Insights into the high-pressure behavior of solid bromine from hybrid density functional theory calculations

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Understanding the properties of molecular solids at high pressure is a key element in the development of new solid-state theories. However, the most commonly used generalized gradient approximation of the density functional theory (DFT) often fails to describe the behavior of these systems correctly at high pressure. Here we utilize the hybrid DFT approach to model the properties of elemental bromine at high pressure. The calculations reproduce in very good agreement with experimentation the properties of molecular phase I (*Cmca* symmetry) and its pressure-induced transition into nonmolecular phase II (*Immm*). The experimentally yet unobserved transition into phase III (*I4/mmm*) is predicted to occur at 128 GPa, followed by subsequent formation of an fcc lattice at 188 GPa. Analysis of the structure and electronic properties of the modeled phases indicates that the molecular *Cmca* phase becomes metallic just at the borderline of its stability, and that both the *Immm* and *I4/mmm* phases are metallic and quasi–two-dimensional. Finally, we show that the incommensurate phases of bromine postulated from experimentation are transient species that can be viewed as intermediates in the dissociation process occurring at the boundary of the transition from phase I to phase II.

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I. INTRODUCTION

High-pressure research provides fundamental insight into material properties, which is essential for the development and validation of new condensed matter and planetary models [1,2]. One of the ongoing efforts in this field is the study of the process of molecular dissociation under pressures in the range of hundreds of gigapascals [3,4]. In particular, solids consisting of diatomic molecules, such as H2, N2, O2, and the halogens (F₂, Cl₂, Br₂, I₂), have been studied at high pressure. Hydrogen, the first element in the periodic table, hosts within its molecule the simplest covalent bond. Theoretical considerations indicated that pressure-induced dissociation of this bond and formation of an alkali-metal-type structure would lead to room-temperature superconductivity [5,6]. Despite the many theoretical and experimental studies devoted to this system, the pressure of hydrogen dissociation and metallization is still not established [7–13]. Nitrogen is abundant in nature, forming an exceptionally strongly bonded diatomic molecule. However, at high pressure and temperature (>110 GPa and >2000 K), the triple N \equiv N intramolecular bond transforms into three N-N single bonds, leading to the formation of a polymeric structure, which has been proposed as a high-energy/-density material [14-16]. In contrast to both H₂ and N₂, the molecular crystal of oxygen is predicted to transform into a polymeric spiral chain structure only at an extremely high pressure of 1920 GPa [17–19].

In general, high pressure leads to a reduction in volume, and a decrease in mean interatomic distances. This

leads to the modification of material properties that, as shown by the previously mentioned examples, can be surprisingly different for seemingly similar molecular crystals. Halogens (F_2 , Cl_2 , Br_2 , I_2) are another representative of diatomic molecules whose high-pressure behavior serves as an important analogue for both hydrogens, as they undergo pressure-induced metallization and dissociation, and oxygen, as their molecules are electron-rich species. Importantly, the respective phase transitions are known to take place in halogens at much lower pressures than for both hydrogen and oxygen. This is particularly true for the heavier halogens, Br_2 and I_2 , which have been the most extensively studied [20–25], while solids of F_2 and Cl_2 have gained relatively less attention [26–29].

Iodine is solid at ambient conditions and forms a molecular crystal of *Cmca* symmetry with eight atoms (four molecules) in the unit cell. Previous research showed that there is no structural phase transition in this element up to 20.6 GPa, when metallization occurs [25]. A later study by Takemura et al. [21] reported molecular dissociation in iodine at 21 GPa, when it transforms to a nonmolecular orthorhombic structure (phase II) of Immm symmetry. Further experiments revealed that at 43 GPa, the Immm structure transforms to a tetragonal phase III of I4/mmm symmetry (Z = 2). Above 55 GPa, iodine enters phase IV, with a closed-packed fcc structure $(Fm\bar{3}m)$ [30]. In addition to these phases, two incommensurate structures, phases V and VI, have been found in the pressure range of 22 to 26 GPa, which is in the vicinity of the Cmca-Immm phase boundary [31,32]. The emergence of these structures has been linked to the appearance of a new Raman band in solid iodine at 23.5 GPa [33,34].

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The high-pressure phase transition sequence of bromine is similar to that of iodine, although the phase transitions are shifted to higher pressure. Bromine is liquid at room temperature and atmospheric pressure. It crystallizes at 266 K into a Cmca structure analogous to that of phase I of iodine [35]. Synchrotron x-ray diffraction studies revealed that solid bromine begins to undergo the Cmca-to-Immm phase at 80 ± 5 GPa [36,37]. Raman scattering experiments were also performed on compressed solid bromine yielding frequency-pressure dependences for the intramolecular vibrational A_g and B_{3g} modes, as well as the intermolecular librational A_g and B_{3g} modes [35,38]. As in the case of iodine, incommensurate structures of bromine were postulated to emerge at the Cmca-to-Immm transition. An incommensurate phase (V) was proposed by Kume et al. [33] based on the appearance at 84 GPa of two new Raman modes $[A_{\sigma}^{(L)}]$ and the X band] whose frequency decreases with increasing pressure. This softening was previously considered to be related to the onset of molecular dissociation as a displacive structural phase transition, and was also observed in the incommensurate phase for iodine [16]. Synchrotron x-ray data presented by Liu et al. [39] seems to confirm the existence of phase V at 81 GPa, although the authors did not provide any structural details of this phase. According to previous electrical conductivity measurements, bromine metallization occurs at 60 to 70 GPa when it is still in the molecular Cmca phase [40].

Ab initio modeling, mostly within the framework of density functional theory (DFT), is an important tool for inspiring and guiding high-pressure experiments, as well as aiding data interpretation. Several theoretical studies for iodine and bromine have been reported using the plane-wave pseudopotential approach with the generalized gradient approximation (GGA) [41–45]. Based on calculations utilizing the Perdew– Burke–Ernzerhof (PBE) functional with the D2 van der Waals correction, Wu et al. [44] proposed that the splitting of the Ag and Bag intramolecular Raman bands observed in compressed bromine in the 25- to 60-GPa pressure range [33] is due to symmetry lowering of the molecular phase from Cmca to C2/m. Their results indicated that bromine should metallize at 42.5 GPa while still in the molecular phase. Recently, four commensurate approximations for the incommensurate phase V of bromine were proposed based on DFT calculations [45].

Although considerable research effort has been put into studying the high-pressure phase transitions of solid halogens, many questions still remain unanswered. As noted by Dalladay-Simpson et al. [28] large discrepancies exist in the experimental phase boundary pressures of halogens and those modeled with DFT. This might signal that the commonly used GGA approximation might be insufficient to describe the properties of these systems correctly. Indeed, a need for including more advanced functionals in modeling the high-pressure behavior of hydrogen has been recently put forward [12]. Here we examine the phase transition sequence for solid elemental bromine from atmospheric pressures up to 200 GPa ($\approx 2 \cdot 10^6$ atm) by employing the hybrid HSE06 functional. We found the employed method correctly reproduces the phase stability of bromine both at ambient conditions and high pressure, in contrast to the GGA

approximations used in previous theoretical studies. Apart from making reliable predictions on the yet-unexplored phase transitions, our calculations offer insights into the evolution of the structural and electronic properties of compressed bromine, and the nature of the incommensurate phases of this solid

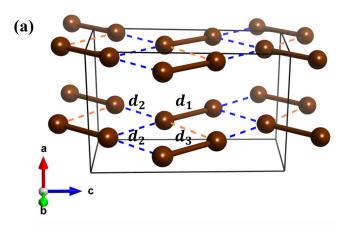
II. COMPUTATIONAL METHODS

Periodic boundary conditions calculations utilizing the DFT were used for investigating the geometry and enthalpy of solid bromine. The hybrid (HSE06) [46], meta-GGA (r²SCAN) [47,48], and GGA (PBE) [49] functionals were utilized with appropriate van der Waals corrections (D3 method of Grimme) [50-52], as implemented by the VASP 6.2 code [53,54]. The projector augmented-wave method was adopted with $4s^2$ and $4p^5$ states treated as valence electrons. All geometry parameters (lattice vectors and fractional coordinates) of the studied structures were optimized at selected pressures in the 0- to 200-GPa pressure range in 5-GPa intervals. The cutoff for plane-wave energy was 800 eV. The convergence criterion for the electronic minimization was 10^{-7} eV. The Brillouin zone was probed through a Monkhorst-Pack mesh, with a $2\pi \times 0.033 \,\text{Å}^{-1}$ spacing of k-points [55]. All structures were optimized until the forces acting on the atoms were smaller than 1 meV/Å. Calculations of Γ -point vibration frequencies of the *Cmca* structure were conducted in VASP 6.2 utilizing the HSE06 functional. The finite-displacement method was used with a 0.025-Å displacement, and a tighter self-consistent field convergence criterion (10⁻⁸eV). The HSE06 functional was also used to calculate the electronic band gap (Eg) of bromine with a denser grid of k-points $(2\pi \times 0.025 \text{ Å}^{-1})$. Visualization of all structures was performed with VESTA [56]. For symmetry recognition, we used FINDSYM [57]. Group theory analysis of the vibrational modes was performed with the use of the Bilbao Crystallographic Server [58]. Bonding analysis was performed with Lobster 4.1.0 [59–61].

III. RESULTS AND DISCUSSION

A. Modeling properties of solid bromine at ambient pressure

Although DFT methods are highly successfully at material modeling both at ambient and high pressures, in some cases the most commonly used GGA does not correctly reproduce the actual properties. Hence, methods from higher rungs of the Jacob's ladder of DFT functionals [62], such as meta-GGA functionals, are needed to model such systems correctly to avoid invoking empirical parameters such as the Hubbard U [63,64]. In the case of iodine and bromine, it was found that both GGA and meta-GGA methods fail to describe correctly the ground-state structure at 1 atm yielding a structure of Cmcm symmetry, composed of monoatomic chains, lower in energy than the experimentally observed molecular *Cmca* phase [65]. The correct energetic preference (Cmca lower than Cmcm) was obtained only with hybrid functionals. This surprising result cast doubt on previous theoretical studies on the high-pressure behavior of bromine, all of which used GGA methods.



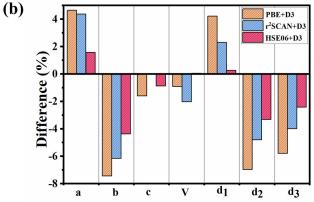


FIG. 1. (a) Crystal structure of solid bromine in the *Cmca* molecular structure (phase I). (b) Difference between calculated and experimental geometry of the *Cmca* phase at 1 atm.

In order to evaluate the influence of the functional on the resultant simulated properties of solid bromine, we optimized the Cmca molecular structure at 1 atm using the three previously mentioned approximations: GGA (PBE functional), meta-GGA (r²SCAN), and hybrid (HSE06), all with the D3 dispersion correction, which is essential for the correct reproduction of the experimental geometry. The comparison of geometry parameters obtained with those functionals, given in Fig. 1, clearly shows an improved agreement between theory and experiment when moving from GGA through meta-GGA, to hybrid methods (see Supplemental Material Table S1 [66] for more details). The overestimation of the Br—Br bond length (d_1) drops from 4.2% (PBE + D3) through 2.3% (r²SCAN + D3) to 0.3% (HSE06 + D3). The same trend is seen for intermolecular (van der Waals) contacts (d_2, d_3) .

The need for using the hybrid DFT method when modeling elemental bromine is corroborated by the comparison of the dissociation energy Br₂ molecule (Table I). The GGA and meta-GGA functionals overestimate Br₂ stability, whereas the hybrid functional yields better agreement with the experiment. In accordance with a previous study [65], we find that only the hybrid functional yields the experimental *Cmca* structure as lower in energy than the erroneous *Cmcm* structure, which is composed of linear chains of bromine atoms (Table I). Therefore, we choose this method for further calculations.

TABLE I. Comparison between the calculated (this work) and experimental [67] properties of the bromine molecule: the dissociation energy (corrected for zero-point energy motion, D_0 in electron volts), the Br–Br bond distance (r_1 in Angstroms), an its stretching frequency (ν per centimeter). The energetic difference between the *Cmcm* and *Cmca* structures of solid bromine at 1 atm (in millielectron volts per atom) obtained with various DFT functionals in this work is also shown.

Method	D_0	r_1	ν	E _{Cmcm} -E _{Cmca}
$\overline{PBE + D3}$	2.47	2.39	314	-25
$r^2SCAN + D3$	3.18	2.35	325	-13
HSE06 + D3	2.30	2.30	335	+15
Exp.	1.97	2.28	325	

B. Pressure stability of phases I-V

A variety of structures have been proposed for solid bromine under pressures. For the moment, we will concentrate on the commensurate phases observed for this element (I, Cmca; and II, Immm), as well as those found for iodine at higher pressure (III, I4/mmm; and IV, $Fm\bar{3}m$) [43–45]. The relative enthalpy of these phases (with respect to I4/mmm) calculated at the HSE06 + D3 level of theory is shown in Fig. 2. Our calculations indicate that the Cmca-to-Immm phase transition should take place at 90 GPa, in excellent agreement with the experimental value of 80 \pm 5 GPa [36]. Importantly, we reproduce the postulated first-order nature of the Cmca-Immm phase transition with a volume reduction of 2.4%. This is in contrast with previous local density approximation (LDA) and GGA modeling in which the Cmca structure transforms directly to the Immm structure upon geometry optimization at about 75 GPa [42,43]. We predict the yet-unobserved transformations of *Immm* to the *I*4/*mmm* structure at 128 GPa, and the transition of this tetragonal structure to an fcc arrangement at 188 GPa. These transitions

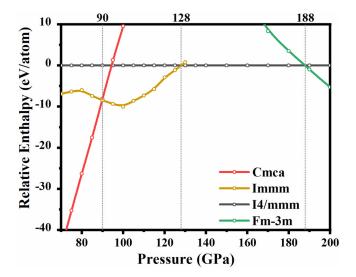


FIG. 2. Pressure dependence of the enthalpies of high-pressure phases of bromine relative to the I4/mmm structure. Vertical lines denote the phase transition pressures (at 0 K).

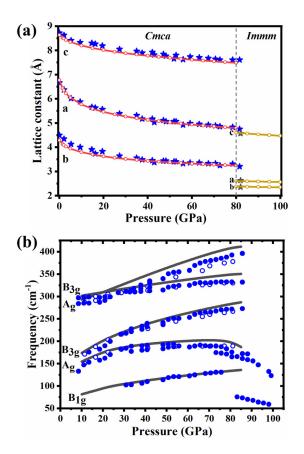


FIG. 3. (a) Comparison of experimental lattice constants (blue/gray stars for *Cmca/Immm*, data from Ref. [37]) with those calculated with the HSE06 functional (red/yellow lines for *Cmca/Immm*). (b) Comparison of experimental frequencies of Raman bands for the *Cmca* phase (blue solid and open circles for data from Refs. [33] and [38], respectively) and those calculated with HSE06 (gray line).

pressures are about 25% higher than those obtained from GGA modeling [45].

Because our calculations correctly reproduce the highpressure stability of the molecular Cmca phase, we are able to simulate the properties of this phase in the whole pressure range covered by experiment. As can be seen in Fig. 3(a), the theoretical lattice constants of the molecular *Cmca* phase are in excellent agreement with those obtained by x-ray diffraction. The same accordance is also found for the one pressure point for which experimental data were reported for the Immm structure. Moreover, the vibrational frequencies of the Raman-active bands of phase I obtained with the HSE06 functional agree very well with experimental data. Our results confirm the pressure-induced upshift of the frequencies of the intramolecular vibrations (high-frequency A_g and B_{3g} modes), as well as softening of the intermolecular Ag mode above approximately 60 GPa. A previous study postulated that a splitting of the Raman bands of phase I in the 25- to 60-GPa pressure range [33] is related to a symmetry lowering in the molecular phase from Cmca to C/2m [44]. However we find that geometry optimization of the C2/m structure at various pressures always leads to the *Cmca* structure. The postulated symmetry lowering might be a result of nonhydrostatic conditions during the experimental measurements, or the appearance of stacking faults in the *Cmca* phase.

C. Chemical bonding and electronic properties of compressed bromine

The excellent agreement between our results and experiment lends credibility to further analysis of the properties of the high-pressure phases of bromine—in particular, the chemical bonding picture that is not directly accessible through experiment. The Cmca phase, shown again in Fig. 4(a), is predicted to retain its molecular structure up to the phase transition to Immm. Although considerable pressure-induced shortening of intermolecular contacts [marked d_2 and d_3 , see Fig. 4(a)] is observed up to this pressure, they still remain more than 10% longer than the intramolecular Br—Br bond [Fig. 4(b)]. These contacts link the molecules into planes separated by an even larger distance (d_{inter}). We find good accordance of the calculated d_1 - d_3 distances with ambient pressure neutron diffraction data [68], and high-pressure extended x-ray-absorption fine structure (EXAFS) experiments [69]. However, we do not observe any considerable pressureinduced lengthening of the Br-Br bond (d_1 distance), as postulated from the latter. Comparison of EXAFS data with our calculations and the experimental ambient-pressure values [Fig. 4(b)] suggests that the lengthening observed in the EXAFS experiments might be an artifact resulting from the underestimation of the Br-Br bond length at pressure below 20 GPa.

The molecular character of solid bromine is lost upon the transition from Cmca to Immm, as evidenced by the near equalization of the intra- and intermolecular contacts [Fig. 4(b)]. While in the former phase, each Br atom forms one short bond ($d_1 = 2.25 \,\text{Å}$ at 90 GPa) and three longer contacts (two at a distance $d_2 = 2.47 \,\text{Å}$ and one at $d_3 = 2.65 \,\text{Å}$). In the latter two, four short contacts ($d'_{2D} = 2.40 \,\text{Å}$ and $d''_{2D} =$ 2.54 Å) form a distorted square lattice [Fig. 4(a)]. The *Immm* phase has a layered character, as the distance between planes formed by the d_{2D} contacts ($d_{inter} = 2.86 \,\text{Å}$ at 0 GPa) is considerably larger than these contacts. Upon compression of *Immm*, the difference between d'_{2D} and d''_{2D} decreases from 7% at 90 GPa to 4% at 128 GPa. At this pressure, a phase transition to the I4/mmm structure is predicted, in which equalization of the two distances occurs, and hence a perfect square lattice (with $d_{2D} = 2.44 \,\text{Å}$) is formed. The I4/mmmstructure still has a layered character, as the difference between d_{2D} and d_{inter} is sizeable (11% at 128 GPa). Finally, the transition to the fcc arrangement (the $Fm\bar{3}m$ structure) marks equalization of d_{2D} and d_{inter} with the formation of a three-dimensional structure with each Br atom surrounded by 12 nearest neighbors at $d_{3D} = 2.52 \,\text{Å}$.

Further insights into the bonding in compressed bromine can be gained from the analysis of the recently introduced crystal orbital bond index (COBI) [60], which allows quantifying the strength of covalent interactions in solids, including those subject to high pressure [70]. The value of this index between a given pair of atoms integrated over occupied states (ICOBI) yields numbers corresponding to the bond order between those atoms. For the intramolecular Br—Br bond in *Cmca* at 1 atm, the ICOBI value is 0.86, which

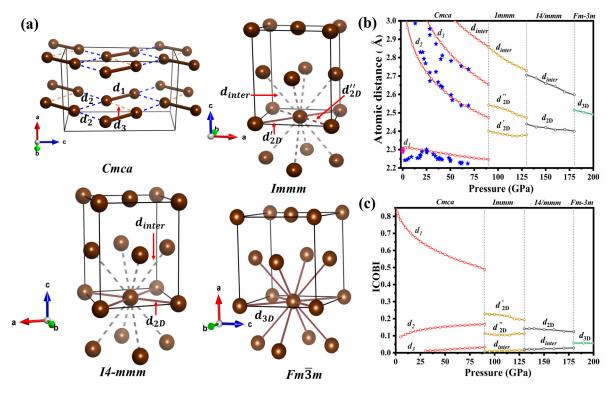


FIG. 4. (a) The crystal structures of the high-pressure phases of solid bromine: phase I, Cmca; phase II, Immm; phase III, I4/mmm; and phase IV, $Fm\bar{3}m$. (b) Calculated pressure dependence of the Br—Br distances in these phases (red/yellow/gray/green lines for phases I/II/III/IV). Stars denote experimental values (blue, Ref. [69]; pink, Ref. [68]). (c) ICOBI for Br—Br distances. Dashed vertical lines in (b) and (c) indicate the predicted transition pressures between ground-state structures of solid bromine.

corresponds well to the molecular picture of a molecule containing a single bond slightly destabilized by the antibonding effect of bromine lone pairs. The ICOBI values for d_2 and d_3 intermolecular interactions are close to zero, as expected for weak van der Waals interactions. However, as shown in Fig. 4(c), upon compression, they increase, especially d_2 , due to the decrease in the length of the interactions. At the same time, the ICOBI value for the intramolecular bond decreases substantially (to 0.55 at 60 GPa), although the d_1 distance also becomes shorter. This trend might seem unexpected at first glance, but it can be explained by the fact that compression of the Br—Br bond induces an increase in the antibonding effect from the bromine lone pairs. This interpretation is corroborated by the analysis of ICOBI values decomposed into s and p orbital states (Supplemental Material Fig. S2 [66]), which indicates that the pressure-induced decrease of ICOBI for the Br—Br bond is associated mostly with the decreased bonding in the p manifold.

The ICOBI values for bromine atom pairs in the Immm, I4/mmm, and $Fm\bar{3}m$ structures do not exceed 0.25 in the whole pressure range, signaling the lack of appreciable covalent bonding. Indeed, all of these structures are metallic in the pressure range at which they are thermodynamically stable. The electronic density of states at the Fermi level increases in the sequence from Immm (0.31 states/eV per atom at 120 GPa) through I4/mmm (0.32) to $Fm\bar{3}m$ (0.44), indicating the bromine becomes a better metal upon increase of dimensionality.

An important question in the study of the high-pressure properties of halogens was whether the band-gap closure occurs when these elements are still in the molecular Cmca phase. Although for iodine the band-gap closure was postulated to occur in this phase [25,71,72], a recent reanalysis of the diffraction patterns suggested that metallization occurs after the molecular phase transform to an incommensurate structure [32]. In the case of bromine, previous GGA calculations indicated a band-gap closure in the molecular Cmca phase at 42.5 GPa [44]. However, the GGA method is known to underestimate the band gap, while inclusion of the exact Hartree-Fock exchange in hybrid functionals yields larger band gaps that are in better agreement with experiments [73]. Indeed, our HSE06 calculation yield a much higher pressure of a band-gap closure of 80 GPa, as shown in Fig. 5. Therefore, our results show that metallization occurs while bromine is still in the molecular form. However, even after band-gap closure, the molecular phase remains a poor metal with the electronic density of states at the Fermi level of below 0.02 states/eV per atom).

D. Incommensurate high-pressure phases of bromine

We now turn to the incommensurate structures that are observed on the verge of the *Cmca–Immm* phase transition. DFT modeling of these phases within periodic boundary conditions requires creating commensurate approximations, and a number of such proxies were proposed in previous studies [27,43,45]. These structures were described as derived from an face-centered-orthorhombic (fco) arrangement of bromine

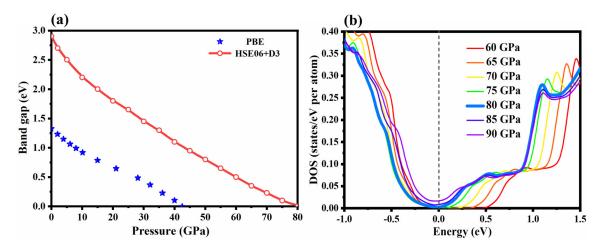


FIG. 5. (a) Pressure dependence of the calculated electronic band gap for the Cmca phase of bromine with the HSE06 + D3 method (red line) and previous results based on the PBE + D2 approach (blue stars, Ref. [44]). (b) Electronic density of state near the Fermi level (indicated by a vertical line) for the Cmca phase of bromine between 60 and 90 GPa.

atoms, with their position modulated with a sine wave. We find two such modulated structures that are competitive in terms of enthalpy with *Cmca* and *Immm*: the *Fmm*2-28 phase (28 atoms in the unit cell) previously proposed by Li *et al.* [45], and a monoclinic *Cm*-10 structure (10 atoms in the unit cell) that is a distorted variant of the *Fmm*2-20 structure also described by these authors.

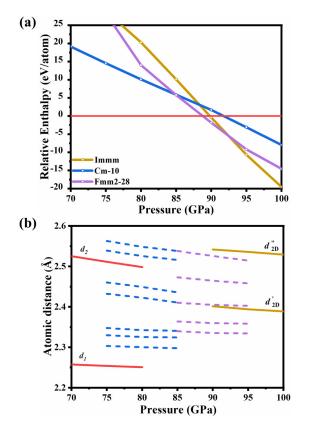


FIG. 6. (a) Relative enthalpy of the Immm, Cm-10, and Fmm2-28 structures with respect to Cmca. (b) Br—Br distances in the Cmca/Immm structures (red/yellow solid lines) and Cm-10/Fmm2-28 phases (blue/violet dashed lines).

Previous GGA calculations indicated a large pressure range of stability (52–79 GPa) for the commensurate approximations of the incommensurate phases [45]. In contrast, our results, shown in Fig. 6(a), give a very narrow stability range for Fmm2-28 (89-92 GPa, just at the verge of the Cmca-Immm transition), while Cm-10 does not become the ground-state structure at any pressure. However, the enthalpy differences between all four phases are less than 25 meV per atom (energy of room temperature thermal fluctuations) in the 70- to 100-GPa pressure range. Moreover, inclusion of corrections associated with the zero-point energy motion and vibrational entropy can further stabilize the modulated structures, as shown in the case of compressed chlorine [27]. Evaluation of these corrections requires performing phonon calculations at various points of the Brillouin zone, which was not possible given the large computational cost of the hybrid DFT approach. However, given this, one can conclude that the incommensurate phases can indeed be observed at the Cmca-Immm transition, most probably due to stabilization by temperature effects. (It is noteworthy to point that all high-pressure experiments on bromine were performed at room temperature.) Furthermore, the analysis of Br-Br distances [Fig. 6(b)] clearly shows that the subsequent transition from Cmca to Cm-10 and then to Fmm2-28 is connected with a stepwise elongation of the shortest Br-Br distance providing a path for the transformation from the molecular Cmca phase to nonmolecular and quasi-two-dimensional Immm structure. This progressive dissociation is connected with metallization of solid bromine in both modulated phases, which are characterized by an electronic density of states at the Fermi level of 0.23/0.28 states/eV per atom for the Cm-10/Fmm2-28 structures at 80 GPa—values substantially larger than those found for the molecular Cmca structure.

IV. CONCLUSIONS

The results obtained in this study indicate that in contrast to GGA, the hybrid DFT method is capable of reproducing, with high accuracy, the behavior of compressed solid bromine. This enables the prediction of the yet-unobserved transition from phase II (*Immm*) to phase III (*I4/mmm*) at 128 GPa, and subsequent formation of the fcc arrangement at 188 GPa, giving an incentive for future experiments. We find that the molecular *Cmca* phase becomes metallic at pressures higher than previously reported [40], and that the incommensurate structures are indeed transient species that can be viewed as intermediates in the dissociation process marked by the *Cmca*-to-*Immm* transformation. Partial dissociation of Br₂ molecules in those phases enhances the metallic properties. We show that both the *Immm* and *I4/mmm* phases, while being metals, exhibit a layered structure. The formation of nonmolecular phases can be attributed to the weakening of the Br—Br intramolecular bond through enhancement of the

antibonding effect, which stems from the pressure-induced shortening of this bond.

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