Spin-wave dispersion and magnon chirality in multiferroic TbMnO₃

S. Holbein, ^{1,2} P. Steffens, ² S. Biesenkamp, ¹ J. Ollivier, ² A. C. Komarek, ^{1,3} M. Baum, ¹ and M. Braden, ^{1,*} ¹II. Physikalisches Institut, Universität zu Köln, Zülpicher Strasse 77, D-50937 Köln, Germany ²Institut Laue-Langevin, 71 avenue des Martyrs, F-38042 Grenoble Cedex 9, France ³Max Planck Institute for Chemical Physics of Solids, Nöthnitzer Strasse 40, D-01187 Dresden, Germany



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Inelastic neutron scattering experiments combining time-of-flight and polarized techniques yield a comprehensive picture of the magnon dispersion in multiferroic TbMnO₃ including the dynamic chirality. Taking into account only Mn³⁺ moments, spin-wave calculations including nearest-neighbor interactions, frustrating next-nearest neighbor exchange, as well as single-ion anisotropy and antisymmetric terms describe the energy dispersion and the distribution of neutron scattering intensity in the multiferroic state very well. Polarized neutron scattering reveals strong dynamic chirality of both signs that may be controlled by external electric fields in the multiferroic phase. Also above the onset of long-range multiferroic order in zero electric field, a small inelastic chiral component can be inverted by an electric field. The microscopic spin-wave calculations fully explain also the dynamic chirality of magnetic excitations, which is imprinted by the static chirality of the multiferroic phase. The ordering of Tb³⁺ moments at lower temperature reduces the broadening of magnons but also renders the magnon dispersion more complex.

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I. INTRODUCTION

The observation of ferroelectricity in TbMnO₃ [1] initiated the discovery of a new group of multiferroic materials, in which a complex magnetic structure directly induces macroscopic electric polarization, so-called type-II multiferroics [2,3]. TbMnO₃ is a reference system for this class of materials due to its sizable ferroelectric polarization and its large magnetoelectric coupling [4] and is thus well suited for elucidating the magnetic excitations and the multiferroic coupling mechanism.

Magnetic order in RMnO3. TbMnO3 belongs to the series of rare-earth (R) manganates [6], RMnO₃, in which the mismatch of ionic radii induces rotations of MnO₆; see Fig. 1. The Mn-O-Mn bond in TbMnO₃ strongly deviates from a straight connection with an angle of only 145° [7,8]. Mn³⁺ has four electrons in the 3d orbitals in a $t_{2p}^3 e_p^1$ state [9]. The Jahn-Teller effect in RMnO₃ leads to a staggered ordering of single-occupied $d_{3x^2-r^2}$ and $d_{3y^2-r^2}$ orbitals [9], which yields a strong ferromagnetic (FM) nearest-neighbor exchange in the ab-plane, $J_{\rm FM}$ [7]. The coupling between the planes along the c-axis is antiferromagnetic (AFM) [7], in agreement with the A-type (i.e., AFM stacking of ferromagnetic perovskite layers) AFM order in LaMnO₃ [10,11]. The structural distortion for smaller R ions weakens J_{FM} and leads to an enhancement of the AFM next-nearest-neighbor interaction J_{NN} in the *ab*-plane and thus to frustration [12,13]. This J_{NN} exchange is much stronger along \vec{b} , because the occupied e_g orbitals are rotating towards the b direction; see Fig. 1. The magnetic structure changes from A type in

moderately distorted LaMnO₃ to E-type ordering (i.e., an upup-down-down stacking along the orthorhombic b direction) with $\vec{k}_E = (0, 0.5, 0)$ [7,12–14] in orthorhombic HoMnO₃, which is also multiferroic. In the region of intermediate Rion size, an incommensurate structure with propagation vector $\vec{k} = (0, k_{\text{inc}}, 0)$ and $0 < k_{\text{inc}} < 1/2$ emerges [7,12,13].

Magnetic structure in TbMnO₃. In TbMnO₃, the Mn moments order at $T_N = 42 \,\mathrm{K}$ in an A-type longitudinal spin-density wave (SDW) with a propagation vector of $\vec{k}_{\rm inc} = (0, \sim 0.28, 0)$ [15]. For this propagation vector, and considering only Mn moments, there are four irreducible representations, which just correspond to those at the commensurate Γ point [25]. These representations can thus be labeled by the four modes A, C, F, and G known for the commensurate structures [16,17,25]. The ordering scheme A is explained above, F denotes FM order, G denotes the AFM order with all nearest neighbors being antiparallel, and C denotes the AFM order in the a, b layer with FM alignment in the *c* direction.

In the SDW phase, the value of the incommensurability amounts to $k_{\rm inc} \approx 0.28$ and the structure can be described by a single irreducible representation $\Gamma_3 = (G_x, A_y, F_z)$ [17]. Neutron diffraction data in this phase could be described using only the dominant A_v mode in the form $\vec{M}^{\text{SDW}} =$ $(0, M_b \cos(\vec{k}_{inc} \cdot \vec{r}), 0)$ with $M_b = 2.9 \mu_B$ [18]. A model of the magnetic structure is shown in Fig. 1(b). Upon further cooling, the incommensurability decreases slightly until about 31 K where a quasi-lock-in at a value of $q_K \approx 0.276$ sets in [19]. Macroscopic and diffraction studies show that the lock-in transition is separated from the onset of cycloidal and multiferroic order [19,20] at $T_{\rm MF} = 27.6 \, \rm K$. Magnetic order in the multiferroic phase corresponds to an elliptic cycloid

^{*}braden@ph2.uni-koeln.de

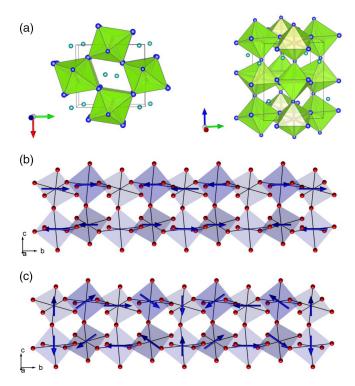


FIG. 1. (a) Crystal structure of TbMnO₃ with structural parameters obtained by single-crystal x-ray diffraction [5]. The red, green, and blue arrows at the lower left corners indicate the a, b, and c directions, respectively. (b), (c) Magnetic structure of manganese moments in TbMnO₃. The zigzag chains of MnO₆ octahedra are shown along the direction of the propagation vector, with darker oxygen octahedra in the back and lighter in the front. The propagation vector was chosen to be $\vec{k} = (0, 0.25, 0)$ for better visibility. The Mn moments order below T_N in a spin-density-wave with moments modulated parallel to \vec{b} (b), and below $T_{\rm MF}$ in an elliptical spiral in the bc-plane (c).

and is described by a coupling of two irreducible representations $\Gamma_2 \times \Gamma_3$, with $\Gamma_2 = (C_x, F_y, A_z)$ [17,18]. Kenzelmann *et al.* described their data by an elliptical cycloid $\vec{M}^{\text{MF}} = (0, M_b \cos(\vec{k}_{\text{inc}} \cdot \vec{r}), M_c \sin(\vec{k}_{\text{inc}} \cdot \vec{r} + \delta))$ with $M_b = 3.9 \mu_B$ and $M_c = 2.8 \mu_B$ [18], which is illustrated in Fig. 1(c). A smaller Mn-spin component emerges along the *a* axis, which corresponds to a G_x -mode [17]. The Tb moments order separately below $T_{\text{Tb}} = 7$ K and form an incommensurate SDW with moments mainly oriented parallel to \vec{a} and a propagation vector of $\vec{k}_{\text{Tb}} = (0, 0.415, 0)$ [4,15,20,21], but magnetic Bragg peaks are reported to be significantly broadened indicating short-range order.

Although the Tb moments order at a lower temperature than the Mn subsystem, the two magnetic ions couple. Already at 15 K, the Tb moments contribute to the spiral ordering of Mn moments, and this had to be taken into account for the structure refinement of the Mn order [18]. At this temperature, the Tb moments align primarily along \vec{a} [25–28]. It was proposed that the Mn and Tb order remain coupled below $T_{\rm Tb}$ through their wave vectors, $3k_{\rm Tb}-k_{\rm Mn}=1$ [28].

Multiferroic order in TbMnO₃. The ferroelectricity in TbMnO₃ induced through the magnetic order was microscopically explained by the mechanism of the spin current [29],

the inverse Dzyaloshinskii-Moriya (DM) interaction [30], and in a phenomenological approach [31] leading to the relation

$$\vec{P} \propto \vec{r}_{ij} \times (\vec{S}_i \times \vec{S}_j),$$
 (1)

with the neighboring spins \vec{S}_i and \vec{S}_j , and their distance vector $\vec{r}_{i,j}$. In the RMnO₃ series, the compounds with R= Tb, Dy, Gd, and Eu_{1-x}Y_x develop such a spin-induced ferroelectric polarization [1,4,13,32–34]. In TbMnO₃, electric polarization appears parallel to the orthorhombic \vec{c} axis, P_c , because spins rotate in the bc plane and the propagation is along \vec{b} . The ferroelectric order in TbMnO₃ is a secondary effect limiting the size of the polarization to $P_c = 0.08 \ \mu\text{C/cm}^2$ at 10 K in comparison to $P = 26 \ \mu\text{C/cm}^2$ in BaTiO₃ at 300 K [35]. The microscopic model of the multiferroic coupling for TbMnO₃ has been corroborated by the application of magnetic and electric fields [21,26,36].

Magnetic excitations in TbMnO₃. The magnon dispersion in TbMnO₃ was analyzed using inelastic neutron scattering (INS) by Senff et al. [22,37]. Three low-energy modes were found at the magnetic zone center: An in-plane mode (with respect to the cycloidal bc plane) at $\omega_1 \approx 0.1$ meV and two out-of-plane modes at $\omega_2 \approx 1.1$ meV (elm1) and $\omega_3 \approx 2.5$ meV (elm2), respectively, at 17 K. The exchange interactions were estimated by fitting a Heisenberg model to the different magnon branches, but without reflecting the incommensurate character of the order [22,37]. Milstein and Sushkov described the magnon dispersion in TbMnO₃ and DyMnO₃ using the σ -model-like effective-field theory [38]. We will discuss this model in Sec. V. Magnetic fields along \vec{a} or \vec{b} induce a magnetic transition to a commensurate cycloid with moments in the ab plane (HF-C phase) [4,26,39]. The magnetic excitations in this HF-C phase were studied by INS experiments for both field directions [40,41].

Multiferroics exhibit hybridized excitations of phonon and magnon character, which are called electromagnons, and they were predicted in 1982 [42]. Electromagnons were reported in TbMnO₃ or GdMnO₃ by infrared (IR) spectroscopy [43] and by INS [22]. Two such electromagnon modes are present at low frequencies and match the energy of the out-of-plane modes elm1 and elm2 found in INS [22,43,44]. This and the matching temperature dependence of IR and INS mode frequencies [41,45] strongly support the electromagnon interpretation given in [46]. Concerning the HF-C phase, only fields $\vec{H} \parallel \vec{b}$ were accessible in IR experiments due to instrument limitations, and Shuvaev et al. reported the existence of a weak c-polarized mode in the HF-C phase above the H_b transition [47], which perfectly agrees with the electromagnon activated by the DM mechanism and INS measurements [41]. However, the various IR and optical measurements performed on the RMnO₃ series yield an additional and even stronger electromagnon signal at a larger energy of about 8 meV [23,24,48,49]. This strongest IR signal appears always along the \vec{a} direction [44], even for materials in the HF-C phase associated with an ab cycloid. A magnetostrictive coupling, in which the Heisenberg interaction is modulated by a variation of the Mn-O-Mn bond angles, perfectly explains the strong a-polarized electromagnon [23]. The corresponding magnon polarization is illustrated in the lowest panel of Fig. 2 following Ref. [24].

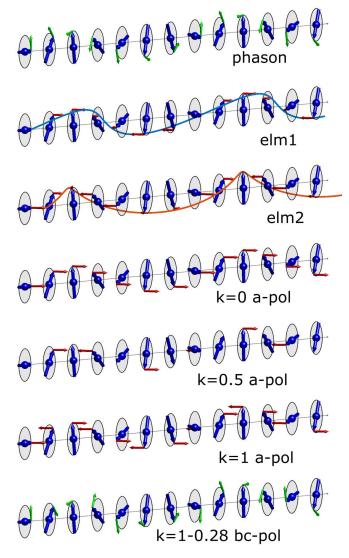


FIG. 2. Sketch of the polarization patterns of magnetic excitations at the zone center of the cycloid structure and at characteristic propagation vectors. The static cycloid in the incommensurate multiferroic phase is shown for a chain along \vec{b} by blue arrows (moments) and gray circles (plane in which the moments rotate). From top to bottom one sees first the zone-center phason and the two electromagnon excitations corresponding to the rotation of the entire cycloid around \vec{b} (elm1) and to the rotation of the cycloid plane around \vec{c} (elm2); see Ref. [22]. The phason oscillations are polarized parallel to the cycloid plane (always indicated by green arrows), while for the two electromagnons the oscillation is parallel to \vec{a} , which is indicated by red arrows. The next pictures present three \vec{a} -polarized modes for the wave vector (0,k,0) with k=0,0.5, and 1. The lowest pattern corresponds to the in-plane mode at k = 1 - 0.28, which is also a hybridized mode and even causes the strongest electromagnon signal in IR spectroscopy [23,24].

The sign of the ferroelectric polarization follows the chirality of the magnetic structure; therefore, an electric field can be used to pole the magnetic domains and to induce and invert a monodomain system with respect to the chirality [19,36,50–52]. Neutron scattering directly detects the chiral component by comparing different channels in polarization analysis [53]

and is thus an ideal tool to study chiral domains [54], but it can also be applied to the dynamic chiralities of the magnon modes, which have been somewhat overlooked up to now.

New INS experiments and analyses in this work. So far the magnon dispersion in TbMnO₃ was studied by INS experiments on a triple-axis spectrometer (TAS), in which a single point in the scattering vector (\vec{Q}) and energy (E) space is analyzed. The magnon dispersion of an incommensurate cycloid is, however, rather complex and exhibits more than just one branch. Therefore, it is important to obtain a full mapping of the intensity distribution in \vec{Q} , E space. Such a complete picture of the excitations can be obtained with the time-of-flight (TOF) INS technique, which was the main aim of this new analysis on IN5 at the Institut Laue Langevin (ILL). We compare these new measurements to comprehensive linear spin-wave theory calculations. In addition, we apply polarization analysis to INS experiments in order to examine the polarization and the dynamic chirality of selected magnetic modes. This documents the complementarity of the INS techniques that is required to obtain the full picture of magnetic correlations in a multiferroic material.

After presenting the experimental details in Sec. II, we first discuss the basic microscopic magnetic models to analyze the magnon dispersion in TbMnO₃ in Sec. III. The new experimental results were obtained with TOF and neutron-polarization techniques and are presented in Sec. IV. Sections V and VI discuss possible extensions of the magnetic model and the impact of the Tb-moment ordering, respectively. Finally, we conclude this work.

II. EXPERIMENT

Single crystals of TbMnO₃ were grown by the traveling floating-zone method in an image furnace [55,56] and characterized by single-crystal x-ray [5] and neutron diffraction experiments as well as by specific heat and magnetic measurements. Crystals cut from the same batch or from similar growths were already used in Refs. [19,54], in which growth and characterization are described. For the INS measurements aimed at the dispersion, we studied large crystals to obtain sufficient intensities in reasonable beam time.

To extend the previous INS studies on the magnon dispersion, which focused on single points in \vec{Q} , E space, we used the disk chopper TOF spectrometer IN5 at the ILL [57]. This technique measures large parts of \vec{Q} , E space, but it does not allow for neutron polarization analysis. We mounted a large cylindrical single crystal of 25 mm length and ~ 6 mm diam in a cryostat in [0, 1, 0]/[0, 0, 1] scattering geometry. The instrument is set by construction in direct geometry: A monochromatic neutron pulse arrives at the sample, and the position and flight time of the scattered neutrons are measured in a detector bank covering a large solid angle. The vertical angular range of the detector bank is $\pm 20.55^{\circ}$, which limits the accessible \vec{Q} range vertically to the scattering plane, i.e., the a direction. The accessible energy and O range as well as the resolution can be modulated by the choice of the incident neutron wavelength. The frequency of neutron pulses is set so that the highest energy transfer is 70 % of the incident energy. The experiment was performed at 17 K in order to be sufficiently below the multiferroic transition and to avoid dominant contributions arising from the ordering of Tb moments. We used three different incident neutron wavelengths: $\lambda_{i,1}=2.0\,\text{Å}$ ($E_{i,1}=20.5\,\text{meV}$), $\lambda_{i,2}=3.75\,\text{Å}$ ($E_{i,2}=5.8\,\text{meV}$), and $\lambda_{i,3}=5.2\,\text{Å}$ ($E_{i,3}=3.0\,\text{meV}$). The corresponding energy resolutions determined at the elastic line were $\Delta E_1\approx 0.84\,\text{meV}$, $\Delta E_2\approx 0.22\,\text{meV}$, and $\Delta E_3\approx 0.08\,\text{meV}$. The resolutions are typical for this instrument (incoming energy resolution $\Delta E_i/E_i\approx 1.7-3.0\,\%$) [58]. Intensities were corrected for detector efficiency [59].

Another large single crystal of TbMnO₃ was mounted in [201]/[010] scattering geometry on the cold neutron TAS IN14/Thales at the ILL using the CRYOPAD option for neutron polarization analysis. Throughout the experiment, we worked with a fixed final neutron energy of $E_f = 4.98$ meV ($k_f =$ $1.55 \,\mathrm{\AA^{-1}}$) and a Be-filter on k_f . The neutrons were polarized by a supermirror bender and analyzed by the Bragg reflection of a Heusler crystal, and the CRYOPAD device was used for spherical polarization analysis. The flipping ratio (FR) on a magnetic Bragg peak was FR \approx 36, which corresponds to a polarization of the neutron beam of approximately 95 %. The resolution at the fixed final energy was determined on the elastic line, $\Delta E \approx 0.2$ meV. The crystal was placed between two thin aluminum plates in order to apply an electric field along the crystallographic c-direction, the direction of the electric polarization in the multiferroic phase. The distance of about 22 mm between the two aluminum plates therefore requires a high voltage to create the electric field needed to pole the large crystal. We were able to apply a voltage of 7.8 kV corresponding to an electric field of 355 V/mm. In a second run of polarized experiments on IN14/Thales, we used the same crystal mounting and instrument configuration besides a set of Helmholtz coils to enable longitudinal polarization analysis [60]. In this part of the experiment, voltages of plus 10 kV and minus 5 kV could be applied to the aluminum plates. On the thermal neutron TAS IN20 we used the same crystal mounting in a polarized configuration with the CRYOPAD device (Heusler monochromator and analyzer crystals) and $k_f = 1.55 \,\text{Å}^{-1}$. The flipping ratio on a magnetic Bragg peak and on the (0, 2, 0) reflection amounted to FR ≈ 13.2 and 13.0, respectively.

To study the impact of the ordering of Tb moments on the magnon dispersion, the same large crystal used in the IN5 experiment was installed on the cold-neutron TAS IN14/Thales at the ILL in [010]/[001] scattering geometry in an unpolarized configuration. The experiment was performed with a fixed final neutron energy of $E_f = 4.64$ meV ($k_f = 1.50$ Å⁻¹) and a velocity selector on k_i . Pyrolytic graphite monochromator and analyzer crystals were horizontally and vertically focusing. The energy resolution of $\Delta E \approx 0.19$ meV was determined on the elastic line.

Data obtained at IN5 and at IN14/Thales are available in Refs. [57,60], respectively.

III. MODELS OF MAGNETIC INTERACTION

The magnon dispersion of $TbMnO_3$ in the multiferroic phase was initially described [22,37] based on a model for the commensurate order in $LaMnO_3$ by Moussa *et al.* and Hirota *et al.* [61,62]. The spin-wave relations were derived

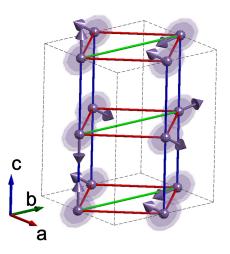


FIG. 3. Model of the crystallographic unit cell of TbMnO₃, showing Mn moments in the spin spiral phase with exchange interactions [FM J_{FM} (red) and AFM J_{AFM} (blue) and J_{NN} (green)] and elliptic easy-plane anisotropy along \vec{b} and \vec{c} (ellipses).

for a Hamiltonian including $J_{\rm FM}$ and $J_{\rm NN}$ within the ab plane, $J_{\rm AFM}$ along \vec{c} , and a single-ion anisotropy, and they describe reasonably well the dispersion along \vec{a} and \vec{c} [37]. However, the incommensurate magnetic structure was not properly taken into account.

In a first attempt to model the magnon dispersion, a linear spin-wave theory calculation was performed with a Holstein-Primakoff transformation as described by Sáenz [63,64]. This formalism is restricted to collinear magnetic structures with a commensurate propagation vector. The bc spiral of TbMnO₃ had to be approximated by a sinusoidal modulated spindensity wave in a commensurate lattice, $\vec{k} = (0, 2/7, 0)$. However, the results determined with this model could not reproduce the experimentally observed dispersion, because the ground state of the magnetic Hamiltonian strongly differs from this collinear magnetic structure. To describe the excitations with the cycloidal structure, we use the program SPINW [65]. The program is based on linear spin-wave theory and was developed to account for canted and incommensurate spin structures by Toth and Lake [66].

We consider magnetic Mn moments of spin S=4/2 and neglect Tb moments, which limits the applicability of the model to temperatures significantly above the onset of Tb-moment order. The simple model of the magnetic moments and interactions is illustrated in Fig. 3. It includes the AFM exchange along \vec{c} (blue), FM exchange $J_{\rm FM}$ (red) in the ab plane, and the frustrating AFM nearest-neighbor interaction $J_{\rm NN}$ (green) along \vec{b} . The single-ion anisotropy (SIA) is indicated as a gray ellipsoid.

In a first step, we model the excitations along $\vec{Q} = (0, k, 1)$ for a circular spin-spiral with moments in the bc-plane and a propagation vector of $\vec{k} = (0, 2/7, 0)$ (model M-I). The value $2/7 \approx 0.2857$ is close to the value that has been found experimentally in TbMnO₃ [18,19]. Furthermore, it allows the calculation of a commensurate structure with a magnetic unit cell extended seven times along \vec{b} with respect to the crystallographic cell. Thereby, we could compare

TABLE I. Comparison of exchange interaction parameters (in meV per bond) and anisotropy energies (in meV) in the multiferroic phase of TbMnO₃ by Milstein and Sushkov [38] and this work (M-I, M-II, M-III, M-IV). The next-nearest-neighbor exchange is fixed by the propagation vector $\vec{k}=(0,\,k_{\rm inc},\,0)$ as $J_{\rm NN}=J_{\rm FM}/2\cos(k_{\rm inc}\pi)$. In model M-III the single-ion anisotropy refers to the local one, that is staggered following the orbital arrangement in TbMnO₃. Model M-II is the most simple one that properly describes the spin-wave dispersion and its chirality. The results of this model are directly compared to the experimental data in Figs. 5–9 and 12.

Model	$J_{ m FM}$	$J_{ m AFM}$	SIA	DM
Milstein et al.	-0.3	0.9	-0.125	-0.2
Model M-I	-0.34	0.82	0	
Model M-II	-0.38	0.82	-(0,0.12,0.09)	
Model M-III	-0.38	0.82	-(0,0.18,0.09)	
Model M-IV	-0.38	0.82	-0.1	(0.64, -0.2, 0)

some calculations with those performed in the commensurate collinear model [63,64]. The calculations presented throughout the paper were performed with this seven times extended magnetic structure, but we verified that directly implementing the propagation vector of $\vec{k} = (0, 0.28, 0)$ in the Fourier description of the magnetic structure in SPINW [65] yields the same results. The following parameters were used for the calculation: $J_{AFM} = 0.82 \text{ meV}$, $J_{FM} = -0.34 \text{ meV}$, $J_{NN} =$ 0.24 meV, and SIA = 0 meV; see also Table I. The calculated intensities are shown in Fig. 4(a). The total cross section $S^{\perp}(\omega, Q)$ (left) and the components $M^{yy}(\omega, Q)$ (center) and $M^{zz}(\omega, Q)$ (right) are given for a [010]/[001] scattering geometry. This setting allows us to distinguish fluctuations of moments in the bc plane [in-plane, $M^{yy}(\omega, Q)$] from fluctuations along \vec{a} [out-of-plane, $M^{zz}(\omega, Q)$]. Experimentally, neutron polarization analysis is required in order to separate these different polarizations of the magnon modes [22,37].

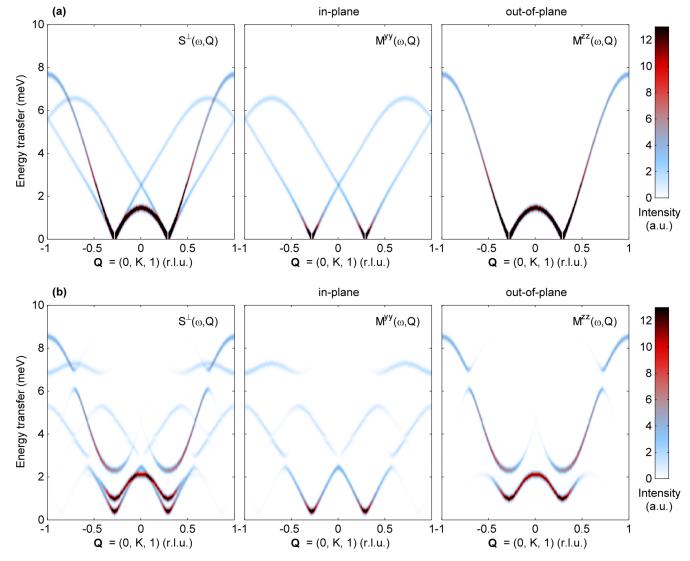


FIG. 4. Calculated neutron intensity for TbMnO₃ at $\vec{Q} = (0, k, 1)$ of the total cross section $S^{\perp}(\omega, Q)$ (left) and the components, $M^{yy}(\omega, Q)$ (center) and $M^{zz}(\omega, Q)$ (right). The scattering geometry was chosen in a way that y is in the bc plane and z is parallel to \vec{a} . Different magnetic models were used for the calculation: (a) model M-I: Spiral magnetic structure with moments in the bc plane and $\vec{k} = (0, 2/7, 0)$, and (b) model M-II: Elliptic spiral with moments in the bc plane, $\vec{k} = (0, 2/7, 0)$, and an elliptic easy-plane anisotropy.

For a collinear spin chain with FM nearest-neighbor and AFM next-nearest-neighbor interaction (J_1 - J_2 model), J_{FM} and $J_{\rm NN}$ are constrained by the incommensurate pitch (here along \vec{b}): $\cos(k_{\rm inc} \times \pi) = J_{\rm FM}/(2 \times J_{\rm NN})$. The magnetic zone center splits into two satellites at $\vec{Q} = (0, \pm 2/7, 1)$. Note that the $q_l = 1$ component is essential to imply a signal for the A-type magnetic order. In addition, we get a clear separation of an in-plane mode (in M^{yy}), which is the phason or sliding mode of the spiral, and an out-of-plane mode (in M^{zz}) with moments oscillating along \vec{a} ; see Fig. 2. At the incommensurate zone center, both modes attain zero energy because no anisotropy was inserted in model M-I, and thus there is no preferred direction for the magnetic moments. The dispersion of the out-of-plane mode can be understood by looking again at the $J_1 - J_2$ model with a cycloidal ground state. At the zone boundary $\vec{Q} = (0, 1, 1)$, the oscillations are out of phase and one finds the polarization with the highest cost in energy $E \sim 4J_{\text{FM}} + 2J_{\text{NN}}$. The k = 0 mode at $\vec{Q} = (0, 0, 1)$ reveals a much lower energy of $-4J_{\rm FM} + 2J_{\rm NN}$. Finally at $\vec{Q} =$ (0, 0.5, 1), one finds an intermediate state whose polarization corresponds to the E-type ordering scheme with an energy $-2J_{\rm NN}$. The polarization of these three out-of-plane modes is illustrated in Fig. 2. For the in-plane modes, the mixing of the static and dynamic components severely complicates the interpretation. For $k = 1 - k_{inc} = 0.72$, however, a simple picture is obtained that is illustrated in the lowest panel of Fig. 2. This mode corresponds to the phason with every second oscillation being inverted (green arrows in Fig. 2). This mode modulates the scalar product of neighboring spin and is therefore coupled to a structural distortion through exchange striction, which yields a much stronger dynamic magnetoelectric coupling [23]. This mode exhibits the highest energy of in-plane polarized magnons. As can be seen in the middle panels of Fig. 4, the maximum in-plane energy appears at k = 0.72 and belongs to the branch starting at the Bragg in the neighboring zone that is just $\Delta k = 1$ away.

The accurate magnetic structure of TbMnO₃ corresponds to an elliptic bc spiral with moments $M_b = 3.9 \mu_B$ and $M_c = 2.8 \ \mu_B, \ \vec{k} = (0, 2/7, 0),$ and to interaction parameters $J_{AFM} = 0.82 \text{ meV}, J_{FM} = -0.38 \text{ meV}, \text{ and } J_{NN} = 0.31 \text{ meV},$ with single-ion anisotropy terms $SIA_b = -0.12$ meV and $SIA_c = -0.09$ meV, shown in Fig. 4(b) (model M-II). The values of the ordered magnetic moment along \vec{b} and \vec{c} are taken from the neutron diffraction study [18]. The elliptic spin spiral is stabilized by an orthorhombic single-ion anisotropy, where the ratio of the major and minor axes is roughly equal to M_b/M_c . Since the ratio $J_{\rm FM}/J_{\rm NN}$ is determined by the incommensurability, only three independent parameters needed to be determined by fitting the magnon energies at characteristic propagation vectors, as is explained in the next section. The errors of the independent parameters amount to 0.01, 0.03, and 0.01 meV for J_{AFM} , J_{FM} , and SIA_b , respectively. The calculation shows an anticrossing of in-plane modes at $\vec{Q} = (0, 2k_{\rm inc}, 1)$ and at $\vec{Q} = (0, 1 - k_{\rm inc}, 1)$ for out-of-plane modes, with $k_{\rm inc} = 2/7 \approx 0.28$. The phason mode at the zone center can attain finite energies due to pinning effects or to the locking of the propagation vector. These effects appear to be rather small. The out-of-plane (a-polarized) mode splits up into two modes at the zone center, which results from the orthorhombic single-ion anisotropy compared to a simple b, c easy-plane anisotropy. Modes polarized along \vec{a} with a static b component are energetically preferred to \vec{a} modes with a static c component [67].

IV. MAGNETIC EXCITATIONS IN THE INCOMMENSURATE MULTIFERROIC PHASE

A. Refining interaction parameters with the magnon dispersion

We used the energies of the different modes extracted from INS data taken with a TAS [22,37] to refine the interaction parameters of our model M-II [68]. Figure 5 shows the calculated magnon intensity along the principal directions [H, 0.28, 1], [0, K, 1],and [0, 0.28, L].The mode energies determined by INS at 17 K taken from Refs. [22,37] are indicated with red points. The exchange and anisotropy parameters of the model have been refined with the experimental data and are given in Table I (model M-II). The points extracted from INS data are very well described for propagation vectors along the b direction. The commensurate approximation $k = 2/7 \approx 0.286$ of the incommensurate propagation vector is sufficiently accurate. The dispersion along \vec{a} and \vec{c} can qualitatively be described, and only minor differences are visible. At this point, we would like to recall the simplicity of the model, which only consists of three exchange parameters and two single-ion anisotropies as described in Sec. II. These parameters must be consistent with the magnetic structure yielding constraints, so that only three independent parameters need to be optimized to describe the experimental dispersion. In the real system, several other effects may influence the spin dynamics of the Mn moments, such as the DM interactions both along \vec{c} and in the ab plane [69], the ferroelectric displacements [70], biquadratic [71], and ring exchange interaction [72], and the influence of the strong Tb moments via direct exchange or crystal field [28,31]. We will further discuss such effects in Sec. V.

The accuracy of the estimated exchange values can be verified by calculating the Néel temperature T_N and the Weiss temperature using the mean-field equations [73]:

$$T_{\text{N,MF}} = (4/3)S(S+1)(2 \times J_{\text{FM}} - J_{\text{AFM}} - J_{\text{NN}})/k_b$$

 $\approx 30 \text{ K},$ (2)

$$\theta_{\rm MF} = (4/3)S(S+1)(2 \times J_{\rm FM} + J_{\rm AFM} + J_{\rm NN})/k_b$$

 $\approx -171 \,\rm K.$ (3)

These values can be compared to experimental observations. The AFM ordering temperature of TbMnO₃ is $T_{\rm N}\approx 42\,\rm K$, where the Mn moments form a spin-density wave. Yet, the order is incomplete, as only the b component orders, and full order sets in below $T_{\rm MF}=27.6\,\rm K$ when the cycloid forms. The mean-field value of the Néel temperature agrees well with these experimental values. The Weiss temperature was observed to be $\theta_{\rm N}=-21.9(1)\,\rm K$ for a polycrystalline sample of TbMnO₃, but single-crystal data reveal strong differences along the orthorhombic directions: $\theta_a=17.6(1)\,\rm K$, $\theta_b=-9.3(5)\,\rm K$, and $\theta_c=-128(1)\,\rm K$ [74]. Tb moments order below $T_{\rm Tb}$ along \vec{a} , and dominate already at higher temperature along this direction, which thus cannot be analyzed with our model for Mn moments. Also the b direction is

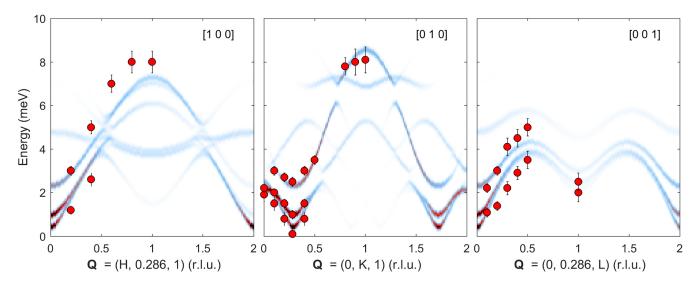


FIG. 5. Calculated neutron intensity for TbMnO₃ along the paths (a) [H, 0.28, 1], (b) [0, K, 1], and (c) [0, 0.28, L]. The calculation is based on an elliptic spiral with moments in the bc plane, $\vec{k} = (0, 2/7, 0)$, and an elliptic easy-plane anisotropy. The parameters from model M-II were optimized to describe the magnon energies obtained from INS (red points) taken from Ref. [37].

affected, because the Tb moments are polarized by the ordering of Mn moments in the SDW phase [18]. The c-direction primarily sees the Mn moments, and the measured value corresponds best to the mean-field approach, which considers only Mn ordering. The frustration of the Mn system is visible in the enlarged ratio of mean-field values of Weiss and Néel temperatures $f = \theta/T_{\rm N} \approx -5.7$.

B. Comparison with zone-center INS and IR data

In model M-II, there are two *a*-polarized out-of-plane modes at the magnetic zone center, whose energies were fitted to the experimental results of $\omega_{\perp 1}=1.0$ meV and $\omega_{\perp 2}=2.5$ meV. The splitting is due to the distorted easy-plane anisotropy: Modes polarized along \vec{a} with a static *b* component (rotation around \vec{b}) are energetically preferred to \vec{a} modes with a static *c* component (rotation around \vec{c}). These two oscillations correspond to the above-discussed elm1 and elm2 modes. When we apply the DM mechanism to both excitations [46], only elm1 changes the direction of the induced electric polarization and should thus be electrically active (see Fig. 2).

Senff et al. experimentally separated the in-plane mode from the two out-of-plane modes using neutron polarization analysis [22]. The phase of the out-of-plane modes could not be experimentally determined, but taking the magnetic phase diagram into account, the rotation around \vec{b} must be lower in energy since the cycloid rotates in modest magnetic fields $H_{a,b}$ [21,26]. The strict separation of both out-of-plane modes will not be valid in the case of an elliptic structure, which would allow a mixture of modes, rendering both magnon excitations IR-active [37]. Pimenov et al. report two electrically active modes, a stronger broad mode at 20/cm = 2.48 meV and a weaker one at 10/cm = 1.24 meV [43,44]. Both positions perfectly correspond to the out-of-plane modes of the model and to the INS experiments, but their spectral weight seems to be exchanged. Following the DM scenario, one would expect elm1 to be more strongly IR-active. This suggests some additional mechanism involved in the electromagnon at 2.5 meV (see also Refs. [75,76]), which, however, is difficult to validate due to the large number of magnon modes with frequencies in this energy range. The strongest electromagnon response arising from magnetostrictive coupling can be identified with the in-plane mode at the (0, k, 0) propagation vector with $k = 1 - k_{inc} = 0.72$, see the lowest panel in Fig. 2, and it is observed at 8 meV in IR experiments in perfect agreement with the model [23,24,77]. Even in a single material, electromagnons can arise from different mechanisms [23,46,71], and the strongest electromagnon signal in TbMnO₃ is not caused by the coupling driving the static multiferroic order [23,24].

C. Full magnon dispersion and scattering function

To obtain the full picture of the spin-wave dispersion, we performed INS experiments on the IN5 TOF spectrometer. The raw data were first reduced with LAMP [59] and then processed by Horace under MATLAB [78] to generate the four-dimensional $S(\vec{Q}, E)$ data sets and to extract the subsequent cuts. No background subtraction was performed, because the largest part of it stems from the sample and because the spin-wave signal is sufficiently strong for direct observation. Two-dimensional cuts were generated from the data set by integrating over a specific range in \vec{Q} and E. For an incident neutron wavelength $\lambda_{i,1} = 2.0$ Å, the integration range was 0.2 (r.l.u.) for Q and 1 meV for E_f [$\lambda_{i,2} = 3.75$ Å: 0.1 (r.l.u.) and 0.4 meV, correspondingly]. The 2D cuts were reshaped using the implemented *smooth* function for 2×2 pixels for a better visualization of the data [78].

The TOF data were simulated using the distorted easy-plane model M-II. 2D cuts were produced using SPINW by taking into account the magnetic form factor of Mn^{3+} and the Bose factor for energy-loss scattering $1/[1 - \exp(\frac{-E}{k_b T})]$. For the \vec{Q} , E maps, the energy resolution was adapted to the experimentally determined value. A finite \vec{Q} resolution and an integration over the vertical Q component were considered to be dispensable. Accordingly, the simulated constant energy

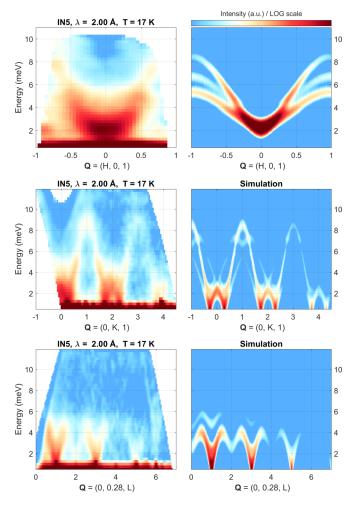


FIG. 6. Comparison of inelastic scattering maps of TbMnO $_3$ obtained from neutron TOF spectroscopy at IN5 (left) at $T=17\,\mathrm{K}$ and simulation (right). The incident neutron wavelength and the energy resolution are given in the plot headers. Model M-II (cf. Table I) was used for the simulation, and the Bose factor as well as the magnetic form factor were taken into account. On top of the upper right panel, the logarithmic color bar of the intensities is shown.

maps were integrated over a finite energy range (corresponding to the experiment), and no \vec{Q} resolution was applied.

Figures 6 and 7 show the comparison of TOF data and SPINW simulations for selected \vec{Q} scans along the principal directions. The intensity is logarithmically color-coded. For all maps, the data could be very well reproduced. The broadening of the modes in the TOF data relative to the calculation is only partly due to the neglected \vec{Q} resolution or to the vertical integration of the TOF data. Mainly, it arises from the intrinsic linewidth of the excitations, which has been found to be significantly larger than the best resolution used here, i.e., higher than 0.2 meV [37]. The data sets using an incident neutron wavelength of $\lambda = 2.0$ and 3.75 Å show spurious signals below 2 meV for wavelengths below the lattice constant of aluminum $\lambda < 4.8 \,\text{Å}$ stemming from the aluminum sample holder and the cryostat. Moreover, there is a strong quasielastic line arising from incoherent scattering and from the Tb moments in addition to phonons that in particular contribute at a large scattering vector.

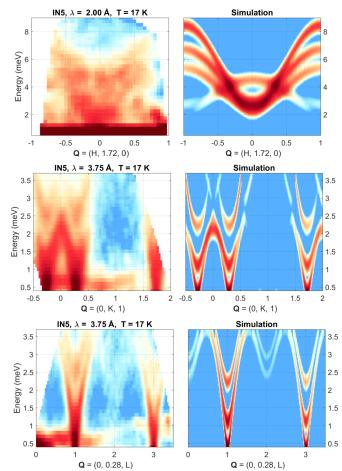


FIG. 7. Comparison of inelastic scattering maps of TbMnO $_3$ obtained from neutron TOF spectroscopy at IN5 (left) at $T=17\,\mathrm{K}$ and simulation (right). The incident neutron wavelength and the energy resolution are given in the plot headers. Model M-II (cf. Table I) was used for the simulation, and the Bose factor as well as the magnetic form factor were taken into account.

The comparison of TOF data and SPINW simulation for constant energy cuts in the b^*c^* plane is shown in Figs. 8 and 9. The difference between the linewidth of experimental data and simulation can again be attributed to the broadened intrinsic linewidth of the magnon excitations in TbMnO₃ and only partially to the missing \vec{Q} resolution in the simulation.

The comparison of TOF data in the multiferroic phase of TbMnO₃ at 17 K with simulated neutron intensity maps using model M-II (parameters given in Table I) is very satisfying; cf. Figs. 6–9. The dispersion along all orthorhombic directions as well as the intensities are reproduced quite well. In particular, the various weaker branches visible in the data are correctly reproduced. The model M-II assumes only the ordering and interaction of Mn moments with a distorted planar anisotropy. A quantitative description of the excitation spectra in this phase is therefore possible without considering a Tb-Mn interaction or the influence of intrinsic and ferroelectric DM interactions. These effects may be hidden in the model in the anisotropy term along \vec{c} , which stabilizes the spin-spiral in the bc plane.

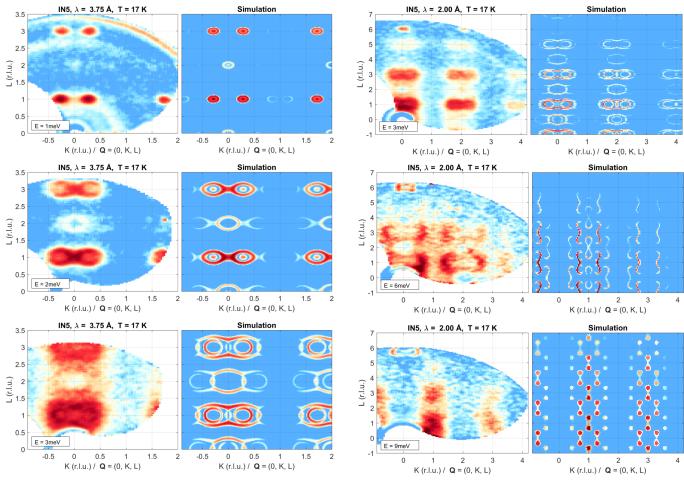


FIG. 8. Comparison of inelastic scattering maps of TbMnO₃ obtained from neutron TOF spectroscopy at IN5 (left) at $T=17\,\mathrm{K}$ and simulation (right) in the [0,K,L] plane. The incident neutron wavelength and the energy resolution are given in the plot headers. Model M-II (cf. Table I) was used for the simulation, and the Bose factor as well as the magnetic form factor were taken into account.

FIG. 9. Comparison of inelastic scattering maps of TbMnO₃ obtained from neutron TOF spectroscopy at IN5 (left) at $T=17\,\mathrm{K}$ and simulation (right) in the [0,K,L] plane. The incident neutron wavelength and the energy resolution are given in the plot headers. Model M-II (cf. Table I) was used for the simulation, and the Bose factor as well as the magnetic form factor were taken into account.

The comparison between the simulated and experimental maps indicates significant broadening of magnons in TbMnO₃, which can be attributed to the coupling with disordered Tb moments. Indeed, cooling into the phase with ordered Tb moments results in sharper features, but yields a more complex dispersion; see Sec. VI.

D. Chirality of excitations

Further insight on the complex excitation spectra can be gained by applying experimental techniques to separate the different branches. Polarized neutrons were already utilized to distinguish the polarization of the three zone-center modes [22]. By the application of an electric field, it is possible to obtain a chiral monodomain sample [19,50,51,79], which can be studied through the magnetic chiral component $M_{\rm ch} = -i(\vec{M}_{\perp} \times \vec{M}_{\perp}^*)_x$, where \vec{M}_{\perp} is the Qth Fourier component of the inelastic magnetization distribution [53].

The chiral component can be directly determined by comparing the two neutron-spin-flip intensities for neutron

polarization parallel to the scattering vector, $\sigma_{x\bar{x}} - \sigma_{\bar{x}x} = 2M_{\rm ch}$, or by studying the rotation of the neutron polarization. Here the indices of the cross section indicate the polarization direction before and after the scattering, and the overbar indicates a negative neutron polarization. The analysis of the neutron polarization rotation (off-diagonal indices) requires, however, spherical neutron polarization analysis and the corresponding experimental setups [53]. The chirality has been studied in TbMnO₃ on magnetic Bragg peaks [36,79] and on the diffuse scattering above the long-range multiferroic transition [19]. By using a time-resolved neutron technique, it was possible to study the multiferroic relaxation as a function of temperature and electric field over 8 decades in time [54].

The aim of our polarized experiments was the investigation of the chiral components of the magnons for scattering vectors $\vec{Q}=(2,\,k,\,1)$ in the multiferroic phase. In a simple cycloidal incommensurate structure, one may expect the phason branch to exhibit a strong chiral component which should vary with the propagation vector, as can be seen in Fig. 2. On the contrary, the out-of-plane modes should not carry any chiral signal. An earlier INS study indeed found evidence for

TABLE II. Coordinate system in a polarized neutron experiment at $\vec{Q} = -(2, 0.28, 1)$ in TbMnO₃ mounted in [201]/[010] geometry.

	$ec{Q}$ (r.l.u.)	$\angle(\vec{Q}, \ \vec{a})$	$\angle(\vec{Q},\ \vec{b})$	$\angle(\vec{Q},\ \vec{c})$
x	(-2.00, -0.28, -1.00)	20.8 °	83.2 °	70.4 °
y	(0.56, -5.44, 0.28)	83.5°	6.8°	87.7°
z	(1.00, 0.00, -3.90)	70.3 $^{\circ}$	90°	19.7°

inelastic chiral contributions, but due to statistics limitations it was only possible to count at the expected maximum positions of the phason modes at $(2 + \xi, 0.28, 1)$ [80].

To detect the chirality of the magnetic correlations, one has to ensure that the scattering vector is nearly vertical to the spin spiral. We performed the experiment around the position $\vec{Q} = -(2, 0.28, 1)$. We recall the coordinate system used in a polarized neutron experiment with x parallel to \vec{Q} , z vertical to the scattering plane, and y perpendicular to x and z [53]. The corresponding vectors and their angles to the principal crystallographic directions are summarized in Table II.

The temperature dependence of the two spin-flip channels for neutron polarization along x is shown in Fig. 10(a) at the elastic Bragg position $\vec{Q} = -(2, 0.28, 1)$. The phase transitions at T_N and $T_{\rm MF}$ are indicated by dashed lines. The applied electric field poles the domains in the sample, and the polarization analysis is able to distinguish between both vector chiralities. We obtain a ratio between both channels of about 3:1 at the magnetic Bragg peak, which corresponds to a chiral ratio of $r_{\rm chiral} = \frac{I_{\rm x\bar{x}} - I_{\rm fx}}{I_{\rm x\bar{x}} + I_{\rm fx}} \approx 0.5$. This chiral ratio can only attain the ideal maximum of $r_{\rm chiral} = 1$ when the

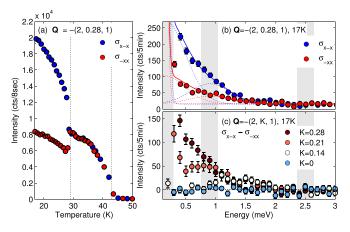


FIG. 10. Chiral magnetic scattering of TbMnO₃ measured by polarized neutrons. (a) Temperature dependence of the cross sections $\sigma_{x\bar{x}}$ and $\sigma_{\bar{x}x}$ at the magnetic Bragg peak $\vec{Q} = -(2, 0.28, 1)$ at IN14/Thales. An electric voltage of 7.8 kV ($\approx 300 \text{ V/mm}$) was applied along \vec{c} . (b) Energy scan at the magnetic zone center \vec{Q} for both channels at T=17 K. Two Gaussian functions were fitted to each curve to take account of the tail towards large energies. (c) Evolution of the inelastic chiral intensity $\sigma_{x\bar{x}} - \sigma_{\bar{x}x}$ along -[2, K, 1] at T=17 K. The gray bars indicate the reported zone-center modes [22] of which the lowest in energy indicates the phason and the two modes at higher energies are a-polarized. The latter two modes are efficiently suppressed by the scattering geometry (factor 1/8) and do not contribute to the chiral signal.

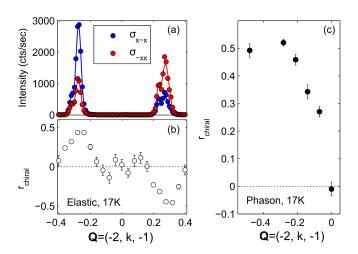


FIG. 11. Chirality of elastic and inelastic magnetic scattering in TbMnO₃ studied on IN14/Thales. (a) Intensity of cross sections $\sigma_{x\bar{x}}$ and $\sigma_{\bar{x}x}$ at $\vec{Q}=(-2,\,k,\,-1)$, (b) chiral ratio of elastic signal in two Brillouin zones, (c) chiral ratio of low-energy phason mode along $\vec{Q}=(-2,\,k,\,-1)$.

scattering vector \vec{Q} is perpendicular to the spiral plane. In TbMnO₃, the angle between $\vec{Q} = -(2, 0.28, 1)$ and the spiral plane bc amounts to 70°; see Table II. One also has to take into account the elliptic shape of the spin spiral and the orientation of \vec{Q} relative to the ellipse. This yields a maximum value of the chiral ratio at this position of $r_{\text{chiral,O,max}} = 0.93$. We can conclude that more than 75 % of the sample were in one domain. In previous experiments, a higher ratio of up to $r_{\rm chiral} \approx 0.8$ was achieved [19,36,54,79]. This was only possible using smaller samples, which increased the maximum applicable electric field. In our experiment, a large sample was, however, necessary to investigate the weak inelastic signal. The achieved poling, nevertheless, is sufficient to probe the chiral component of the magnetic excitations. In a consecutive experiment on IN14/Thales, a voltage of +10 kV could be applied in one direction, but only -5 kV in the opposite direction.

The magnetic excitations at the magnetic zone center at 17 K inside the multiferroic phase are shown in Fig. 10(b). At this scattering vector, the contribution of a-polarized out-of-plane excitations is strongly suppressed by the sizable component along \vec{a} , $\sin^2(\alpha_a) = 0.12$, and the scattering intensity stems primarily from in-plane modes. In addition, only the low-energy phason mode carries a chiral component, and it should be visible in the subtraction of the spin-flip channels along x. The positions of the in-plane phason mode ($\omega_1 \approx 0.1 \text{ meV}$) and the two out-of-plane modes elm1 and elm2 are indicated by gray bars. Indeed, there is no scattering contribution at these latter two positions. The observed chiral signal is broadened and can only be described by a combination of two Gaussian functions (dotted lines), where the upper Gaussian mimics the high-energy tail.

Figures 10(c) and 11(c) present the dispersion of the chiral signal $\sigma_{x\bar{x}} - \sigma_{\bar{x}x}$ along $\vec{Q} = (-2, k, -1)$. The intensity difference decreases away from the incommensurate zone center and completely vanishes at $\vec{Q} = (-2, 0, -1)$. At all positions, the signal remains broadened with respect to the

resolution of $\Delta E \approx 0.24$ meV. The large crystal exhibits a sizable mosaic spread with a full width at half-maximum (FWHM) of about 1.7 degrees, so that the steep dispersion of the phason implies considerable broadening. The smearing of the k component of the scattering vector and the resulting sensing of the phason dispersion can, however, only account for a broadening of about 0.4 meV, while the chiral scattering clearly extends to above 1 meV. As the scattering vector is almost fully aligned along the a-direction, an overlapping contribution of the out-of-plane modes can be neglected (see above), and these modes are not expected to carry chirality. In Fig. 11, the chirality at the two satellite signals $(-2, \pm 0.28, -1)$ is compared with the dispersion of the chirality of the phason mode. The elastic scan along $\vec{Q} = (-2, k, -1)$ shows two magnetic Bragg reflections with opposite chiral ratio. This is due to the scattering geometry when k changes sign.

There is no indication that the application of an electric field causes a significant variation of the mode energies. The energy that the static field implies on the microscopic electric dipoles is negligible in comparison to the magnetic interactions. Accordingly, the models of the magnon dispersion do not need to take the ferroelectric ordering in the spiral phase into account.

The investigation of the chiral components of the magnons in the spiral phase of TbMnO₃ revealed another interesting finding. Figure 12(a) shows the chiral component, i.e., the subtraction of both spin-flip channels for neutron polarization along the scattering vector, at the magnetic zone center $\vec{Q} = (2, -0.28, 1)$ in a larger energy range. The same scan was measured at IN14/Thales, as described above, and at the thermal TAS IN20 using $k_f = 2.66 \text{ Å}^{-1}$. The latter experimental setting allows one to measure at higher energy transfer, with the cost of a relaxed resolution [81]. Both spectra were matched by multiplying the IN14/Thales data by a factor of 20, which accounts for the higher flux on thermal instruments. In spite of the different scattering and polarization geometries in the two experiments, the data can be easily merged with respect to the chirality of static signal. In both scans the identical sample was poled by an electric field. At low energies, the intensity of the chiral component is positive and exceeds the vertical scale [see Fig. 10(c) for the full scale]. Surprisingly, at higher energies, the intensity difference not only decreases, but changes its sign at an energy transfer of approximately 4 meV. This observation is visible in both scans and cannot be explained by statistical variations. At the incommensurate zone center there is a mode at intermediate energy, which exhibits an opposite chirality with respect to the static Bragg peak.

The mode of opposite chirality could be followed through the Brillouin zone on IN20 (see the Appendix for original data). We simulate the chiral magnetic scattering using the model M-II to investigate the origin of these modes with inverted chiral signs. Figure 12(b) shows the calculated neutron intensity $M^{yz} - M^{zy}$ (which corresponds to the dynamic chiral component) along $\vec{Q} = (2, k, 1)$. The color scale ranges from negative (green) to positive (blue) values. The calculation can directly be compared to experimental values. Green and blue dots denote the position and sign of chirality of the phason modes. The values have been determined by Gaussian fits to

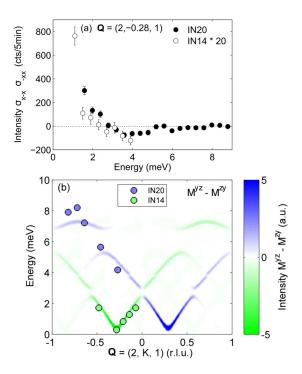


FIG. 12. Dispersion of chiral magnetic scattering in TbMnO₃ at $T=17\,\mathrm{K}$, poled by an electric field of $E\approx355\,\mathrm{V/mm}$. (a) Chirality component, $\sigma_{x\bar{x}}-\sigma_{\bar{x}x}$, of zone-center modes measured on IN14/Thales and IN20. The intensity of the IN14/Thales scan was multiplied by a factor of 20. (b) Simulation of chiral magnetic scattering $\mathrm{Im}(M^{yz}-M^{zy})$ in TbMnO₃ along $\vec{Q}=(2,k,1)$. The sign of chirality is color-coded. The simulation is compared to data obtained at IN14/Thales and IN20 at $T=17\,\mathrm{K}$. The color of the symbols corresponds to the sign of chirality of the modes.

the data (an example is shown in Fig. 10). In addition, we show values of the high-energy modes obtained at IN20 with reversed chirality, with respect to the static Bragg peak. The data extracted from both experiments agree perfectly with the calculated opposite chirality of the modes associated with the phason branch starting at the neighboring incommensurate Bragg position, which exhibits an opposite static chirality; see Fig. 11(a). The coexistence of modes with different signs of chirality is just a geometry effect, and it has also been reported in Ba₃NbFe₃Si₂O₁₄, whose structure is intrinsically chiral [82,83].

The dynamic chirality of the in-plane phason modes is thus well described by model M-II. The high-energy modes of the reversed chiral component can be attributed to a phason branch beginning at the neighboring incommensurate Bragg position. The polarized measurements unambiguously reveal the dispersion of the in-plane modes, which otherwise are superposed with the out-of-plane modes underlining the complementarity of polarized and unpolarized INS. The experimental data in Fig. 12(b) (blue dots) agree well with the calculation predicting a maximum in-plane energy at the wave vector $[0, (1 - k_{inc}) = 0.72, 0]$, which corresponds to the high-energy electromagnon as discussed above. It may be surprising that the splitting between in-plane and out-of-plane modes extends to such high energies, as one expects the typical anisotropy terms to essentially influence the low-energy

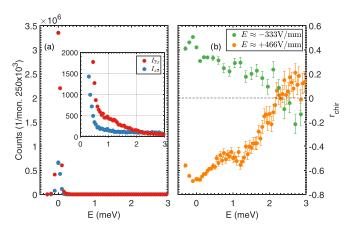


FIG. 13. Inelastic neutron intensity measured at $\vec{Q} = (0, 0.28, 1)$ on IN14/Thales at the temperature T = 25 K and in a field of +466 V/mm in the two neutron spin-flip channels (a). Panel (b) shows the chiral ratio calculated from these data and for data taken at the inverted electric field of -333 V/mm. The chiral sign is inverted for the elastic response and for the two inelastic features. Also the inelastic signal that exhibits the chirality opposite to the static one gets inverted.

modes in an AFM system. However, the interplay between the static cycloidal moments and the oscillation strongly modifies only the in-plane dispersion, as any longitudinal polarization is suppressed at these moderate energies. The spin-wave calculation with the rotating reference frame perfectly reproduces these chiral aspects.

In a second set of experiments on IN14/Thales, we focused on the possibility to invert the chirality of the inelastic signal by inverting the external electric field. To pole the multiferroic phase, the sample was cooled through the multiferroic transition to 25 K in either positive or negative fields. At higher temperature, the plates were short-circuited in order to avoid charging effects. Figure 13(a) presents the data in the two spin-flip channels taken at the incommensurate zone-center for positive voltage from which the chiral ratio is calculated in panel (b). We also show the chiral ratio for the inverted voltage, which indeed changes sign. Also the chirality of the contribution at higher energy, which was only partially reached in this experiment, is inverted. In the Appendix, we present further data at (0, 0.21, 1) and (0, 0.14, 1), which also show that the inelastic chiralities are completely reversed by inverting the multiferroic domains. These observations unambiguously show that the dynamic chiralities are fully imprinted by the sign of the static chirality, which is controlled by external electric fields. The dynamic chirality of magnons causes asymmetric effects in the neutron response [see, e.g., Fig. 12(b) for a comparison of scattering at $(2, \pm 0.28,$ 1)], similar to dichroism effects in studies with terahertz radiation [84].

Polarized neutron diffraction experiments at finite electric fields above the onset of long-range multiferroic order in zero electric field, $T_{\rm MF}$, revealed that it is still possible to control the chirality of the elastic signal and that this effect exceeds the expectation deduced from Landau theory [19]. To further analyze the multiferroic poling above the long-range transition, we studied also the inelastic signal slightly above $T_{\rm MF}$.

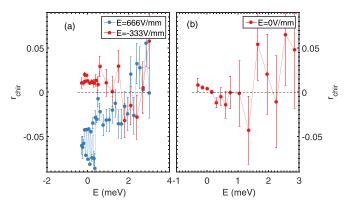


FIG. 14. Chiral ratio of polarized INS scans on IN14/Thales taken at $\vec{Q} = (0, 0.28, 1)$ and the temperature T = 27.93(4) K, i.e., above the onset of long-range multiferroic order at zero electric field. In panel (a) chiral ratios taken at large electric fields of different signs are shown; panel (b) presents the data values of the chiral ratio obtained at zero electric field.

This scan is comparable to that in the multiferroic phase, but the small amplitude of the chiral contributions considerably hampers the experiments. Therefore, this new experiment had to be performed on the large sample used for the poled inelastic experiments, although the control of the applied electric field is more difficult. The results are shown in Fig. 14 and confirm the control of the elastic signal above $T_{\rm MF}$ reported in Ref. [19]. We emphasize that the temperature fluctuations during these measurements could be kept below ± 0.04 K. The inelastic part also exhibits a finite chirality that remains small, however; in particular, it is much smaller than the inelastic chirality seen in the multiferroic poled state. The applied field is thus not sufficient to fully separate the excitations with different chiral signs in the SDW phase. The observed inelastic chirality seems to follow the amount of static chirality that can be implied by the external field. Nevertheless, this inelastic chiral poling will contribute to dielectric measurements [85,86].

V. EXTENSION OF THE MODEL OF MAGNETIC INTERACTION

Our calculations of the elliptic cycloid dispersion along the direction of the propagation vector match a study by Milstein and Sushkov [38]. Instead of linear spin-wave theory, they use effective-field theory to model the magnon branches in TbMnO₃. They consider an incommensurate propagation vector of $\vec{k} = (0, 0.28, 0)$ with a deformed elliptic spin spiral, and they introduce an additional nearest-neighbor exchange J_{3a} along \vec{a} . The anisotropy terms consist of an easy-axis along \vec{b} and a DM-type antisymmetric exchange along \vec{a} , which is equivalent to the easy-plane single-ion anisotropy in our model [38]. Milstein and Sushkov attribute this feature to the dipolar displacement of the FM polarization in the multiferroic phase. In this model, the DM interaction amounts to D = 0.2 meV, which appears to be rather strong in view of the relatively weak ionic displacement. First-principles calculations estimated very small displacements of the order of 10^{-4} Å [87,88], in agreement with an experimental x-ray

analysis [89]. We verified the influence of the additional exchange J_{3a} and of the elliptical deformation in our model. The small deformation proposed by Milstein and Sushkov [38] only leads to minor variation, and J_{3a} did not affect the dispersion along \vec{b} .

A different calculation of the spin dynamics has been reported by Mochizuki et al. [71]. The underlying Hamiltonian consists of five terms: $H = H_{\rm ex} + H_{\rm SIA}^D + H_{\rm SIA}^E + H_{\rm DM} + H_{\rm biq}$. The isotropic exchange parameters in $H_{\rm ex}$ are identical to our model. The introduced anisotropies include a hard axis $H_{\rm SIA}^D$ along \vec{c} and alternating local hard and easy axes $H_{\rm SIA}^E$ in the ab plane due to staggering of orbitals. The DM terms along \vec{c} (DM_c) and in the *ab* plane (DM_{ab}) are taken from local spin-density approximation calculations [90] and experiments on LaMnO₃ [91]. They arise from the MnO₆-octahedron rotation in TbMnO₃, which shifts the oxygen ions out of the high-symmetry position between two Mn ions [73,90]. DM_c is four times stronger than in LaMnO₃ [91] and plays a crucial role in this model. It is able to stabilize the spin spiral in the bcplane and, in competition with H_{SIA}^D , it can explain the spiral plane flop in HF-C phases [12,69,92]. Finally, the biquadratic interaction term H_{biq} in the ab plane originates from the spinphonon coupling [93]. It helped to reproduce optical spectra of DyMnO₃ [71]. The calculated magnon dispersion shows very strong anticrossing and folding of modes. It does not properly reproduce the INS results on the magnon dispersion in TbMnO₃ [37], as discussed above, or in DyMnO₃ [24] with only weak anticrossing features.

An essential difference between the model developed here and the model by Mochizuki *et al.* concerns the anisotropies. Our model M-II stabilizes the *bc* cycloid by a distorted easy-plane anisotropy, while the other model uses the combination of easy-axis anisotropies and DM interactions. We modified our model step-by-step in order to analyze the implications on the dispersion by including a staggered easy-plane anisotropy in the *ab* plane and a DM_c anisotropy along \vec{c} . The DM interaction in the *ab* plane was neglected because of its reported weakness (DM_c/DM_{ab} \approx 4 in LaMnO₃) [91].

First, we insert the staggering of the anisotropy direction in the *ab* plane. The model consists of an elliptic *bc*-spiral with moments $M_b=3.9\mu_B$ and $M_c=2.8\mu_B$, $\vec{k}=(0,2/7,0)$, and interaction parameters $J_{\rm AFM}=0.82$ meV, $J_{\rm FM}=-0.38$ meV, $J_{\rm NN}=0.31$ meV, ${\rm SIA}_{\parallel}=-0.18$ meV, and ${\rm SIA}_c=-0.09$ meV (model M-III). The overall dispersion is unchanged by the staggering of the anisotropy. Minor additional features are visible, which may arise due to computational limitations. To introduce the staggered anisotropy, the symmetry of the structure had to be set to P1, which strongly increases the number of parameters for the calculation.

In a second step, the anisotropy term along \vec{c} has been removed and the DM interaction activated. The model has the following parameters: Elliptic bc spiral with moments $M_b=3.9\mu_B$ and $M_c=2.8\mu_B$, and $\vec{k}=(0,2/7,0)$, $J_{\rm AFM}=0.82$ meV, $J_{\rm FM}=-0.38$ meV, $J_{\rm NN}=0.31$ meV, ${\rm SIA}_b=-0.10$ meV, ${\rm SIA}_c=0$ meV, and ${\rm DM}_c=(0.64,-0.2,0)$ meV. In the presence of the DM $_c$ interaction, the moments become alternately modulated [12]. To account for this effect, the moments were tilted $\pm 4^{\circ}$ around

the *a*-axis. The calculated intensity is shown in Fig. 15(b) (model M-IV).

The result again reproduces the main features of the previous calculations. The calculation with model M-IV confirms the assumption that the bc-spin cycloid can be stabilized by the combination of an easy-axis anisotropy and a DM interaction. However, this model yields a too low frequency for the main scattering signal at the scattering vector (0,0,1). In the presented calculation, a very strong antisymmetric exchange of $DM_c = (0.64, -0.2, 0)$ meV is required to reproduce the experimental dispersion. The strength of the DM interaction seems to be overestimated for a relativistic effect induced by spin-orbit coupling (Ref. [94], p. 144). The DM values are eight times stronger than the values experimentally determined for LaMnO₃ [DM_c $\approx (0.08, -0.025, 0)$ meV] [91].

To estimate the strength of the DM interaction in TbMnO₃, one can analyze the canting of the magnetic structure induced by the antisymmetric terms. As explained in the Introduction, the two irreducible representations involved in the cycloidal structure allow for various canting modes in the longitudinal spin-density phase and in the multiferroic state. In the SDW phase G_x and F_z modes may occur, and in the multiferroic phase also C_x and F_y become allowed. Since all phases are modulated, the $F_{y,z}$ modes do not generate macroscopic polarization. The Tb moments can contribute to F_z , C_x , and F_{ν} modes. Evidence for the admixture of such components was found already in the first neutron diffraction experiments [8,15,25], and resonant x-ray diffraction at the Mn $L_{2,3}$ edge clearly confirms such effects [95,96]. However, so far no quantitative determination of the canting angles has been reported. In the neutron single-crystal diffraction experiments [25], it is not possible to compare the dominating A-type magnetic scattering with the much weaker components because the strong principal magnetic diffraction intensities seem to suffer from extinction or detector limitations. Furthermore, it is difficult in general to quantitatively interpret resonant magnetic x-ray diffraction intensities. In the neutron experiments, the G_x component seems to be the strongest admixture [8,25] and it furthermore purely arises from the Mn moments. Taking the Lorentz and geometry factors into account, the powder neutron-diffraction data indicate a G_x canting of ~ 6 degrees [8], while the other canting angles must be considerably smaller. The value of the DM interaction required to stabilize this a, b canting is obtained by classically calculating the exchange and single-ion energy as a function of the canting angle with the parameters of model M-II plus a DM term. The minimum yields a DM interaction of roughly 10% of J_{AFM} , i.e., 0.08 meV, which agrees with the DM values reported for LaMnO₃ [91]. The other DM terms in TbMnO₃ should be significantly smaller. A more precise determination of all the canted admixtures can be realized with polarized neutron diffraction, and it is desirable.

In a phenomenological approach, Mostovoy discussed the influence of Tb anisotropies and the coupling to the Mn moments [31], while Tb moments have not been taken into account in any of the models described above. The introduction of Tb moments into the calculations presents a considerable enhancement of complexity, since anisotropic interactions between Tb and Mn moments have to be considered. In view of

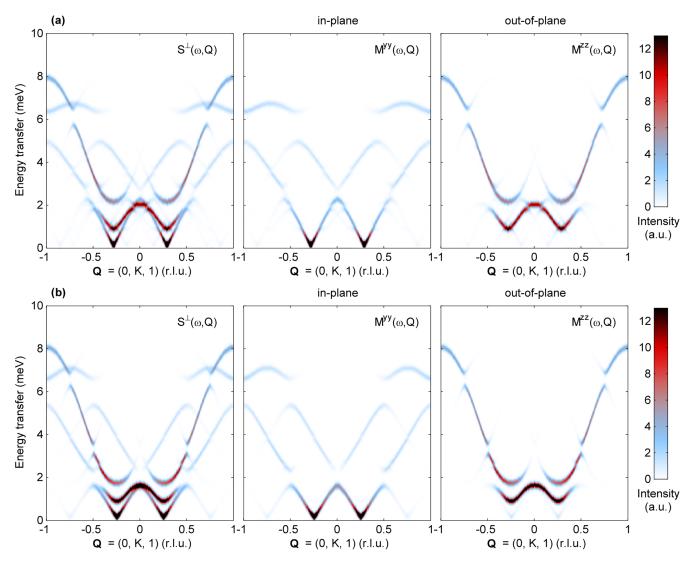


FIG. 15. Calculated neutron intensity for TbMnO₃ along [0*K*1] of the total cross section $S^{\perp}(\omega, Q)$ and the components $M^{yy}(\omega, Q)$ and $M^{zz}(\omega, Q)$. The scattering geometry was chosen so that y is in the bc plane and z is parallel to \vec{a} . Different magnetic models were used for the calculation: (a) model M-III: Elliptic spiral with moments in the bc plane, $\vec{k} = (0, 2/7, 0)$ and a staggered elliptic easy-plane anisotropy, and (b) model M-IV: Elliptic spiral with moments in the bc plane, $\vec{k} = (0, 1/4, 0)$, easy-axis anisotropy along \vec{b} and anisotropic exchange (DM).

the good agreement with the data taken at 17 K, we did not extend our model in this direction.

Summarizing these calculations, the spin waves in the spiral phase of TbMnO₃ have been modeled assuming three different approaches extending the most simple isotropic model M-I. Their main difference consists in the stabilization of the cycloid along the bc plane: Model M-II assumes an orthorhombic single-ion anisotropy, model M-III is based on staggered anisotropy, and in model M-IV the spiral is stabilized by an antisymmetric exchange along \vec{c} . The comparison of the models M-II, M-III, and M-IV shows that the principal features of the dispersion can be reproduced equally well by all models, but model M-IV, including a large DM term, is slightly less suited. It may be surprising that DM terms are not needed to reproduce the dispersion and the dynamic chirality in this multiferroic material, whose ferroelectric polarization clearly is implied through such an interaction. However, this polarization is tiny while the dynamic

chirality essentially results from the frustrated interaction parameters.

VI. INFLUENCE OF THE Tb SUBSYSTEM

The Tb subsystem orders at $T_{\rm Tb}=7~{\rm K}$ with a propagation vector of $\vec{k}_{\rm Tb}=(0,~0.42,~0)$ and with moments aligned parallel to the a direction. The R magnetic ordering has a clear impact on the multiferroic phase [97], and Kajimoto et~al. observed the development of additional Mn structures (G-, C-, and F-type) which arise due to the Tb subsystem [25]. Furthermore, Voigt et~al. reported the induced ordering of 4f moments in the multiferroic phase [27]. The investigation of the magnon dispersion in TbMnO₃ has so far been performed at 17 K or higher temperatures in order to avoid a stronger influence from the R ions [37]. Nevertheless, even at this temperature, Tb moments have an impact on the magnetic excitations.

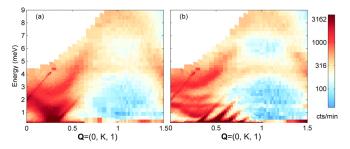


FIG. 16. Inelastic neutron intensity of TbMnO₃ along $\vec{Q} = (0, k, 1)$ at T = 17 K (a) and T = 2 K (b) at IN14/Thales. The intensity is logarithmically color-coded. The sharp diagonal features visible at both temperatures can be attributed to spurious signals arising from strong Bragg peak scattering.

Figure 16 compares the excitation spectrum in TbMnO₃ along $\vec{Q} = (0, k, 1)$ at 17 K, in the multiferroic phase, and at 2 K, below $T_{\rm Tb}$. The sharp features can be attributed to spurious scattering of the magnetic Bragg reflections. Usually these spurious effects are eliminated using a Be-filter on k_f , which was not available during the experiment. At the higher temperature, only two spurions from the Mn Bragg reflections are visible, and at the lower temperature also the Tb Bragg reflections are visible. The overall features of the dispersion are recovered below T_{Tb} , but especially at the zone center, significant changes occur. All modes shift to higher energies, and at least one additional mode can be identified at 1.5 meV. In addition, modes become sharper at 2 K, supporting our conclusion that the interaction between Mn and Tb moments causes considerable magnon broadening already far above $T_{\rm Th}$ in the multiferroic phase. We verified that the measured linewidth of the magnetic excitations is intrinsic and did not sharpen with higher instrument resolution at $k_f = 1.20 \,\text{Å}^{-1}$.

The changes of the spectra are studied in more detail in Figs. 17(a)-17(c). The temperature dependencies in the range of 2–17 K are given for energy scans at (a) $\vec{Q} = (0, 0, 1)$, (b) $\vec{Q} = (0, 0.28, 1)$, and (c) $\vec{Q} = (0, 0.28, 1.5)$. First we look at the magnetic zone center in panel (b). A peak appears at a finite but still low energy (at 2 K; well below the onset of Tb ordering, this peaks lies at about 0.7 meV). The smooth temperature dependence enables us to interpret this low-energy peak as the phason mode which acquires finite energy due to enhanced pinning at low temperatures. Furthermore, the position of the mode at 2 K agrees well with an AFM resonance mode at 5/cm = 0.625 meV measured in IR spectroscopy [44,45]. The hardening of the phason energy indicates significant Mn-Tb coupling and suggests that Tb moments also contribute to the pinning of multiferroic domain walls [54]. At higher temperatures, the phason mode shifts to smaller energies and moves out of the energy range accessible by IR. The two a-polarized modes, i.e., the electromagnons with energies of 1.1 and 2.5 meV at 17 K, harden considerably upon cooling, in perfect agreement with the IR studies and earlier experiments [41,44,45]. The upper mode shows a kink at the inset of the Tb order, and near the lower mode there is an additional feature appearing that can also be seen at $\vec{Q} = (0, 0, 1)$ and that reaches 1.5 meV at 2 K; cf. Fig. 17(a). The temperature and scattering-vector dependencies do not suggest a crystal-field excitation as the origin, but such an in-

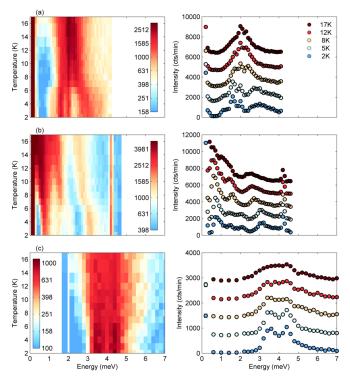


FIG. 17. Temperature dependence of INS of TbMnO₃ at (a) $\vec{Q} = (0, 0, 1)$, (b) $\vec{Q} = (0, 0.28, 1)$, and (c) $\vec{Q} = (0, 0.28, 1.5)$ at IN14/Thales. The intensity is logarithmically color-coded. Selected scans corresponding to the color map are shown on the right side.

terpretation has been raised for the feature at 4.5 meV [10,22]. The data taken at (0, 0.28, 1.5) also confirm the sharpening of magnons with the onset of Tb order; here a broad signal changes into two well-separated peaks with a high-energy shoulder; cf. Fig. 17(c).

VII. CONCLUSION

The combination of various INS experiments with linear spin-wave theory calculations yields a rather complete picture of the magnetic excitations in multiferroic TbMnO₃. To analyze the overall dispersion of magnons, data covering a wide range in reciprocal space and energy are essential, because the magnon dispersion in the incommensurate cycloid phase is complex, even when the Tb moments are fully neglected. The incommensurate character of the order results in a folding of branches and thus in a superposition of many modes. The spiral magnetic arrangement yields an essential difference between modes polarized perpendicular to the spiral plane and modes polarized within this plane, because static and dynamic moments strongly interfere only in the in-plane case. This splitting differs from the usual impact of single-ion anisotropy in a simple AFM material that mostly affects low-energy modes. The experimental analysis of the splitting between in-plane and out-of-plane modes, however, requires neutron polarization analysis to distinguish individual signals. The spin-wave calculations based on the rotating reference system yield a very good description of the entire data sets. The model describes well the dispersion of the different magnons and their intensity distribution in the INS experiments.

In addition, the dynamic chirality of the magnon modes is perfectly reproduced by the model. Even at the zone center one finds inelastic chiral contributions of both signs that, however, simply arise from geometry effects. Measurements with different signs of large external electric fields fully confirm the picture that the dynamic magnon chirality is imprinted by the chirality of the static order. Inelastic chirality can even be controlled above the onset of long-range multiferroic order in zero field.

The new experiments and the modeling fully agree with the previously discussed electromagnon character of modes at the incommensurate zone center or in the Brillouin zone. Hybridization of phonons and magnons resulting in electromagnon features can arise from different mechanisms, as previously discussed. In particular, the polarization of the magnon modes at the incommensurate zone center is perfectly reproduced by the model.

The theoretical models studied differ in the treatment of single-ion anisotropy and in the inclusion of DM terms, which are essential to stabilize the different magnetic structures as a function of temperature and magnetic field. However, the overall spin-wave dispersion covering energies up to $\sim 8~\text{meV}$ is similar in various models, and differences in the calculated spin-wave energies remain small.

The magnetic excitations in the multiferroic phase at $T=17~\rm K$ are considerably broadened, which can be at least partially attributed to the interaction with disordered Tb moments. Indeed, modes become sharper upon cooling below the onset of ordering of Tb moments, but the overall dispersion also becomes more complex due to the appearance of additional features. The strong Tb-Mn interaction must also be relevant for the pinning and the relaxation of multiferroic domains [54].

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APPENDIX

The geometrical angles in the polarized neutron study that are relevant for the interpretation are summarized in Table II.

On IN20 data similar to those shown in Fig. 12 were taken for several scattering vectors (2, k, 1) covering the entire Brillouin zone. The results are presented in Fig. 18. The red points give the sum of the intensities in both spin-flip INS channels, as one would observe in an unpolarized experiment. In contrast, the difference data (shown in blue) correspond to the chiral component and clearly illustrate the presence of different signs. Just at the commensurate scattering vectors (2, 0, 1) and (2, 1, 1) there is no chiral component, in perfect agreement with the model calculations. From the chiral signals at larger energy, we obtain the dispersion of the in-plane polarized modes shown in Fig. 12(b). This dispersion exhibits a maximum at (2, 0.72, 1) and not at the zone boundary (2, 1, 1) due to the interference between the static and dynamic bc moments. The experiment and the model are in perfect agreement concerning this peculiar but essentially geometrical effect.

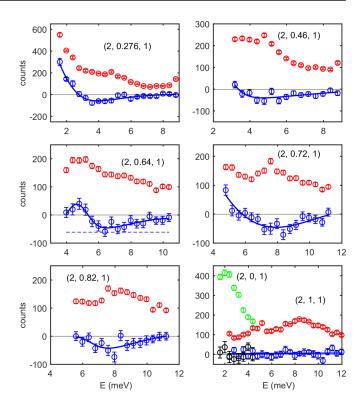


FIG. 18. Results of polarized INS experiments on the thermal TAS IN20 performed at a temperature of T=17 K. The red data points show the sum of $I_{x\bar{x}}$ and $I_{\bar{x}x}$ and the blue data points the difference that is proportional to the chiral contribution for $(2, \xi, 1)$ with ξ varying from 0.27 to 1. The corresponding data obtained at (2, 0, 1) are added to the lower right panel in green and black, respectively.

During the second set of experiments on IN14/Thales with polarization analysis, we studied the possibility to invert the chiral signs of inelastic magnon scattering, as illustrated in Fig. 12. Comparable data in the multiferroic phase were

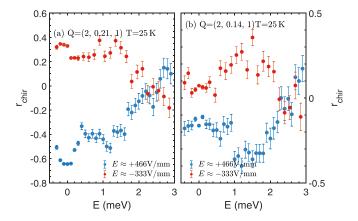


FIG. 19. Chiral ratio calculated from polarized INS data taken on IN14/Thales. The neutron polarization was analyzed along the scattering vector (*x* axis), and the crystal was poled in positive and negative electric fields. At both scattering vectors, one sees the chiral signal stemming from the phason mode and first evidence for the opposite chiral contributions at higher energies. All chiral signs become inverted by the external electric field.

taken away from the magnetic zone center at (2, 0.21 1) and (2, 0.14 1) and are displayed in Fig. 19. Also for these scatter-

ing vectors, the dynamic chirality can be inverted by changing the polarity of the external field.

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