Surface bound states in the continuum in Dyakonov structures

Samyobrata Mukherjee^{1,1} David Artigas^{1,2} and Lluís Torner^{1,2}

¹ICFO-Institut de Ciències Fotòniques, The Barcelona Institute of Science and Technology, 08860 Castelldefels (Barcelona), Spain ²Department of Signal Theory and Communications, Universitat Politècnica de Catalunya, 08034 Barcelona, Spain

(Received 14 January 2022; revised 1 May 2022; accepted 11 May 2022; published 23 May 2022)

Surface bound states in the continuum (SBICs) have been found to occur in diverse settings, but so far always at the interface of nonhomogeneous media, such as discrete lattices or periodic systems. Here, we show that they can also exist at the interface of homogeneous media, resulting in unique SBICs. Specifically, we found that, contrary to general belief, leaky Dyakonov states exist at the interface between materials that exhibit opposite signs of anisotropy. In addition, properly breaking the anisotropy symmetry leads to the formation of both guided states and also SBICs embedded within the continuum. A direct implication of our finding is the possibility to create SBICs and Dyakonov states in a whole new class of materials and metamaterials.

DOI: 10.1103/PhysRevB.105.L201406

I. INTRODUCTION

Bound states in the continuum (BICs) are radiationless modes that are embedded in the part of the spectrum corresponding to radiating modes. First discovered in the context of quantum mechanics [1,2], BICs are now understood as a general wave phenomenon [3,4]. The existence of photonic BICs was first theoretically predicted in parallel arrays of dielectric gratings and cylinders [5] and in photonic crystals of dielectric rods with defects [6]. This was followed by landmark experimental demonstrations of photonic BICs in an array of waveguides with defects [7] and in a photonic crystal slab [8]. This led to an explosion of interest in the study of photonic BICs in various geometries such as layered nanospheres [9], anisotropic waveguides [10], one-dimensional (1D) photonic crystals with anisotropic defect layers [11,12], epsilon-near-zero metamaterials [13], plasmonic systems [14,15], all-dielectric and plasmonic metasurfaces [16,17], \mathcal{PT} -symmetric systems [18–20], tailored photonic crystal environments [21,22], and other periodic systems [23–26]. Several potential applications of photonic BICs have also been suggested [27-30]. The photonic BICs in all these geometries involve localizing light in a waveguide [6,10]or a resonator [31] by canceling the coupling of the light to an available radiation channel by exploiting mechanisms such as symmetry protection or destructive interference. Another class of photonic BICs, known as surface BICs (SBICs), exist where the light is localized at an interface between two unlike materials. SBICs have been reported at the interfaces of finite 1D arrays of waveguides [32-35] and photonic crystals [36–39]. However, all SBICs reported so far involve discrete lattices or photonic crystals, whose characteristic geometric dimensions restrict the existence of SBICs to specific wavelengths.

In this Research Letter we report the existence of SBICs at the interface between two semi-infinite, fully homogeneous media. Specifically, we address the interface between two anisotropic uniaxial materials with opposite signs of birefringence. Interfaces involving birefringent materials are known to support hybrid, full-vector Dyakonov surface waves (DSWs) when certain conditions are met [40-43]. To date, all lossless DSWs are known to occur in structures made of positive birefringent materials, while only generalized states arising in non-Hermitian or truncated structures have been found to exist elsewhere [44-46]. However, here we discovered that material interfaces between media with opposite sign of anisotropy and, importantly, where the anisotropy symmetry is broken [41,47] may also support lossless DSWs. In addition, in such structures, radiation channels that couple the states otherwise fully localized at the interface with the continuum arise, thus creating leaky surface states. Nevertheless, we also discovered that, under suitable conditions, the radiation channel of such leaky surface states can be suppressed completely, transforming them into anisotropy-induced BICs [10], which, therefore, are lossless SBICs. While all previous reports of SBICs involved discrete structures [32-39], here we report the existence of SBICs supported at the interface between two homogeneous media.

II. THEORETICAL FORMULATION

We address the planar interface located at x = 0 where the negative and positive x half-spaces are occupied by lossless, uniaxial, dielectric materials with negative (material 1) and positive (material 2) birefringence, respectively. We study bound solutions of the full Maxwell equations for this structure in different configurations. Light propagation is along the y direction, so k_y is the propagation constant and k_0 is the freespace wave number. The optic axes of materials 1 and 2 lie in the interface plane and make angles ϕ_1 and ϕ_2 with the direction of light propagation. The layout is shown in Fig. 1(a). The structure is then anisotropy symmetric when $\phi_1 = \phi_2$, while introducing an offset $\Delta = \phi_1 - \phi_2$ results in azimuthal, or weak, anisotropy-symmetry breaking [47]. The permittivity



FIG. 1. (a) Geometry comprising two semi-infinite uniaxial materials with their interface at x = 0. A schematic of the optic axes' layout is shown. (b) Schematic of the refractive indices of the system. The dashed gray line shows the interface. The solid black lines show the ordinary indices, which are constant, while the dotted black lines indicate the extraordinary indices, which vary with optic axis orientation in the range shown by the colored arrows. Prop. Dir., propagation direction; Ref. Ind., refractive index.

tensor in material *i* when $\phi_i = 0$ is $\hat{\epsilon} = \text{diag}(\epsilon_i^o, \epsilon_i^e, \epsilon_i^o)$, where ϵ_i^o and ϵ_i^e are its principal values. The ordinary refractive index is constant and is given by $n_i^o = \sqrt{\epsilon_i^o}$, whereas the extraordinary refractive index varies as a function of the optic axis orientation $n_i^e(\phi) = \sqrt{\epsilon_i^e}(\sin^2 \phi_i + \frac{\epsilon_i^e}{\epsilon_i^o}\cos^2 \phi_i)^{-1/2}$. For a given value of the mode effective index $N = k_y/k_0$, four basis waves propagating in a uniaxial material (two ordinary and two extraordinary) can be obtained from an eigenvalue equation [47,48]. One of each kind propagates in the +x direction, while the other propagates in the -x direction. The transverse component of the ordinary, $\kappa^o = k_x^o/k_0$, and extraordinary, $\kappa^e = k_x^e/k_0$, normalized wave vectors is obtained as the eigenvalues

$$\kappa^{o} = \pm \sqrt{\epsilon^{o} - N^{2}},$$

$$\kappa^{e}(\phi) = \pm \sqrt{\epsilon^{e} - N^{2} \left(\sin^{2} \phi + \frac{\epsilon^{e}}{\epsilon^{o}} \cos^{2} \phi \right)},$$
 (1)

with the corresponding eigenvectors

$$\vec{F}^{o} = \begin{bmatrix} \kappa^{o} \sin \phi \\ \epsilon^{o} \sin \phi \\ -\kappa^{o} \cos \phi \\ (\kappa^{o})^{2} \cos \phi \end{bmatrix}, \quad \vec{F}_{e} = \begin{bmatrix} (\kappa^{o})^{2} \cos \phi \\ \epsilon^{o} \kappa^{e} \cos \phi \\ \epsilon^{o} \sin \phi \\ -\epsilon^{o} \kappa^{e} \sin \phi \end{bmatrix}, \quad (2)$$

where the four rows of \vec{F}_o and \vec{F}_e correspond to the tangential electric and magnetic field components E_y , z_oH_z , E_z , and z_0H_y , where z_0 is the vacuum impedance. One ordinary wave and one extraordinary wave, out of the four waves, have to be selected in each medium. For a standard DSW, the effective index is real with $N > n^o$, $n^e(\phi)$, and the basis waves decaying exponentially perpendicular to the interface are selected. For the leaky DSW, the effective index is complex N, with Re(N) being less than one of the refractive index is defined as the radiation channel via which the mode couples to the continuum where Im(N) approximates the wave radiation loss. Due to flux considerations, the radiation channel basis wave must grow exponentially away from the interface [49]. In this Research Letter, leaky DSWs feature Re(N) < n_1^o ; thus the

ordinary wave in the negative uniaxial media is the radiation channel.

Boundary conditions for the tangential field components at the interface between materials 1 and 2 yield the homogeneous set of linear equations

$$a_1^o \vec{F}_1^o + a_1^e \vec{F}_1^e = a_2^o \vec{F}_2^o + a_2^e \vec{F}_2^e, \tag{3}$$

where $a_i^{o/e}$ is the amplitude of the corresponding basis wave. Writing Eq. (3) in a matrix form, $\hat{R}\vec{a} = 0$, with $\hat{R} = [\vec{F}_1^o \quad \vec{F}_1^e \quad -\vec{F}_2^o \quad -\vec{F}_2^e]$ and $\vec{a} = [a_1^o \quad a_1^e \quad a_2^o \quad a_2^e]^T$ being a 4 × 4 matrix and a 4 × 1 column vector, respectively, the requirement for a nontrivial solution, $|\hat{R}| = 0$, yields the dispersion equation

$$2\epsilon_{2}^{o}\epsilon_{1}^{o}\kappa_{1}^{o}\kappa_{1}^{o}(\kappa_{1}^{e}-\kappa_{2}^{o})(\kappa_{1}^{e}-\kappa_{1}^{o})\sin\phi_{2}\sin\phi_{1}\cos\phi_{2}\cos\phi_{1} +\kappa_{2}^{o}\kappa_{1}^{o}(\kappa_{1}^{o}-\kappa_{2}^{o})(\epsilon_{1}^{o}\kappa_{1}^{e}(\kappa_{2}^{o})^{2}-\epsilon_{2}^{o}\kappa_{2}^{e}(\kappa_{1}^{o})^{2})\cos^{2}\phi_{2}\cos^{2}\phi_{1} +\epsilon_{2}^{o}\kappa_{1}^{o}(\kappa_{2}^{e}-\kappa_{1}^{o})(\epsilon_{2}^{o}(\kappa_{1}^{o})^{2}-\epsilon_{1}^{o}\kappa_{1}^{e}\kappa_{2}^{o})\sin^{2}\phi_{2}\cos^{2}\phi_{1} +\epsilon_{1}^{o}\kappa_{2}^{o}(\kappa_{1}^{e}-\kappa_{2}^{o})(\epsilon_{1}^{o}(\kappa_{2}^{o})^{2}-\epsilon_{2}^{o}\kappa_{2}^{e}\kappa_{1}^{o})\sin^{2}\phi_{1}\cos^{2}\phi_{2} +\epsilon_{2}^{o}\epsilon_{1}^{o}(\kappa_{2}^{e}-\kappa_{1}^{e})(\epsilon_{2}^{o}\kappa_{1}^{o}-\epsilon_{1}^{o}\kappa_{2}^{o})\sin^{2}\phi_{2}\sin^{2}\phi_{1}=0.$$
(4)

The transcendental equation (4) is solved numerically to obtain the effective index N for the range of values ϕ_1 and ϕ_2 over which the solution exists, resulting in the narrow range of propagation directions typical in DSWs. Purely real solutions of Eq. (4) correspond to standard guided DSWs, whereas complex solutions of Eq. (4) correspond to leaky DSWs. SBICs are embedded on the leaky DSWs and exist when Im(N) = 0. A necessary but insufficient condition for the existence of surface waves in this geometry is an overlap between the extraordinary indices of the two materials, i.e., in terms of the permittivities:

$$\left[\epsilon_1^o > \epsilon_2^o\right] \land \left[\epsilon_1^e < \epsilon_2^e\right]. \tag{5}$$

III. RESULTS AND DISCUSSION

Without loss of generality, we consider a structure with a negative uniaxial material with $n_1^o = \sqrt{\epsilon_1^o} = 1.80$ and $n_1^e(\phi_1 = 90^\circ) = \sqrt{\epsilon_1^e} = 1.40$ and a positive uniaxial material with $n_2^o = \sqrt{\epsilon_2^o} = 1.25$ and $n_2^e(\phi_2 = 90^\circ) = \sqrt{\epsilon_2^e} = 2$. A schematic of the refractive indices is shown in Fig. 1(b).

A. Leaky DSWs

Figure 2(a) shows the propagation constant of the DSW when the optic axes in the two materials are aligned ($\Delta = 0^{\circ}$), corresponding to a structure that maintains anisotropy symmetry. A surface wave exists in the vicinity of the point where $n_1^e(\phi_1) = n_2^e(\phi_2)$ and cuts off at the points where Re(N) = $n_1^e(\phi_1)$ and $\text{Re}(N) = n_2^e(\phi_2)$. Re(N) is greater than $n_1^e(\phi_1)$, $n_2^e(\phi_2)$, and n_2^o , and the corresponding three basis waves decay exponentially away from the interface. However, $\text{Re}(N) < n_1^o$, and therefore the ordinary basis wave in material 1 acts as the radiation channel via which the leaky mode couples to the continuum. Therefore, with $\Delta = 0^{\circ}$, and for small values of azimuthal anisotropy-symmetry breaking, the surface wave is a leaky DSW for all values of ϕ_2 where the solution exists.



FIG. 2. (a) Mode propagation constant Re(*N*) of DSWs (red) as a function of ϕ_2 when $\Delta = 0^{\circ}$ and only leaky DSWs exist. The ordinary (dotted lines) and extraordinary (dashed lines) refractive indices of material 1 and 2 are plotted in gray and brown, respectively. (b) 1/e propagation length *L* (log scale) of the leaky DSW in (a) at $\lambda_0 = 632$ nm. (c) Transverse profile of the field $|E_z|$ at $\phi_2 = 45^{\circ}$ [blue dot in (a)]. The dashed gray line at $x/\lambda = 0$ indicates the interface.

However, there are no SBICs embedded on the leaky DSW in this configuration.

Figure 2(b) shows the logarithm of *L*, defined as the 1/e propagation length for the leaky DSW at $\lambda_0 = 632$ nm. While *L* is larger at larger values of ϕ_2 , its value for the leaky DSW is generally small (~10 μ m). Figure 2(c) shows the field amplitude E_z along the transverse axis *x* for the leaky DSW at $\phi_2 = 45^\circ$. Though there is radiation away from the DSW, the field is localized at the interface. Since *N* is complex for leaky DSWs, the *x* component of the radiation channel's normalized wave vector, κ_1^o , is also complex, with Im(κ_1^o) and Re(κ_1^o) resulting, respectively, in the exponential growth and the oscillations in the transverse field profile leaking from the DSW, as seen in Fig. 2(c).

B. Standard guided DSWs

Figure 3(a) shows the surface wave supported by a structure for an amount of azimuthal anisotropy asymmetry given by $\Delta = -70^{\circ}$. The surface wave solution in this structure cuts off at $N = n_1^{\circ}$ on one side and at $N = n_2^{e}(\phi_2)$ on the other side. Throughout all the range of existence, N is purely real and is greater than all four refractive indices of the structure. Therefore this structure supports standard, guided DSWs that are made up of four basis waves which decay exponentially away from the interface, as Fig. 3(c) shows at $\phi_2 = 67.3^{\circ}$. As is typical in DSWs, when compared with propagation directions near the DSW cutoff $N = n_1^{\circ}$, the field of the DSW remains primarily in material 1, while traversing away from



FIG. 3. (a) Same as Fig. 2(a) but when $\Delta = -70^{\circ}$ and only guided DSWs exist. (b) Magnification of the area in the black box in (a). (c) Transverse profile of the field $|E_z|$ at $\phi_2 = 67.3^{\circ}$ [blue dot in (b)].

this point towards the cutoff $N = n_2^e(\phi_2)$ results in the majority of the field moving to material 2 [42]. The structure exhibits the standard challenge regarding excitation of DSWs, i.e., a narrow range of allowed propagation angles ϕ_2 [red line in Fig. 3(a)]. However, this range is much broader when leaky DSWs are considered [red line in Fig. 2(a)].

There have been a few reports of the existence of surface waves at interfaces involving negative uniaxial materials; however, these proposals contain added constraints such as dissipative media [44], birefringent metals [45], or a finite interface [46]. This Research Letter reports the existence of a guided DSW at an infininte planar interface between a positive uniaxial material and negative uniaxial material without any added constraints.

C. SBIC embedded on leaky branches of DSWs

For a range of values of Δ between the two previous cases with varying amounts of azimuthal anisotropy asymmetry, the structure supports both purely guided and leaky DSWs, as shown in Fig. 4(a) for $\Delta = -56^{\circ}$. The leaky DSW exists at values of ϕ_2 where Re(N) $\leq n_1^{o}$, with the ordinary wave in material 1 serving as the radiation channel. The transition to purely guided DSWs occurs for higher values of ϕ_2 , where N is greater than all the refractive indices in the system and therefore it is purely real.

We study the conditions for cancellation of the radiation channel for the leaky DSW using an auxiliary condition by setting the radiation channel amplitude in Eq. (3) to zero, $a_1^o = 0$ [10,50]. The auxiliary condition yields four auxiliary



FIG. 4. (a) Same as Fig. 2(a) but for $\Delta = -56^{\circ}$. (b) 1/e propagation length *L* (log scale), of the leaky DSW in (a). *L* diverges at the SBIC (red dot). (c) Magnification of the area in the black box in (a). The colored markers in (b) and (c) show the values of ϕ_2 where we plot the normalized transverse profile $|E_z|$ for (d) a leaky DSW at $\phi_2 = 64.1^{\circ}$, (e) the SBIC at $\phi_2 = 65.82^{\circ}$, and (f) a leaky DSW at $\phi_2 = 66.75^{\circ}$.

equations, one of which is

$$\begin{aligned} \epsilon_{2}^{o}\epsilon_{1}^{o}\kappa_{2}^{o}\left(-\kappa_{2}^{e}+\kappa_{1}^{e}\right)\sin^{2}\left(\phi_{c}\right)\sin\left(\phi_{s}\right) \\ &+\epsilon_{2}^{o}\kappa_{2}^{o}\left(\kappa_{1}^{o}\right)^{2}\left(-\kappa_{2}^{e}+\kappa_{2}^{o}\right)\sin\left(\phi_{c}\right)\cos\left(\phi_{c}\right)\cos\left(\phi_{s}\right) \\ &+\epsilon_{1}^{o}\left(\kappa_{2}^{o}\right)^{3}\left(\kappa_{1}^{e}-\kappa_{2}^{o}\right)\sin\left(\phi_{s}\right)\cos^{2}\left(\phi_{c}\right)=0. \end{aligned}$$
(6)

The SBIC exists only when the solutions of the dispersion equation and all four auxiliary equations coincide, resulting in $\phi_2^{\text{BIC}} = 65.82^{\circ}$. We calculate *L*, the 1/*e* propagation length from Im(*N*) over the range of the leaky DSW [Fig. 4(b)], showing that *L* diverges at the angle found before, ϕ_2^{BIC} , resulting in a SBIC where the leakage is canceled. Figure 4(e) shows the field profile $|E_z|$ for the SBIC, showing that despite being embedded in the leaky part of the DSW with Re(*N*) < n_1^o , the field decays exponentially away from the interface. This contrasts with the field profile for two leaky DSWs on either side of the SBIC, shown in Figs. 4(d) and 4(f), at $\phi_2 = 64.1^{\circ}$ and $\phi_2 = 66.75^{\circ}$, respectively. Both figures show the characteristic radiation into the substrate via the radiation channel.

D. Cutoffs in Δ

The amount of azimuthal anisotropy asymmetry given by Δ has a dramatic impact on the properties of the DSW and



FIG. 5. (a) Range of Re(*N*) as a function of Δ for the structure studied in Figs. 2–4. The dashed black line shows the value of $n_1^o = 1.8$ below which only leaky DSWs can exist. The dashed blue lines show the point where guided DSWs start (Δ_{cm}) and then cease (Δ_{cM}) to exist. The dashed red line shows the value of Δ_{cL} where the leaky DSW first appears. (b) Range of ϕ_2 as a function of Δ . The color indicates the 1/*e* propagation length *L* for leaky DSWs at $\lambda_0 = 632$ nm. The inset shows the line of BICs. (c) and (d) Same as (a) and (b) but for $n_1^o = 2$, $n_1^e = 1.2$.

the existence of SBICs. We explore this effect in Fig. 5(a), where the solid black lines show the upper and lower bounds of Re(N) as a function of Δ .

1. Cutoffs for standard guided DSW

We see that the guided DSW solution only exists for a finite range of values of Δ , those where Re(N) > $n_1^o = 1.8$ [between the two blue dashed lines in Fig. 5(a)]. As we show in Figs. 3(a) and 4(a), the guided DSW cuts off at the points where $N = n_1^o (\Rightarrow \kappa_1^o = 0)$ and $N = n_2^e (\phi_2) (\Rightarrow \kappa_2^e = 0)$. Making use of $n_1^o = n_2^e (\phi_2)$, we obtain the cutoff angle ϕ_{2c} as

$$\sin\phi_{2c} = \pm \sqrt{\frac{\epsilon_2^e(\epsilon_2^o - \epsilon_1^o)}{\epsilon_1^o(\epsilon_2^o - \epsilon_2^o)}},\tag{7}$$

and then using $\kappa_1^o = 0$ and $\kappa_2^e = 0$ in the dispersion equation [Eq. (4)], together with Eq. (7), we find the cutoff angle

$$\sin\phi_{1c} = \pm \frac{\left(\epsilon_1^o - \epsilon_2^e\right)}{\epsilon_1^o} \sqrt{\frac{\left(\epsilon_2^o - \epsilon_1^o\right)}{\left(\epsilon_1^e - \epsilon_1^o\right)}}.$$
(8)

Thus the cutoff in terms of azimuthal anisotropy asymmetry can be calculated as $\Delta_c = \phi_{1c} - \phi_{2c}$. Since *N* is a function of ϕ_2 , the sign selected in Eq. (8) determines whether the leading or trailing edge of *N* passes through the point $n_1^o = n_2^e(\phi_2)$. The positive (negative) sign gives the value of Δ_{cm} (Δ_{cM}) where pure guided DSWs start (cease) to occur [dashed blue lines in Fig. 5(a)].

2. Cutoff for leaky DSW

It is useful to determine another cutoff in terms of azimuthal anisotropy-asymmetry, Δ_{cL} , where leaky DSWs start existing [dashed red line in Fig. 5(a)] since SBICs are embedded in the leaky DSW. Leaky DSWs occur when $\text{Re}(N) < n_1^o$. They start to exist at $N = n_1^o = n_1^e(\phi_1)$, which implies $\kappa_1^o = \kappa_1^e = 0$. This condition can only be fulfilled when $\phi_1 = 0^\circ \Rightarrow \phi_2 = -\Delta$. Applying these conditions to Eq. (4), we find that Δ_{cL} obeys the equation

$$\tan^2 \Delta_{cL} + \frac{\left(\epsilon_2^o - \epsilon_1^o\right)^{3/2}}{\epsilon_2^o \sqrt{\epsilon_2^e - \epsilon_1^o \left(\sin^2 \Delta_{cL} + \frac{\epsilon_2^e}{\epsilon_2^o} \cos^2 \Delta_{cL}\right)}} = 0, \quad (9)$$

which can be readily solved numerically.

Equations (7)–(9) determine the existence conditions for DSWs in terms of the azimuthal anisotropy asymmetry Δ . Guided DSWs only exist at negative values of Δ , with $\Delta >$ Δ_{cm} . As Δ increases, leaky DSWs start to appear at $\Delta > \Delta_{cL}$, coexisting with guided DSWs, and when $\Delta > \Delta_{cM}$, only leaky DSWs exist. In all cases, DSWs propagate in a range of values of ϕ_2^{DSW} , as shown in Fig. 5(b), where the color shows the 1/e propagation distance L. The leaky DSW becomes more radiative (low values of L) as Δ is increased. Note that, while ϕ_2^{DSW} is narrow for guided DSWs, reaching the larger value $\phi_2^{\tilde{D}SW} \sim 4^\circ$ for $\Delta = \Delta_{cL}$, it increases for leaky DSWs to $\phi_2^{\text{DSW}} \sim 22^\circ$ near $\Delta = 0$. Note that while, by and large, the usually narrow range of allowed propagation angles for standard DSWs to exist is an outstanding challenge for their experimental generation [43], the larger range of propagation directions of leaky DSWs facilitates their excitation.

E. Variation of the constitutive parameters

Equation (9) provides the starting point beyond which SBICs, embedded in leaky DSWs, can exist in terms of the azimuthal anisotropy asymmetry Δ . Indeed, the structure supports a line of SBICs for $\Delta > \Delta_{cL}$, shown by the blue line in the inset in Fig. 5(b). As n_1^o increases, guided DSWs disappear entirely when $n_1^o = n_2^e(90^\circ) = 2$, resulting in $\phi_{2c} = -\Delta_{cL} = 90^\circ$, as the index corresponding to the radiation channel becomes the highest refractive index in the system. In this process, the SBICs move towards the cutoff of the DSW and also disappear. It is, however, possible to recover the line of SBICs by tuning the other constitutive parameters of the system [51]. This is shown in Figs. 5(c) and 5(d), where in addition to $n_1^o = n_2^e (90^\circ) = 2$, we set $n_1^e = 1.2$. Since $\operatorname{Re}(N) < n_1^o$ for all the range of existence of DSWs and the radiation channel is always accessible, the structure only supports leaky DSWs so that the line of SBICs, shown in the inset in Fig. 5(d), are the only bound surface modes supported by the system. The leaky DSW mode becomes more radiative at higher values of Δ as shown in Figs. 5(b) and 5(d).

F. Materials

To show the feasibility of experimentally observing the SBICs described above, we first note that DSW modes have been experimentally observed, for example, at the interface of nematic liquid crystals [52]. Thus we consider the interface between the liquid crystal E7 in the nematic phase and a calcite crystal, arranged so that $\Delta = -50^{\circ}$. We consider two different wavelengths λ_0 : 488 and 632 nm. At $\lambda_0 = 488$ nm, the refractive indices of the materials are $n_{\text{E7}}^o = 1.5345$, $n_{\text{E7}}^e = 1.7754$, $n_{\text{calcite}}^o = 1.6674$, and $n_{\text{calcite}}^e = 1.4904$ [53,54], and the structure supports both guided and leaky DSWs in

the range of optic axis orientation $50.65^{\circ} < \phi_2^{\text{DSW}} < 51.48^{\circ}$. Within the leaky-DSW range, there exists a SBIC at $\phi_2^{\text{BIC}} =$ 50.72°. Due to material dispersion, the refractive indices of the materials are slightly different at $\lambda_0 = 632$ nm with $n_{E77}^o =$ 1.5189, $n_{\text{E7}}^e = 1.7304$, $n_{\text{calcite}}^o = 1.6557$, and $n_{\text{calcite}}^e = 1.4849$. As a result, such a structure supports leaky DSWs in the range $55.39^\circ < \phi_2^{\text{DSW}} < 56.05^\circ$ with an embedded SBIC at $\phi_2^{\text{BIC}} = 55.95^\circ$. Therefore material dispersion leads to the two situations discussed in Fig. 5, with the SBIC occurring at different values of ϕ_2 for each wavelength. Therefore, on the one hand, one concludes that material dispersion affects the type and location in the parameter space of the surface states supported by the structure, but SBICs do exist. On the other hand, intrinsic material absorption is not relevant in this context, because the considered materials are highly transparent and, anyway, BICs are exclusively related to the suppression of radiative losses. As comparison, the absorption coefficient for E7 at $\lambda_0 = 632$ nm is 0.03 cm⁻¹ [55], resulting in a propagation length $L_{\rm E7} \sim 30$ cm, which is orders of magnitude larger than the propagation length of the leaky DSW due to radiation losses, which typically is in the range $L_{\text{leaky}} \sim 10\text{--}100 \ \mu\text{m}.$

IV. CONCLUSIONS

In summary, we have uncovered the existence of SBICs realized as Dyakonov states in uniaxial anisotropic media, in structures where Dyakonov modes were considered to be impossible. The existence loci of these states is set by the amount of anisotropy asymmetry and the constitutive parameters of the materials. Here, we addressed only azimuthal anisotropysymmetry breaking and uniaxial media, but the Dyakonov mechanism occurs in more general geometries and types of anisotropy, where we anticipate that richer families of states may exist. Our results open the possibility to create SBICs and Dyakonov-like states in a whole new class of materials and metamaterials, including different types of anisotropic materials, such as hyperbolic materials.

Finally, it has to be properly appreciated that the SBICs we found here are anisotropy induced [10]. Namely, they are full vector, hybrid surface states situated above the light line and exist without the presence of a trapping potential. Therefore they do not arise from the interference of resonances, as, e.g., Friedrich-Wintgen BICs do. Rather, the SBICs occur when the ordinarily polarized wave that constitutes the radiation channel is not needed to fulfill the boundary conditions. This mechanism makes the anisotropic SBICs fundamentally different from all surface BICs known to date [32–37], in particular, those where a defect located at the interface acts as a trapping potential.

ACKNOWLEDGMENTS

This work was partially supported by the H2020 Marie Skłodowska-Curie Actions GA665884, Grants No. CEX2019-000910-S and No. PGC2018-097035-B-I00 funded by MCIN/AEI/10.13039/501100011033/FEDER, Fundació Cellex, Fundació Mir-Puig, and Generalitat de Catalunya (CERCA).

- J. von Neuman and E. Wigner, Uber merkwürdige diskrete Eigenwerte. Uber das Verhalten von Eigenwerten bei adiabatischen Prozessen, Physikalische Zeitschrift 30, 467 (1929).
- [2] F. H. Stillinger and D. R. Herrick, Bound states in the continuum, Phys. Rev. A 11, 446 (1975).
- [3] C. W. Hsu, B. Zhen, A. D. Stone, J. D. Joannopoulos, and M. Soljačić, Bound states in the continuum, Nat. Rev. Mater. 1, 16048 (2016).
- [4] S. Joseph, S. Pandey, S. Sarkar, and J. Joseph, Bound states in the continuum in resonant nanostructures: An overview of engineered materials for tailored applications, Nanophotonics 10, 4175 (2021).
- [5] D. C. Marinica, A. G. Borisov, and S. V. Shabanov, Bound States in the Continuum in Photonics, Phys. Rev. Lett. 100, 183902 (2008).
- [6] E. N. Bulgakov and A. F. Sadreev, Bound states in the continuum in photonic waveguides inspired by defects, Phys. Rev. B 78, 075105 (2008).
- [7] Y. Plotnik, O. Peleg, F. Dreisow, M. Heinrich, S. Nolte, A. Szameit, and M. Segev, Experimental Observation of Optical Bound States in the Continuum, Phys. Rev. Lett. **107**, 183901 (2011).
- [8] C. W. Hsu, B. Zhen, J. Lee, S.-L. Chua, S. G. Johnson, J. D. Joannopoulos, and M. Soljačić, Observation of trapped light within the radiation continuum, Nature (London) 499, 188 (2013).
- [9] F. Monticone and A. Alù, Embedded Photonic Eigenvalues in 3D Nanostructures, Phys. Rev. Lett. 112, 213903 (2014).
- [10] J. Gomis-Bresco, D. Artigas, and L. Torner, Anisotropyinduced photonic bound states in the continuum, Nat. Photonics 11, 232 (2017).
- [11] I. V. Timofeev, D. N. Maksimov, and A. F. Sadreev, Optical defect mode with tunable *Q* factor in a one-dimensional anisotropic photonic crystal, Phys. Rev. B 97, 024306 (2018).
- [12] P. S. Pankin, B.-R. Wu, J.-H. Yang, K.-P. Chen, I. V. Timofeev, and A. F. Sadreev, One-dimensional photonic bound states in the continuum, Commun. Phys. 3, 91 (2020).
- [13] M. Minkov, I. A. D. Williamson, M. Xiao, and S. Fan, Zero-Index Bound States in the Continuum, Phys. Rev. Lett. 121, 263901 (2018).
- [14] S. I. Azzam, V. M. Shalaev, A. Boltasseva, and A. V. Kildishev, Formation of Bound States in the Continuum in Hybrid Plasmonic-Photonic Systems, Phys. Rev. Lett. **121**, 253901 (2018).
- [15] S. Sun, Y. Ding, H. Li, P. Hu, C.-W. Cheng, Y. Sang, F. Cao, Y. Hu, A. Alù, D. Liu, Z. Wang, S. Gwo, D. Han, and J. Shi, Tunable plasmonic bound states in the continuum in the visible range, Phys. Rev. B 103, 045416 (2021).
- [16] K. Fan, I. V. Shadrivov, and W. J. Padilla, Dynamic bound states in the continuum, Optica 6, 169 (2019).
- [17] Y. Liang, K. Koshelev, F. Zhang, H. Lin, S. Lin, J. Wu, B. Jia, and Y. Kivshar, Bound states in the continuum in anisotropic plasmonic metasurfaces, Nano Lett. 20, 6351 (2020).
- [18] S. Longhi, Bound states in the continuum in \mathcal{PT} -symmetric optical lattices, Opt. Lett. **39**, 1697 (2014).
- [19] Y. V. Kartashov, C. Milián, V. V. Konotop, and L. Torner, Bound states in the continuum in a two-dimensional *PT*-symmetric system, Opt. Lett. 43, 575 (2018).
- [20] Q. Song, J. Hu, S. Dai, C. Zheng, D. Han, J. Zi, Z. Q. Zhang, and C. T. Chan, Coexistence of a new type of bound state

PHYSICAL REVIEW B 105, L201406 (2022)

in the continuum and a lasing threshold mode induced by PT symmetry, Sci. Adv. **6**, eabc1160 (2020).

- [21] A. Cerjan, C. W. Hsu, and M. C. Rechtsman, Bound States in the Continuum through Environmental Design, Phys. Rev. Lett. 123, 023902 (2019).
- [22] A. Cerjan, C. Jörg, S. Vaidya, S. Augustine, W. A. Benalcazar, C. W. Hsu, G. von Freymann, and M. C. Rechtsman, Observation of bound states in the continuum embedded in symmetry bandgaps, Sci. Adv. 7, eabk1117 (2021).
- [23] E. N. Bulgakov and A. F. Sadreev, Bloch bound states in the radiation continuum in a periodic array of dielectric rods, Phys. Rev. A 90, 053801 (2014).
- [24] E. N. Bulgakov and A. F. Sadreev, Light trapping above the light cone in a one-dimensional array of dielectric spheres, Phys. Rev. A 92, 023816 (2015).
- [25] E. N. Bulgakov and D. N. Maksimov, Topological Bound States in the Continuum in Arrays of Dielectric Spheres, Phys. Rev. Lett. 118, 267401 (2017).
- [26] E. N. Bulgakov and A. F. Sadreev, Bound states in the continuum with high orbital angular momentum in a dielectric rod with periodically modulated permittivity, Phys. Rev. A 96, 013841 (2017).
- [27] A. Kodigala, T. Lepetit, Q. Gu, B. Bahari, Y. Fainman, and B. Kanté, Lasing action from photonic bound states in continuum, Nature (London) 541, 196 (2017).
- [28] S. Romano, A. Lamberti, M. Masullo, E. Penzo, S. Cabrini, I. Rendina, and V. Mocella, Optical biosensors based on photonic crystals supporting bound states in the continuum, Materials 11, 526 (2018).
- [29] L. Carletti, K. Koshelev, C. De Angelis, and Y. Kivshar, Giant Nonlinear Response at the Nanoscale Driven by Bound States in the Continuum, Phys. Rev. Lett. **121**, 033903 (2018).
- [30] Z. Hayran and F. Monticone, Capturing broadband light in a compact bound state in the continuum, ACS Photonics 8, 813 (2021).
- [31] K. Koshelev, G. Favraud, A. Bogdanov, Y. Kivshar, and A. Fratalocchi, Nonradiating photonics with resonant dielectric nanostructures, Nanophotonics 8, 725 (2019).
- [32] M. I. Molina, A. E. Miroshnichenko, and Y. S. Kivshar, Surface Bound States in the Continuum, Phys. Rev. Lett. 108, 070401 (2012).
- [33] S. Weimann, Y. Xu, R. Keil, A. E. Miroshnichenko, A. Tünnermann, S. Nolte, A. A. Sukhorukov, A. Szameit, and Y. S. Kivshar, Compact Surface Fano States Embedded in the Continuum of Waveguide Arrays, Phys. Rev. Lett. 111, 240403 (2013).
- [34] G. Corrielli, G. Della Valle, A. Crespi, R. Osellame, and S. Longhi, Observation of Surface States with Algebraic Localization, Phys. Rev. Lett. 111, 220403 (2013).
- [35] N. Gallo and M. Molina, Bulk and surface bound states in the continuum, J. Phys. A: Math. Theor. 48, 045302 (2015).
- [36] C. W. Hsu, B. Zhen, S.-L. Chua, S. G. Johnson, J. D. Joannopoulos, and M. Soljačić, Bloch surface eigenstates within the radiation continuum, Light: Sci. Appl. 2, e84 (2013).
- [37] Z. Hu and Y. Y. Lu, Propagating bound states in the continuum at the surface of a photonic crystal, J. Opt. Soc. Am. B 34, 1878 (2017).
- [38] J. Chai, L. Liu, P. Hu, H. Xiang, and D. Han, Interface states and bound states in the continuum in photonic crystals with different lattice constants, Opt. Lett. 45, 5652 (2020).

- [39] A. C. Tasolamprou, L. Zhang, E. N. Economou, C. M. Soukoulis, and T. Koschny, Surface states on photonic crystals as hybrid dielectric metasurface bound states of the termination layer, ACS Photonics 7, 2842 (2020).
- [40] M. I. D'yakonov, New type of electromagnetic wave propagating at an interface, J. Exp. Theor. Phys. 94, 119 (1988) [Sov. Phys. JETP 67, 714 (1988)].
- [41] N. S. Averkiev and M. I. D'yakonov, Electromagnetic waves localized at the interface of transparent anisotropic media, Opt. Spectrosc. 68, 653 (1990).
- [42] O. Takayama, L.-C. Crasovan, S. K. Johansen, D. Mihalache, D. Artigas, and L. Torner, Dyakonov surface waves: A review, Electromagnetics 28, 126 (2008).
- [43] O. Takayama, L. Crasovan, D. Artigas, and L. Torner, Observation of Dyakonov Surface Waves, Phys. Rev. Lett. **102**, 043903 (2009).
- [44] T. G. Mackay and A. Lakhtakia, Surface waves with negative phase velocity supported by temperature-dependent hyperbolic materials, J. Opt. (Bristol) 21, 085103 (2019).
- [45] T. Repän, O. Takayama, and A. Lavrinenko, Hyperbolic surface waves on anisotropic materials without hyperbolic dispersion, Opt. Express 28, 33176 (2020).
- [46] D. A. Chermoshentsev, E. V. Anikin, S. A. Dyakov, and N. A. Gippius, Dyakonov surface waves in dielectric crystals with negative anisotropy, arXiv:2104.07394v1.
- [47] S. Mukherjee, J. Gomis-Bresco, P. Pujol-Closa, D. Artigas, and L. Torner, Topological properties of bound states in the

continuum in geometries with broken anisotropy symmetry, Phys. Rev. A **98**, 063826 (2018).

- [48] M. W. McCall, I. J. Hodgkinson, and Q. Wu, *Birefringent Thin Films and Polarizing Elements*, 2nd ed. (Imperial College Press, London, 2015).
- [49] J. Hu and C. R. Menyuk, Understanding leaky modes: slab waveguide revisited, Adv. Opt. Photonics 1, 58 (2009).
- [50] S. Mukherjee, J. Gomis-Bresco, D. Artigas, and L. Torner, Unidirectional guided resonances in anisotropic waveguides, Opt. Lett. 46, 2545 (2021).
- [51] S. Mukherjee, J. Gomis-Bresco, P. Pujol-Closa, D. Artigas, and L. Torner, Angular control of anisotropy-induced bound states in the continuum, Opt. Lett. 44, 5362 (2019).
- [52] Y. Li, J. Sun, Y. Wen, and J. Zhou, Controllable Selective Coupling of Dyakonov Surface Waves at a Liquid-Crystal-Based Interface, Phys. Rev. Applied 13, 024024 (2020).
- [53] J. Li, C.-H. Wen, S. Gauza, R. Lu, and S.-T. Wu, Refractive indices of liquid crystals for display applications, J. Display Technol. 1, 51 (2005).
- [54] G. Ghosh, Dispersion-equation coefficients for the refractive index and birefringence of calcite and quartz crystals, Opt. Commun. 163, 95 (1999).
- [55] S.-T. Wu and K.-C. Lim, Absorption and scattering measurements of nematic liquid crystals, Appl. Opt. 26, 1722 (1987).