

Capacitive probing of electronic phase separation in an oxide two-dimensional electron systemSander Smink^{1,2}, Hans Boschker,¹ Alexander Brinkman¹, Jochen Mannhart,¹ and Hans Hilgenkamp²¹Max Planck Institute for Solid State Research, Heisenbergstraße 1, 70569 Stuttgart, Germany²MESA+ Institute for Nanotechnology, University of Twente, P. O. Box 217, 7500 AE Enschede, The Netherlands

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Interfaces between specific complex oxides host two-dimensional electron systems (2DESs) with strong electron-electron interactions. This combination yields a rich phenomenology, including an apparently intrinsic electronic phase separation (EPS). We designed an experiment to study the origins and magnitude of EPS in oxide 2DESs in more detail. We measure the capacitance between the 2DES at the LaAlO₃/SrTiO₃ interface and an electrode on top of the LaAlO₃ as a function of applied gate voltage. Our measurements reveal a significant reduction of this capacitance in the region of the phase diagram where the charge-carrier density is low. The tunnel conductance is reduced as well, which implies that part of the interface becomes insulating. These measurements allow us to directly estimate the magnitude of the EPS at a carrier density of several 10¹³ cm⁻², higher than the nominal carrier density in most experiments. The pattern in the capacitance-voltage measurements reflecting the local metal-insulator transitions suggests that the main driver for EPS is a strong variation of the electrostatic potential with a non-normal probability distribution. We study the effect of this in-plane potential variation on the electronic properties of the 2DES by mapping the full superconducting dome as a function of both backgate and topgate voltage. This map shows that, once insulating patches emerge, the global critical temperature T_c falls, while the onset temperature—i.e., highest local T_c —remains fairly constant.

DOI: [10.1103/PhysRevB.106.054205](https://doi.org/10.1103/PhysRevB.106.054205)**I. INTRODUCTION**

Two-dimensional electron systems (2DESs) in complex oxides have received considerable attention since their discovery about two decades ago [1,2], because they combine the rich physical phenomenology of complex oxides with that of low-dimensional electronic systems [3–6]. Like classical semiconducting 2DESs, oxide 2DESs are highly susceptible to externally applied electric fields [7–9], holding promise for field-effect devices [3,10,11]. For example, the field effect can be used to tune *in operando* physical properties such as the critical temperature for superconductivity T_c [9,12–15], electron mobility [16,17], spin-orbit coupling strength [18,19], and Fermi surface topology [20,21]. Possible correlations between these—fundamentally not yet fully understood—physical phenomena can be studied accordingly.

A major obstacle for proper studies of such correlations is the in-plane heterogeneity observed for many of these properties. The archetypal LaAlO₃/SrTiO₃(001) interface is the best-studied example [22]. Transport experiments showed that the interface responds to magnetic fields in a way that suggests multiple phases exist in parallel [23,24]. In addition,

scanning-probe imaging revealed strong in-plane variations of the superfluid density [25,26], current density [27–29], surface potential [30], magnetic response [25,31], T_c [32], and conductivity [33,34]. Importantly, the magnitude of the variations is on the scale of the average for each of these quantities. Global superconductivity is affected accordingly: the transition as a function of temperature is wide [35–38], the system exhibits Josephson-like dynamics [39], and the superfluid density collapses on the “underdoped” side of the superconducting dome [40].

All these observations point towards electronic phase separation (EPS), which can be caused by several extrinsic and intrinsic phenomena. Compared to semiconductor compounds, oxide interfaces are more prone to both. Extrinsic causes for EPS include the rich palette of (charged) defects that can possibly form in oxides [41,42] and the different crystal symmetries oxides may attain—for example, SrTiO₃ becomes tetragonal below 105 K [43]. Such phenomena cause lateral variations of the local electrostatic potential [30,44] and thus of the local charge carrier density.

Intrinsic EPS is typically the result of a thermodynamic instability characterized as a drop in chemical potential upon adding carriers—a phenomenon known as negative electronic compressibility (NEC). NEC emerges in all 2DESs close to depletion [45,46], where electron-electron interactions dominate the kinetic energy. The electron system at the LaAlO₃/SrTiO₃ interface is (quasi-)2D [47,48] and has long-range electron-electron interactions because of the high permittivity of SrTiO₃ [49,50]. Hence, NEC was shown by capacitance measurements to be particularly strong in the 2DES of interest here [51]. Moreover, the nonlinear dielectric

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response may yield NEC at much higher carrier densities, where additional bands become occupied [52].

To distinguish between extrinsic and intrinsic origins for EPS, measurements of the capacitance between the 2DES and a metal electrode are a powerful tool. Intrinsic EPS caused by negative electronic compressibility yields a capacitance enhancement [46,51]; extrinsic EPS caused by spatial variations of the electrostatic potential yields a capacitance suppression once parts of the 2DES become insulating.

Here, we perform capacitance measurements on the $\text{LaAlO}_3/\text{SrTiO}_3$ 2DES over a particularly wide range of gate voltages. To study a heterogeneous medium over a wide gate voltage range, we use a radially symmetric device geometry that prevents contact pinch-off. We find a strong suppression of the capacitance in a large part of the phase diagram, evidencing that EPS at the $\text{LaAlO}_3/\text{SrTiO}_3$ interface is dominated by extrinsic effects. Already at a high nominal carrier density of several 10^{13} cm^{-2} , local patches turn insulating. The rate at which these local transitions occur is not constant, but it rises and falls repeatedly upon varying the nominal carrier density. This behavior in combination with the magnitude of the EPS suggests that charged defects are the main driver of EPS. In the final section, we characterize the effect of EPS on the superconducting dome. These experiments provide direct evidence that the global T_c is particularly reduced once local patches are insulating.

II. EXPERIMENT

A. Device design

The typical geometry for accurate resistance measurements of a 2DES is the Hall bar. The material of interest is structured to form a channel with a well-defined geometry, through which a current I is sourced. The response of the material to this current is measured using voltage contacts placed on the sides of the channel, perpendicular to the current flow. Current flowing into these contacts distorts the measurement; accordingly, voltage contacts must be narrow [53]. For heterogeneous systems, this approach poses a problem. Voltage contacts with low local n_{2D} are pinched off upon globally depleting the 2DES by electrostatic gating. Hence, no resistance measurements are possible at low nominal n_{2D} .

By using voltage contacts oriented parallel to the current flow, the measurement is not distorted by current flowing into (part of) the voltage contacts. Hence, contacts can be patterned with much longer lines of contact with the heterogeneous system. This reduces the probability of pinch-off. In the disk-shaped geometry shown in Fig. 1(a), the current flows radially out- or inward [14]. The lines connecting the 2DES with the electrodes measuring the in-plane voltage are long, maintaining electrical contact between them down to much lower nominal n_{2D} . In this radially symmetric geometry, the sheet resistance R_{sheet} is given by

$$R_{\text{sheet}} = \frac{2\pi}{\ln(r_{\text{out}}/r_{\text{in}})} \frac{V}{I}, \quad (1)$$

where r_{out} and r_{in} represent the radii of the outer and inner current contact, respectively.

The disk geometry has a second advantage over the Hall-bar geometry, which more specifically applies to SrTiO_3 -

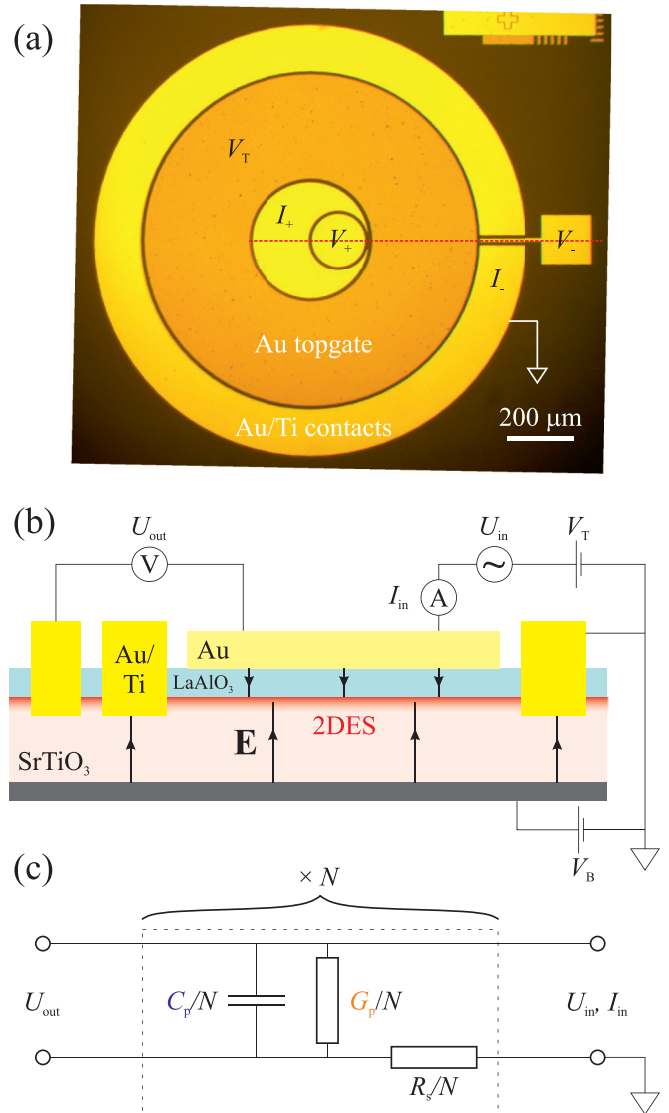


FIG. 1. Experimental setup. (a) Optical micrograph of a completed device. Labels indicate the electrical connections for the in-plane measurements and for the topgate voltage. (b) Schematic cross section of the device along the red, dashed line in (a). The connections for the topgate voltage V_T and backgate voltage V_B are indicated, along with the sourced ac input voltage U_{in} , the measured ac output voltage U_{out} , and the measured ac input current I_{in} . The arrows illustrate the electric field E induced by applying a positive V_T or V_B . Layer thicknesses and lateral dimensions not to scale. (c) Equivalent circuit for out-of-plane transport following the two-port method [56]. It consists of N equal elements, in which a capacitance C_p/N is connected in parallel to a conductance G_p/N . A series resistance R_s/N connects to the next element. The limit of $N \rightarrow \infty$ has an exact solution.

based electron systems. The huge relative permittivity of SrTiO_3 [49,50] causes a significant lateral contribution of the electric field on narrow features. This focusing enables more efficient backgating [54], but also accelerates the local depletion process and yields a significant lateral capacitance [55]. This effect is minimized in the disk geometry, because the whole device is (intended to be) conducting. Figure 1(b)

shows a sketch of the field lines in our device, which are all strictly perpendicular to the interface in case of a fully conducting interface.

In heterogeneous systems, we expect locally depleted patches to form at low nominal n_{2D} . In that case, the field lines bend toward the edges of the still conducting patches. The resulting enhancement of the capacitance is significant if two conditions are both fulfilled: (i) the distance between the conducting regions is at least of the order of the typical size of the conducting patches λ ; (ii) the thickness of the dielectric $d \geq \lambda$. Condition (i) is fulfilled close to global depletion or by patterning the conducting region into small areas [54]. To assess condition (ii), we consider that scanning-probe and transport experiments showed that $\lambda \geq 100$ nm [24,30]. Because $d \sim 3$ nm, we may consider the lateral topgate capacitance as negligible in samples with an unpatterned interface, as we study here. In case of the backgate, $d \sim 500$ $\mu\text{m} \gg \lambda$. Hence, close to global depletion, the backgate capacitance may be enhanced compared to the estimate from a parallel-plate capacitor model.

B. Device fabrication

The device fabrication started with the standard chemical preparation [57] of SrTiO₃(001) substrates with dimensions $5 \times 5 \times 0.5$ mm³, supplied by CrysTec, GmbH. On such substrates, LaAlO₃ and Au films were deposited *in situ* using pulsed laser deposition (PLD). The substrates were heated to the deposition temperature of 800 °C in an O₂ process pressure of 8×10^{-4} mbar. In these conditions, 10, 7, or 4 u.c. of LaAlO₃ were deposited by ablating a single-crystalline LaAlO₃ target (CrysTec, GmbH). The laser fluence was 1.2 J/cm², the spot size was 2.8 mm², and the pulse frequency was 1 Hz. The film thickness was determined *in operando* by monitoring reflection high-energy electron diffraction (RHEED) oscillations. After growth of the LaAlO₃ layer, the samples were cooled to 600 °C, where the O₂ pressure was increased to 400 mbar. The samples were annealed for 1 h and cooled to room temperature at a rate of 2 °C/s. Directly after cooldown, ~ 30 nm of Au was deposited. For the Au deposition, we used an Ar process pressure of 0.11 mbar and laser fluence of 2.7 J/cm².

After growth, the Au layer was structured into disk-shaped topgate electrodes by standard photolithography and subsequent wet etching in a buffered KI solution [14]. These electrodes had an area of either 1.66 or 0.68 mm². The contacts to the interface were defined by another photolithography step. After Ar ion milling through the LaAlO₃ layer and part of the SrTiO₃, contact to the interface was made by Ti/Au electrodes, which were deposited by magnetron sputtering. Figure 1(a) shows a completed device.

C. Transport experiment

For our capacitance measurements, we use the two-port method of Ref. [56]. It allows us to simultaneously measure the capacitance between the 2DES and the topgate electrode C_p and the dc tunnel conductance G_p . Figure 1 illustrates the electrical connections and the equivalent circuit used in

this method. The two-port method assumes all subcircuits are equal, which appears to conflict with measurements of a heterogeneous medium. As discussed in the supplemental material, we estimate that the deviations resulting from this conflict amount to less than 1% in our measurements [58].

All transport measurements were performed in a dilution refrigerator with a base temperature of 10 mK equipped with a Delft Electronics IVVI rack for signal (pre)amplification. The samples were glued on a metal plate of an in-house-designed sample holder by Ag glue. This conductive glue served as both the backgate electrode and as a heat sink. The topgate electrode was contacted by bonding Au wires with Ag glue by hand; the interface electrodes were contacted using a wedge bonder for Al wires.

The backgate voltage was applied using a Keithley 2400 Source Measure Unit; all other currents and voltages were sourced and measured using the IVVI rack. dc voltages were sourced by the rack itself, and ac signals were generated and measured using Stanford Research 830 lock-in amplifiers, connected to the optoelectronic inputs and outputs of the IVVI rack. We note that preamplifiers with sufficiently high input impedance (≥ 1 G Ω) must be used to measure the ac voltages across the gate dielectric in the two-port method. The input ac voltage U_{in} was 5 mV.

Prior to measurement, we swept the gate voltages multiple times to their extreme values to avoid irreversible behavior as a function of applied gate voltage [59–61]. After this “training process,” we characterized devices in terms of leakage current and ac losses across the LaAlO₃ layer [56,62] as described in the supplemental material [58]. In all measurements presented below, the gate voltages were always swept in the same direction.

In the following, we focus on one device with a LaAlO₃ layer thickness d_{LAO} of 7 unit cells (uc) and a device area A of 1.66 mm². Other devices with $d_{LAO} = 10$ uc showed very similar behavior to that described below. Devices with $d_{LAO} = 4$ uc had a too large tunneling conductance to determine the capacitance reliably. Moreover, these devices did not become superconducting down to $T = 13$ mK.

III. CAPACITANCE MEASUREMENTS

The capacitance of a capacitor is given by the geometric capacitance C_g connected in series to a quantum capacitance C_q [46,51,63]:

$$C^{-1} = C_g^{-1} + C_q^{-1}. \quad (2)$$

C_g can be described as a simple parallel-plate capacitor. However, a full description requires us to consider two parallel-plate elements connected in series: a contribution from the dielectric—here, LaAlO₃—and a contribution from the extension of the charge distribution into the doped material—here, SrTiO₃. As discussed in the supplemental material [58], the contribution from SrTiO₃ is negligible, and we may write

$$C_g = \frac{\epsilon_r \epsilon_0 A_{\text{eff}}}{d}, \quad (3)$$

where ϵ_0 is the permittivity of vacuum, ϵ_r is the relative permittivity of the used dielectric, d is the (effective) distance

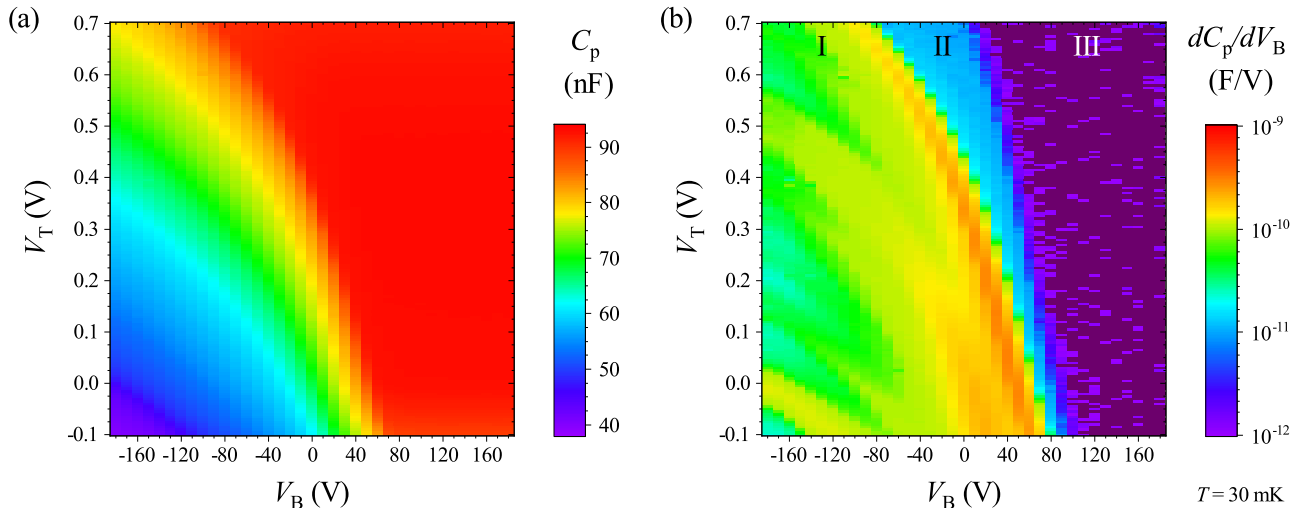


FIG. 2. Electrostatic tuning of the capacitance measured between the topgate electrode and the interface C_p . (a) Map of C_p as a function of backgate voltage V_B and topgate voltage V_T . Data taken at $T = 30$ mK. (b) Corresponding map of the derivative of C_p with respect to V_B . This plot highlights three distinct regions of capacitance tuning by the gate voltages, indicated by the roman numerals.

between the electrodes, and A_{eff} is the effective area of the device.

C_q is defined as

$$C_q = eA_{\text{eff}} \frac{dn}{d\mu}, \quad (4)$$

where $dn/d\mu$ is the electronic compressibility, representing the change in the density of mobile carriers n upon shifting the chemical potential μ .

Equations (3) and (4) contain two parameters that may reflect electronic phase separation and can be tuned by gate voltages. The first is $dn/d\mu$, which is determined by the electronic density of states (DOS) at the Fermi level, augmented by the effects of several interactions of the mobile electrons with their environment [46]. If the interactions are strong and the DOS is small, $dn/d\mu$ can become negative, thus leading to an enhanced total capacitance [45,51]. Because this behavior is intrinsic to the nature of a 2DES, we refer to an EPS caused by negative compressibility as intrinsic.

The second parameter in Eqs. (3) and (4) signaling EPS is the effective device area A_{eff} . It is smaller than the structurally defined device area A if parts of the device are insulating. The application of a gate voltage can change A_{eff} in two ways: (i) Depending on the device geometry, channel edges may turn insulating before the “bulk” of the channel does [54,64]; (ii) in the case of EPS by extrinsic causes, the carrier density is heterogeneous and certain patches turn insulating earlier than others. Our device was designed specifically to avoid edge depletion: because the interface is not patterned into channels, the electric field is everywhere oriented perpendicular to the interface and thus homogeneous in the plane of the device. Hence, a reduction of A_{eff} would imply extrinsic EPS.

Figure 2(a) shows the measured capacitance between the 2DES and the topgate electrode C_p as a function of both V_B and V_T . We present and discuss the simultaneously measured data for C_p and R_{sheet} in the supplemental material [58].

In the top-right corner of Fig. 2(a), C_p is constant at 92.9 nF. We interpret this value as the geometric capacitance

across the LaAlO_3 dielectric with fully metallic electrodes—i.e., C_g in Eq. (3). Using this equation with $d_{\text{LAO}} = 2.7$ nm and $A_{\text{eff}} = A = 1.66$ mm², we find $\epsilon_{r,\text{LAO}} = 17$. This value agrees well with measurements on thin LaAlO_3 films between bulk-metallic electrodes [65], and it is slightly lower than that of LaAlO_3 single crystals [66]. Other reports on metal/ LaAlO_3 /SrTiO₃ structures generally report lower values [51,67,68], except for one study on devices with thicker LaAlO_3 films, which reported $\epsilon_r = 21$ [69]. Together with the minute gate leakage current density [58], the high $\epsilon_{r,\text{LAO}}$ confirms the high quality of the LaAlO_3 dielectric in our devices.

Away from this plateau, the gate voltages reduce C_p continuously. The lowest value for C_p , measured in the bottom-left corner of Fig. 2(a), is less than half of the value measured on the plateau. Unlike Li *et al.* [51], we do not observe any capacitance enhancement within the wide parameter space of our experiment. We argue that this absence is due to the carrier density in our devices being too high. Unfortunately, the device geometry does not possess transverse voltage contacts, and $n_{2\text{D}}$ cannot be determined directly via the Hall effect. Hence, we take R_{sheet} as a benchmark to compare our results to those of Li *et al.* They observed that the capacitance enhancement occurred for $R_{\text{sheet}} \geq \sim 10^5$ k Ω . The maximum R_{sheet} in our experiment is about an order of magnitude smaller [58]. This comparison suggests that the minimum $n_{2\text{D}}$ is up to an order of magnitude higher in our experiment—too high for a capacitance enhancement to occur.

A. Origin of the capacitance reduction

Following Eq. (2), the observed capacitance reduction is either of geometric or quantum origin [46,63]. We assess the scenario in which C_g is constant—and all change in C_p is thus due to $dn/d\mu$ and therefore of quantum origin—in the supplemental material [58]. This analysis yields an unrealistically large shift in the chemical potential μ of almost 1 eV across the gate-voltage parameter space. This hypothetical shift is

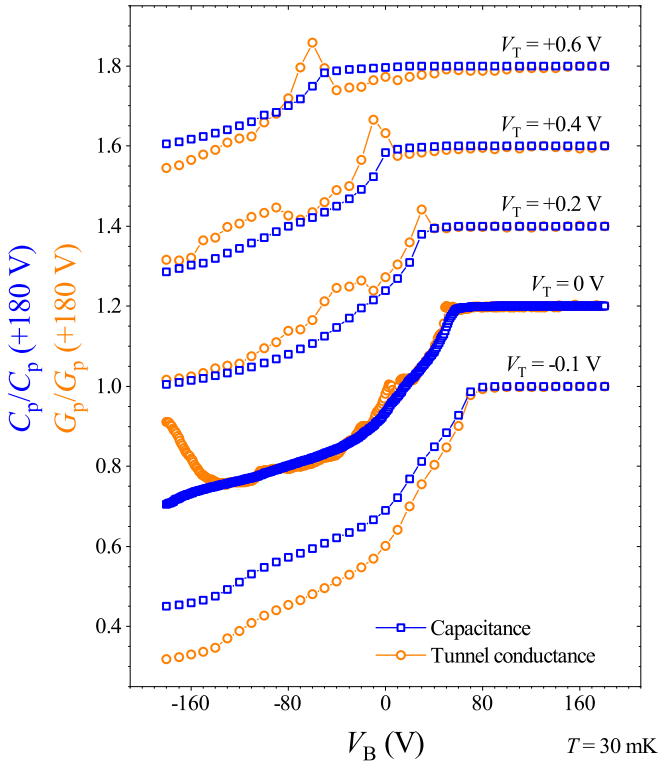


FIG. 3. Correspondence of the capacitance C_p (blue circles) and the tunnel conductance G_p (orange squares) measured between the topgate electrode and the interface, as a function of the backgate voltage V_B . $T = 30$ mK. In all curves, C_p and G_p are normalized to their respective values at $V_B = +180$ V. The topgate voltage V_T was fixed at different values during the measurements, which are indicated by the labels printed above the respective curves. For clarity, the curves are offset vertically by 0.2.

two orders of magnitude larger than experimentally measured [70] and calculated [52]. Moreover, such a large shift in μ would also affect the tunnel conductance G_p [70], which is not observed. We can thus rule out that quantum-mechanical effects are the exclusive origin of the observed reduction of the capacitance.

We conclude that the gate voltages must affect C_g in our experiment. Indeed, all three parameters entering Eq. (3) may be affected. We outlined the effect of changing A_{eff} above. The polarizability of the LaAlO_3 crystal may depend on the electric field across it, changing ϵ_r . However, the effect of a backgate voltage is expected to be negligible, which is not reflected in the measurements. The effective thickness of the capacitor d may change by shifting the out-of-plane distribution of mobile charges.

To distinguish between tuning d and tuning A_{eff} , we compare the tuning of C_p to that of the tunnel conductance G_p . Both are linear functions of A_{eff} . However, they depend differently on d : while C_p is proportional to d^{-1} , G_p is (roughly) proportional to e^{-d} [71]. Figure 3 shows the relative change in both C_p and G_p as a function of V_B for several fixed values of V_T . To eliminate the high sensitivity of the tunneling process to V_T , each curve is normalized to its value at $V_B = +180$ V.

We observe that C_p and G_p show almost the same relative change with varying V_B for all values of V_T . This observation

implies that A_{eff} is the main factor underlying the capacitance reduction. Edge depletion is prevented by the device geometry, so this reduction of A_{eff} implies electronic phase separation. We note that this reduction readily occurs at $V_B = V_T = 0$ V.

The total area covered by the insulating patches increases continuously towards the bottom-left corner of Fig. 2(a). The contours of equal C_p correspond well with the contours of equal nominal n_{2D} [58]. A_{eff} is thus correlated with the nominal n_{2D} in the region where $A_{\text{eff}} < A$. In the bottom-left corner, $A_{\text{eff}} \approx A/2$. Concomitantly, $R_{\text{sheet}} \approx h/e^2$ [58], revealing the vicinity of a metal-to-insulator transition (MIT). The global MIT thus appears to coincide with the point where about half of the patches are insulating. This coincidence corresponds with the 2D threshold for site percolation, which equals $1/2$ [72]. Recent scanning-probe measurements confirm that the MIT at the $\text{LaAlO}_3/\text{SrTiO}_3$ interface can be described by (modified) percolation theory [29]. A percolative MIT implies that the lateral size of the patches is orders of magnitude smaller than the size of the whole device.

B. Characterizing the phase separation

Figure 2(b) shows the derivative of C_p to V_B as a function of V_B and V_T . In this map of dC_p/dV_B , three regions of different color can be distinguished, as marked by the roman numerals. In region III, C_p is completely independent of V_B , representing the parallel-plate capacitor model with fully metallic electrodes. In region II, C_p is weakly affected by V_B —we discuss this region in the next subsection.

In region I, both C_p and G_p are strongly affected by V_B . This region is filled with series of peaks and dips in dC_p/dV_B , which are tuned continuously by the gate voltages. We observe at least eight such features in Fig. 2(b) and in the corresponding diagrams for dC_p/dV_T and dG_p/dV_B discussed in the supplemental material [58]. Their positions follow the contours of equal C_p in Fig. 2(a) and roughly the contours of equal nominal n_{2D} [58]. Their heights are tuned smoothly and none of the observed features (dis)appears abruptly anywhere in the diagram. These observations allow us to make the following statements on the nature of the EPS: (i) The rate of change of A_{eff} is not constant. The distribution of n_{2D} around the nominal n_{2D} is thus not normal, but characterized by a discrete number of preferred values for n_{2D} ; (ii) the order in which patches undergo the MIT is always the same. Hence, the phenomenon underlying the phase separation is not significantly affected by the voltages, but rather a fixed property of the device. We note that for different devices, the pattern of peaks and dips in dC_p/dV_B is also different.

A lateral variation of n_{2D} implies a spatial variation of the electrostatic potential. Based on the statements above, we argue that this lateral variation is structural in origin. Tuning the Fermi level by the gate voltages drives patches insulating when the Fermi level falls below the (local) potential.

We estimate the magnitude of the in-plane potential variation by considering the change of the nominal n_{2D} in region I, where $A_{\text{eff}} < A$. Within this region, the gate voltages tune the nominal n_{2D} by about $4 \times 10^{13} \text{ cm}^{-2}$ [58]. Thus, even when changing the nominal n_{2D} by this large value, parts of the interface remain insulating. The carrier

density in the patches with the lowest density (highest potential) must therefore be about $4 \times 10^{13} \text{ cm}^{-2}$ smaller than the nominal n_{2D} . To accommodate such a large variation in n_{2D} , the variation of the surface potential has to be of a certain magnitude. Schrödinger-Poisson calculations [5,21,52] suggest that changing n_{2D} by $4 \times 10^{13} \text{ cm}^{-2}$ requires a potential difference of tens of meV.

C. Quantum capacitance

The final region of the capacitance-voltage phase diagram is labeled as II in Fig. 2(b). Here, dC_p/dV_B has a positive value that is about an order of magnitude smaller than in region I. There is no local structure of peaks and dips: C_p is thus tuned monotonically in this region. Simultaneously, G_p is tuned nonmonotonically, as observed in Fig. 3. These observations suggest that in region II, the change of C_p is not driven by a change in A_{eff} . Rather, we hypothesize that the interface is fully conducting and that quantum-capacitive effects drive the change of C_p in region II.

As Eqs. (3) and (4) describe, a positive and significantly small C_q reduces the measured C_p . This applies typically to capacitors with low-dimensional conductors, which have a low DOS and therefore a low $dn/d\mu$ [46]. The $\text{LaAlO}_3/\text{SrTiO}_3$ interface is a low-dimensional conductor, so we expect our device to have a non-negligible C_q . In region I, however, the effect of the reduced A_{eff} obscures this contribution. In region III, C_q is either very constant at some certain value, or $dn/d\mu$ is so large that C_q^{-1} becomes negligible.

Before we address the transition between regions II and III, we test our hypothesis by estimating the shift in the chemical potential μ within region II. We describe this analysis in the supplemental material [58], which yields a shift in μ of about 1 meV within this narrow range. This value agrees well with tunnel-spectroscopic measurements [70] and with Schrödinger-Poisson modeling [52].

Those measurements as well as that modeling revealed a peculiar feature of μ as a function of V_B . It increases monotonically for low V_B , but remains (almost) constant for high V_B . Such behavior explains our observation that C_q is constant in region III, because a constant μ implies an infinite $dn/d\mu$ and therefore a negligible C_q^{-1} . This behavior was ascribed [52,70] to previous observations that the total DOS—and therefore $dn/d\mu$ —increases dramatically once additional electronic bands become occupied as a result of increasing n_{2D} [20]. This process is an example of a Lifshitz transition—we will use this term to refer to it below. The additionally occupied bands lie a few tens of meV higher in energy, which is the result of both inversion-symmetry breaking [73] and the interplay of out-of-plane electrostatic confinement with orbital orientation [74]. The Lifshitz transition takes place at a nominal n_{2D} of about $2 \times 10^{13} \text{ cm}^{-2}$ [20,21].

The phase separation identified in the previous sections also affects the Lifshitz transition. It is not a collective phenomenon happening simultaneously across the entire sample, but rather it occurs in a patchy manner like the MIT. Traversing region II from the border with region I to that with region III, the total area covered by patches in which the high-DOS bands are occupied increases gradually. Hence, the average $dn/d\mu$ increases gradually as well; accordingly, C_q^{-1}

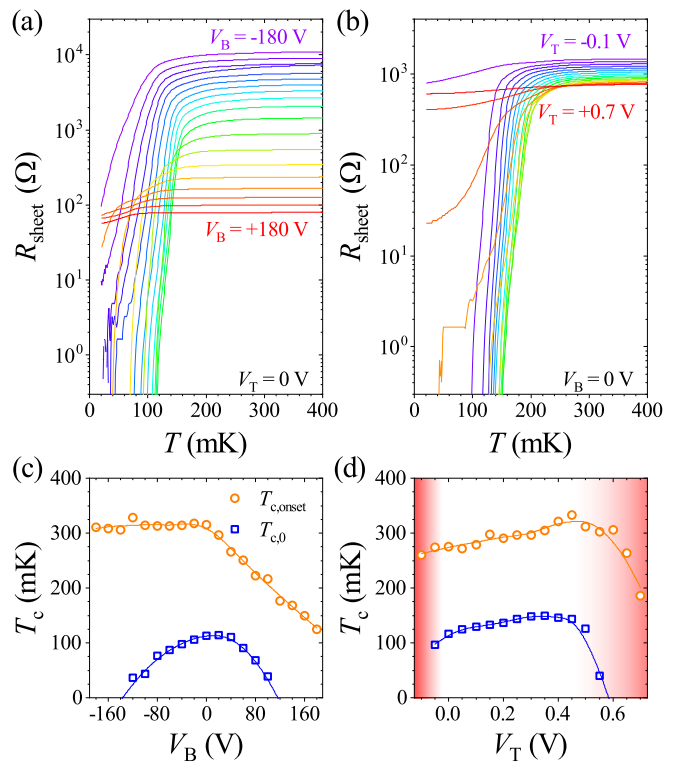


FIG. 4. Electrostatic tuning of the superconducting transition as a function of temperature. (a) Sheet resistance R_{sheet} measured as a function of temperature T . Between subsequent curves, the backgate voltage V_B increases in steps of 20 V. (b) R_{sheet} as a function of T for a varying topgate voltage V_T , which increases in steps of 50 mV between curves. (c) Onset temperature $T_{c,\text{onset}}$ (orange circles) and zero-resistance temperature $T_{c,0}$ (blue squares) as a function of V_B . Lines are guides to the eye. (d) $T_{c,\text{onset}}$ and $T_{c,0}$ as a function of V_T . The red shading in the background depicts the magnitude of the measured dc gate leakage current as a function of V_T .

of the total device decreases gradually in region II, as observed in Fig. 2(b).

IV. SUPERCONDUCTING DOME

We now turn to the effect of the phase separation on superconductivity. In the following, we study this effect by measuring the superconducting transition as a function of temperature while varying the gate voltages, as shown in Fig. 4. These measurements yield two-dimensional maps of the onset temperature $T_{c,\text{onset}}$ and the zero-resistance temperature $T_{c,0}$ as a function of V_B and V_T (Fig. 5). Comparing these maps to those in Fig. 2 allows us to directly study correlations between the EPS and the superconducting phase.

A. Single-gate tuning

Figures 4(a) and 4(b) show the sheet resistance R_{sheet} measured as a function of temperature T for varying V_B and V_T . We refrain from extracting a resistivity ρ_{xx} from our measurements, because this would imply that a single ρ_{xx} value applies homogeneously to the entire device.

From these $R(T)$ measurements, we extract the temperatures marking the boundaries of the transition: the onset

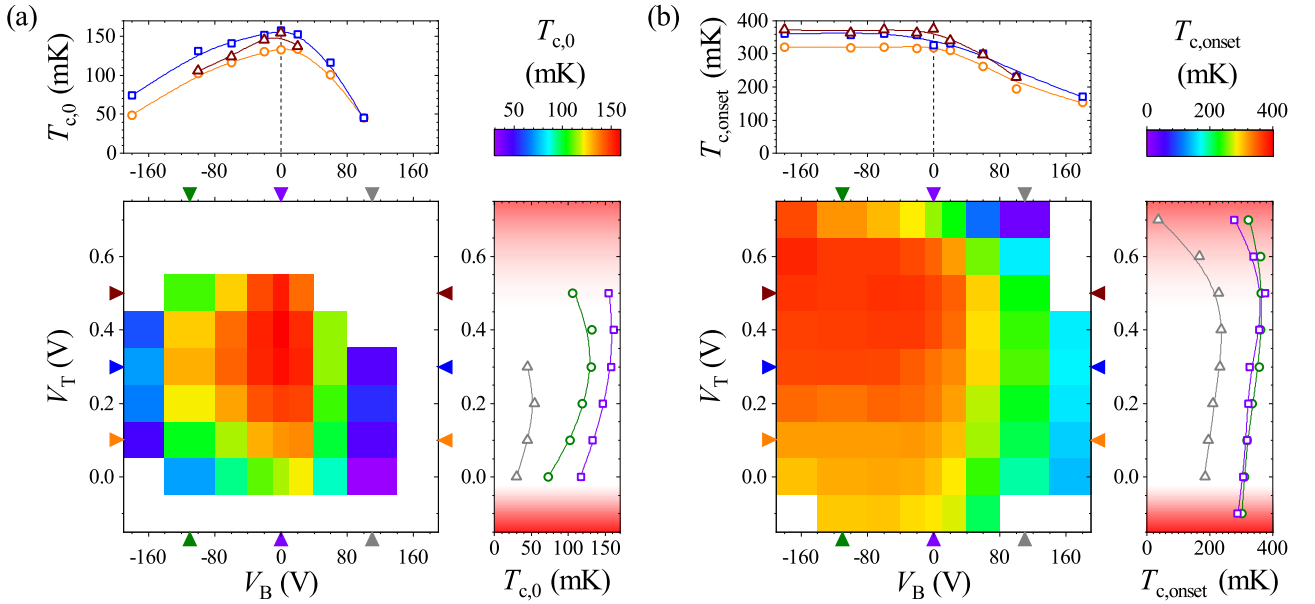


FIG. 5. Tuning the superconducting critical temperatures by a combined application of backgate voltage V_B and topgate voltage V_T . (a) Tuning of the zero-resistance temperature $T_{c,0}$. The main panel on the bottom left shows $T_{c,0}$ on a color scale—given on the top right—as a function of both gate voltages. In the white-shaded area, the resistance was nonzero always for $T \geq 20$ mK. The top panel shows $T_{c,0}$ as a function of V_B for various fixed values of V_T . These curves represent horizontal line cuts across the main panel between the orange ($V_T = 0.1$ V), blue ($V_T = 0.3$ V), and dark red ($V_T = 0.5$ V) triangles. The panel on the right-hand side shows $T_{c,0}$ as a function of V_T for various fixed values of V_B . These curves represent vertical line cuts across the main panel, between the olive ($V_B = -100$ V), violet ($V_B = 0$ V), and gray ($V_B = +100$ V) triangles. The red shading represents the magnitude of the dc gate leakage current. Lines connecting data points are guides to the eye. (b) Same as (a), mapping the onset temperature $T_{c,onset}$ as a function of both gate voltages. In the white areas of the main panel, no superconducting onset could be detected in the measurements.

temperature $T_{c,onset}$ and the zero-resistance temperature $T_{c,0}$. We define $T_{c,0}$ as the maximum temperature at which $R_{sheet} = 0$, or $R_{sheet} < 10^{-1} \Omega$ in practice. $T_{c,onset}$ marks the deviation from metallic $R(T)$ behavior. We define it as the maximum temperature at which $R(T)$ satisfies three conditions: $R(T) \gg 0$, $dR/dT > 0$, and $d^2R/dT^2 \geq 0$. Roughly speaking, it represents the temperature at which the $R(T)$ curve starts “bending downwards” during cooling. Between $T_{c,onset}$ and $T_{c,0}$, the transition is complex with multiple steps. The steps suggest that T_c is also distributed as a discrete set of preferred values. Finally, we note that we do not observe a T -independent R_{sheet} below the superconducting transition in any of our devices. Such behavior would indicate an “anomalous-metal” state [75,76], an intriguing possible result of EPS.

Figures 4(c) and 4(d) show $T_{c,onset}$ and $T_{c,0}$ as a function of either V_B or V_T . The effect of an applied topgate voltage appears to be relatively straightforward: Increasing V_T raises $T_{c,onset}$ and $T_{c,0}$ simultaneously at the same rate, except at high and at negative V_T . There, both critical temperatures are suppressed by emerging gate leakage currents [13,75], blocking the observation of a superconducting dome with a clear maximum of $T_{c,0}$ [15,77].

The effect of an applied backgate voltage is more complex. $T_{c,0}$ follows a dome-shaped trajectory as a function of V_B , as regularly observed previously [12,14,40,75,78]. The top of this dome lies somewhere between 20 and 40 V. In contrast, $T_{c,onset}$ remains constant for negative V_B and decreases monotonically with positive V_B . Very similar behavior was observed for the superconducting gap Δ and critical field H_c measured

as a function of V_B by tunnel spectroscopy [14,70,79,80]. In those experiments, $T_{c,0}$ also fell with more negative V_B , where Δ and H_c kept increasing or remained constant. The correspondence between tuning Δ and tuning $T_{c,onset}$ suggests that these parameters are correlated.

We note that in the raw data underlying Fig. 4(a), R_{sheet} was negative at low T for $V_B \leq -120$ V. This negative resistance implies that one of the voltage contacts is pinched off from the region of interest, despite our effort to prevent this problem in the device design. In analyzing these data, we found that the apparent negative resistance in case the sample was superconducting—evidenced by $V(I)$ measurements—was always -775Ω . This value represents the combination of various electronic elements in the setup and/or in the device, which we could not resolve confidently. However, the singularity of this value allows us to correct the data by a fixed offset of 775Ω . This correction is justified by the smooth evolution of the curves in Fig. 4(a) with V_B , with the slight exception of the high-temperature data for $V_B = -120$ V.

B. Dual-gate tuning

Figure 5 shows maps of $T_{c,0}$ and $T_{c,onset}$ as a function of both V_B and V_T . For $T_{c,0}$, we observe a superconducting dome around a maximum value of ~ 160 mK at $V_B = 0$ V and $V_T \approx 0.3$ V. Away from the optimum, global superconductivity is suppressed gradually in all directions. This gradual decrease is cut off abruptly by emergent topgate leakage currents on the bottom and top edges of the diagram. The gradual decrease

of $T_{c,0}$ away from the optimum is faster for positive V_B than for negative V_B . For negative V_B , the topgate voltage at which $T_{c,0}$ is maximized is constant at about 0.3 V. This optimum shifts towards lower V_T once V_B turns positive. Accordingly, $T_{c,0}$ reduces to 0 for high V_T and V_B .

From the same $R(T)$ measurements, we extracted $T_{c,\text{onset}}$ as a function of both gate voltages. Figure 5(b) shows the resulting map, which differs from the map of $T_{c,0}$. We focus on the left-hand side of the diagram, where $V_B < 0$. There, $T_{c,\text{onset}}$ remains constant as a function of V_B , like in Fig. 4(c). It appears to saturate at about 370 mK in the top left, before falling again at higher values of V_T due to gate leakage currents. Hence, we conclude that $T_{c,\text{onset}}$ monotonically increases with V_T in this regime, while remaining unaffected by V_B .

In region I of the capacitance-voltage phase diagram, $T_{c,\text{onset}}$ is tuned differently than $T_{c,0}$. This discrepancy is best observed by comparing curves in the top panels and the olive curves in the right-hand panels of Figs. 5(a) and 5(b). It implies that Δ and $T_{c,0}$ are tuned differently, which we ascribe to a heterogeneous superconducting state. If Δ varies in the plane of the superconductor, phase coherence across the sample is gradually lost and $T_{c,0}$ reduced [81]. Measurements of the kinetic inductance in this regime support this scenario [40].

V. DISCUSSION

The main result of our work is the experimental quantification of the carrier-density variation associated with the EPS occurring at the $\text{LaAlO}_3/\text{SrTiO}_3$ interface. We estimate this variation to be about $4 \times 10^{13} \text{ cm}^{-2}$. We argue that spatial variations of the electrostatic potential on the order of tens of meV are the main driving force for the huge EPS found. As a result, T_c is heterogeneous on the order of the nominal T_c .

These magnitudes exceed the anticipated magnitude of variation caused by tetragonal domains in SrTiO_3 or by the boundaries between these domains [30,32]. Although these variations are expected to exist, domains and their walls cannot account for the full lateral variation observed here. The absence of capacitance enhancement anywhere in Fig. 2 shows that NEC also has at most a limited influence.

Hence, we consider charged defects as the most likely candidate driving the observed behavior. In SrTiO_3 , local fixed charges are screened very ineffectively: because of the extremely high low-temperature permittivity [49,50], the Thomas-Fermi screening length is large [54]. Indeed, scanning-probe measurements suggest that the lateral extension of patches is at least of the order of 0.1–10 μm [25,30,32]. The patterns of peaks and dips observed in Fig. 2(b) imply a discrete energy spectrum associated with these defects. Given the total span of the potential distribution of a few tens of meV, the spacing in energy within this spectrum is of the order of 1 meV.

Charged defects located on the metal-oxide planes give rise to such a discrete spectrum [44]. Because these defects are confined to the lattice planes, their out-of-plane distribution is discrete. The original work [44] considered defects in the LaAlO_3 and suggested energy differences of several tens of meV with lateral extensions of a few nm. Applying the same framework to defects in SrTiO_3 at cryogenic temperatures

requires using $\epsilon_r \sim 10^4$; doing so yields sub-meV level spacing and lateral extensions of the order of 1 μm . The spectrum is complicated further by the fact that the charge of each defect is not necessarily equal. Charged defects in SrTiO_3 may consist of negatively charged Sr (or Ti) vacancies, or of positively charged O vacancies [42]. Moreover, these defects can cluster in various configurations [82], yielding subtle differences in their resulting dipole moments. Our observation that the capacitance spectrum varies among devices supports the notion that the EPS is caused by charged defects, because the defect structure is different in each device.

Charged defects can migrate efficiently along domain walls in complex oxides, even at low temperatures [83]. This migration is an important aspect of the irreversible response to the initial application of a backgate voltage [60,61]. Hence, the gate-training process performed before measurement may affect or even create the lateral variations in the potential causing the EPS. Therefore, we measured C_p , G_p , and R_{sheet} during the training process. The results, shown in the supplemental material [58], reveal that the electrostatic potential landscape indeed changes slightly during training. They also show that the lateral variations are present before training and that the irreversible response is mainly due to trapping of mobile charges, in line with previous observations [59–61].

Our results support the understanding that controlling the formation of (charged) defects is at the heart of oxide electronics. Due to the large number of elements involved and the volatility of oxygen, the electrochemical balance of oxide films is complex [42]. Appropriate deposition and postdeposition processing conditions are required to effectively mitigate EPS. For example, capping the LaAlO_3 by an infinite-layer cuprate enhances the oxygen uptake through the catalytic activity of the cap [84]. This capping boosts the carrier mobility by about an order of magnitude [84] and smoothes the surface potential as a function of lateral position [85]. Alternatively, imposing many defects induces a transition to bulk conductivity, raising mobility [2] and suppressing the lateral variation in T_c [32]. However, samples with a significant bulk conductivity are of limited use for field-effect studies. A different type of postdeposition processing is charge writing by conductive-tip atomic-force microscopy [86]. This writing exerts locally huge electrostatic forces that mitigate defects and even align the SrTiO_3 domains [87]. To date, such devices are the only examples of 2DESs in complex oxides with mean free paths of tens of micrometers [88].

VI. CONCLUSION

We have investigated the electronic phase separation at the archetypal $\text{LaAlO}_3/\text{SrTiO}_3$ interface by measuring the capacitance between the interface and a topgate electrode as a function of applied topgate and backgate voltage. These measurements revealed three different regions of tuning, separated by boundaries that correspond roughly to contours of constant nominal carrier density. At the highest nominal carrier density, we found that the capacitance is completely independent of gate voltage. Here, the $\text{LaAlO}_3/\text{SrTiO}_3$ interface behaves like a three-dimensional electron system with a very high density of states. Lowering the nominal carrier density below a threshold value resulted in a slight

capacitance reduction, which we attributed to a positive electronic compressibility.

At the lowest nominal carrier density, we found that the capacitance and also the tunnel conductance are reduced strongly by the gate voltages. We attributed this behavior to a reduction of the effective device area through a series of local metal-insulator transitions. These observations imply a large variation of the carrier density in the plane of the interface on the order of several 10^{13} cm^{-2} . This electronic phase separation is driven by a lateral variation of the electrostatic potential, which is not distributed normally, but according to a discrete set of preferred values. We attributed this behavior to the presence of (clusters of) charged defects located at different discrete distances from the interface.

As a result of the phase separation, the electronic properties of the $\text{LaAlO}_3/\text{SrTiO}_3$ interface have to be considered as strongly heterogeneous. We studied the superconducting dome as an example—our device geometry allowed us to measure the full superconducting dome as a function of both gate voltages for the first time in the same device. These measurements revealed a large discrepancy between the onset temperature and the zero-resistance temperature, especially in

the region in which insulating patches emerge. The stepwise $R(T)$ curves imply that T_c is also distributed as a discrete set of preferred values.

Our results highlight the need to mitigate electronic phase separation as a result of in-plane potential variations in oxide 2DESs. All successful strategies reported tune the density of charged defects. Doing so will enable fundamental studies to reliably establish correlations between different physical properties and to reach appropriate conclusions about their possible connections. Moreover, controlling phase separation is essential for the exploitation of oxide 2DESs in future electronic devices. It need not necessarily be mitigated: controlled modulation may yield new physical systems in which an order parameter is laterally modulated in a controlled manner.

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