Majorana zero modes in fermionic wires coupled by Aharonov-Bohm cages

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(Received 8 September 2022; revised 16 December 2022; accepted 23 December 2022; published 17 January 2023)

We devise a number-conserving scheme for the realization of Majorana zero modes in an interacting fermionic ladder coupled by Aharonov-Bohm cages. The latter provide an efficient mechanism to cancel single-particle hopping by destructive interference. The crucial parity symmetry in each wire is thus encoded in the geometry of the setup, in particular, its translation invariance. A generic nearest-neighbor interaction generates the desired correlated hopping of pairs. We exhibit the presence of an extended topological region in parameter space, first in a simplified effective model via bosonization techniques, and subsequently in a larger parameter regime with matrix-product-states numerical simulations. We demonstrate the adiabatic connection to previous models, including exactly solvable ones, and we briefly comment on possible experimental realizations in synthetic quantum platforms, like cold atomic samples.

DOI: 10.1103/PhysRevB.107.035124

I. MOTIVATION

In the last decade, the quest for topological states of matter has arguably been one of the driving directions in condensed matter physics [1–3], partially motivated also by their envisioned usage as platforms for quantum computation [4]. Among all possible topological states, Majorana zero modes (MZMs) are one of the simplest examples realizing an anyonic excitation, i.e., not obeying either fermionic or bosonic statistics [4–6]. Despite them being conceptually quite simple, an ongoing quest is being pursued towards an unambiguous measurement of their existence. While they do not enable a universal set of gates, they have been proposed to serve as a topological protected quantum memory [7,8].

Stemming from the cornerstone paper by Kitaev [9], most efforts have focused on a one-dimensional realization of Majorana zero modes via coupling some semiconducting nanowire to a bulk superconductor [10–16]. The superconductor serves as a reservoir inducing *p*-wave superconductivity into the nanowire via the proximity effect resulting in an effective breaking of the U(1) symmetry of number conserving down to a residual fermionic parity symmetry \mathbb{Z}_2 .

In recent years, alternative proposals for realizing MZMs without breaking the number conservation were put forward [17,18]. These schemes are based on the field-theoretical observation that a minimal U(1) × \mathbb{Z}_2 model of two (fermionic) Luttinger liquids coupled exclusively by a pair-hopping term indeed leads to the same topological signatures [19]. Noticeably, even some exactly solvable instances were found [20,21], giving deep insights into the nature of this phase. Moreover, a number-conserving scheme is particularly appealing for synthetic quantum matter platforms like cold atoms [22]. However, a perfect cancellation of single-particle tunnelings between the chains is needed to ensure the \mathbb{Z}_2 protection of MZMs. In previous works, this was only achievable in an approximate fashion via some perturbative suppres-

sion. Here, we present an alternative scheme which makes use of exact interference terms of complex hopping amplitudes, also known as Aharonov-Bohm cages [23,24], and perfectly cancels all single-particle poisoning. These cages are arranged in a translation-invariant sequence across the two target fermionic chains, and a generic nearest-neighbor interaction term enables the sought-after correlated hopping of particles.

The paper is structured as follows: First in Sec. II we introduce our model which involves four spinless fermionic species. After discussing the basic properties of that model, we integrate out two of these spinless fermions using a Schrieffer-Wolff (SW) transformation [25], and show that the obtained effective Hamiltonian falls into the same class as those of previous proposals. This effective Hamiltonian is first investigated in Sec. III by using bosonization [26,27], in order to find the most favorable parameter regime for realizing the MZM phase. In this section we also review the basic indicators used for detecting the MZM phase: the nonlocal behavior of the end-to-end correlation function together with a relative sign between the ground states of the two parity sectors and the exact double degeneracy of the entanglement spectrum [28]. Next, in Sec. IV, we show numerical results using tensor network techniques [29], exhibiting all defining features of the MZM phase, not only for the effective model but also for the full four-flavor setup in regimes very far from the perturbative expansion conducted before. Finally, in Sec. V we summarize our findings and give a short outlook of open questions.

II. MODEL INTRODUCTION

Let us consider two (lattice) wires *a* and *b*, populated by spinless fermions, and connected to each other via additional

sites c and d in a rhomboidal configuration pierced by a π phase, as described by the following Hamiltonian:

$$H_{\Diamond} = -J \sum_{i} (a_{j}^{\dagger}c_{j} + c_{j}^{\dagger}b_{j} + b_{j}^{\dagger}d_{j} - d_{j}^{\dagger}a_{j} + \text{H.c.}) \quad (1)$$

$$= -J\sqrt{2}\sum_{j}(a_{j}^{\dagger}m_{j}+b_{j}^{\dagger}p_{j}+\text{H.c.}), \qquad (2)$$

where $\alpha_j^{(\dagger)}$ annihilates (creates) a fermion in the site of kind $\alpha = a, b, c, d$ at the *j*th lattice position, and $p = (c + d)/\sqrt{2}$ ($m = (c - d)/\sqrt{2}$) are the (anti)symmetric superposition of the intermediate modes. For the sake of simplicity, we picked up a gauge where the whole π phase has been collected on

a single link; however, any redistribution along the rhombi would, of course, lead to the same Aharonov-Bohm caging effect in the end. As made explicit by Eq. (2), indeed, destructive interference prohibits single-particle motion between the (decorated) wires; i.e., the two charges

$$N_{\pm} = (N^a + N^m) \pm (N^b + N^p), \tag{3}$$

with $N^{\alpha} = \sum_{j} n_{j}^{\alpha} = \sum_{j} \alpha_{j}^{\dagger} \alpha_{j}$, are separately conserved and denoted as the U(1)_± symmetries in the following.

In order to let Majorana physics emerge, we need to partially break the U(1)_ associated to the N_{-} charge into a residual \mathbb{Z}_2 symmetry for each dressed chain. We could naturally achieve it by considering nearest-neighbor density-density interaction terms of the kind

$$H_{\text{int}} = \sum_{j=1}^{L-1} \left[V_1 \left(n_j^c n_{j+1}^c + n_j^d n_{j+1}^d \right) + V_2 \left(n_j^c n_{j+1}^d + n_j^d n_{j+1}^c \right) \right]$$

$$= \sum_{j=1}^{L-1} \left[\frac{V_1 + V_2}{2} \left(n_j^p + n_j^m \right) \left(n_{j+1}^p + n_{j+1}^m \right) + \frac{V_1 - V_2}{2} \left(p_j^{\dagger} m_j + m_j^{\dagger} p_j \right) \left(p_{j+1}^{\dagger} m_{j+1} + m_{j+1}^{\dagger} p_{j+1} \right) \right], \tag{4}$$

which does not preserve N^p and N^m (but still preserves their parity) away from the fine-tuned point $V_1 = V_2$. The residual unbroken symmetry is actually $(\mathbb{Z}_4)_-/(\mathbb{Z}_2)_+$, since the parity of the conserved overall population fixes the parity of the relative population, too: as a convention, we decide to look at the parity in the dressed *a* chain, i.e.,

$$P = e^{i\pi(N^a + N^m)} = e^{\frac{i\pi}{2}N_+} e^{\frac{i\pi}{2}N_-}.$$
(5)

Actually, we show in Appendix C that our model is adiabatically connected to a regime where the $U(1)_+$ symmetry is further broken and the residual group is an even simpler $\mathbb{Z}_2 \times \mathbb{Z}_2$, i.e., the same symmetry class as two individual Majorana chains. Later we will abuse this relation to derive the signatures of the topological regime in the single-particle correlation functions.

Any additional generic intrawire Hamiltonian $H_{\alpha=a,b}$ and any Hamiltonian of the kind

$$H_{c,d} = \sum_{j} \left[\mu \left(n_{j}^{c} + n_{j}^{d} \right) + t_{\parallel} (c_{j}^{\dagger} c_{j+1} + d_{j}^{\dagger} d_{j+1}) + t_{\perp} (c_{j}^{\dagger} d_{j+1} + d_{j}^{\dagger} c_{j+1}) + \text{H.c.} \right]$$
(6)

acting on the intermediate sites would still fall in the same $U(1)_+ \times (\mathbb{Z}_2)_-$ symmetry class. We initially set $t_{\parallel} = t_{\perp} = 0$ for the sake of keeping most calculations analytically feasible, but in Appendix A we provide some estimate on their utility for making the desired topological signatures even more evident.

A pictorial sketch of the generic Hamiltonian,

$$H = H_a + H_b + H_{c,d} + H_{\Diamond} + H_{\text{int}},\tag{7}$$

is given in Fig. 1(b). Once we integrate out the intermediate sites (c, d) via a Schrieffer-Wolff transformation along the lines of Ref. [25], we are left with a low-energy description

of the dressed wires (a, b), illustrated in Fig. 1(c):

$$H_{\text{eff}} = \widetilde{H}_{a} + \widetilde{H}_{b} + U_{\text{nn}} \sum_{j} \left(n_{j}^{a} + n_{j}^{b} \right) \left(n_{j+1}^{a} + n_{j+1}^{b} \right)$$
$$- \sum_{j} \left(W_{1} a_{j}^{\dagger} a_{j+1}^{\dagger} b_{j+1} b_{j} + W_{2} b_{j}^{\dagger} a_{j+1}^{\dagger} b_{j+1} a_{j} + W_{3} b_{j}^{\dagger} a_{j}^{\dagger} a_{j+1} b_{j+1} + \text{H.c.} \right).$$
(8)

The form of Eq. (8) allows for a direct comparison with the model of Ref. [17] and the exactly solvable one of Ref. [20], as discussed in Appendix C. The two pair-hopping terms have rather different effects: The interchain one, W_1 , embodies the original Kitaev-chain model per each wire separately, and it is indeed the one responsible for the desired topological effect [17,19,20]. The intrachain one, W_2 and W_3 , instead promotes a (pseudo-)spin-density-wave ordering in the wire-label degree of freedom, as we will discuss after considering the bosonized version of Hamiltonian (8) below Eq. (13). The attainable couplings with the presented microscopic derivation are

$$\frac{W_1}{\mu} = \frac{W_2}{\mu} = \left(\frac{J}{\mu}\right)^4 \frac{8\mu(V_2 - V_1)}{(2\mu + V_1)(2\mu + V_2)}, \qquad (9)$$

$$\frac{U_{nn}}{\mu} = \left(\frac{J}{\mu}\right)^4 \frac{8(\mu(V_1 + V_2) + V_1V_2)}{(2\mu + V_1)(2\mu + V_2)},$$

and $W_3 = 0$. Due to the similar effect of W_2 and W_3 , we set $W_3 = 0$ for the rest of the main text. We will show in the following, via a combination of field-theoretical calculations and numerical simulations, that $W_2 = W_1$ does not impair the formation of the wished topological order, at least in an extended region of the parameter space.



FIG. 1. Pictorial representation of the main Hamiltonians of this work: (a) Translation-invariant coupling of the two wires, *a* and *b*, via the rhombi Hamiltonian H_{\Diamond} encompassing a π flux, here denoted via a single hopping with opposite sign to the rest (dashed line). (b) Full model of Eq. (7), with intrachain hopping elements (black), interchain ABC hoppings (blue) as in panel (a), and bubbles indicating the density-density interactions. (c) Effective low-energy model of Eq. (8), with the blue arrows standing for the correlated interchain pair hopping, and the red and orange ones for the cross-correlated hopping terms. From the microscopic derivation one finds $W_1 = W_2$ and $W_3 = 0$; however, we considered all three couplings for comparison to the exact solvable model of Ref. [20] (see Appendix C).

The dressed Hamiltonians \tilde{H}_{α} ($\alpha = a, b$) read

$$\widetilde{H}_{\alpha} = H_{\alpha} + t \left(\frac{J}{\mu}\right)^2 \sum_{j} \left[(\alpha_{j}^{\dagger} \mathcal{K}_{\alpha,j} + \text{H.c.}) - \frac{2t}{\mu} \mathcal{K}_{\alpha,j}^{\dagger} \mathcal{K}_{\alpha,j} \right],$$
(10)

where we used the abbreviation for the commutator $\mathcal{K}_{\alpha,j} := [H_{\alpha}, \alpha_j]/t$. Equation (10) is formulated for generic oneparticle Hamiltonians H_a and H_b . For making concrete statements we will fix them to $(H_a = H_b \cong H_{\alpha})$

$$H_{\alpha} = \sum_{j} \left(-t(\alpha_{j}^{\dagger}\alpha_{j} + \text{H.c.}) + U_{\alpha}n_{j}^{\alpha}n_{j+1}^{\alpha} \right).$$
(11)

In this case the commutator $\mathcal{K}_{\alpha,j}$ amounts to a simple renormalization of the bare parameters in Eq. (11) along with some three-body interactions, density-supported and next-nearest-

neighbor hoppings. From a renormalization point of view these terms can be safely considered to be less relevant. Therefore, we decide to drop them henceforth to keep the model simpler, and leave details for the interested reader in Appendix B.

III. BOSONIZATION

We now proceed with a field-theoretical analysis of the toy Hamiltonian (8) via bosonization along the notation of Ref. [26]. After having introduced density and phase fluctuating fields, φ_{α} and ϑ_{α} , for both fermionic species, $\alpha = a, b$, and their (anti)symmetric combinations $\varphi_{\pm} = 1/\sqrt{2}(\varphi_a \pm \varphi_b)$ (same for ϑ_{\pm}), we find the following Hamiltonian:

$$H_{\text{bos}} = \sum_{\tau=\pm} \frac{v_{\tau}}{2} \int dx K_{\tau} (\partial_x \vartheta_{\tau}(x))^2 + \frac{1}{K_{\tau}} (\partial_x \varphi_{\tau}(x))^2 + \beta_1 \int dx \cos(\sqrt{8\pi} \vartheta_{-}(x)) + \beta_2 \int dx \cos(\sqrt{8\pi} \varphi_{-}(x)), \qquad (12)$$

where K_{τ} and v_{τ} denote the Luttinger parameter and Fermi velocity in the $\tau = \pm$ sectors, and we already dropped less relevant terms (see Appendix B), including those becoming resonant only at half filling. We notice that a similar bosonized Hamiltonian appears when considering spinful fermions with anisotropic spin interactions [30], and moreover with $\beta_2 = 0$ in other discussions of number-preserving models for Majorana zero modes [17,19].

Besides an ordinary Tomonaga-Luttinger liquid in the symmetric (charge) sector, $\tau = +$, which is therefore always gapless, the antisymmetric (spin) sector, $\tau = -$, exhibits a double sine-Gordon interaction with bare couplings and scaling dimensions:

$$\beta_1 \propto W_1, \ \Delta_{\beta_1} = \frac{2}{K_-}; \ \beta_2 \propto W_2 - U_{nn}, \ \Delta_{\beta_2} = 2K_-.$$
 (13)

It is therefore apparent that a gap will arise whenever $K_{-} \neq 1$ [31]: while for $K_{-} < 1$ the φ_{-} field is pinned and the phase is trivially a charge-density-wave or singlet pairing, depending on the sign of the β_2 coupling [32], the β_1 term causes instead the appearance of unpaired Majorana edge modes for $K_{-} > 1$ [19]. Furthermore, the refermionization argument for $K_{-} = 2$ given in Ref. [19] also shows that this mode has to be identified with single-particle transitions from one of the two chains to the other.

Therefore, one expects certain overlaps like $\langle \Omega_{oo} | a_i^{\dagger} b_i | \Omega_{ee} \rangle$ to be nonzero at the edges with an exponential decay to a possible nonzero bulk value. Otherwise said, the degenerate ground states in the topological phase are related to the ground states of two independent Kitaev-Majorana chains, once projected on a fixed total charge N_+ [20]. Thus, using the standard classification of topological insulators, one expect two pairs of MZM to be present in the system, for the unconstrained model, corresponding to the two independent Kitaev-Majorana chains. However, after fixing the total particle number we do not observe $4 = 2 \times 2$ Majorana modes, but—depending on the total parity P_+ —only two out of the four possible combinations.

The picture of two unconnected chains is particularly useful to get a grasp of some fundamental behavior of singleparticle correlation functions, which we will employ as a fingerprint of the desired edge physics. Let us consider the (four) ground states to be connected to

$$\begin{aligned} |\Omega_{ee}\rangle &= |\Omega\rangle , \quad |\Omega_{oo}\rangle = c_{a,E}^{\dagger} c_{b,E}^{\dagger} |\Omega\rangle , \text{ if } N_{+} \text{ even}, \\ |\Omega_{oe}\rangle &= c_{a,E}^{\dagger} |\Omega\rangle , \quad |\Omega_{eo}\rangle = c_{b,E}^{\dagger} |\Omega\rangle , \text{ if } N_{+} \text{ odd}, \end{aligned}$$
(14)

with $|\Omega\rangle$ being the vacuum of the theory, and $c_{\alpha,E}$ the fermionic operator formed by two Majorana edge modes, $c_{\alpha,E} = \gamma_{\alpha,L} - i\gamma_{\alpha,R}, \{\gamma_{\alpha,r}, \gamma_{\beta,s}\} = 2\delta_{\alpha,\beta}\delta_{s,r}$. The mode expansion reads

$$\alpha_j = A(\gamma_{\alpha,L}e^{-(j-1)/\ell} - i\gamma_{\alpha,R}e^{-(L-j)/\ell}) + \cdots, \qquad (15)$$

with \cdots denoting the (gapped) excitations of the system, ℓ the correlation length, and A being a normalization factor. For each single chain then holds

$$\langle \Omega_P | a_1 a_j^{\dagger} | \Omega_P \rangle \sim i A^2 e^{-(L-j)/\ell} \langle \Omega_P | \gamma_L \gamma_R | \Omega_P \rangle + \tilde{G}(j)$$

$$= -P A^2 e^{-(L-j)/\ell} + \tilde{G}(j),$$
(16)

where $P = \pm$ for the even or odd sector, and $\tilde{G}(j)$ is the exponentially decaying correlation function coming from the residual (gapped) excitations of the spectrum. In Sec. IV we will use this exponential revival of the end-to-end correlation function together with this characteristic relative sign between the two parity sectors as one of the indicators for having a MZM phase. Closely related to that behavior of the correlation function is the vanishing of the energy gap between the two parity sectors: $\Delta E = |E_- - E_+| \sim e^{-2L/l}$. A second indicator is provided by studying the entanglement spectrum [33], which should be exactly double degenerate in the case of being in a Majorana-like phase [28].

While working at fixed particle number might circumvent the formation of a charge gap by forbidding hybridization of different fillings (as it is indeed the case in our setup), the spin sector remains instead gapped (see Appendix B). Therefore, we expect an exponentially decaying behavior to the middle of the system, followed by an exponential revival with a π phase difference between the two ground states. The same holds true for matrix elements of interchain operators like the so-called Majorana wave function [20], $\langle \Omega_{ee} | a_i b_i^{\dagger} | \Omega_{oo} \rangle$. Since the characteristics are similar for both observables, we decide to only present results for the single-particle correlation functions. This is also motivated from the fact that, in a generic interacting model, the overlap $\langle \Omega_{ee} | a_i b_i^{\dagger} | \Omega_{oo} \rangle$ may have a nonzero bulk value, making it harder to uniquely identify the edge contribution. This problem is absent for the single-particle correlation function, since $\langle a_i \rangle$ is fundamentally zero.

In order to determine the most favorable regime of the microscopic parameters for achieving the topological phase, we consider the perturbative renormalization group (RG)



FIG. 2. RG-based estimates of the phase diagram of the bosonized Hamiltonian (12) at $\nu = 1/3$ in different, orthogonal planes, according to the flow equations (17): magenta refers to the dominance of β_1 (topological phase), while yellow indicates the dominance of β_2 (trivial charge density wave or spin density wave phase). (a) W_1 - W_2 plane with $U_{nn} = U_{\alpha} = 0$: The blue line, $W_1 = W_2 = W$, indicates the effective Hamiltonian (9), for which the prediction depends strongly on cutoff details and, possibly, further orders in the flow. (b) W- U_{α} plane with $U_{nn} = 0$: by choosing a finite negative U_{α} , we can move deep inside the topological phase, where RG predictions are unambiguous, as represented by the shift from the green to the blue star. The latter set of parameters is what is used in the main text for the most simulations.

equations (strictly valid only around $K_{-} \approx 1$) [32]:

$$\frac{d\beta_1}{dl} = 2\left(1 - \frac{1}{K_-}\right)\beta_1,
\frac{d\beta_2}{dl} = 2(1 - K_-)\beta_2,
\frac{dK_-}{dl} = \frac{4\pi^2 \mathcal{A}}{v_-^2} \left(\beta_1^2 \frac{1}{K_-} - \beta_2^2 K_-^3\right).$$
(17)

We now have to integrate these differential equations starting from the bare values of K_{-} and β_{j} on the original lattice couplings, Eq. (B17) (see Appendix B for details). Thereby we get a rough estimation of the phase diagram, presented in Fig. 2: The exact position of the phase boundaries is (highly) dependent on the nonuniversal constant A. Interestingly, anyway, both the very asymmetric role played by W_2 and the strikingly almost straight critical lines in the $(W_1, W_2, U_{\alpha} = 0)$ plane can be predicted by the equation

$$|W_1| = D W_2(\operatorname{sgn}(W_2) - C), \tag{18}$$

with two nonuniversal constants D and C. This equation represents the linearized version of the criticality condition found in Ref. [30] (see also Appendix B 1 for more details).

By inspecting Fig. 2, we notice that, in the absence of intrawire interactions ($U_{\alpha} = 0$), the line $W_1 = W_2 = W$ dictated by Eq. (9) is well inside the topological phase for W < 0, while no definite conclusion can be reached on the boundary for W > 0. Noticeably, for $U_{\alpha} < 0$ the bare parameters for the RG flow are sensibly pushed away from the boundary, thus making the topological phase observable also for W > 0 [see Fig. 2(b)].

We stress here that the extra terms in $H_{c,d}$ of Eq. (6) are foreseen to contribute to stabilize the topological phase, too. Including nonvanishing couplings t_{\parallel} and t_{\perp} , indeed, a regime with $W_1 > W_2$ can be achieved, which pushes the model deeper into the topological region even for $U_{\alpha} = 0$, as can be seen from Fig. 2(a) (see Appendix A for more details).

IV. NUMERICAL RESULTS

Next, we validate the cutoff-dependent predictions of bosonization against unbiased numerical simulations on the lattice, performed via matrix product states (MPSs), not only for the effective Hamiltonian (8) but also for the full model (7), i.e., without introducing any perturbative description. We focus on two pristine indicators of the emergence of unpaired Majorana modes at the edges, i.e., (i) finite end-to-end single-particle correlations with an exponential decay in the bulk, with relative π -phase between the two parity sectors, and (ii) double degeneracy of the entanglement spectrum, dictated by the \mathbb{Z}_2 protecting symmetry.

We conduct our numerical investigations at a fixed density of $\nu = N_+/(2L) = 1/3$, so that additional resonances arising at half filling are avoided. As an exemplary parameter set for the effective model we choose

$$\frac{W}{J} = 0.5, \ \frac{U_{\alpha}}{J} = -0.7, \ \frac{U_{nn}}{J} = 0.0,$$
 (19)

with a chain of length L = 256 and $N_+ = 170$ fermions in the system. The specific choice of $U_{nn} = 0$ was made to simplify the number of parameters to a minimum, without affecting the qualitative picture, as we verified for a wide range of U_{nn} . Indeed, from a RG point of view, the operator coupled to U_{nn} only has a minimal influence by slightly detuning the bare Luttinger parameter K_- and decreasing the bare coupling strength β_2 of the bosonized Hamiltonian (see Appendix B). Moreover, this choice is always reachable, at least in this fourth-order effective Hamiltonian description, by suitably tuning the bare interaction parameters V_1 and V_2 relative to μ and J.

First, Fig. 3 illustrates the decay of the single-particle terms $\langle a_1 a_x^{\dagger} \rangle_{\pm}$ with correlation length $\ell \approx 7.35$ and their strong revival at the opposite edge $r \approx \pm 0.5$, with the sign depending on the parity sector, as discussed in Sec. III and predicted in Eq. (16). The quantity *r* is thereby defined as the amplitude of



FIG. 3. Single-particle correlation function $\langle a_1 a_k^{\dagger} \rangle$ between the leftmost site of the chain and the *k*th one. The magenta and blue lines represent the expectation value to the ground state in the parity sector $P = \pm$. The black line displays a simulation neglecting the parity conservation, allowing a superposition between the two different sectors. The inset zooms around the right edge of the chain, revealing the relative π phase between the recovery of the correlation function in the two parity sectors.

an exponential fit performed on both ends of the correlation function. Ignoring the underlying \mathbb{Z}_2 symmetry in the density matrix renormalization group (DMRG) simulation results in a pure exponential decay, indicating an equal weighted superposition of the two (nearly) degenerated ground states of the two parity sectors (see black line in Fig. 3). Such a scenario is confirmed by examining the parity expectation value in this setup, $\langle P \rangle \approx 0$. This is an expected behavior, since DMRG favors the least entangled ground state [34–36].

Second, Fig. 4(a) shows the entanglement spectrum [33], i.e., $-\ln \lambda_j^2$ with λ_j the Schmidt values of a L/2 bipartition of the system, as a function of the quantum numbers $\delta N_+ = n_+ - N_+/2$ and $P_- = (-1)^{n_a}$. On one hand, the perfect double degeneracy between the two parity sectors is a clear fingerprint of the symmetry-protected topological nature of the antisymmetric channel ($\tau = -$) [28]. On the other hand, the parabolic shape (with particle-hole symmetry) indicates the gapless nature of the symmetric channel ($\tau = +$) [37,38], with the curvature giving back a Luttinger parameter $K_+ \simeq 0.97$ [39], pretty close to the bare value of Eq. (B17), $K_+^{(bare)} \simeq 0.85$.

Noticeably, from Fig. 5 it can be seen that, even in the presence of this gapless channel, the energy difference between the even and the odd sectors vanishes exponentially, as one would expect for a system with two topological ground states. The finite-size gap inside each parity sector, instead, vanishes algebraically with $\simeq L^{-1}$ as expected for a standard Luttinger liquid with a linear dispersion relation $\epsilon_+(q) = v_+|q|$. Additionally, in Appendix C we show that an adiabatic path exists between our effective model and the exactly solvable one of Ref. [20]. In this article, a path is called adiabatic if the U(1) × \mathbb{Z}_2 symmetry group is preserved all along that path and the single particle gap, as defined by the antisymmetric sector, stays finite. This is analogous to requiring that we observe a finite correlation length of the single-particle correlation function smaller than the system size along that



FIG. 4. Entanglement spectrum for a bipartition cutting the system exactly in the middle for a system with 256 sites at filling v = 1/3. (a) The system being in the Majorana-like phase with $W_1/t = W_2/t = 0.5$, $U_{\alpha}/t = -0.7$, and $U_{nn}/t = 0$. The spectrum was extracted from the ground state found in the even-parity sector; however, taking the odd-parity-sector ground state is analogous. (b) The system is in the trivial state with $W_1/t = 0.3$, $W_2/t = 0.7$, and $U_{\alpha}/t = U_{nn}/t = 0$. The spectrum was extracted from the true ground state given by the odd-parity sector.

path. Constructing such a path requires the insertion of some extra operators, but its cartoon projection in the W_1 - W_2 - U_{nn} parameter space is illustrated as a dashed line in Fig. 8. The energy gap, extracted via fitting the exponential decay of single-particle correlation in the bulk, is plotted in Fig. 9(a), and is evidently nonvanishing.

In the same Appendix C, we also demonstrate numerically the adiabatic connection to the two uncoupled Majorana chains by further breaking the residual conservation of the total particle number down to a total parity and only requiring a residual global symmetry group of $\mathbb{Z}_2 \times \mathbb{Z}_2$.

After reporting the topological fingerprints found in the effective model, we also studied the full model of Eq. (7) with the single-particle Hamiltonians fixed according to Eq. (11) and showed that the topological phase persists once the full



FIG. 5. Scaling of the energy difference between and inside the parity sectors for the parameter set $W_1/J = W_2/J = 0.5$, $U_{\alpha}/J = -0.7$, $U_{nn} = 0$ for lengths from 16 to 80 sites and a filling of $\nu = 1/3$, i.e., $n_e = 2L/3$ particles. The degeneracy split closes exponentially in system size, with a decay length roughly equal to twice the single-particle correlation length *l* since this splitting originates in the exponential small overlap between the two Majorana wave functions localized at the two ends of the chain [9]. On the other hand, the energy gap to the first excited state vanishes as 1/L originating from the discretization of the momentum in a finite-size system as expected for a well-behaved Luttinger liquid [32] having a linear dispersion relation.

four-flavor Hilbert space is taken into account. For this, we studied at the same indicators as reported above, namely, the nonlocal correlation functions with the characteristic relative sign between the two parity sectors as predicted by Eq. (16) and the double degeneracy of the low-lying entanglement spectrum. As a warmup we treated the model with a set of parameters deep inside the perturbative regime of the effective Hamiltonian. The results are reported in Appendix E, where we indeed find the full model to have nonlocal correlation functions as expected. Nonetheless, the parameters in this regime are not so appropriate to experimental realizations with interaction strengths of several orders of magnitude in difference.

However, we can do better by going away from the perturbative regime. Indeed, we showed that the Majorana-like phase is not bounded to the perturbative regime, but is considerably extended to a more realistic parameters, where we find a double degenerated entanglement spectrum and non-local correlation functions for a large range of parameters.

As an example consider Fig. 6(a). In this figure we computed the average degeneracy of neighboring Schmidt values of the low-lying entanglement spectrum for a cut at half of the system of length L = 60,

$$\bar{\lambda} = \frac{1}{n} \sum_{j=1}^{n} |\lambda_{2j-1} - \lambda_{2j}|, \qquad (20)$$

computed by fixing the following set of parameters:

$$n_e = 80, \quad \mu/J = 2, \quad V_1/J = -1,$$

 $V_2/J = 1.25, \quad t_{\parallel} = 0.1t, \quad t_{\perp} = 0,$



FIG. 6. Analysis of the full model. (a) Logarithm of the average degeneracy of neighboring Schmidt values of the low-lying entanglement spectrum from Eq. (20) taking n = 4. Taking the logarithm was motivated to highlight values near zero. (b) One example end-to-end correlation function plotted for t = and $U_{\alpha} =$ [green star in panel (a)]. The inset shows a zoom onto the last few sites showing the relative π phase for the revival of both symmetry sectors.

$$t/J = \in [0.1, 0.5], \quad U_{\alpha}/J \in [-0.5, 0].$$

Noticeably, there seems to be an overall separatrix region of nearly vanishing $\overline{\lambda}$ between two regions having a finite splitting between the neighboring entanglement values. Along that set of parameters we expect the Majorana-like phase to appear: This is confirmed by the end-to-end correlation function showing the characteristic π -phase revival [see Fig. 6(b) as one example]. Furthermore, we stress here that this result is not sensitive to the concrete choice of the chemical potential and the filling. We have explicitly checked it for all $\mu/J \in [2, 5]$ and also for $n_e = 40$, which corresponds to the 1/3 filling in the effective model.

As a final remark we want to discuss possible experimental platforms. We emphasize that the crucial ingredient in realizing our proposal is the cylindrical-like structure defined by the rhombi Hamiltonian H_{\Diamond} , Eq. (1). The requirement of having periodic boundary conditions along one direction together with imprinting an effective phase is usually a hard task in physical setups. Recently this task was achieved by using the internal degrees of freedom of cold atoms as synthetic dimensions and imprinting arbitrary gauge fluxes to the atoms [40,41]. Together with the good controllability of hopping

transition by loading the cloud of atoms to an optical lattice [42–45] and the reliability of species with sizable nearestneighbor interactions such as polar atoms [46–48], cold atoms are suggested as the perfect platform, but also other synthetic platforms could be valid. However, a concrete realization goes beyond the scope of this article.

V. CONCLUSION AND OUTLOOK

Motivated by the ongoing search for an unambiguous detection of topological Majorana zero modes, we have put forward a new number-conserving realization of a Majoranalike phase. Our proposal uses the geometry of the underlying lattice together with the Aharonov-Bohm effect to achieve exact cancellation of all possible single-particle processes and only allow for pair transitions.

Starting from a perturbative analysis, we found clear fingerprints of a Majorana-like phase with Majorana zero modes being present in an extended parameter regime. We also showed that this is still true in the full model far away from the perturbative regime, thus raising the hope for realizations using synthetic dimensions in a cold-atom platform. Due to the large amount of possible parameters to tune, we postpone the development of a concrete scheme together with an experimentally reachable parameter space to future work.

Among the important open questions for all possible quasione-dimensional number-conserving setups, the influence of finite temperature on the Majorana zero modes plays an important role. To be concrete, it is unclear how possible higher-order terms in the bosonization may couple the symmetric gapless sector to the antisymmetric gapped sector hosting the Majorana zero modes. Such effects could lead to a much smaller lifetime of the MZM than expected from the limit of two unconnected Kitaev-Majorana chains. This will be the subject for future investigations.

ACKNOWLEDGMENTS

We thank M. Burello, R. Egger, A. Haller, and M. Köhl for inspiring discussions about theoretical and experimental aspects of this work. We also want to warmly acknowledge a fruitful exchange with F. Lisandrini and C. Kollath, working on a different scheme for the same scope [49]. This work has been funded by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) under Germany's Excellence Strategy–Cluster of Excellence Matter and Light for Quantum Computing (ML4Q) EXC 2004/1 – 390534769, and under Project Grant No. 277101999, within the CRC network TR 183 (Subproject No. B01).

APPENDIX A: DERIVATION OF THE EFFECTIVE HAMILTONIAN

In this Appendix, we briefly recall the basics of the Schrieffer-Wolff (SW) transformation in the version of Ref. [25], which we used to derive the effective model of Eqs. (8) and (9). Furthermore, we discuss the effect of the extra terms $(t_{\parallel}, t_{\perp})$ of Eq. (6), showing that they may lead to an even more convenient regime for the topological phase to arise.

In the SW formalism, the Hamiltonian is considered to be divided between a block-diagonal noninteracting part H_0 with a clear energy-scale separation, and some small interaction V, i.e.,

$$H = H_0 + V,$$

$$H_0 = P_{\mathcal{P}} H_0 P_{\mathcal{P}} + P_{\mathcal{Q}} H_0 P_{\mathcal{Q}},$$

$$V = P_{\mathcal{P}} V P_{\mathcal{O}} + P_{\mathcal{O}} V P_{\mathcal{P}} + P_{\mathcal{O}} V P_{\mathcal{O}},$$

where $\mathcal{H}=\mathcal{P}\oplus\mathcal{Q}$ denotes the division of the Hilbert space such that

$$\begin{aligned} \|P_{\mathcal{P}}H_0P_{\mathcal{P}}\| \ll \|P_{\mathcal{Q}}H_0P_{\mathcal{Q}}\|, \\ \|V\| \ll (\|P_{\mathcal{Q}}H_0P_{\mathcal{Q}}\| - \|P_{\mathcal{P}}H_0P_{\mathcal{P}}\|), \end{aligned}$$

in the sense that all eigenvalues from the \mathcal{P} subspace are much smaller than the eigenvalues from the \mathcal{Q} subspace, and that the matrix elements of the V operator are much smaller than the energy separation between the two subspaces. We recall that a possible term $P_{\mathcal{P}}VP_{\mathcal{P}}$ can be set to zero without loss of generality. The target SW transformation is a rotation X of the Hilbert space, such that the Hamilton operator is brought back to a block-diagonal form under its action:

$$H' = X^{-1}(H_0 + V)X = P_{\mathcal{P}}H'P_{\mathcal{P}} + P_{\mathcal{Q}}H'P_{\mathcal{Q}}.$$
 (A1)

The desired effective low-energy Hamilton operator is then given by

$$H_{\rm eff} = P_{\mathcal{P}} H' P_{\mathcal{P}}.$$
 (A2)

While X, and thus H_{eff} , are only known exactly for a few special cases, there exists a perturbative solution in terms of powers of the interaction V, with terms in the typical form

$$\hat{O}^{(n)} = P_{\mathcal{P}}V\left(P_{\mathcal{Q}}\frac{1}{E_0 - P_{\mathcal{Q}}H_0P_{\mathcal{Q}}}P_{\mathcal{Q}}V\right)^n P_{\mathcal{P}},\tag{A3}$$

and variations thereof, especially in case the original lowenergy subspace is not exactly degenerate, i.e., if not all states in \mathcal{P} share the same eigenvalue E_0 under H_0 . Anyway, we can easily identify the Green operator $\hat{G}(\omega)$ restricted to the high-energy space \mathcal{Q} and evaluated at E_0 :

$$\hat{G}_{\mathcal{Q}}(E_0) = P_{\mathcal{Q}} \frac{1}{E_0 - P_{\mathcal{Q}} H_0 P_{\mathcal{Q}}} P_{\mathcal{Q}}, \qquad (A4)$$

a fact which will come in handy in the following.

In our specific setup, we chose

$$H_0 = H_{\rm c,d} + H_{\rm int},\tag{A5}$$

i.e., the Hamiltonian acting on the auxiliary sites. Thereby, it is easy to identify the low-energy space \mathcal{P} as the one containing all states with empty *c* and *d* sites, while the high-energy configurations \mathcal{Q} are all the remaining ones with at least one fermion placed on these auxiliary sites. As a consequence, $E_0 = 0$ and the energy separation is of the order of μ . As long as $t_{\parallel} = t_{\perp} = 0$, H_0 is already diagonal in the Fock basis, and this allows for an exact evaluation of $\hat{G}_{\mathcal{Q}}(E_0)$, leading to the compact expressions of Eqs. (8) and (9).

If we now include such terms, i.e., $t_{\parallel}, t_{\perp} \neq 0$, this is not true anymore since a fermionic excitation on the *c* and *d* states is now allowed to delocalize across the auxiliary sites. Fortunately, we can still evaluate $\hat{G}_{\mathcal{Q}}(E_0)$ if we restrict for a moment to the case in which at most one fermionic state in the c and d sites can be occupied. The matrix elements of this operator decay exponentially in real space. This in turn leads to an additional exponentially decaying hopping in the a, b chains of the form

$$H_{t,\exp} = \sum_{j,l,\alpha} -t'_{\alpha} \gamma_{\alpha}^{|j-k|} \alpha_{j}^{\dagger} \alpha_{k} + \text{H.c.}, \ \gamma_{\alpha} < 1,$$

$$t'_{\alpha} = \frac{2J^{2}}{\sqrt{\mu^{2} - 4(t_{\parallel} \pm t_{\perp})^{2}}},$$

$$\gamma_{\alpha} = \frac{1}{2(t_{\parallel} \pm t_{\perp})} \{\mu - \sqrt{\mu^{2} - 4(t_{\parallel} \pm t_{\perp})^{2}}\}, \qquad (A6)$$

where the -(+) holds for $\alpha = a$ (b). However, the fourthorder term in the Schrieffer-Wolff transformation, which generates the desired pair-hopping term, requires to deal with two fermions on the *c* and *d* sites, and therefore to solve the full interacting problem. However, an analytic solution is not that easy any more. Anyway, in the limit of $t_{\parallel}, t_{\perp} \ll \mu$ (consistently with all other energy scales of the setup), one can treat them as small perturbations and compute the Green operator perturbatively. The sizable diagonal elements are responsible for the generation of the pair-hopping terms, while the offdiagonal contributions are again exponentially suppressed. We finally arrive at the expression

$$W'_{j} = W(1 + (t_{\parallel}, t_{\perp})\Gamma_{j}(t_{\parallel}, t_{\perp})^{T}) + \mathcal{O}(t_{\parallel}^{4}, t_{\perp}^{4}),$$
(A7)

where Γ_j are 2 × 2 matrices, depending on all other parameters, with $\Gamma_1 \neq \Gamma_2$, which allows for detuning $W_1 \neq W_2$. In Fig. 7 some examples for $W_j(t_{\parallel}, t_{\perp})$ are shown. Moreover, a third pair coupling operator is generated:

$$H_{W_3} = (t_{\parallel}, t_{\perp}) \Gamma_3(t_{\parallel}, t_{\perp})^T \sum_j a_j^{\dagger} a_{j+1} b_j^{\dagger} b_{j+1} + \text{H.c.}$$
(A8)

This was also one of the reasons to consider the slightly more general toy model of Eq. (8) where one finds the relation $W_3 = (t_{\parallel}, t_{\perp})\Gamma_3(t_{\parallel}, t_{\perp})^T$. The possibility of detuning W_1 relative to W_2 and also the generation of W_3 shifts the effective model near the vicinity of the exactly solvable model [20], for which the relation $W_2 = W_3 = W_1/2$ holds. Comparing to Fig. 7, indeed, we see that introducing t_{\parallel} increases W_1 relative to W_2 and also introduces a positive W_3 .

APPENDIX B: BOSONIZATION DETAILS

In this Appendix, we provide the details of the derivation of the bosonized low-energy theory and its bare couplings in terms of the microscopic parameters. The starting point is the effective Hamiltonian of Eq. (8). In order to keep the equations simple, we start here by using the simplified single-chain Hamiltonian from the main text:

$$\widetilde{H}_{\alpha} = \sum_{j} \left(-t(\alpha_{j}^{\dagger}\alpha_{j} + \text{H.c.}) + U_{\alpha}n_{j}^{\alpha}n_{j+1}^{\alpha} \right).$$
(B1)

However, towards the end of this Appendix we will shortly discuss additional operators which are generated by the Schrieffer-Wolff transformation. The first step is to rewrite the lattice annihilation and creation operators $\alpha^{(\dagger)}$ in terms of two (slowly varying) envelope functions $\psi_{R/L,\alpha}$ defining the right



FIG. 7. Dependence of the effective parameters W_1 , W_2 , and W_3 for (a) $t_{\perp}/t = 0$ and (b) $t_{\perp}/t = 0.5$. The other parameters are chosen to be $V_1/t = 1$, $V_2/t \approx -0.83$.

and left moving fields:

$$\alpha_j = \sqrt{a} \Big(\psi_{\alpha,R}(x_j) e^{ik_{\mathrm{F}}x_j} + \psi_{\alpha,L}(x_j) e^{-ik_{\mathrm{F}}x_j} \Big). \tag{B2}$$

The "continuum" position x_j is defined as $x_j := aj$, with *a* the lattice spacing, in terms of which we will express all quantities (like lengths, energies, etc.) in the following. The Fermi momentum is defined by $k_{\rm F} = \frac{N\pi}{2La}$, with *N* being the number of fermions and *L* the number of lattice sites in the system. In order to formulate a theory in the continuum, we send $a \to 0$ while keeping constant the product $ak_{\rm F} := \delta = \pi v$, as well as all energies $ta, U_{\alpha}a, \ldots$. This also amounts to replacing sums by integrals according to the rule $a \sum_j \to \int dx$. Henceforth, we will also remove any residual explicit dependence on the lattice spacing by appropriately rescaling the fields and the coupling constants for better readability.

The next step is the assumption of linearity for the fermionic dispersion relation near the (two) Fermi points. The right- and left-moving fields become thus independent from each other, and the free fermion Hamiltonian is written as

$$H_{0} = \sum_{\alpha=a,b} \int dx \, h_{0,\alpha}(x):$$

$$h_{0,\alpha}(x) = -iv_{\mathrm{F}}[\psi_{\alpha,R}^{\dagger}(x)\partial_{x}\psi_{\alpha,R}(x) - \psi_{\alpha,L}^{\dagger}(x)\partial_{x}\psi_{\alpha,L}(x)],$$
(B3)

where $v_{\rm F} = 2t \sin(\delta)$ defines the Fermi velocity. The independent left- and right-moving fields are then rewritten in terms of vertex operators of continuous bosonic fields $\varphi_{\alpha}(x)$ and $\vartheta_{\alpha}(x)$, describing respectively the density and phase fluctuations:

$$\psi_{\alpha,\sigma} = \frac{\eta_{\alpha,\sigma}}{\sqrt{2\pi}} \exp(-i\sqrt{\pi}(\vartheta_{\alpha} + s_{\sigma}\varphi_{\alpha})),$$
$$n^{\alpha}(x) \coloneqq \psi_{\alpha,R}^{\dagger}\psi_{\alpha,R} + \psi_{\alpha,L}^{\dagger}\psi_{\alpha,L} = -\frac{1}{\sqrt{\pi}}\partial_{x}\varphi_{\alpha}(x),$$
$$\partial_{x}\vartheta_{\alpha}(x,t) = -\partial_{v_{\rm F}t}\varphi_{\alpha}(x,t), \tag{B4}$$

with $s_{\sigma} = +1$ if $\sigma = R$ (and -1 for *L*), and the latter relation defined in the Heisenberg picture with explicit time-dependent operators. It is particularly useful to recall also the expression for the current densities:

$$J_{\sigma}^{\alpha}(x) = -\frac{1}{\sqrt{4\pi}}\partial_x \{\varphi_{\alpha}(x) + s_{\sigma}\vartheta_{\alpha}(x)\}.$$
 (B5)

The Klein factors $\eta_{\alpha,\sigma}$, forming a Clifford algebra (i.e., $\{\eta_{\alpha,\sigma}, \eta_{\beta,\rho}\} = 2\delta_{\alpha,\beta}\delta_{\sigma,\rho}$), are essential to obtain the correct anticommuting behavior of the fermionic operators [27]. However, thanks to the particle-number-preserving character of the Hamiltonian, we can simply treat them as simple Hermitian matrices and reorder their strings to be the same in all terms; henceforth, we consider to have already performed such a reordering and drop all Klein factors from our formulas.

Subsequently, we rewrite the different lattice operators in terms of the bosonic fields, according to the dictionary presented in Eqs. (B4) and (B5), and taking care of normal ordered products along standard procedures [32]. One major consequence is that, in most cases, the algebra amounts to directly summing the exponents appearing in Eq. (B4) when dealing with products of $\psi^{(\dagger)}$ fields. Since all terms turn out to be diagonal in the bosonic fields, and we are considering identical (a, b) species, it is convenient to resort to symmetric and antisymmetric combinations of the fields:

$$\varphi_{\pm}(x) = \frac{1}{\sqrt{2}}(\varphi_a(x) \pm \varphi_b(x)). \tag{B6}$$

The free Hamiltonian and the intrachain interactions are thereby well known to be mapped to a quadratic form:

$$H_0 = \sum_{\tau=\pm} \frac{v_\tau}{2} \int dx \, K_\tau (\partial_x \vartheta_\tau(x))^2 + \frac{1}{K_\tau} (\partial_x \varphi_\tau(x))^2, \quad (B7)$$

with v_{τ} and K_{τ} the Fermi velocity and the so-called Luttinger parameter in each sector. These are equal to v_F and 1 in the free case, and get renormalized by the interactions. Indeed, the representation of the lattice number operator reads

$$\alpha_j^{\dagger}\alpha_j/a = \dots \approx n^{\alpha}(x) + O_{\text{CDW}}^{\alpha} + O_{\text{CDW}}^{\alpha\dagger}$$
 (B8)

with $O_{\text{CDW}}^{\alpha} = \psi_{\alpha,R}^{\dagger}(x_j)\psi_{\alpha,L}(x_j)e^{-i2k_{\text{F}}x_j}$ accounting for charge density waves. By integrating their product on neighboring sites over the whole lattice, all oscillating terms will average

out unless we are at half filling, and we are left with

$$U_{\alpha} \sum_{j} n_{j}^{\alpha} n_{j+1}^{\alpha} \longrightarrow U_{\alpha} \int dx \left(J_{R}^{\alpha} + J_{L}^{\alpha} \right)^{2} - 2 \cos(2\delta) J_{R}^{\alpha} J_{L}^{\alpha}$$
$$= \frac{1}{2\pi} \int dx \ g_{U,\vartheta} \left(\partial_{x} \vartheta_{\alpha} \right)^{2} + g_{U,\varphi} \left(\partial_{x} \varphi_{\alpha} \right)^{2}$$
(B9)

with the following coefficients:

$$g_{U,\vartheta} = U_{\alpha} \cos(2\delta),$$

$$g_{U,\varphi} = U_{\alpha}(2 - \cos(2\delta)).$$
(B10)

By applying the same procedure to the total unit-cell interaction, the interchain terms give rise to an extra sine-Gordon interaction involving the field φ_{-} , originating from scattering terms of the form

$$\hat{O}(x) = \psi_{a,R}^{\dagger}(x)\psi_{a,L}(x)\psi_{b,L}^{\dagger}(x)\psi_{b,R}(x) + \text{H.c.}$$
(B11)

The bosonized expression reads

$$H_{\rm nn} \longrightarrow \frac{1}{2\pi} \sum_{\tau=\pm} \int dx \, g_{{\rm nn},\vartheta,\tau} (\partial_x \vartheta_\tau)^2 + g_{{\rm nn},\varphi,\tau} (\partial_x \varphi_\tau)^2 - \frac{U_{nn} \cos(2\delta)}{\pi^2} \int dx \, \cos(\sqrt{8\pi}\varphi_-)$$
(B12)

with coefficients

$$g_{\operatorname{nn},\vartheta,+} = -g_{\operatorname{nn},\vartheta,-} = g_{\operatorname{nn},\vartheta,-} = U_{nn} \cos(2\delta),$$

$$g_{\operatorname{nn},\vartheta,+} = U_{nn}(4 - \cos(2\delta)).$$
(B13)

Finally, we can also translate the pair-hopping terms by similar algebra, and obtain

$$H_{W_1} \longrightarrow -\frac{2\sin^2(\delta)W_1}{\pi^2} \int dx \,\cos(\sqrt{8\pi}\vartheta_-),$$
 (B14)

$$H_{W_2} \longrightarrow \frac{1}{2\pi} \sum_{\tau=\pm} \tau \int dx \, g_{W,\vartheta} (\partial_x \vartheta_\tau)^2 + g_{W,\varphi} (\partial_x \varphi_\tau)^2 + \frac{W_2}{\pi^2} \int dx \, \cos(\sqrt{8\pi}\varphi_-),$$
(B15)

with coefficients

$$g_{W,\vartheta} = 2W_2 \sin^2(\delta),$$

$$g_{W,\varphi} = 2W_2 \cos^2(\delta).$$
(B16)

Noticeably, the two kinds of pair hoppings give rise to sine-Gordon terms for the two conjugate bosonic fields: it will be the one in ϑ_{-} which will be responsible for the topological phase, while the one in ϕ_{-} is already present with other types of density interactions between the two chains. The sine-Gordon term involving ϕ_{-} favors the formation of either a spin density wave or charge density wave, depending on the sign of the coupling [32].

By putting all these contributions together, we get to Eq. (12) with the bare couplings of the low-energy theory expressed in terms of those of the microscopic lattice Hamiltonian:

$$\kappa_{\tau} = \frac{1}{2\pi} (\pi v_{\rm F} + g_{U,\varphi} + g_{\rm nn,\varphi,\tau} + \tau g_{W,\varphi}),$$

$$\xi_{\tau} = \frac{1}{2\pi} (\pi v_{\rm F} + g_{U,\vartheta} + g_{\rm nn,\vartheta,\tau} + \tau g_{W,\vartheta}),$$

$$K_{\tau}^{2} = \frac{\xi_{\tau}}{\kappa_{\tau}}, \quad v_{\tau}^{2} = 4\kappa_{\tau}\xi_{\tau},$$

$$\beta_{1} = -\frac{2W_{1}\sin^{2}(\delta)}{\pi^{2}}, \quad \beta_{2} = \frac{W_{2} - \cos(2\delta)U_{nn}}{\pi^{2}}.$$
(B17)

In order to determine the actual phase the system will end up in, such bare couplings should be analyzed from the renormalization group (RG) perspective, i.e., by integrating out short-distance degrees of freedom and retaining the longdistance ones only, thus moving from a full quantum action to a low-energy effective one.

1. RG flow

The RG flow is controlled by the flow parameter l, representing the effective momentum cutoff in terms of the overall ultraviolet one via $\Lambda_{\rm UV}/\Lambda \simeq 1 + dl$. At first order, the equations for the sine-Gordon couplings β_k , with k = 1, 2, are determined by their scaling dimension Δ_k :

$$\frac{d\beta_k(l)}{dl} = (2 - \Delta_k)\beta_k(l).$$
(B18)

If a coupling flows to ∞ for $l \to \infty$, then the theory acquires a gap, and the coupling is dubbed relevant: this happens if $\Delta_k < 2$. One should actually stop the flow when the value overcomes the cutoff, and one could then estimate thereby the value of the gap. If instead the scaling dimension is large, $\Delta_k > 2$, the coupling is irrelevant, since it flows to zero and disappears from the effective theory. In the limiting case, $\Delta_k = 2$, the coupling is labeled as marginal, and higher orders are required to find out its actual behavior. In our Eq. (12) we find the common result $\Delta_1 = 2/K_-$ and $\Delta_2 = 2K_-$ [32]: while K_+ does not flow at all, and the symmetric sector remains gapless in all cases, we should resort to higher orders of perturbation theory to inspect the flow of K_- , at least around $K_- \approx 1$,

$$\frac{dK_{-}}{dl} = \frac{4\pi^2 \mathcal{A}}{v_{-}^2} \bigg(\beta_1^2 \frac{1}{K_{-}} - \beta_2^2 K_{-}^3 \bigg), \tag{B19}$$

where A is some cutoff-dependent constant. No additional contribution to the flow of the β_k couplings is generated at second order, and thus the set of Eqs. (B18) and (B19) is consistent.

Similar RG flow equations have been studied in the past, and it has been shown that all points on the plane defined by

$$\frac{v_{-}}{\pi\sqrt{\mathcal{A}}}(K_{-}-1) - |\beta_{2}| + |\beta_{1}| = 0$$
 (B20)

flow to a critical model [30]. Upon inserting the bare values of K_{-} and β_{j} in terms of the original lattice couplings, Eq. (B17), and linearizing the dependence of v_{-} and K_{-} on small W_{2} values, the criticality condition can be recast, for $U_{nn} = 0$, as

$$|W_1| = D W_2(\text{sgn}(W_2) - C)$$
 (B21)

with two nonuniversal constants $D = \pi^2/(2 \sin^2 \delta)$ and $C = \cos(2\delta)/(\pi^2 \sqrt{A})$ (in the case of $U_{\alpha} = 0$). Therefore, we expect a pretty different behavior depending on the sign of W_2 , while a symmetry in W_1 should appear. For example, inserting all numbers we would expect a slope $\alpha_+ = 1$ ($\alpha_- = -1/3$) in the case of $W_2 > 0$ ($W_2 < 0$), which perfectly matches the observed slope in Fig. 2(a). The same equation can also be used to predict the behavior of the critical lines in the $W_1 = W_2 = W$ and U_{α} phase diagram of Fig. 2(b). However, the equations are not compact and easy to write. But for the choice of the nonuniversal constant \mathcal{A} used for the numerical integration one finds a leading-order linear behavior for W < 0 and a quadratic leading order for W > 0, matching the numerical observations.

We tested these predictions by numerically integrating the differential equations (B18) and (B19) starting from the bare values of the couplings, up to a point where one of the two β_k coupling constants reaches a certain cutoff value $\beta_k(l_*) = c$. This indicates the formation of a spectral gap of one or the other kind, which can be estimated according to $\Delta \sim e^{-l_*}$. The precise predictions depend on the nonuniversal constant \mathcal{A} appearing in the flow equations, too; nevertheless, we can use them for a rough estimation of the phase diagram, presented in Fig. 2. The (asymmetric) linearity of the boundaries is evidently kept up to fairly large values of the W couplings.

2. Two Kitaev chains

In the context of the adiabatic connection between our model and the situation of two independent Kitaev chains (which will be deepened in Appendix C), it is worth briefly mentioning the bosonization of the pair-hopping operator acting equally on the two chains:

$$H_{\Delta} = \sum_{j,\alpha} \Delta \alpha_j^{\dagger} \alpha_{j+1}^{\dagger} + \text{H.c.}$$
(B22)

By using the recipe exposed above, one finds

$$H_{\Delta} \longrightarrow -g_{\Delta} \sum_{\alpha} \int dx \cos(\sqrt{4\pi} \vartheta_{\alpha}(x))$$

$$\sim \int dx \cos(\sqrt{2\pi} \vartheta_{+}(x)) \cos(\sqrt{2\pi} \vartheta_{-}(x))$$
(B23)

with $g_{\Delta} = 2\Delta \sin(\delta)/\pi$. In a situation where the ϑ_{-} field is already locked, as in the topological phase through the H_{W_1} operator, H_{Δ} is basically the operator $\cos(\sqrt{2\pi}\vartheta_+(x))$. The scaling dimension of this operator is given by $\Delta = (2K_+)^{-1}$; i.e., as long as $K_+ > 1/4$, this operator becomes relevant and gaps out the charge sector.

3. Irrelevance of additional effective terms

As promised at the beginning of this long Appendix, we want to justify our assumption of dropping all the additional terms which appear in the effective single-chain Hamiltonians \widetilde{H}_{α} . As a starting point, the single-chain Hamiltonians of the full model are chosen as the usual spinless Fermi-Hubbard Hamiltonian consisting of a hopping term and a



FIG. 8. Relation of the parameter space of Eq. (8) to some related works in the literature. The model of Ref. [17] spans the red line at $W_2 = W_3 = U_{nn} = 0$; the effective model in Eq. (9) spans the magenta plane at $W_2 = W_1$, $W_3 = 0$; and the black dot indicates the exactly solvable model of Ref. [20] at $W_2 = W_3 = U_{nn} = W_1/2$. However, since the last lives in a higher-dimensional space, this is to be understood as a cartoon, rather than an exact statement.

nearest-neighbor interaction term:

$$H_{\alpha} = \sum_{j} \left(-t(\alpha_{j}^{\dagger}\alpha_{j} + \text{H.c.}) + U_{\alpha}n_{j}^{\alpha}n_{j+1}^{\alpha} \right).$$
(B24)

The commutators $\mathcal{K}_{\alpha,j} = [H_{\alpha}, \alpha_j]/t$ can be readily computed to be

$$\mathcal{K}_{\alpha,j} = \alpha_{j+1} + \alpha_{j-1} - \frac{U_{\alpha}}{t} \left(\alpha_j n_{j+1}^{\alpha} + n_{j-1}^{\alpha} \alpha_j \right)$$
(B25)

and inserted into Eq. (10): while $\alpha_j^{\dagger} \mathcal{K}_{\alpha,j}$ + H.c. will only redefine the effective values of *t* and *U*, the product $\mathcal{K}_{\alpha,j} \mathcal{K}_{\alpha,j}$ will also generate three-body terms $(n_{j-1} n_j n_{j+1})$.

In general, the lowest order of an operator consisting of N fermionic densities is given in bosonization by a power-N operator $\sim (\partial_x \varphi_\alpha)^N$. The scaling dimension of these operators can be shown to be $\Delta_N = N$; i.e., their flow equations are of the form $d\beta_N/dl = (2 - N)\beta_N$. Thus, these operators become surely irrelevant for all $N \ge 3$.

In addition to these *N*-power operators, also some higherorder harmonic cosine terms might appear, i.e., $\cos(n\sqrt{4\pi}\varphi_{\alpha})$. However, their scaling dimension is a monotonic increasing function of *n*, meaning that the most relevant operator is given by the first harmonic n = 1. Nevertheless, with increasing interaction strength these higher-order harmonics might become relevant, if $K_{\pm} \ll 1$; however, this is by far not the scenario we are considering in this paper.

APPENDIX C: ADIABATIC CONNECTION TO EXACTLY SOLVABLE MODELS

In this Appendix, we provide details about the two paths in parameter space we chose for illustrating the adiabatic connection between our effective model in Eq. (8) and (i) the exactly solvable one of Ref. [20] or (ii) the setup with two uncoupled noninteracting Majorana chains. In Fig. 8 we



FIG. 9. Fitted single-particle correlation length *l* for the singlechain correlation function $\langle a_1 a_l^{\dagger} \rangle$ along the two paths of Appendix C. (a) Interpolation between the effective model and the exactly solvable model of Ref. [20] via the path $\gamma(s)$. (b) Linear interpolation between the effective model with L = 100, $\nu = 1/3$, $W_1 = W_2 =$ 0.5, $U_{\alpha} = -0.7$, and $U_{nn} = 0$. We chose different starting points of the effective model in both adiabatic paths. This was motivated by finding the shortest connection between our effective model and the target model. However, we explicitly checked that the effective model was in both cases in the Majorana-like phase, and that all other fingerprints of the MZM are present along the paths.

also show a cartoon picture of how all the different numberconserving models of this article are related.

For the first one, whose nonvanishing gap is plotted in Fig. 9(a), we chose

$$H_{\text{ext}} = \sum_{j;\alpha=a,b} \left(-t \, \alpha_{j+1}^{\dagger} \alpha_j + \frac{\mu}{2} \left(n_j^{\alpha} + n_{j+1}^{\alpha} \right) - U_{\alpha} \, n_j^{\alpha} n_{j+1}^{\alpha} - \frac{U_r}{2} \left(n_j^a n_j^b + n_{j+1}^a n_{j+1}^b \right) - U_{nn} \left(n_j^a + n_j^b \right) \left(n_{j+1}^a + n_{j+1}^b \right)$$

$$- W_{1} b_{j}^{\dagger} b_{j+1}^{\dagger} a_{j+1} a_{j}
+ W_{2} a_{j}^{\dagger} a_{j+1} b_{j}^{\dagger} b_{j+1} + W_{3} a_{j}^{\dagger} a_{j+1} b_{j+1}^{\dagger} b_{j}
+ H.c.),$$
(C1)

where H.c. acts over every term which is not already explicitly Hermitian. In the Hamiltonian above, the chemical potential μ , half of the strength for the very first and very last site compared to the bulk sites and compared to Eq. (8), an additional interaction term between the two chains U_r is introduced. This is necessary in order to solve the model exactly at the special point considered in Ref. [20]. However, we explicitly checked that the existence of the Majorana-like phase does not depend on the lowering of the on-site potential for the first and last sites, as one would expect for a topological phase.

The path (setting t = 1 for fixing the energy scale)

$$\gamma(s) = (W_2(s), W_3(s), U_\alpha(s), U_r(s))$$

= (0.8 - 0.4s, 0.4s, -0.7 + 0.3s, -0.8s), (C2)

with $W_1 = 0.8$, $U_{nn} = -0.4$, and $\mu = 4$ kept constant, stretches from s = 0 at our model to s = 1 at $\frac{1}{4}H_{\lambda=0.8}$ in the notation of Ref. [20].

For the second case, we define a simple linear interpolation, $s \in [0, 1]$, between the two limiting cases,

$$H(s) = (1 - s)H_{\text{eff}} + s(H_{\text{kitaev},a} + H_{\text{kitaev},b}), \quad (C3)$$

with H_{eff} the one in Eq. (8) and $H_{\text{kitaev},\alpha}$ the Majorana chain for the $\alpha = a, b$ species defined at the sweet spot:

$$H_{\text{kitaev},\alpha} = -t \sum_{j} (\alpha_{j}^{\dagger} - \alpha_{j})(\alpha_{j+1} + \alpha_{j+1}^{\dagger})$$

$$= -t \sum_{j} (\alpha_{j}^{\dagger} \alpha_{j+1} + \alpha_{j}^{\dagger} \alpha_{j+1}^{\dagger} + \text{H.c.}).$$
 (C4)

The correlation length extracted from the single-particle correlation functions stays finite along the interpolation path, as shown in Fig. 9(b). The big drop in the beginning can be explained by the charge sector gapping out. This is supported by looking at the entanglement entropy (not shown here) which becomes asymptotically constant instead of following the logarithmic law of critical systems [50]. This behavior is expected since adding the pair potential terms allows for coupling of states with all possible particle numbers.

The existence of such an adiabatic connection, preserving the time-reversal symmetry and a $(\mathbb{Z}_2)_+ \times (\mathbb{Z}_2)_-$ subgroup of the full symmetry group U(1)₊ × $(\mathbb{Z}_2)_-$ of our model, is instrumental to understand and categorize the topological phase. Breaking the U(1) symmetry leads to a fourfold degeneracy, differently from the case of preserving the U(1) symmetry. This can be understood by recognizing that the four ground states split into two ground states for each parity of the total particle number. By conserving the total particle number, and therefore fixing the parity, we restrict the model to one of the two subspaces having either an even parity ($N_{tot} \mod 2 = 0$) or an odd parity. This results in an effective two-fold groundstate degeneracy as observed in the DMRG simulations (see Fig. 5). Since the model we are dealing in this work is of an interacting nature and the interaction is crucial to obtain the desired Majorana-like phase, it should be noted that the general \mathbb{Z} classification of noninteracting fermionic systems in spatial dimension one breaks down to a \mathbb{Z}_8 classification presence of interactions and time-reversal symmetry, as was shown in Ref. [51]. As long as we only couple two chains by the effective Hamiltonian (8) such a distinction is not relevant.

APPENDIX D: ENTANGLEMENT SPECTRUM ANALYSIS

In this Appendix we briefly discuss the form of the entanglement spectrum within the topological phase, as depicted in Fig. 4(a). From the bosonization analysis of our model we learned that the symmetric and antisymmetric sectors of the Hilbert space decouple. A similar decoupling is therefore also expected for the entanglement spectrum and the states corresponding to the Schmidt decomposition:

$$\epsilon_{\delta N,P,i} = -2\log(\lambda_{\delta N,P,i}) \stackrel{!}{=} \epsilon_{\delta N,i}.$$
 (D1)

Here, $\lambda_{\delta N,P,j}$ denotes the Schmidt values labeled by two quantum numbers associated to the symmetric (δN) and antisymmetric sector (*P*), namely, the excess charge with respect to average filling and the parity of one of the dressed chains. Since the symmetric sector is gapless and the antisymmetric one is in a gapped topological phase, we expect that the spectrum will show distinctive features of both.

The gapless charge sector is indeed displaying the universal behavior with entanglement levels arranged in parabolas as a function of the quantum number δN , whose details are determined by the underlying conformal field theory [37,38].

This special form of the entanglement spectrum is also useful for extracting the Luttinger parameter K_+ , which was also used in the main text [39]:

$$\langle (N_{+,l} - \langle N_{+,l} \rangle)^2 \rangle = \frac{K_+}{2\pi} \log \left(\frac{2L}{\pi} \sin(\pi/Ll) \right)$$

Moreover, the curvature of the parabolas is also mainly determined by the Luttinger parameter K_+ by [38]

$$-\log(\lambda(\delta N_+)^2) \sim \frac{K_+}{2}(\delta N_+)^2$$

However, the exact numerical values of the entanglement spectrum and all correct degeneracies are hard to extract, since they are subject of strong finite bond dimension effects [37] and using the formula connecting the total number fluctuation to the Luttinger parameter is more stable.

The topological character of the gapped antisymmetric sector is dictating the presence of two copies of each level, transforming differently under the parity. This is in perfect agreement with the results of Ref. [28], and the degeneracy represents the fractionalization of the fermionic parity operator at the end of a finite subsystem, as is more generally known for symmetry-protected topological phases [52]. Comparing the results for the system in the topological phase, Fig. 4(a), with results from the system being in the trivial phase, Fig. 4(b), we indeed find that the parabolas originating from the gapless charge sector are still present; however, the nontrivial double degeneracy between the two different parity sectors is gone.

APPENDIX E: THE FULL MODEL IN THE PERTURBATIVE REGIME

In this Appendix we report the results found for the full model deep inside the perturbative regime. The Schrieffer-Wolff transformation used for deriving the effective Hamiltonian (9) is valid in the limit of μ (the chemical potential on the *c* and *d* states) being the dominant energy scale. Together with requiring the resulting parameters of the effective model defined by relation (9) being in the topological regime gives some additional constrains on the parameters of the full model.

For example, targeting the effective parameters $U_{nn} \approx 0$, $W \approx t/1.4$, and $U_{\alpha} = -0.5t$ while fixing

$$u/J = 5$$
, $V_1/J = -1$, $V_2/J = 1.25$, $t = 0.01$,

leads to $W/J \approx 0.007$ and $U_{\alpha}/J \approx -0.005$. Thus, the intrawire interaction U_{α} is several orders of magnitude smaller than the interactions on the c and d states (V_1 and V_2), which are not constrained to be small since they act exclusively on the c and d subspace. Further, we used $t_{\parallel} = 0.05$ together with $t_{\perp} = 0$. This should lead to a slight detuning $W_1 > W_2$ favoring the topological phase as expected from Appendix A. The results for a simulation of a system with 60 sites and a filling of $n_e = 40$ ($\nu = 1/3$ in the effective model) are shown in Fig. 10. First looking at the density profiles in Fig. 10(b), one sees a slight decrease of the population on the a and b sites compared to the effective model. However, this is expected due to the additional c and d states. Now looking at the end-to-end correlation function in Fig. 10(a), one sees the same characteristic behavior as in the effective model, i.e., an exponential decay towards the middle of the system together with an exponential revival showing a relative sign between the two parity sectors. Remarkably, the energy gap (correlation length) of the full four-flavor model seems to be larger (smaller) than in the effective model. This can be explained by the additional terms in Hamiltonian (8) which are discarded in the numerical simulations of the effective model, as discussed below Eq. (10).

APPENDIX F: DEVIATION FROM PERFECT π FLUX

In this Appendix we shortly address the effects of having a small deviation from a perfect π flux $\Phi = \pi - \epsilon$ through the rhombi building the chain, Fig. 1(a). This change amounts to adding a perturbation term to H_{\Diamond} according to

$$H_{\Diamond}(\epsilon) = H_{\Diamond} + \delta H(\epsilon),$$

$$\delta H(\epsilon) = J \sum_{j} i\epsilon \, d_{j}^{\dagger} a_{j} + \text{H.c.}$$
(F1)

Perfect cancellation of single-particle processes between the (dressed) *a* and *b* wires only occurs at perfect π flux. $\delta H(\epsilon)$ therefore explicitly breaks the exact \mathbb{Z}_2 symmetry representing the fermionic parity in each (dressed) wire, and with it also the protection of the Majorana edge modes. Intuitively, we would expect the two parity ground states to hybridize and to form a ground-excited doublet, split by an energy amount proportional to the deviation from π flux.



FIG. 10. Comparison of the results for the full model with couplings described in the text versus the effective model for an L = 60 system: (a) single-particle correlations, (b) local density of a single species. Results obtained for the sites *b* are analogous.

By performing the Schrieffer-Wolff transformation similar to Appendix A it is possible to find the induced perturbation on the effective model (8) to lowest order,

$$\delta H_{\rm eff}(\epsilon) = \frac{i\epsilon J^2}{\mu^3} \sum_j (a_j^{\dagger} b_j - b_j^{\dagger} a_j), \qquad (F2)$$

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which makes evident its \mathbb{Z}_2 -breaking character. This leads to a direct coupling between the two parity sectors

$$\langle \Omega_+ | \delta H_{\text{eff}}(\epsilon) | \Omega_- \rangle \propto \epsilon$$
 (F3)

and thus to a mixing of these states to form the true ground and first-excited states, with an energy splitting proportional to ϵ .

While the above concerns a homogeneous violation of the π -flux condition, another interesting question revolves around a single flux impurity at site *j*:

$$\hat{O}_j = g_p a_j^{\dagger} b_j + \text{H.c}$$

Cheng *et al.* [19] showed using bosonization that \hat{O}_j becomes irrelevant as long as j is deep inside the bulk. However, approaching the boundaries j = 0 or j = L the operator \hat{O}_j essentially becomes the Majorana-mode operator of the left or right site of the system resulting exactly in the above-mentioned coupling between the two ground states [Eq. (F3)].

We therefore expect that the larger is the window around the edges for which the π -flux condition can be exactly implemented, the smaller will be the splitting and thus the larger the lifetime of the Majorana bound states.

Verifying these statements numerically is technically very tedious. As mentioned in Sec. IV, even in the ideal case of $\Phi = \pi$, the DMRG calculations would tend to select an equal-weighted superposition of the two sectors if the parity conservation is not imposed at the tensor level. As a consequence, the edge-to-edge revival of correlations gets shadowed, as in Fig. 3; also features in the entanglement spectrum get lost, which are the main effects expected from a π -flux violation. Furthermore, the symmetry-breaking energy split proportional to ϵ gets masked by the overall gapless nature of the model, which dictates a finite-size closing of the gap as L^{-1} . This limits quite severely the accessible system sizes and/or the maximal value of the π -flux violation according to $\epsilon \ll L^{-1}$.

A more reasonable way to study the fate of the Majorana modes in the presence of a π -flux violation would be to look at dynamical observables, like the autocorrelation function of an operator having a finite overlap with the Majorana edge modes [53]. This approach would be independent of imposing symmetries to the tensors and would give a direct access to the lifetime of the Majorana edge modes. However, studying dynamical quantities goes beyond the scope of this paper and is left for future studies.

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