

## Inverse Janus design of two-dimensional Rashba semiconductors

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The search for optimal Rashba semiconductors with large Rashba constants, strong electric field responses, and potential thermoelectric properties is pivotal for spin field-effect transistors (SFETs) and Rashba thermoelectric devices. Herein, we employ first-principles calculations to explore the intrinsic Rashba spin splitting in a series of two-dimensional (2D)  $XYZ_2$  ( $X, Y = \text{Si, Ge, Sn}$ ;  $X \neq Y$ ;  $Z = \text{P, As, Sb, Bi}$ ) monolayers via unnatural inverse Janus structural design. Instead of common Janus-type Rashba systems, the  $\text{SiSnSb}_2$  and  $\text{GeSnSb}_2$  monolayers within inverse Janus structures are first predicted as ideal Rashba systems with isolated spin-splitting bands near the Fermi level, and the Rashba constants  $\alpha_R$  are calculated as 0.94 and 1.27 eV Å, respectively. More importantly, the Rashba effect in such  $\text{SiSnSb}_2$  and  $\text{GeSnSb}_2$  monolayers can be more efficiently modulated by the external electric field compared to the biaxial or uniaxial strain, especially with  $\text{GeSnSb}_2$  monolayer exhibiting a strong electric field response rate of 1.34 eÅ<sup>2</sup>, leading to a short channel length,  $L = 64$  nm. Additionally, owing to the inapplicability of work function and potential energy in assessing built-in electric field ( $E_m$ ) in inverse Janus  $\text{SiSnSb}_2$  and  $\text{GeSnSb}_2$  structures, we further propose an effective method to characterize  $E_m$  through a view of fundamental charge transfer to approximately quantize the  $\alpha_R$  and its variation under an external electric field. Our work not only proposes the  $\text{GeSnSb}_2$  monolayer acting as a promising multifunctional material for potential applications in SFETs and Rashba thermoelectric devices but also inspires future research to introduce Rashba spin splitting in 2D materials through inverse Janus design.

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### I. INTRODUCTION

With the emergence of spintronics [1], the search for materials with large spin-orbit coupling (SOC) effect has become crucial for spin devices. Rashba effect [2] induced by SOC coupled with broken inversion symmetry in certain materials stimulates a wide range of research interests [3,4] since it is closely associated with spintronics, such as spin Hall effect [5], spin-orbit torque [6], and topological insulators [7]. Particularly, the Rashba spin splitting can tune the polarized spins by external electric field [8], thus offering considerable potential for application in spin field-effect transistors (SFETs) [9]. To describe the Rashba effect, Bychkov and Rashba proposed the Bychkov-Rashba form, which is one of the spin-orbital Hamiltonian forms,  $H_{\text{SOC}} = \alpha_R (\boldsymbol{\sigma} \times \mathbf{k}) \cdot \mathbf{z}$  [10], where  $\alpha_R$  stands for the Rashba constant,  $\boldsymbol{\sigma}$  for the Pauli spin matrices,  $\mathbf{k}$  for the momentum, and  $\mathbf{z}$  for the electric field direction. A high Rashba constant and strong electric field response rate are the keys to reduce the spin channel length and preserve spin coherence of SFETs. On the other hand, Rashba effect is demonstrated to be in favor of the thermoelectric properties in bulk BiTeI system due to the unique spin-splitting band structure [11]. In our recent review [12] of Rashba spin

splitting optimizing thermoelectric property, we propose that the search for intrinsic Rashba-type thermoelectric materials, or the introduction of a Rashba spin splitting in common thermoelectric materials, may be promising ways to globally optimize the thermoelectric performance. Hence, designing ideal Rashba materials derived from original thermoelectric materials and studying their responses of Rashba constants to the external electric field are intriguing.

As known, the Janus structure [13,14], characterized by two distinct nanoscale faces, is generally employed in the two-dimensional (2D) systems to achieve the Rashba effect due to the intrinsic symmetry breaking. Typically, 2D Janus materials can be engineered by substituting atoms to disrupt the symmetry in original symmetric systems. Designing Janus structures with two- and three-atom layers is straightforward, achieved by ensuring different atoms at both ends. The electronic structures and Rashba properties of two-atom layer buckled honeycomb Janus structures, such as BiSb [15,16], AlBi [15], and three-atom layer transition-metal dichalcogenide (TMD) Janus  $MXY$  ( $M = \text{Mo, W}$ ;  $X, Y = \text{S, Se, Te}$ ;  $X \neq Y$ ) [17–20] have been extensively studied. For four atomic-layer systems (single atomic-layer replacement), it is common to design Janus structures by making the two end atoms different, as exemplified by the Janus structures  $M_2XY$  ( $M = \text{Ga, In}$ ;  $X, Y = \text{S, Se, Te}$ ;  $X \neq Y$ ) [21,22] and  $XZ_2Y$  ( $X, Y = \text{P, As, Sb, Bi}$ ;  $X \neq Y$ ;  $Z = \text{Si, Ge, Sn}$ ) [23–25]. Beyond the common Janus structural design, many efforts

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have been devoted to achieve the ideal Rashba spin splitting by constructing 2D van der Waals heterostructures [26,27], seeking intrinsic polar materials (such as 2D Polar Perovskites  $ABX_3$  [28] ( $A = Cs^+$ ,  $Rb^+$ ;  $B = Pb^{2+}$ ,  $Sn^{2+}$ ;  $X = Cl$ ,  $Br$ ,  $I$ ) and  $TlSnX_3$  [29] ( $X = Cl$ ,  $Br$ ,  $I$ )) or other effective means. Inspired by the prevalent Janus structures and the multiple atomic layers of numerous 2D materials, we are devoted to searching for more structural design strategies to introduce Rashba spin splitting in this work, which is expected to expand the scope of searching ideal Rashba materials beyond the traditional framework of structural design.

Lately, the original four atomic-layer systems  $X_2Z_2$  ( $X = Si$ ,  $Ge$ ,  $Sn$ ;  $Z = P$ ,  $As$ ,  $Sb$ ,  $Bi$ ) have been theoretically predicted to be dynamically stable by Özdamar *et al.* [30]. Additionally, 2D monolayer  $SnSb$  featuring a honeycomb lattice structure and 2D monolayer  $GeAs$  nanosheets have been successfully synthesized experimentally [31,32], providing an example of experimental synthesis of other  $X_2Z_2$  materials. Due to the symmetry of the original system  $X_2Z_2$  with four atomic layers, it is possible to design Janus structures by various ways. The practice of making the two end atoms different to obtain Janus structures  $XZ_2Y$  is common [23–25]. Liu *et al.* [23,24] and Babaei Touski *et al.* [25] have studied the unique electronic properties of Janus materials  $XZ_2Y$ , including the Rashba effect and Lifshitz transition, while the thermoelectric potential of  $XZ_2Y$  materials are ignored. Actually, the original systems  $X_2Z_2$  have been identified as a potential class of thermoelectric materials [33–35]. Therefore, given the great potential of the Rashba effect to optimize thermoelectric properties [12], it is necessary to explore stronger Rashba effect and even modulation based on the original thermoelectric systems  $X_2Z_2$ . Consequently, we anticipate exploring the original thermoelectric materials  $X_2Z_2$  by structural design, which may facilitate achieving the ideal Rashba effect and enable the design of multifunctional materials suitable for applications in both SFETs and Rashba thermoelectric devices.

In the present work, we use first-principles calculations to investigate the structural stability, electronic structure, and intrinsic Rashba effect in a series of 2D  $XYZ_2$  ( $X, Y = Si$ ,  $Ge$ ,  $Sn$ ;  $X \neq Y$ ;  $Z = P$ ,  $As$ ,  $Sb$ ,  $Bi$ ) monolayers within the inverse Janus structures (by substituting intermediate atoms from four atomic-layer  $X_2Z_2$ ), then further explore the modulation of Rashba effect under external electric field and strain. Among these 12 kinds of inverse Janus structures, the  $SiSnSb_2$  and  $GeSnSb_2$  monolayers are predicted as ideal Rashba semiconductors ( $\alpha_R = 0.94$  and  $1.27$  eV Å) with isolated spin-splitting bands near the Fermi level. To clarify the underlying mechanism of such two Rashba systems with inverse Janus structures, we introduce a simple approach based on fundamental charge-transfer analysis to characterize the hidden  $E_{in}$  for approximately quantizing the magnitude of  $\alpha_R$  and further establish that total charge transfer ( $Q_{t0}$ ) and intrinsic  $E_{in}$  characterized by charge-transfer difference between the two ends ( $Q_{d0}$ ), jointly dictate the Rashba constant variations under external electric field. Compared to strain modulation, the Rashba effect in  $SiSnSb_2$  and  $GeSnSb_2$  monolayers can be more efficiently modulated by external electric field, especially with  $GeSnSb_2$  monolayer exhibiting a strong electric field response rate of  $1.34$  eÅ<sup>2</sup>, leading to a short channel length  $L = 64$  nm, thereby manifesting as a

promising multifunctional material for SFETs and Rashba thermoelectric devices. In particular, our work could largely inspire future research to introduce Rashba spin splitting in other 2D materials through inverse Janus design.

## II. COMPUTATIONAL METHODS

First-principles calculations were performed by using the Vienna *Ab initio* Simulation Package (VASP), which employs density-functional theory and the projected-augmented wave method [36,37]. The Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional within the generalized gradient approximation was utilized [38]. To investigate the Rashba effect, our electronic band structure calculations were performed both with and without SOC. The kinetic energy cutoff for the plane-wave basis was set to be 620 eV. We relaxed all atoms to ensure an energy convergence of  $10^{-6}$  eV and forcing convergence of  $10^{-4}$  eV/Å. We used a  $12 \times 12 \times 1$  Gamma-point centered grid to sample the Brillouin zone (BZ). The  $\sim 25$  Å vacuum layer was included along the  $z$  axis to eliminate interactions between adjacent slabs. Additionally, the Heyd-Scuseria-Ernzerhof hybrid functional (HSE06) was also used to correct the electronic band structure [39,40]. The dynamical stability of inverse Janus  $XYZ_2$  monolayers was checked via phonon-dispersion calculations with a large supercell  $5 \times 5 \times 1$  as implemented in the PHONOPY code [41]. Moreover, we tested the thermal stability of the inverse Janus  $XYZ_2$  monolayers by performing *ab initio* molecular dynamics (AIMD) simulations at room temperature for 10 ps with a step of 2 fs. The Bader techniques were used to analyze the charge transfer [42]. The constant-energy contour plots of the spin texture were calculated using the PYPROCAR code [43].

## III. RESULTS AND DISCUSSION

The  $X_2Z_2$  systems exhibit  $D_{3h}$  symmetry with mirror symmetry, whereas inverse Janus  $XYZ_2$  monolayers, formed by substituting an intermediate  $X$  atom in  $X_2Z_2$  monolayers with a  $Y$  atom, disrupt mirror symmetry and possess a  $P3m1(C_{3v})$  space group. The  $XYZ_2$  monolayers feature a hexagonal lattice comprising covalently bonded four atomic layers with the same  $Z$  atoms at both ends and different  $X, Y$  atoms sandwiched, as illustrated in Fig. 1(a). The optimized lattice parameters of the  $XYZ_2$  monolayers are summarized in Table I. Our calculated results demonstrate that the lattice constants of  $XYZ_2$  monolayers increase with the growth of the atomic number of  $Z$  atoms, from P to Bi. Furthermore, the bond length of  $X$  and  $Y$  atoms  $l_{X-Y}$  and lattice constants increase in order of sandwiched Si-Ge, Si-Sn, and Ge-Sn when the two terminal atoms are kept. Thus,  $GeSnBi_2$  has the maximum lattice constant value of 4.38 Å, while  $SiGeP_2$  has the minimum lattice constant value of 3.59 Å. The bond-angle sum of  $\theta_1$  ( $\theta_2$ ) and  $\theta_3$  ( $\theta_4$ ) for all  $XYZ_2$  monolayers is approximately 161°.

The cohesive energy  $E_{coh}$  of inverse Janus  $XYZ_2$  monolayers is calculated by the following formula:

$$E_{coh} = [(n_1 E_X + n_2 E_Y + n_3 E_Z) - E_{tot}] / (n_1 + n_2 + n_3). \quad (1)$$

TABLE I. Optimized lattice parameters of inverse Janus  $XYZ_2$  monolayers: lattice constant  $a = b$ , bond length of  $X$  and  $Y$  atoms  $l_{X-Y}$ , vertical distance of  $X$  and  $Z$  atoms  $d_{X-Z}$ , vertical distance of  $Y$  and  $Z$  atoms  $d_{Y-Z}$ , bond angle of  $Z$ ,  $X$ , and  $Y$  atoms  $\theta_1$ , bond angle of  $Z$ ,  $Y$ , and  $X$  atoms  $\theta_2$ , bond angle of  $X$ ,  $Z$ , and  $X$  atoms  $\theta_3$ , bond angle of  $Y$ ,  $Z$ , and  $Y$  atoms  $\theta_4$ . Calculated cohesive energy and band gap without and with SOC using PBE method:  $E_{\text{coh}}$ ,  $E_{\text{g-PBE}}$ , and  $E_{\text{g-PBE+SOC}}$ .

	$a = b(\text{\AA})$	$l_{X-Y}(\text{\AA})$	$d_{X-Z}(\text{\AA})$	$d_{Y-Z}(\text{\AA})$	$\theta_1(\text{deg})$	$\theta_2(\text{deg})$	$\theta_3(\text{deg})$	$\theta_4(\text{deg})$	$E_{\text{coh}}(\text{eV/atom})$	$E_{\text{g-PBE}}(\text{eV})$	$E_{\text{g-PBE+SOC}}(\text{eV})$
SiGeP <sub>2</sub>	3.59	2.43	0.99	1.09	103.47	104.77	57.37	56.87	3.89	1.49	1.46
SiSnP <sub>2</sub>	3.73	2.63	0.96	1.26	102.51	106.34	57.72	56.21	3.63	0.69	0.62
GeSnP <sub>2</sub>	3.80	2.70	1.03	1.23	103.19	105.62	57.48	56.52	3.40	1.07	1.01
SiGeAs <sub>2</sub>	3.75	2.42	1.08	1.17	104.06	105.11	57.15	56.73	3.60	1.38	1.26
SiSnAs <sub>2</sub>	3.88	2.62	1.05	1.33	103.22	106.52	57.47	56.13	3.39	0.53	0.40
GeSnAs <sub>2</sub>	3.95	2.69	1.11	1.30	103.69	105.89	57.29	56.40	3.19	0.55	0.41
SiGeSb <sub>2</sub>	4.06	2.42	1.21	1.28	104.44	105.24	57.00	56.68	3.31	0.85	0.64
SiSnSb <sub>2</sub>	4.19	2.62	1.16	1.43	103.59	106.55	57.33	56.11	3.13	0.34	0.11
GeSnSb <sub>2</sub>	4.25	2.68	1.21	1.41	103.90	106.03	57.21	56.34	2.98	0.31	0.07
SiGeBi <sub>2</sub>	4.20	2.41	1.26	1.32	104.50	105.20	56.98	56.69	3.14	0.41	0
SiSnBi <sub>2</sub>	4.33	2.61	1.21	1.48	103.60	106.53	57.32	56.12	2.98	0	0
GeSnBi <sub>2</sub>	4.38	2.67	1.25	1.46	103.84	106.05	57.23	56.33	2.84	0	0

In Eq. (1),  $E_X$ ,  $E_Y$ , and  $E_Z$  are the isolated atom energies of the elements  $X$ ,  $Y$ , and  $Z$ , respectively;  $E_{\text{tot}}$  represents the total energy of the inverse Janus  $XYZ_2$  monolayers;  $n_1$ ,  $n_2$ , and  $n_3$  denote the number of  $X$ ,  $Y$ , and  $Z$  atoms in the unit cell, respectively. Our calculated results show that all inverse Janus  $XYZ_2$  monolayers are energetically stable, as listed in Table I. Moreover, the phonon spectra and AIMD simulations at 300 K of SiGeSb<sub>2</sub>, SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers are

calculated to verify their dynamical and thermal stabilities as plotted in Figs. 1(c) and 1(d), and the nine phonon spectra and AIMD simulations at 300 K of other  $XYZ_2$  monolayers are also depicted in Figs. S1 and S2 of the Supplemental Material [44], where no imaginary frequency is found for all the  $XYZ_2$  structures and their free energy fluctuates in a narrow range, with no significant distortion of the equilibrium structure or bond breaking, indicating that they are dynamically and thermally stable.

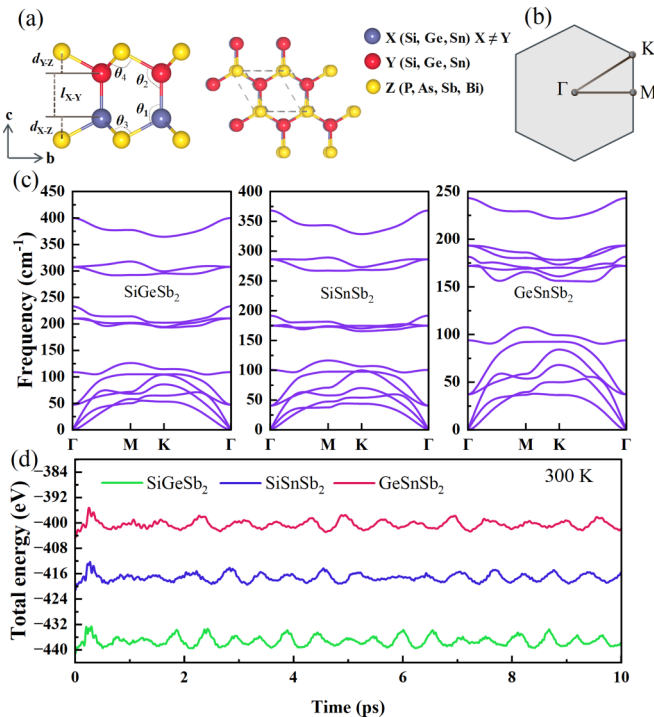


FIG. 1. (a) Side and top views of honeycomb crystal structure of  $XYZ_2$  monolayers. Blue, red, and yellow balls denote  $X$ ,  $Y$ , and  $Z$  atoms, respectively. (b) First Brillouin zone labeled with high-symmetry points. (c) Phonon spectra and (d) AIMD simulations of total energy fluctuation under 10 ps at 300 K of SiGeSb<sub>2</sub>, SiSnSb<sub>2</sub>, and GeSnSb<sub>2</sub> monolayers.

The electronic band structures of inverse Janus SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers are calculated employing PBE functional without and with SOC as shown in Fig. 2, while the band structures of other inverse Janus  $XYZ_2$  monolayers are given in Fig. S3 [44]. In the absence of SOC, our results reveal that all inverse Janus  $XYZ_2$  monolayers exhibit a band gap, with the exception of the SiSnBi<sub>2</sub> and GeSnBi<sub>2</sub> monolayers. Especially, the SiGeP<sub>2</sub>, SiSnP<sub>2</sub>, GeSnP<sub>2</sub>, SiGeAs<sub>2</sub>, and SiGeSb<sub>2</sub> monolayers are characterized as indirect band-gap semiconductors, whereas the SiSnAs<sub>2</sub>, GeSnAs<sub>2</sub>, SiGeBi<sub>2</sub>, SiSnSb<sub>2</sub>, and GeSnSb<sub>2</sub> monolayers display direct band-gap properties. When SOC is taken into account, the band-gap values for these monolayers are reduced. Interestingly, the SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers within unnatural inverse Janus structures, different from common Janus-type Rashba systems, both present the isolated spin-splitting bands near the Fermi level as ideal Rashba systems, as shown in Fig. 2. Thereby, the Rashba systems SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> are first proposed by utilizing an unnatural inverse Janus structural design strategy, providing a new perspective for future research to introduce Rashba spin splitting in other 2D thermoelectric materials. Next, we primarily focus on the analysis of SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers.

In the absence of SOC, the band-gap values of the SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers are 0.34 and 0.31 eV, respectively, and their valence-band maximum (VBM) and conduction-band minimum (CBM) points are both located at the  $\Gamma$  point. However, the primitive systems SiSb, GeSb, and SnSb are all indirect band-gap semiconductors [30], showing that by doping, the indirect band gap is transformed into direct band gap. Additionally, the hybrid functional HSE06 [39,40] is

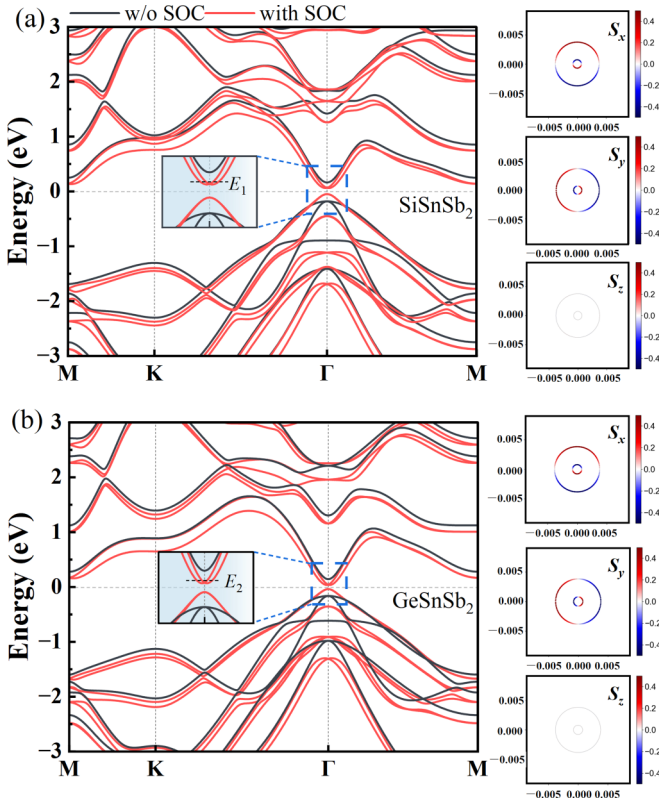


FIG. 2. Band structures of (a) SiSnSb<sub>2</sub> and (b) GeSnSb<sub>2</sub> monolayers. Black and red solid lines indicate methods of PBE and PBE+SOC, respectively. Enlarged view of Rashba spin-splitting bands at CBM near Fermi level is plotted in blue. Right parts represent 2D contour plots of spin textures for SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers at constant energy  $E_1$  ( $E_f + 0.07$  eV),  $E_2$  ( $E_f + 0.05$  eV) in  $k_x - k_y$  plane centered at  $\Gamma$  point. Red color represents spin-up states and blue represents spin-down states. Projections of spin components  $S_x$ ,  $S_y$ , and  $S_z$  are plotted.

also used to obtain more accurate band structures since PBE calculations are considered to underestimate the band gap [45] (as shown in Fig. S4 [44]), where the corrected band-gap values of the SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers are 0.91 and 0.84 eV, respectively. With the SOC included, the SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers possess band gaps of 0.11(0.64) and 0.07(0.58) eV by using the PBE-SOC (HSE-SOC) method, respectively. Then, the orbital components at both the VBM and CBM are calculated, as depicted in Fig. S5 [44]. The VBM is located at the  $\Gamma$  point and CBM is near the  $\Gamma$  point in the irreducible BZ, where the VBM is dominated by the  $p_{x,y}$  orbital of the Sb atoms for both SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub>. The CBM is mainly contributed by the  $s$  orbital of the Si/Ge atoms and the  $p_z$  orbital of the Sb atoms for SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub>, resulting in the large Rashba effect. To quantify the strength of the Rashba effect, we calculated the Rashba constant  $\alpha_R$  for SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers by  $\alpha_R = 2E_R/k_R$  based on the results of PBE-SOC, where the  $E_R$  is Rashba energy and  $k_R$  is momentum offset. The calculated  $E_R$ ,  $k_R$ , and  $\alpha_R$  are 5.45 meV, 0.00858  $\text{\AA}^{-1}$ , and 1.27 eV  $\text{\AA}$  for GeSnSb<sub>2</sub> monolayer and 4.11 meV, 0.0087  $\text{\AA}^{-1}$ , and 0.94 eV  $\text{\AA}$  for SiSnSb<sub>2</sub> monolayer, respectively. Notice the  $\alpha_R$  for SiSnSb<sub>2</sub>

and GeSnSb<sub>2</sub> is a large value among some 2D materials, such as BiSb (2.3 eV  $\text{\AA}$ ) [16], WSeTe (0.52 eV  $\text{\AA}$ ) [17], and AlBi (2.77 eV  $\text{\AA}$ ) [15]. Since a large Rashba constant plays a role in reducing the spin channel length, the GeSnSb<sub>2</sub> monolayer could serve as the potential candidate for SFETs.

The 2D contour plots of spin textures for SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers are depicted at constant energy in the  $k_x - k_y$  plane centered at the  $\Gamma$  point, where the Rashba spin-splitting bands consist of spin up (red color) and spin down (blue color). The concentric spin texture circles are the result of Rashba spin splitting near the CBM. Furthermore, the projections of the spin components  $S_x$ ,  $S_y$ , and  $S_z$  are plotted, and we can see that only the *in-plane*  $S_x$  and  $S_y$  spin components are present in the Rashba splitting bands, while the *out-of-plane*  $S_z$  spin components are absent, indicating that the spin splitting of electrons in SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers is indeed purely 2D Rashba effect. Interestingly, Rashba spin-splitting bands with similar 2D pure Rashba nature are observed at energy points  $E_1$  ( $E_f + 0.07$  eV) for SiSnSb<sub>2</sub> monolayer and  $E_2$  ( $E_f + 0.05$  eV) for GeSnSb<sub>2</sub> monolayer.

Figures 3(a) and 3(b) depict the average electrostatic potential for SnSb, SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub>. The results show that the electrostatic potential-energy peaks of the primitive system SnSb are symmetric, consistent with the symmetric crystal structure, with four peak points, while for the SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers with broken structural symmetry, the electrostatic potential distributions are asymmetrical with three peaks at Sb<sub>bot</sub> side, Sb<sub>top</sub> side, and Sn position. Generally, the strength of intrinsic Rashba effect in common Janus structure is positively associated with its  $E_{in}$ , which could be reflected in the two aspects of work function and potential-energy difference [19,20,23,46]. However, from the two perspectives above, there is no obvious work function and potential-energy difference of Sb<sub>bot</sub> side and Sb<sub>top</sub> side for the two Rashba systems SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> since the elements at both ends of the crystal structure are identical, which is different to that of common Janus Rashba materials [18–20,23,46] with different atoms at both ends. To clarify the underlying mechanism of these two Rashba systems with such unique asymmetric structures, seeking an approach to determine the form of  $E_{in}$  arousing the intrinsic Rashba spin split is necessary. From the most basic perspective, the charge transfer is a key factor that determines the strength of  $E_{in}$  and even the magnitude of  $\alpha_R$  which has been qualitatively demonstrated in typical common Janus structures [18,20]. For example, the  $\alpha_R$  is found linearly correlated to the charge transfer between  $X/Y$  and  $M$  atoms in a series of 2D Janus TMDs [18]. Similarly, Zhou *et al.* demonstrated that the manipulation of Rashba effect in 1T-phase Janus monolayer WSSe through strain is completely governed by charge transfer and orbital components [20]. Assuming that charge transfer determines the intrinsic electric field  $E_{in}$  and then the  $\alpha_R$ , we propose a method to characterize the  $E_{in}$  of such inverse Janus structures based on following Bader charge analysis. Figure 3(c) shows the charge transfer between the atoms of the adjacent layer for SnSb, SiSnSb<sub>2</sub>, and GeSnSb<sub>2</sub> systems. For the pure SnSb, the two end atoms gain the electrons ( $-0.19 e$ ,  $e_0^-$ ) and the intermediate atoms lose the same electrons ( $0.19 e$ ,  $-e_0^-$ ), leading to the reversed localized electric field ( $E_0$ ) and absent  $E_{in}$ , as revealed in Fig. 3(d).

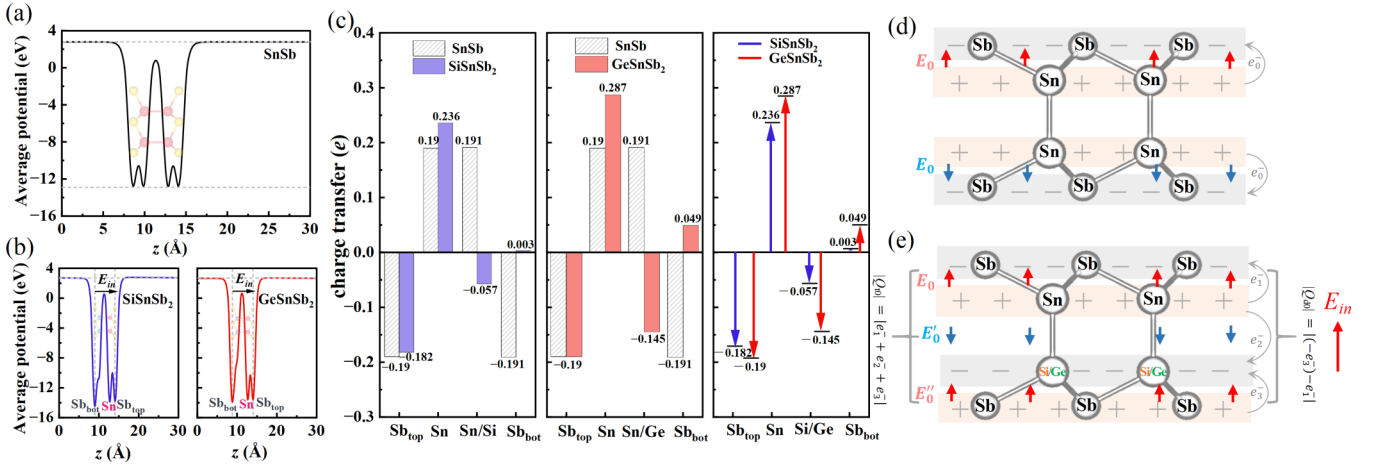


FIG. 3. Electrostatic potential of (a) SnSb, (b) SiSnSb<sub>2</sub>, and GeSnSb<sub>2</sub> monolayers along  $z$  direction. (c) Comparative charge-transfer plots of SnSb and SiSnSb<sub>2</sub>, SnSb and GeSnSb<sub>2</sub>, and SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub>. (d), (e) Schematic diagram of charge transfer and  $E_{in}$  of SnSb, SiSnSb<sub>2</sub>, and GeSnSb<sub>2</sub> monolayers.  $E_0, E'_0, E''_0$  represent localized electric field.  $|Q_{d0}|: |(-e_3^-) - e_1^-|, |Q_{t0}|: |e_1^- + e_2^- + e_3^-|$ ,  $e_1^-, e_2^-, e_3^-$  represent gaining electrons with negative value and  $-e_1^-, -e_2^-, -e_3^-$  represent losing electrons with positive value.

Nevertheless, for SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> with inverse Janus structure, it is found that despite the fact that the two end elements have the same electronegativity, their charge transfer behave differently, with the Sb<sub>top</sub> side of SiSnSb<sub>2</sub> (GeSnSb<sub>2</sub>) monolayers gaining electrons of  $-0.182 e$  ( $-0.19 e, e_1^-$ ) and the Sb<sub>bot</sub> side losing electrons of  $0.003 e$  ( $0.049 e, -e_3^-$ ), respectively, as illustrated in Fig. 3(e), where the charge-transfer difference between the two ends ( $|Q_{d0}|, |(-e_3^-) - e_1^-|$ ) creates a potential gradient in the base plane, resulting in an  $E_{in}$  between the topmost and lowest layers. More specifically, the intermediate sublayers of SiSnSb<sub>2</sub> (GeSnSb<sub>2</sub>) monolayers could exhibit localized electric field ( $E_0, E'_0, E''_0$ ), due to the Si/Ge sublayer gaining electrons of  $-0.057 e$  ( $-0.145 e, e_2^- + e_3^-$ ) and the Sn sublayer losing electrons of  $0.236 e$  ( $0.287 e, -(e_1^- + e_2^-)$ ). By further examining the Rashba constant  $\alpha_R$  and inner charge-transfer characterized intrinsic  $E_{in}$  for the two inverse Janus systems, we found the  $\alpha_R$  of GeSnSb<sub>2</sub> ( $1.27 \text{ eV \AA}$ ) is larger than that of SiSnSb<sub>2</sub> ( $0.94 \text{ eV \AA}$ ), which is positively related to the  $|Q_{d0}|$  ( $0.239 e$  and  $0.185 e$  for GeSnSb<sub>2</sub> and SiSnSb<sub>2</sub> monolayers). The positive correlation reveals the intrinsic effects of charge transfer and  $E_{in}$  on the Rashba constant and subsequent manipulation trends under external electric field further validate the effectiveness. A larger value of  $|Q_{d0}|$  indicates a greater probability of observing large Rashba constants in a class of Rashba materials with the similar structure. Interestingly, a positive correlation between the total charge transfer  $|Q_{t0}|$  ( $|e_1^- + e_2^- + e_3^-|$ ,  $0.336 e$ , and  $0.239 e$  for GeSnSb<sub>2</sub> and SiSnSb<sub>2</sub> monolayers) and the  $\alpha_R$  is also discovered, which may play a role in affecting  $\alpha_R$  under external electric field modulation. Our proposed relationship contributes to a more comprehensive understanding of the underlying mechanism governing the Rashba effect and provides a perspective for future research in Rashba systems, especially for inverse Janus Rashba systems.

As mentioned above, for the common Janus structures  $MXY$  (taking MoSSe as an example), the magnitude of  $E_{in}$  is generally estimated by dividing the difference in potential energy by the difference in distance from the atoms at the two ends to the origin due to the different electronegativity

of the atoms at the two ends [19] [as shown in Fig. 4(a<sub>1</sub>)]. However, due to the unique crystal structure and the same electronegativity of the atoms at the two ends of inverse Janus SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> materials without obvious work function and potential-energy difference, it may not be applicable to inverse Janus systems using the above traditional method. Considering the charge-transfer difference can create a potential gradient in the base plane, resulting in an intrinsic  $E_{in}$ , we utilize the  $|Q_{d0}|$  ( $|Q_{bot} - Q_{top}|$ ) to characterize the magnitude of  $E_{in}$  for the specific inverse Janus SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> structures [as also shown in Fig. 4(a<sub>1</sub>)]. To further clarify the uniqueness of charge-transfer mechanism for inverse Janus structures relative to the non-Janus and common Janus structures, the diagrams of geometries with a full range of information about the charge transfer and localized electric field are drawn in the scope of such four atomic-layer systems (taking SnSb,  $X\text{Sn}_2Y$ , SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> as examples), as illustrated in Fig. 4(a<sub>2</sub>). Next, we investigate the changes of the electronic structures and Rashba spin splitting of SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> under the action of external electric field, as shown in Fig. S6 [44] and Fig. S9(d) [44], where the applied external electric field ranges from  $-0.2$  to  $0.2 \text{ V \AA}^{-1}$ , which is available for experimental size of electric field ( $0.3 \text{ V \AA}^{-1}$ ) [47]. Due to the  $|Q_{t0}|$  and  $|Q_{d0}|$  both exhibiting a positive correlation with  $\alpha_R$  in original systems, we predict that the variation of  $|Q_{t0}|$  and  $|Q_{d0}|$  under external electric field is the key factor influencing the variation of  $\alpha_R$  under external electric field and the relation is schematically displayed in Fig. 4(a<sub>3</sub>). Moreover, we further study the effect of charge doping on the electronic structures, charge transfer, and electrostatic potential of the two Rashba SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers with the doping range from  $-0.4 e$  to  $0.4 e$ , as shown in Fig. S7 of the Supplemental Material [44]. The charge doping could greatly change the location of Fermi level and even induce the semiconductor-to-metallic phase transition. Thus, the charge doping limits the effective regulation of key parameters required in Rashba semiconductors to some extent. Additionally, the effect of strain (uniaxial, biaxial) on the band structures and the Rashba effect of inverse Janus

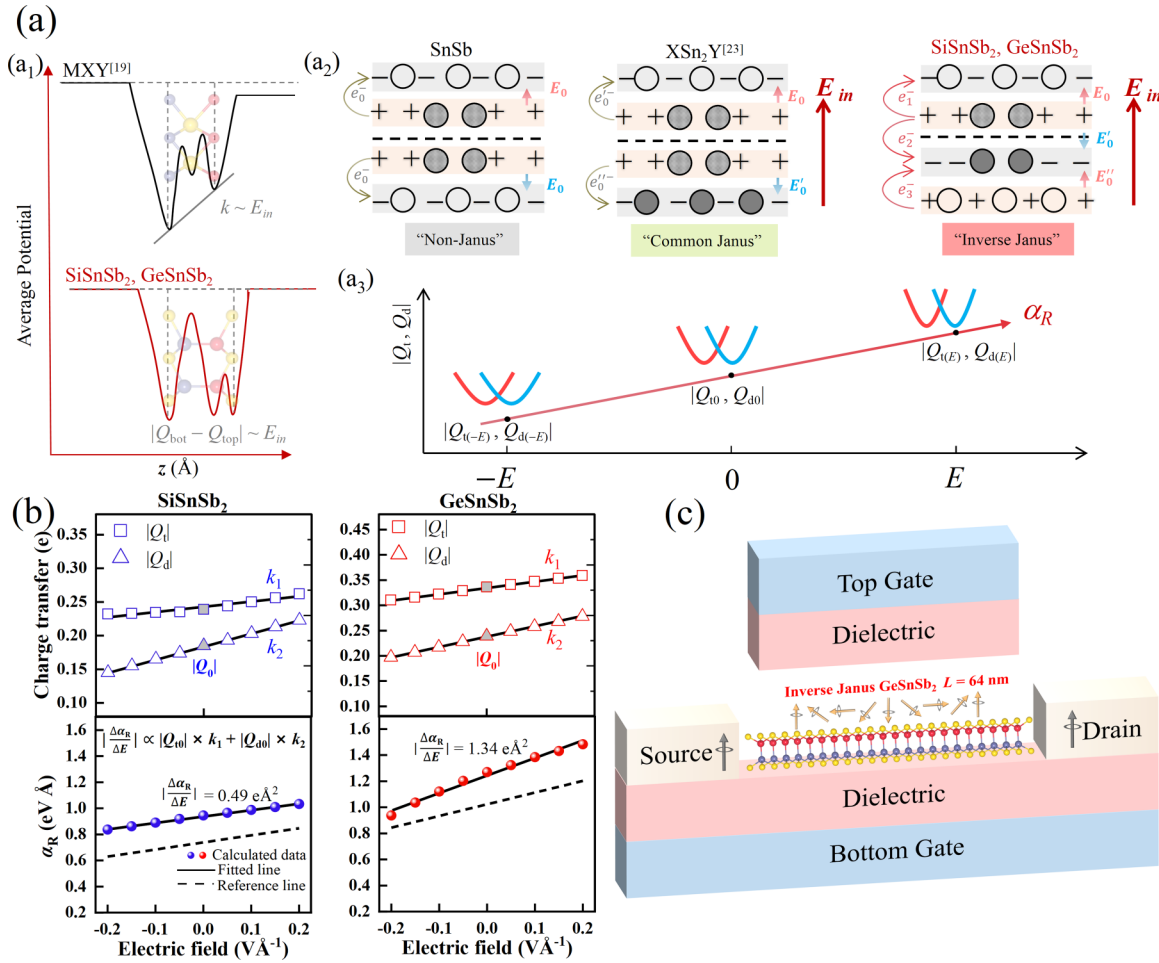


FIG. 4. (a<sub>1</sub>) Schematic diagrams of electrostatic potential of  $MXY$  (taking MoSSe [19] as example), SiSnSb<sub>2</sub>, and GeSnSb<sub>2</sub>. (a<sub>2</sub>) Schematic diagrams of structure, charge transfer,  $E_0$ ,  $E'_0$ ,  $E''_0$ , and  $E_{in}$  of non-Janus SnSb monolayer, common Janus XSn<sub>2</sub>Y monolayer [23] ( $E_{in}$  as example of SbSn<sub>2</sub>Bi), and inverse Janus SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub>. (a<sub>3</sub>) Schematic diagrams of variation of  $|Q_t|$ ,  $|Q_d|$ , and Rashba effect under external electric field  $E$ . (b) Electric field dependence of charge transfer  $|Q_t|$ ,  $|Q_d|$ , and Rashba constant  $\alpha_R$  for inverse Janus SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers. Solid and dashed lines represent slope of fit based on calculated data and Eq. (2). (c) Schematic diagram of SFET based on inverse Janus structures SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> (taking GeSnSb<sub>2</sub> as example). Spin precession between source and drain can be governed by gate voltage.

structures SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> is also studied (as shown in Figs. S8 and S9 [44]). (Detailed discussion is included in the Supplemental Material [44].) The results demonstrate that the Rashba effect in SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers can be more efficiently modulated by the external electric field compared to strain modulation; thus, we then focus on the relationship between charge transfer and Rashba constant response to the external electric field.

Figure 4(b) displays the response of  $|Q_t|$ ,  $|Q_d|$ , and  $\alpha_R$  to the applied external electric field, where  $|Q_t|$ ,  $|Q_d|$ , and  $\alpha_R$  exhibit a positive linear trend. The response of  $\alpha_R$  to the applied external electric field is described by the value of the linear fitting slope,  $\frac{\Delta\alpha_R}{\Delta E}$ . Similarly, the response of  $|Q_t|$ ,  $|Q_d|$  to the applied external electric field can be defined as  $\frac{\Delta Q_t}{\Delta E}$  and  $\frac{\Delta Q_d}{\Delta E}$ , respectively. To explain the distinct  $\frac{\Delta\alpha_R}{\Delta E}$  for GeSnSb<sub>2</sub> and SiSnSb<sub>2</sub> monolayers, we introduce a mathematical expression:

$$\frac{\Delta\alpha_R}{\Delta E} \propto |Q_{t0}| \times k_1 + |Q_{d0}| \times k_2. \quad (2)$$

In Eq. (2),  $k_1$  and  $k_2$  denote  $\frac{\Delta Q_t}{\Delta E}$  and  $\frac{\Delta Q_d}{\Delta E}$ . Especially, the  $|Q_{t0}|$  and  $|Q_{d0}|$  of GeSnSb<sub>2</sub> (SiSnSb<sub>2</sub>) monolayers are 0.336 and 0.239  $e$  (0.239 and 0.185  $e$ ), respectively. The values of  $\frac{\Delta Q_t}{\Delta E}$  and  $\frac{\Delta Q_d}{\Delta E}$  are +0.123 and +0.203  $eV^{-1} \text{ \AA}$  (+0.077 and +0.194  $eV^{-1} \text{ \AA}$ ) for GeSnSb<sub>2</sub> (SiSnSb<sub>2</sub>) monolayer, respectively. As a result, the numerical values on the right side of Eq. (2) are 0.090 and 0.054 for GeSnSb<sub>2</sub> and SiSnSb<sub>2</sub> monolayers. We can predict that the direction of the Rashba constant electric field response rate of GeSnSb<sub>2</sub> and SiSnSb<sub>2</sub> monolayers is positive and the value of Rashba constant electric field response rate of GeSnSb<sub>2</sub> is larger than SiSnSb<sub>2</sub>, which are in agreement with the real values of  $\frac{\Delta\alpha_R}{\Delta E} = +1.34$  (+0.49  $e\text{ \AA}^2$ ) for GeSnSb<sub>2</sub> (SiSnSb<sub>2</sub>) monolayers. This expression reveals that the  $Q_{t0}$  and the intrinsic  $E_{in}$ , characterized by  $Q_{d0}$ , collectively govern the response of Rashba constant to the external electric field. From the perspective of underlying physical mechanism, as  $|Q_{t0}|$  represents the total charge transfer and  $|Q_{d0}|$  signifies the charge-transfer difference between the both ends, a uniform

change in external electric field strength results in a uniform variation in  $|Q_{r0}|$  and  $|Q_{d0}|$ , thereby exhibiting a similar trend for both  $\frac{\Delta Q_r}{\Delta E}$  and  $\frac{\Delta Q_d}{\Delta E}$  in SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub>; however, the behavior of  $\frac{\Delta \alpha_R}{\Delta E}$  in SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> is different, where the Rashba constant increases more rapidly for the GeSnSb<sub>2</sub> monolayer compared to the SiSnSb<sub>2</sub> monolayer under external electric field; thus, we propose quantifying the electric field response strength of charge transfer by multiplying  $\frac{\Delta Q_r}{\Delta E}$  with  $|Q_{r0}|$  and  $\frac{\Delta Q_d}{\Delta E}$  with  $|Q_{d0}|$ . The larger  $|Q_{r0}| \times \frac{\Delta Q_r}{\Delta E} + |Q_{d0}| \times \frac{\Delta Q_d}{\Delta E}$  results in the larger  $\frac{\Delta \alpha_R}{\Delta E}$  in GeSnSb<sub>2</sub> monolayer. Our proposed Eq. (2) based on fundamental charge-transfer analysis provides a quantitative understanding of the direction and velocity of the electric field response, which may guide the selection of the inverse Janus Rashba materials with the largest Rashba constant response velocity under electric field modulation.

The absolute value of Rashba constant electric field response rate  $|\frac{\Delta \alpha_R}{\Delta E}|$  is important to the application of SFETs [15]. Utilizing the Rashba effect, Datta and Das first proposed the idea of SFETs in 1990 [9], and a number of spin devices were subsequently proposed and designed based on channel length [8,48–53]. Maintaining spin coherence is a significant obstacle in the development of spin devices. To preserve spin coherence, the solution is seeking materials with high Rashba constants and strong electric field response to reduce the spin channel length. Compared to previously typical 2D Rashba systems BiSb [15] ( $0.92 \text{ e}\text{\AA}^2$ ), AlBi [15] ( $0.047 \text{ e}\text{\AA}^2$ ),  $T\text{-RbPbBr}_3$  [28] ( $0.177 \text{ e}\text{\AA}^2$ ),  $T\text{-RbPbI}_3$  [28] ( $0.544 \text{ e}\text{\AA}^2$ ), TlSnBr<sub>3</sub> [29] ( $0.23 \text{ e}\text{\AA}^2$ ) and TlSnI<sub>3</sub> [29] ( $0.79 \text{ e}\text{\AA}^2$ ), the GeSnSb<sub>2</sub> monolayer has the largest response rate  $|\frac{\Delta \alpha_R}{\Delta E}|$  with the magnitude of  $1.34 \text{ e}\text{\AA}^2$  and the SiSnSb<sub>2</sub> monolayer has a relatively large value of  $0.49 \text{ e}\text{\AA}^2$  among these 2D materials. Hence, we propose a SFET device based on inverse Janus structures SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> with large Rashba constants and strong electric field response rates, as shown in Fig. 4(c). We can see that the source and drain of the SFETs are made of ferromagnetic materials that have the same spin polarizations along the  $+z$  direction and the spin channel is quasi-1D along the  $x$  direction. Rashba effect could influence the behavior of electron spins, the precession angle  $\theta = 2\alpha_R m^* L / \hbar^2$  [15,28,29], in which  $m^*$  is electron effective mass and  $L$  is the length between source and drain. The  $\alpha_R$  can be modulated by changing the precession angle  $\theta$ . To make the spin direction of the electrons arriving at the drain opposite to the source,  $\Delta\theta$  should be greater than  $\pi$ , which can be accomplished by applying electric field to tune  $\alpha_R$ , so the channel length is  $L = \pi \hbar^2 / 2\Delta\alpha_R m^*$  [15,28,29]. The estimated gate voltage ranges between  $-5$  and  $5$  V, with top and bottom gates close to  $50 \text{ \AA}$  apart, implying that the electric field varies between  $-0.1$  and  $0.1 \text{ V \AA}$ . Thus, the values of  $\Delta\alpha_R$  are  $0.098$  and  $0.265 \text{ eV \AA}$  for SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub>. Moreover, the values of  $m^*$  of SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> are  $\hbar^2 k_R^2 / 2E_R \approx 0.09$  and  $0.07 m_e$ . We can obtain the quantitative estimations of  $L$  as about  $135$  and  $64 \text{ nm}$  for SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub>, respectively. Compared to the channel length of other primitive systems for SFETs, such as BiSb [15] ( $L = 158 \text{ nm}$ ),  $T\text{-RbPbBr}_3$  [28] ( $L = 172 \text{ nm}$ ),  $T\text{-RbPbI}_3$  [28] ( $L = 72 \text{ nm}$ ), TlSnBr<sub>3</sub> [29] ( $L = 220 \text{ nm}$ ), and TlSnI<sub>3</sub> [29] ( $L = 102 \text{ nm}$ ), our designed SFETs based on inverse Janus structures SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> have short

spin channel lengths, particularly the GeSnSb<sub>2</sub> with minimal value ( $L = 64 \text{ nm}$ ). Thus, the SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers with large Rashba constants and strong electric field response rates could serve as promising candidates for SFETs.

It is worth noting that Huang *et al.* theoretically reported the  $n$ -type SiSb monolayer has the good thermoelectric property with a  $ZT \sim 2$  at  $700 \text{ K}$  [33] and Lou *et al.* also theoretically explored  $p$ -type  $\alpha$ -GeSb monolayer with an ultralow thermal conductivity of  $0.19 \text{ W/mK}$  with a  $ZT \sim 1.2$  at room temperature [35]. Thus, the successful introduction of a Rashba spin splitting in the SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> monolayers is promising to further optimize the thermoelectric performance based on the original thermoelectric system SiSb and GeSb, making them potential Rashba-type thermoelectric materials.

Before closing, we would like to discuss the experimental feasibility of synthesizing the class of inverse Janus structures. Recently, 2D common Janus structures have been successfully synthesized in experiment. For instance, the typical 2D Janus MoS<sub>2</sub> monolayer was produced by replacing the top-layer S with Se atoms based on MoS<sub>2</sub> structure [54] or replacing the Se atomic layer of the MoSe<sub>2</sub> structure with S atoms [55], demonstrating the feasibility of 2D Janus structures' experimental realization. Notably, Kandemir *et al.* checked the experimental realization based on the total-energy difference  $\Delta$  between the final energy of Janus structure and initial energy of symmetric InSe/InS monolayer, which indicated that the transformation from InSe to In<sub>2</sub>SSe was favorable ( $\Delta < 0$ ) and showed exothermic formation energy, whereas InS to InS<sub>2</sub>Se was not favorable [56]. Similarly, we further checked the  $\Delta$  between the final energy of inverse Janus XYZ<sub>2</sub> monolayers and initial energy of X<sub>2</sub>Z<sub>2</sub> monolayers to check the feasibility of synthesizing of the inverse Janus XYZ<sub>2</sub> monolayers. It was found that the transformation from SnSb to the inverse Janus SiSnSb<sub>2</sub> (GeSnSb<sub>2</sub>) structure was favorable ( $\Delta < 0$ ) and exhibited exothermic formation energy, whereas SiSb to SiSnSb<sub>2</sub> (GeSb to GeSnSb<sub>2</sub>) was not favorable ( $\Delta > 0$ ) and requires energy to form the SiSnSb<sub>2</sub> (GeSnSb<sub>2</sub>) structure. Thus, by substituting Sn layer from SnSb was found to be an easy route to achieve the inverse Janus SiSnSb<sub>2</sub> and GeSnSb<sub>2</sub> structures. Actually, 2D Sb monolayer featuring a honeycomb lattice structure with two atomic layers has been successfully synthesized experimentally [57]. Therefore, there is potential to expect the experimental synthesis of Janus SbX monolayer with two different atomic layers, such as SbSn and SbSi monolayer, by replacing Sb layer on one side with Si or Sn layer. Assuming the successful experimental synthesis of Janus SbX monolayer, it is possible to bond the two Janus SbSn and SbSi monolayers in the Sb-Sn-Si-Sb order, which might constitute the inverse Janus SiSnSb<sub>2</sub> with four atomic layers. Notably, the Cu<sub>2</sub>MX<sub>4</sub> ( $M = \text{Mo, W; } X = \text{S, Se}$ ) nanosheets consisting of different internal M and Cu atoms have been successfully synthesized in experiment by a solvothermal method [58,59]. Although it is a challenge to change the internal atoms chemically in experiment, we look forward to realizing the designed inverse Janus XYZ<sub>2</sub> structures in the future in consideration of theoretical prediction and experimental synthesis of similar structures.

#### IV. CONCLUSION

In this work, we employed first-principles calculations to explore the intrinsic Rashba spin splitting in a series of 2D inverse Janus  $XYZ_2$  monolayers. Our phonon spectra and AIMD simulations revealed that the series of inverse Janus  $XYZ_2$  monolayers are dynamically and thermally stable, indicating their potential for experimental synthesis. Notably, the Rashba effect was first introduced into both  $\text{SiSnSb}_2$  and  $\text{GeSnSb}_2$  monolayers ( $\alpha_R = 0.94$  and  $1.27 \text{ eV \AA}$ ) via unnatural inverse Janus design by replacing the inner atom layer, unlike other Rashba systems based on the conventional Janus structure with different atoms at both ends. Moreover, the underlying mechanism influencing the strength of the Rashba effect for inverse Janus  $\text{SiSnSb}_2$  and  $\text{GeSnSb}_2$  monolayers was revealed by utilizing the electrostatic potential energy and charge transfer. Generally, there is a positive correlation between the Rashba constant and built-in electric field, but due to the inapplicability of work function and potential energy in assessing  $E_{in}$  in inverse Janus  $\text{SiSnSb}_2$  and  $\text{GeSnSb}_2$  structures, we proposed a method to characterize  $E_{in}$  through a view of fundamental charge transfer to approximately quantify the  $\alpha_R$ . The impact of external electric field and strain on the band structures and the Rashba effect of  $\text{SiSnSb}_2$  and  $\text{GeSnSb}_2$  monolayers was also investigated. Compared to strain modulation, the Rashba effect in  $\text{SiSnSb}_2$  and  $\text{GeSnSb}_2$  monolayers can be more efficiently modulated by the external electric field. Furthermore, by examining charge transfer in

these two inverse Janus Rashba systems under varying electric field strengths, we established that both the  $Q_{I0}$  and the intrinsic  $E_{in}$ , characterized by  $Q_{I0}$ , collectively govern Rashba constant variations under external electric field. Notably, the  $\text{GeSnSb}_2$  monolayer exhibited a strong electric field response rate of  $1.34 \text{ e\AA}^2$ , which is a very large value among the 2D materials found so far, resulting in a short channel length  $L = 64 \text{ nm}$ , therefore making it a promising candidate for multifunctional materials in SFETs and Rashba thermoelectric devices.

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Z.Q. conceived and designed the research; Q.T. carried out the calculations and analyzed the calculated results; G.Q. participated in the discussion and provided insightful suggestions; and all the authors contributed to the final revision of this paper.

The authors declare no competing interests.

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