

Surface reconstructions on  $\text{Mn}_3\text{O}_4(001)$  filmsK. Gillmeister<sup>1</sup>, M. Trautmann, M. Huth<sup>1</sup>, R. Shantyr<sup>2\*</sup>, K. Meinel, A. Chassé<sup>1</sup>, K.-M. Schindler<sup>1,†</sup>,  
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The structural complexity of surfaces of spinel-like oxides together with the existence of competing phases of different or mixed valencies have limited surface structure determinations so far. Only recently, the concept of subsurface cation vacancies (SCVs) could be demonstrated for an  $\text{Fe}_3\text{O}_4$  single crystal surface. Here, we present the appearance of SCVs for ultrathin and thin films of  $\text{Mn}_3\text{O}_4(001)$  depending on film thickness. The structures of films grown by molecular beam epitaxy have been investigated using scanning tunneling microscopy (STM), low-energy electron diffraction (LEED), and near-edge x-ray absorption fine structure spectroscopy (NEXAFS). For coverage up to 4 (Mn ions)/(Ag atom) of  $\text{Mn}_3\text{O}_4$  and an annealing temperature of 640 K, a  $p(2 \times 1)$  reconstruction has been observed in STM and LEED. Using NEXAFS, the identity of the manganese oxide has been narrowed down to the spinel  $\text{Mn}_3\text{O}_4$  and its related vacancy compound  $\gamma\text{-Mn}_2\text{O}_3$ . Thus the  $p(2 \times 1)$  reconstruction corresponds to an  $\text{Mn}_3\text{O}_4(001)$  surface with  $\text{MnO}_2$  termination. For a lower annealing temperature of 450 K or thicker films [5 and 10 (Mn ions)/(Ag atom)], additional  $p(4 \times 2)$  and  $c(4 \times 4)$  reconstructions have been observed. These extra reconstructions stem from lateral shifts of top-sublayer ions induced by SCVs.

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## I. INTRODUCTION

Building up on the seminal investigation of NiO films on Ni(111) [1], ultrathin manganese oxide films in the single-layer regime have been grown and investigated on the substrates Pt(111) [2–5], Pd(001) [6–9], Rh(001) [10], Rh(111) [11], Au(111) [12], and Ag(001) [13–19]. In the thin film preparation of transition metal oxides, the oxidation states of metal ions depend on oxygen partial pressure, deposition rate and substrate temperature. Starting with preparation conditions for MnO, an increase in oxygen pressure leads to the formation of the next higher manganese (II,III) oxide,  $\text{Mn}_3\text{O}_4$ , with a mix of  $\text{Mn}^{2+}$  and  $\text{Mn}^{3+}$  ions. A further increase in oxygen pressure is expected to result in  $\alpha$ - and  $\gamma\text{-Mn}_2\text{O}_3$  with  $\text{Mn}^{3+}$  ions only. In addition to the dependence on oxygen pressure, one on deposition rate has also been reported. The formation of MnO is found for an oxygen pressure of  $2 \times 10^{-7}$  mbar and deposition rates of 2.2 and 1 Å/min [13,16,20], as well as for  $2 \times 10^{-8}$  mbar and 2.2 Å/min [17]. However, for an oxygen pressure of  $2 \times 10^{-8}$  mbar and a deposition rate of 0.4 Å/min,  $\text{Mn}_3\text{O}_4$  is formed [18,21]. The formation of the higher oxide at low oxygen pressure and deposition rate indicates kinetic rather than thermodynamic control over which oxide forms.

Our discussion of the structures observed builds up on the structural relation of MnO,  $\text{Mn}_3\text{O}_4$ , and  $\gamma\text{-Mn}_2\text{O}_3$ . Their

common structural element is an fcc lattice of oxygen ions. Positions and oxidation states of the manganese ions represent the major differences. In the rock-salt structure of MnO, the  $\text{Mn}^{2+}$  ions are octahedrally coordinated to six oxygen ions [22]. This leads to the characteristic structural feature that there is only one sort of atomic sublayer in the (001) plane with a one-to-one ratio of manganese and oxygen ions.

The spinel structure of  $\text{Mn}_3\text{O}_4$  (hausmannite) is obtained from the rock-salt structure of MnO by the removal of two out of four octahedral manganese ions and the addition of one tetrahedral manganese ion in an extra sublayer. This leads to substantial changes in lattice parameters ( $a = 576.5$  pm,  $c = 944.2$  pm) and atomic distances [Figs. 1(a) and 1(b)]. The structure can be represented by a stack of eight sublayers [Fig. 1(d)] and a Jahn-Teller distortion in  $c$  direction [23,24]. All its bulk terminated (001) surfaces are polar, which makes a study of its growth and surface reconstructions an interesting topic.

The third manganese oxide,  $\gamma\text{-Mn}_2\text{O}_3$ , is obtained from  $\text{Mn}_3\text{O}_4$  by the creation of additional cation vacancies. Investigations in the late 1950s arrived at controversial results with tetrahedral vacancies by Goodenough *et al.* [25] and octahedral vacancies by Sinha *et al.* [26]). A detailed x-ray diffraction study confirmed tetrahedral vacancies for  $\text{Mn}^{3+}/\text{Mn}^{2+}$  ratios below 2.4 and both vacancies for ratios above 2.4 [27]. For the related iron oxides,  $\text{Fe}_3\text{O}_4$  and  $\gamma\text{-Fe}_2\text{O}_3$ , octahedral vacancies have been observed [28,29]. Despite the creation of vacancies, differences in structural parameters of  $\text{Mn}_3\text{O}_4$  and  $\gamma\text{-Mn}_2\text{O}_3$  remain small. On the  $\text{Fe}_3\text{O}_4(001)$  surface, the vacancies induce a  $c(2 \times 2)$  reconstruction, where surface layer metal ions relax toward tetrahedral interstitial sites [30].

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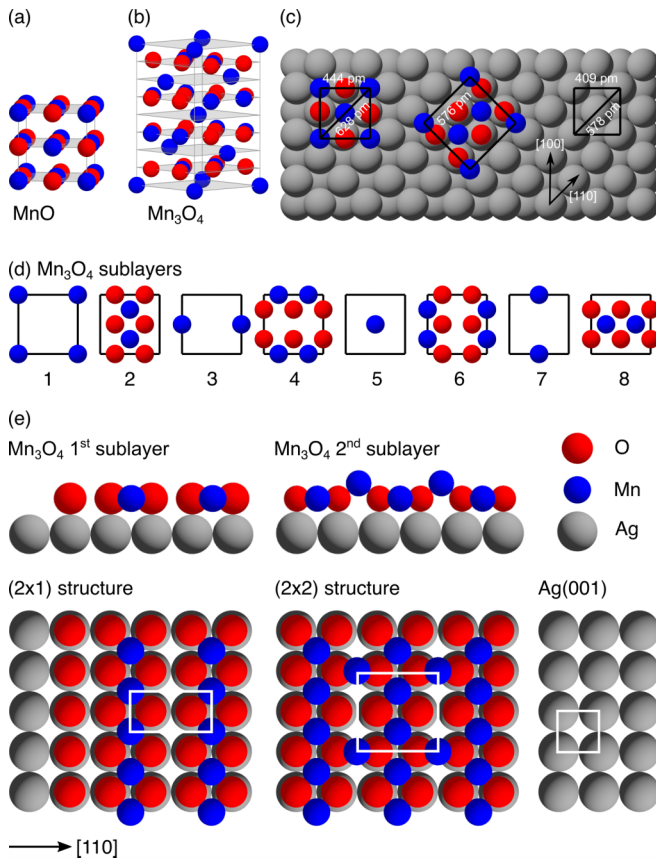


FIG. 1. Hard sphere models of unit cells of (a) rock-salt MnO and (b) spinel Mn<sub>3</sub>O<sub>4</sub>. (c) Lattice fittings for MnO(001)/Ag(001) (lattice mismatch 8.6%) and 45° rotated Mn<sub>3</sub>O<sub>4</sub>/Ag(001) (lattice mismatch 0.3%), (d) eight sublayers of the Mn<sub>3</sub>O<sub>4</sub> unit cell, and (e) film growth of Mn<sub>3</sub>O<sub>4</sub> on Ag(001) for the first and second sublayers. Oxygen, manganese, and silver are represented in red, blue, and grey, respectively.

For Mn<sub>3</sub>O<sub>4</sub>, the growth of ultrathin films has been examined on SrTiO<sub>3</sub>(100) and (111) [31–35], MnO(001) [36], Si(100) [37,38], and Au(111) [12]. Regarding Mn<sub>3</sub>O<sub>4</sub> on Ag(001), there is only one report focusing on LEED and XPS [39], but no investigations of the microscopic real-space structure have been reported. In agreement with and close reference to a preliminary version of this publication [40], they report an apparent  $p(2 \times 2)$  reconstruction, which actually is a superposition of a  $c(2 \times 2)$  reconstruction and twofold domains of a  $p(2 \times 1)$  reconstruction. In addition, they report a  $p(2 \times 5)$  reconstruction for films grown with the substrate at 473 K [39].

The Mn<sub>3</sub>O<sub>4</sub> films grow with their in-plane  $\langle 100 \rangle$  directions parallel to the in-plane  $\langle 110 \rangle$  directions of the underlying Ag(001) lattice. This rotation of the Mn<sub>3</sub>O<sub>4</sub> unit cell by 45° yields a  $(2 \times 2)$  LEED pattern with respect to the Ag(001) substrate exactly as found for Mn<sub>3</sub>O<sub>4</sub>(001) on MnO(001) [36]. Such a 45° rotation has also been observed for other substrates, e.g., LiF, KCl, and NaI on MgO(001) [41] and NaCl on Ag(001) [42]. According to the “roles of lattice fitting in epitaxy” [41], a 45° rotated orientation is expected, if the ratio  $a_{\text{film}}/a_{\text{substrate}}$  of the lattice parameters is close to  $\sqrt{2}$ . For a 45° rotated growth of Mn<sub>3</sub>O<sub>4</sub>(001) on Ag(001)

( $a_{\text{Ag}} = 409$  pm,  $a_{\text{Mn}_3\text{O}_4} = 576$  pm [23]) the mismatch of 0.3% becomes negligible (Fig. 1). Consequently, one can expect a perfect film structure if growing Mn<sub>3</sub>O<sub>4</sub>(001) films on Ag(001) contrary to MnO(001) films on Ag(001). Figure 1(e) shows the expected arrangement of ions in the first and second sublayers of Mn<sub>3</sub>O<sub>4</sub>(001) on Ag(001). Please note that we assume the mixed sublayer of Mn and O at the interface simply because this sublayer is closer packed than the Mn sublayer and therefore fits better to the close packed top layer of the Ag substrate.

Ultrathin and thin manganese oxide films have been prepared on a Ag(001) substrate by means of reactive Mn deposition in O<sub>2</sub> atmosphere [43]. From NEXAFS spectra, chemical phase identities and lattice orientations were determined. Film morphologies, island shapes, and reconstructions on island surfaces were studied with STM and LEED, starting from initial islands up to a coverage of 3 nm of Mn<sub>3</sub>O<sub>4</sub>, which corresponds to 10 Mn ions per Ag atom of the substrate surface. This has enabled us to further elaborate the surface reconstructions and relate them to subsurface cation vacancies known from Fe<sub>3</sub>O<sub>4</sub> films [30] and bulk manganese oxides [25,26].

## II. EXPERIMENTAL

Experiments have been performed using three ultra-high vacuum (UHV) systems. The first one is equipped with an STM, spot profile analysis (SPA)-LEED optics, and a cylindrical mirror analyzer (CMA) for Auger electron spectroscopy (AES). All presented LEED patterns have been recorded as 2D scans with commercial SPA-LEED optics from Omicron as described previously [44,45]. Please note that the 2D LEED patterns recorded with these optics contain the (0 0) spot. The second system contains conventional LEED optics and a low-temperature STM, operating at 100 K. The base pressures of both chambers were in the low  $10^{-10}$  mbar range. In addition, a third UHV chamber was used for NEXAFS studies at the beamline UE56-2 PGM-2 (energy range: 100-1000 eV) at the synchrotron radiation facility BESSY II [46]. NEXAFS spectra have been recorded in total electron yield mode with linear polarization of the x rays and an energy resolution below 100 meV. They have been normalized to the incident x-ray flux using the photocurrent from the last refocusing mirror of the beamline.

The Ag(001) crystals (miscut  $<0.2^\circ$ ) were cleaned by cycles of Ar<sup>+</sup> ion sputtering (600 V,  $2\mu\text{A}$ ) at room temperature and subsequent heating at 630 K until they showed a clean, defect-free surface in STM and sharp spots in the LEED pattern. Metallic manganese was evaporated from Ta crucibles heated by electron bombardment. Deposition rates were calibrated by means of a quartz microbalance, AES, and STM and controlled by monitoring the flux of Mn ions as reported previously [4]. Deposition rates will be given in (Mn ions)/(Ag substrate atom  $\times$  min), because the ion flux is the quantity under direct experimental control, whereas the commonly used film thickness in Å or monolayers depend on the structure of the film. For MnO films a nearly layer-by-layer pseudomorphic growth is obtained with a 1:1 ratio of Mn ions to Ag substrate atoms. Assuming bulk structure and neglecting relaxations at the interface and in the film, one

monolayer corresponds to a film thickness of half of the lattice constant  $a$  of the unit cell, i.e., 222 pm [22].

$\text{Mn}_3\text{O}_4$  is more difficult, because the structure presents two types of sublayers with different amounts of Mn ions [23]. The  $\text{MnO}_2$  sublayer has a 0.5:1 ratio of Mn ions to Ag substrate atoms, the Mn sublayer a ratio of 0.25:1. The combination of these two sublayers results in the formula unit  $\text{Mn}_3\text{O}_4$  and has a ratio of Mn ions to Ag substrate atoms of 0.75:1. In some context, the concept of a double sublayer is convenient. Assuming bulk structure, such a double sublayer corresponds to a quarter of the lattice constant  $c$  of the unit cell, i.e., 236 pm. An odd number of sublayers requires the thickness of either of the two types of sublayers and the thickness depends on the termination. Specifying the number of sublayers avoids these problems and yields a better idea of the geometric structure than a thickness in angstroms or nanometers.

For the same flux of Mn ions a film thickness given in angstroms or nanometers depends strongly on the structure. For example, 3 (Mn ions)/(Ag atom) yield 3 sublayers (0.67 nm) of MnO, but 8 sublayers (0.94 nm) of  $\text{Mn}_3\text{O}_4$ . Therefore we prefer to specify the number of metal ions per  $(1 \times 1)$  unit cell of the substrate, in short Mn/Ag. It also avoids the recalculation of the thickness after a revision of the structure. For large amounts, the conversion from number of Mn/Ag to thickness of an  $\text{Mn}_3\text{O}_4$  film in pm can be approximated by a factor of 314.7.

The deposition was performed at sample temperatures of 450 K or room temperature, 295 K. The local film morphology was characterized by STM in constant current mode, the spatially averaged phase symmetry by LEED. The equivalence of films obtained in different UHV systems was ensured using LEED.

### III. RESULTS

#### A. NEXAFS of MnO and $\text{Mn}_3\text{O}_4$

In the following, LEED and NEXAFS are used to identify the films prepared at different oxygen partial pressures. For  $\text{MnO}(001)$  films on  $\text{Ag}(001)$ , 4 Mn/Ag have been deposited by reactive evaporation in  $5 \times 10^{-8}$  mbar  $\text{O}_2$  with the  $\text{Ag}(001)$  substrate at room temperature. Annealing the film to approximately 800 K results in brilliant and sharp LEED spots showing the  $(1 \times 1)$  pattern of  $\text{MnO}(001)$  as reported previously [13,17,20]. Assuming layer-by-layer growth of MnO, the film has a thickness of four layers. Neglecting the vertical expansion due to the lateral compression, four layers correspond to a film thickness of 0.89 nm.

NEXAFS spectra at the oxygen  $K$  absorption edge of the MnO film on  $\text{Ag}(001)$  are depicted in Fig. 2(a). The spectra agree with published spectra of MnO films grown on  $\text{Ag}(001)$  [15] and are characteristic for  $\text{MnO}(001)$  [47]. They show only a minor linear dichroism for normal ( $0^\circ$ ) and grazing light incidence ( $70^\circ$  off-normal). This NEXAFS dichroism indicates small deviations from a cubic bulk-like structure of MnO (Fig. 1). In the bulk, the octahedral coordination of Mn ions to six O ions is an isotropic environment and the spectra do not depend on the angle of light incidence or polarization. At the surface, the reduced coordination of Mn ions in the top sublayer can cause the dichroism as observed in the spectra.

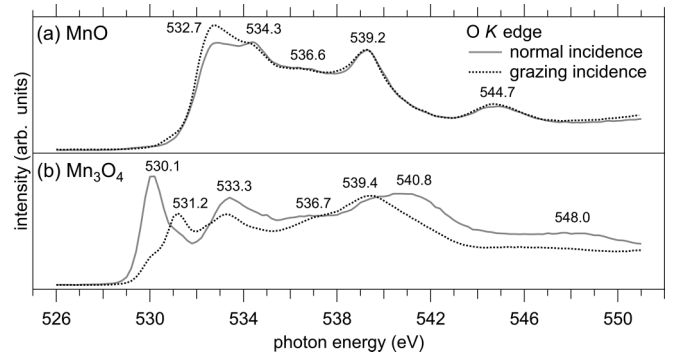


FIG. 2. NEXAFS spectra of (a) MnO, 4 Mn/Ag and (b)  $\text{Mn}_3\text{O}_4$ , 20 Mn/Ag on  $\text{Ag}(001)$  for normal and grazing x-ray incidence (gray and black dashed, respectively). For details of preparation conditions and the discussion see text section A.

On  $\text{Ag}(001)$ , ultrathin MnO films grow strained because of the lattice mismatch between Ag and MnO. With a thickness of four layers the film is not yet relaxed to the bulk structure, but exhibits a lateral compression and a corresponding vertical expansion [20]. The resulting reduction in symmetry from cubic to tetragonal can also contribute to the small linear dichroism in Fig. 2(a) at 532.7 eV.

The onset of the absorption edge can be assigned to transitions from oxygen  $1s$  core states to  $2p$  states hybridized with manganese  $3d$  orbitals. Therefore the oxidation state of Mn plays an important role [48]. A  $d^5$  configuration ( $\text{Mn}^{2+}$ ) with octahedral symmetry leads to two unoccupied manganese  $3d$  orbitals. The first two peaks at the onset of the absorption edge can thus be assigned to spin-down  $t_{2g} \downarrow$  (532.7 eV) and  $e_g \downarrow$  (534.3 eV) states. Their splitting of 1.6 eV agrees well with a calculated molecular orbital splitting of 1.4 eV [49].

To obtain the oxygen richer  $\text{Mn}_3\text{O}_4$  films, the  $\text{O}_2$  pressure during Mn evaporation was increased systematically. After growth in an  $\text{O}_2$  pressure of  $5 \times 10^{-7}$  mbar, LEED pattern and NEXAFS spectra change. The LEED spots become sharp and brilliant again. Depending on coverage, a  $p(2 \times 1)$  or a  $p(2 \times 2)$  reconstruction relative to the  $\text{Ag}(001)$  substrate develops. These reconstructions correspond to a pseudomorphic  $\text{Mn}_3\text{O}_4$  film rotated by  $45^\circ$  around the surface normal. The rotation by  $45^\circ$  is visualized schematically in Fig. 1(c). Proposed structures of the  $p(2 \times 1)$  and  $p(2 \times 2)$  reconstructions are presented in Fig. 1(e).

Figure 2(b) shows NEXAFS spectra at the O  $K$  edge for an  $\text{Mn}_3\text{O}_4(001)$  film on  $\text{Ag}(001)$ . For this film, 20 Mn/Ag have been deposited. Neglecting the presence of cation vacancies, this yields an average thickness of 6.4 nm and corresponds to 54 sublayers. The NEXAFS spectra show seven resonances at 530.1, 531.2, 533.3, 536.7, 539.4, 540.8, and 548.0 eV. The energies of all resonances agree well with those in electron energy loss fine structure and NEXAFS spectra of bulk  $\text{Mn}_3\text{O}_4$  [48,50–53]. The presence of  $\alpha$ - $\text{Mn}_2\text{O}_3$  can clearly be ruled out, because its characteristic resonance at 542 eV is absent in Fig. 2(b). Instead, the two resonances at 539.4 and 540.8 eV and the one at 548 eV are characteristic for  $\text{Mn}_3\text{O}_4$ , but absent in  $\alpha$ - $\text{Mn}_2\text{O}_3$ . However, the spectra of  $\gamma$ - $\text{Mn}_2\text{O}_3$  and  $\text{Mn}_3\text{O}_4$  are very similar and can hardly be distinguished [54]. This similarity originates from nearly identical geometric struc-



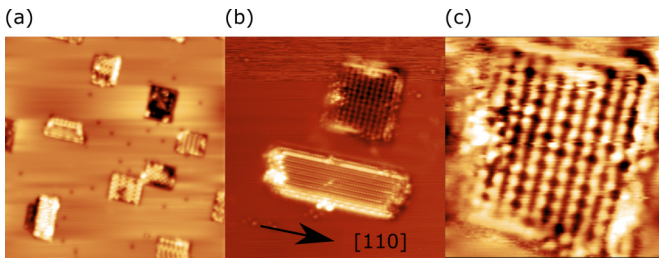


FIG. 3. STM images of an ultrathin  $\text{Mn}_3\text{O}_4$  film on  $\text{Ag}(001)$ . Mn coverage:  $0.3 \text{ Mn/Ag}$ . (a)  $(50 \times 50 \text{ nm}^2, +1.5 \text{ V}, 0.1 \text{ nA})$ , (b)  $(20 \times 20 \text{ nm}^2, -0.2 \text{ V}, 0.7 \text{ nA})$ , (c) detail of the upper island in (b) with increased contrast  $(7 \times 7 \text{ nm}^2, -0.2 \text{ V}, 0.7 \text{ nA})$ .

tures with marginal differences in bond lengths and angles. The two oxides differ only in the occupation of octahedral and tetrahedral sites by Mn ions. Therefore  $\gamma\text{-Mn}_2\text{O}_3$  can be considered a vacancy structure of  $\text{Mn}_3\text{O}_4$ . In the subsequent discussion we will refer to  $\text{Mn}_3\text{O}_4$  whereby including  $\gamma\text{-Mn}_2\text{O}_3$ .

Compared to the NEXAFS spectra of  $\text{MnO}$ , the absorption onset in the  $\text{Mn}_3\text{O}_4$  spectra is shifted characteristically to a lower photon energy. This shift has been attributed to the partial occupation of the spin-up  $3e_g \uparrow$  states [48,49]. Contrary to  $\text{MnO}$ , the NEXAFS spectra of the  $\text{Mn}_3\text{O}_4$  film strongly depend on the angle of light incidence, i.e., there is a pronounced linear dichroism. This sheds some doubt on the current peak assignment to  $3e_g$  and  $2t_{2g}$  states [48,49], because the dichroism requires lifting the degeneracy of  $e_g$  states for octahedral  $\text{Mn}^{3+}$  ions. However, this discussion is beyond the scope of this publication.

Independent of the details of peak assignment, the pronounced linear dichroism itself supports our epitaxy considerations from above: The tetragonal unit cell [Figs. 1(b) and 1(c)] of the bulk structure of  $\text{Mn}_3\text{O}_4$  and the small mismatch between the substrate lattice and the two  $a$  axes of  $\text{Mn}_3\text{O}_4$  suggest that the  $c$  axis is aligned to the surface normal. The combination of such an alignment and the Jahn-Teller distortion in the direction of the  $c$ -axis, in particular the longer distance between octahedral  $\text{Mn}^{3+}$  and axial  $\text{O}^{2-}$  ions, imply such a NEXAFS linear dichroism in the energy range close to the  $\text{O } K$  edge, where empty  $\text{Mn } 3d$  states are involved.

### B. Initial stages of island growth

The initial stages of film growth for  $\text{Mn}_3\text{O}_4$  on  $\text{Ag}(001)$  have been characterized by STM. An amount of  $0.3 \text{ Mn/Ag}$  has been deposited at a substrate temperature of  $450 \text{ K}$  in  $2 \times 10^{-6} \text{ mbar}$  oxygen within a deposition time of 4 minutes. This equates to a deposition rate of  $0.075 \text{ Mn/Ag min}^{-1}$ . Without further annealing, separate  $\text{Mn}_3\text{O}_4$  islands are formed on the  $\text{Ag}$  substrate (Fig. 3). Taking into account the area ratio of islands and bare  $\text{Ag}$ , the average island height becomes  $0.71 \text{ nm}$ , which corresponds to 6 sublayers. Their apparent height is considerably smaller with some island surfaces even below the surrounding  $\text{Ag}(001)$  surface. As found with  $\text{NiO}$  and  $\text{CoO}$  films on  $\text{Ag}(001)$  [55,56], the islands must be immersed into the  $\text{Ag}$  surface. This immersion results from the high degree of surface diffusion of  $\text{Ag}$ , the low surface energy of the  $\text{Ag}$  surface, and the high energies of island edges.

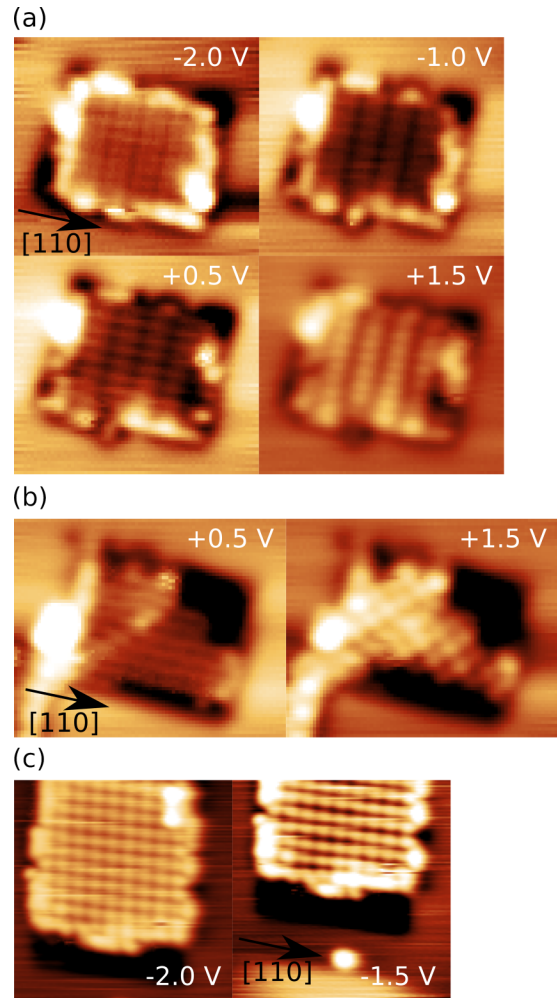


FIG. 4. STM images of three  $\text{Mn}_3\text{O}_4$  islands for different tunneling voltages. Mn coverage:  $0.3 \text{ Mn/Ag}$ . (a)  $9 \times 8 \text{ nm}^2, 0.1 \text{ nA}$ , (b)  $10 \times 8 \text{ nm}^2, 0.1 \text{ nA}$ , and (c)  $7 \times 7 \text{ nm}^2, 0.1 \text{ nA}$ .

Most islands have a square shape and exhibit either  $p(4 \times 2)$  or  $c(4 \times 4)$  reconstructions. Based on atomically resolved STM images of the plain  $\text{Ag}(001)$  surface (not shown here), the island edges run along  $\langle 110 \rangle$  directions of the  $\text{Ag}(001)$  substrate, again similar to  $\text{NiO}$  and  $\text{CoO}$  films on  $\text{Ag}(001)$  [55]. Both reconstructions can be derived from a  $p(2 \times 2)$  superstructure by forming alternating long and short distances with bright protrusions and prominent dark depressions most evident in Fig. 3(c).

A second type of islands is rectangularly shaped with a well-resolved striped atomic pattern aligned along  $\langle 110 \rangle$  directions of the  $\text{Ag}(001)$  substrate as shown in the bottom part of Fig. 3(b). The island edges parallel to the stripes are long and smooth while those in perpendicular direction are short, tilted by  $30^\circ$ , and rough. The atomic pattern exhibit  $p(2 \times 1)$  reconstructions with respect to the  $\text{Ag}$  substrate. These islands as well as some with increased apparent height without resolved structure appear less frequent than the square ones.

Figure 4 shows STM images of three square islands for different tunneling voltages. For all four voltages [Fig. 4(a)] the first island shows a  $p(4 \times 2)$  reconstruction with the  $\times 4$  periodicity along  $[110]$  and the  $\times 2$  periodicity along  $[1\bar{1}0]$ . At

$-2.0$  V the protrusions along  $[110]$  are not equally spaced, but with long and short separations. This characterizes the transition from  $p(2 \times 2)$  to  $p(4 \times 2)$ . For the other three voltages, the short separation is no longer resolved and the two close protrusions merge to one. At  $-1.0$  and  $+0.5$  V, the elongation along  $[110]$  is still obvious, but at  $+1.5$  V it vanishes whereas the contrast is enhanced. This implies that the tunneling at  $+1.5$  V involves different states. A similar change in STM images has also been observed for  $\text{Fe}_3\text{O}_4$  films [30].

The second type of islands [Fig. 4(b)] presents lines along  $[110]$  at  $+0.5$  V, but zig-zag lines at  $+1.5$  V, which correspond to a  $c(4 \times 4)$  reconstruction. At  $+1.5$  V the contrast of the island is enhanced in the same way as with the island of Fig. 4(a), irrespective of the difference in surface reconstruction, i.e.,  $p(4 \times 2)$  versus  $c(4 \times 4)$ . The common contrast enhancement indicates the presence of the same states in both reconstructions.

The island in Fig. 4(c) resembles the island shown in Fig. 3(c). Interestingly, the strong contrast with dark depressions, which are characteristic for the  $p(4 \times 2)$  and  $c(4 \times 4)$  reconstructions, is present only at  $-1.5$  V. At this voltage, the image shows alternating separations along  $[1\bar{1}0]$  with a phase shift between the right and left part of the island, whereas at  $-2.0$  V the reconstruction resembles a  $p(2 \times 2)$  superstructure. Unfortunately, the resolution shown is not always obtained. Therefore the image differences may not originate from different electronic structures of the islands but also from a changed tip state.

In summary, we have found islands with just one of the two surface reconstructions [ $p(4 \times 2)$  and  $c(4 \times 4)$ ] as well as islands with a mixture of both. The reported  $p(2 \times 5)$  reconstruction [39] has not been observed in our investigations. We attribute this to a complex reconstruction landscape, which spans over deposition rates, oxygen partial pressure, substrate temperature and maybe even treatment history of the substrate. The imaging of these reconstructions via STM is a difficult task, because of the strong dependence on tunneling voltage as well as state of the tunneling tip.

For the interpretation of the STM images, we start with a hard sphere model of the bulk  $\text{Mn}_3\text{O}_4(001)$  unit cell as depicted in Fig. 1(b). The bulk structure of  $\text{Mn}_3\text{O}_4(001)$  is composed of a sequence of two types of sublayers, both with a square unit cell [Fig. 1(d)]. One type consists of a single manganese ion (odd sublayers). The other type contains oxygen and manganese ions with a formal  $\text{Mn}_2\text{O}_4$  composition [even sublayers in Fig. 1(d)]. For brevity, we will call this sublayer  $\text{MnO}_2$  sublayer. These sublayers are stacked alternately whereby consecutive sublayers of the same type are laterally displaced by  $a/2$  and rotated by  $90^\circ$ . The stack of eight sublayers (four of each type) form the complete unit cell with an overall height of  $c = 944.2$  pm [23].

The interface between film and substrate can have two different terminations. The even  $\text{MnO}_2$  sublayer matches the close packed  $\text{Ag}(001)$  surface much better than the rather open odd sublayer with just a single Mn ion. The arrangement of ions with the even sublayer at the interface is shown in Fig. 1(e). The square unit cell of  $\text{Mn}_3\text{O}_4$  is arranged along  $\langle 110 \rangle$  directions of the  $\text{Ag}(001)$  substrate. This orientation leads to a nearly perfect match of the  $\text{Mn}_3\text{O}_4(001)$  and  $\text{Ag}(001)$  lattices. So far, we have neither experimental nor

theoretical evidence for the registry shift of the two lattices at the interface, i.e., the location of atoms and ions at the interface. In analogy to what was found for  $\text{CoO}$  on  $\text{Ag}(001)$  [57], we favor O ions on top of Ag atoms and Mn ions residing in fourfold hollow sites. On top of this mixed  $\text{MnO}_2$  interface sublayer, the manganese ions of the second sublayer are in bridge positions above two oxygen ions. Within this scheme, the Mn ions in the mixed  $\text{MnO}_2$  sublayer correspond to octahedrally coordinated Mn in the bulk and form a  $p(2 \times 1)$  superstructure. The Mn ions of the Mn only sublayer correspond to tetrahedrally coordinated Mn ions in the bulk and form a  $p(2 \times 2)$  superstructure. Please note that both reconstructions are given with respect to the unit cell of the  $\text{Ag}(001)$  substrate surface.

The rectangular island in the bottom part of Fig. 3(b) has characteristic rows of a  $p(2 \times 1)$  reconstruction running along the  $[110]$  direction of the Ag substrate. The observed arrangement is identical to the  $p(2 \times 1)$  reconstruction of an even  $\text{MnO}_2$  sublayer. This structure is supported by a theoretical investigation, which predicts that the energy of the  $\text{MnO}_2$  termination is significantly lower than the single Mn ion termination [36].

The square island of Fig. 3(c) exhibits protrusions, arranged approximately in a  $p(2 \times 2)$  pattern. Again, small lateral shifts create  $p(4 \times 2)$  and  $c(4 \times 4)$  reconstructions, most obvious when looking at the large dark depressions. We attribute these reconstructions to the presence of subsurface cation vacancies (SCVs) as found on the surface of  $\text{Fe}_3\text{O}_4$  [30]. Please note, that the  $c(2 \times 2)$  reconstruction reported in Ref. [30] refers to  $\text{Fe}_3\text{O}_4$  and is equivalent to our  $c(4 \times 4)$  reconstruction being referred to the  $\text{Ag}(001)$  substrate.

The concept of surface cation vacancies (SCVs) provides a simple explanation for the reconstructions observed with ultrathin  $\text{Mn}_3\text{O}_4$  films. Figure 5 presents hard sphere models of the three outermost sublayers of  $\text{Mn}_3\text{O}_4$  on  $\text{Ag}(001)$ . The three panels on the left, Fig. 5(a), present the model without SCVs. It is build up from three unmodified sublayers as shown in Fig. 1. The bottom panel starts with an  $\text{MnO}_2$  sublayer with octahedral Mn ions (blue spheres). In the center panel the second outermost sublayer with tetrahedral Mn ions (turquoise spheres) is added. The top panel shows all three sublayers including a surface termination with an  $\text{MnO}_2$  sublayer. The Mn and O ions of the top sublayer form the  $p(2 \times 1)$  reconstruction as indicated by the black rectangle in the top panel, whereby neglecting ions in the second and third outermost sublayers. Presumably, this  $p(2 \times 1)$  reconstruction is present in the lower island of Fig. 3(b).

The three panels on the right, Fig. 5(b), present the model with SCVs. We start with the third outermost sublayer in the bottom panel of Fig. 5(b). The removal of Mn ions from the sublayer along the  $\times 1$  periodicity can be done either with [upper part of the bottom panel in Fig. 5(b)] or without lateral offset between horizontal rows of Mn ions and vacancies (lower part of the bottom right panel). For two removed octahedral Mn ions (blue spheres) one interstitial tetrahedral Mn ion (turquoise spheres) is added in the second sublayer directly above the vacancy. These interstitial Mn ions implicate a reconstruction of the  $\text{MnO}_2$  terminated surface. With lateral offset, the alternating rows form a  $c(4 \times 4)$  reconstruction as can be seen in the center panel of Fig. 5(b) (upper part).

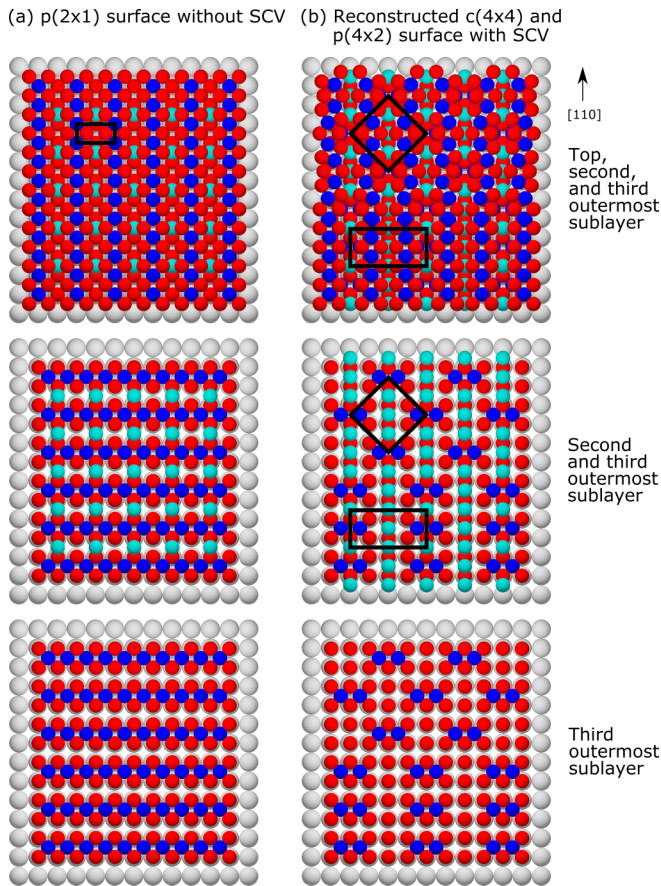


FIG. 5. Arrangements for the three outermost sublayers of  $\text{Mn}_3\text{O}_4$  on  $\text{Ag}(001)$  for (a) the unreconstructed surface and (b) the reconstructed surface with subsurface cation vacancies. Hard sphere model with Ag (grey), O (red), octahedrally coordinated Mn (blue), and tetrahedrally coordinated Mn (turquoise). The unit cells of the surface reconstructions are marked in black.

Without offset, the rows of the SCVs are nonalternating and lead to a  $p(4 \times 2)$  reconstruction, as indicated by the black rectangle in the lower part of the center panel.

In the top panel of Fig. 5(b), the top  $\text{MnO}_2$  sublayer is added. The SCVs in the third outermost sublayer and the additional Mn ions in the second outermost sublayer lead to small lateral shifts of the Mn and O ions in the top layer. The structure shown is only a tentative model for illustration. The  $c(4 \times 4)$  and  $p(4 \times 2)$  reconstructions resemble the structures imaged in the lower part of Fig. 3(c) and the island in Fig. 6(c). The  $p(4 \times 2)$  reconstruction becomes particularly obvious by the alternating intensities of the dark sites between the bright protrusions.

The presence of SCVs is supported by the fact that their creation mechanism, namely the removal of two octahedral Mn ions, the addition of one tetrahedral Mn ion, and the diffusion of one Mn ion to the surface, represents the structural transition between the bulk phases of  $\text{MnO}$  and  $\text{Mn}_3\text{O}_4$ , and probably also between  $\text{Mn}_3\text{O}_4$  and  $\gamma\text{-Mn}_2\text{O}_3$ . Thus we interpret the appearance of SCVs as the beginning of this transition from  $\text{Mn}_3\text{O}_4$  to  $\gamma\text{-Mn}_2\text{O}_3$  in the close proximity of the surface. Contrary to  $\text{Fe}_3\text{O}_4$ , the Jahn-Teller effect in  $\text{Mn}_3\text{O}_4$  makes the equatorial and axial bond lengths of the

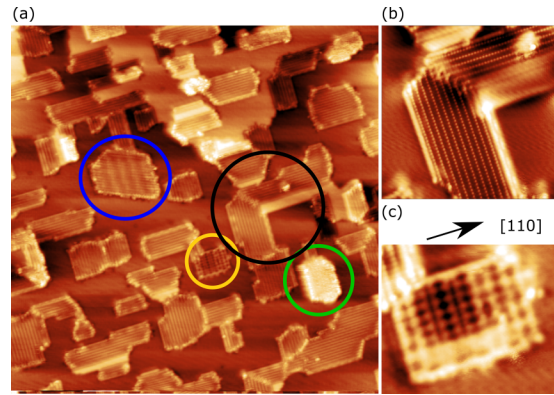


FIG. 6. STM images of an ultrathin  $\text{Mn}_3\text{O}_4$  film on  $\text{Ag}(001)$ . Mn coverage: 1 Mn/Ag. (a) ( $60 \times 60 \text{ nm}^2$ , +1.3 V, 0.2 nA), (b) close up of the island marked in (a) with a black circle ( $15 \times 15 \text{ nm}^2$ , +0.9 V, 0.2 nA), (c) close up of the island marked in (a) with a yellow circle ( $7 \times 6 \text{ nm}^2$ , +1.0 V, 0.2 nA).

octahedral site quite different. The short equatorial bond lengths (193.0 pm) imply strong bonds; the long axial bond lengths (228.2 pm) weak bonds [23]. The weak axial bonds as well as the fact, that the oxygen lattice is not as close packed as in  $\text{Fe}_3\text{O}_4$ , may facilitate the transitions from  $\text{MnO}$  to  $\text{Mn}_3\text{O}_4$  and  $\gamma\text{-Mn}_2\text{O}_3$ , because Mn ions can diffuse more readily within the lattice, in particular between octahedral and tetrahedral sites.

As mentioned in the introduction, the structural differences between  $\text{Mn}_3\text{O}_4$  and  $\gamma\text{-Mn}_2\text{O}_3$  do not yield major differences neither in NEXAFS spectra nor in diffraction patterns. On surfaces, however, SCVs are accompanied by reconstructions, which offers a better chance to monitor them by STM investigations.

### C. Islands at a coverage of 1 Mn ion per Ag atom

In the following, the film growth for increased amounts of manganese will be discussed. The amount of 1 Mn/Ag has been deposited at room temperature in  $2 \times 10^{-6}$  mbar oxygen within a deposition time of 50 minutes. This equates to a low deposition rate of  $0.02 \text{ Mn/Ag min}^{-1}$ . Assuming bulk structure of  $\text{Mn}_3\text{O}_4$  results in 6.3 pm/min. After deposition, the sample was annealed to 640 K in UHV.

Assuming an  $\text{MnO}_2$  sublayer at the interface and layer by layer growth, 1 Mn/Ag corresponds to 21 2 sublayers, the  $\text{MnO}_2$  sublayer at the interface, one Mn sublayer and half of an  $\text{MnO}_2$  sublayer. However, the STM image in Fig. 6 shows four different kinds of  $\text{Mn}_3\text{O}_4$  islands, which do not cover the complete substrate surface. The bare Ag surface is still present between the islands. Taking into account the area ratio between islands and bare Ag, the average island height corresponds to 6 sublayers. Thus the island height is comparable to the one for the islands in Fig. 3, although the total amount of manganese on the substrate is more than tripled. Over 80% of the islands in Fig. 6(a) have a  $p(2 \times 1)$  reconstruction as is better seen for the island marked with a black circle in Fig. 6(a) and in the enlarged image in Fig. 6(b).

The other three island types are  $p(2 \times 1)$  islands rotated by  $20^\circ$  [blue circle in Fig. 6(a)], islands with an increased



TABLE I. Summary of preparation conditions and resulting superstructures. All preparations:  $\text{O}_2$  partial pressure during deposition:  $2 \times 10^{-6}$  mbar; annealing: UHV conditions. Surface cation vacancies (SCVs) have been derived from and stand for the presence of  $p(4 \times 2)$  and  $c(4 \times 4)$  superstructures. In the calculation of the deposited amount of Mn ions given in sublayers, an interface layer of  $\text{MnO}_2$  is assumed. \*Incremental deposition onto previous preparation.

Deposited Amount of Mn Ions	Deposition Rate	Deposition Temperature	Annealing Temperature	Free Ag Surface	Island Height	Surface Reconstruction	
$\left(\frac{\text{Mn}}{\text{Ag}}\right)$	(sublayers)	$\left(\frac{\text{Mn}}{\text{Ag min}}\right)$	(K)	(K)	(%)	(sublayers)	
0.3	0.6	0.075	450	—	85	5	SCVs (few $2 \times 1$ )
1	2.5	0.02	295	640	50	5	$2 \times 1$ (few SCVs)
2	5	0.08	295	640	30	7	$2 \times 1$
4 ( $2+2$ )*	10.5	0.08	295	640	0	10.5	$2 \times 1$
5 ( $2+2+1$ )*	13	0.08	295	640	0	13	$2 \times 1$ + SCVs
10 ( $2+2+1+5$ )*	26.5	0.08	295	740	0	26.5	$2 \times 1$ + SCVs

apparent height without resolved surface structure [green circle in Fig. 6(a)] and nearly square islands aligned along  $\langle 110 \rangle$  directions [yellow circle in Fig. 6(a) and enlarged image in Fig. 6(c)]. On first glance, these islands exhibit a  $p(2 \times 2)$  reconstruction. However, along the  $[110]$  direction there are alternating long and short distances. This results in a  $p(4 \times 2)$  reconstruction with prominent dark depressions. The triangular shape of the bright protrusions indicates that the local coordination of Mn is different from the fourfold one in bulk. Assuming a stoichiometry of  $\text{MnO}_2$  for the surface sublayer, each protrusion corresponds to 2 Mn ions, which explains the elongated shape. The same observation has also been reported for the SCV-stabilized surface of  $\text{Fe}_3\text{O}_4$  [30].

These results show that different kinds of islands coexist over a wide range of preparation conditions whereby either rectangular  $p(2 \times 1)$  islands [Fig. 6(a)] or square  $p(4 \times 2)$  and  $c(4 \times 4)$  islands [Fig. 3(a)] are favored. Possible reasons for the differences are annealing temperature, deposition rate, and amount of material. In the low coverage regime a slow deposition with a subsequent UHV annealing to 640 K favors the  $p(2 \times 1)$  reconstruction without SCVs. For increased deposition rates and lower annealing temperatures, the three outermost sublayers are stabilized by subsurface cation vacancies as reported for  $\text{Fe}_3\text{O}_4$  [30]. This is indicated by the presence of  $p(4 \times 2)$  and  $c(4 \times 4)$  reconstructions. It should be pointed out that the termination sublayer for all observed islands is assumed as  $\text{MnO}_2$ . With an  $\text{MnO}_2$  sublayer at the interface, the minimal island height observed corresponds to 5 or 7 sublayers. This height is sufficient for the formation of SCVs with a reconstruction of the three outermost sublayers as shown in Fig. 5(b).

#### D. Coverages of 2 and 4 Mn ions per Ag atom

Figure 7 shows STM images, a LEED pattern, and sublayer schemes for depositions of 2 and 4 Mn/Ag with a rate of  $0.08 \text{ Mn/Ag min}^{-1}$  at room temperature in  $2 \times 10^{-6}$  mbar oxygen. After deposition, the films were annealed to 640 K to increase film quality. The 4 Mn/Ag film has been formed by incrementally depositing additional 2 Mn/Ag onto the film with 2 Mn/Ag under the same conditions and followed by UHV annealing.

Assuming an  $\text{MnO}_2$  sublayer at the interface and layer by layer growth a coverage of 2 Mn/Ag corresponds to five

sublayers. The STM image of this film in Fig. 7(a) shows  $\text{Mn}_3\text{O}_4$  islands with  $p(2 \times 1)$  stripes surrounded by flat Ag areas. The  $\text{Mn}_3\text{O}_4$  islands cover only 70% of the surface. Therefore the local island height corresponds to seven sublayers, i.e., close to one unit cell. Exactly as at low coverage, the  $p(2 \times 1)$  islands show rectangular shapes with long edges running along  $\langle 110 \rangle$  directions of the  $\text{Ag}(001)$  substrate (compare to Fig. 6). The same kind of islands is also observed rotated by  $90^\circ$ , in line with the fourfold symmetry of the  $\text{Ag}(001)$  substrate. Where such islands meet, they form domain boundaries along  $\langle 100 \rangle$  directions.

The  $p(4 \times 2)$  and  $c(4 \times 4)$  reconstructions, which are typical for SCV-reconstructed islands, have not been observed. Table I summarizes preparations and surface reconstructions. The lower the deposition rate, the more  $\text{Mn}^{3+}$  ions and SCVs are expected. However, the results show that a deposition rate of  $0.08 \text{ Mn/Ag min}^{-1}$  is low enough for the creation of SCVs and  $\gamma\text{-Mn}_2\text{O}_3$ . Increasing the oxygen partial pressure is

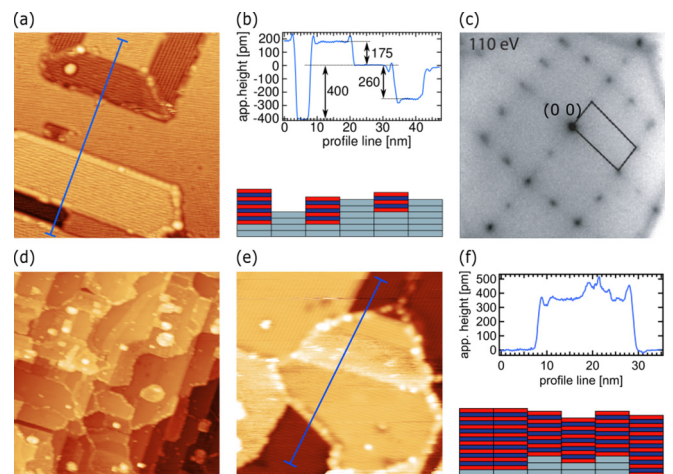


FIG. 7. Ultrathin  $\text{Mn}_3\text{O}_4$  films on  $\text{Ag}(001)$ . (a) Mn coverage: 2 Mn/Ag. STM image for  $37 \times 37 \text{ nm}^2$ , +2.0 V, 0.8 nA, (b) height profile along the blue line from the STM image (a) and sublayer scheme of the film, and (c) corresponding LEED pattern with a  $p(2 \times 1)$  unit cell. (d) Mn coverage: 4 Mn/Ag. STM image for  $120 \times 120 \text{ nm}^2$ , +1.3 V, 0.8 nA, (e) detailed STM image ( $35 \times 35 \text{ nm}^2$ , +1.5 V, 0.5 nA), and (f) height profile along the blue line from the STM image (e) and corresponding scheme with an average of 12 sublayers on a Ag substrate.

expected to favor  $\text{Mn}^{3+}$  ions and SCVs, but we kept it constant and did not further investigate this. The effect of deposition rate and annealing temperatures on the creation of SCVs could be in either direction. On one hand, higher temperatures favor the desorption of oxygen and the formation of  $\text{Mn}^{2+}$  ions. On the other hand, higher temperatures also favor the diffusion of Mn ions to the surface and the creation of SCVs as long as sufficient oxygen is available for the balance of  $\text{Mn}^{3+}$  ions. The build-up of the islands with large areas of free Ag surface indicates that the mobility of Mn ions is large even at 450 K. SCVs are mainly present at very low coverages and to some extent at high coverages. This indicates that the interface stabilizes SCVs. However, the data taken so far can only serve as a first step for a detailed understanding.

Different step heights can be found in the line profile of Fig. 7(b). A height of 400 pm corresponds to the Ag(001) unit cell ( $a_{\text{Ag}} = 409$  pm). The values of 260 and 175 pm are much smaller than the expected island height of about one  $\text{Mn}_3\text{O}_4$  unit cell (944.2 pm [23]). This indicates that the  $\text{Mn}_3\text{O}_4$  islands are embedded into the Ag substrate as illustrated schematically in Fig. 7(b). A direct assignment of island heights is not straight forward, because local island heights and/or depths of embedding influence the tunneling current, i.e., image brightness. For a detailed, quantitative analysis, the electronic structure of the  $\text{Mn}_3\text{O}_4$  islands needs to be known and taken into account, as has been shown for CoO on Ag(001) [58].

The LEED pattern of the film with a coverage of 2 Mn/Ag shown in Fig. 7(c) gives information about the integral structure of the sample. One can recognize a  $p(2 \times 1)$  reconstruction with two domains rotated by  $90^\circ$ . In agreement to the STM images, no additional spots have been found at any electron energy, specifically there are no  $(\frac{1}{2} \frac{1}{2})$  spots of a  $p(2 \times 2)$  reconstruction.

The LEED pattern of the film with a coverage of 4 Mn/Ag is identical to the one with 2 Mn/Ag. Also, the STM images for the 4 Mn/Ag film are similar with patches of  $90^\circ$  rotated  $p(2 \times 1)$  domains [Figs. 7(d) and 7(e)]. Because of the increased film thickness the Ag(001) substrate is now completely covered with  $\text{Mn}_3\text{O}_4$  and bare substrate areas are not observed anymore. The step heights in the line profile in Fig. 7(f) from the STM image in Fig. 7(e) are much smaller than the average island height of 10 sublayers, i.e., 1.2 nm. Presumably, they result from a combination of substrate steps and islands heights as illustrated schematically in Fig. 7(f). The step height of 370 pm between  $\text{Mn}_3\text{O}_4$  terraces is actually close to a double step height of the Ag(001) substrate (409 pm). In addition, patches without atomic resolution but increased heights are present. Presumably, the increased island heights of these patches are accompanied by changes in their electronic structure or different surface reconstructions.

### E. Coverages of 5 and 10 Mn ions per Ag atom

One additional Mn ion per Ag atom has been deposited onto the 4 Mn/Ag film at room temperature under the same preparation conditions as before. After subsequent annealing to 640 K one can distinguish four different structures in the STM image of Fig. 8(a) that coexist in close proximity. The

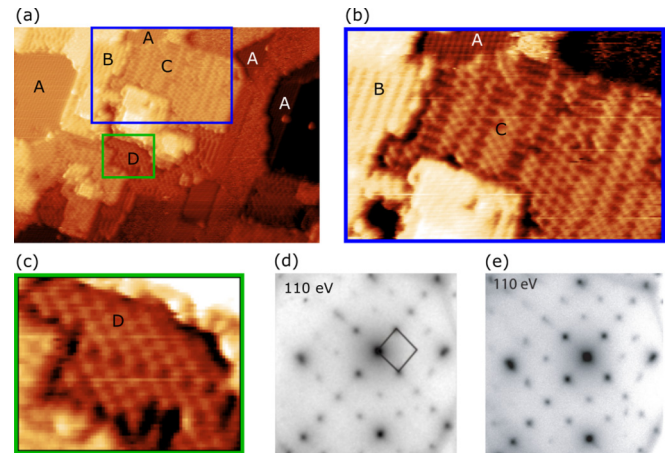


FIG. 8. (a) STM image of an  $\text{Mn}_3\text{O}_4$  film on Ag(001) (coverage: 5 Mn/Ag) ( $58 \times 47$  nm<sup>2</sup>, +2.0 V, 0.3 nA,  $\Delta z = 2$  nm). (b) and (c) STM details of (a), FFT filtered (blue  $25 \times 19$  nm<sup>2</sup>, green  $9 \times 8$  nm<sup>2</sup>). Capital letters mark different reconstructions at the surface as detailed in text section E. SPA-LEED patterns at 110 eV for (d) a film with 5 Mn/Ag after annealing to 640 K and (e) a film with 10 Mn/Ag after annealing to 740 K.

flat regions A show stripes with  $\times 2$  periodicity. We assign them to the  $p(2 \times 1)$  reconstruction with the  $\times 1$  periodicity unresolved. Region B has similar stripes, but with  $\times 4$  periodicity. Since a  $p(4 \times 2)$  reconstruction could be resolved in region C, we assign these stripes to a  $p(4 \times 1)$  reconstruction. Region C is a complex mix of  $p(4 \times 2)$  and  $c(4 \times 4)$  elements. The related region D shows two domains with  $c(4 \times 4)$ . As above, we propose that these reconstructions originate from SCVs. Small displacements of the  $\times 2$  lines in region D suggest that there also exists an SCV with a lateral displacement by just one sublattice unit [instead of two for the  $c(4 \times 4)$ ]. As for the film with a coverage of 4 Mn/Ag, there are still patches without atomic resolution next to ordered surface reconstructions.

Surprisingly, the corresponding LEED pattern [Fig. 8(d)] shows spots of a  $p(2 \times 2)$  reconstruction only (marked black unit cell), contrary to the  $p(2 \times 1)$ ,  $p(4 \times 2)$ , and  $c(4 \times 4)$  reconstructions found using STM. In particular, no  $\frac{1}{4}$  order spots were found in agreement with Kundu *et al.* [39]. There are a number of explanations for such puzzling findings. First, the large and intense halos around the peaks and the streaks along  $\langle 110 \rangle$  directions indicate considerable disorder. Second, the larger escape depth of electrons at 110 eV (compared with the 40 eV used in Ref. [39]) increases the diffraction contribution from  $p(2 \times 1)$  subsurface layers. Third, LEED I-V interferential effects from the atomic structure may extinguish a family of diffraction spots. Although  $p(4 \times 2)$  and  $c(4 \times 4)$  symmetry phases cannot exhibit glide planes, a similar extinction of spots originates from lateral shifts between domains by half a unit cell in case the domains are considerably smaller than the transfer length of the LEED optics. Finally, reconstructions might be present outside the region of the STM image. However, without further evidence, all remain quite speculative. Taking the  $p(2 \times 1)$  reconstruction of the films with 2 and 4 Mn/Ag (Fig. 7) as a starting point, we assign the apparent  $p(2 \times 2)$  reconstruction to a superpo-



sition of  $p(2 \times 1)$  and  $c(2 \times 2)$  reconstructions. Interestingly, a  $c(2 \times 2)$  reconstruction is not compatible with tetrahedral Mn ions in a simple way, i.e., its actual presence indicates the existence of tetrahedral cation vacancies.

Finally, another 5 Mn/Ag have been deposited on the film. The increased thickness of the film allows annealing up to 740 K without rupture of the film. The LEED pattern in Fig. 8(e) shows basically the same  $p(2 \times 2)$  superstructure of  $\text{Mn}_3\text{O}_4$  as Fig. 8(d). Probably, it stems from the same surface reconstructions. A more detailed comparison shows increased LEED spot intensities indicating a higher area fraction of well ordered  $\text{Mn}_3\text{O}_4$  islands as a result of the higher annealing temperature. Furthermore, the increase of the  $(\frac{1}{2} \frac{1}{2})$  spots intensities appears slightly stronger than that of the  $(1 \frac{1}{2})$  spots. This points to a higher fraction of the  $c(2 \times 2)$  reconstruction. Unfortunately, no well-resolved STM images could be obtained at this film thickness to approve or disapprove the presence of these reconstructions.

Based on these observations, the growth behavior of  $\text{Mn}_3\text{O}_4$  for a fixed annealing temperature of 640 K can be described as follows: Up to a coverage of 4 Mn/Ag the surface reconstructs with  $p(2 \times 1)$  islands indicating an  $\text{MnO}_2$  termination and no presence of SCVs. At a coverage of 5 Mn/Ag a mixture of different surface reconstructions gives evidence of the coexistence of domains with and without SCVs. At 10 Mn/Ag, the LEED pattern suggests similar reconstructions. However, no STM images could be obtained to confirm this. A similar growth mode has also been observed for the system  $\text{Fe}_3\text{O}_4$  on  $\text{Fe}(100)$  [59]. For a thickness below one unit cell only a  $p(2 \times 1)$  can be observed in the LEED pattern, while for thicker films SCVs stabilize the reconstructed surface.

The driving force for the structural transition in the  $\text{MnO}_2$  top sublayer is supposedly a decrease in surface energy. Together with expansion and perfection of the SCV reconstruction, the amount of polar-edged  $p(2 \times 1)$  islands decreases. Consequently, the densities of rotated  $p(2 \times 1)$  domains and domain walls are expected to decrease. This leads to a lower interface energy for the  $p(4 \times 2)$  and  $c(4 \times 4)$  reconstructions.

#### IV. CONCLUSIONS

The growth of ultrathin  $\text{Mn}_3\text{O}_4(001)$  films on  $\text{Ag}(001)$  has been investigated from initial stages up to coverages of

10 Mn/Ag by a combination of near-edge x-ray absorption fine structure spectroscopy, scanning tunneling microscopy, and low-energy electron diffraction. By means of NEXAFS the linear dichroism at the oxygen  $K$  absorption edge was examined to clearly distinguish  $\text{MnO}$  from  $\text{Mn}_3\text{O}_4$  and to derive the orientation of the  $\text{Mn}_3\text{O}_4$  film. Different reconstructions of the  $\text{MnO}_2$ -terminated surface of  $\text{Mn}_3\text{O}_4$  have been determined by STM depending on annealing temperature and coverage. In particular,  $\text{Mn}_3\text{O}_4$  islands with a  $p(2 \times 1)$  reconstruction and subsurface cation vacancies inducing the local formation of  $p(4 \times 2)$  and  $c(4 \times 4)$  reconstructions have been identified. The  $p(2 \times 1)$  islands are favored for a coverage up to 4 Mn/Ag and an annealing temperature of 640 K. The reconstructed surface with subsurface cation vacancies develops for a coverage of 5 Mn/Ag and annealing to 640 K or at the initial stages of film formation with a reduced annealing temperature of 450 K. All islands exhibit edges aligned along  $(110)$  directions and grow embedded into the Ag substrate.

This is the second description of subsurface cation vacancies beyond the system  $\text{Fe}_3\text{O}_4$  and delivers further insight into the formation of such vacancies. Depending on the offset between vacancy sites, different surface reconstructions as  $p(4 \times 2)$  or  $c(4 \times 4)$  develop. With the creation of octahedral vacancies and additional Mn ions on tetrahedral interstitial sites, the formation of subsurface cation vacancies corresponds to the transition of bulk  $\text{Mn}_3\text{O}_4$  to  $\gamma\text{-Mn}_2\text{O}_3$ .

*Note added.* Recently, the same line of arguments from the NEXAFS linear dichroism to the orientation of  $\text{Mn}_3\text{O}_4$  films has been given in Ref. [60].

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K.G. and M.T. contributed equally to this work.

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