# Optical, electronic, and structural properties of ScAlMgO<sub>4</sub>

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Magnesium aluminate scandium oxide (ScAlMgO<sub>4</sub>) is a promising lattice-matched substrate material for GaN- and ZnO-based optoelectronic devices. Yet, despite its clear advantages over substrates commonly used in heteroepitaxial growth, several fundamental properties of ScAlMgO<sub>4</sub> remain unsettled. Here, we provide a comprehensive picture of its optical, electronic, and structural properties by studying ScAlMgO<sub>4</sub> single crystals grown by the Czochralski method. We use variable-angle spectroscopic ellipsometry to determine complex inplane and out-of-plane refractive indices in the range from 193 to 1690 nm. An oscillator-based model provides a phenomenological description of the ellipsometric spectra with excellent agreement over the entire range of wavelengths. For convenience, we supply the reader also with Cauchy formulas describing the real part of the anisotropic refractive index for wavelengths above 400 nm. Ab initio many-body perturbation theory modeling provides information about the electronic structure of ScAlMgO<sub>4</sub>, and successfully validated experimentally obtained refractive-index values. Simulations also show exciton binding energy as large as a few hundred of meV, indicating ScAlMgO<sub>4</sub> as a promising material for implementation in low-threshold, deep-UV lasing devices operating at room temperature. X-ray diffraction measurements confirm lattice constants of ScAlMgO<sub>4</sub> previously reported, but in addition, reveal that dominant crystallographic planes (001) are mutually inclined by about  $0.009^{\circ}$ . In view of our work, ScAlMgO<sub>4</sub> is a highly transparent, low refractive index, birefringent material similar to a sapphire, but with a much more favorable lattice constant and simpler processing.

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## I. INTRODUCTION

In recent years magnesium aluminate scandium oxide (ScAlMgO<sub>4</sub> or SCAM) has been suggested as an optimal substrate for the epitaxial growth of GaN- and ZnO-based materials [1-5]. This is due to the advantages of SCAM over other materials typically used for that purpose. First, SCAM's lattice mismatch between SCAM and GaN, and between SCAM and ZnO is much smaller than that between SCAM and sapphire, widely used in current semiconductor optoelectronic technology as a substrate material [6]. Therefore, the growth on the SCAM substrate reduces the dislocation density, which improves the electrical and optical properties of a device. Second, SCAM has a closely matched thermal expansion coefficient along the a axis [1,6] with that of GaN and ZnO, enabling a decrease of the residual strain in GaN or ZnO epitaxial layers. Third, SCAM is easily cleaved along the c plane. This, in turn, allows atomically flat c-plane substrates to be obtained without polishing [7,8], leading to a reduction of device production costs. Fourth, ScAlMgO<sub>4</sub> can be grown in the form of large crystals. In particular, using the Czochralski method, wafers of more than 2 inches in diameter can be obtained [7], further reducing the substrate

cost. Finally, SCAM is physicochemical stable [8] and has high optical transparency in the visible spectral range, which is essential for many real-world applications. These attributes make SCAM a good candidate for usage in semiconductorbased electronic devices.

ScAlMgO<sub>4</sub> possesses a crystallographic structure of YbFe<sub>2</sub>O<sub>4</sub> type (space group  $R\bar{3}m$ ) [5]. Its structure exhibits a crystalline anisotropy because it consists of an alternating stack of wurtzite (Mg, Al) $O_x(0001)$  and rocksalt ScO<sub>v</sub>(111) layers [3]. The experimentally established lattice parameters are  $a = b = 3.245 \pm 0.005$  Å and  $c = 25.14 \pm 0.04$  Å (see Supplemental Material (SM), Table S1 [9] and references therein [1,3,5,9,10,11]), which ensures a lattice mismatch between ScAlMgO<sub>4</sub> and GaN as low as 1.7%, and only 0.15% between SCAM and ZnO. The first attempt to use SCAM as a substrate was made by Hellman [1] et al., who successfully exploited the nitrogen-plasma molecular-beam epitaxy (MBE) to grow the GaN layer on ScAlMgO<sub>4</sub>. Later, it was demonstrated that nitride layers on the SCAM substrates could also be grown by metalorganic vapor-phase epitaxy [7]. Recently, a halide vapor-phase epitaxy growth of a 320- $\mu$ m-thick GaN layer [12], with threading dislocation density as low as  $2.4 \times 10^7$  cm<sup>-2</sup>, and fabrication of InGaN-based visible light-emitting diodes on the ScAlMgO<sub>4</sub> substrate were accomplished [2]. In parallel, reports on the successful growth of the ZnO layers on ScAlMgO<sub>4</sub> substrates

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have started to appear [13,14]. In particular, Ohtomo *et al.* employed the laser MBE technique [3], reaching an extremely smooth surface with atomically flat terraces and roughness at the level of 0.26 nm. The same group reported optically pumped laser emission from  $\text{ZnO}/\text{Zn}_{1-x}\text{Mg}_x\text{O}$  superlattice [15] on the SCAM substrate with a very low threshold power density of 11 kW/cm<sup>2</sup>, comparable with the lasing thresholds in structures where the light-matter interaction is increased thanks to the incorporation of the semiconductor quantum wells to an optical microcavity [16,17].

Successful exploitation of ScAlMgO<sub>4</sub> in photonic applications requires precise knowledge of its optical, electronic, and structural properties. Only then it is possible to design and optimize the performance of the optical systems built on the SCAM substrates. In this work, we employ optical and x-ray diffraction (XRD) spectroscopy, as well as perform theoretical calculations to establish the properties of SCAM. Variableangle spectroscopic ellipsometry has enabled us to determine the complex dielectric permittivity of ScAlMgO<sub>4</sub> singlecrystal samples. We establish the refractive-index value of this material, reveal that it exhibits optical anisotropy (birefringence), and estimate the optical losses. The density-functional theory (DFT) calculations performed in the frame of *ab ini*tio many-body perturbation theory (ab initio MBPT) provide electronic structure and a dielectric function of ScAlMgO<sub>4</sub> consistent with the results of the ellipsometric experiment. The theoretical calculations point towards a high, sub-eV exciton binding energy in this material. The XRD measurements bring lattice constants of ScAlMgO<sub>4</sub> consistent with previous reports, but in addition, they indicate that dominant crystallographic planes (001) are mutually inclined by about 0.009°. We complement our work with characterization by means of scanning electron microscopy and Raman spectroscopy to bring a comprehensive description of the ScAlMgO<sub>4</sub> properties.

## **II. SAMPLES AND METHODS**

## A. Sample fabrication

ScAlMgO<sub>4</sub> single crystals, used in our studies, are grown by the Czochralski method using the Oxypuller system produced by Cyberstar. The heating system is based on a Hüttinger generator. The growth processes are carried out in the iridium crucible with a diameter of 50 mm and a height of 50 mm, embedded in a Zircar zirconia grog. Pure nitrogen is used as a protective atmosphere. The ScAlMgO<sub>4</sub> crystals are grown by chemical reaction with stoichiometric amounts of 4.5N magnesium oxide (MgO), aluminum oxide (Al<sub>2</sub>O<sub>3</sub>), and scandium oxide ( $Sc_2O_3$ ), with a pulling rate of 1.2–2 mm/h and rotation rate from 6 to 20 rpm. With the described approach, good optical quality single crystals up to 50 mm in length and 20 mm in diameter are obtained [see Fig. 1(a)]. The layered nature of the crystals is confirmed by scanning electron microscopy (SEM), as shown in Fig. 1(b). The stoichiometric properties of the very same crystals have been measured and reported previously in the work of Wierzbicka et al. [18], where energy-dispersive x-ray spectroscopy was performed to detect possible composition heterogeneity. Microanalysis revealed no other elements except those included in ScAlMgO<sub>4</sub> (Fig. 15 in the mentioned reference). Distribu-



FIG. 1. (a) Photograph of a ScAlMgO<sub>4</sub> single crystal grown by the Czochralski method (the scale is in centimeters). (b) Image of the step-terrace structure of the cleaved sample taken using scanning electron microscopy. The height of the steps varies between 10 and 300 nm.

tion maps made in different areas  $(40 \,\mu\text{m} \times 60 \,\mu\text{m})$  showed no spatial changes in chemical composition in the tested crystals.

#### **B. X-ray measurements**

The x-ray measurements are performed using a Philips X'Pert MRD high-resolution x-ray diffractometer, working with copper radiation (Cu<sub>K $\alpha$ 1</sub>,  $\lambda = 1.5406$  Å) and filtered by a 4xGe(220) asymmetric monochromator. Both the doubleand triple-crystal modes of the device are employed to collect the curves. In the double mode, we performed so-called rocking-curve (RC) scans. In such a scan, the detector does not move, and it is fully exposed, collecting intensity as a function of the  $\omega$  being the angle of the x-ray beam incidence on the crystal surface. The setup works in the triple-crystal mode (TA), where the beam reflected by the sample passes through the analyzer crystal (Ge[220] -3-bounce channel cut) before reaching the detector. This diffractometer geometry allows us to separate the effects resulting from the so-called mosaicity (flatness defects caused by the plane bending or linear defects) or the presence of grains, from the dispersion of interplanar distances. The flatness is tested by collecting the intensity curves, respectively, as a function of the incidence angle  $\omega_{(TA)}$  ( $\omega$  in the triple-crystal mode). The interplanar spread is examined by detecting the intensity curves as a function of interconnected detector motion  $(2\theta \text{ angle})$  with a change in the  $\omega$ . In the latter case, the angle of the detector changes 2 times faster than the  $\omega$  angle.

## C. Raman spectroscopy

Raman spectra are recorded in the backscattering geometry with the **k** vector parallel to the crystal's *c* axis (*z* direction). The signal is excited at 532 nm with a power of 5 mW per 2- $\mu$ m diameter spot. Measurements are performed at ambient conditions (295 K) or after placing the sample in a pumped helium cryostat at 1.5 K. A Peltier-cooled charge-coupled device camera mounted on the output of a 500-mm-long grating spectrometer (1200 gr/mm) serves as a detector. The spectral resolution of the setup is better than 1 cm<sup>-1</sup>.

#### D. Spectroscopic ellipsometry

The optical properties of SCAM are investigated using variable-angle spectroscopic ellipsometry (SE). The technique relies on the measurement of a change of the polarization state of the light reflected from the surface of the sample after incidence from a non-normal direction. In contrast to reflectometry, SE is insensitive to fluctuations in light intensity and, in general, allows the complex refractive index of the material, birefringence, roughness, and thickness of the sample to be determined. Standard ellipsometry, which measures the pair of ellipsometric parameters, namely  $\psi(\omega)$ and  $\Delta(\omega)$ , is typically used only for isotropic samples because the cross polarization between the *p*- and *s* orientations is ignored. The optically anisotropic samples exhibit crosspolarization effects, and the analysis requires a more advanced technique, called generalized ellipsometry in this case [19]. However, the optical properties of the samples that depolarize the light still cannot be quantified [19,20]. Finally, the most general Mueller matrix (MM) ellipsometry is able to describe both the cross-polarization and depolarization effects. This makes the MM ellipsometry a versatile technique for optical characterization of any sample type [21,22]. We implement this technique in the present work.

Our investigations are conducted with an RC2 ellipsometer (manufactured by J. A. Woollam Co.) equipped with dualrotating compensators placed before and after the sample. All data are collected using a collimated beam with a diameter of 3-4 mm. For our studies, we prepared 3 c-plane SCAM lamellas by cleaving the boule with a razor blade. We limit our research to samples with *c*-plane orientation because, due to the layered nature of the material, mechanical polishing would introduce surface defects in the case of other cuts. To increase the sensitivity of our measurement to the anisotropy, samples with the thickness of 0.273 mm (No. 1) and 0.564 mm (No. 2) are left as cleaved, i.e., with both interfaces smooth. The third sample with a thickness of 0.65 mm (No. 3) is mechanically roughened on one side, limiting the responsiveness of the SE measurements to ordinary refractive-index values. To obtain complete information about the optical properties in different directions, we measure 16 MM elements in the reflection (samples No. 1, No. 2, and No. 3) and the transmission (samples No. 1 and No. 2) over a wide spectral range from 193 to 1690 nm. The MM data are acquired in a transmission mode for a wide angle of incidence ranging from  $-5^{\circ}$  to  $50^{\circ}$  by  $5^{\circ}$  and in the reflection-mode MM for an angle of incidence from 55° to 75° by 5°. For brevity, only a few angles are displayed throughout the figures in this work. Additionally, the spectroscopic ellipsometry data are supplemented with the transmission-intensity data gathered from  $0^{\circ}$  to  $40^{\circ}$  by 10° using an RC2 ellipsometer for the double-side smooth samples. Such a combination reduces the possible correlation between the sample thickness and optical constants, leading to a unique solution. All further data analysis is performed using COMPLETEEASE software [23]. Additional information regarding the ellipsometric measurements and data analysis procedures are available in SM [9].

## E. Theoretical approach

Prior to simulations of the optical properties, we perform the density-functional theory [24] calculations using the plane-wave QUANTUM ESPRESSO package [25]. The atomic nuclei and core electrons are treated with the pseudopotentials of the norm-conserving Martin-Troulier type. The Perdew-



FIG. 2. Atomic structure of SCAM: (a) top view, (b) side view, (c) elementary cell. Color code for atoms is Sc-blue, Al-orange, Mg-green, O-red.

Burke-Ernzerhof parametrization of the exchange-correlation functional [26] is used with the plane-wave energy cutoff of 60 Ry. The Brillouin zone (BZ) is sampled with the  $4 \times 4 \times 1$ Monkhorst-Pack mesh [27] in the self-consistent DFT calculations and a dense,  $12 \times 12 \times 2 k$  points, sampling for the density of states. The greater density of the BZ sampling along the *a* axis or *b* axis than along the *c* axis is due to a highly elongated elementary cell of SCAM, as obtained from the XRD. This elementary cell contains 84 atoms, and it is presented in Fig. 2.

Furthermore, the *ab initio* MBPT approach, implemented in the YAMBO code [28,29], is applied for calculations of the macroscopic inverse dielectric function defined as

$$\varepsilon_M(\omega) \equiv \lim_{\mathbf{q} \to 0} \frac{1}{[\varepsilon(\mathbf{q}, \omega)^{-1}]_{\mathbf{G}=0, \mathbf{G}'=0}}.$$
 (1)

The quantity  $\varepsilon_{\mathbf{GG}'}^{-1}(\mathbf{q}, \omega)$  is a matrix in the reciprocal lattice vectors **G** and **G**':

$$\varepsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q},\omega) = \delta_{\mathbf{G}\mathbf{G}'} + \nu(\mathbf{q}+\mathbf{G})\chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q},\omega), \qquad (2)$$

where  $\nu(\mathbf{q} + \mathbf{G})$  is the bare Coulomb potential. The reciprocal lattice vectors G and G' are set to zero after the matrix inversion. After the matrix inversion, we take only the first matrix element, which is a function of the light frequency ( $\omega$ ) and light polarization  $\mathbf{q}$ . The quantity  $\chi_{\mathbf{GG'}}(\mathbf{q}, \omega)$  is the linear response function (LRF), calculated in the random-phase approximation (RPA) with the local fields effect as defined in SM [9]. Equations (S1)–(S4) explain how the LRF involves the eigenvalues and eigenvectors, i.e., Bloch functions, obtained from the DFT. The plane-wave energy cutoff of 6 Ry and summation over 384 bands (with 192 being occupied) is enough to converge the LRF.

The real  $\varepsilon_1$  and imaginary  $\varepsilon_2$  parts of the macroscopic dielectric function  $\varepsilon_M$  are embedded in the Kramers-Kronig relations for the refraction (*n*) and extinction ( $\kappa$ ) coefficients, defined as follows:

$$n = \frac{1}{\sqrt{2}}\sqrt{\varepsilon_1 + \sqrt{\varepsilon_1^2 + \varepsilon_2^2}},\tag{3}$$

$$\kappa = \frac{1}{\sqrt{2}} \sqrt{\varepsilon_1^2 + \varepsilon_2^2} - \varepsilon_1. \tag{4}$$

Both the normal and extraordinary components are obtained using the  $\varepsilon_M$  calculated with the corresponding polarization of the electric field, which is the **q** vector in Eqs. (1) and (2).

In addition, the light-absorption spectrum is calculated from the Bethe-Salpeter equation [30] (BSE), taking into account the effect of the electron-hole (*e*-*h*) interactions. The general form of the BSE and its simplification for semiconductors at zero temperature, as well as the BSE dependence on the DFT eigenvectors and eigenvalues, are described in detail in the SM. The absorption spectrum is defined via the eigenvalues  $\lambda$  and eigenvectors  $A_{n'n\mathbf{k}}^{\lambda} = \langle n'n\mathbf{k} | \lambda \rangle$  of the Hamiltonian described in the SM, Eq. (S12), as follows:

$$\varepsilon_{M}(\omega) \equiv 1 - \lim_{\mathbf{q} \to 0} \frac{8\pi}{|\mathbf{q}|^{2}\Omega N_{\mathbf{q}}} \sum_{nn'\mathbf{k}} \sum_{mm'\mathbf{k}'} \rho_{n'n\mathbf{k}}^{*}(\mathbf{q}, \mathbf{G}) \rho_{m'm\mathbf{k}'}(\mathbf{q}, \mathbf{G}')$$
$$\times \sum_{\lambda} \frac{A_{n'n\mathbf{k}}^{\lambda} (A_{m'm\mathbf{k}'}^{\lambda})^{*}}{\omega - E_{\lambda}}.$$
(5)

The screening matrix elements  $\rho(q, G)$  are defined by Eq. (S3),  $N_q$  is the number of q points in the summation over BZ, and  $\Omega$  is the volume of the elementary cell. The indexes n, n', m, and m' number the bands, and **k**-grid samples of these electronic states in BZ.

Finally, the excitonic binding energy  $(E_b)$  is defined via the difference between the band-gap value obtained with the GW (where G and W stand for the one-body Green's function and the screened-Coulomb potential) method  $(E_g^{GW})$  and the band-gap value obtained from the low-energy edge of the absorption spectrum calculated with the BSE  $(E_g^{BSE})$ , as follows:

$$E_b = E_g^{\rm GW} - E_g^{\rm BSE}.$$
 (6)

The GW calculations [31] are performed with the plasmonpole approximation [32]. The DFT Bloch functions, used as an input, are calculated on the  $4 \times 4 \times 1$  grid in BZ.

The plane-wave energy cutoffs for the Coulomb and exchange interactions are set, respectively, to 30 and 6 Ry, and the response block size is set to 6 Ry. Around 600 bands are included in the response, as well as the GW summations. The BSE is solved using a dense  $8 \times 8 \times 1$  Monkhorst-Pack mesh to sample the BZ, in order to obtain a convergent absorption spectrum within the Haydock solution scheme. For BSE, 384 bands are summed in the linear response, while 192 bands are occupied. The plane waves up to the energies of 10 Ry for the exchange components and 4 Ry for both the screening and response block size are used. The *e*-*h* pairs are formed on the 10 occupied and 12 unoccupied bands.

### **III. RESULTS AND DISCUSSION**

#### A. Structural properties

The study of the optical properties of a crystal requires reference to its crystallographic quality and quantitative characteristics such as lattice parameters. For this reason, we start with the characterization of the studied layers by x-ray diffraction.

The surface of the tested crystal is parallel to the (001) planes. With the PIXcell semiconductor detector operating in the scanning mode and double-crystal detector configuration,

we measure a  $2\theta/\omega$  scan coupled to the surface in a wide  $2\theta$  angular range (from  $10^{\circ}$  to  $110^{\circ}$ ). We find that only peaks from nine successive rows of reflections for the (001) plane and nothing else are present in the spectra. This indicates that our material is phase homogeneous. For crystals grown by the Czochralski method, a detailed analysis of the defects investigated by the topography method was done previously by Wierzbicka *et al.* [18].

The shape of the RC curve, especially the full width at half maximum (FWHM), generally informs whether the tested crystal is of good quality. The curve should have one maximum and the FWHM as close as possible to that of an ideal material, e.g., Si, measured with the implemented diffractometer. The crystallographic perfection is tested by means of a 0 0 18 reflection. The RC and  $\omega_{(TA)}$  curves, shown in Fig. 3(a), are collected with a narrow vertical slit  $(1/32^{\circ})$  and a horizontal 2-mm mask in the x-ray incident path. They show that within the very small illuminated sample area  $(0.3 \text{ mm} \times 3 \text{ mm})$ , the investigated crystal is almost perfect. The FWHM of the RC and  $\omega_{(TA)}$  is 0.012° and 0.006°, respectively. We point out that due to the implemented optics forming the x-ray beam, the maxima in Fig. 3(a) have the FWHM only slightly higher than that of the 004 reflection of the ideal silicon (0.007°). However, the  $\omega_{(TA)}$  curve, which is very sensitive to any deviations from perfection, shows slight inflections, such as additional weak maxima. This indicates that some of the dominant planes (001) are inclined with respect to the other (001) planes, by about 0.009° on average.

We calculate the unit-cell parameters for SCAM based on the measurements of  $2\theta/\omega$  scans in TA mode of the diffractometer. We start from reflections 0 0 18 and 1 0 1 to determine the c parameter. Knowing c, we calculate a. Because the  $2\theta/\omega$ curves for the 0 0 18 reflections, measured at two different points on the sample, have slightly different positions of their maxima, we enlarge the illuminated area of the sample to  $3 \text{ mm} \times 3 \text{ mm}$ , in order to examine as many crystalline planes as possible in one experiment. The obtained two-dimensional map allows us to observe possible deviations in the c parameter on a larger spatial scale. The map [see Fig. 3(b)] shows a typical picture of a grainy material. Still, the main node on the map has more than three orders of magnitude greater intensity than the others. It means that the illuminated area of the sample is dominated by one plate, which proves that we are dealing with a single crystal. Its lattice parameter is  $c_{\text{main}} = 25.1352 \text{ Å}$  spread by  $\Delta c/c_{\text{main}} = 5.3 \times 10^{-4}$ . The presence of the additional thin plates with an average angle of  $0.02^{\circ}$  between each other and slightly different *c*-lattice parameters ( $\Delta c \sim 0.0132$  Å) is suggested by different  $2\theta$  coordinates for nodes much weaker than the principal one, as seen in Fig. 3(b). The lattice parameter *a*, calculated using the  $c_{\text{main}}$ , is equal to 3.246 Å with an uncertainty of  $\pm 0.005$  Å (a specific measurement geometry and low-value Bragg angle,  $2\theta_{101} = 32.013^{\circ}$ , causes such a relatively large uncertainty). The values of the obtained lattice constants are fully consistent with previous reports (see Table S1).

#### **B.** Raman-active vibrational modes

The quality of the grown samples was also confirmed via the Raman measurements. In Fig. 4 we show the unpolarized



FIG. 3. (a) Reflection 0 0 18;  $\omega$  scans measured on a ScAlMgO<sub>4</sub> layer in double-axis (RC) and triple-axis [ $\omega$ (TA)] diffractometer configuration.  $2\theta/\omega$  scan coupled to the surface in a wide angular range is provided in the SM [9]. (b) Two-dimensional distribution of the reflected x-ray intensity of the 0 0 18 reflection in the angular axis (241 scans of  $2\theta/\omega$  angle with slightly different coupling values of  $\omega$ ). Maxima above and below the main node are related to the presence of slight inclination (mutual slope =  $\Delta\omega \sim 0.02^{\circ}$  defined by the distance between the maxima) and have different  $2\theta$  coordinates (maximum  $\Delta 2\theta \sim 0.04^{\circ}$ ). The data are smoothed using a triangular algorithm, developed by Malvern Panalytical, and available in AMASS 1.0A software. The intensity color bar is on the logarithmic scale. An illuminated area of 0.3 mm × 3 mm is indicated in the photograph shown in the inset to panel (a).

Raman spectrum of the ScAlMgO<sub>4</sub> sample registered at 295 and at 1.5 K. The shape and position of the optically active phonon modes at 295 K agree with the previous reports [12]. Upon a decrease of the temperature from 295 to 1.5 K, the spectrum shape remains practically unchanged. At the same time, the peaks become slightly narrower, and they shift towards the higher energies by around  $3 \text{ cm}^{-1}$ . In particular, the dominating maximum shifts from  $418.0 \pm 0.9 \text{ cm}^{-1}$  at room temperature to  $420.9 \pm 0.9 \text{ cm}^{-1}$  at 1.5 K. In the configuration of the experiment (the light incidence along the *c* axis), 12 Raman modes are expected for SCAM (6  $E_g$  and 6  $A_{1g}$ ). Following Ref. [7], we attribute two principal peaks in the spectrum to the  $E_g$  modes, as indicated in Fig. 3.

#### C. Electronic structure of SCAM

The DFT modeling specifies that the fundamental energy band gap  $(E_g^{\text{DFT}})$  in SCAM equals 3.58 eV. This is, as usual for this method, far below the reported experimental band gap of 6.29 eV extracted from the transmission curve [33]. However, when the GW correction is taken into account, the band gap shifts towards the expected value, i.e.,



FIG. 4. Raman spectrum of ScAlMgO<sub>4</sub> single crystal, excited at 532 nm, at temperatures of 1.5 and 295 K. Spectra are vertically shifted for clarity.

6.32 eV. The GW band gap is independent of the polarization of the electric field. Because the GW does not include the *e*-*h* interaction, the above effect should be correlated with the electrical band gap and not the optical band gap. In turn, many different results are obtained from the low-energy edge of the absorption spectrum calculated with the BSE and presented in Fig. 5(a). These results indicate that the direction of the polarization of the electric field makes a pronounced difference in the spectrum intensity, shape, and the band gap. The optical band gaps obtained with the BSE-which includes the e-h interactions—are 6.11 and 5.77 eV for the planar and perpendicular orientation of the electric field, respectively, and the corresponding exciton binding energies are 0.21 and 0.55 eV. The optical band gap is smaller than the electric (so-called dark) band gap due to the relaxation of the crystal orbitals in response to the *e*-*h* interaction.

The large  $E_b$  values suggest that ScAlMgO<sub>4</sub> would be a good optical material for implementation in lasing devices. Taking into account a large band gap of the material and high exciton oscillator strength implied by sub-eV binding energy, such devices would exhibit a deep-UV emission, a low threshold, and high stability of operation at room-temperature conditions.

The conduction band is composed mainly of the Sc-located states, and the valence band is primarily constructed by the oxygen states, with a domination of the oxygens neighboring the Sc over those from the (Al, Mg)O layers [see Fig. 5(b)]. A comparably large anisotropy of the excitonic properties in layered materials has also been reported for the 2D hybrid halide double perovskites [34] and MoS<sub>2</sub> layers embedded in h-BN [35]. It is worth noting that SCAM and both reported materials contain transition metals, like Sc, Ag, and Mo, building strong chemical bonds with the elements of group V or VI in the periodic table. These chemical bonds very strongly localize the *e*-*h* pairs.

### D. Refractive index and birefringence

To determine the dielectric function of SCAM and to support numerical predictions that SCAM exhibits optical



FIG. 5. Calculated optical and electronic properties of SCAM: (a) Light absorption spectrum obtained with the BSE for two polarizations of the electric-field vector: parallel to the crystal c axis and perpendicular to it. Inset shows the low-energy edge of the absorption spectrum. (b) Density of states projected on the atomic shells was obtained with the DFT method.

anisotropy, we performed an ellipsometry measurement and analyzed the data in the following way: first, to identify the axes orientations of a cleaved sample, we focus on the MM data. In Fig. 6, we present the MM elements (normalized with respect to the  $m_{11}$  element) as a function of wavelength and obtained at multiple angles of reflection for the 0.273-mmthick sample (transmission ellipsometry data are available in Fig. S4).

Almost negligible values of the off-diagonal terms  $(0 \pm 0.003 \text{ for all wavelengths})$  and off-diagonal blocks reveal no cross polarization between the *p*- and *s* states, and they indicate that the sample is either the isotropic or uniaxial crystal with a *c*-plane anisotropy. However, the bottom-right

terms ( $m_{33}$ ,  $m_{34}$ ,  $m_{43}$ ,  $m_{44}$ ), which are sensitive to retardance, reveal some characteristic oscillations. Because these oscillations are not present in the MM reflection data obtained for the back-side roughened sample, we attribute their origin to optical anisotropy. The fringes arise from the birefringence splitting of light that travels through the sample with different velocities, reflects from the back-side interface, and returns to the top surface. The amplitude ratio and phase difference of the reflection coefficients of the *p*- and *s*-polarized light varies as a function of the wavelength, allowing us to quantify the birefringence. Because the thickness of the analyzed sample is greater than the coherent length of the RC2 ellipsometer, the simple multiple reflections between the two



# Wavelength (nm)

FIG. 6. Mueller matrix spectroscopic ellipsometry measurement (solid line) and the model-generated data (dotted line) for the 0.273-mmthick, double-side smooth sample. Data are collected in the reflection mode at  $60^\circ$ ,  $65^\circ$ , and  $70^\circ$ .

TABLE I. Model parameters of the dielectric permittivity of ScAlMgQ<sub>4</sub> in the sample plane (*xy*) and out-of-plane direction (*z*), where  $A_n$  is the amplitude, Br<sub>n</sub> is the broadening, and  $E_n$  is the oscillator's center energy. Error bars provide an estimation of the measurement reproducibility and uniqueness of the specific parameter during the regression analysis and should not be interpreted literally [23]. Parameters given without the error were fixed in the model. The last column shows the oscillator positions extracted from the *ab initio* MBPT.

Component of permittivity	No. of term	Type of term/ oscillator	Constant $\varepsilon_{inf}$ / amplitude of the oscillator $A_n$	Broadening of the oscillator Br <sub>n</sub> (eV)	Center energy of the oscillator $E_n$ (eV)	Center energy of the oscillator $E_n$ obtained from numerical modeling (eV)
$\mathcal{E}_{X, Y}$	1	$arepsilon_{ ext{inf}}$	$1.5710 \pm 0.0006$			
	2	$\varepsilon_{\rm UVpole}$	$127.90\pm0.02$		$8.649 \pm 0.002$	
	3	$\varepsilon_{\rm Lorentz}$	$0.0250 \pm 0.0003$	0.17	$7.629 \pm 0.0139$	7.66
	4	$\varepsilon_{ m Gaussian}$	$(217.0 \pm 1.5) \times 10^{-5}$	$0.422\pm0.002$	$6.586 \pm 0.002$	6.63
	5	$\varepsilon_{\text{Gaussian}}$	$(2.8 \pm 0.1) \times 10^{-6}$	0.5	4.206	
	6	$\varepsilon_{ m Gaussian}$	$(201 \pm 1) \times 10^{-6}$	$2.53\pm0.01$	0.1	
Ez	1	$\varepsilon_{ m inf}$	$1.6390 \pm 0.0006$			
	2	$\varepsilon_{\rm UVpole}$	$101.92\pm0.02$		$8.716 \pm 0.001$	
	3	$\varepsilon_{\rm Lorentz}$	$5.574 \pm 0.015$	$0.1233 \pm 0.0004$	6.640	7.15
	4	$\varepsilon_{\text{Gaussian}}$	$0.0221 \pm 0.0002$	$0.1140 \pm 0.0005$	6.262	6.32
	5	$\mathcal{E}_{\mathrm{IR pole}}$	$(1574 \pm 6) \times 10^{-6}$			

interfaces between the SCAM and air would not create such an interference pattern. Finally, because the sample rotation does not influence the MM elements (see Fig. S3), we assume that the c axis of the cleaved SCAM lamellae has high accuracy perpendicular to the sample surface.

Ellipsometry is a model-based technique. Determination of the complex and wavelength-dependent refractive index requires the following: (i) a presumption of the layered model of the crystal structure of the sample, and (ii) the development of the dielectric permittivity function model, which reproduces the measured ellipsometric data. The preliminary tests revealed that the model, in which the sample is represented as a single slab with some roughness at the front interface, is sufficiently versatile to provide enough degrees of freedom to represent the sample geometry. In the case of optically anisotropic material such as SCAM, constructing a valid dielectric permittivity model requires incorporation and simultaneous analysis of the reflection and transmission ellipsometry datasets together with the transmission-intensity data (details of the applied procedure can be found in the SM). The developed model also incorporates the likely presence of back-side reflections [23].

To obtain the dielectric permittivity function, we employ the general oscillator approach, constrained with Kramers-Kronig consistency [36,37]. Depending on the direction of the light propagation with respect to the crystal axes, the developed model is a sum of different types and number of oscillators and poles, each of them being a function of the frequency:  $\varepsilon_i = \varepsilon_{\infty,i} + \sum \varepsilon_{\text{poles},i} + \sum \varepsilon_{\text{oscillators},i}$ , where i = x, y, z. The  $\varepsilon_{\infty}$  term is an offset corresponding to the dielectric constant at infinite frequency. Due to the unusual shape of the absorption edge of SCAM, we have decided to select types of oscillators in the following way. The band-gap region is modeled using the Lorentz and Gaussian functions. The introduction of more complex formulae, such as Tauc-Lorentz or Cody-Lorentz [37], does not further improve the agreement between the measured- and model-generated SE data. In the x direction, the model consists of a UV pole, a Lorentz oscillator, and three Gaussian functions (see the SM for details of the functions). Two low-energy oscillators (No. 5 and No. 6) of 4.206 and 0.1 eV are added to take into account the features present in the transmission spectra. Oscillator No. 5 corresponds to the local minimum reported also in Refs. [33] and [37]. With the broadening of 2.5 eV, oscillator No. 6 gives rise to a small absorption in the near-infrared (NIR) region, which was also mentioned in Ref. [33]. The UV-pole oscillator represents the high-energy electronic transitions, which do not contribute to absorption in the measured spectral range, but they contribute to a real part of the dielectric function. In the z direction, a simpler composition of the oscillators is enough to represent the experimental data. We attribute this disproportion to the fact that both ellipsometry and intensity data are less sensitive in that direction. Therefore, we implement the UV- and IR poles and the single Gaussian- and Lorentz functions. Further limiting the number of oscillators led to a significant increase in the mean-squared error (MSE) value. All the parameters are gathered in Table I and discussed below in the paragraph devoted to the extinction coefficient. To demonstrate good agreement between the experimental ellipsometry data and the developed model in the entire spectral range, in Fig. S5 we show selected (unique) elements from the MM in the reflection and transmission mode, obtained for a 0.273-mm-thick sample (see also the enclosed discussion).

The developed model is also in line with the measured optical transmission spectra, presented in Fig. 7. The overall transmittance is above 80% for wavelengths longer than 400 nm for normal-incidence illumination. Similarly, as in previously reported research, the absorption edge appears around 200 nm [33,39]. Above this wavelength, the transmission starts to gradually rise with a change in the slope at 220 nm. Following Ref. [38], we attribute this alteration in the band edge to the electronic transitions, which take place at the localized sites. Moving towards the longer wavelengths,



FIG. 7. Measured transmission intensity spectra of the 0.564mm-thick SCAM substrate were obtained for 0o (red solid line),  $10^{\circ}$ (blue solid line),  $20^{\circ}$  (green, solid line),  $30^{\circ}$  (magenta solid line), and  $40^{\circ}$  (cyan solid line) incidence. Transmission-intensity spectra calculated from the model are shown by the black dotted line. Inset shows a close-up of the short-wavelength region of the spectrum.

we observe a weak maximum of absorption at the wavelength of 290 nm, represented by oscillator No. 5 in the model. Although the amplitude of oscillator No. 5 is almost negligible, it is still possible to extract the oscillator parameters due to the incorporation into our model of the transmission intensity data of a 0.564-mm-thick sample [40]. The peak is not manifested in the Depolarization Index values (DI; see Fig. S6), and it disappears after annealing in hydrogen [39]. Moreover, this peak fades out at the higher illumination angles, which means that it contributes to the losses only in the x direction. For the oblique angle of incidence, an additional feature starts to appear in the short-wavelength spectral range (see the inset to Fig. 7). It occurs at around 207 nm and is present only when the incident light has a nonzero out-of-plane polarization component. Our model predicts its shape well. We have checked, however, that it does not have a physical meaning and is purely associated with the limited bandwidth of the ellipsometer.

The quality of the proposed model and thus the presented analysis can be validated on the basis of the MSE values. Considering the reflection-ellipsometry data, together with the transmission-intensity and depolarization data measured for three different types of samples, the overall multisample MSE equals 11.1. However, if we limit the spectral range



FIG. 8. (a), (c) Refractive index, (b), (d) extinction coefficient extracted from the ellipsometric model (a), (b) and from the RPA LRF calculations (c), (d). Solid red line corresponds to the in-plane, while the dotted blue line to the out-of-plane directions, respectively. For comparison, the ordinary refractive index of sapphire [40] is also plotted (green line).

to 200–1690 nm, the transmission-ellipsometry data are not affected by the depolarization and the MSE drops to 8.9. In the best-fit model, the surface roughness of our SCAM slabs is equal to 2.56 nm for the 0.564-mm-thick sample and 0.79 nm for the 0.273-mm-thick sample.

Finally, we extract from our model the ordinary (in-plane) and extraordinary (out-of-plane) complex refractive-index values as a function of the wavelength, as shown in Fig. 8. For a comparison with the model based on the B-spline functions, see Fig. S7. For the wavelength of 1  $\mu$ m, the in-plane refractive index of SCAM equals 1.8215, and it is only slightly higher than the ordinary refractive index of sapphire, which is 1.7558. The optical anisotropy of SCAM is present in the entire analyzed spectral range, but it fades out in the shortwavelength range. From the absorption coefficient curve, we also extract the band-gap values determined by a linear extrapolation of the threshold of optical absorption, which equals 6.16 eV and 6.12 eV in the plane of the sample and the out-ofplane direction, respectively. One should note, however, that the precision of determination of these values is lowered by the fact that the SE data barely reach the spectral range above the band gap.

The above experimental results are worth comparing with the dielectric function, calculated numerically within the RPA LRF formalism described in Sec. III. The energy-dependent ordinary and extraordinary complex refractive indices are presented in Figs. 8(c) and 8(d). In addition, extended spectral ranges of the electric permittivity functions are available in Fig. S2. The experimental and numerical data qualitatively agree well in the entire spectral range. Although the refractiveindex values obtained from RPA LRF are slightly smaller than those extracted from the experiment, the mutual correlation between the ordinary and extraordinary components is comparable in both applied methods. The theory predicts not only a fading of the birefringence around 6.32 eV, but also the position of the resonances around the band-gap region (see Table I). A similar difference between the ordinary and extraordinary refractive indices has been previously reported for sapphire [41], crystalline wurtzite GaN [42], h-BN [43], and KTiOPO<sub>4</sub> [44]. It was attributed to a combined contribution of excitonic- and interband optical transitions, as well as the optically active phonon modes, in which the intensity of both effects was different for the light propagating through the uniaxial crystal in the ordinary and extraordinary direction. A similar explanation should hold for SCAM, in which the crystal structure comprises alternating rocksalt- and wurtzite layers stacked along the c axis (see Fig. 2).

As we have demonstrated, SCAM is a highly transparent material in the visible and near-infrared spectral range, with absorption rapidly increasing in the UV. Limiting the analysis of SCAM analysis to the spectral range where losses are negligible, i.e., to the wavelengths longer than 400 nm instead of using a general oscillator model, it is possible to fit ellipsometric data with a simple Cauchy model. The real part of the refractive index can then be expressed by the formulas

$$n_{\text{in-plane}} = 1.811 + \frac{0.009\ 67}{\lambda^2} + \frac{0.000\ 199\ 03}{\lambda^4},$$
 (7)

$$n_{\text{out-of-plane}} = 1.746 + \frac{0.008\,73}{\lambda^2} + \frac{0.000\,154\,22}{\lambda^4},$$
 (8)

where  $n_{\text{in-plane}}$  and  $n_{\text{out-of-plane}}$  are the values for in-plane and out-of-plane light propagation, respectively.

#### **IV. CONCLUSIONS**

Employing various experimental techniques: variableangle spectroscopic ellipsometry, optical transmission, x-ray diffraction, scanning electron microscopy, and Raman spectroscopy, we have provided a comprehensive picture of the optical, electronic, and physical properties of single ScAlMgO<sub>4</sub> crystals grown by the Czochralski method. In particular, we have established that SCAM is a birefringent material with only a slightly higher refractive index than that of sapphire. We have developed a model based on the ellipsometric data for polarization-resolved dielectric function in a wide UV-vis-NIR spectral range and have provided Cauchy formulas describing the dispersion of the anisotropic refractive index in the visible spectral range. We support our experimental results with calculations performed in the frame of ab initio MBPT. The reported properties are crucial for the design and optimization of optoelectronic- and photonic devices incorporating SCAM layers.

Let us note finally, that ScAlMgO<sub>4</sub> exhibits an in-plane lattice constant that is very close to lattice constants of transition-metal dichalcogenide (TMD) materials. As a result, the lattice mismatch of most commonly studied TMDs to ScAlMgO<sub>4</sub> is around an order of magnitude lower than that to *h*-BN that is routinely used as a substrate or encapsulation material for TMD monolayers [45] (see the SM, Table S2 and references therein [46–52]). Moreover, ScAlMgO<sub>4</sub> might be mechanically exfoliated from the bulk crystal to obtain large, atomically flat flakes like in the case *h*-BN. This indicates that ScAlMgO<sub>4</sub> is a perfect substrate for epitaxial growth of TMDs (either in a monolayer or bulk form), encapsulation of exfoliated flakes of TMD monolayers, as well as for studies related to Moiré pattern physics [53]. As such, it provides an attractive alternative to *h*-BN.

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