## **Pair breaking in superconductors with strong spin-orbit coupling**

D. C. Cavanag[h](https://orcid.org/0000-0002-3947-1358)  $\bullet$ ,<sup>1</sup> Daniel F. A[g](https://orcid.org/0000-0003-0178-1374)terberg  $\bullet$ ,<sup>2,\*</sup> a[n](https://orcid.org/0000-0001-8854-0623)d P. M. R. Brydon  $\bullet$ <sup>3,†</sup>

<sup>1</sup>*Department of Physics, University of Otago, P.O. Box 56, Dunedin 9054, New Zealand*

<sup>2</sup>*Department of Physics, University of Wisconsin, Milwaukee, Wisconsin 53201, USA*

<sup>3</sup>*Department of Physics and MacDiarmid Institute for Advanced Materials and Nanotechnology,*

*University of Otago, P.O. Box 56, Dunedin 9054, New Zealand*

 $\bigcirc$ (Received 11 September 2022; revised 5 February 2023; accepted 7 February 2023; published 17 February 2023)

We study the influence of symmetry-breaking perturbations on superconductivity in multiorbital materials, with a particular focus on an external magnetic field. We introduce the field-fitness function which characterizes the pair-breaking effects of the perturbation on a given superconducting state. For even-parity superconductors we find that this field-fitness function for an external magnetic field is one, implying that the paramagnetic response is controlled only by a generalized effective *g* factor. For odd-parity superconductors, the interplay of the effective *g* factor and the field-fitness function can lead to counterintuitive results. We demonstrate this for *p*-wave pairing in the effective  $j = \frac{3}{2}$  electronic states of the Luttinger-Kohn model.

DOI: [10.1103/PhysRevB.107.L060504](https://doi.org/10.1103/PhysRevB.107.L060504)

*Introduction.* A diverse variety of superconductors have recently been found to exhibit critical fields far exceeding the Pauli limiting field, e.g., UTe<sub>2</sub> [\[1\]](#page-4-0), CeRh<sub>2</sub>As<sub>2</sub> [\[2\]](#page-4-0), UCoGe [\[3\]](#page-4-0), URhGe  $[3]$ , and YbRh<sub>2</sub>Si<sub>2</sub> [\[4\]](#page-4-0). In some of these materials superconductivity even appears as a re-entrant phase  $[1,3]$ , again far above the Pauli field. The origin of this anomalous high-field behavior has been attributed to spin-triplet superconductivity. However, the fermiology of these materials is complicated, with multiple bands crossing the Fermi surface. Moreover, spin-orbit coupling is expected to be large due to the presence of heavy elements. Due to the interplay of the normal-state band structure, the structure of the odd-parity pairing potential, and the applied magnetic field, it is not clear that the established theory for the magnetic response of a triplet superconductor is applicable [\[5,6\]](#page-4-0).

Concurrent to these experimental developments, it has been realized that internal degrees of freedom of the band electrons, e.g., sublattice or orbital, can profoundly impact the magnetic response of even-parity superconductors. In particular, the large critical fields observed in artificial Rashba heterostructures [\[7,8\]](#page-4-0),  $CeRh<sub>2</sub>As<sub>2</sub>$  [\[2,9\]](#page-4-0), and WTe<sub>2</sub> [\[10\]](#page-4-0) are believed to arise from a "hidden" antisymmetric spin-orbit coupling (ASOC) [\[11\]](#page-4-0). This ASOC is odd in momentum and has opposite signs for internal degrees of freedom that are related by inversion symmetry (IS). This preserves IS and the twofold degeneracy of the band electron states. Similar to noncentrosymmetric materials [\[12\]](#page-4-0), however, the ASOC reduces the Zeeman splitting of the band states and so enhances the Pauli limit [\[13\]](#page-4-0). For odd-parity superconductivity, however, the effect of the ASOC on the critical fields is not as well explored. However, in one remarkable example,  $CeRh<sub>2</sub>As<sub>2</sub>$ , spin-singlet pairing interactions give way to extremely high

2469-9950/2023/107(6)/L060504(6) L060504-1 ©2023 American Physical Society

critical fields due to the formation of an odd-parity superconducting state that is stabilized by the ASOC  $[2,14]$ .

There also exist materials where a symmetric spin-orbit coupling (SSOC), i.e., the spin-orbit coupling is even in momentum, is important. The influence of this SSOC on superconductivity has been studied in the context of the iron pnictides  $[15]$ ,  $Sr<sub>2</sub>RuO<sub>4</sub>$   $[16,17]$ , half-Heusler materials,  $[18,19]$ , and the pyrochlore lattice  $[20]$ . The latter two cases support effective  $j = \frac{3}{2}$  electronic states which exhibit properties that are quite different from the more usual  $j = \frac{1}{2}$  states [\[21\]](#page-5-0). The magnetic response of even-parity superconducting states in such materials shows similar features to the case of the ASOC [\[22\]](#page-5-0); the response of odd-parity superconductivity also remains poorly understood. Indeed, one of the main results of this work is to reveal the counterintuitive response of odd-parity states in  $j = \frac{3}{2}$  materials to applied fields.

In this Letter we examine the influence of the spin-orbit coupling on the response of a superconducting state to a perturbation which breaks either IS or time-reversal symmetry (TRS), with a focus on the familiar example of an applied magnetic field. Within a general minimal model for systems with both antisymmetric and symmetric spin-orbit coupling, we show that the response of the superconducting state to the perturbation is fully determined by two basis-independent quantities: a generalized effective *g* factor, and a parameter that quantifies the pair-breaking due to the field, which we term the field-fitness function  $F_h$  by analogy with the superconducting fitness [\[16,23\]](#page-5-0). In the case of an external magnetic field, these quantities also control the spin susceptibility in the superconducting state. For even-parity superconductors,  $F_h = 1$ , so that the response is given solely by the effective *g* factor; in contrast, odd-parity superconducting states display a complicated interplay of the field-fitness and the effective *g* factor. We apply our general theory to odd-parity superconductivity in  $j = \frac{3}{2}$  materials, where we find that the

<sup>\*</sup>agterber@uwm.edu

<sup>†</sup>philip.brydon@otago.ac.nz

<span id="page-1-0"></span>SSOC leads to a magnetic response very unlike that of  $j = \frac{1}{2}$ superconductors.

*General theory*. We consider a system described by the Bogoliubov–de Gennes Hamiltonian

$$
H = \sum_{k} \vec{\Psi}_{k}^{\dagger} \begin{pmatrix} \mathcal{H}_{0,k} & \Delta_{k} \\ \Delta_{k}^{\dagger} & -\mathcal{H}_{0,-k}^{T} \end{pmatrix} \vec{\Psi}_{k}, \tag{1}
$$

where  $\vec{\Psi}_k = (\vec{c}_k, \vec{c}_{-k}^\dagger)$ , with  $\vec{c}_k$  representing a spinor of annihilation operators for fermions with four internal degrees of freedom. In the presence of both TRS and IS, the most general form of the Hamiltonian matrix  $\mathcal{H}_{0,k}$  is [\[24,25\]](#page-5-0)

$$
\mathcal{H}_{0,k} = \varepsilon_{0,k} \mathbb{1}_4 + \vec{\varepsilon}_k \cdot \vec{\gamma} = \varepsilon_{0,k} \mathbb{1}_4 + \vec{\mathcal{H}}_{0,k},\tag{2}
$$

where  $\mathbb{1}_4$  is the  $4 \times 4$  identity matrix, and  $\vec{\gamma} =$  $(\gamma^1, \gamma^2, \gamma^3, \gamma^4, \gamma^5)$  are the mutually anticommuting Euclidean Dirac matrices with coefficients  $\vec{\varepsilon}_k =$  $(\varepsilon_{1,k}, \varepsilon_{2,k}, \varepsilon_{3,k}, \varepsilon_{4,k}, \varepsilon_{5,k})$ . The Hamiltonian has doubly degenerate eigenenergies  $\xi_{\pm,k} = \varepsilon_{0,k} \pm |\vec{\varepsilon}_k|$ , where  $|\vec{\varepsilon}_k|$  $\sqrt{\sum_{l=1}^{5} \varepsilon_{l,k}^{2}}$ . In Eq. (2) we have introduced  $\bar{\mathcal{H}}_{0,k} = \vec{\epsilon}_{k} \cdot \vec{\gamma}$  to denote the part of the Hamiltonian which depends nontrivially on the internal degrees of freedom.

Hamiltonians with the form of Eq. (2) describe a diverse range of two-band systems [\[10,14,15,](#page-4-0)[18,24,26–29\]](#page-5-0), and so the exact form of the  $\gamma$  matrices depends on the system under consideration [\[30\]](#page-5-0). For spin- $\frac{1}{2}$  systems, we generally construct the  $\gamma$  matrices as Kronecker products of Pauli matrices acting in the orbital and spin spaces. In this case we choose  $\gamma^1$  and  $\gamma^2$  to be trivial in the spin space, with these contributions to the Hamiltonian describing purely orbital effects, while the remaining matrices couple the spin and orbital degrees of freedom, accounting for SOC in the system. This parametrization is also valid for spin- $\frac{3}{2}$  systems, even though the underlying orbital and spin degrees of freedom cannot be factorized.

The pairing potential appearing in Eq. (1) is written  $\Delta_k =$  $\Delta_0 \tilde{\Delta}_k U_T$ , where  $\Delta_0$  is the magnitude,  $\tilde{\Delta}_k$  encodes the dependence on the momentum and the internal degrees of freedom, and  $U_T$  is the unitary part of the time-reversal operator. The general form for even- (*e*) and odd-parity (*o*) states is

$$
\tilde{\Delta}_{\mathbf{k}}^{(e)} = e_{\mathbf{k}}^0 \mathbb{1}_4 + \sum_{a=1}^5 e_{\mathbf{k}}^a \gamma^a, \tag{3}
$$

$$
\tilde{\Delta}_k^{(o)} = \sum_{a=1}^4 \sum_{b>a} o_k^{ab} i\gamma^a \gamma^b,\tag{4}
$$

where  $e_k^a$  and  $o_k^{ab}$  are normalized form factors. Note that only when the internal degrees of freedom transform trivially under inversion are the functions  $e_k^a$  and  $o_k^{ab}$  necessarily even and odd in momentum, respectively. A proof of this general form is given in the Supplemental Material [\[31\]](#page-5-0).

Due to the mixing of orbital and spin, the pairing potential in the band basis typically has both intraband and interband matrix elements. The intraband gap is particularly important as it is responsible for the Cooper instability. Assuming that  $\Delta_0$  is small compared to the band separation, the gap in band *a* is given by

$$
|\Delta_{a,k}|^2 = \Delta_0^2 \frac{\text{Tr}\{|\{\bar{\mathcal{H}}_{0,k}, \tilde{\Delta}_k\}|^2 \mathcal{P}_{a,k}\}}{8|\vec{\varepsilon}_k|^2},\tag{5}
$$

where  ${\{\bar{H}_{0,k}, \tilde{\Delta}_k\}}U_T$  is the superconducting fitness as defined in Refs. [\[16,23\]](#page-5-0),  $\mathcal{P}_{a,k} = \frac{1}{2} (\mathbb{1}_4 + a\mathcal{H}_{0,k}/|\vec{\epsilon}|)$  projects onto the  $a = \pm$  band, and  $|O|^2 = O O^{\dagger}$ . The projection operator is necessary to account for band dependence of the intraband pairing, which can arise when  $|\tilde{\Delta}_k|^2 \nless 1$ <sub>4</sub>.

To investigate the effect of symmetry breaking, we introduce the perturbation Hamiltonian

$$
\delta H = \sum_{k} \vec{\Psi}_{k}^{T} \begin{pmatrix} \mathcal{H}_{h,k} & 0\\ 0 & -\mathcal{H}_{h,-k}^{T} \end{pmatrix} \vec{\Psi}_{k}.
$$
 (6)

We adopt a general form of  $\mathcal{H}_{h,k}$ ,

$$
\mathcal{H}_{h,k} = \sum_{\alpha=1}^{4} \sum_{\beta > \alpha} h_{\alpha\beta,k} i\gamma^{\alpha} \gamma^{\beta}.
$$
 (7)

The perturbation lifts the twofold degeneracy of the normalstate spectrum: For sufficiently well-separated bands, the perturbed energies of band *a* are  $\xi_{a,\pm,k} \approx \xi_{a,k} \pm \tilde{g}_{a,k} h_k$ , where  $h_k^2 = \sum_{\alpha,\beta} h_{\alpha\beta,k}^2 = \text{Tr}\{|\mathcal{H}_{h,k}|^2\}/4$ , and the effective *g* factor in band *a* is

$$
\tilde{g}_{a,k}^2 = \frac{\text{Tr}\{ \{ \bar{\mathcal{H}}_{0,k}, \mathcal{H}_{h,k} \}^2 \mathcal{P}_{a,k} \}}{8 |\vec{\varepsilon}_k|^2 h_k^2}.
$$
 (8)

Equation (8) resembles the expression for the intraband superconducting gap Eq. (5), and can be similarly interpreted as giving the splitting of the bands due to the projection of the perturbation onto band *a*. When  $\mathcal{H}_{h,k}^2 \propto \mathbb{1}_4$  the splitting of the energy spectrum is independent of the band index, i.e.,  $g_{a,k} = g_k$ ; more generally, when  $\mathcal{H}_{h,k}^2 \propto \mathbb{1}_4$  the effective *g* factors are different in each band, which is accounted for by the projection operator in Eq.  $(8)$ .

*Pair breaking.* The lifting of the twofold degeneracy of the band states by the perturbation generally suppresses the superconductivity. The central result of our work is that the pair-breaking effects of the perturbation in band *a* can be quantified by the *field-fitness function*; in the case of a TRSbreaking perturbation this is

$$
\tilde{F}_{h,k}^{(a)} = \frac{\text{Tr}\{|\{ \{\bar{\mathcal{H}}_{0,k}, \tilde{\Delta}_k\}, \{\bar{\mathcal{H}}_{0,k}, \mathcal{H}_{h,k}\} \} |^2 \mathcal{P}_{a,k} \}}{2 \text{Tr}\{ \{\bar{\mathcal{H}}_{0,k}, \mathcal{H}_{h,k} \}^2 \mathcal{P}_{a,k} \} \text{Tr}\{|\{ \bar{\mathcal{H}}_{0,k}, \tilde{\Delta}_k \} |^2 \mathcal{P}_{a,k} \}}.
$$
 (9)

The field-fitness function ranges in value from zero to 1. For  $\tilde{F}_{h,k}^{(a)} = 0$ , the states at *k* and −*k* involved in the intraband pairing remain degenerate, and so there is no pair-breaking effect. On the other hand, the perturbation is maximally pair breaking for  $\tilde{F}_{h,k}^{(a)} = 1$ , i.e., the states paired by the intraband pairing potential are split by the perturbation. An intermediate value  $0 < \tilde{F}_{h,k}^{(a)} < 1$  indicates that the intraband pairing potential pairs electrons in a superposition of the perturbed states, and there will be some pair-breaking effect. Inserting Eq.  $(3)$  into Eq.  $(9)$ , we find that for any even-parity state the field fitness  $\tilde{F}_{h,k}^{(a)} = 1$ , as the numerator can be factored to give the denominator. Since even-parity superconductors always pair time-reversed partners within the same band, any TRS-breaking perturbation is maximally pair breaking. On the other hand, odd-parity superconducting states do not necessarily pair time-reversed states in the same band, and so they may experience less or no pair breaking due to broken TRS, i.e.,  $0 \leqslant \tilde{F}_h^{(a)} \leqslant 1$ . The results for perturbations which break IS but

Solving the linearized gap equation in the presence of the TRS-breaking perturbation gives the critical temperature  $T_c$  in terms of the unperturbed value  $T_{c,0}$ ,

$$
\ln\left(\frac{T_c}{T_{c,0}}\right) = \sum_{a=\pm} \left\langle \left[ \frac{\mathcal{D}_{a,k} |\Delta_{a,k}|^2}{\sum_{a'=\pm} \langle \mathcal{D}_{a',k'} |\Delta_{a',k'}|^2 \rangle_{a'}} \right] \times \tilde{F}_{h,k}^{(a)} \text{Re}\left\{ \psi\left(\frac{1}{2}\right) - \psi\left(\frac{1}{2} + i \frac{\tilde{g}_{a,k} h_k}{2\pi k_B T_c} \right) \right\} \right\rangle_a, \tag{10}
$$

where  $\psi(x)$  is the digamma function,  $\langle \cdots \rangle_a$  indicates the average over the Fermi surface of band *a*,  $\mathcal{D}_{a,k} = |\vec{\nabla}_k \xi_{a,k}|^{-1}$ , and the factor in the square brackets defines the fraction of the total condensation energy due to the gap on each band. The suppression of the critical temperature by a TRS-breaking perturbation is controlled by both the field fitness function and the effective *g* factor, which tune the degree of pair breaking and the magnitude of the band splitting, respectively. A brief derivation of Eq. (10) is presented in the Supplemental Material [\[31\]](#page-5-0).

*Magnetic susceptibility*. We now turn to the important case where the perturbation is an applied magnetic field, which couples to the electron states via the Zeeman effect. A key experimental quantity is the magnetic susceptibility, which in a multiband system can be divided into components due to intraband ("Pauli") and interband ("van Vleck") transitions. The latter is negligibly affected by superconductivity, as the pairing potential is typically much smaller than the band separation. On the other hand, the Pauli contribution carries clear signatures of the pair-breaking effect. For a field applied along the *i* axis, the Pauli susceptibility below the critical temperature is given by

$$
\chi_{ii} = \sum_{a=\pm} \langle 2\mu_B^2 \mathcal{D}_{a,k} \tilde{g}_{a,k}^{(i)} \{ 1 + \tilde{F}_{i,k}^{(a)} [Y_a(\hat{k}, T) - 1] \} \rangle_a, \quad (11)
$$

where  $\tilde{g}_{a,k}^{(i)}$  is the effective *g* factor for an *i*-axis field, and  $Y_a(\hat{k}, T)$  is the angle-dependent Yosida function for the intraband gap Eq. [\(5\)](#page-1-0). Explicit expressions for the susceptibility in both the normal and superconducting states are provided in the Supplemental Material [\[31\]](#page-5-0). For even-parity gaps  $\tilde{F}_{h,k}^{(a)} = 1$ , and the pairing suppresses the Pauli susceptibility, with it vanishing at zero temperature. On the other hand, an odd-parity state typically gives only a partial suppression of the Pauli susceptibility; in the extreme case  $\tilde{F}_{h,k}^{(a)} = 0$  the susceptibility is unaffected by the superconductivity.

*Three and more bands*. Our theory can be generalized to systems with more than two bands. Specifically, for a normalstate Hamiltonian  $\mathcal{H}_{0,k}$  with doubly degenerate eigenvalues  ${e_{a,k}}_{a=1,\dots,N}$ , the gap magnitude and the effective *g* factor in band *a* are given by

$$
|\Delta_{a,k}|^2 = \frac{1}{2} \Delta_0^2 \text{Tr}\{\tilde{\Delta}_k \mathcal{P}_{a,k} \tilde{\Delta}_k^{\dagger} \mathcal{P}_{a,k}\},\tag{12}
$$

$$
\tilde{g}_{a,k}^2 = \frac{1}{2} \text{Tr} \{ (\mathcal{H}_{h,k} \mathcal{P}_{a,k})^2 \},\tag{13}
$$

and the field fitness is

$$
\tilde{F}_{h,k}^{(a)} = \frac{\text{Tr}\{|\{\mathcal{H}_{h,k}\mathcal{P}_{a,k}, \tilde{\Delta}_k\mathcal{P}_{a,k}\}|^2 \mathcal{P}_{a,k}\}}{8\text{Tr}\{(\mathcal{H}_{h,k}\mathcal{P}_{a,k})^2\}\text{Tr}\{\tilde{\Delta}_k\mathcal{P}_{a,k}\tilde{\Delta}_k^{\dagger} \mathcal{P}_{a,k}\}},\qquad(14)
$$

where  $\mathcal{P}_{a,k} = \prod_{b \neq a} (\mathcal{H}_{0,k} - \epsilon_{b,k})/(\epsilon_{a,k} - \epsilon_{b,k})$  projects onto band *a*. These results allow us to apply the formulas Eqs. (10) and  $(11)$  to an arbitrary multiband system, requiring only knowledge of the normal-state band energies. An application of these formulas to a three-band model of  $Sr<sub>2</sub>RuO<sub>4</sub>$  is pre-sented in the Supplemental Material [\[31\]](#page-5-0).

 $j = \frac{3}{2}$  *superconductors*. As a concrete application of our approach we consider a system of electrons with an effective  $j = \frac{3}{2}$ . A minimal model is given by the Luttinger-Kohn Hamiltonian

$$
\mathcal{H}_{0,k} = (\alpha |k|^2 - \mu) \mathbb{1}_4 + \beta_1 \sum_i k_i^2 J_i^2 + \beta_2 \sum_{i' \neq i} k_i k_{i'} J_i J_{i'},
$$
\n(15)

where  $J_i$  are the spin- $\frac{3}{2}$  matrices and the indices *i*, *i'* run over Cartesian coordinates. The Hamiltonian can be cast into the form of Eq. [\(2\)](#page-1-0) by defining the  $\gamma$  matrices  $\vec{\gamma} = (\frac{1}{\sqrt{3}} [J_x^2 - J_y^2]$ ,  $\frac{1}{3} [2J_z^2 - J_x^2 - J_y^2], \frac{1}{\sqrt{3}} \{J_y, J_z\}, \frac{1}{\sqrt{3}} \{J_x, J_y\}, \frac{1}{\sqrt{3}} \{J_x, J_z\}),$  with corresponding coefficients  $\vec{\varepsilon} = [\sqrt{3}\beta_1(k_x^2 - k_y^2)]$ *z* coefficients  $\vec{\varepsilon} = [\sqrt{3\beta_1(k_x^2 - k_y^2)/2}, \sqrt{3\beta_1 (3k_z^2 - |\mathbf{k}|^2)/2}, \sqrt{3\beta_2 k_y k_z}, \sqrt{3\beta_2 k_x k_y}, \sqrt{3\beta_2 k_x k_z}]$ . The strong SOC in the Luttinger-Kohn model leads to a pronounced spin-momentum locking. This is most clearly observed in the limit  $\beta_1 = \beta_2$ , where the model has full spherical symmetry and the quantity  $k \cdot J$  commutes with the Hamiltonian, i.e., the projection of the spin along  $k$  is a good quantum number. The eigenstates are classified by the "helical" index  $\sigma$  such that  $\hat{k} \cdot \mathbf{J} | \sigma \rangle_k = \sigma | \sigma \rangle_k$ , defining a spin- $\frac{3}{2}$  band ( $\sigma = \pm \frac{3}{2}$ ) and spin- $\frac{1}{2}$  band ( $\sigma = \pm \frac{1}{2}$ ). This argument remains valid along high-symmetry directions in the presence of cubic anisotropy, allowing us to identify spin- $\frac{1}{2}$  and  $-\frac{3}{2}$  bands when  $\beta_1 \neq \beta_2$ . As we will show below, compared to the spin- $\frac{1}{2}$  band, the spin- $\frac{3}{2}$  band exhibits counterintuitive properties.

The spin-momentum locking produces a highly anisotropic Zeeman splitting of the bands by an applied magnetic field  $H_h = g\mu_B h \cdot \mathbf{J}$ . Although the general result using Eq. [\(8\)](#page-1-0) is complicated, in the rotationally symmetric limit the effective *g* factors take the compact forms  $\tilde{g}_{1/2} = \sqrt{1 - 3|\hat{\boldsymbol{h}} \cdot \hat{\boldsymbol{k}}|^2/4}$ and  $\tilde{g}_{3/2} = 3|\hat{\boldsymbol{h}} \cdot \hat{\boldsymbol{k}}|/2$  in the spin- $\frac{1}{2}$  and  $-\frac{3}{2}$  bands, respectively; these effective *g* factors remain approximately valid in the general case. Note that there is no splitting of the spin- $\frac{3}{2}$  band in the direction perpendicular to the field, whereas the splitting of the spin- $\frac{1}{2}$  states is maximal in this plane. To understand this, we introduce the momentum-dependent angular momentum operators  $J_z^{\bf{k}} =$  $\hat{k} \cdot \mathbf{J}$ , and raising and lowering operators  $J^k_{\pm}$  which satisfy  $[J_z^k, J_{\pm}^k] = \pm J_{\pm}^k$ . The band states are eigenstates of  $J_z^k$ , i.e.,  $J_z^k | \sigma \rangle_k = \sigma | \sigma \rangle_k$ , and the action of the raising and lowering operators is  $J^k_{\pm}|\sigma\rangle_k = \sqrt{(\frac{3}{2} \mp \sigma)(\frac{3}{2} \pm \sigma + 1)}|\sigma \pm 1\rangle_k$ . Expressing the Zeeman Hamiltonian in terms of these operators we have  $H_h = g\mu_B(\mathbf{h} \cdot \hat{\mathbf{k}}J_z^k + h_+^k J_+^k + h_-^k J_-^k)$ ; the functions  $h_{\pm}^{k} = -h_{\pm}^{-k}$  are vanishing for  $\hat{k} \parallel \mathbf{h}$  and take maximal

<span id="page-3-0"></span>

FIG. 1. Comparison of  $A_{1u}$  and  $A_{2u}$  states. (a), (b) Normalized field fitness  $\tilde{F}_h^{(a)}$  on the spin- $\frac{3}{2}$  (black solid line,  $\mu = -20$  meV) and spin- $\frac{1}{2}$ (blue dashed line,  $\mu = 20$  meV) band Fermi surface for  $A_{1u}$  (a) and  $A_{2u}$  (b) pairing. (c), (d) Pauli susceptibility as a function of temperature at  $\beta_2 = \beta_1$  for  $A_{1u}$  (c) and  $A_{2u}$  (d) pairing. (e), (f) Upper critical field excluding orbital effects for each band at  $\beta_2 = \beta_1$  for  $A_{1u}$  (e) and  $A_{2u}$  (f) pairing. In all plots we take  $\alpha = 20(a/\pi)^2$  eV,  $\beta_1 = -15(a/\pi)^2$  eV.

magnitude in the plane perpendicular to  $k$ ; explicit expressions are given in the Supplemental Material [\[31\]](#page-5-0). Since the raising and lowering operators do not couple the  $\sigma = \pm \frac{3}{2}$ states, this immediately explains why there is no splitting for  $k \perp \mathbf{h}$  in the spin- $\frac{3}{2}$  band; conversely, the larger matrix elements for the raising and lowering operators compared to the *z*-spin operator gives a maximum of the Zeeman splitting for the spin- $\frac{1}{2}$  band in this plane.

The spin-momentum locking also profoundly influences the superconducting gap structure, which we illustrate by two odd-parity states: the fully gapped  $p$ -wave triplet  $A_{1u}$  state with  $\tilde{\Delta}_{1u} = \frac{2}{\sqrt{5}} (\mathbf{k} \cdot \mathbf{J}) U_T$ , and a nodal *p*-wave septet  $A_{2u}$  state  $\tilde{\Delta}_{2u} = \frac{1}{\sqrt{3}} (\mathbf{k} \cdot \mathbf{T}) U_T$ , where  $T_i = \{J_i, J_{i+1}^2 - J_{i+2}^2\}$  for *i* defined cyclically (e.g.,  $J_{y+2} = J_x$ ). These two states are the leading *p*-wave instabilities in the spin- $\frac{3}{2}$  band in the rotationally sym-metric limit [\[40\]](#page-5-0). Using Eq.  $(5)$  we find that the gaps opened by these pairing states have nontrivial band dependence. This is most apparent in the  $A_{2u}$  case, which in the spin- $\frac{1}{2}$  band has fourteen nodes along the (100) and (111) and equivalent directions, whereas in the spin- $\frac{3}{2}$  band it only has six nodes along the the (100) directions  $[21]$ . These nodes result from

the spin-momentum locking: along the (100) directions the  $A_{2u}$  state pairs electrons with helicity differing by  $\pm 2$  which cannot be satisfied in either band, and hence implies purely interband pairing and a node in the intraband gap. On the other hand, along the (111) direction the  $A_{2u}$  state pairs electrons with helicity differing by  $\pm 3$ , and so it only opens a gap in the spin- $\frac{3}{2}$  band. In contrast, the  $A_{1u}$  state pairs electrons with the same helicity, and hence it opens a full gap in both bands. Further details on these pairing states are provided in the Supplemental Material [\[31\]](#page-5-0).

The response of the superconductivity to an applied Zeeman field displays major differences between the two bands. For a field applied along the *z* axis, the averaged field-fitness function  $\tilde{F}_h^{(a)} = \langle \tilde{F}_{h,k}^{(a)} \rangle_a$  is plotted in Figs. 1(a) and 1(b) as a function of  $\beta_2/\beta_1$  for the  $A_{1u}$  and  $A_{2u}$  states, respectively. In the spin- $\frac{1}{2}$  band, the nonzero value of  $\tilde{F}_h^{(a)}$  indicates that a magnetic field is partially pair breaking for both states; in the spin- $\frac{3}{2}$  band, however, the field is almost completely pair breaking for the  $A_{1u}$  state, whereas the  $A_{2u}$  state is almost insensitive to its effects. These results are only weakly dependent upon the ratio  $\beta_2/\beta_1$  and the orientation of the field; they become exact and valid for all field directions in the <span id="page-4-0"></span>rotationally symmetric limit. This behavior is reflected in the susceptibility, as shown in Figs. [1\(c\)](#page-3-0) and [1\(d\):](#page-3-0) in the spin- $\frac{3}{2}$ band the Pauli contribution to the susceptibility is completely suppressed for the  $A_{1u}$  state, whereas we see no change in the susceptibility for the  $A_{2u}$  state. In contrast, in both cases there is moderate suppression of the susceptibility in the spin- $\frac{1}{2}$ band. Likewise, as shown in Figs. [1\(e\)](#page-3-0) and [1\(f\),](#page-3-0) in the spin- $\frac{3}{2}$ band the  $A_{1u}$  state is Pauli limited whereas the  $A_{2u}$  state is only limited by orbital effects.

The remarkable response to a magnetic field can be understood in the rotationally symmetric limit in terms of the helicity quantum number. Projected onto the spin- $\frac{3}{2}$  band, the  $A_{1u}$  state pairs electrons with the same helicity whereas the  $A_{2u}$ state pairs electrons with opposite helicity. In the projection of the Zeeman Hamiltonian only the  $J_k^z$  operator has nonzero matrix elements, and so the energy shift of the state  $|\sigma\rangle_k$  is  $\sigma \mathbf{h} \cdot \mathbf{k}$ . Since the  $A_{2u}$  state pairs electrons which have the same Zeeman shift, it does not experience pair breaking; in contrast, the *A*1*<sup>u</sup>* state pairs electrons with opposite Zeeman shift, and the pair breaking is maximal. The situation in the spin- $\frac{1}{2}$  band is more complicated, since helicity is not a good quantum number for the projected Zeeman Hamiltonian, and the  $A_{2u}$  state has both equal- and opposite-helicity pairing matrix elements. This gives the intermediate values of  $\tilde{F}_h^{(a)}$  for the spin- $\frac{1}{2}$  band. Although this argument is only rigorously valid in the rotationally symmetric limit, the plot of  $\tilde{F}_h^{(a)}$  in Figs. [1\(a\)](#page-3-0) and [1\(b\)](#page-3-0) indicates that it remains valid more generally.

*Conclusions*. In this Letter we have developed a basisindependent framework to understand the interplay of superconductivity and symmetry-breaking perturbations in a multiband system. Using a generic minimal model, we have shown that coupling of orbital and spin degrees of freedom typically reduces the effect of the perturbation via the appearance of an effective *g* factor, Eq. [\(8\)](#page-1-0). Moreover, the pair-breaking effect of this perturbation can be formulated in terms of the field-fitness function Eq. [\(9\)](#page-1-0). Together, these quantities control the suppression of the critical temperature by the perturbation, and in the case of a Zeeman field determine the magnetic susceptibility below the critical temperature. To illustrate these effects, we have examined *p*-wave pairing of effective spin- $\frac{3}{2}$  electrons with normal state described by the Luttinger-Kohn model. The characteristic spin-momentum locking in this model leads to the remarkable result that in the spin- $\frac{3}{2}$  band the triplet  $A_{1u}$ state is strongly suppressed by a Zeeman field, whereas the septet  $A_{2u}$  state is largely immune to it, giving dramatically different behavior in the upper critical field and the spin susceptibility.

*Acknowledgments*. D.C.C. and P.M.R.B were supported by the Marsden Fund Council from Government funding, managed by Royal Society Te Aparangi, Contract No. UOO1836. ¯ D.F.A. was supported by the U.S. Department of Energy, Office of Basic Energy Sciences, Division of Materials Sciences and Engineering, under Award No. DE-SC0021971.

- [1] S. Ran, C. Eckberg, Q.-P. Ding, Y. Furukawa, T. Metz, S. R. Saha, I.-L. Liu, M. Zic, H. Kim, J. Paglione, and N. P. Butch, [Nearly ferromagnetic spin-triplet superconductivity,](https://doi.org/10.1126/science.aav8645) Science **365**, 684 (2019).
- [2] S. Khim, J. F. Landaeta, J. Banda, N. Bannor, M. Brando, P. M. R. Brydon, D. Hafner, R. Küchler, R. Cardoso-Gil, U. Stockert, A. P. Mackenzie, D. F. Agterberg, C. Geibel, and E. Hassinger, Field-induced transition within the superconducting state of CeRh<sub>2</sub>As<sub>2</sub>, Science 373[, 1012 \(2021\).](https://doi.org/10.1126/science.abe7518)
- [3] D. Aoki, A. Nakamura, F. Honda, D. Li, Y. Homma, Y. Shimizu, Y. J. Sato, G. Knebel, J.-P. Brison, A. Pourret, D. Braithwaite, G. Lapertot, Q. Niu, M. Vališka, H. Harima, and J. Flouquet, Unconventional superconductivity in heavy fermion  $UTe<sub>2</sub>$ , [J. Phys. Soc. Jpn.](https://doi.org/10.7566/JPSJ.88.043702) **88**, 043702 (2019).
- [4] D. H. Nguyen, A. Sidorenko, M. Taupin, G. Knebel, G. Lapertot, E. Schuberth, and S. Paschen, Superconductivity in an extreme strange metal, [Nat. Commun.](https://doi.org/10.1038/s41467-021-24670-z) **12**, 4341 (2021).
- [5] V. P. Mineev and K. V. Samokhin, *Introduction to Unconventional Superconductivity* (Gordon and Breach Science Publishers, Philadelphia, 1999).
- [6] M. Sigrist, in *Introduction to Unconventional Superconductivity*, AIP Conf. Proc. No. 789 (AIP, Melville, NY, 2005), p. 165.
- [7] M. Shimozawa, S. K. Goh, R. Endo, R. Kobayashi, T. Watashige, Y. Mizukami, H. Ikeda, H. Shishido, Y. Yanase, T. Terashima, T. Shibauchi, and Y. Matsuda, Controllable Rashba Spin-Orbit Interaction in Artificially Engineered Superlattices Involving the Heavy-Fermion Superconductor CeCoIn<sub>5</sub>, Phys. Rev. Lett. **112**[, 156404 \(2014\).](https://doi.org/10.1103/PhysRevLett.112.156404)
- [8] T. Watanabe, T. Yoshida, and Y. Yanase, Odd-parity superconductivity by competing spin-orbit coupling and orbital effect in artificial heterostructures, Phys. Rev. B **92**[, 174502 \(2015\).](https://doi.org/10.1103/PhysRevB.92.174502)
- [9] D. C. Cavanagh, T. Shishidou, M. Weinert, P. M. R. Brydon, and D. F. Agterberg, Nonsymmorphic symmetry and field-driven odd-parity pairing in CeRh<sub>2</sub>As<sub>2</sub>, Phys. Rev. B 105, L020505 (2022).
- [10] Y.-M. Xie, B. T. Zhou, and K. T. Law, Spin-Orbit-Parity-Coupled Superconductivity in Topological Monolayer WTe<sub>2</sub>, Phys. Rev. Lett. **125**[, 107001 \(2020\).](https://doi.org/10.1103/PhysRevLett.125.107001)
- [11] X. Zhang, Q. Liu, J.-W. Luo, A. J. Freeman, and A. Zunger, Hidden spin polarization in inversion-symmetric bulk crystals, Nat. Phys. **10**[, 387 \(2014\).](https://doi.org/10.1038/nphys2933)
- [12] M. Smidman, M. B. Salamon, H. Q. Yuan, and D. F. Agterberg, Superconductivity and spin-orbit coupling in non[centrosymmetric materials: A review,](https://doi.org/10.1088/1361-6633/80/3/036501) Rep. Prog. Phys. **80**, 036501 (2017).
- [13] S. J. Youn, M. H. Fischer, S. H. Rhim, M. Sigrist, and D. F. Agterberg, Role of strong spin-orbit coupling in the supercon[ductivity of the hexagonal pnictide SrPtAs,](https://doi.org/10.1103/PhysRevB.85.220505) Phys. Rev. B **85**, 220505(R) (2012).
- [14] T. Yoshida, M. Sigrist, and Y. Yanase, Pair-density wave states through spin-orbit coupling in multilayer superconductors, Phys. Rev. B **86**[, 134514 \(2012\).](https://doi.org/10.1103/PhysRevB.86.134514)
- [15] O. Vafek and A. V. Chubukov, Hund Interaction, Spin-Orbit Coupling, and the Mechanism of Superconductivity in Strongly [Hole-Doped Iron Pnictides,](https://doi.org/10.1103/PhysRevLett.118.087003) Phys. Rev. Lett. **118**, 087003 (2017).
- <span id="page-5-0"></span>[16] A. Ramires and M. Sigrist, Identifying detrimental effects for multiorbital superconductivity: Application to  $Sr<sub>2</sub>RuO<sub>4</sub>$ , Phys. Rev. B **94**[, 104501 \(2016\).](https://doi.org/10.1103/PhysRevB.94.104501)
- [17] H. G. Suh, H. Menke, P. M. R. Brydon, C. Timm, A. Ramires, and D. F. Agterberg, Stabilizing even-parity chiral superconductivity in Sr2RuO4, Phys. Rev. Res. **2**[, 032023\(R\) \(2020\).](https://doi.org/10.1103/PhysRevResearch.2.032023)
- [18] P. M. R. Brydon, L. Wang, M. Weinert, and D. F. Agterberg, Pairing of  $j = 3/2$  Fermions in Half-Heusler Superconductors, Phys. Rev. Lett. **116**[, 177001 \(2016\).](https://doi.org/10.1103/PhysRevLett.116.177001)
- [19] L. Savary, J. Ruhman, J. W. F. Venderbos, L. Fu, and P. A. Lee, Superconductivity in three-dimensional spin-orbit coupled semimetals, Phys. Rev. B **96**[, 214514 \(2017\).](https://doi.org/10.1103/PhysRevB.96.214514)
- [20] S. Kobayashi, A. Bhattacharya, C. Timm, and P. M. R. Brydon, Bogoliubov Fermi surfaces from pairing of emergent  $j = \frac{3}{2}$ [fermions on the pyrochlore lattice,](https://doi.org/10.1103/PhysRevB.105.134507) Phys. Rev. B **105**, 134507 (2022).
- [21] J. W. F. Venderbos, L. Savary, J. Ruhman, P. A. Lee, and L. Fu, Pairing States of Spin- $\frac{3}{2}$  Fermions: Symmetry-Enforced Topological Gap Functions, Phys. Rev. X **8**[, 011029 \(2018\).](https://doi.org/10.1103/PhysRevX.8.011029)
- [22] D. Kim, T. Sato, S. Kobayashi, and Y. Asano, Spin susceptibility of a  $J = 3/2$  superconductor, [arXiv:2206.14994.](http://arxiv.org/abs/arXiv:2206.14994)
- [23] A. Ramires, D. F. Agterberg, and M. Sigrist, Tailoring  $T_c$  by symmetry principles: The concept of superconducting fitness, Phys. Rev. B **98**[, 024501 \(2018\).](https://doi.org/10.1103/PhysRevB.98.024501)
- [24] P. M. R. Brydon, D. F. Agterberg, H. Menke, and C. Timm, Bogoliubov Fermi surfaces: General theory, magnetic order, and topology, Phys. Rev. B **98**[, 224509 \(2018\).](https://doi.org/10.1103/PhysRevB.98.224509)
- [25] A. A. Abrikosov, Calculation of critical indices for zero-gap semiconductors, Sov. Phys. JETP **39**, 709 (1974).
- [26] L. Fu and E. Berg, Odd-Parity Topological Superconductors: Theory and Application to  $Cu_xBi_2Se_3$ , *Phys. Rev. Lett.* 105, 097001 (2010).
- [27] Y. Yanase, Nonsymmorphic Weyl superconductivity in UPt<sub>3</sub> based on  $E_{2u}$  representation, *Phys. Rev. B* **94**[, 174502 \(2016\).](https://doi.org/10.1103/PhysRevB.94.174502)
- [28] D. F. Agterberg, T. Shishidou, J. O'Halloran, P. M. R. Brydon, and M. Weinert, Resilient Nodeless *d*-Wave Superconductivity in Monolayer FeSe, Phys. Rev. Lett. **119**[, 267001 \(2017\).](https://doi.org/10.1103/PhysRevLett.119.267001)
- [29] S. Ilić, J. S. Meyer, and M. Houzet, Enhancement of the Upper Critical Field in Disordered Transition Metal Dichalcogenide Monolayers, Phys. Rev. Lett. **119**[, 117001 \(2017\).](https://doi.org/10.1103/PhysRevLett.119.117001)
- [30] M. D. E. Denys and P. M. R. Brydon, Origin of the anomalous [Hall effect in two-band chiral superconductors,](https://doi.org/10.1103/PhysRevB.103.094503) Phys. Rev. B **103**, 094503 (2021).
- [31] See Supplemental Material at http://link.aps.org/supplemental/ [10.1103/PhysRevB.107.L060504](http://link.aps.org/supplemental/10.1103/PhysRevB.107.L060504) for a proof of the general gap form, the derivation of the linearized gap equation, and the spin susceptibility, generalization of the results to IS-breaking perturbations, an application to a three-band model of  $Sr<sub>2</sub>RuO<sub>4</sub>$ , and the physics of  $j = \frac{3}{2}$  superconductors. This contains Refs. [32–39].
- [32] E. I. Blount, Symmetry properties of triplet superconductors, Phys. Rev. B **32**[, 2935 \(1985\).](https://doi.org/10.1103/PhysRevB.32.2935)
- [33] X. Wang, Z. Wang, and C. Kallin, Higher angular momentum pairing states in  $Sr_2RuO_4$  in the presence of longer-range interactions, Phys. Rev. B **106**[, 134512 \(2022\).](https://doi.org/10.1103/PhysRevB.106.134512)
- [34] C. M. Puetter and H.-Y. Kee, Identifying spin-triplet pairing in [spin-orbit coupled multi-band superconductors,](https://doi.org/10.1209/0295-5075/98/27010) Europhys. Lett. **98**, 27010 (2012).
- [35] T. M. Rice and M. Sigrist,  $Sr<sub>2</sub>RuO<sub>4</sub>$ : An electronic analogue of 3He? [J. Phys.: Condens. Matter](https://doi.org/10.1088/0953-8984/7/47/002) **7**, L643 (1995).
- [36] E. J. Rozbicki, J. F. Annett, J.-R. Souquet, and A. P. Mackenzie, Spin-orbit coupling and *k*-dependent Zeeman splitting in strontium ruthenate, [J. Phys.: Condens. Matter](https://doi.org/10.1088/0953-8984/23/9/094201) **23**, 094201 (2011).
- [37] K. V. Samokhin, Spin susceptibility of superconductors with strong spin-orbit coupling, Phys. Rev. B **103**[, 174505 \(2021\).](https://doi.org/10.1103/PhysRevB.103.174505)
- [38] L.-D. Zhang, W. Huang, F. Yang, and H. Yao, Superconducting pairing in  $Sr<sub>2</sub>RuO<sub>4</sub>$  from weak to intermediate coupling, Phys. Rev. B **97**[, 060510\(R\) \(2018\).](https://doi.org/10.1103/PhysRevB.97.060510)
- [39] A. T. Rømer, D. D. Scherer, I. M. Eremin, P. J. Hirschfeld, and B. M. Andersen, Knight Shift and Leading Superconducting Instability from Spin Fluctuations in  $Sr<sub>2</sub>RuO<sub>4</sub>$ , Phys. Rev. Lett. **123**, 247001 (2019).
- [40] J. M. Link and I. F. Herbut, *p*-wave superconductivity in Luttinger semimetals, Phys. Rev. B **105**[, 134522 \(2022\).](https://doi.org/10.1103/PhysRevB.105.134522)