and the development of application devices [\[8,11](#page-7-0)[–31\]](#page-8-0).

Recently, the TiSiCO-family monolayers  $Z_2YCO_2$  ( $Z =$ Ti,  $Zr$ , Hf;  $Y = Si$ , Ge) have been proposed as a novel class of 2D valleytronics materials [\[32\]](#page-8-0). Similar to the graphene and TMDs, both the conduction and valence bands of these monolayers exhibit two valleys located at two high-symmetry points of the Brillouin zone (BZ), namely, *X* and *X*- (*Y* ) points. However, the two valleys in monolayer  $Z_2YCO_2$  are time-reversal  $T$  invariant points and are connected by the spatial operators  $S_{4z}$  and  $C_{2,110}$ , which is completely different from that in the graphene and TMDs [\[5](#page-7-0)[,33–37\]](#page-8-0). As a result, the valley polarization in monolayer  $Z_2YCO_2$  can be realized by the methods that do not break  $\mathcal T$  symmetry. Particularly, due to the strong valley-layer coupling (VLC)—the conduction or valence electrons in different valleys have strong but opposite layer polarization—the gate electric field is an intuitive and efficient way to generate valley polarization in monolayer  $Z_2YCO_2$ . This electric control of valley polarization is highly desirable for the applications. In addition to static control, dynamical generation of valley polarization also can be realized in these monolayers, as they exhibit valley-contrasting linear dichroism [\[32\]](#page-8-0). Furthermore, it has been predicted that the monolayer  $Z_2YCO_2$  is not a normal semiconductor but a second-order topological insulator [\[38\]](#page-8-0). Therefore, monolayer  $Z_2YCO_2$  will be of broad interest to multiple fields, including valleytronics, 2D materials, optoelectronics, and higher-order topology.

In our previous work, an effective two-band  $\mathbf{k} \cdot \mathbf{p}$  model was developed based on invariant theory [\[32\]](#page-8-0), where the spinorbit coupling (SOC) effect is not included due to negligible SOC in the low-energy bands of the monolayer  $Z_2YCO_2$ . The effective model clearly demonstrates the coupling between valley and layer degrees of freedom, and can be used to describe the optical properties of the monolayer  $Z_2YCO_2$ . However, it is insufficient to capture the higher-order topology of systems and the physics away from the two valleys.

In this work, we present a minimal lattice model for the monolayer  $Z_2YCO_2$  without SOC effect. The TB model is constructed by the *d* orbitals of *Z* atoms, i.e., the  $A_1\{d_{z^2(x^2-y^2)}\}$ and  $B_2\{d_{yz}\}\$  orbitals of the top *Z* atoms, and the  $A_1\{d_{z^2(x^2-y^2)}\}$ and  $B_1\{d_{xz}\}\$  orbitals of the bottom *Z* atoms. This effective model contains four bands: two valence bands and two conduction bands. All parameters in the model are obtained by fitting the electronic bands from the first-principles

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FIG. 1. (a) Side and top views of monolayer  $Z_2YCO_2$ .  $a = b$  is the lattice constant and *d* refers to the vertical distance between the two *Z* atoms. (b) denotes the BZ of the monolayer. (c),(d) show a representative example of orbital-projected band structures for the monolayer  $Z_2YCO_2$  calculated from first-principles calculations. Here, *Z* and *Y* represent Ti and Si, respectively. (c) presents the orbitals included in the TB model, while (d) displays the other orbitals that are not incorporated in the model.

calculations. We demonstrate that our four-band TB model can effectively describe the low-energy physics of the monolayer  $Z_2YCO_2$ , including strong VLC, optical properties, and higher-order topology. Furthermore, the TB model suggests that the monolayer  $Z_2YCO_2$  may undergo multiple phase transitions under external fields.

This paper is organized as follows. In Sec.  $II$ , we introduce the processes that lead to our four-band lattice model. In Sec. [III,](#page-3-0) the optical and topological properties of the effective lattice model are studied. We investigate possible phase transitions of the model under external field in Sec. [IV.](#page-4-0) Conclusions are given in Sec. [V.](#page-5-0)

#### **II. THE MINIMAL LATTICE MODEL**

The monolayer  $Z_2YCO_2$  belongs to layer group No. 59 or space group (SG) No. 115 with  $D_{2d}$  point-group symmetry. All the monolayers share similar crystalline structures which are shown in Fig.  $1(a)$ , and their lattice constant  $(a = b)$  and height *d* are shown in Table I. One can find that the *Z*, *Y* , C, and O atoms are located at 2*g*, 1*b*, 1*a*, and 2*g* Wyckoff

TABLE I. The lattice constant  $(a = b)$  and height  $(d)$  of the monolayer  $Z_2YCO_2$  ( $Z = Ti$ ,  $Zr$ , Hf;  $Y = Si$ , Ge).

Material	$a = b(A)$	d(A)	Material	$a = b(A)$	d(A)
Ti <sub>2</sub> SiCO <sub>2</sub>	2.82	4.10	Ti <sub>2</sub> GeCO <sub>2</sub>	2.89	4.16
Zr <sub>2</sub> SiCO <sub>2</sub>	3.01	4.26	$Zr$ <sub>2</sub> GeCO <sub>2</sub>	3.06	4.29
Hf <sub>2</sub> SiCO <sub>2</sub>	2.98	4.18	$Hf_2GeCO_2$	3.04	4.21

TABLE II. The first two columns show the compatibility relaxation between *d* orbitals and the irreducible representations (IRRs) of the  $C_{2v}$  point group. The last column presents the band representation (BR) of SG 115 from the 2*g* Wyckoff position, for which the site symmetry is  $C_{2\nu}$ .

		Induced BRs $(R \uparrow G)$				
d orbital	<b>IRRs</b>	$R \uparrow G$		X	м	
$d_{z^2}, d_{x^2-y^2}$	$A_1$		$A_1 \uparrow G$ $\Gamma_1 \oplus \Gamma_2$	$X_1 \oplus X_3$	$M_5$	
$d_{xy}$	A <sub>2</sub>		$A_2 \uparrow G$ $\Gamma_3 \oplus \Gamma_4$	$X_2 \oplus X_4$	$M_{5}$	
$d_{xz}$	$B_{1}$	$B_1 \uparrow G$	$\Gamma_5$	$X_2 \oplus X_3$	$M_3 \oplus M_4$	
$d_{yz}$	$B_{2}$	$A_2 \uparrow G$	$\Gamma_5$	$X_1 \oplus X_4$	$M_1 \oplus M_2$	

positions, respectively. Figure  $1(b)$  shows the BZ of the monolayers with the high-symmetry points being labeled. Here, the position of the *X* point is  $(\pi, 0)$  and that of the *X*<sup> $\prime$ </sup> point is  $(0, \pi)$ . Notice that there exists an alternative notation [\[39\]](#page-8-0) where the positions of  $X$  and  $X'$  points are interchanged, which is adopted in our previous work  $[32]$ . In Figs.  $1(c)$  and  $1(d)$ , we plot the electronic band of the monolayer  $Ti<sub>2</sub>SiCO<sub>2</sub>$ . The electronic band of the other monolayers can be found in Fig. [6.](#page-5-0)

Around Fermi level, the electronic bands of these monolayers mainly consist of certain *d* orbitals of the two *Z* atoms, while the contribution of other orbits, i.e., other *d* orbits of *Z* atoms, and *s* and *p* orbits of all other atoms is negligible, as shown in Figs.  $1(c)$  and  $1(d)$  and [A](#page-5-0)ppendix A (Fig. [6\)](#page-5-0). Specifically, the low-energy bands at the  $X(X')$  valley are dominated by the  $d_{vz}$  ( $d_{xz}$ ) orbits of the top (bottom) *Z* atoms, and the  $d_{z^2}$  and  $d_{x^2-y^2}$  orbits of both the top and the bottom Z atoms [see Fig.  $1(c)$ ]. From these band analyses, one knows that the valley states including both conduction and valence valley states have strong layer polarization, and the layers polarization for the  $X$  and  $X'$  valleys are opposite, leading to strong VLC effect. The VLC effect is protected by the *S*4*<sup>z</sup>* and  $C_{2,110}$  symmetries. The band representation of the conduction (valence) band edge at the *X* valley is calculated as  $X_1$  ( $X_3$ ) [\[40\]](#page-8-0), from which the band representation of the band edges at the  $X'$  valley can be inferred.

The site symmetry group of the *Z* atoms (Wyckoff position 2*g* in SG No. 115) is  $C_{2v}$ . For spinless systems, the *d* orbitals in  $C_{2v}$  point-group symmetry would split into five nondegenerate energy levels:  $2A_1 + A_2 + B_1 + B_2$ , as listed in Table II. Since the  $d_{z^2}$  and  $d_{x^2-y^2}$  orbitals share the same representation  $A_1$  of the  $C_{2v}$  point group, it is unnecessary to distinguish them in the band analysis. Therefore, for simplification, we only use the  $d_{z^2}$  and  $d_{yz}$  orbitals of the top *Z* atom and the  $d_{z^2}$  and  $d_{xz}$ orbitals of the bottom *Z* atom to construct a four-band model. It can be proved that the four-band model is the minimal one to capture the physics of the monolayer  $Z_2YCO_2$ . First, since there are two *Z* atoms in a unit cell, the band number of the lattice model must be even. Second, the band representations of the SG No. 115 (with  $T$  symmetry) from the 2*g* Wyckoff position can be found in the BCS website [\[39,41\]](#page-8-0), and are rewritten in Table II. From Table II, one observes that a twoband lattice model based on the *d* orbitals of the *Z* atom must be a semimetal, as the two bands will be degenerate at  $\Gamma$  or M points. However, the monolayer  $Z_2YCO_2$  is a semiconductor

<span id="page-2-0"></span>

FIG. 2. (a)–(f) The TB band structures (red) for monolayer  $Z_2YCO_2$  are compared with the first-principles band structures (gray). The blue dots indicate the band components of orbitals included in the TB model.

rather than a semimetal. This contradiction indicates that the lattice model of the monolayer  $Z_2YCO_2$  should have (at least) four bands.

To construct the lattice model, we need to determine the matrix representations of the generators of SG 115. The basis of the TB model here is  $\{d_{z^2}^1, d_{yz}^1, d_{z^2}^2, d_{xz}^2\}$ , where the superscript 1 (2) denotes the top (bottom) *Z* atom, located at (0, *b*/2, *d*/2) and (*a*/2, 0, −*d*/2), respectively. The generators of the symmetry operators of the monolayer  $Z_2YCO_2$  are  $S_{4z}$ ,  $M_{y}$ , and  $T$ , and their matrix representations are obtained as

$$
S_{4z} = \begin{bmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{bmatrix}, \quad M_{y} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix},
$$
 (1)

and

$$
\mathcal{T} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \mathcal{K}, \tag{2}
$$

where  $K$  is the complex conjugation operator. Then, the symmetry-allowed TB Hamiltonian of the monolayer  $Z_2$ *YCO*<sub>2</sub> is established as

$$
\mathcal{H} = \begin{pmatrix} H_{\text{top}} & H_{\text{inter}} \\ H_{\text{inter}}^{\dagger} & H_{\text{bottom}} \end{pmatrix},\tag{3}
$$

with  $H_{\text{bottom}}(k_x, k_y) = H_{\text{top}}(k_y, -k_x)$ ,

$$
H_{\text{top}} = \Delta \sigma_3 + \begin{pmatrix} \sum_{\alpha=x,y} t_{1,\alpha} \cos k_{\alpha} & \text{if}' \sin k_{y} \\ -\text{if}' \sin k_{y} & \sum_{\alpha=x,y} t_{2,\alpha} \cos k_{\alpha} \end{pmatrix},
$$

and

$$
H_{\text{inter}} = \begin{pmatrix} r_1 \cos \frac{k_x}{2} \cos \frac{k_y}{2} & ir' \cos \frac{k_x}{2} \sin \frac{k_y}{2} \\ ir' \cos \frac{k_y}{2} \sin \frac{k_x}{2} & r_2 \sin \frac{k_x}{2} \sin \frac{k_y}{2} \end{pmatrix}.
$$

Here,  $\sigma_3$  is the third component of the Pauli matrix, and all the parameters are real. The Hamiltonian (3) contains the nearest-neighbor (NN) intralayer and NN interlayer hoppings (see Appendix  $B$ ), resulting in nine symmetry-allowed real parameters. We employ the gradient descent method [\[42\]](#page-8-0) to determine the parameters by comparing the electronic bands from the first-principles calculations with those from the TB model (3) with appropriate initial parameters. The fitted bands of the monolayer  $Z_2YCO_2$  are illustrated in Fig. 2 and the corresponding parameters are listed in Table [III.](#page-3-0) Although this TB model captures the essential and low-energy physics of the monolayer  $Z_2YCO_2$ , it fails to describe the physics of the material at high energy, such as that at the  $\Gamma$  and M points. Generally, one can improve the fitting by adding more hoppings or more orbits [\[35\]](#page-8-0).

As studied in Ref. [\[32\]](#page-8-0), one of the most intriguing properties of the monolayer  $Z_2YCO_2$  is the strong VLC effect. To demonstrate that our TB model can capture the VLC effect, we present the layer polarization of the valley states of the TB



<span id="page-3-0"></span>TABLE III. Fitted parameters of the four-band TB model for monolayer  $Z_2YCO_2$  with interlayer and intralayer NN hoppings based on first-principles calculations. "*t*" and "*r*" stand for interlayer NN and intralayer NN hoppings, respectively. All parameters are in units of eV.

model in Fig. 3. The layer polarization is defined as [\[32\]](#page-8-0)

$$
P_n(\mathbf{k}) = \int_{z>0} |\psi_{nk}|^2 dr - \int_{z<0} |\psi_{nk}|^2 dr, \tag{4}
$$

with  $\psi_{nk}$  representing the eigenstate of the *n*th Bloch band, i.e., the eigenstate of the TB Hamiltonian [\(3\)](#page-2-0), and *k* denoting the wave vector. Here, the  $z = 0$  plane is set on the middle  $Y/C$ atom layer. The layer polarization  $P_n(k)$  indicates the polarization of  $\psi_{nk}$  between the top ( $z > 0$ ) and bottom ( $z < 0$ ) layers. From Fig. 3, strong valley-contrasted layer polarization can be observed for both conduction and valence bands, reproducing the VLC effect in the monolayer  $Z_2YCO_2$ .

### **III. OPTICAL AND TOPOLOGICAL PROPERTIES**

In this section, we show that the four-band TB model can describe the optical and topological properties of the monolayer  $Z_2YCO_2$ . TMDs are known to exhibit valley-contrasting circular dichroism in optical interband absorption [\[5](#page-7-0)[,36,43\]](#page-8-0). However, due to the difference in symmetry, the  $X(X')$  valleys in monolayer  $Z_2YCO_2$  exclusively couple to *x*-linearly (*y*-linearly) polarized light [\[32\]](#page-8-0) rather than the circularly polarized light. Consequently, the monolayer  $Z_2YCO_2$  features valley-contrasting linear dichroism. The *k*-resolved linear polarization degree of the optical interband absorption between



FIG. 3. Layer polarization *P*(*k*) of TB bands obtained from Hamiltonian [\(3\)](#page-2-0). The representations of the valence bands at highsymmetry points are labeled.

valence and conduction bands is characterized by

$$
\eta(\mathbf{k}) = \frac{|M_x|^2 - |M_y|^2}{|M_x|^2 + |M_y|^2},\tag{5}
$$

where  $M_i = \langle \psi_{ck} | \frac{\partial H}{\partial k_i} | \psi_{vk} \rangle$  is the coupling strength between valence and conduction bands with the optical field linearly polarized in the *i*th direction.  $\eta(k)$  indicates the normalized absorption difference between *x*- and *y*-linearly polarized light. The  $\eta(k)$  calculated from our TB model is shown in Fig. 4(a). We find that  $\eta(X) = 1$  and  $\eta(X') = -1$ , indicating an opposite linear dichroism around the  $X$  and  $X'$  valleys. This is consistent with the results in Ref. [\[32\]](#page-8-0). Moreover, since the four high-symmetry lines,  $\Gamma$ -*X*,  $\Gamma$ -*X'*, *M*-*X*, and *M*-*X'* have mirror symmetry  $(M_x \text{ or } M_y)$ , the electronic states on them must exclusively couple to a linearly polarized light whose polarization direction is either parallel or perpendicular to the mirror. This property also can be found in our calculations, where  $\eta(k) = \pm 1$  for the four high-symmetry lines [see Fig. 4(a)].

Our four-band TB model can also reproduces the topological properties of the monolayer  $Z_2YCO_2$ , which is predicted



FIG. 4. (a)  $\eta(k)$  of the TB model [\(3\)](#page-2-0) in the BZ. (b) Three Wyckoff positions of the monolayer  $Z_2YCO_2$  in real space. In the TB model [\(3\)](#page-2-0), the 2*g* position is occupied by atoms, while the 1*a* and 1*b* positions are empty. (c) Spectra of the  $Z_2YCO_2$  nanodisk, where four corner states (red dots) appear in the band gap. (d) The distribution of the corner states in real space.

<span id="page-4-0"></span>TABLE IV. Fitted parameter  $\alpha$  [in Eq. (6)].  $\alpha$  is in the unit of Å.

		TiSiCO TiGeCO ZrSiCO ZrGeCO HfSiCO HfGeCO	
		$\alpha$ -0.204 -0.182 -0.237 -0.241 -0.267 -0.249	

as a second-order topological insulator [\[38\]](#page-8-0). The topological properties of our TB model can be directly diagnosed using the theory of topological quantum chemistry (TQC) [\[41,44–](#page-8-0) [46\]](#page-8-0). As shown in Fig. [3,](#page-3-0) the irreducible representations of the valence band at all the high-symmetry points are calculated as  $\Gamma_1 \oplus \Gamma_2 + X_3 \oplus X_3 + M_3 \oplus M_4$ , which are induced by the  $d_{x^2-y^2}$  and  $d_{z^2}$  orbitals located at the 1*b* Wyckoff position [see Fig. [4\(b\)\]](#page-3-0). However, in the four-band TB model, the 1*b* Wyckoff position is empty. This indicates that the four-band TB model established here must be nontrivial. According to the classification of higher-order topology [\[41,47\]](#page-8-0), it is a second-order topological insulator (SOTI).

A characteristic of the SOTI is the presence of corner states at specific corners of the SOTI nanodisk. Here, based on the TB model, we calculate the spectrum for a nanodisk with  $15 \times 15$  unit cells whose edges are on 110 and 110 directions. The results are plotted in Figs.  $4(c)$  and  $4(d)$ , where four degenerate corner states in the gap of the bulk state can be clearly observed. The degeneracy of these four corner states is protected by the *S*4*<sup>z</sup>* symmetry of the system. Similar to the low-energy bands in bulk, the four corner states also have strong layer polarization. Again, due to the *S*4*<sup>z</sup>* symmetry, the layer polarization of the corner states at the *x* and *y* axes are opposite, consistent with the previous work [\[38\]](#page-8-0).

### **IV. PHASE TRANSITIONS**

In addition to reproducing the low-energy physics of the monolayer  $Z_2YCO_2$ , the four-band TB model is itself physically interesting, and can host many topological phases under external fields. One can expect that these topological phases may be realized in the monolayer  $Z_2YCO_2$  under suitable conditions.

Owing to the strong VLC effect, the most convenient way to control the bands of the TB model is by applying a gate electric field normal to the plane of the system, as it can produce an opposite electrostatic potential for the top and bottom atoms. Approximately, the effect of gate electric field can be incorporated in the TB model [\(3\)](#page-2-0) by introducing an on-site energy term,

$$
\mathcal{H}_E = \alpha E \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \tag{6}
$$

where  $E$  is the electric field and  $\alpha$  is a real parameter depending on the material details, such as the separation of the top and bottom *Z* atoms, layer polarization of valley states, and the screening effect. The values of  $\alpha$  for different monolayer  $Z_2YCO_2$  are listed in Table IV, which is extracted from the first-principles calculations (see Appendix [B\)](#page-6-0). When *E* is finite, both  $S_{4z}$  and  $C_{2,110}$  symmetries of the system are



FIG. 5. (a) On the left, a 2D band structure illustrating a semi-Dirac point, and on the right, the dispersion of the semi-Dirac point's energy bands along high-symmetry paths. (b) and (c) are typical bands of  $Z_2YCO_2$  in DSM and V-DSM phases. (d) Phase diagram of  $Z_2YCO_2$  under gate electric field and symmetry-preserving perturbation. (b)–(d) are obtained using the TB model of TiSiCO.

broken, rendering the two valleys  $X$  and  $X'$  nonequivalent. As *E* increases (assuming  $E > 0$ ), the band gap in the *X* valley decreases while that in the  $X'$  valley becomes larger. At a critical value  $E = E_c$ , the conduction and valence bands touch at the *X* valley, forming a semi-Dirac point [\[48–50\]](#page-8-0). Interestingly, the semi-Dirac point exhibits a linear dispersion along the  $k_x$  direction but a quadratic dispersion along the  $k_y$ direction [see Fig.  $5(a)$ ], and can be considered as a critical point where two conventional Dirac points merge together. With the continuous increase of the gate field, the semi-Dirac point splits into two conventional Dirac points located at the  $M-X$  path, as illustrated in Fig.  $5(c)$ . Since both Dirac points reside around the *X* valley, we term this phase as valleypolarized topological Dirac semimetal (V-DSM).

The four-band TB model can also be tuned by symmetrypreserving perturbations like biaxial strain, which changes the value of the parameters in the original Hamiltonian [\(3\)](#page-2-0). Consider a symmetry-preserving perturbation

$$
\mathcal{H}_{\delta} = \delta(\cos k_x - \cos k_y) \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \qquad (7)
$$

corresponding to the situation in which the parameter  $t_{i,\alpha}$  $(i = 1, 2 \text{ and } \alpha = x, y)$  by  $\delta$  has been changed, while the other parameters unchange.

This perturbation changes the band gap at both valleys. Particularly, the band gap of the system decreases when  $\delta$  < 0, and closes at a critical value  $\delta = \delta_c$ ; in such case, there exist two semi-Dirac points residing at the *X* and *X*<sup> $\prime$ </sup> valleys, respectively. When  $\delta < \delta_c$ , the two semi-Dirac points become four symmetry-connected conventional Dirac points, and the system becomes a topological Dirac semimetal (DSM), as shown in Fig.  $5(b)$ . The phase diagram of the TB model under these two perturbations,  $E$  and  $\delta$ , is plotted in Fig.  $5(d)$ .

### **V. CONCLUSION**

<span id="page-5-0"></span>In this work, we construct a four-band TB model for the TiSiCO-family monolayers  $(Z_2YCO_2)$  based on the *d* orbitals of the two *Z* atoms. Via the theory of band representation, we show this four-band model is the minimal one that can capture the low-energy physics of the TiSiCOfamily monolayers. Our TB model includes both interlayer and intralayer NN hoppings, and the hopping parameters are fitted to first-principles calculations by gradient descent method. Consequently, our model accurately reproduces the energy dispersion and the layer polarization of the bands around the  $X$  and  $X'$  valleys. Our model can also describe the valley-contrasted linear dichroism of the monolayer  $Z_2YCO_2$ . Furthermore, we demonstrate that the TB model is a SOTI, and exhibits topological corner states in its nanodisk. These results are consistent with that calculated from first-principles calculations.

We then investigate the possible phase transitions of the TB model under different perturbations. Under a gate field and biaxial strain, the TB model is transformed from a SOTI to two distinct phases: valley-polarized topological Dirac semimetal and conventional topological Dirac semimetal. Therefore, our TB model not only effectively describes the low-energy properties of monolayer  $Z_2YCO_2$ , which greatly simplify the further study on monolayer  $Z_2YCO_2$  materials, but also can be used to study the interplay between valley physics and higher-order topology.

Experimentally, one can use the molecular beam epitaxy technology to directly synthesize the monolayers. In addition, the previous work  $\lceil 51 \rceil$  studies the synthesis of quaternary compounds from the IV-B, IV-A, IV-A, and VI-A groups and demonstrates that  $ZrGe_xSi_{1-x}Te$  with *x* ranging from 0%

to 100% can be experimentally synthesized. This indicates that the syntheses of the bulk of TiSiCO-family materials is experimentally possible. Then, one can obtain the monolayer TiSiCO-family material from their bulk through mechanical exfoliation. The optical properties of the monolayers can be experimentally detected by linearly polarized photoluminescence measurements, and the gate-field induced phase transitions can be measured by the ordinary transport experiments as unusual field-dependent resistance.

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# **APPENDIX A: BAND ANALYSIS OF THE TiSiCO-FAMILY MONOLAYERS**

Here, we study the band structure and their projection onto atomic orbitals of the monolayer  $Z_2YCO_2$ . The electronic band structures of the monolayers  $Z_2YCO_2$  are calculated via the Vienna *ab initio* simulation package (VASP) [\[52,53\]](#page-8-0) and the NANODCAL package [\[54\]](#page-8-0). We have adopted the hybrid functional approach (HSE06) [\[55,56\]](#page-8-0) and the generalized gradient approximation with the Perdew-Burke-Ernzerhof (PBE) [\[57\]](#page-8-0) to calculate the bands, and the results are shown in Figs. 6 and [7,](#page-6-0) respectively. The 2D BZ was sampled using Monkhorst-Pack *k*-mesh with a size of 11111. The energy cutoff was set to 550 eV and the energy convergence criteria was 10<sup>−</sup><sup>6</sup> eV.



FIG. 6. HSE06 band structure of the monolayer  $Z_2YCO_2$  ( $Z = Ti$ ,  $Zr$ , Hf;  $Y = Si$ , Ge) without SOC. The size of the colored circles is proportional to the weight of projection onto atomic orbitals.

<span id="page-6-0"></span>

FIG. 7. PBE band structure of the monolayer  $Z_2YCO_2$  ( $Z = Ti$ ,  $Zr$ , Hf;  $Y = Si$ , Ge) without SOC. The size of the colored circles is proportional to the weight of projection onto atomic orbitals.

# **APPENDIX B: SCHEMATIC ILLUSTRATION OF THE HOPPING IN HAMILTONIAN [\(3\)](#page-2-0)**

To make the TB model [\(3\)](#page-2-0) easier to understand, we plot a schematic illustration of the hopping in it, as shown in Fig. 8.

## **APPENDIX C: GATE-FIELD CONTROL OF VALLEY STATES IN TiSiCO-FAMILY MONOLAYERS**

Due to VLC, a gate-field control of the valley polarization can be realized in the monolayer  $Z_2YCO_2$ . The electronic band of the monolayer  $Z_2YCO_2$  under a gate field of 0.05 eV/Å is shown in Fig. [9,](#page-7-0) from which the coefficient  $\alpha$  in Eq. [\(6\)](#page-4-0) can be obtained.



FIG. 8. Schematic diagram of the (a) intralayer and (b) interlayer hoppings in Hamiltonian [\(3\)](#page-2-0), which are denoted by the double-headed arrows. Here, red and blue circles denote upper and lower *Z* atoms, respectively.

<span id="page-7-0"></span>

FIG. 9. (a)–(f) HSE06 electronic band structure of the monolayer  $Z_2YCO_2$  ( $Z = Ti$ ,  $Zr$ , Hf;  $Y = Si$ , Ge) under a gate field of 0.05 eV/Å, obtained from first-principles calculations. The gap at the *X* and the *X'* point is denoted as  $\Delta_X$  and  $\Delta_{X'}$ .  $\alpha$  is obtained by comparing the values of  $\Delta_X - \Delta_{X'}$  from TB model [\(3\)](#page-2-0) with Eq. [\(6\)](#page-4-0) and that from first-principles calculations.

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