# Optical spectroscopy and band structure calculations of the structural phase transition in the vanadium-based kagome metal ScV<sub>6</sub>Sn<sub>6</sub>

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In condensed matter physics, materials with kagome lattice display a range of exotic quantum states, including charge density wave (CDW), superconductivity, and magnetism. Recently, the intermetallic kagome metal ScV<sub>6</sub>Sn<sub>6</sub> was discovered to undergo a first-order structural phase transition with the formation of a  $\sqrt{3} \times \sqrt{3} \times 3$  CDW at around 92 K. The bulk electronic band properties are crucial to understanding the origin of the structural phase transition. Here, we conducted an optical spectroscopy study in combination with band structure calculations across the structural transition. Our findings showed abrupt changes in the optical reflectivity/conductivity spectra as a result of the structural transition, without any observable gap formation behavior. The optical measurements and band calculations actually reveal a sudden change of the band structure after transition. It is important to note that this phase transition is of the first-order type, which distinguishes it from conventional density-wave type condensations. Our results provide an insight into the origin of the structural phase transition in this new and unique kagome lattice intermetallic material.

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### I. INTRODUCTION

The unique kagome lattice is a two-dimensional network of corner-sharing triangles which have gained tremendous interests for studying the latent interplay of frustrated, correlated, and topological nontrivial electronic states [1–6]. Tight-binding models suggest that the electronic structure could host Dirac nodes, Van Hove singularities, and geometrically driven flat bands in kagome lattice [7,8], and the fertile electronic ground states of kagome lattice systems could be superconductivity (SC) [9], charge density waves (CDWs) [9–11], spin density waves [12], a quantum spin liquid [13,14], etc.

The intriguing characteristics of the CDW and its delicate interactions with superconductivity have been extensively studied in various condensed matter systems. The conventional CDW is often attributed to Fermi-surface nesting (FSN) and many of these CDW-bearing materials are also superconducting [9,15,16]. The unconventional SC and CDW were discovered to coexist in the new correlated kagome metal  $AV_3Sb_5$  (A = K, Rb, Cs) systems before [9], immediately sparking much interest in the novel physics involved in these materials [17–21]. Currently, the origin of CDW and SC is a topic of ongoing debates among  $AV_3Sb_5$ . For instance,

stacked vanadium kagome layers and antimony bands have been proposed to play an important role [22-24]. The CDW formation is also closely tied to the electron-phonon coupling and the electronic band saddle-point nesting [25–27]. Recently, the new vanadium-based kagome metal ScV<sub>6</sub>Sn<sub>6</sub> of a large HfFe<sub>6</sub>Ge<sub>6</sub>-type (space group no.191, P6/mmm at 300 K) family was discovered to undergo a first-order phase transition at around  $T_s \approx 92$  K, reminiscent of the popular CsV<sub>3</sub>Sb<sub>5</sub> system [28], but no SC has been observed at low temperatures. X-ray diffraction has verified the formation of a three-dimensional  $\sqrt{3} \times \sqrt{3} \times 3$  periodic CDW modulation below  $T_s$ . Structural diagrams of ScV<sub>6</sub>Sn<sub>6</sub> are shown in Fig. 1. A high-pressure transport study has shown that the CDW order can be completely suppressed but without SC emerging, even under pressures up to 11 GPa [29]. Unlike  $AV_3Sb_5$  systems,  $ScV_6Sn_6$  has two kagome sheets per unit cell separated by alternating ScSn<sub>2</sub> and Sn<sub>2</sub> layers in  $ScV_6Sn_6$ . Among  $RV_6Sn_6$  (R = Y, Gd-Tm, and Lu) with their intriguing f-orbital magnetism, no vanadium-driven order has been observed to date [30–33]. The transport behaviors and the filling of the vanadium d-orbital bands are similar to AV<sub>3</sub>Sb<sub>5</sub>, making ScV<sub>6</sub>Sn<sub>6</sub> an ideal system for further understanding the origin of CDW in kagome lattices.

It is crucial to get insights into the electronic band structure across the phase transition through various spectroscopy techniques. To date, no spectroscopy results have been reported using angle-resolved photoemission spectroscopy or scanning tunneling microscopy. Optical reflectivity/conductivity spectroscopy is powerful and sensitive for detecting the bulk

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FIG. 1. Structure diagrams for ScV<sub>6</sub>Sn<sub>6</sub>. High-temperature and CDW phase structure of ScV<sub>6</sub>Sn<sub>6</sub> generated with VESTA. The low-temperature superstructure is  $\sqrt{3}a \times \sqrt{3}b \times 3c$ .

electronic states of solids in many cases. So it is significant to uncover the underlying physics of the phase transition by optical reflectivity measurements.

In this study, we have successfully grown and characterized hexagonal single-crystalline ScV<sub>6</sub>Sn<sub>6</sub>. Our resistivity measurements revealed a pronounced first-order phase transition around 92 K. Similar to some CDW systems [10,34], the compound's dc conductivity is enhanced below  $T_s$  [28]. To further understand this issue, we performed temperature-dependent optical reflectivity spectroscopy in combination with band structure calculations on ScV<sub>6</sub>Sn<sub>6</sub>. Both measurements and band structure calculations reveal a sudden change of band structure, with no evidence of gap development. The sudden changes in the electronic structure are also observed in some first-order structural phase transitions [35–37]. Therefore, we could elaborate that the driving mechanism of CDW formation is not the conventional FSN in the ScV<sub>6</sub>Sn<sub>6</sub>. Comparing with the well-studied AV<sub>3</sub>Sb<sub>5</sub>, the gap-opening behaviors and some first-order phase transition features are observed. The case seems to be different in ScV<sub>6</sub>Sn<sub>6</sub>. Our work provides insight into CDW formation in the new vanadium-based kagome intermetallic material.

#### **II. METHODS**

The single crystals of  $ScV_6Sn_6$  were synthesized using the Sn self-flux method with a 1:6:40 atomic ratio of Sc:V:Sn. High-purity scandium grains (99.99%, 1–5 mm), vanadium powders (99.9%, 200 mesh), and stannum shots (99.99%, 1–3 mm) were placed in an aluminum crucible and sealed in a fused silica tube under high vacuum. The quartz tube was slowly heated to 1150 °C in a furnace followed by a 20-h dwell and then cooled to 750 °C at a rate of 1 °C/h. After removing the Sn flux through centrifuging, single crystals of ScV<sub>6</sub>Sn<sub>6</sub> with a hexagonal shiny facet [typical size of

 $2 \times 2 \times 0.5$  mm<sup>3</sup>, as shown in the inset of Fig. 2(a)] were obtained.

The temperature-dependent resistivity was measured using a four-probe method with the current direction along the ab plane in a Quantum Design physical property measurement system. The resistivity showed good metallic behavior with a value of about 150  $\mu\Omega$  cm at 300 K and a residual resistance ratio  $[\rho(300 \text{ K})/\rho(4 \text{ K})]$  of 6.4. Similar to the AV<sub>3</sub>Sb<sub>5</sub> (A = K, Rb, Cs) CDW systems [10], ScV<sub>6</sub>Sn<sub>6</sub> remains metallic at low-temperature (LT) phase. The resistivity curve also shows a clear hysteresis at around 92 K [as shown in Fig. 2(a)], indicating a first-order type phase transition. X-ray diffraction results at ambient conditions are shown in Fig. 2(b). The seven distinct diffraction peaks of Fig. 2(b) can be indexed as (00L) of ScV<sub>6</sub>Sn<sub>6</sub> [derived from crystallographic information file (CIF) in literature [28]]. The high quality of the  $ScV_6Sn_6$ single crystals was confirmed by the small full width about  $0.06^{\circ}$  at half maximum of the strongest (004) Bragg peak in the inset of Fig. 2(b). Chemical composition measurements by energy dispersive spectroscopy (EDS) showed that the atomic ratios were close to the standard stoichiometric ratio  $[Sc:V:Sn \sim 1(1):5.88(6):6.27(6)]$ . All the sample fundamental characterizations are identical with the literature [28,29].

The hexagonal *ab*-plane optical reflectance measurements were performed on a Fourier-transform infrared spectrometer (Bruker Vertex 80 V) at near-normal incidence with a frequency range from 40 to 30 000 cm<sup>-1</sup>. The sample is attached to a copper cone in order to reduce stray light influence, and an *in situ* gold and aluminum evaporation coating technique is performed at 300 K with the purpose of getting the absolute reflectance  $R(\omega)$ . The temperature-dependent optical reflectivity data were collected as the sample was warmed up to the target temperature.

The optical conductivity spectra were derived from the Kramers-Kronig transformation of  $R(\omega)$ . We use the Hagen-Rubens relation for the low-frequency extrapolation. For the high-frequency side, we connected to the x-ray atomic scattering functions [38].

The crystal structure (lattice parameters, atomic positions) information for calculations was obtained from the CIF document [28]. The electronic structures of ScV<sub>6</sub>Sn<sub>6</sub> were calculated using the Vienna *ab initio* simulation package (VASP) [39] with the generalized gradient approximation of Perdew-Burke-Ernzerhof exchange-correlation potential [40]. The self-consistent calculation of the HT phase and the LT phase was carried out on an  $11 \times 11 \times 6 k$  mesh and a  $7 \times 7 \times 7 k$  mesh, respectively, with the energy cutoff of 500 eV. The intraband part is simulated with the Drude model,

$$\sigma_{\text{Drude}}(\omega) = \frac{\omega}{4\pi} \operatorname{Im} \epsilon_{\text{Drude}}(\omega) = \frac{1}{4\pi} \frac{\omega_p^2 \gamma}{\omega^2 + \gamma^2}, \quad (1)$$

where  $\omega_p$  is the plasma frequency and  $\gamma$  is the carrier scattering rate. The interband optical conductivity  $\sigma_1(\omega)$  is computed with the Kubo-Greenwood formula,

$$\sigma_{\text{inter; }\alpha\beta} = \frac{ie^2\hbar}{N_k\Omega_c}\sum_k \sigma_{\alpha\beta,k}(\omega) \tag{2}$$

$$=\frac{ie^{2}\hbar}{N_{k}\Omega_{c}}\sum_{k}\sum_{n,m}\frac{f_{mk}-f_{nk}}{\varepsilon_{mk}-\varepsilon_{nk}}\frac{\langle\psi_{nk}|\upsilon_{\alpha}|\psi_{mk}\rangle\langle\psi_{mk}|\upsilon_{\beta}|\psi_{nk}\rangle}{\varepsilon_{mk}-\varepsilon_{nk}-(\hbar\omega+i\eta)},$$
 (3)



FIG. 2. Sample physical characterizations for  $ScV_6Sn_6$ . (a) Temperature-dependent *ab* plane resistivity measurement. A hysterisis is evident near 92 K. Inset: an optical micrograph of the *ab* plane of  $ScV_6Sn_6$  single crystal on a 1-mm grid. (b) Room temperature powder x-ray diffraction patterns of the single crystal and indexing. Inset: the full width at half maximum of the (004) Bragg peak is about  $0.06^{\circ}$ , indicating good quality of the single crystal.

where  $\alpha$ ,  $\beta$  denote Cartesian directions,  $\Omega_c$  is the cell volume,  $N_k$  denotes the number of k points sampling the Brillouin zone (BZ),  $\varepsilon_{mk}$  is the band energy, and  $f_{mk}$  is the Fermi-Dirac distribution function. The k meshes in the optical calculation were  $30 \times 30 \times 20$  and  $20 \times 20 \times 20$  in the HT phase and the LT phase, respectively. In addition, the Gaussian smearing factors are larger than the scattering rate obtained from the Drude model. The Fermi surface was calculated using the WANNIERTOOLS package [41] on the  $61 \times 61 \times 61 k$  mesh. A  $100 \times 100 \times 100 k$  mesh and a  $50 \times 50 \times 1 q$  -mesh were used to obtain the electron susceptibility.

#### **III. RESULTS AND DISCUSSION**

The main panel of Fig. 3(a) shows the reflectivity up to 5000 cm<sup>-1</sup> at five different temperatures. The inset displays the experimental reflectance spectrum up to 30 000 cm<sup>-1</sup> at 300 K. In the low-frequency region,  $R(\omega)$  has high values

and approaches unity at zero-frequency limit, reflecting the metallic nature of the compound in the spectra. In the hightemperature phase, there is only a minor change in  $R(\omega)$ between 100 and 300 K. A roughly linear frequency dependence is observed below 2000 cm<sup>-1</sup> in  $R(\omega)$ . The spectral shape reveals the strong damping behavior of charge carriers, suggesting that the charge carriers experience strong scattering. However, when the temperature drops just below  $T_s$ , the optical reflectivity  $R(\omega)$  shows distinct differences up to 5000 cm<sup>-1</sup>. Further decreases in temperature result in minimal changes to the spectral features. Below  $T_s$ , in the far-infrared region,  $R(\omega)$  increases slightly and shows an edgelike shape near 500  $\text{cm}^{-1}$ , indicating a sudden reduction of plasma frequency with much reduced carrier scattering rate. Above the edge, the reflectance is connected to a linear frequency-dependent behavior. Additionally, several weak peaklike features appear in  $R(\omega)$  near 2460 and  $3780 \text{ cm}^{-1}$ .



FIG. 3. Temperature-dependent optical reflectivity/conductivity of  $ScV_6Sn_6$ . (a) Temperature-dependent optical reflectivity measurements below 5000 cm<sup>-1</sup>. Inset: large energy scale range of 50–30 000 cm<sup>-1</sup> at 300 K. (b) Temperature-dependent optical conductivity below 10 000 cm<sup>-1</sup>.



FIG. 4. The Drude-Lorentz model fitting results of the optical conductivity for  $ScV_6Sn_6$ . (a) T = 300 K. (b) T = 5 K.



FIG. 5. Values of the temperature-dependent fitting parameters. (a) Plasma frequency and (b) scattering rate.



FIG. 6. The band and optical conductivity calculation results for  $ScV_6Sn_6$ . (a),(d) Brillouin zones; (b),(e) band structures with spinorbit coupling (SOC) included; and (c),(f) calculated  $\sigma_1(\omega)$  of  $ScV_6Sn_6$  in the HT phase (a)–(c) and the LT phase (d)–(f). The optical conductivities without (with) Drude contribution are presented with red dashed lines (black solid lines) in (c) and (f). All experimental curves are shifted up 3000 S/cm for clarifications. The green/yellow/red arrows in (b) denote the transitions at 0.17/0.46/0.88 eV, while the blue/green/yellow/pink/violet/red arrows in (e) denote the transitions at 0.09/0.17/0.36/0.53/0.64/0.88 eV.

Figure 2(b) presents the real part of optical conductivity  $\sigma_1(\omega)$  below 10000 cm<sup>-1</sup> calculated from the  $R(\omega)$  data using the Kramers-Kronig transformation. The Hagen-Rubens relation and x-ray atomic scattering functions were utilized for the low-energy and high-energy extrapolation of  $R(\omega)$ [38], respectively. The main panel of Fig. 3(b) displays  $\sigma_1(\omega)$ below  $10\,000 \text{ cm}^{-1}$  at five selected temperatures. All spectra exhibit a pronounced Drude response whose peak is centered at zero frequency, which is a typical characteristic of metals. In the HT phase, the broad width of the Drude peak indicates a large scattering rate of the itinerant carriers. Upon entering the LT phase, a portion of Drude-type spectral weight is abruptly transferred to high-energy excitations, leading to the formation of three distinctive peaks at 570, 2460, and 3780 cm<sup>-1</sup>. The overall spectral change just below  $T_s$  reflects a significant band structure change behavior associated with the structural phase transition. Unlike in conventional CDW order formation, the overall shape of the conductivity spectra remains almost unchanged at 5, 40, and 70 K.

As we know, in many conventional CDW systems such as rare-earth tritelluride ( $RTe_3$ ) [42], LaAgSb<sub>2</sub> [43], and Bi<sub>2</sub>Rh<sub>3</sub>Se<sub>2</sub> [44], it can be clearly observed that the optical spectral continuous change only occurs in the low-energy region at low temperatures. The formation of a CDW gap is a hallmark of second-order phase transition behavior. The so-called case-I coherence factor of the CDW condensate [45] causes continuous spectral weight transfer, producing increasing peaks just above the energy gap in the conductivity. As the temperature decreases further from  $T_{CDW}$ , the suppression features in the reflectivity become more prominent. This is a widely accepted optical characteristic of conventional CDW condensation and possible related structural transition. On the other hand, unlike the continuous second-order behaviors, there could be unpredictable or even entirely different optical reflectivity spectra below and above the transition for pure first-order structural phase transition, as previously observed in IrTe<sub>2</sub> [35], RuP [36], and TaTe<sub>2</sub> [37] CDW systems before. Additionally, the optical reflectivity line shape remains nearly unchanged with temperature variation below  $T_s$ . In the case of ScV<sub>6</sub>Sn<sub>6</sub>, no continuous spectral change was observed upon entering the LT CDW phase. Instead, the spectra below  $T_s$ show only minor differences with decreasing temperature. Based on these results and analysis, we can conclude that the transition is of pure first-order nature.

It should be noted that the measuring reflectivity at  $30\,000 \text{ cm}^{-1}$  is a relatively high value of approximately 0.45. This high-energy side extrapolation in Kramers-Kronig transformation could impact the integration results and conductivity values. However, it is worth mentioning that the low-energy conductivity remains nearly unaffected. In this work we are mainly focused on the spectral characteristics and change below and above the transition, so the exact numerical values of the conductivity peaks do not impact our discussions and conclusions.

To better distinguish and analyze the electronic excitations in optical conductivity data, we employ the classical Drude-Lorentz model to fit the optical conductivity. The model's general formula can be described as

$$\sigma_1(\omega) = \sum_i \frac{\omega_{pi}^2}{4\pi} \frac{\Gamma_{Di}}{\omega^2 + \Gamma_{Di}^2} + \sum_j \frac{S_j^2}{4\pi} \frac{\Gamma_j \omega^2}{\left(\omega_j^2 - \omega^2\right)^2 + \omega^2 \Gamma_j^2},\tag{4}$$

where  $\omega_{pi}$  and  $\Gamma_{Di}$  are the plasma frequency and the scattering rate of each conduction band while  $\omega_i$ ,  $\Gamma_i$ , and  $S_i$ 



FIG. 7. The calculations of the Fermi surface and electron susceptibility of the HT phase for ScV<sub>6</sub>Sn<sub>6</sub>. Fermi surfaces including the hole pockets (a) and electron pockets (b). (c),(d) The normalized imaginary (c) and real (d) parts of the electron susceptibility  $\chi(q)$  on  $q_z = \frac{1}{3}c^*$ . The green arrows denote  $q_c = \frac{1}{3}a^* + \frac{1}{3}b^* + \frac{1}{3}c^*$ , where  $a^*$ ,  $b^*$ ,  $c^*$  are the reciprocal lattice vectors.

represents resonance frequency, the damping, and the mode magnitude of each Lorentz oscillator, respectively. The first term is the Drude component, representing the contributions from the free conduction carriers. The second Lorentz component terms are used to describe the excitations across energy gaps. In our study, we found that only one Drude component was sufficient to approximate the low-frequency conductivity in  $ScV_6Sn_6$ , which was unexpected based on previous findings in kagome metals [26,46–50]. The optical conductivity is well represented by a Drude component (D1) and three Lorentz oscillators (L1, L2, and L3) at 300 K. However, below the transition temperature, the Drude component became substantially narrowed and three additional Lorentz oscillators (L4,  $L_5$ , and  $L_6$ ) are required to fit the data. Figures 4(a) and 4(b) present the experimental data of  $\sigma_1(\omega)$  at 5 and 300 K, respectively, with the black dashed line representing the sum of the Drude-Lorentz fitting. In addition, the Lorentz 3 term stems from the high-energy interband transition, which is stable with all temperature variations. Figure 5 displays the two fitting parameters of the Drude component. With decreasing the temperature, both the plasma frequency  $(\omega_p)$  and the scattering rate ( $\gamma_d$ ) decrease, as shown in Figs. 5(a) and 5(b). Since the square of plasma frequency is related to the carrier densities, we can infer that a portion of the free carriers is likely to be lost after transition, while the sharp drop in the scattering rate helps to explain the improved dc conductivity in the LT phase. To explore the origin of the components in  $\sigma_1(\omega)$ , we also perform the first-principles calculations to obtain the band structures and optical conductivities of ScV<sub>6</sub>Sn<sub>6</sub> as reference. The BZ and band structure with spinorbit coupling (SOC) included in the HT phase are shown in Figs. 6(a) and 6(b). The energy bands near the Fermi level, which host the Dirac node, Van Hove singularities, and flat bands on the  $\Gamma - M - K - \Gamma$  path, are mainly contributed by d orbitals of V atoms occupying the kagome sites. The calculated  $\sigma_1(\omega)$  with only interband contribution is presented in Fig. 6(c) with a red dashed line and has three absorption peaks at 1370, 3710, and 7100 cm<sup>-1</sup> ( $\approx 0.17$ , 0.46, and 0.88 eV). And we mark the corresponding electron transitions with green, yellow, and red arrows in Fig. 6(b), which connect the nearly parallel bands and thus have a large joint density of states. The calculated plasma frequency is obtained as  $\omega_p = 15210 \text{ cm}^{-1}$  ( $\approx 1.886 \text{ eV}$ ) and the scattering rate is  $\gamma = 630 \text{ cm}^{-1}$  ( $\approx 0.078 \text{ eV}$ ) to well fit the experimental spectra with the Drude model. The optical conductivity with Drude contribution included is represented in Fig. 6(c) with black solid lines. The absorption peak at  $1370 \text{ cm}^{-1}$  is hidden by the response of free charge carriers. Figures 6(d) and 6(e)show the BZ and band structure with SOC included in the LT phase. Compared to the interband optical response in the HT phase, interband  $\sigma_1(\omega)$  in the LT phase has a new absorption peak at 730 cm<sup>-1</sup> ( $\approx 0.09$  eV) as shown in Fig. 6(f) with the red dashed line. The corresponding electron transition is represented by blue arrows near the Van Hove singularities in Fig. 6(e). Moreover, the absorption peak at  $3710 \text{ cm}^{-1}$ in the HT phase splits into three peaks at 2900, 4270, and 5160 cm  $^{-1}$  ( $\approx 0.36, 0.53,$  and 0.64 eV) in the LT phase, which are denoted by yellow, pink, and violet arrows in Fig. 6(f), respectively. The optical response with Drude contribution is shown by the black solid line in Fig. 6(f) with the calculated

plasma frequency  $\omega_p = 11930 \text{ cm}^{-1} \ (\approx 1.48 \text{ eV})$  and the fitting scattering rate  $\gamma = 60 \text{ cm}^{-1} \ (\approx 0.008 \text{ eV})$ .

To identify whether the FSN plays a role in the formation of CDW, we calculated the Fermi surfaces and the electron susceptibility of the HT phase. The hole pocket in Fig. 7(a) consists of an open Fermi surface wrapping *A* and *M* inside and an ellipsoid surrounding *K*, while the electron pocket in Fig. 7(b) is a close one centering at *M*. The modulation wave vector of ScV<sub>6</sub>Sn<sub>6</sub> is reported to be  $(\frac{1}{3}, \frac{1}{3}, \frac{1}{3})$  [28]. As the FSN can be reflected by the electron susceptibility  $\chi(q)$  [51], we calculate the real part  $\chi'_0(q)$  defined as

$$\lim_{\omega \to 0} \chi'_0(q) = \sum_k \frac{f(\varepsilon_k) - f(\varepsilon_{k+q})}{\varepsilon_k - \varepsilon_{k+q}}$$
(5)

and the imaginary part  $\chi_0''(q)$ 

$$\lim_{\omega \to 0} \chi_0''(\boldsymbol{q}, \omega) / \omega = \sum_k \delta(\varepsilon_k - \varepsilon_F) \delta(\varepsilon_{k+q} - \varepsilon_F)$$
(6)

on the  $q_z = \frac{1}{3}c^*$  plane as shown in Figs. 7(c) and 7(d), which have been normalized for better illustration. It can be found that the maxima in Figs. 7(c) and 7(d) are both concentrated around  $\Gamma$  and away from the wave vector indicated by the green arrow. Therefore, the CDW in ScV<sub>6</sub>Sn<sub>6</sub> is not likely driven by the FSN.

It is inspiring to compare the optical spectroscopy results on ScV<sub>6</sub>Sn<sub>6</sub> with those of the similar vanadium structural motif kagome metals  $AV_3Sb_5$  (A = K, Rb, Cs). The opening of the CDW gap behavior is clearly observed in AV<sub>3</sub>Sb<sub>5</sub> below  $T_{\text{CDW}}$  in previous optical reflectivity studies [26,46,49,50]. (The CDW gap formation results in a transfer of spectral weight from low to higher energy regions, causing a reduction in the weight of the Drude component.) The importance of saddle-point nesting in driving the CDW instability in CsV<sub>3</sub>Sb<sub>5</sub> has been proposed. Some other experiment techniques have also revealed first-order phase transition features, such as a sudden change in the ultrafast relaxation dynamics [52] and the absence of a CDW amplitude mode [52,53]. Thus, both first- and second-order phase transition related behaviors have been observed in AV<sub>3</sub>Sb<sub>5</sub>. But ScV<sub>6</sub>Sn<sub>6</sub> displays different behaviors, exhibiting only a sudden band change behavior that is closely related to the structural transition. The optical spectra overlap with almost imperceptible differences below  $T_s$ . Therefore, this behavior is attributed to a pure first-order structural transition similar to those observed in BaNi<sub>2</sub>As<sub>2</sub> [54], RuP [36], IrTe<sub>2</sub> [35], and TaTe<sub>2</sub> [37]. Additionally, it has been observed that the ScV<sub>6</sub>Sn<sub>6</sub> compound lacks the electron correlation effect, as the calculated plasma frequency closely matches the measured plasma frequency. In contrast, there is a significant variation in the strength of electron correlation among different members of the AV<sub>3</sub>Sb<sub>5</sub> family [46,49,50]. CsV<sub>3</sub>Sb<sub>5</sub> exhibits weak correlation, while KV<sub>3</sub>Sb<sub>5</sub> displays a considerably strong correlation. Notably, the correlation strength in TbMn<sub>6</sub>Sn<sub>6</sub> is even stronger than that of  $KV_3Sb_5$  [48]. Nonetheless, the factors that determine the correlation strength in these kagome materials are currently unclear and require further exploration.

Optical study can provide critical information about the origin of the structural transition [55–57]. Based on the above experiment and calculation results, it is almost certain that

the conventional FSN is not the driving mechanism for the structural phase transition in  $ScV_6Sn_6$ . It has been calculated to have some exotic saddle points near the Fermi surface in  $ScV_6Sn_6$ . One possible hypothesis is that these saddle points close to the Fermi energy result in a sudden lattice instability and CDW emergence at low temperatures. However, more work is necessary to fully understand the driving nature of the structural phase transition in  $ScV_6Sn_6$  detailedly.

## **IV. SUMMARY**

In conclusion, our study of a single crystal of  $ScV_6Sn_6$  has revealed that it undergoes a first-order phase transition and remains metallic. The optical spectra showed evidence of sudden changes in band structure, which was further confirmed by our calculations. Despite some reduction of free carriers across the transition, the decrease in scattering rates improved the metallic properties of the LT phase. There was no gradual gap opening observed in spectra, indicating the

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phase transition is of the first-order type and is irrelevant to the conventional CDW instability. Comparing with the widely studied motif  $AV_3Sb_5$  (A = K, Rb, Cs) series, the nature of the phase transition seems to be different. Our findings on  $ScV_6Sn_6$  are illuminating for further investigation into the origin of this intriguing phase transition in kagome metals.

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