

Fractons on graphs and complexity

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We introduce two exotic lattice models on a general spatial graph. The first one is a matter theory of a compact Lifshitz scalar field, while the second one is a certain rank-2 U(1) gauge theory of fractons. Both lattice models are defined via the discrete Laplacian operator on a general graph. We unveil an intriguing correspondence between the physical observables of these lattice models and graph theory quantities. For instance, the ground state degeneracy of the matter theory equals the number of spanning trees of the spatial graph, which is a common measure of complexity in graph theory (“GSD = complexity”). The discrete global symmetry is identified as the Jacobian group of the graph. In the gauge theory, superselection sectors of fractons are in one-to-one correspondence with the divisor classes in graph theory. In particular, under mild assumptions on the spatial graph, the fracton immobility is proven using a graph-theoretic Abel-Jacobi map.

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I. INTRODUCTION

The past decades have seen an explosion of various exotic lattice models including gapped fracton models [1–3] (see Refs. [4–6] for reviews)¹ and gapless models [9] with subsystem symmetries. These models have various peculiar properties.

(1) Exotic global symmetries such as (planar or fractal) subsystem global symmetries [3,9], multipole global symmetries [10–13], etc. (See Refs. [14,15] for recent reviews on generalized global symmetries [16].)

(2) In gapped fracton models, the logarithm of the ground state degeneracy (GSD) grows, typically subextensively, with the linear size of the system [17].

(3) Massive particle-like excitations that have restricted mobility—a particle can be completely immobile, also known as *fracton*, or can move only along a line, also known as *lineon*, etc.

These peculiarities do not fit into the framework of conventional continuum quantum field theory. In particular, the gapped fracton models do not admit a topological quantum field theory (TQFT) description at low energies. Instead, one has to go beyond the standard relativistic continuum field theory to describe them (see, for example, Ref. [18] for a recent review, and references therein).

While there is a plethora of exotic lattice models, in most cases, the spatial lattice is assumed to be cubic with manifest translation invariance in the three spatial directions. There is usually no obvious way to define them on a triangulation of an arbitrary spatial manifold. See Refs. [19–21] for fracton

models on more general manifolds. In some examples, a *foliation* of spatial manifold is essential [22–31]. In contrast, standard lattice models, such as the Ising model, or the toric code, can be defined on an arbitrary triangulation of the spatial manifold.

What is the minimal structure we need to assume about the lattice? We need a set of vertices, which host the degrees of freedom, and a set of edges connecting them. This defines a mathematical object known as a *graph*. For example, the quantum Ising model can be defined on any spatial graph where the interaction is along the edges. In fact, lattice models on general graphs can be engineered in cold atom experiments. See Refs. [32–34], for examples. One is then naturally led to the question: *can we construct exotic lattice models such as fractons on a general graph?* (See Ref. [35] for an example in this direction.)

In this work, we propose two lattice models on an arbitrary finite, undirected graph Γ . (For simplicity, we assume that the graph is simple and connected. See Sec. II for the definitions of these adjectives.) We work with a Euclidean space-time where each spatial slice is Γ (see Fig. 1). Our results can easily be recast in a Hamiltonian formulation. One model is a matter theory based on a compact scalar field ϕ , while the other is a pure U(1) gauge theory associated with the global symmetry of the matter theory. We refer to them as the *Laplacian ϕ -theory* and the *U(1) Laplacian gauge theory*, respectively, because they are constructed using the *discrete Laplacian operator* Δ_L on a general graph Γ .

Naïvely, the Laplacian ϕ -theory can be viewed as a particular regularization of the compact scalar Lifshitz theory described by the Lagrangian

$$\mathcal{L} = \frac{\mu_0}{2} (\partial_t \phi)^2 + \frac{\mu}{2} (\nabla^2 \phi)^2, \quad (1.1)$$

¹The word “fracton” was also used in other different contexts, for example, Refs. [7,8].

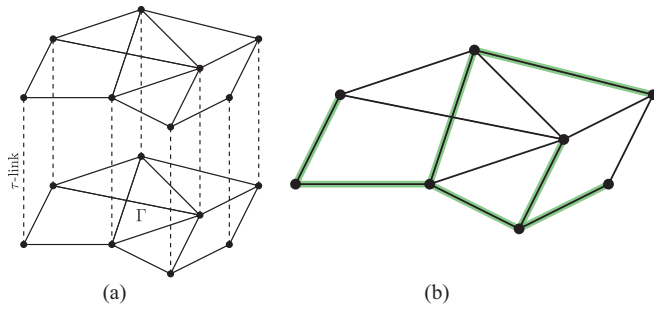


FIG. 1. (a) The Euclidean space-time $\mathbb{Z}_{L_\tau} \times \Gamma$: The solid lines and dots correspond to a spatial slice which is the graph Γ , and the dashed lines represent the τ -links. (b) A spanning tree of Γ associated with the highlighted (green) edges.

where ∇^2 is the Laplacian differential operator on the spatial manifold. On the other hand, the U(1) Laplacian gauge theory can be viewed as a particular regularization of a rank-2 U(1) gauge theory with gauge fields (A_τ, A) satisfying the gauge symmetry²

$$A_\tau \sim A_\tau + \partial_\tau \alpha, \quad A \sim A + \nabla^2 \alpha. \quad (1.2)$$

The Lagrangian is

$$\mathcal{L} = \frac{1}{2g^2} E^2, \quad (1.3)$$

where $E = \partial_\tau A - \nabla^2 A_\tau$ is the gauge invariant electric field. However, these continuum Lagrangians do not specify the systems unambiguously. In this paper, we use the modified Villain formulation [36,37] to provide a precise formulation of these systems. We will see that various physical observables (including the GSD) depend sensitively on how the space is discretized by a discrete lattice graph Γ . In particular, the discrete Laplacian difference operator Δ_L does not have a smooth continuum limit to the differential operator ∇^2 .

It is important to emphasize that the Laplacian ϕ -theory is not robust. Small deformations of the short distance theory change the elaborate long distance structure that we find here. (See the discussion in Ref. [38].) On the other hand, the U(1) Laplacian gauge theory is a robust model. In an upcoming paper [39], we will discuss gapped robust models that are related to these models.

Since our models can be placed on any spatial graph Γ , they can be defined in general spatial dimensions. In particular, we can take the graph Γ to be a d -dimensional torus lattice for any $d \geq 1$. We will examine our models on 1d and 2d torus graphs in details below. More generally, we can place our models on a general graph where there is no clear notion of dimensionality or locality. In particular, foliation structure is not needed to define these models.

In analyzing these models, we follow the approach advocated in Refs. [37,38,40–48], i.e., we focus on the global symmetries and pursue their consequences. Here are the highlights of these models.

²We call this a “rank-2” gauge theory because the gauge transformation of the spatial gauge field involves a second-order spatial derivative.

(1) The discrete global symmetry of the matter theory is based on the *Jacobian group* of the graph Γ , denoted as $\text{Jac}(\Gamma)$, which is a well-studied finite Abelian group associated with a general graph in graph theory. Relatedly, the discrete time-like global symmetry [48], which acts on defects, is also given by $\text{Jac}(\Gamma)$ in the gauge theory.

(2) In the matter theory, the ground state degeneracy is equal to $|\text{Jac}(\Gamma)|$, the order of the Jacobian group. This in turn is equal to the number of *spanning trees* of Γ , which we will define in the main text. The number of spanning trees is a common measure of the *complexity* of a general graph in graph theory (see, for example, Refs. [49,50]). This is one manifestation of the UV/IR mixing phenomenon observed in many of these exotic models: Certain low-energy/long-distance observables depend sensitively on the short-distance details [38,46]. In our matter theory, the GSD equals the complexity of the discretized spatial graph, which can be thought of as a short-distance regularization. Under a mild assumption on Γ , the ground state degeneracy grows exponentially in the number of vertices of Γ [50].³

(3) In the gauge theory, the defects, which describe the worldlines of infinitely heavy probe particles, are immobile under a mild assumption on the graph Γ . Therefore the U(1) Laplacian gauge theory is a theory of *fractons on a general graph*.⁴ There is a beautiful correspondence between the physical observables for fractons and various graph-theoretic concepts. In particular, the space of superselection sectors for fractons is translated into the theory of divisors of a graph. These features are analogous to those at the beginning of this introduction. Interestingly, they are intimately related to some well-studied properties of a graph.

This paper is organized as follows. In Sec. II, we collect some useful mathematical facts about graphs, and functions on their vertices. We define the Laplace difference operator Δ_L on the graph Γ , and discuss the properties of solutions to discrete Laplace and Poisson equations. We introduce the theory of divisors on a finite graph, and define the Picard group and the Jacobian group of Γ . We also define the Abel-Jacobi map on the graph and discuss its properties. We relate the Jacobian group to the Smith normal form of the Laplacian operator and the spanning trees of Γ . These results can be found in any standard textbook on graph theory such as [51,52]. In Appendix, we solve the discrete Poisson equation on any graph using the Smith decomposition of the Laplacian matrix.

³One might wonder if this system is as trivial as decoupled spins on the sites of the graph because the GSD grows exponentially in N in both cases. However, as we will show below, the origins of this exponential behavior are very different. When both systems are placed on a 2d torus spatial lattice with L sites in each direction, the minimal number of generators of discrete momentum symmetry group of the Laplacian ϕ -theory grows only linearly in L , whereas it grows as L^2 in the decoupled spin system. So the large GSD of the Laplacian ϕ -theory comes from the large orders of some of the generators of $\text{Jac}(\Gamma)$ rather than the number of generators.

⁴In contrast to the matter theory, the ground state of the gauge theory (which has fractons) is nondegenerate (assuming that the θ -angle is not π). See Sec. IV B.

TABLE I. The correspondence between the theory of divisors on the graph Γ , and the U(1) Laplacian gauge theory on the spatial lattice Γ . The graph-theoretic objects in the left column are defined in Sec. II, and the physical observables in the right column are discussed in Sec. IV.

Theory of divisors	U(1) Laplacian gauge theory
Graph Γ	Spatial lattice
Divisor $q \in \mathcal{F}(\Gamma, \mathbb{Z})$	Configuration of fractons with U(1) timelike charges $q(i)$ at site i
Principal divisor $q \in \text{im}_{\mathbb{Z}} \Delta_L$	Configuration of fractons in trivial superselection sector
Degree of q $\text{deg } q := \sum_i q(i)$	Total U(1) timelike charge of a configuration of fractons
Picard group $\text{Pic}(\Gamma)$	Space of all superselection sectors, or space of all timelike charges
Jacobian group $\text{Jac}(\Gamma)$	Space of superselection sectors with trivial total U(1) timelike charge
Pontryagin dual of $\text{Pic}(\Gamma)$ $\text{U}(1) \times \text{Jac}(\Gamma)$	Time-like symmetry group

In Sec. III, we introduce the Laplacian ϕ -theory on the graph Γ . It is a self-dual model with momentum and winding symmetries. The momentum (or winding) symmetry is $\text{U}(1) \times \text{Jac}(\Gamma)$, where $\text{Jac}(\Gamma)$ is the Jacobian group of Γ . The noncommutativity of the momentum and winding symmetries leads to a ground state degeneracy equal to $|\text{Jac}(\Gamma)|$, the order of the Jacobian group. This is also equal to the number of spanning trees or the complexity of Γ . We note that this model is not robust—deforming the theory by a winding operator breaks the winding symmetry and lifts the degeneracy.

In Sec. IV, we introduce the U(1) Laplacian gauge theory on the graph Γ . It is the pure gauge theory associated with the momentum symmetry of the Laplacian ϕ -theory. While the space-like global symmetry of this model is simply U(1), the time-like global symmetry is $\text{U}(1) \times \text{Jac}(\Gamma)$. This leads to selection rules on the mobility of defects. In particular, we prove that a defect with unit charge is completely immobile when Γ is two-edge connected (we will define this below). In other words, a single charged particle is a fracton. We also give a complete characterization of mobility of defects for arbitrary Γ . Finally, we note an interesting correspondence between divisors in graph theory and configurations of fractons on Γ , which is summarized in Table I.

In both Secs. III and IV, we discuss two concrete examples where we place each model on spatial circle and torus respectively. While the former gives the 1 + 1d dipole theories analyzed in [48], the latter gives new 2 + 1d models with interesting properties. These new 2 + 1d models can be interpreted as extensions of the 1 + 1d dipole theories of [48] to 2 + 1d. In fact, there are other ways to extend the 1 + 1d dipole theories to 2 + 1d. In an upcoming paper [53], we compare all these 2 + 1d models, and discuss their relation to the 2 + 1d compact Lifshitz theory [54–63] and 2 + 1d rank-2 U(1) tensor gauge theory [10,11].

In another upcoming paper [39], we propose and analyze two gapped \mathbb{Z}_N models on a graph. One of them is a fracton model, which is a Higgsed version of the U(1) Laplacian gauge theory on the graph. The other is a robust lineon model. We also study these gapped models on a spatial torus, and compare them with 2 + 1d rank-2 \mathbb{Z}_N tensor gauge theory [64–68].

II. GRAPH THEORY PRIMER

In this section, we collect some important mathematical facts about a finite graph, and functions on the graph valued in Abelian groups such as \mathbb{R} , U(1), \mathbb{Z} , or \mathbb{Z}_N . Most of the details can be found in a standard textbook on spectral graph theory such as Ref. [51]. The theory of divisors on finite graphs is discussed in Ref. [52].

Let Γ be a *simple* (at most one edge between any two vertices and no self-loops), *undirected* (no directed edges), *connected* (any two vertices are connected by a path) graph on \mathbb{N} vertices. We use i to denote a vertex (or site), and $\langle i, j \rangle$ or e to denote an edge (or link).

The *adjacency matrix* A of Γ is an $\mathbb{N} \times \mathbb{N}$ symmetric matrix given by $A_{ij} = 1$ if there is an edge $\langle i, j \rangle$ between vertices i and j , and $A_{ij} = 0$ otherwise. The *degree* d_i of a vertex i is the number of edges incident to the vertex i . Let $D = \text{diag}(d_1, \dots, d_{\mathbb{N}})$ be the degree matrix. The *Laplacian matrix* L of Γ is defined as $L := D - A$. Note that L is symmetric.

Here are some common examples/classes of graphs.

(1) A *k-regular graph* is a graph where every vertex has degree k .

(2) A *k-edge connected graph* is a graph where removing any $k - 1$ edges still leaves it connected.

(3) The *complete graph* on \mathbb{N} vertices, denoted as $K_{\mathbb{N}}$, is a graph that has an edge between any two vertices. Equivalently, it is the only $(\mathbb{N} - 1)$ -regular graph on \mathbb{N} vertices.

(4) The *cycle graph* on \mathbb{N} vertices, denoted as $C_{\mathbb{N}}$, is a graph that is a cycle or loop. Equivalently, it is the only 2-regular graph on \mathbb{N} vertices.

(5) A *tree* on \mathbb{N} vertices is a graph that contains no cycle or loop. If it is connected, then it is called a *spanning tree*.

A. Discrete harmonic functions and Smith decomposition of L

Consider a function on the vertices of the graph, $f : \Gamma \rightarrow X$, where X is an Abelian group. We denote the set of all such functions as $\mathcal{F}(\Gamma, X)$. Define the *discrete Laplacian operator* $\Delta_L : \mathcal{F}(\Gamma, X) \rightarrow \mathcal{F}(\Gamma, X)$ as

$$\begin{aligned} \Delta_L f(i) &:= \sum_j L_{ij} f(j) = d_i f(i) - \sum_{j:\langle i,j \rangle \in \Gamma} f(j) \\ &= \sum_{j:\langle i,j \rangle \in \Gamma} [f(i) - f(j)]. \end{aligned} \quad (2.1)$$

The additions and subtractions here are with respect to the group multiplication of X . This is one of the most natural and universal difference operators that can be defined on any such graph Γ . The *image* of $\mathcal{F}(\Gamma, X)$ under Δ_L is denoted as $\text{im}_X \Delta_L$. A function $f \in \mathcal{F}(\Gamma, X)$ is said to be *discrete harmonic* if it satisfies

$$\Delta_L f(i) = 0, \quad (2.2)$$

where 0 is the identity element in X . We denote the set of all X -valued discrete harmonic functions as $\mathcal{H}(\Gamma, X)$, or $\ker_X \Delta_L$, the *kernel* of Δ_L .⁵

Given a $g \in \mathcal{F}(\Gamma, X)$, consider the *discrete Poisson equation*

$$\Delta_L f(i) = g(i). \tag{2.3}$$

If $g = 0$, then (2.3) is called a *discrete Laplace equation*. We define the *cokernel* of Δ_L as the quotient

$$\text{coker}_X \Delta_L := \frac{\mathcal{F}(\Gamma, X)}{\text{im}_X \Delta_L}, \tag{2.4}$$

Trivially, a solution to the discrete Poisson equation (2.3) exists if and only if g is in the same equivalence class as 0 in this quotient.

Solutions to the discrete Poisson equation (2.3), if any, can be found using the *Smith decomposition* [70] of the Laplacian matrix L [71]. The *Smith normal form* of L is given by

$$R = PLQ \quad \text{or} \quad R_{ab} = \sum_{i,j} P_{ai} L_{ij} Q_{jb}, \tag{2.5}$$

where $P, Q \in GL_N(\mathbb{Z})$, and $R = \text{diag}(r_1, \dots, r_N)$ is an $N \times N$ diagonal integer matrix with nonnegative diagonal entries, known as the *invariant factors* of L , such that $r_a | r_{a+1}$ (i.e., r_a divides r_{a+1}) for $a = 1, \dots, N - 1$. While R is uniquely determined by L , the matrices P and Q are not. More details on the structure of P and Q , and how to solve (2.3) using the Smith decomposition of L can be found in Appendix.

One important result that we will repeatedly use is the general solution to the $U(1)$ -valued discrete Laplace equation. In Appendix [see in particular (A12)], we show that the most general $U(1)$ -valued discrete harmonic function $f \in \mathcal{H}(\Gamma, U(1))$ on a graph Γ is given by

$$f(i) = 2\pi \sum_{a < N} \frac{Q_{ia} p_a}{r_a} + c \in \mathbb{R}/2\pi\mathbb{Z}, \tag{2.6}$$

parametrized by a circle-valued constant c , i.e., $c \sim c + 2\pi$, and $N - 1$ integers $p_a = 0, 1, \dots, r_a - 1$. If we lift this solution to \mathbb{R} , then it obeys

$$\Delta_L f(i) = 2\pi \sum_{a < N} (P^{-1})_{ia} p_a \in 2\pi\mathbb{Z}. \tag{2.7}$$

B. Theory of divisors and the Abel-Jacobi map on a graph

There is an interesting analogy between the theory of integer-valued functions on a finite graph and the theory of divisors on a Riemann surface [52,72,73]. In this context, an element of $\mathcal{F}(\Gamma, \mathbb{Z})$ is known as a *divisor*, and an element of $\text{im}_{\mathbb{Z}} \Delta_L$ is known as a *principal divisor*. Given a divisor q , its *degree* is defined as $\text{deg } q := \sum_i q(i)$. Let $\mathcal{F}^k(\Gamma, \mathbb{Z})$ denote the set of all degree- k divisors. Note that any principal divisor has degree zero, so we can define the quotients:

$$\text{Pic}(\Gamma) := \frac{\mathcal{F}(\Gamma, \mathbb{Z})}{\text{im}_{\mathbb{Z}} \Delta_L} = \text{coker}_{\mathbb{Z}}(\Delta_L), \quad \text{Jac}(\Gamma) := \frac{\mathcal{F}^0(\Gamma, \mathbb{Z})}{\text{im}_{\mathbb{Z}} \Delta_L}, \tag{2.8}$$

⁵The space $\ker_X \Delta_L$ is also known as the *group of balanced vertex weightings* [69].

known as the *Picard group* and the *Jacobian group*, respectively. As the names suggest, they are groups; in fact, they are Abelian groups. They are related by the split-exact sequence

$$0 \longrightarrow \text{Jac}(\Gamma) \longrightarrow \text{Pic}(\Gamma) \xrightarrow{\text{deg}} \mathbb{Z} \longrightarrow 0. \tag{2.9}$$

The *characteristic function* of a vertex i is defined as $\chi_i(j) := \delta_{ij}$. Given two vertices $i, i' \in \Gamma$, we define the function $s_{i,i'}(j) := \chi_i(j) - \chi_{i'}(j)$. For a fixed vertex $i_0 \in \Gamma$, the *Abel-Jacobi map*, $S_{i_0} : \Gamma \rightarrow \text{Jac}(\Gamma)$, is defined as

$$S_{i_0}(i) := [s_{i,i_0}]. \tag{2.10}$$

It is well-defined because $s_{i,i_0} \in \mathcal{F}^0(\Gamma, \mathbb{Z})$. Even though i and i_0 play similar roles in the right-hand side of this equation, they will play different roles below. This is the reason for the asymmetry between them in the left-hand side of (2.10).

The Abel-Jacobi map enjoys several nice properties.

(1) It is a $\text{Jac}(\Gamma)$ -valued discrete harmonic function that vanishes at i_0 .

(2) For any Abelian group X , given a group homomorphism $\psi : \text{Jac}(\Gamma) \rightarrow X$, the composition $\psi \circ S_{i_0} : \Gamma \rightarrow X$ is an X -valued discrete harmonic function that vanishes at i_0 . Conversely, for any X -valued discrete harmonic function f that vanishes at i_0 , there is a unique group homomorphism $\psi_f : \text{Jac}(\Gamma) \rightarrow X$ such that $f = \psi_f \circ S_{i_0}$. This is known as the *universal property* of the Abel-Jacobi map.

(3) It is injective if and only if Γ is two-edge connected. More generally, for any two vertices $i, i' \in \Gamma$, $S_{i_0}(i) = S_{i_0}(i')$ if and only if there is a unique path from i to i' in Γ .

These properties of the Abel-Jacobi map turn the study of discrete harmonic functions on a graph to a problem in group theory.

C. Jacobian group and complexity of a graph

The Jacobian group $\text{Jac}(\Gamma)$ defined in the previous subsection is a natural finite Abelian group that is associated with a general graph Γ .⁶ It is closely related to the Smith normal form of the Laplacian matrix L [see Appendix, especially, around (A23)]. In particular, we have

$$\text{Jac}(\Gamma) \cong \prod_{a < N} \mathbb{Z}_{r_a}. \tag{2.11}$$

The order of $\text{Jac}(\Gamma)$ can be expressed in terms of the nonzero eigenvalues of L :

$$|\text{Jac}(\Gamma)| = \prod_{a < N} r_a = \frac{\lambda_2 \cdots \lambda_N}{N}, \tag{2.12}$$

where $0 = \lambda_1 < \lambda_2 \leq \dots \leq \lambda_N$ are the eigenvalues of L .⁷ By *Kirchhoff's matrix-tree theorem* [78], this is equal to the

⁶It has several different names in the graph theory literature, including the *sandpile group* [74,75], the *group of components* [76], or the *critical group* [77] of Γ , and it is related to the *group of bicycles* [69] of Γ . In particular, it would be interesting to understand the connection between our models and the Abelian sandpile model.

⁷The eigenvalues of L are real because L is symmetric. The zero eigenvalue of L corresponds to the zero mode of the Laplacian operator Δ_L . The other eigenvalues are all positive because Γ is connected.

number of *spanning trees* of Γ . Here, a spanning tree of Γ is a subgraph that is a spanning tree on the vertices of Γ . See Fig. 1(b) for an example.

The number of spanning trees is the most fundamental and well-studied notion of *complexity* in graph theory. Intuitively, it is a measure of how “connected” Γ is. For example, it is easy to see that the number of spanning trees of C_N , the cycle graph on N vertices, is N . In contrast, an old result of Cayley states that the number of spanning trees of K_N , the complete graph on N vertices, is N^{N-2} [79].⁸ More generally, when Γ is k -regular, the number of spanning trees of Γ grows exponentially in N whenever $k \geq 3$ [50].

III. LAPLACIAN ϕ -THEORY ON A GRAPH

In this section, we study the modified Villain version [37] of the Laplacian ϕ -theory on a graph Γ . It can be viewed as an extension of the $1 + 1$ d dipole ϕ -theory of [48] with Δ_x^2 on the 1d spatial lattice replaced by the discrete Laplacian operator Δ_L on the graph Γ . The Euclidean space-time is $\mathbb{Z}_{L_\tau} \times \Gamma$, i.e., each spatial slice is Γ , and any two adjacent spatial slices are connected by τ -links at each vertex [see Fig. 1(a)].

The modified Villain version of the Laplacian ϕ -theory is described by the action⁹

$$S = \frac{\beta_0}{2} \sum_{\hat{\tau}, i} [\Delta_\tau \phi(\hat{\tau}, i) - 2\pi n_\tau(\hat{\tau}, i)]^2 + \frac{\beta}{2} \sum_{\hat{\tau}, i} [\Delta_L \phi(\hat{\tau}, i) - 2\pi n(\hat{\tau}, i)]^2 + i \sum_{\hat{\tau}, i} \tilde{\phi}(\hat{\tau}, i) [\Delta_\tau n(\hat{\tau}, i) - \Delta_L n_\tau(\hat{\tau}, i)], \quad (3.2)$$

where ϕ is a real-valued field at each site, n is an integer gauge field at each site, n_τ is an integer gauge field on each τ -link, and $\tilde{\phi}$ is a real-valued Lagrange multiplier on each τ -link.¹⁰ Recall that \sum_i stands for the sum over all the sites i of the graph Γ . There is a gauge symmetry

$$\begin{aligned} \phi(\hat{\tau}, i) &\sim \phi(\hat{\tau}, i) + 2\pi k(\hat{\tau}, i), \\ n_\tau(\hat{\tau}, i) &\sim n_\tau(\hat{\tau}, i) + \Delta_\tau k(\hat{\tau}, i), \\ n(\hat{\tau}, i) &\sim n(\hat{\tau}, i) + \Delta_L k(\hat{\tau}, i), \\ \tilde{\phi}(\hat{\tau}, i) &\sim \tilde{\phi}(\hat{\tau}, i) + 2\pi \tilde{k}(\hat{\tau}, i), \end{aligned} \quad (3.3)$$

where k and \tilde{k} are integer gauge parameters on the sites and τ -links, respectively. This integer gauge symmetry makes the

⁸It is not too difficult to prove this using (2.12).

⁹One can also study the *Laplacian XY model*, which is described by the following action:

$$S = -\beta_0 \sum_{\hat{\tau}, i} \cos[\Delta_\tau \varphi(\hat{\tau}, i)] - \beta \sum_{\hat{\tau}, i} \cos[\Delta_L \varphi(\hat{\tau}, i)], \quad (3.1)$$

where $\varphi(\hat{\tau}, i)$ is a circle-valued field on each site of the space-time lattice. The momentum symmetry of this cosine model is the same as that of the modified Villain model (3.2).

¹⁰We associate the τ -link between $(\hat{\tau}, i)$ and $(\hat{\tau} + 1, i)$ to the site $(\hat{\tau}, i)$.

scalar fields ϕ and $\tilde{\phi}$ compact. The lattice action (3.2) is a particular lattice regularization of a compact Lifshitz scalar field theory that can be defined on a general graph Γ . See Ref. [37] for similar modified Villain formulations of various standard and exotic theories of compact scalar fields.

A. Self-duality

Since L is symmetric, the modified Villain model (3.2) is self-dual with $\phi \leftrightarrow \tilde{\phi}$ and $\beta_0 \leftrightarrow \frac{1}{(2\pi)^2 \beta}$. Indeed, using the Poisson resummation formula for the integers n_τ, n , the dual action is

$$S = \frac{1}{2(2\pi)^2 \beta} \sum_{\hat{\tau}, i} [\Delta_\tau \tilde{\phi}(\hat{\tau}, i) - 2\pi \tilde{n}_\tau(\hat{\tau}, i)]^2 + \frac{1}{2(2\pi)^2 \beta_0} \sum_{\hat{\tau}, i} [\Delta_L \tilde{\phi}(\hat{\tau}, i) - 2\pi \tilde{n}(\hat{\tau}, i)]^2 - i \sum_{\hat{\tau}, i} \phi(\hat{\tau}, i) [\Delta_\tau \tilde{n}(\hat{\tau}, i) - \Delta_L \tilde{n}_\tau(\hat{\tau}, i)], \quad (3.4)$$

where $(\tilde{n}_\tau, \tilde{n})$ are integer gauge fields that make $\tilde{\phi}$ compact. Under the gauge symmetry (3.3), they transform as

$$\begin{aligned} \tilde{n}_\tau(\hat{\tau}, i) &\sim \tilde{n}_\tau(\hat{\tau}, i) + \Delta_\tau \tilde{k}(\hat{\tau}, i), \\ \tilde{n}(\hat{\tau}, i) &\sim \tilde{n}(\hat{\tau}, i) + \Delta_L \tilde{k}(\hat{\tau}, i). \end{aligned} \quad (3.5)$$

When the graph is a two-dimensional torus graph, this is related to the self-duality of the $2 + 1$ d compact Lifshitz scalar field theory discussed in Ref. [56].

B. Global symmetry and the Jacobian group

The momentum global symmetry of the action (3.2) corresponds to shifting the fields ϕ, n by

$$\begin{aligned} \phi(\hat{\tau}, i) &\rightarrow \phi(\hat{\tau}, i) + f(i), \\ n(\hat{\tau}, i) &\rightarrow n(\hat{\tau}, i) + \frac{1}{2\pi} \Delta_L f(i), \end{aligned} \quad (3.6)$$

where the function $f(i)$ obeys $\Delta_L f(i) \in 2\pi\mathbb{Z}$. In other words, $f(i)$ is a solution to the $U(1)$ -valued discrete Laplace equation, i.e., $f \in \mathcal{H}(\Gamma, U(1))$. The simplest example of such an $f(i)$ is a constant, i.e., $f(i) = c$ with $c \sim c + 2\pi$. This corresponds to a $U(1)$ momentum symmetry.

The most general solution to the $U(1)$ -valued discrete Laplace equation is given in (2.6), which leads to the following momentum symmetry

$$\begin{aligned} \phi(\hat{\tau}, i) &\rightarrow \phi(\hat{\tau}, i) + 2\pi \sum_{a < N} \frac{Q_{ia} p_a}{r_a} + c, \\ n(\hat{\tau}, i) &\rightarrow n(\hat{\tau}, i) + \sum_{a < N} (P^{-1})_{ia} p_a. \end{aligned} \quad (3.7)$$

The momentum symmetry is parametrized by a circle-valued constant c and $N - 1$ integers $p_a = 0, \dots, r_a - 1$. Here P, Q and r_a are associated with the Smith decomposition of L . Note that the shift in n is given by (2.7). The parameter p_a generates a \mathbb{Z}_{r_a} discrete momentum symmetry for each $a < N$. The total

momentum symmetry is therefore $U(1) \times \text{Jac}(\Gamma)$, where

$$\text{Jac}(\Gamma) = \prod_{a < N} \mathbb{Z}_{r_a}, \quad (3.8)$$

is the Jacobian group of the graph Γ . (See Ref. [69] for an alternative interpretation of the momentum symmetry group $U(1) \times \text{Jac}(\Gamma)$.) As we will see in Sec. III F 2, when Γ is a 2d torus lattice $C_L \times C_L$, the minimal number of generators of $\text{Jac}(\Gamma)$ grows only linearly in L .

In addition to the $U(1) \times \text{Jac}(\Gamma)$ momentum symmetry, there is also a $U(1) \times \text{Jac}(\Gamma)$ winding symmetry. This is to be expected given the self-duality of the theory. The $U(1)$ winding charge is

$$\tilde{Q} = \sum_i P_{Ni} n(\hat{\tau}, i) = \sum_i n(\hat{\tau}, i), \quad (3.9)$$

while the \mathbb{Z}_{r_a} discrete winding charge is

$$\tilde{Q}_a = \sum_i P_{ai} n(\hat{\tau}, i) \pmod{r_a}, \quad (3.10)$$

for each $a < N$. They are conserved due to the flatness of (n_τ, n) imposed by the Lagrange multiplier $\tilde{\phi}$.

C. GSD = Complexity

In this section, we compute the ground state degeneracy of the Laplacian ϕ -theory. To facilitate this computation, we find it convenient to first gauge fix the integer gauge fields. First, we gauge fix $n_\tau = 0$ everywhere except at $\hat{\tau} = 0$. The remaining integer gauge freedom is the set of time-independent gauge transformations $k(i)$. By the flatness condition, we also have $\Delta_\tau n = 0$, so $n(\hat{\tau}, i) = n(i)$. By the analysis in Appendix around (A23), the holonomies of $n(i)$ with gauge parameter $k(i)$ are precisely the winding charges (3.9) and (3.10).

In this gauge, a discrete winding configuration with discrete winding charges $\tilde{Q}_a = p_a \pmod{r_a}$ for $a < N$ and zero $U(1)$ winding charge, $\tilde{Q} = 0$, is given by¹¹

$$\phi(\hat{\tau}, i) = 2\pi \sum_{a < N} \frac{Q_{ia} p_a}{r_a}, \quad n(\hat{\tau}, i) = \sum_{a < N} (P^{-1})_{ia} p_a. \quad (3.11)$$

This is the configuration in (2.6) with $c = 0$. There are $|\text{Jac}(\Gamma)| = \prod_{a < N} r_a$ such discrete winding configurations labeled by the p_a 's. All these configurations have zero energy. Therefore the ground state degeneracy is

$$\text{GSD} = |\text{Jac}(\Gamma)| = \prod_{a < N} r_a. \quad (3.12)$$

As mentioned in Sec. II, this is equal to the number of spanning trees of the graph Γ , which measures how complex a graph is. It follows that, when Γ is k -regular with $k \geq 3$, the ground state degeneracy grows exponentially in the number of vertices N [50].

Let us compare the Laplacian ϕ -theory with a decoupled spin system: A finite dimensional spin at every site with trivial Hamiltonian. In both systems, the GSD grows exponentially

¹¹This configuration has $\tilde{Q} = 0$ because $\sum_i (P^{-1})_{ia} = 0$ for $a < N$ as shown in (A3).

in the number of vertices N . How do we differentiate these systems? For simplicity, let us place both systems on a torus graph, $\Gamma = C_L \times C_L$. Then, in the Laplacian ϕ -theory, the minimal number of generators of the discrete momentum symmetry group (3.8) grows linearly in L (see Sec. III F 2), and some of these generators have very large orders. On the other hand, in the decoupled spin system, there is a generator at each site, so the symmetry group has L^2 generators each with the same fixed order. In other words, the large GSD of Laplacian ϕ -theory comes from the large orders of some of the generators of $\text{Jac}(\Gamma)$ rather than the number of generators.

There is another way to derive the above ground state degeneracy. The discrete momentum and winding symmetries do not commute with each other: The shift (3.7) of n changes the discrete winding charge \tilde{Q}_a in (3.10) by $p_a \pmod{r_a}$. This can be interpreted as a mixed 't Hooft anomaly between the discrete momentum and winding symmetries, and it leads to the ground state degeneracy (3.12). In fact, the entire Hilbert space is in a projective representation of $\text{Jac}(\Gamma) \times \text{Jac}(\Gamma)$, so every state is $|\text{Jac}(\Gamma)|$ -fold degenerate.

D. Spectrum

Let us now determine the spectrum of the Laplacian ϕ -theory (3.2) by working with a continuous Lorentzian time while keeping the space discrete. To do this, we first take $L_\tau \rightarrow \infty$, and gauge fix $n_\tau(\hat{\tau}, i) = 0$, so that $n(\hat{\tau}, i) = n(i)$ and $k(\hat{\tau}, i) = k(i)$ are both time-independent. We then introduce the lattice spacing a_τ in the τ direction, and take the limit $a_\tau \rightarrow 0$ while keeping $\beta'_0 = \beta_0 a_\tau$ and $\beta' = \beta/a_\tau$ fixed. Finally, we Wick rotate from Euclidean time τ to Lorentzian time t .

The equation of motion of ϕ is

$$\beta'_0 \partial_0^2 \phi(t, i) + \beta' \Delta_L [\Delta_L \phi(t, i) - 2\pi n(i)] = 0. \quad (3.13)$$

The general solution to this equation is

$$\begin{aligned} \phi(t, i) &= f(i) + \phi_p(i) + \sum_{\lambda \neq 0} \phi_\lambda(i) e^{i\omega_\lambda t}, \\ n(i) &= \frac{1}{2\pi} \Delta_L f(i) + p \delta_{iN}, \end{aligned} \quad (3.14)$$

where $f(i) = 2\pi \sum_{a < N} \frac{Q_{ia} p_a}{r_a} + c$ is a $U(1)$ -valued discrete harmonic function given by (2.6), $\phi_p(i)$ is a real-valued solution to the equation

$$\Delta_L \phi_p(i) = 2\pi p \left(\delta_{iN} - \frac{1}{N} \right), \quad p \in \mathbb{Z}, \quad (3.15)$$

$\phi_\lambda(i)$ is a real-valued eigenfunction of the Laplacian operator with eigenvalue λ , i.e., $\Delta_L \phi_\lambda(i) = \lambda \phi_\lambda(i)$, and the dispersion relation for the ‘‘plane wave modes’’ is¹²

$$\omega_\lambda = \sqrt{\frac{\beta'}{\beta'_0}} \lambda. \quad (3.16)$$

¹²We refer to them as the ‘‘plane wave modes’’ because when Γ is a torus lattice in any dimension, the eigenvalues are related to the spatial momenta and the eigenfunctions form the usual Fourier basis.

In other words, the plane wave spectrum is exactly the set of nonzero eigenvalues of the Laplacian operator. The smallest nonzero eigenvalue λ_2 of the Laplacian operator is known as the *spectral gap* [51], or the *algebraic connectivity* [80] of the graph. When Γ is a torus lattice in any dimension, λ_2 goes to zero with increasing number of sites. However, on a general graph, λ_2 could be finite even for large N [81]. So the plane wave spectrum could be gapped on a general graph, while it is gapless on a torus lattice.

The zero mode c of $f(i)$ in (3.14) is charged under the $U(1)$ momentum symmetry. After giving it a time dependence, its energy is lifted quantum mechanically to

$$E_{\text{mom}} = \frac{n^2}{2\beta'_0 N}, \quad (3.17)$$

where $n \in \mathbb{Z}$ is the $U(1)$ momentum charge. See Ref. [38] for a similar phenomenon, where a classical zero mode is lifted quantum mechanically, in another exotic model.

The rest of $f(i)$ in (3.14) is charged under the discrete winding symmetry $\text{Jac}(\Gamma)$ with charges $\tilde{Q}_a = p_a \pmod{r_a}$ for $a < N$. As we saw before, the discrete winding configurations have zero energy.

Finally, $\phi_p(i)$ in (3.14) is charged under the $U(1)$ winding symmetry with charge $\tilde{Q} = p$. The energy of the winding configuration $\phi_p(i)$ is

$$E_{\text{wind}} = \frac{\beta'}{2} \sum_i [\Delta_L \phi_p(i) - 2\pi p \delta_{iN}]^2 = \frac{(2\pi)^2 \beta' p^2}{2N}. \quad (3.18)$$

E. Robustness of GSD

The action (3.2) is said to be natural with respect to the global symmetry if all the relevant terms that are invariant under this symmetry are included in the action [82]. (See [38] for a recent discussion of naturalness and robustness). For example, a term that one can write on any graph is

$$- \sum_{\hat{\tau}, i} \cos[\phi(\hat{\tau}, i)]. \quad (3.19)$$

However, this term is not invariant under the $U(1)$ momentum symmetry. So if we impose the $U(1)$ momentum symmetry, it is forbidden.

A more interesting term that one can write on any graph is the usual nearest-neighbor interaction¹³

$$- \sum_{\hat{\tau}, (i, j)} \cos[\phi(\hat{\tau}, i) - \phi(\hat{\tau}, j)]. \quad (3.20)$$

This term is clearly invariant under the $U(1)$ momentum symmetry, i.e., shifts by constants. What happens if we impose the

¹³This is what one would write if one were studying the standard XY model on the graph Γ . In fact, let M denote the number of edges in Γ . Let us choose an orientation for each edge in Γ arbitrarily so that we can talk about *head* and *tail* vertices of an edge. With respect to this orientation, the (*oriented*) *incidence matrix* B of Γ is an $N \times M$ matrix given by $B_{i,e} = 1$ if i is the head of e , $B_{i,e} = -1$ if i is the tail of e , and $B_{i,e} = 0$ otherwise. One can easily check that $BB^T = L$ independent of the choice of orientation. Therefore (3.20) is a “lower order” term with respect to the Laplacian term.

full momentum symmetry $U(1) \times \text{Jac}(\Gamma)$? When Γ is a tree, the Jacobian group $\text{Jac}(\Gamma)$ is trivial because $|\text{Jac}(\Gamma)| = 1$. In this case, (3.20) is invariant, and so we should add this term to the action (3.2). This does not affect the ground state degeneracy because the latter is trivial anyway. On the other hand, when Γ is not a tree, $\text{Jac}(\Gamma)$ is nontrivial, which means the momentum symmetry includes non-constant shifts. So, (3.20) is forbidden.

Now, consider the winding operator

$$- \sum_{\hat{\tau}, i} \cos[\tilde{\phi}(\hat{\tau}, i)]. \quad (3.21)$$

This term is invariant under the $U(1) \times \text{Jac}(\Gamma)$ momentum symmetry, so if we impose only the momentum symmetry, we should add it to the action (3.2). Indeed, (3.21) is relevant because it breaks the $U(1)$ winding symmetry and lifts the ground state degeneracy. In other words, if we impose only the momentum symmetry, the winding symmetry is not robust.

It is also natural to impose both momentum and winding symmetries. In this case, (3.21) is forbidden and the ground state degeneracy cannot be lifted.

F. Examples

In this section, we discuss the Laplacian ϕ -theory on 1d and 2d spatial tori.

1. 1 + 1d dipole ϕ -theory

Let Γ be a *cycle graph* C_{L_x} (see Fig. 2), i.e., $\Gamma = C_{L_x} = \mathbb{Z}_{L_x}$, where L_x is the number of sites in the cycle. The operator Δ_L associated with the Laplacian matrix of Γ is the same as the standard Laplacian operator Δ_x^2 in the x direction.¹⁴ The action (3.2) becomes

$$S = \frac{\beta_0}{2} \sum_{\tau\text{-link}} (\Delta_\tau \phi - 2\pi n_\tau)^2 + \frac{\beta}{2} \sum_{\text{site}} (\Delta_x^2 \phi - 2\pi n_{xx})^2 + i \sum_{\tau\text{-link}} \tilde{\phi} (\Delta_\tau n_{xx} - \Delta_x^2 n_\tau), \quad (3.22)$$

after replacing n with n_{xx} . This is the modified Villain action of the 1 + 1d dipole ϕ -theory [48], which is the lattice regularization of the 1 + 1d compact Lifshitz scalar field theory.

The invariant factors of L are

$$r_a = \begin{cases} 1, & 1 \leq a < L_x - 1, \\ L_x, & a = L_x - 1, \\ 0, & a = L_x. \end{cases} \quad (3.23)$$

It follows that the Jacobian group for a cycle graph C_{L_x} is

$$\text{Jac}(C_{L_x}) = \mathbb{Z}_{L_x}. \quad (3.24)$$

¹⁴Actually, it is common to define $\Delta_x^2 f(\hat{x}) = f(\hat{x} + 1) - 2f(\hat{x}) + f(\hat{x} - 1)$, so $\Delta_L = -\Delta_x^2$. We will ignore this discrepancy in sign since it does not affect the rest of the discussion.

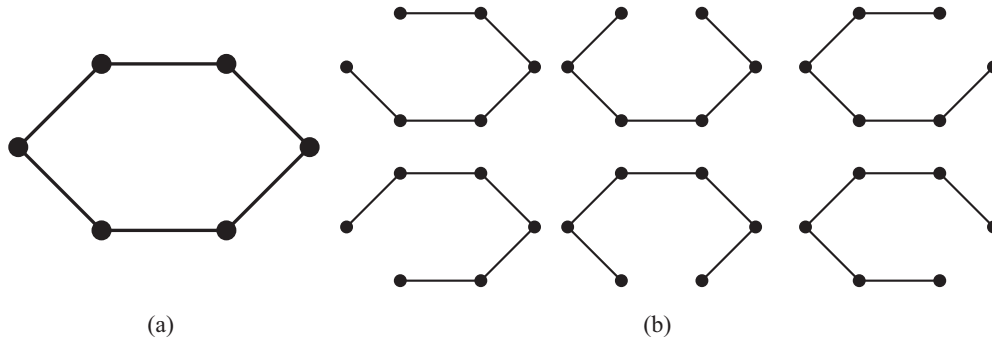


FIG. 2. (a) The cycle graph C_6 on $L_x = 6$ vertices. (b) The six spanning trees of C_6 obtained by removing one of the six edges.

(3.24) is a demonstration of the fact that the large GSD comes from the large orders of some of the generators of $\text{Jac}(\Gamma)$ rather than the number of generators. Physically, (3.24) means that the discrete momentum and winding symmetries of the 1 + 1d dipole ϕ -theory are \mathbb{Z}_{L_x} .

A spanning tree of a cycle graph C_{L_x} is obtained by removing any one of the L_x edges. See Fig. 2. Therefore there are exactly L_x spanning trees of a cycle graph C_{L_x} . In other words, the complexity of C_{L_x} is simply L_x , which equals the ground state degeneracy of the 1 + 1d dipole ϕ -theory. This is in agreement with the analysis of Ref. [48]. As both the discrete global symmetry [which is $\text{Jac}(C_{L_x})$] and the ground state degeneracy (which is $|\text{Jac}(C_{L_x})|$) grow as we increase the number of lattice sites L_x , it is clear that this 1 + 1d model does not have an unambiguous continuum limit $L_x \rightarrow \infty$.

Incidentally, using (2.12) to compute the ground state degeneracy in terms of the eigenvalues of Δ_x^2 leads to the following identity:

$$L_x = \frac{1}{L_x} \prod_{k_x=1}^{L_x-1} 4 \sin^2 \left(\frac{\pi k_x}{L_x} \right). \quad (3.25)$$

2. 2 + 1d Laplacian ϕ -theory

Let Γ be a *torus graph*, i.e., $\Gamma = C_{L_x} \times C_{L_y} = \mathbb{Z}_{L_x} \times \mathbb{Z}_{L_y}$, where L_i is the number of sites in the i direction. The operator Δ_L associated with the Laplacian matrix of Γ is the same as the standard Laplacian operator $\Delta_x^2 + \Delta_y^2$ in the xy plane. The action (3.2) becomes

$$S = \frac{\beta_0}{2} \sum_{\tau\text{-link}} (\Delta_\tau \phi - 2\pi n_\tau)^2 + \frac{\beta}{2} \sum_{\text{site}} [(\Delta_x^2 + \Delta_y^2)\phi - 2\pi n]^2 + i \sum_{\tau\text{-link}} \tilde{\phi} [\Delta_\tau n - (\Delta_x^2 + \Delta_y^2)n_\tau]. \quad (3.26)$$

We refer to this as the 2 + 1d Laplacian ϕ -theory. This is a natural lattice regularization of the 2 + 1d compact Lifshitz scalar field theory that can be defined on a general spatial graph Γ .

The discrete momentum and winding symmetries are determined by the Jacobian group of the torus graph. The latter is quite complicated and not known in closed form in general as a function of L_x, L_y . Below we record a few examples for

small values of $L_x = L_y$:

$$\text{Jac}(C_2 \times C_2) = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_8,$$

$$\text{Jac}(C_3 \times C_3) = \mathbb{Z}_6 \times \mathbb{Z}_6 \times \mathbb{Z}_{18} \times \mathbb{Z}_{18},$$

$$\text{Jac}(C_4 \times C_4) = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_8 \times \mathbb{Z}_{24} \times \mathbb{Z}_{24} \times \mathbb{Z}_{24} \times \mathbb{Z}_{96}. \quad (3.27)$$

The minimal number of generators of $\text{Jac}(C_{L_x} \times C_{L_y})$ is at most the number of nontrivial spatial integer gauge fields, n 's, after gauge fixing. One can gauge fix the n 's to be zero everywhere except along $\hat{x} = 0, 1$, or along $\hat{y} = 0, 1$. Therefore, after gauge fixing, the number of nontrivial n 's is $\min(2L_x, 2L_y)$. In other words, on a square torus graph $C_L \times C_L$, the minimal number of generators of $\text{Jac}(C_L \times C_L)$ grows at most linearly in L . Once again, this shows that the large GSD is due to the large orders of some of the generators of $\text{Jac}(\Gamma)$ rather than the number of generators.

The ground state degeneracy is given by the order of the Jacobian group, $\text{GSD} = |\text{Jac}(C_{L_x} \times C_{L_y})|$. While there is no closed form formula for the Jacobian group itself, the order of this group can be expressed in terms of the eigenvalues of the discrete Laplacian on the torus graph [see (2.12)]. We therefore obtain

$$\begin{aligned} \text{GSD} &= \frac{1}{L_x L_y} \prod_{\substack{0 \leq k_i < L_i \\ (k_x, k_y) \neq (0,0)}} \left[4 \sin^2 \left(\frac{\pi k_x}{L_x} \right) + 4 \sin^2 \left(\frac{\pi k_y}{L_y} \right) \right] \\ &= L_x L_y \prod_{k_i=1}^{L_i-1} \left[4 \sin^2 \left(\frac{\pi k_x}{L_x} \right) + 4 \sin^2 \left(\frac{\pi k_y}{L_y} \right) \right], \end{aligned} \quad (3.28)$$

where we used the identity (3.25) in the second line. Since the discrete global symmetry $\text{Jac}(C_{L_x} \times C_{L_y})$ and the GSD depend sensitively on the number theoretic properties of the lattice sites L_x, L_y , this regularization of the 2 + 1d compact Lifshitz theory does not have an unambiguous continuum limit $L_x, L_y \rightarrow \infty$.

Let us discuss the asymptotic behavior of the GSD on a torus. The GSD grows asymptotically as [83]

$$\begin{aligned} \ln \text{GSD} &\approx \frac{L_x L_y}{\pi^2} \int_0^\pi \int_0^\pi dp_x dp_y \ln [4 \sin^2(p_x) + 4 \sin^2(p_y)] \\ &= \frac{4G}{\pi} L_x L_y, \end{aligned} \quad (3.29)$$

where $G \approx 0.916$ is the Catalan constant. This is consistent with the intuition that the number of spanning trees of the

torus graph $C_{L_x} \times C_{L_y}$, which is also equal to GSD, grows with L_x and L_y . Indeed, the result of Ref. [50] implies the ground state degeneracy of the 2 + 1d Laplacian ϕ -theory grows exponentially in $L_x L_y$.¹⁵

A closely related matter theory is the 2 + 1d dipole ϕ -theory, which is another possible regularization of the 2 + 1d compact Lifshitz field theory. While the 1 + 1d dipole ϕ -theory is the same as the 1 + 1d Laplacian ϕ -theory, their 2 + 1d versions are very different. In an upcoming paper [53], we compare the 2 + 1d Laplacian and dipole ϕ -theories, and discuss their relation to the 2 + 1d compact Lifshitz theory.

IV. U(1) LAPLACIAN GAUGE THEORY AND FRACTONS ON A GRAPH

We can gauge the momentum symmetry of the Laplacian ϕ -theory by coupling it to the gauge fields $(\mathcal{A}_\tau, \mathcal{A}; m_\tau)$. Here \mathcal{A}_τ and \mathcal{A} are real-valued fields living on the τ -links and the sites, respectively, and m_τ is an integer-valued gauge field living on the τ -link. Their gauge transformations are

$$\begin{aligned} \mathcal{A}_\tau &\sim \mathcal{A}_\tau + \Delta_\tau \alpha + 2\pi q_\tau, \\ \mathcal{A} &\sim \mathcal{A} + \Delta_L \alpha + 2\pi q, \\ m_\tau &\sim m_\tau + \Delta_\tau q - \Delta_L q_\tau, \end{aligned} \quad (4.1)$$

where α is a real-valued gauge parameter and q_τ, q are integer-valued gauge parameters.

We can leave out the matter fields and study the pure gauge theory of $(\mathcal{A}_\tau, \mathcal{A}; m_\tau)$. It is described by the following Villain action

$$S = \frac{\gamma}{2} \sum_{\hat{\tau}, i} \mathcal{E}^2 + \frac{i\theta}{2\pi} \sum_{\hat{\tau}, i} \mathcal{E}, \quad (4.2)$$

where $\mathcal{E} = \Delta_\tau \mathcal{A} - \Delta_L \mathcal{A}_\tau - 2\pi m_\tau$ is the gauge-invariant electric field of $(\mathcal{A}_\tau, \mathcal{A}; m_\tau)$. The θ -angle is 2π -periodic, i.e., $\theta \sim \theta + 2\pi$, because $\sum_{\hat{\tau}, i} \mathcal{E} = -2\pi \sum_{\hat{\tau}, i} m_\tau \in 2\pi\mathbb{Z}$. See Ref. [37] for similar Villain formulation of various standard and exotic U(1) gauge theories.

The theory (4.2) can be viewed as an extension of the 1 + 1d rank-2 U(1) gauge theory of [48] with Δ_x^2 on the 1d spatial lattice replaced by the discrete Laplacian operator Δ_L on the graph Γ .

A. Global symmetry

Here we discuss the global symmetry of the U(1) Laplacian gauge theory. There are two kinds of global symmetries that we should distinguish in gauge theory. The first kind is the *spacelike* global symmetry, which acts on operators and states in the Hilbert space. The second kind is the *timelike* global symmetry, which acts on defects extended in the (Euclidean) time direction. In an ordinary, relativistic gauge theory, both the spacelike and timelike global symmetries are parts of the one-form global symmetry [16]. In contrast, the two global

symmetries can be drastically different in nonrelativistic systems. For example, even the groups for them can be different. We refer the readers to Ref. [48] for comprehensive analyses of time-like global symmetries in various standard and exotic models.

This theory has an electric symmetry that shifts

$$(\mathcal{A}_\tau, \mathcal{A}; m_\tau) \rightarrow (\mathcal{A}_\tau, \mathcal{A}; m_\tau) + (\lambda_\tau, \lambda; p_\tau), \quad (4.3)$$

where $(\lambda_\tau, \lambda; p_\tau)$ is a flat U(1) gauge field, i.e.,

$$\Delta_\tau \lambda - \Delta_L \lambda_\tau = 2\pi p_\tau. \quad (4.4)$$

The shift $(\lambda_\tau, \lambda; p_\tau)$ is subject to the gauge transformation in (4.1). Below we will use this freedom of gauge transformation to gauge-fix the shift in a particular form. Using the integer gauge parameter q , we can set $p_\tau = 0$ everywhere except at $\hat{\tau} = 0$. Similarly, we can use α to set $\lambda_\tau = 0$ everywhere except at $\hat{\tau} = 0$. Since $\sum_i p_\tau(\hat{\tau}, i) = 0$ by flatness, by the analysis in Appendix around (A23), we can use q_τ to set

$$p_\tau(\hat{\tau}, i) = -\delta_{\hat{\tau}, 0} \sum_{a < N} (P^{-1})_{ia} p_{\tau a}, \quad (4.5)$$

where $p_{\tau a} = 0, \dots, r_a - 1$. Now, the remaining gauge symmetry is time-independent $\alpha(i)$ and $q(i)$, and $q_\tau(\hat{\tau}, i) = \delta_{\hat{\tau}, 0} \bar{q}_\tau$, where \bar{q}_τ is an integer. By flatness, we have $\Delta_\tau \lambda = 0$. By the analysis in Appendix around (A22), using $\alpha(i)$ and $q(i)$, we can set

$$\lambda(\hat{\tau}, i) = \frac{c}{N}, \quad (4.6)$$

where $c \sim c + 2\pi$.

Since $\Delta_\tau \lambda = 0$, we have $\Delta_L \lambda_\tau + 2\pi p_\tau = 0$ at $\hat{\tau} = 0$. Using (2.6), the solution for λ_τ is

$$\lambda_\tau(\hat{\tau}, i) = \delta_{\hat{\tau}, 0} \left[c_\tau + 2\pi \sum_{a < N} \frac{Q_{ia} p_{\tau a}}{r_a} \right], \quad (4.7)$$

where $c_\tau \sim c_\tau + 2\pi$.

The parameter c generates a U(1) electric global space-like symmetry that shifts $\mathcal{A} \rightarrow \mathcal{A} + \frac{c}{N}$. The operator charged under this symmetry is

$$\exp \left[i \sum_j \mathcal{A}(\hat{\tau}, j) \right]. \quad (4.8)$$

There are no other gauge invariant operators other than those mentioned so far. Relatedly, there is no discrete electric global symmetry.

The parameters c_τ and $p'_{\tau a}$ s generate the electric global time-like symmetry that shifts

$$\begin{aligned} \mathcal{A}_\tau(\hat{\tau}, i) &\rightarrow \mathcal{A}_\tau(\hat{\tau}, i) + \delta_{\hat{\tau}, 0} \left[c_\tau + 2\pi \sum_{a < N} \frac{Q_{ia} p_{\tau a}}{r_a} \right], \\ m_\tau(\hat{\tau}, i) &\rightarrow m_\tau(\hat{\tau}, i) - \delta_{\hat{\tau}, 0} \sum_{a < N} (P^{-1})_{ia} p_{\tau a}. \end{aligned} \quad (4.9)$$

Comparing with (2.6), we see that a general time-like global symmetry transformation is labeled by a U(1)-valued discrete harmonic function, which forms the group $U(1) \times \text{Jac}(\Gamma)$. This shift does not act on operators. Rather, it acts on defects

¹⁵Here we have used the fact that the torus graph $\Gamma = C_{L_x} \times C_{L_y}$ is 4-regular in applying the result of [50]. In contrast, a cycle graph is only 2-regular, and indeed the GSD of the 1 + 1d dipole ϕ -theory grows linearly in the number of vertices.

that extend in the time direction. More specifically, a charged defect at site i is

$$\exp \left[i \sum_{\hat{\tau}} \mathcal{A}_{\tau}(\hat{\tau}, i) \right]. \quad (4.10)$$

B. Spectrum

Let us now determine the spectrum of the U(1) Laplacian gauge theory (4.2) by working with continuous Lorentzian time while keeping the space discrete. To do this, we first take $L_{\tau} \rightarrow \infty$, and gauge fix $m_{\tau}(\hat{\tau}, i) = 0$. We then introduce the lattice spacing a_{τ} in the τ -direction, and take the limit $a_{\tau} \rightarrow 0$ while keeping $\gamma' = \gamma a_{\tau}$ fixed. We also define a scaled temporal gauge field $A_{\tau} = \frac{1}{a_{\tau}} \mathcal{A}_{\tau}$ and a scaled electric field $E = \partial_{\tau} \mathcal{A} - \Delta_L A_{\tau}$ while taking this limit. Finally, we Wick rotate from Euclidean time τ to Lorentzian time t .

The Gauss law (i.e., the equation of motion of A_0) gives

$$\Delta_L E(t, i) = 0 \Rightarrow E(t, i) = E(t). \quad (4.11)$$

In the temporal gauge $A_0(t, i) = 0$, up to a time-independent gauge transformation, this equation is solved by

$$\mathcal{A}(t, i) = \frac{c(t)}{\mathbf{N}}, \quad (4.12)$$

where $c(t)$ is circle-valued, i.e., $c(t) \sim c(t) + 2\pi$. The effective action for $c(t)$ is

$$S = \int dt \left[\frac{\gamma'}{2\mathbf{N}} \dot{c}(t)^2 - \frac{\theta}{2\pi} \dot{c}(t) \right]. \quad (4.13)$$

The Hamiltonian is

$$H = \frac{\mathbf{N}}{2\gamma'} \left(\Pi + \frac{\theta}{2\pi} \right)^2, \quad (4.14)$$

where Π is the conjugate momentum of $c(t)$, and $\Pi \in \mathbb{Z}$ because of the periodicity of $c(t)$. For $\theta \neq \pi$, the ground state is non-degenerate, while for $\theta = \pi$ there are two degenerate ground states.

We now discuss the robustness of the theory. All the local operators in the theory are made of the gauge-invariant electric field E . Adding them to the Lagrangian does not change the qualitative behavior of the theory. Hence, we conclude that the theory is robust.

C. Mobility of defects: Fractons

The pure U(1) gauge theory has defects (4.10) describing the world lines of infinitely massive particles. The U(1) time-like symmetry charges of these defects can be interpreted as the gauge charges of the massive particles. More generally, a static configuration of particles carrying gauge charge $q(i)$ at site i is represented by the following defect:

$$\exp \left[i \sum_{\hat{\tau}} \sum_i q(i) \mathcal{A}_{\tau}(\hat{\tau}, i) \right], \quad q(i) \in \mathbb{Z}. \quad (4.15)$$

A ‘‘move’’ at time $\hat{\tau}_0$ on a configuration is implemented by applying products of operators $\exp[i\mathcal{A}(\hat{\tau}_0, i)]$ at different sites. A configuration of particles can ‘‘move’’ to another configuration if and only if there is a gauge-invariant defect that connects the two.

Since the discrete $\text{Jac}(\Gamma)$ time-like global symmetries depend on the sites, they constrain the possible shapes of defects, and therefore the mobility of the particles. Moreover, they lead to superselection sectors of defects distinguished by the time-like symmetry charges. See Ref. [48] for more discussions on time-like global symmetries.

From (4.9), we see that the discrete time-like symmetry charges of a static defect (4.10) at site i are given by $Q_{ia} \bmod r_a$ with $a = 1, 2, \dots, \mathbf{N} - 1$. The defect (4.10) can hop from site i to site i' if and only if the time-like charges of the defects at these two positions are the same, i.e.,

$$Q_{i'a} = Q_{ia} \bmod r_a, \quad a = 1, \dots, \mathbf{N} - 1. \quad (4.16)$$

Then, the defect that ‘‘hops’’ a particle from i to i' at time $\hat{\tau} = \hat{\tau}_0$ is

$$\begin{aligned} & \exp \left[i \sum_{\hat{\tau} < \hat{\tau}_0} \mathcal{A}_{\tau}(\hat{\tau}, i) \right] \exp \left[i \sum_{a < \mathbf{N}, j} \left(\frac{Q_{i'a} - Q_{ia}}{r_a} \right) P_{aj} \mathcal{A}(\hat{\tau}_0, j) \right] \\ & \times \exp \left[i \sum_{\hat{\tau} \geq \hat{\tau}_0} \mathcal{A}_{\tau}(\hat{\tau}, i') \right]. \end{aligned} \quad (4.17)$$

We are now ready to phrase the mobility of the probe particles in terms of a graph-theoretic statement. The condition for mobility (4.16) is equivalent to the property that all U(1)-valued discrete harmonic functions (2.6) take the same value at i and i' . If the condition (4.16) is not satisfied, i.e., if there is a U(1)-valued discrete harmonic function that takes different values at i and i' , then the particle cannot move from i to i' . If this is the case for all $i, i' \in \Gamma$, then the particle is a *fracton*.

Using the Abel-Jacobi map S_{i_0} , we can completely characterize the mobility of a particle by general properties of the graph Γ . (See Sec. II for the definition and properties of the Abel-Jacobi map S_{i_0} , where i_0 is some fixed vertex of the graph.)

(1) If there is a unique path from i to i' , then $S_{i_0}(i) = S_{i_0}(i')$. By the universal property of S_{i_0} , for any U(1)-valued discrete harmonic function f that vanishes at i_0 , there is a unique group homomorphism $\psi_f : \text{Jac}(\Gamma) \rightarrow U(1)$ such that $f = \psi_f \circ S_{i_0}$. In particular, $f(i) = f(i')$ for any U(1)-valued discrete harmonic function $f \in \mathcal{H}(\Gamma, U(1))$, so there is no selection rule imposed by the time-like symmetry for a particle to move from i to i' . Indeed, Fig. 3 illustrates how a particle can move from i to i' in this case.

We can also write down the defect that describes this motion. Let $i = i_0 \rightarrow i_1 \rightarrow \dots \rightarrow i_{K-1} \rightarrow i_K = i'$ be the unique path from i to i' .¹⁶ The following defect describes the motion of a particle from i to i' at time $\hat{\tau} = \hat{\tau}_0$:

$$\begin{aligned} & \exp \left[i \sum_{\hat{\tau} < \hat{\tau}_0} \mathcal{A}_{\tau}(\hat{\tau}, i) \right] \exp \left[i \sum_{k=1}^K \sum_{j \in \Gamma^{(k)}} \mathcal{A}(\hat{\tau}_0, j) \right] \\ & \times \exp \left[i \sum_{\hat{\tau} \geq \hat{\tau}_0} \mathcal{A}_{\tau}(\hat{\tau}, i') \right], \end{aligned} \quad (4.18)$$

¹⁶Note that the arrows do not imply that the edges are directed; they only indicate that the path is from i to i' .

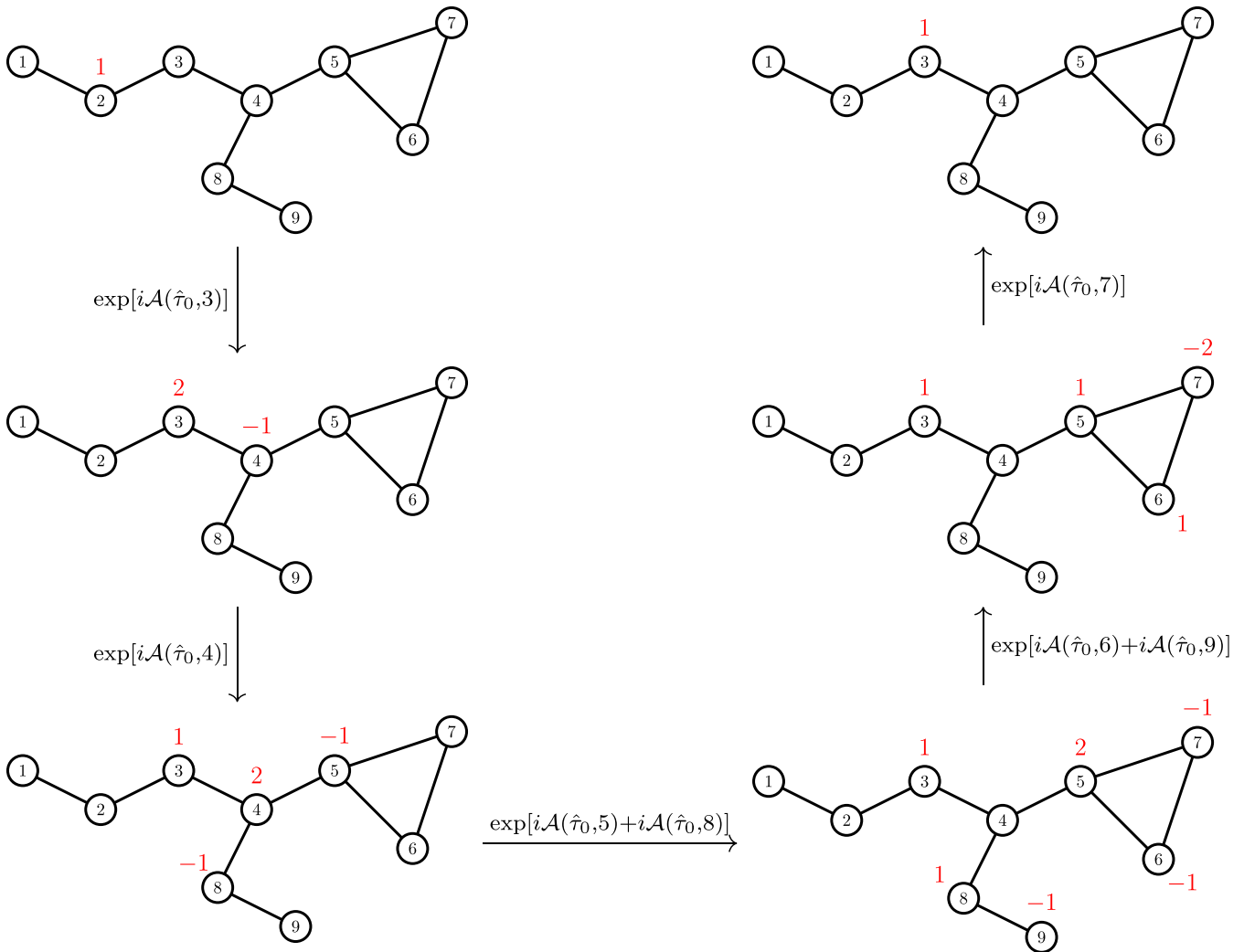


FIG. 3. Motion of a particle between the vertices 2 and 3 that are connected by a unique path. In the graph, the numbers in black label the vertices, and the integers in red denote the charges of the particles (a zero charge is omitted). The operator $\exp[i\mathcal{A}(\hat{\tau}_0, i)]$ creates a particle of charge d_i at vertex i (where d_i is the degree of the vertex i) and particles of charge -1 at the neighbors of i at a fixed time $\hat{\tau}_0$. The above sequence of operators moves the particle from vertex 2 to vertex 3. In the correspondence between fractons and divisors, the integers in red represent values of the divisor (a zero value is omitted), and the operator $\exp[i\mathcal{A}(\hat{\tau}_0, i)]$ changes the divisor by a principal divisor.

where $\Gamma^{(k)}$ is the *connected component* of i_k in $\Gamma \setminus \langle i_{k-1}, i_k \rangle$ for $1 \leq k \leq K$.

(2) On the other hand, if there are at least two paths from i to i' , then $S_{i_0}(i) \neq S_{i_0}(i')$. Moreover, there is a group homomorphism $\psi : \text{Jac}(\Gamma) \rightarrow U(1)$ such that $\psi(S_{i_0}(i)) \neq \psi(S_{i_0}(i'))$.¹⁷ So, $\psi \circ S_{i_0}$ is a $U(1)$ -valued discrete harmonic function that takes different values at i and i' . It follows that a particle cannot move from i to i' .

In particular, if Γ is two-edge connected (such as a torus graph), any particle on Γ is a fracton. More generally, any Γ has the structure shown in Fig. 4, where each Γ_k is a two-edge

connected graph, and the “lines” represent paths/bridges connecting two vertices from adjacent Γ_k s—for example, when each Γ_k is a single vertex, then Γ is a tree. By the last two paragraphs, a particle on a bridge can move along that bridge or any other bridge that shares a vertex with that bridge but nowhere else, whereas a particle “inside” a Γ_k cannot move anywhere.

D. Correspondence between divisors and fractons

In this section, we unveil an intriguing correspondence between the theory of divisors in graph theory and the $U(1)$ Laplacian gauge theory of fractons. A divisor $q \in \mathcal{F}(\Gamma, \mathbb{Z})$ can be interpreted as a configuration of particles with the $U(1)$ time-like charge of the particle at a site i equal to $q(i) \in \mathbb{Z}$. More specifically, a static configuration of particles associated with a divisor q is represented by the defect (4.15). A configuration can “move” to another configuration if and only if their corresponding divisors differ by a principal divisor. In

¹⁷Let g, h be two distinct elements in $\text{Jac}(\Gamma) = \prod_{a \in \mathbb{N}} \mathbb{Z}_{r_a}$. Any element of $\text{Jac}(\Gamma)$ can be represented as an $(N-1)$ -tuple: (s_1, \dots, s_{N-1}) where $0 \leq s_a < r_a$. Say $a < N$ is the first component at which g and h differ. Consider the group homomorphism $\psi : \text{Jac}(\Gamma) \rightarrow U(1)$ given by $\psi : (s_1, \dots, s_{N-1}) \mapsto e^{2\pi i s_a / r_a}$. It is clear that $\psi(g) \neq \psi(h)$.

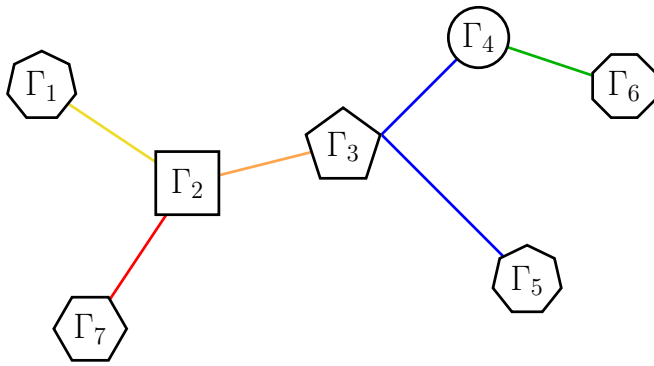


FIG. 4. The structure of any graph Γ , where each Γ_k is a two-edge connected graph, and each line represents a path/bridge between two vertices from adjacent Γ_k 's. As explained in the main text, a particle on a bridge can move along that bridge or any other bridge that shares a vertex with that bridge but nowhere else, whereas a particle “inside” a Γ_k cannot move anywhere. In the figure, this means, particles on colored parts of Γ can move within the parts of same color.

particular, a configuration associated with a principal divisor can “trivially move” by first being annihilated and then being created elsewhere.

These statements can be understood by the selection rules imposed by the time-like symmetry. A time-like superselection sector is labeled by the time-like charges of a configuration. Since the time-like symmetry group is $U(1) \times \text{Jac}(\Gamma)$, the time-like charges are valued in the Pontryagin dual, i.e., $\mathbb{Z} \times \text{Jac}(\Gamma)$.¹⁸ The latter is precisely the Picard group $\text{Pic}(\Gamma)$. In other words, the superselection sector of a configuration is associated with the equivalence class of a divisor in $\text{Pic}(\Gamma)$. In particular, the “trivial” superselection sector (with trivial time-like charges) corresponds to the equivalence class of $\text{Pic}(\Gamma)$ that consists of all the principal divisors. Therefore a configuration can “move” to another configuration if and only if they are in the same superselection sector, i.e., if and only if their divisors differ by a principal divisor (see Fig. 3 for an illustration). This correspondence is summarized in Table I.

E. Examples

In this section, we discuss the $U(1)$ Laplacian gauge theory on 1d and 2d spatial tori.

1. 1 + 1d rank-2 $U(1)$ tensor gauge theory

Let Γ be a *cycle graph*, i.e., $\Gamma = C_{L_x} = \mathbb{Z}_{L_x}$, where L_x is the number of sites in the cycle. The operator Δ_L associated with the Laplacian matrix of Γ is the same as the standard Laplacian operator Δ_x^2 in the x direction. The action (4.2) becomes

$$S = \frac{\gamma}{2} \sum_{\tau\text{-link}} \mathcal{E}_{xx}^2 + \frac{i\theta}{2\pi} \sum_{\tau\text{-link}} \mathcal{E}_{xx}, \quad (4.19)$$

¹⁸Here, the \mathbb{Z} factor is the $U(1)$ time-like charge, which is associated with the degree of the divisor.

after replacing \mathcal{A} with \mathcal{A}_{xx} . This is the modified Villain action of the 1 + 1d $U(1)$ dipole gauge theory or the rank-2 $U(1)$ tensor gauge theory [48].

The Smith decomposition of L is discussed in Sec. III F 1. It follows that the discrete electric time-like symmetry is \mathbb{Z}_{L_x} . Since Γ is two-edge connected, a single particle cannot move, i.e., it is a fracton. On the other hand, dipoles can move (this is similar to the dipole motion shown in Fig. 3). This is in agreement with the analysis of Ref. [48].

2. 2 + 1d $U(1)$ Laplacian gauge theory

Let Γ be a *torus graph*, i.e., $\Gamma = C_{L_x} \times C_{L_y} = \mathbb{Z}_{L_x} \times \mathbb{Z}_{L_y}$, where L_i is the number of sites in the i direction. The operator Δ_L associated with the Laplacian matrix of Γ is the same as the standard Laplacian operator $\Delta_x^2 + \Delta_y^2$ in the xy plane. The action (4.2) becomes

$$S = \frac{\gamma}{2} \sum_{\tau\text{-link}} \mathcal{E}^2 + \frac{i\theta}{2\pi} \sum_{\tau\text{-link}} \mathcal{E}, \quad (4.20)$$

where $\mathcal{E} = \Delta_\tau \mathcal{A} - (\Delta_x^2 + \Delta_y^2) \mathcal{A}_\tau - 2\pi m_\tau$. We refer to this as the 2 + 1d $U(1)$ Laplacian gauge theory. It is one of the natural higher dimensional versions of the 1 + 1d rank-2 $U(1)$ tensor gauge theory of Sec. IV E 1.

While the Jacobian group of a 2d torus graph is not generally known, since Γ is two-edge connected, a single particle is a fracton. In fact, in an upcoming paper [53], we show that any finite set of particles with arbitrary charges is completely immobile (modulo sets of particles that can trivially move) on the infinite square lattice.

A closely related gauge theory is the 2 + 1d rank-2 $U(1)$ tensor gauge theory. While the 1 + 1d rank-2 $U(1)$ tensor gauge theory is the same as the 1 + 1d $U(1)$ Laplacian gauge theory, their 2 + 1d versions are very different. In an upcoming paper [53], we analyze both of them in detail, and compare their global properties.

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APPENDIX: DISCRETE POISSON EQUATION

In this Appendix, we solve the discrete Poisson equation (2.3) using the Smith decomposition (2.5) of the Laplacian matrix.

Consider a function on the vertices of the graph, $f : \Gamma \rightarrow X$, where X is an Abelian group. We are interested in the case where X is \mathbb{R} , $U(1)$, \mathbb{Z} , or \mathbb{Z}_N . Recall that the Laplacian operator Δ_L is defined as

$$\begin{aligned} \Delta_L f(i) &= \sum_j L_{ij} f(j) = d_i f(i) - \sum_{j:(i,j) \in \Gamma} f(j) \\ &= \sum_{j:(i,j) \in \Gamma} [f(i) - f(j)]. \end{aligned} \quad (\text{A1})$$

One is usually interested in the following questions: given a function g , is there a function f that satisfies the *discrete Poisson equation* on the graph,

$$\Delta_L f(i) = g(i)? \quad (\text{A2})$$

If yes, how many solutions are there, and what are they?

One way to answer these questions is to use the *Smith normal form* of L [71]. The Smith normal form of L is given by $R = PLQ$, where $P, Q \in GL_N(\mathbb{Z})$, and $R = \text{diag}(r_1, \dots, r_N)$ is an $N \times N$ diagonal integer matrix with nonnegative diagonal entries such that $r_a | r_{a+1}$ (i.e., r_a divides r_{a+1}) for $a = 1, \dots, N-1$. While R is uniquely determined by L , the matrices P and Q are not.

Using the index notation, we can write the Smith normal form as $R_{ab} = \sum_{i,j} P_{ai} L_{ij} Q_{jb}$, where $R_{ab} = r_a \delta_{ab}$. While all the indices run from 1 to N , the indices i, j have natural interpretation as vertices of the graph Γ , whereas the indices a, b do not have an immediately obvious interpretation.

For a connected graph Γ , we have $r_a > 0$ for $a = 1, \dots, N-1$, and $r_N = 0$. This implies

$$\sum_i (P^{-1})_{ia} = 0, \quad \sum_i (Q^{-1})_{ai} = 0, \quad (\text{A3})$$

for all $a < N$. In fact, a convenient choice of P and Q is given by the block matrices:

$$P = \begin{pmatrix} \tilde{P} & \mathbf{0} \\ \mathbf{1}^T & 1 \end{pmatrix}, \quad Q = \begin{pmatrix} \tilde{Q} & \mathbf{1} \\ \mathbf{0}^T & 1 \end{pmatrix}, \quad (\text{A4})$$

where $\tilde{P}, \tilde{Q} \in GL_{N-1}(\mathbb{Z})$. It follows that

$$P^{-1} = \begin{pmatrix} \tilde{P}^{-1} & \mathbf{0} \\ -\mathbf{1}^T \tilde{P}^{-1} & 1 \end{pmatrix}, \quad Q^{-1} = \begin{pmatrix} \tilde{Q}^{-1} & -\tilde{Q}^{-1} \mathbf{1} \\ \mathbf{0}^T & 1 \end{pmatrix}, \quad (\text{A5})$$

where (A3) is manifest.

The discrete Poisson equation (A2) simplifies in a new basis. Defining

$$\begin{aligned} f'_a &= \sum_i (Q^{-1})_{ai} f(i), \\ g'_a &= \sum_i P_{ai} g(i), \end{aligned} \quad (\text{A6})$$

we can write (A2) as

$$r_a f'_a = g'_a, \quad a = 1, \dots, N. \quad (\text{A7})$$

Each a gives an independent equation, so we can solve for each f'_a independently. If a solution exists for all a , then a solution exists for (A2) and vice versa.

Let us first focus on $a = N$. Since $r_N = 0$, a solution exists if and only if $g'_N = \sum_i P_{Ni} g(i) = \sum_i g(i) = 0$. This condition

is the same for any choice of X . If it is satisfied, then f'_N can take any value in X .¹⁹ This corresponds to the zero mode (or constant mode because $Q_{iN} = 1$ for all i) of the Laplacian.

Now, consider $a < N$ so that $r_a > 0$. Let us analyze each X separately.

(1) When $X = \mathbb{R}$, there is always a unique solution

$$f'_a = \frac{1}{r_a} g'_a, \quad (\text{A8})$$

Assuming $g'_N = 0$, the solution in the original basis is

$$f(i) = \sum_{a < N} \sum_j \frac{Q_{ia} P_{aj}}{r_a} g(j) + c, \quad (\text{A9})$$

where c is a real constant (it is the zero mode mentioned above).

(2) When $X = U(1)$, the equation (A7) can be written as

$$r_a f'_a = g'_a \pmod{2\pi}. \quad (\text{A10})$$

A general solution takes the form

$$f'_a = \frac{1}{r_a} g'_a + \frac{2\pi p_a}{r_a}, \quad (\text{A11})$$

where p_a is an integer. Since $X = U(1)$, the integers p_a and $p_a + r_a$ correspond to the same solution, so there are r_a inequivalent solutions associated with $p_a = 0, \dots, r_a - 1$. Hence, assuming $g'_N = 0 \pmod{2\pi}$, the general solution in the original basis is

$$f(i) = \sum_{a < N} \sum_j \frac{Q_{ia} P_{aj}}{r_a} g(j) + 2\pi \sum_{a < N} \frac{Q_{ia} p_a}{r_a} + c, \quad (\text{A12})$$

where $c \sim c + 2\pi$ is a circle-valued constant. If we lift f and g from $U(1)$ to \mathbb{R} , we have

$$\Delta_L f(i) = g(i) + 2\pi \sum_{a < N} (P^{-1})_{ia} p_a. \quad (\text{A13})$$

(3) When $X = \mathbb{Z}$, a unique solution

$$f'_a = \frac{1}{r_a} g'_a, \quad (\text{A14})$$

exists if and only if r_a divides g'_a . So, assuming $g'_N = 0$, and $g'_a = 0 \pmod{r_a}$ for $a < N$, the solution is

$$f(i) = \sum_{a < N} \sum_j \frac{Q_{ia} P_{aj}}{r_a} g(j) + p, \quad (\text{A15})$$

where p is an integer.

(4) When $X = \mathbb{Z}_N$, the equation (A7) can be written as

$$r_a f'_a = g'_a \pmod{N}. \quad (\text{A16})$$

A solution of the form

$$f'_a = \frac{\tilde{r}_a}{\text{gcd}(N, r_a)} g'_a + \frac{N p_a}{\text{gcd}(N, r_a)}, \quad (\text{A17})$$

exists if and only if $\text{gcd}(N, r_a)$ divides g'_a due to Bézout's identity. Here, \tilde{r}_a is a fixed integer given by $\tilde{r}_a r_a = \text{gcd}(N, r_a)$

¹⁹Note that since $Q \in GL_N(\mathbb{Z})$, if f takes values in X , then f' also takes values in X .

mod N (which exists by Bézout's identity), and p_a is an integer.²⁰ Note that $p_a \sim p_a + \gcd(N, r_a)$ because $X = \mathbb{Z}_N$, so there are $\gcd(N, r_a)$ inequivalent solutions associated with $p_a = 0, \dots, \gcd(N, r_a) - 1$. So, assuming $g'_N = 0 \pmod N$, and $g'_a = 0 \pmod{\gcd(N, r_a)}$ for $a < N$, the general solution is

$$f(i) = \sum_{a < N} \sum_j \frac{Q_{ia} \tilde{r}_a P_{aj}}{\gcd(N, r_a)} g(j) + \sum_{a < N} \frac{N Q_{ia} P_a}{\gcd(N, r_a)} + p, \quad (\text{A18})$$

where p is an integer modulo N . Since $\gcd(N, r_N) = \gcd(N, 0) = N$, and $Q_{iN} = 1$, defining $p_N = p \pmod N$, we can write the above solution as

$$f(i) = \sum_{a < N} \sum_j \frac{Q_{ia} \tilde{r}_a P_{aj}}{\gcd(N, r_a)} g(j) + \sum_a \frac{N Q_{ia} P_a}{\gcd(N, r_a)}, \quad (\text{A19})$$

which exists if and only if $g'_a = 0 \pmod{\gcd(N, r_a)}$ for all a .

There is another useful perspective to the above analysis. Say g is a gauge field on Γ , and f is its gauge parameter with gauge symmetry

$$g(i) \sim g(i) - \Delta_L f(i). \quad (\text{A20})$$

In other words, g represents an equivalence class in $\text{coker}_X \Delta_L$. We are interested in the gauge invariant information in g , i.e., the *holonomies* of g . Alternatively, we can ask what part of g can be gauge-fixed to zero, i.e., if there is an f satisfying (A2). From the above analysis, the answer is the following:

(1) When $X = \mathbb{R}$, the only holonomy of g is $g'_N = \sum_i g(i)$. If $g'_N = c$, then we can use the gauge freedom to gauge-fix g to be of the form

$$g(i) = (P^{-1})_{iN} g'_N = \delta_{iN} c, \quad \text{or} \quad g(i) = \frac{c}{N}. \quad (\text{A21})$$

Here, c is a real constant.

(2) When $X = U(1)$, the only holonomy of g is $g'_N = \sum_i g(i) \pmod{2\pi}$. If $g'_N = c$, then we can use the gauge freedom to gauge-fix g to be of the form

$$g(i) = (P^{-1})_{iN} g'_N = \delta_{iN} c, \quad \text{or} \quad g(i) = \frac{c}{N}. \quad (\text{A22})$$

Here, $c \sim c + 2\pi$ is a circle-valued constant.

(3) When $X = \mathbb{Z}$, the holonomies of g are $g'_N = \sum_i g(i)$, and $g'_a = \sum_i P_{ai} g(i) \pmod{r_a}$ for $a < N$. If $g'_N = p_N$ and $g'_a = p_a \pmod{r_a}$, then we can use the gauge freedom to gauge-fix g to be of the form

$$g(i) = \sum_a (P^{-1})_{ia} p_a. \quad (\text{A23})$$

Here, p_N is an integer, and $p_a = 0, \dots, r_a - 1$ for $a < N$. When $p_N = 0$, each function in (A23) represents a unique equivalence class of $\text{Jac}(\Gamma)$. Therefore

$$\text{Jac}(\Gamma) \cong \prod_{a < N} \mathbb{Z}_{r_a}. \quad (\text{A24})$$

(4) When $X = \mathbb{Z}_N$, the holonomies of g are $g'_N = \sum_i g(i) \pmod N$, and $g'_a = \sum_i P_{ai} g(i) \pmod{\gcd(N, r_a)}$ for $a < N$. Since $r_N = 0$, we can combine them into $g'_a = \sum_i P_{ai} g(i) \pmod{\gcd(N, r_a)}$ for all a . If $g'_a = p_a \pmod{\gcd(N, r_a)}$, then we can use the gauge freedom to gauge-fix g to be of the form

$$g(i) = \sum_a (P^{-1})_{ia} p_a. \quad (\text{A25})$$

Here, $p_a = 0, \dots, \gcd(N, r_a) - 1$ for all a .

²⁰There are infinitely many choices of \tilde{r}_a but they can be absorbed into p_a .

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