


## Enhanced amplitude for superconductivity due to spectrum-wide wave function criticality in quasiperiodic and power-law random hopping models

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We study the interplay of superconductivity and a wide spectrum of critical (multifractal) wave functions (“spectrum-wide quantum criticality,” SWQC) in the one-dimensional Aubry-André and power-law random-banded matrix models with attractive interactions, using self-consistent BCS theory. We find that SWQC survives the incorporation of attractive interactions at the Anderson localization transition, whereas the pairing amplitude is maximized near this transition in both models. Our results suggest that SWQC, recently discovered in two-dimensional topological surface-state and nodal superconductor models, can robustly enhance superconductivity.

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Bulk low-temperature superconductors typically reside in the so-called “dirty limit”  $\Delta \ll 1/\tau_{\text{el}}$ , where  $\Delta$  is the spatially averaged order parameter amplitude and  $1/\tau_{\text{el}}$  is the elastic scattering rate. As long as the normal state is a good conductor ( $\varepsilon_F \tau_{\text{el}} \gg 1$ , where  $\varepsilon_F$  is the Fermi energy), nonmagnetic disorder has a negligible effect on  $T_c$  (Anderson’s theorem [1,2]). Unconventional superconductors, such as the cuprates and twisted-bilayer graphene [3,4] are effectively two dimensional (2D), however, where arbitrarily weak disorder typically induces Anderson localization of all electronic states [5].

The competition between disorder and superconductivity is responsible for the superconductor-insulator transition [6], which has been a subject of extensive study (see, e.g., Refs. [7–10]). Self-consistent numerical solutions to the Bogoliubov–de Gennes (BdG) equations revealed that strong disorder, which localizes single-particle states, can induce emergent granularity in  $\Delta(\mathbf{r})$  [11–13]. This augments phase fluctuations that ultimately destroy superconductivity [11,12,14–16].

A surprising recent development was the realization that superconductivity can sometimes be *enhanced* by random or structured inhomogeneity [14,17–34]. In particular, near the bulk Anderson metal-insulator transition or generally for weak disorder in 2D, the critical rarification (multifractality [35]) of single-particle wave functions induced by quantum interference can enhance interaction matrix elements [22,26,27,36,37]. The multifractal wave functions have larger spatial overlap and stronger state-to-state correlations for states with similar energies (“Chalker scaling” [38–40]), and, therefore, interaction effects are stronger compared to that for extended or localized ones. It was argued that this can boost both the superconducting order parameter amplitude  $\Delta$  and  $T_c$  [13,14,22,26,27,41–43]. Multifractal order parameter modulations have recently been observed in experiments on 2D superconductors [44–48].

In this Letter, we consider a new twist on this theme. In particular, we show that the superconducting amplitude can be strongly enhanced for a system with a wide spectrum of

multifractal single-particle wave functions, a phenomenon dubbed “spectrum-wide quantum criticality” (SWQC). SWQC was very recently discovered to arise robustly in 2D surface-state theories with disorder [49–52]. These theories describe surface states of model bulk topological superconductors [37] as well as nodal quasiparticles in dirty 2D  $d$ -wave superconductors [51,53]. In these theories, SWQC may be protected by a robust topological mechanism [52].

In this Letter we perform numerical self-consistent BdG calculations on special one-dimensional (1D) systems also known to exhibit SWQC when fine-tuned to the Anderson metal-insulator transition (MIT). (Working in 1D permits us to access much larger system sizes than would be possible in 2D). In particular, we consider the effect of attractive Hubbard interactions for spin-1/2 fermions in the quasiperiodic Aubry-André (AA) and power-law random-banded matrix models. Quasiperiodic systems have recently garnered a surge of interest due to realizations with ultracold atoms [54–64], applications in many-body localization physics [58–60,63,65–67], Hofstadter superconductivity [68,69], and progress in moiré materials [3,4,70–72] with large twist angles [73–80]. The AA model [81,82] is a canonical example of a 1D quasiperiodic system. Although its energy spectrum is well known to possess fractal structure (the Hofstadter butterfly [83,84]), a less-appreciated aspect is the fractality of the corresponding wave functions, which exhibit SWQC at the MIT tuned by the incommensurate potential strength [85,86]. SWQC also occurs in the ensemble of power-law random banded matrices (PRBM) [35,40,87–94].

We find that SWQC survives at a (renormalized) single-particle MIT in the AA and PRBM models with attractive interactions. Our key result is that the superconducting amplitude  $\Delta$  is enhanced by inhomogeneity relative to the clean case in a wide region around the MIT. The *maximum amplitude* closely tracks the MIT for weak-to-moderate interaction strengths as shown in Figs. 1–3. We also compute the superfluid stiffness  $D_s$  for the interacting AA model. We find that  $D_s$  is always larger than  $\Delta$ , except deep in the Anderson insulator

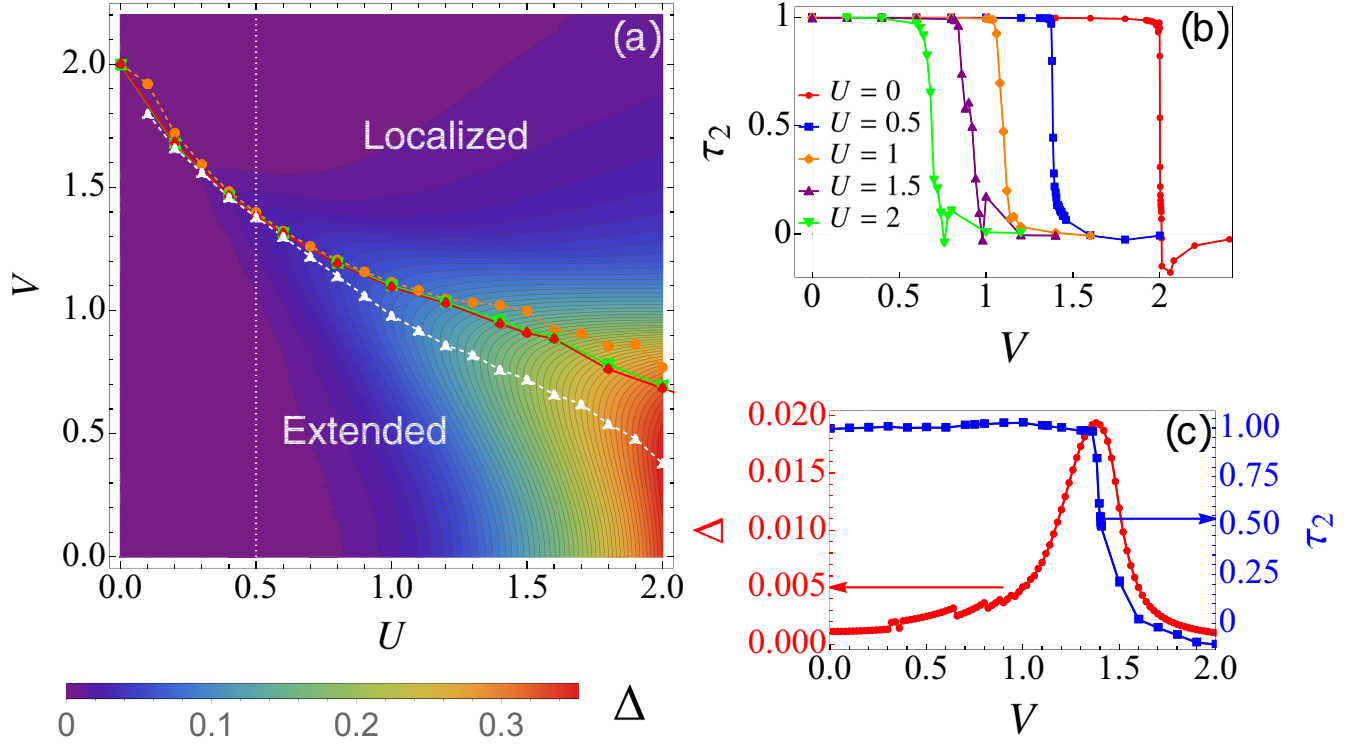


FIG. 1. The enhancement of superconductivity in the AA model with attractive Hubbard interactions, Eq. (1) with  $t = 1$ . (a) Contour plot of  $\Delta$  versus attractive interaction strength  $U$  and incommensurate potential strength  $V$ . The orange ( $L_1 = 1597, L_2 = 2584$ ), green ( $L_1 = 2584, L_2 = 4181$ ), and red ( $L_1 = 4181, L_2 = 6765$ ) curves represent the MIT obtained from scaling of second multifractal dimensions with different system sizes. The strongest enhanced superconductivity with fixed interaction is indicated by the white curve. (b)  $\tau_2$ - $V$  for different interaction strengths.  $\tau_2$  is obtained from the spectrum-averaged inverse participation ratio  $\langle P_q \rangle \sim L^{-\tau_q}$  with two system sizes  $L_1 = 4181$  and  $L_2 = 6765$ . The Anderson transition occurs near the sharp drop of  $\tau_2$ . (c)  $\Delta$  and  $\tau_2$  versus  $V$  with  $U = 0.5$  [cut indicated by the dotted vertical line in (a)].  $\Delta$  peaks around the MIT, where  $\tau_2$  drops sharply.

(Fig. 4). Previous studies employing smaller system sizes also demonstrated multifractal enhancement of superconductivity in the interacting AA model [34,42]. In Ref. [42],  $\Delta$  and  $D_s$  were computed, but the location of the MIT and concomitant maximization of  $\Delta$  were not determined. Our calculations incorporate random Hartree shifts [11,12], an important additional source of quantum interference (Altshuler-Aronov corrections [5]). Our results show that when Anderson localization is prevented in low dimensions (here via fine-tuned potential strengths in special models but as may also occur *generically* in topologically protected 2D systems [49–52]), the rarefied nature of a wide swath of critical single-particle wave functions can strongly boost superconductivity.

*Models.* The spin-1/2 Aubry-André model with attractive Hubbard interaction is defined via

$$H = -t \sum_{i\sigma} (c_{i\sigma}^\dagger c_{i+1\sigma} + c_{i+1\sigma}^\dagger c_{i\sigma}) + \sum_i (V_i - \mu) n_i - U \sum_i n_{i\uparrow} n_{i\downarrow}, \quad (1)$$

where  $c_{i\sigma}$  annihilates a spin- $\sigma \in \{\uparrow, \downarrow\}$  fermion at site  $i$ ,  $t$  is the nearest-neighbor hopping (set to be the energy unit),  $V_i = V \cos(2\pi\beta_p i)$  is the incommensurate potential,  $\mu$  is the chemical potential,  $U$  is the strength of attractive on-site interaction, and  $n_i = n_{i\uparrow} + n_{i\downarrow}$ . We choose  $\beta_p \equiv F_{p-1}/F_p$  to

approximate the inverse golden ratio, where  $F_p$  is the  $p$ th Fibonacci number, which is also the system size [86]. The system goes through a spectrum-wide MIT at  $V = 2t$  without the interaction term [81,82]. All single-particle wave functions are Anderson localized for  $V > 2t$ , and all of them are extended for  $V < 2t$ . All single-particle wave functions are multifractal at the critical point  $V = 2t$  [85,86]. The multifractal property of the wave functions can be characterized by the scaling behavior of the inverse participation ratio (IPR) [35],  $P_q = \sum_i |\psi_i|^{2q} \propto L^{-\tau_q}$  with  $L$  being the system size.

The dimension  $\tau_q \equiv D_q(q-1)$ , where in 1D  $D_q = 1$  ( $D_q = 0$ ) for extended (localized) states, and  $0 < D_q < 1$  for critical multifractal wave functions [35]. Wave functions in the extended (localized) phase near the critical point can also show multifractal properties up to the scale of the correlation (localization) length. The multifractality enhancement of superconductivity can occur in a wide region close to the MIT, driven by critical correlations if the coherence length is shorter than the correlation or localization length [22,26].

The Hamiltonian of the spin-1/2 PRBM model with attractive Hubbard interactions is

$$H = \sum_{ij\sigma} H_{ij} c_{i\sigma}^\dagger c_{j\sigma} - U \sum_i n_{i\uparrow} n_{i\downarrow} - \mu \sum_i n_i, \quad (2)$$

where  $H_{ij} = G_{ij}|i-j|^{-\alpha}$  with  $\hat{G}$  is a random matrix in the orthogonal class (class AI). Without interactions, the system

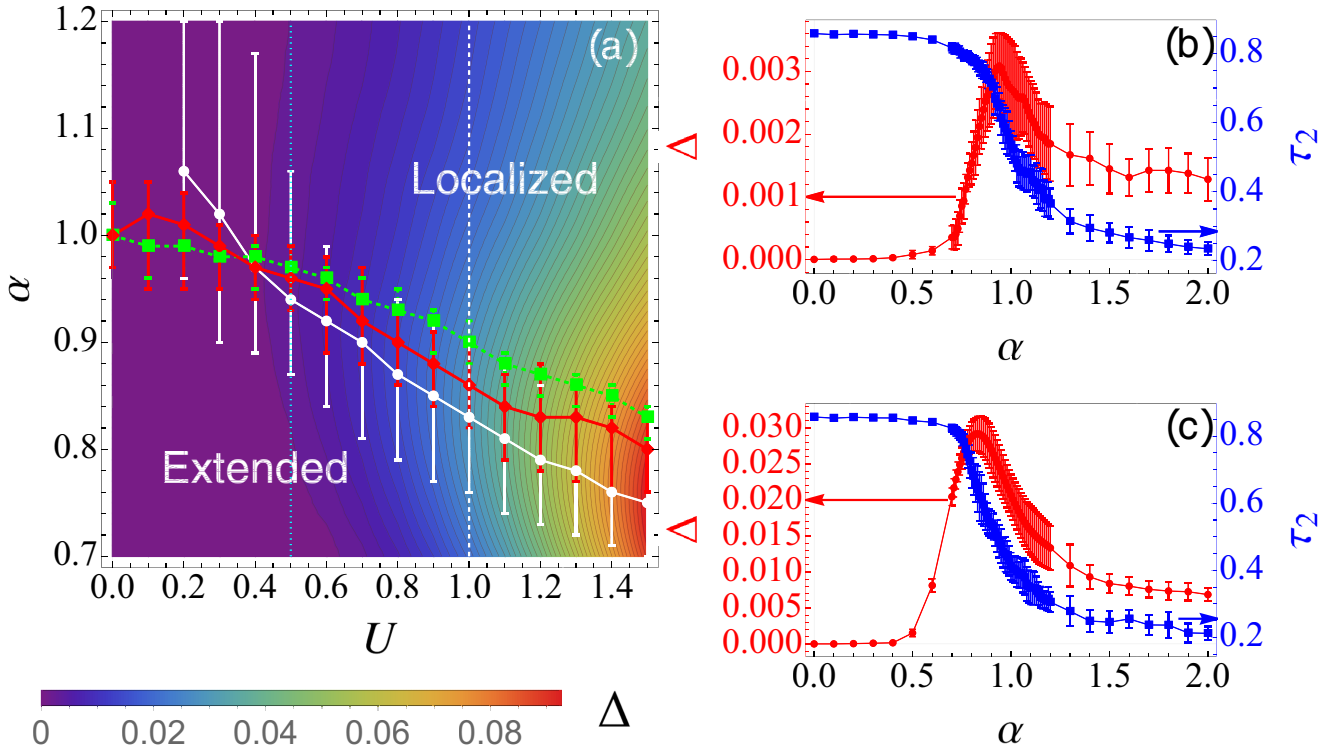


FIG. 2. The enhancement of superconductivity in the PRBM model with attractive Hubbard interactions Eq. (2). (a) Contour plot of  $\Delta$  versus  $U$  and the decay power  $\alpha$ , where  $\langle \dots \rangle$  stands for disorder averaging of 20 samples of size  $L = 2000$  systems. The MIT occurs at  $\alpha = 1$  without interactions, and we use the value of  $\tau_2 = -\langle \log(P_2) \rangle / \log(L)$  at  $U = 0$  and  $\alpha = 1$  as the criteria for the MIT at for nonzero  $U$ . The red and green curves represent the MIT obtained by fitting  $\tau_2$  using the lowest-lying quasiparticle state and the average of 1% of the low-lying states, respectively. The most enhanced superconductivity is indicated by the white curve. The error bars are obtained from the standard deviation of  $\Delta$  and  $\tau_2$  due to disorder averaging, converted to uncertainty in  $\alpha$ . The large error bars reflect the broad enhancement region of superconductivity. (b) and (c)  $\Delta$  and  $\tau_2$  as functions of  $\alpha$  for  $U = 0.5$  and  $U = 1$ , respectively [cuts indicated by the dotted vertical lines in (a)].  $\Delta$  peaks around the MIT, indicating multifractal enhancement of the order parameter. The wide region of wave-function criticality (indicated by the rather slower decrease in  $\tau_2$  compared to the case of the AA model) explains why the maximal enhancement curve [white in (a)] does not follow the MIT curve as well as the case of the AA model.

exhibits SWQC at the MIT with  $\alpha = 1$ . The system is spectrum-wide extended (localized) when  $\alpha < 1$  ( $\alpha > 1$ ) [87].

*Phase diagrams.* In the mean-field approximation [11–13], the local superconducting order parameter  $\Delta_i$  and fermion density  $\langle n_i \rangle$  satisfy

$$\Delta_i = -U \langle c_{i\downarrow} c_{i\uparrow} \rangle, \quad \langle n_i \rangle = \sum_{\sigma} \langle c_{i\sigma}^{\dagger} c_{i\sigma} \rangle. \quad (3)$$

We solve the systems BdG self-consistently [12] with effective chemical potential  $\tilde{\mu}_i = \mu + U \langle n_i \rangle / 2$ . The convergence condition is set so that the average difference of  $\Delta_i$  and  $n_i$  are smaller than  $10^{-6}$  ( $10^{-7}$  for small  $U$ ) [95]. We focus on half-filling with  $\mu = -U/2$ , but the physics discussed applies to other filling factors since the whole spectrum of single-particle states are multifractal near the MIT.

Figure 1 shows the enhancement of the average order parameter  $\Delta$  in the BCS-AA model [Eq. (1)]. The spectrum-wide MIT persists with attractive interactions, and the MIT can be characterized by the second multifractal dimension  $\tau_2$ , averaged over the entire spectrum of quasiparticle states. This shows a sharp drop from 1 to 0 as  $V$  increases, indicating the MIT [Fig. 1(b)]. With increasing  $U$ , the critical incommensurate potential strength  $V_c$  decreases, and the Anderson

insulator phase is enlarged (in the mean-field approximation), Fig. 1(a).  $\Delta$  is enhanced by the multifractal wave functions near the transition, and the maximal  $\Delta$  for fixed  $U$  follows the MIT curve  $V_c(U)$  for weak and moderate interactions. When the incommensurate potential strength  $V$  is weak, the order parameter is determined by BCS theory with  $\Delta \sim \exp(-1/U\nu)$  with  $\nu$  as the density of states at the Fermi point. As  $V$  increases,  $\Delta$  increases significantly and peaks around the MIT, e.g.,  $\Delta(V_c)$  is more than ten times larger than  $\Delta(V = 0)$  for  $U = 0.5$ . The order parameter amplitude decreases in the Anderson insulator phase due to the combination of localization and Altshuler-Aronov effects [5,6]. The enhancement ratio  $\Delta(V_{\max})/\Delta(V = 0)$  decreases as  $U$  increases, and the strongest enhancement curve deviates from the MIT curve at strong interaction.

Apart from inducing SWQC of the wave functions at  $V_c$ , the potential in the BCS-AA model additionally generates the interaction-dressed Hofstadter energy spectrum. Band flattening near half-filling plays a role in the enhancement of the order parameter seen here, and the maximum  $\Delta$  also occurs close to the band flattening point, Figs. 3(a) and 3(b). The density of states is much larger at the band flattening regions, but the average order parameter deviates significantly from

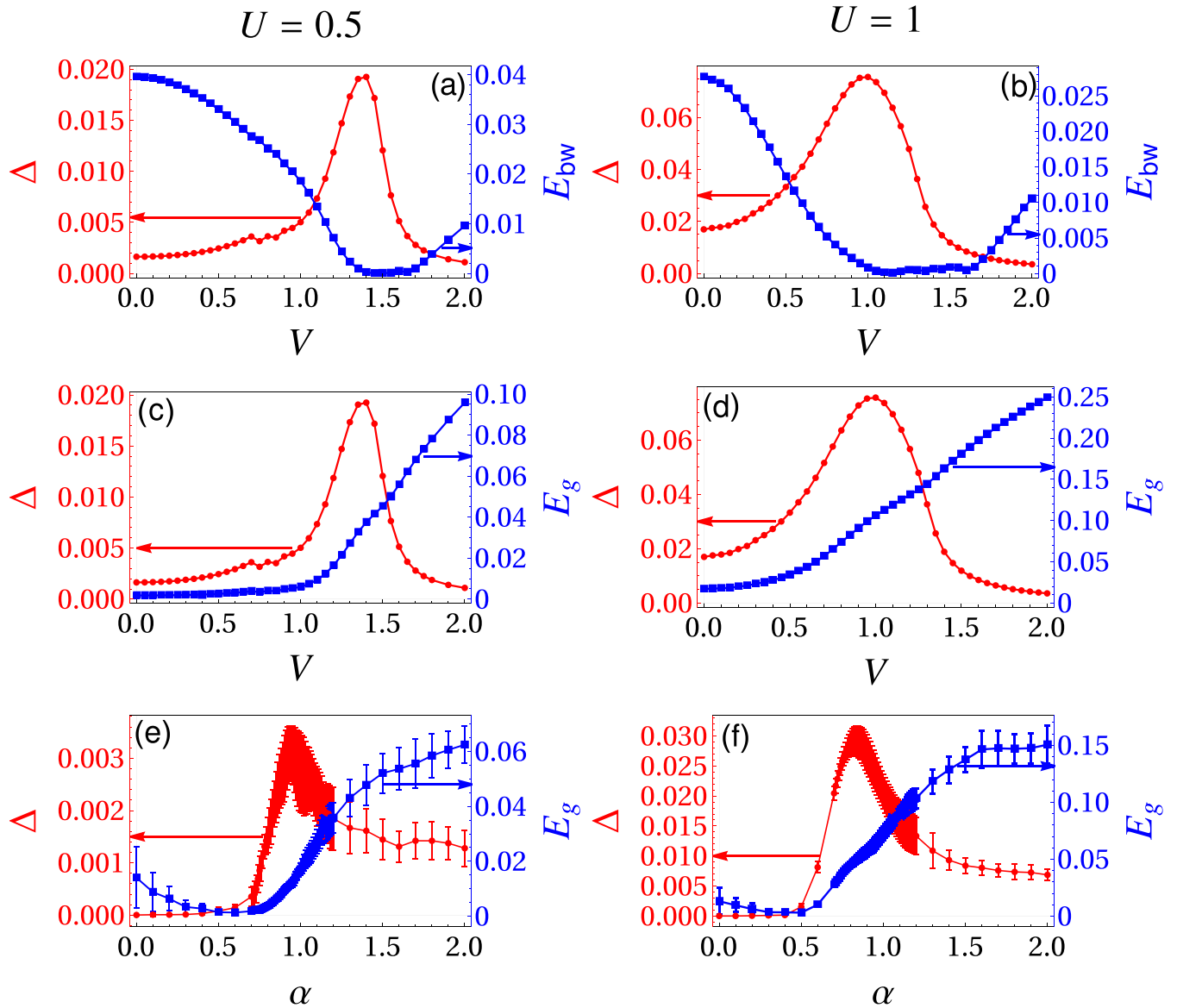


FIG. 3. Superconducting order parameter  $\Delta$ , and energy bandwidth  $E_{bw}$  of the low-lying subband for the BCS-AA model (a) and (b), and single-particle energy gap  $E_g$  for the BCS-AA (c) and (d) and BCS-PRBM (e) and (f) models with  $U = 0.5$  (the first column) and  $U = 1$  (the second column). The order parameter  $\Delta$  is indicated by the scales on the left axis and red color, whereas  $E_{bw}$  and  $E_g$  scales appear on the right axis in blue color. (a)  $\Delta$  and the bandwidth  $E_{bw}$  of the lowest-lying subband in the BCS-AA model with  $U = 0.5$  (b) Same as (a) except that  $U = 1$ . The lowest-lying subband becomes almost flat at the MIT, indicating that the (almost) diverging density of states also plays a role in the enhancement of  $\Delta$  for the BCS-AA model. (c)  $\Delta$  and  $E_g$  for the BCS-AA model with  $U = 0.5$ . (d) Same as (c) except that  $U = 1$ . The order parameter  $\Delta$  peaks around the MIT, whereas the energy gaps increase monotonically with  $V$ , resulting in the deviation of  $E_g$  from  $\Delta$ . (e)  $\Delta$  and  $E_g$  in the BCS-PRBM model with  $U = 0.5$ . (f) The same as (e) except that  $U = 1$ . The error bars are from the uncertainty in the disorder averaging.  $\Delta$  peaks around the MIT and  $E_g$  increases with  $\alpha$  (except for small  $\alpha$ , where  $E_g$  has large uncertainty from disorder averaging).

the homogeneous BCS prediction  $\Delta \sim \exp(-1/U\nu)$  except for small  $V$  ( $V < 0.5$  for  $U = 0.5$ ). Multifractal enhancement *without* band flattening is observed in the BCS-PRBM model (described below).

The single-particle wave functions become more and more rarefied with increasing  $V$ , resulting in a stronger binding energy between paired electrons occupying the same spatial orbital, and, thus, increasing the spectral gap  $E_g$ . In the strong-localization limit, the pairing energy is given by  $UP_2(E)$ , with  $P_2(E)$  as the IPR of the localized state. The energy gap of

the BCS-AA model is then given by half the pairing energy  $E_g = P_2(E_0)U/2$  [12,95], with  $E_0$  as the energy of the lowest quasiparticle state. Unlike  $\Delta$ , the gap  $E_g$  in our numerics always increases with  $V$ , and is much larger than  $\Delta$  for finite  $V$  [Figs. 3(c) and 3(d)]. Thus, whereas the pairing energy of more localized states is larger than extended ones, the average amplitude  $\Delta$  is suppressed in the insulator by the strong fluctuations of  $\Delta_i$  in space and the loss of multifractal enhancement. The increasing of  $E_g$  into the localization regime is consistent with previous studies indicating that the

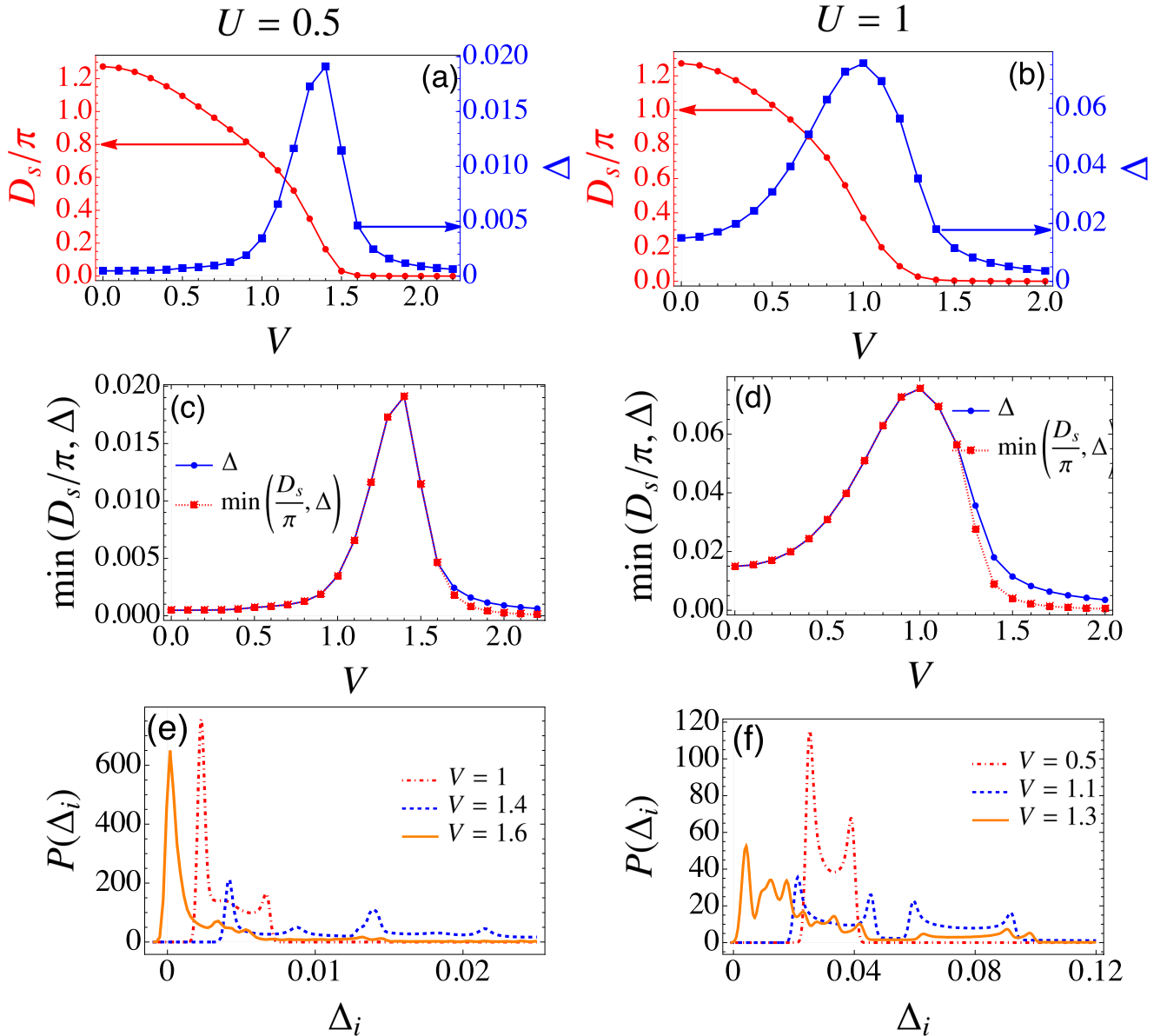


FIG. 4. Superfluid stiffness  $D_s/\pi$  [Eq. (4)], order parameter  $\Delta$ , and distribution of the local pairing amplitude  $\Delta_i$  in the BCS-AA model with different interaction strengths  $U = 0.5$  (a), (c), and (e) and  $U = 1$  (b), (d), and (f). (a) Superfluid stiffness  $D_s/\pi$  (left axis, red color) and order parameter  $\Delta$  (right axis, blue color) versus incommensurate potential strength  $V$  in the BCS-AA model with  $U = 0.5$ . (b) Same as (a) except  $U = 1$ . (c)  $\min(D_s/\pi, \Delta)$  along with  $\Delta$  varying with  $V$  for  $U = 0.5$ . (d) Same as (c) except for  $U = 1$ . In the delocalized phase ( $V < V_c \simeq 1.4$  for  $U = 0.5$  and  $V < V_c \simeq 1.1$  for  $U = 1$ ),  $\Delta < D_s/\pi$ , and the enhancement of superconductivity is shown by the increasing  $\Delta$  with  $V$ . The phase fluctuations dominate in the localized phase ( $V > V_c$ ), and  $D_s/\pi < \Delta$  determines the strength of the superconductivity. The multifractal enhancement of superconductivity persists even incorporating the phase fluctuations. (e) Probability density of local pairing amplitude  $\Delta_i$  for  $U = 0.5$  and different incommensurate potentials. (f) The same as (e) except for  $U = 1$ . In the delocalized phase and near the MIT,  $\Delta_i$  peaks around nonzero values, whereas it peaks around 0 in the localized phase. The system size is  $L = 2584$  in this figure.

energy gap increases with the inverse of localization length [12,97].

Figure 2 demonstrates the enhancement of  $\Delta$  in the BCS-PRBM model [Eq. (2)]. Figure 2(a) is a contour plot of  $\Delta$  as a function of  $U$  and the hopping exponent  $\alpha$ , near the interaction-dressed MIT. The order parameter  $\Delta$  takes its largest value close to the MIT curve obtained by fitting  $\tau_2$  of the lowest-energy quasiparticle state. The change in  $\tau_2$  from

the extended phase ( $\tau_2 \sim 1$ ) to the localized phase ( $\tau_2 \sim 0$ ) with  $\alpha$  is much slower in the BCS-PRBM model, compared to that in the BCS-AA model, resulting in a much broader critical region. The SWQC wave functions survive in the presence of attractive interactions and pairing, but the  $\tau_2$  of the quasiparticle states are affected differently for different states. The lowest-lying quasiparticle states are the best indicator for the MIT and  $\Delta$  enhancement as these are most involved

in pairing. The enhancement always occurs in the critical region, indicated by the drop in  $\tau_2$  in Figs. 2(b) and 2(c). The spectral gap  $E_g$  in the BCS-PRBM model shows similar behavior as that in the BCS-AA, increasing with  $\alpha$  to the localized phase [Figs. 3(e) and 3(f)]. In the localized phase,  $E_g$  is also approximately proportional to  $P_2(E_0)$  [95]. Different from the BCS-AA model, there is no significant change in the density of states across the MIT in the BCS-PRBM model, and the critical wave functions are the only factor responsible for enhancing  $\Delta$ .

*Superfluid stiffness.* Strong phase fluctuations in low dimensions can demolish superconductivity even if the pairing amplitude remains finite. In a spatially inhomogeneous system, regions with small  $\Delta_i$  enhance phase fluctuations. The phase rigidity of a superconductor can be described by the superfluid stiffness [98,99]. In a gapped one-dimensional system, the superfluid stiffness is determined by

$$\frac{D_s}{\pi} = \Pi_{xx}^R(q_x = 0, \omega \rightarrow 0) - \langle K_x \rangle. \quad (4)$$

Here  $\Pi_{xx}^R$  is the retarded current-current correlation function, and  $K_x$  is the kinetic-energy density. The above  $q_x = 0$  and  $\omega \rightarrow 0$  limits give the Drude weight  $D$ ; it can be shown that  $D_s = D$  at zero temperature for gapped systems [98,100]. We employ Eq. (4) to evaluate  $D_s$  in the BCS-AA model with  $s$ -wave pairing.

Figure 4 shows the superfluid stiffness  $D_s/\pi$  and order parameter  $\Delta$  in the BCS-AA model. The superfluid stiffness  $D_s$  decreases monotonically with increasing incommensurate

potential, whereas  $\Delta$  peaks around MIT, Figs. 4(a) and 4(b). The minimum of  $D_s/\pi$  and  $\Delta$  determines the strength of the superconductivity. We plot  $\min(D_s/\pi, \Delta)$  in Figs. 4(c) and 4(d). In the delocalized phase,  $\Delta$  is much smaller than  $D_s/\pi$  and becomes comparable with  $D_s/\pi$  near the MIT. Only in the localized phase,  $D_s/\pi$  becomes smaller than  $\Delta$ . The distribution of the local pairing amplitude  $\Delta_i$  is illustrated in Figs. 4(e) and 4(f). The probability density of  $\Delta_i$  peaks at nonzero values in the delocalized phase and near the MIT; by contrast, it peaks around 0 in the localized phase. This indicates that the finite average  $\Delta$  in the localized phase is due to rare regions with large values of  $\Delta_i$ .

*Conclusion.* We have shown that the pairing amplitude for superconductivity is enhanced by SWQC in the BCS-AA and -PRBM models. The maximal enhancement tracks the MIT in both models. The enhancement survives phase fluctuations at zero temperature, supported by the superfluid stiffness data for the BCS-AA model. Although true superconductivity does not occur in 1D [101], SWQC also emerges in 2D systems [52]. Strong spatial fluctuations observed in  $\Delta(\mathbf{r})$  in the high- $T_c$  cuprate superconductors [102] may realize SWQC for nodal quasiparticles [51].

Generalized AA models have been proposed [103–109] and studied in recent experiments [110–112]. The pairing amplitude enhancement could also be examined in these systems when the Fermi level is tuned close to the mobility edge.

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