



Ca₂FeOsO₆/Sr₂FeOsO₆ superlattice: Multiferroicity above room temperature with giant hybrid-improper ferroelectric polarization and high photovoltaic efficiency

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Using first-principles calculations, the structure and electronic properties of the room-temperature ferrimagnetic Ca₂FeOsO₆/Sr₂FeOsO₆ superlattice are investigated. We show that the superlattice hosts hybrid-improper ferroelectricity despite the fact that bulk Sr₂FeOsO₆ realizes an $a^0a^0c^-$ tilting pattern of the O octahedra. The magnitude is comparable to that of conventional ferroelectric materials and is found to increase under both compressive and tensile strain. In contrast to competing superlattices, a ferrimagnetic critical temperature above room temperature is realized. An indirect-to-direct band-gap transition is observed between +1% and +2% strain, coming along with localization of the valence and conduction states on different transition-metal sublattices, which enables efficient electron-hole separation upon photoexcitation. The potential gradient due to the ferroelectric polarization supports the electron-hole separation and a spectroscopic limited maximum efficiency of 27% confirms excellent potential in solar cell applications. The tunable room-temperature ferroelectricity, high critical temperature of the ferrimagnetic ordering with high magnetization, and favorable photoabsorption properties of the Ca₂FeOsO₆/Sr₂FeOsO₆ superlattice open up a broad range of technological applications.

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I. INTRODUCTION

Multiferroic materials [1–5] (coexistence of ferroelectricity and magnetism) are the subject of intensive research for the development of memory devices based on electrical writing and magnetic reading or vice versa. Of key interest are the discovery and design of materials that simultaneously possess high ferroelectric polarization and ferromagnetic [or ferrimagnetic (FiM)] ordering with high magnetization. However, it turns out that these features rarely coexist at room temperature [6–8]. For instance, the famous single-crystalline multiferroic BiFeO₃ possesses a high ferroelectric polarization at room temperature but the magnetization is low [9,10]. The double-perovskite Bi₂NiMnO₆ is ferroelectric up to 485 K but impractical for applications due to its low ferromagnetic critical temperature of 140 K [11]. Both GaFeO₃ [8] and the BiFeO₃/LaFeO₃ superlattice [12] combine room-temperature ferroelectricity with magnetoelectric coupling but suffer from low magnetization.

As the structural and chemical complexities enable flexible tuning of the material properties, ferroelectricity may be induced artificially in double-perovskites. The nonferroelectric double-perovskite oxides Ca₂FeOsO₆ and Sr₂FeOsO₆ are particularly appealing due to their high magnetic critical

temperatures [13,14]. Although Ca₂FeOsO₆ adopts $P2_1/n$ symmetry, Sr₂FeOsO₆ switches between $P2_1/n$ and $I4/m$ symmetries under strain [15]. It is known that (001)-oriented (ABO₃)/(A'BO₃) superlattices can exhibit hybrid-improper ferroelectricity when both ABO₃ and A'BO₃ adopt an $a^-a^-c^+$ tilting pattern of the O octahedra, induced by layer-dependent displacements of the A-site cations (“hybrid”) as a by-product of octahedral rotations (“improper”) [16–21]. The double-perovskite superlattices R₂NiMnO₆/La₂NiMnO₆ (R = Ce to Er) exhibit ferroelectricity and ferromagnetism, however, below room temperature [18]. For R = Ce the critical temperature approaches room temperature, but the ferroelectric polarization and magnetization are low. The highest ferroelectric polarization for R = Er (largest displacements of the Er cations due to the smallest ionic radius) comes along with a ferromagnetic critical temperature of only 165 K. To address these limitations, it is important to identify materials that combine large antipolar displacements of the A-site cations with a magnetic critical temperature above room temperature.

In this paper, we suggest a superlattice of perovskite oxides that provide these properties based on hybrid-improper ferroelectricity. Note that recent methodological developments enable layer-by-layer deposition of perovskite oxide superlattices with high quality [22]. We study the Ca₂FeOsO₆/Sr₂FeOsO₆ superlattice and show that it adopts $P2_1$ symmetry ($a^-a^-c^+$ tilting pattern of the O octahedra) under both compressive and tensile strains despite the fact that Sr₂FeOsO₆ favors $I4/m$ symmetry ($a^0a^0c^-$ tilting pattern of the O octahedra) under compressive strain [15]. We demonstrate hybrid-improper ferroelectric polarization and show that it can be enhanced from 8 $\mu\text{C}/\text{cm}^2$ without strain to 20 $\mu\text{C}/\text{cm}^2$ under +5% strain and to 17 $\mu\text{C}/\text{cm}^2$ under –5%

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strain (BaTiO₃: 27 μC/cm² [23]). The magnetic critical temperature remains above room temperature under +5% strain and increases to 550 K under −5% strain. An indirect-to-direct band-gap transition at +2% strain with the valence and conduction states localized on different transition-metal sublattices enables efficient electron-hole separation and low recombination upon photoexcitation. The potential gradient due to the ferroelectric polarization supports the electron-hole separation.

We perform first-principles calculations by the QUANTUM-ESPRESSO package [24] using the Perdew-Burke-Ernzerhof generalized gradient approximation for the exchange-correlation functional. We account for the correlation effects in the 3*d* and 5*d* transition-metal orbitals by on-site Coulomb interactions [25] of the established literature values (5 eV for Fe and 2 eV for Os [26]). For the parent compounds, this methodology provides agreement with the experimental findings [26,27]. Spin-orbit coupling has no relevant impact on the structural and electronic properties of Ca₂FeOsO₆ [26] and Sr₂FeOsO₆ [27], and, for this reason, is neglected to reduce the computational cost. An energy cutoff of 90 Ry is used for the plane-wave expansion and an energy cutoff of 640 Ry for the augmentation charge. The Brillouin zone is integrated on an 8×8×6 *k* mesh in the structure optimization, on a 14×14×12 *k* mesh for evaluating the electronic properties, and on a 10×40×10 *k* mesh for determining the ferroelectric polarization by the Berry phase approach (in which we choose *P*_{21/n} Ca₂FeOsO₆ as a reference at each strain value [28]). All the *k* meshes are of Monkhorst-Pack type and carefully tested for convergence. The total energy convergence criterion is set to 10^{−8} Ry, and the Hellmann-Feynman force convergence criterion is set to 10^{−5} Ry/Bohr. The optical properties are calculated by the *G*₀*W*₀ approach and the Bethe-Salpeter equation to include excitonic effects, employing the Vienna *ab initio* simulation package [29].

We build Ca₂FeOsO₆/Sr₂FeOsO₆ superlattices with *P*_{21/n} or *I*4/*m* symmetry starting from the *P*_{21/n} or *I*4/*m* bulk structure of Ca₂FeOsO₆ (pseudocubic lattice parameter of 3.91 Å) by replacing one of the two CaO layers in a 20-atom supercell by a SrO layer as shown in Fig. 1(a). We have checked that a layered arrangement of the Ca²⁺ and Sr²⁺ ions is energetically favorable by 19 meV per formula unit. We mimic epitaxial strain ϵ by varying the in-plane lattice parameter as $a(\epsilon) = \sqrt{2}(1 + \epsilon) \times 3.91$ Å. From a practical perspective, this strain can be achieved by the substrates previously used for La-doped Bi₂FeCrO₆ [30] due to the similar lattice parameter. We optimize the length and angle of the *c* lattice vector for each strain value simultaneously with the atomic positions, corresponding to (001) epitaxial growth. This procedure is executed for all the magnetic orderings shown in Fig. 1(b) and for both the *I*4/*m* and the *P*_{21/n} symmetries to capture the lattice effect on the energetics.

II. RESULTS AND DISCUSSION

Figure 2 shows the obtained total energies with respect to the global minimum, indicating that the *P*_{21/n} symmetry with G-FiM ordering is favorable in the considered range of strain (and, therefore, will be studied in the following; see Fig. S1 in the Supplemental Material for the phonon band structure

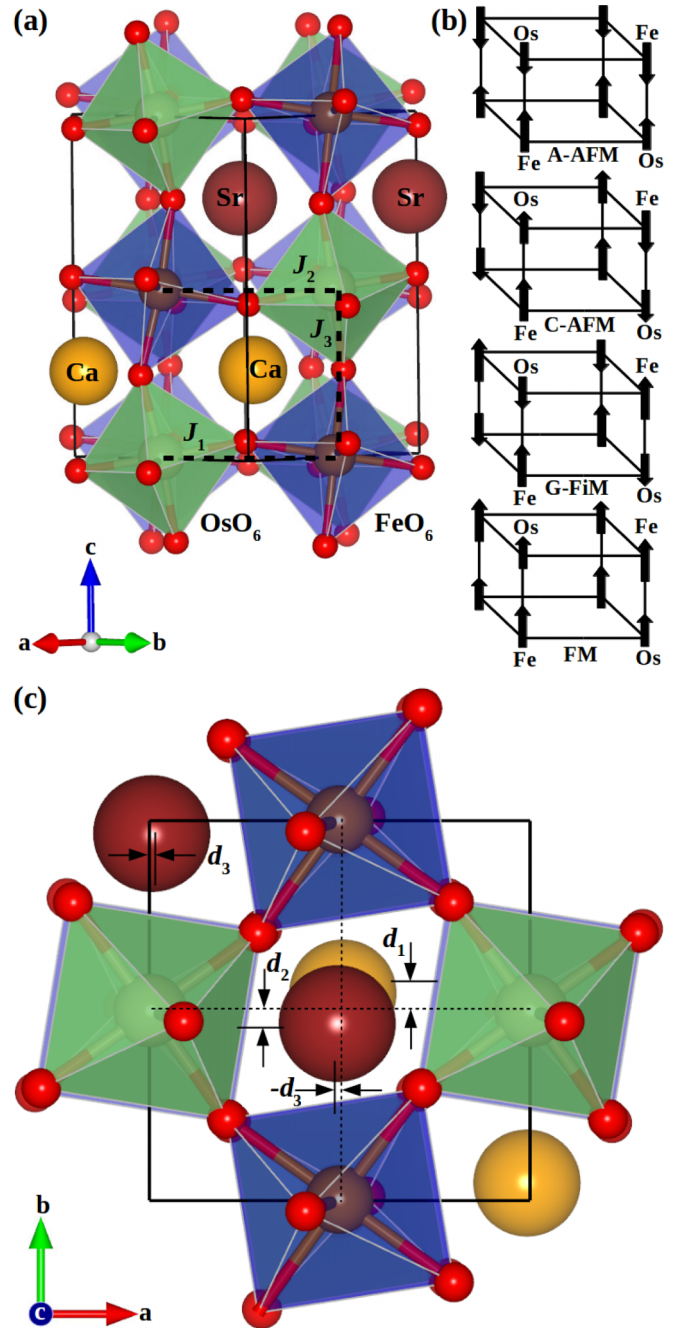


FIG. 1. (a) Ca₂FeOsO₆/Sr₂FeOsO₆ superlattice with exchange paths connecting the Fe and Os atoms, (b) considered magnetic orderings, and (c) antipolar displacements (d_1 : Ca²⁺ along the *b* axis; d_2 : Sr²⁺ along the *b* axis; d_3 : Ca²⁺ along the *a* axis; $-d_3$: Sr²⁺ along the *a* axis).

without strain [31]) despite the fact that Sr₂FeOsO₆ adopts *I*4/*m* symmetry with C-antiferromagnetic (C-AFM) ordering under compressive strain [15] and Ca₂FeOsO₆ undergoes a transition from G-FiM ordering to C-AFM ordering at −5% strain and to E-AFM ordering at +5% strain. Interestingly, we always find an $a^-a^-c^+$ tilting pattern of the O octahedra, resembling Ca₂FeOsO₆, i.e., antiphase tilting of the FeO₆ and OsO₆ octahedra on the *ab* plane and in-phase tilting along the *c* axis, generating antipolar displacements of the Ca²⁺ and

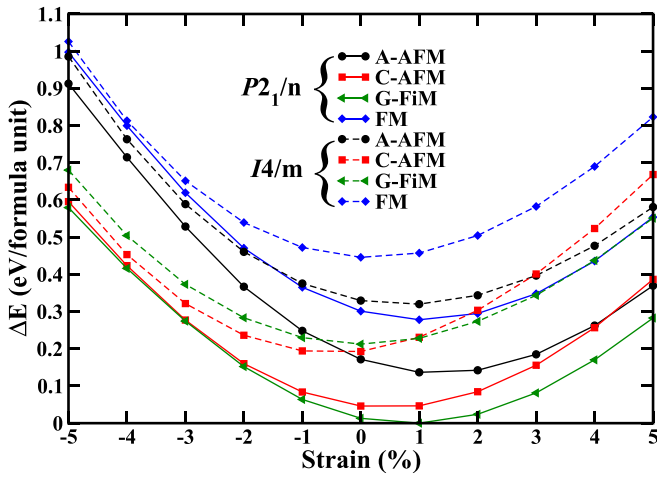


FIG. 2. Relative energies of the magnetic orderings as functions of the strain for the $P2_1/n$ (solid lines) and $I4/m$ (dashed lines) symmetries.

Sr^{2+} ions. It was argued that hybrid-improper ferroelectricity cannot emerge when one of the components of the superlattice

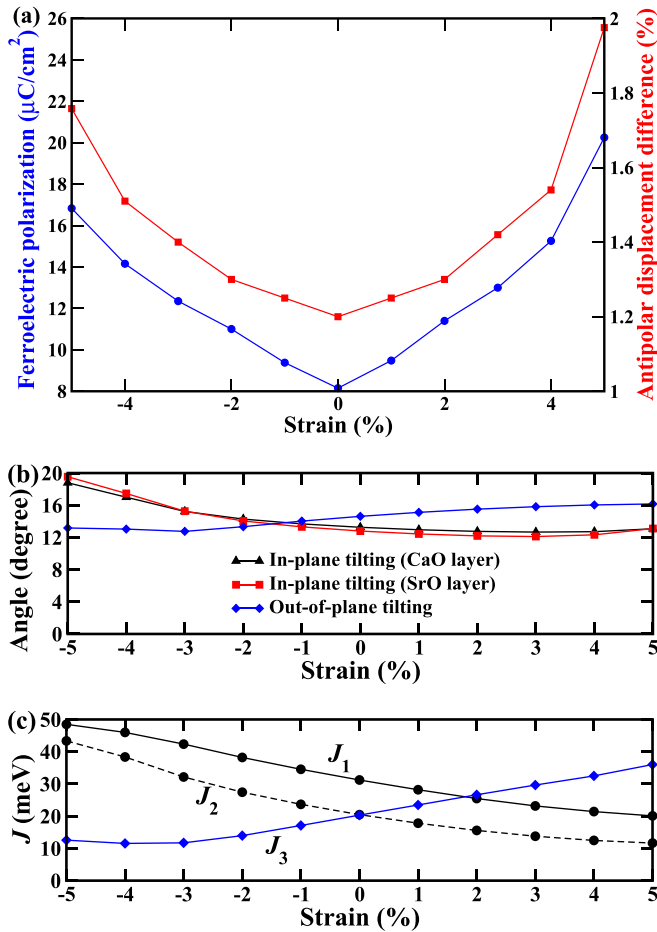


FIG. 3. (a) Ferroelectric polarization and difference between the antipolar displacements of the Sr^{2+} and Ca^{2+} ions (as a percentage of the lattice parameter), (b) tilting angles, and (c) magnetic coupling constants as functions of the strain.

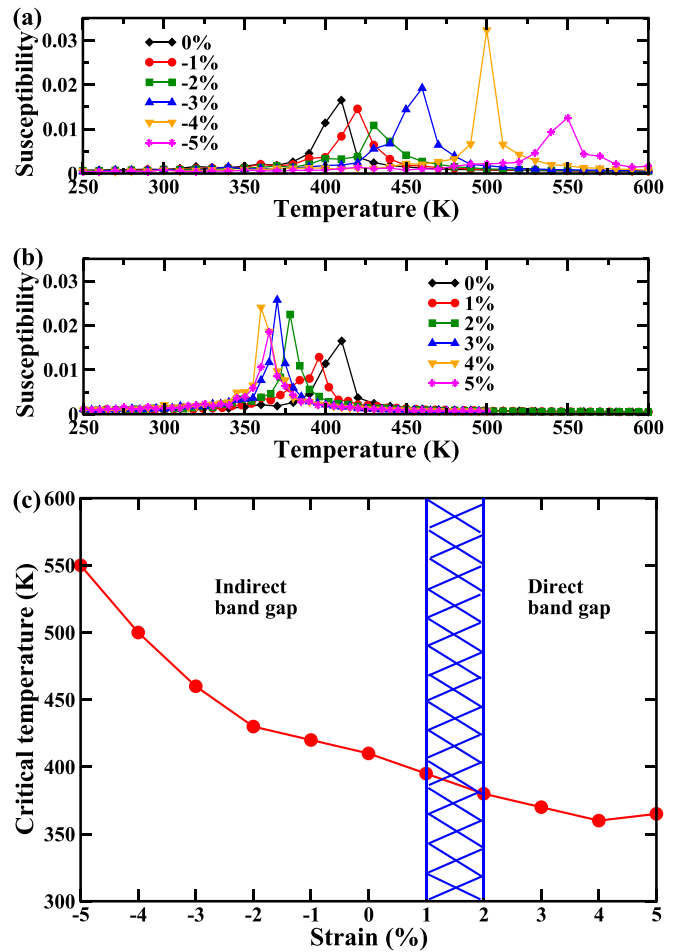


FIG. 4. Susceptibilities as functions of the temperature under (a) compressive and (b) tensile strains. The magnetic critical temperatures obtained from the peak positions are shown in (c) as a function of the epitaxial strain with the region of the indirect-to-direct band gap transition marked.

adopts individually an $a^0a^0c^-$ tilting pattern of the O octahedra [17,18]. As $\text{Sr}_2\text{FeOsO}_6$ individually adopts this pattern, our findings invalidate the conjecture. The fact that the antipolar displacements (along the b axis) have different magnitudes, see Fig. 1, breaks the inversion symmetry and induces a finite ferroelectric polarization along the b axis. The displacements of nearest-neighbor Ca^{2+} ions and of nearest-neighbor Sr^{2+} ions are antipolar along the a axis, see Fig. 1, i.e., they do not result in ferroelectric polarization. Generally speaking, our findings indicate that there may exist also superlattices with hybrid-improper ferroelectricity based on components with other tilting patterns of the O octahedra if the tilting is modified in the superlattice.

We obtain for the ferroelectric polarization without strain a high value of $8 \mu\text{C}/\text{cm}^2$ (see Fig. S2 in the Supplemental Material for the energy barrier to switch the direction [31]). The reason is that the radius of the Sr^{2+} ion is much larger than that of the isovalent Ca^{2+} ion. In contrast to Ref. [18], we find that the magnitude of the ferroelectric polarization is tuned significantly by strain, see Fig. 3(a). Interestingly, it increases both under compressive and tensile strains, reaching

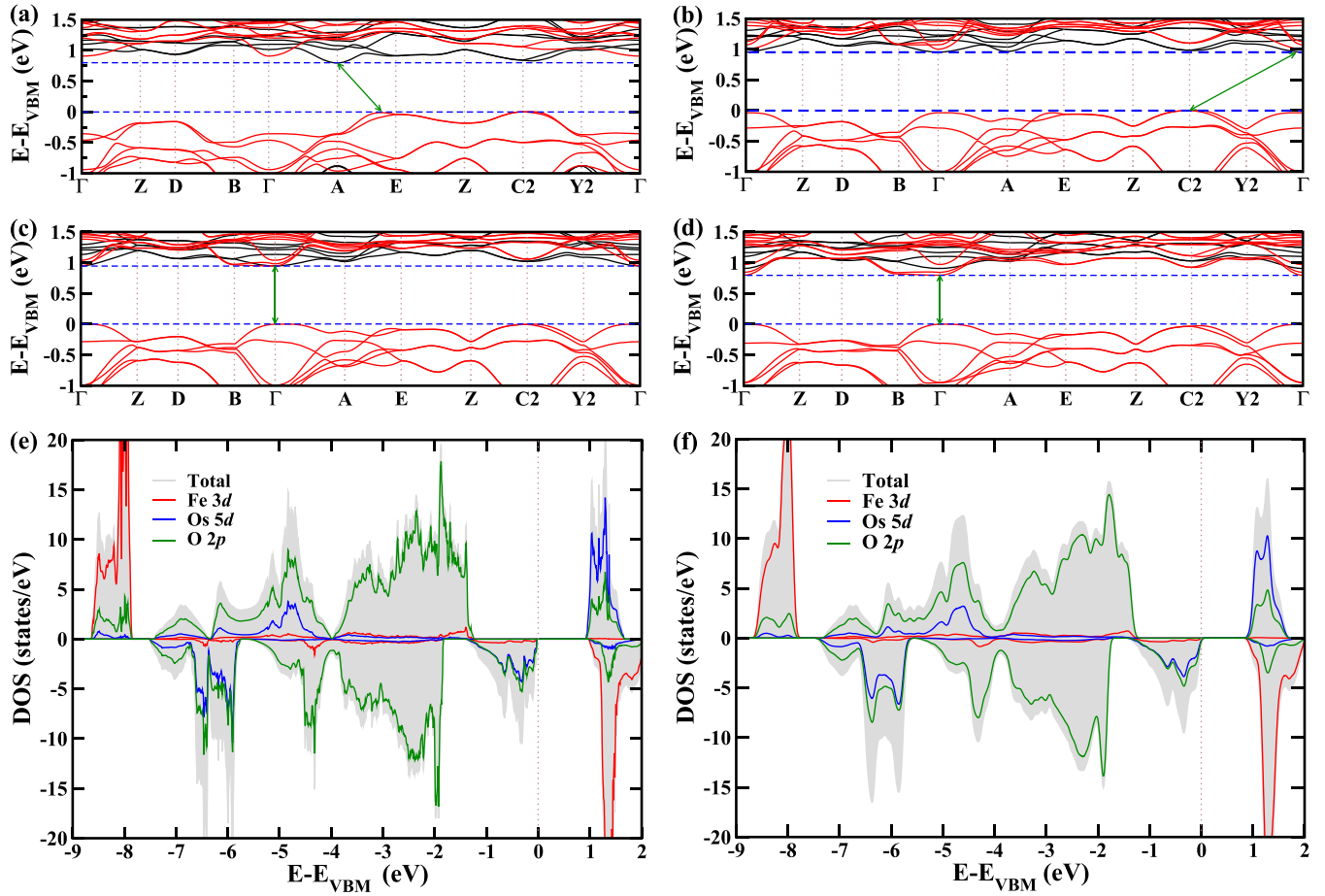


FIG. 5. Electronic band structures at (a) -5% (indirect band-gap), (b) 0% (indirect band-gap), (c) $+2\%$ (direct band-gap), and (d) $+5\%$ (direct band-gap) strain. The dashed lines mark the conduction-band minimum and valence-band maximum. Black and red colors represent the spin-up and spin-down channels, respectively. Densities of states at (e) 0% and (f) $+2\%$ strain.

$20 \mu\text{C}/\text{cm}^2$ at $+5\%$ strain and $17 \mu\text{C}/\text{cm}^2$ at -5% strain. These values are much larger than predicted for the $R_2\text{NiMnO}_6/\text{La}_2\text{NiMnO}_6$ ($R = \text{Ce to Er}$) [18] and $\text{BiFeO}_3/\text{LaFeO}_3$ [12] superlattices, being comparable to those of conventional ferroelectric materials, such as BaTiO_3 . To explain the increase in the ferroelectric polarization under strain, we study the difference between the antipolar displacements of the Ca^{2+} and Sr^{2+} ions [$d_2 - d_1$; see Fig. 1(c)]. Figure 3(a) shows that it increases under both compressive and tensile strains similar to the ferroelectric polarization. Therefore, the different responses of the Ca^{2+} and Sr^{2+} ions to the strain explain the observed trend. According to Fig. 3(b), the antipolar displacements are enhanced under compressive and tensile strains due to enhanced in-plane and out-of-plane octahedral tiltings, respectively. We find no coupling between the ferroelectricity and the magnetism.

Since the parent compounds realize octahedral tiltings at room temperature [13,14,32], the $\text{Ca}_2\text{FeOsO}_6/\text{Sr}_2\text{FeOsO}_6$ superlattice will be ferroelectric at room temperature. To become a multiferroic material, however, it also has to exhibit magnetization. We find for the G-FiM ordering a magnetization of $2\mu_B$ per formula unit. To determine and understand the evolution of the magnetic critical temperature under strain, we next extract the magnetic coupling constants using a

Heisenberg spin Hamiltonian $H = \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$, where J_{ij} is the coupling constant between sites i and j , and \vec{S}_i and \vec{S}_j are the spin vectors. We consider the in-plane nearest-neighbor couplings (J_1 and J_2) and the out-of-plane nearest-neighbor coupling (J_3), see Fig. 1(a). When we assume that the spin vectors are collinear with $|\vec{S}_i| = 1$ as the real magnitude later will be taken into account by Monte Carlo simulations, we can compute the magnetic coupling constants by solving the coupled equations $E_1 = E_0 + 4J_1 + 4J_2 - 4J_3$ (A-AFM), $E_2 = E_0 - 4J_1 - 4J_2 + 4J_3$ (C-AFM), $E_3 = E_0 - 4J_1 - 4J_2 - 4J_3$ (G-FiM), $E_4 = E_0 + 4J_1 + 4J_2 + 4J_3$ (FM), $E_5 = E_0 - 4J_1 + 4J_2$ (G-FiM with one Os spin flipped), and $E_6 = E_0 + 4J_1 - 4J_2$ (G-FiM with one Fe spin flipped), where E_0 is the lattice energy and $E_1 - E_6$ are obtained as total energies from the first-principles calculations. According to Fig. 3(c), both nearest-neighbor coupling constants are always positive. This is due to the fact that the magnetic moments of the Fe and Os ions interact by AFM superexchange in both the in-plane (Fe-O-Os angles: $147^\circ - 153^\circ$) and out-of-plane (Fe-O-Os angles: $142^\circ - 153^\circ$) directions as per the Goodenough-Kanamori rules [33–36] and in agreement with our finding that the G-FiM ordering is energetically favorable. Note that the J_3 curve in Fig. 3(c) resembles the behavior of the out-of-plane tilting angle in Fig. 3(b).

We employ Monte Carlo simulations with Gaussian moves [37] for a $12 \times 12 \times 12$ spin lattice, using 100 000 sweeps for the thermalization and 80 000 additional sweeps for the data generation. Without strain, we obtain a magnetic critical temperature of 420 K, which is significantly higher than the 350 K predicted for Ca₂FeOsO₆ and the 160 K predicted for Sr₂FeOsO₆. In Fig. 4, we show the magnetic critical temperature as a function of the strain. Interestingly, the values remain above room temperature, in contrast to findings for the R₂NiMnO₆/La₂NiMnO₆ ($R = \text{Ce to Er}$) superlattices [18]. They increase (decrease) under compressive (tensile) strain due to the increasing (decreasing) J_1 and J_2 despite the opposite trend of J_3 , compare Fig. 3(c). Therefore, strain turns out to be a viable tool for tuning the magnetic critical temperature, letting the Ca₂FeOsO₆/Sr₂FeOsO₆ superlattice emerge as a room-temperature multiferroic material with immediate technological relevance.

Electronic band structures and densities of states at different strains are shown in Fig. 5. We observe a semiconducting state with an indirect-to-direct band-gap transition between +1% and +2% strains. The Fe and Os atoms adopt $3+$ ($t_{2g}^3 e_g^2$) and $5+$ ($t_{2g}^3 e_g^0$) states, respectively, in a high-spin configuration with the spins aligned antiparallel in both the in-plane and out-of-plane directions, which explains the magnetization of $2\mu_B$ per formula unit. In the spin-down channel (which forms the direct band-gap) the valence-band edge is dominated by hybridized O $2p$ and Os $5d$ states, whereas the conduction-band edge is almost entirely due to Fe- $3d$ states (see Fig. S3 in the Supplemental Material for the spatial distribution of the charge density [31]). As a consequence, similar to Bi₂FeCrO₆ [38], photoexcitation will lead to spatial electron-hole separation between the Fe and the Os sublattices, implying low recombination (as desired for photovoltaics) due to vanishing transition matrix elements. The ferroelectric polarization further reduces the recombination and, thus, supports the extraction of the photogenerated carriers [39,40].

The photoabsorption spectrum

$$\alpha(\omega) = \frac{\sqrt{2}\omega}{c} \sqrt{\sqrt{[\text{Re } \epsilon(\omega)]^2 + [\text{Im } \epsilon(\omega)]^2} - \text{Re } \epsilon(\omega)},$$

where ω is the frequency and c is the speed of light, is determined taking into account excitonic effects through the Bethe-Salpeter equation. Comparing results at 0% (indirect band-gap) and +2% (direct band-gap) strains, Figure 6(a) shows that the low-energy absorption peak, which falls into the visible range, shifts slightly to the red under tensile strain. To predict the power conversion efficiency, we calculate the spectroscopic limited maximum efficiency, which depends on $\alpha(\omega)$, the nature of the band-gap, and the absorber thickness. The results are shown in Fig. 6(b) as a function of the absorber thickness. Interestingly, we find very high values of 25% and 27% (outperforming hybrid perovskites [41]) at 0% and +2% strain, respectively, confirming the excellent potential of the Ca₂FeOsO₆/Sr₂FeOsO₆ superlattice in solar energy harvesting.

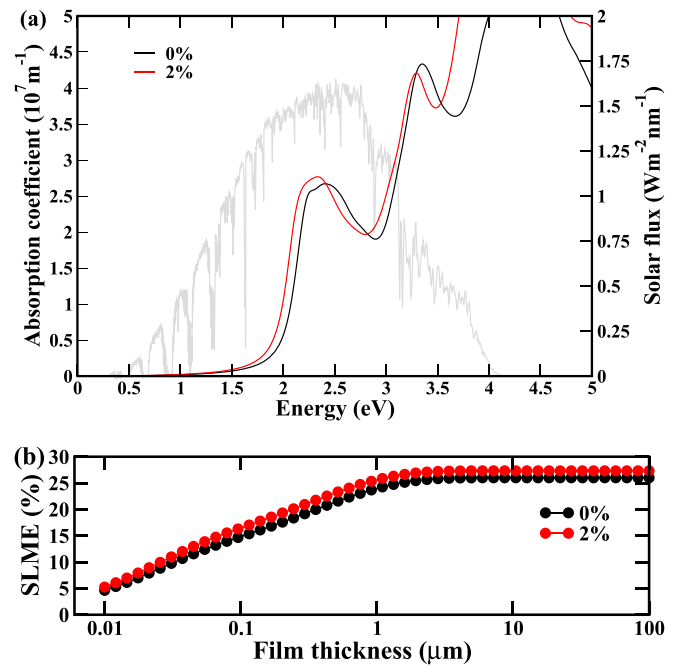


FIG. 6. (a) Photoabsorption spectrum (the gray curve is the AM 1.5G solar flux) and (b) spectroscopic limited maximum efficiency as function of the absorber thickness.

In conclusion, we predict a room-temperature multiferroic superlattice composed of the double-perovskite oxides Ca₂FeOsO₆ and Sr₂FeOsO₆. The superlattice exhibits ferroelectric polarization even though Sr₂FeOsO₆ individually adopts an $a^0 a^0 c^-$ tilting pattern of the O octahedra. We find antipolar displacements of the A-site cations in excess of those reported for the R₂NiMnO₆/La₂NiMnO₆ ($R = \text{Ce to Er}$) superlattices, which is due to a large difference in the radii of the Ca²⁺ and Sr²⁺ ions. The resulting hybrid-improper ferroelectric polarization increases both under compressive and tensile strains, reaching a magnitude comparable to that of conventional ferroelectric materials. Our calculations reveal that the ferroelectric polarization and magnetic critical temperature can be viably tuned by strain. The FiM ordering (finite magnetization) with high critical temperature is promising for room-temperature spintronics and data storage. In addition, the creation of a direct band-gap under tensile strain in combination with electron-hole separation between the Fe and Os sublattices opens up potential in photovoltaics. The ferroelectric polarization is beneficial for the extraction of the photogenerated carriers by supporting the electron-hole separation.

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