

Fe- and Co-based magnetic tunnel junctions with AlN and ZnO spacers

Gokaran Shukla¹, Stefano Sanvito², and Geunsik Lee¹

¹*Department of Chemistry, Center for Superfunctional Materials, Ulsan National Institute of Science and Technology, Ulsan 44919, Republic of Korea*

²*School of Physics, AMBER and CRANN Institute, Trinity College, Dublin 2, Ireland*



(Received 26 January 2022; revised 4 April 2022; accepted 9 May 2022; published 26 May 2022)

AlN and ZnO, two wide band-gap semiconductors extensively used in the display industry, crystallize in the wurtzite structure, which can favor the formation of epitaxial interfaces to close-packed common ferromagnets. Here we explore these semiconductors as material for insulating barriers in magnetic tunnel junctions. In particular, the *ab initio* quantum transport code SMEAGOL is used to model the $X[111]/Y[0001]/X[111]$ ($X = \text{Co}$ and Fe , $Y = \text{AlN}$ and ZnO) family of junctions. Both semiconductors display a valance-band top with p -orbital character, while the conduction-band bottom exhibits s -type symmetry. The smallest complex-band decay coefficient in the forbidden energy-gap along the $[0001]$ direction is associated with the Δ_1 symmetry, and connects across the band gap at the Γ point in 2D Brillouin zones. This feature enables spin filtering and may result in a large tunneling magnetoresistance. In general, we find that Co-based junctions present limited spin filtering and little magnetoresistance at low bias, since both spin subbands cross the Fermi level with Δ_1 symmetry. This contrasts the situation of Fe, where only the minority Δ_1 band is available. However, even in the case of Fe the magnitude of the magnetoresistance at low bias remains relatively small, mostly due to conduction away from the Γ point and through complex bands with symmetry different than Δ_1 . The only exception is for the Fe/AlN/Fe junction, where we predict a magnetoresistance of around 1000% at low bias.

DOI: [10.1103/PhysRevB.105.184427](https://doi.org/10.1103/PhysRevB.105.184427)

I. INTRODUCTION

The magnetoresistance effect represents the backbone of many spin-based devices [1], enabling the function of magnetic random-access memories [2], sensors [3], spin-transfer-torque devices [4], microwave generators [5], and next-generation spin-based neuromorphic computing [6,7]. The most prototypical device exploiting magnetoresistance is the magnetic tunnel junction (MTJ), where two ferromagnetic electrodes are separated by an insulating barrier. This can operate as a binary unit, since typically its electrical resistance is minimal when the magnetization vectors of the two electrodes are parallel to each other, while it is maximized for an antiparallel orientation. The magnitude of the MTJ sensitivity is conventionally measured by the tunneling magnetoresistance (TMR) ratio, defined as $\text{TMR} = (R_{\text{AP}} - R_{\text{P}})/R_{\text{P}}$, where R_{P} and R_{AP} are the resistances of the MTJ in parallel and antiparallel configuration, respectively.

Although the magnetic data storage industry was revolutionized first by the giant magnetoresistance effect in metallic magnetic multilayers [8,9], TMR-based MTJs today represent the state-of-the-art technology, owing to their large TMR ratios, reaching up to 200% at room temperature [10,11]. Early MTJ devices were based on amorphous tunneling barriers, mostly Al_2O_3 [12,13], for which the magnitude of the TMR is determined by the spin polarization of the density of states (DOS) [14], $P = \frac{n_{\uparrow} - n_{\downarrow}}{n_{\uparrow} + n_{\downarrow}}$, where n_{\uparrow} (n_{\downarrow}) is the spin-up (down) DOS at Fermi energy, E_{F} . For these structures the TMR ratio can be estimated by Julliere's relation, $\text{TMR} = \frac{2P_1 P_2}{1 - P_1 P_2}$, where

P_1 and P_2 are the DOS spin polarizations of the ferromagnetic electrodes [15]. Since in transition metals P hardly exceeds 50%, the expected TMR ratios for amorphous barriers remain limited. A different situation, however, is encountered for epitaxial MTJs, where the transverse wave vector \mathbf{k}_{\parallel} is conserved during tunneling thus remaining a good quantum number. The tunneling probability is then determined by the symmetry of the wave function. As this can be different for the two different subbands of a magnetic metal, spin filtering is expected and hence arbitrary large TMR ratios [16,17]. Such spin-filtering effect has been confirmed experimentally [10,11] and it is at the foundation of modern high-performance TMR-based devices. Inspired by these initial works a multitude of materials compositions offering spin filtering have been proposed [18–23].

Interestingly, although the symmetry-filtering argument is applicable to many all-epitaxial junctions, only a particular stack has shown its potential in the real world, namely the Fe/MgO/Fe MTJ. There are several arguments in favor of Fe/MgO: (1) an epitaxial growth with strong suppression of the interface defects, which arise due to the lattice mismatch between the metal and the insulator; (2) a well-consolidated growth recipe, which can scale up to large surface areas; (3) a large in-plane/perpendicular magnetocrystalline anisotropy for FeCoB magnetic electrodes; (4) the robust and wide band gap of MgO, which ensures ideal tunneling. Nonetheless, the FeCoB/MgO system also presents some disadvantages at the fabrication and operation level. In particular, the growth a typ-

ical Fe/MgO-based MTJ requires several layers of lithographic process for different materials with various optimal thickness to pin the reference layer magnetic moments in a certain direction.

In fact, most ferromagnetic materials crystallize with a sixfold rotation symmetry (C_6), whereas insulating barrier materials, such as MgO, are only fourfold (C_4). In general, it is difficult to grow epitaxially C_4 MgO on C_6 substrates with a minimum interface vacancy content. For this reason, we explore here the possibility of creating high-performing MTJs with sixfold rotation symmetry. Our work is not motivated by the will of replacing Fe/MgO, which will probably remain the workhorse materials combination for high-performance spintronics devices, but simply by the possibility of enlarging the palette of heterostructures available to MTJs. As such we investigate a family of MTJs constructed with the wide-gap wurtzite insulators, AlN and ZnO. Importantly, these are widely used as light-emitting-diode materials in the microelectronics industry. Should they work at polarizing the current, one may also imagine the possibility of realizing spin-polarized current-based displays with circular-polarized light for high-viewing angle [24].

In the Sec. II we present first our computational method and the details of the present work. Then, in Sec. III, we discuss our calculated real band structures of the ferromagnetic electrodes and the complex bands of the insulating barriers, before moving to an analysis of the transmission coefficients and the associated TMR. Finally we conclude in Sec. IV.

II. COMPUTATIONAL DETAILS

The electronic structure of the various materials forming our MTJs is calculated with the density functional theory (DFT) formalism using the SIESTA code [25]. SIESTA employs norm-conserving pseudopotentials and a numerical atom-centered local-orbital basis set. The many-body interacting problem is solved through an auxiliary effective single-body noninteracting Kohn-Sham potential, where the exchange-correlation functional is treated at the level of local density approximation (LDA) with the Ceperly-Alder parametrization [26]. Quantum transport is computed with the nonequilibrium Green's function method, implemented within the Kohn-Sham DFT Hamiltonian (the so-called NEGF+DFT scheme) in the SMEAGOL code [27–29]. SMEAGOL uses SIESTA as DFT engine.

The complex-band structures [30] of AlN and ZnO are calculated by taking [0001] as the transport direction (z axis), and we restrict ourselves to the special lines with $\mathbf{k}_{\parallel} = 0$ (\mathbf{k}_{\parallel} is the wave vector in the plane transverse to the transport direction). This choice is justified by the evaluation of the minimum complex decay coefficient along z over the entire transverse Brillouin zone. In all cases we set the real mesh cutoff to 700 Ryd and take an $8 \times 8 \times 8$ k -point mesh for the Monkhorst-Pack sampling. The Bloch orbitals are expanded with a basis set of double- ζ quality for the s , p , and d shells of Co and Fe, while a double- ζ plus polarization one is employed for the s and p orbitals of Al, N, Zn, and O.

We then design four different MTJs, namely Fe/AlN/Fe, Co/AlN/Co, Fe/ZnO/Fe, and Co/ZnO/Co. The experimental in-plane lattice constants of bulk AlN and ZnO are

3.09 Å and 3.25 Å, respectively. In order to obtain commensurate junctions we adjust the in-plane lattice constants to 3.34 Å for both the insulators and take 2.73 Å for bcc-Fe and bcc-Co. The interface is then formed by matching a 2×2 (0001) surface of the semiconductors with a 3×3 one for the metals. Thus, the hexagonal (0001) plane (lattice constant 6.69 Å) of the semiconductor is epitaxial to the (111) one of Fe and Co after a 30° rotation about the [111] direction. This matching requires 8% and 6% tensile strain on AlN and ZnO, respectively. At the same time Fe is under a compressive strain of about 3%. When we compare the electronic band structures of the various materials at such lattice parameters we notice little qualitative variation due to the strain. This allows us to obtain quantitative results for junctions having a computationally manageable cells. We then relax all the atomic coordinates using conjugate gradient until the forces are smaller than 0.01 eV/Å. After relaxation, the structure of both AlN and ZnO transforms from bulk wurtzite into a graphite-like one.

In general, both ZnO- and AlN-based MTJs turn out to be symmetric about the plane located in the middle of layer. We select 12.5 Å and 24.5 Å thick barriers for AlN and ZnO, respectively.

Next we use SMEAGOL to perform electron transport calculations. At a given bias voltage, V , SMEAGOL calculates the electrical current, I , for both spins σ ($\sigma = \uparrow, \downarrow$) using the Landauer-Büttiker coherent transport formalism as

$$I^\sigma(V) = \frac{e}{h} \int dE T^\sigma(E; V) [f_L(E, \mu) - f_R(E, \mu)], \quad (1)$$

where e is the electron charge, h the Plank constant, $T^\sigma(E; V)$ the energy- and bias-dependent transmission coefficient, and f_L (f_R) the Fermi function associated to the left-hand (right-hand) side electrode. This is evaluated at $E - \mu_L$ ($E - \mu_R$), where $\mu_{L/R} = E_F \pm \frac{eV}{2}$ is the chemical potential for the left/right electrode. Since the junction is translationally invariant over the x - y plane (periodic boundary conditions), the transmission coefficient can be written over the 2D Brillouin zones as

$$T^\sigma(E; V) = \frac{1}{\Omega_{\text{BZ}}} \int_{\text{BZ}} d\mathbf{k}_{\parallel} T_{\mathbf{k}_{\parallel}}^\sigma(E; V), \quad (2)$$

where Ω_{BZ} is the volume of the two-dimensional first Brillouin zone. The \mathbf{k}_{\parallel} -dependent transmission coefficient is then obtained as

$$T_{\mathbf{k}_{\parallel}}^\sigma(E; V) = \text{Tr}[\Gamma_L^\sigma(E; V) G_C^{\dagger\sigma}(E; V) \Gamma_R^\sigma(E; V) G_C^\sigma(E; V)] \quad (3)$$

with the retarded Green's function of the scattering region given by $G_C^\sigma(E; V) = \lim_{\eta \rightarrow 0} [E + i\eta - H_C - \Sigma_L^\sigma(E; V) - \Sigma_R^\sigma(E; V)]^{-1}$, where H_C is Hamiltonian of device scattering region and the coupling matrices $\Gamma_{L/R}^\sigma$ are related to the lead self-energy matrices by $\Gamma_{L/R}^\sigma = \Sigma_{L/R}^\sigma - \Sigma_{L/R}^{\dagger\sigma}$. The transport calculation is then performed in the zero-bias limit with the electrons distribution converged on an $8 \times 8 \times 1$ k -point grid, while the transmission coefficient integration is performed over $50 \times 50 \times 1$ k mesh. We have also carried out additional tests for a $100 \times 100 \times 1$ mesh without noting any notable change in $T^\sigma(E; V)$ or in TMR.

III. RESULTS AND DISCUSSION

A. AlN and ZnO as tunneling barriers

AlN and ZnO are two wide band-gap semiconductors mostly used in the optoelectronics industry for light-emitting diodes. For AlN the LDA calculates a band gap of 4.15 eV directly at the Γ point, a value that is ~ 2 eV smaller than the experimental one (6.1 eV). An even more severe underestimation is found for ZnO, with an LDA gap of 0.61 eV (directly at Γ) against the experimental measure of 3.25 eV. In order to overcome the well-known band gap underestimation problem of the LDA, we employ the atomic self-interaction correction (ASIC) scheme [31,32]. This returns us a band gap of ~ 5.6 eV for AlN and ~ 3.2 eV for ZnO, which are close to the aforementioned experimental values. The ASIC is then used for the transport calculations. Note that the ASIC correction has here the only effect of increasing the band gap, typically by lowering down in energy the valence band, but does not alter the orbital symmetry of either the conduction and the valence band.

We begin our investigation by computing the complex-band structure [30] of the two insulators used as tunnel barrier. Recalling that z is the direction of the electron transport, the conventional band equation, $E = \epsilon(\mathbf{k}_{\parallel}, k_z)$, can be extended to energies, E , within the forbidden band gap, by continuing k_z over the complex axis, namely by taking $k_z = i\kappa$. Here, ϵ is a function of the wave vector $(\mathbf{k}_{\parallel}, k_z)$ and so that κ describes the exponential decay of the wave function for a given energy E in the band gap and a particular transverse wave vector, \mathbf{k}_{\parallel} . The transmission coefficient across an insulating barrier of thickness d will then be $T(E, \mathbf{k}_{\parallel}) \sim T_0(E, \mathbf{k}_{\parallel})e^{-2\kappa(E, \mathbf{k}_{\parallel})d}$, where $T_0(E, \mathbf{k}_{\parallel})$ in general depends on the nature of the interface between the metal and the insulator. The decay constant $\kappa(E, \mathbf{k}_{\parallel})$ varies with the magnitude of transverse wave vector and the orbital symmetry [33] as

$$k = \sqrt{\left(\frac{2m}{\hbar^2}\right)(V - E) + k_{\parallel}^2 - \frac{\langle \phi | \left(\frac{\partial}{\partial x^2} + \frac{\partial}{\partial z^2} \right) | \phi \rangle}{\langle \phi | \phi \rangle}},$$

where the last term (Laplacian) describes the transverse oscillation of tunneling wave functions. One can then plot $\kappa(E_F, \mathbf{k}_{\parallel})$ in the 2D Brillouin zone spanned by the transverse wave vector \mathbf{k}_{\parallel} and establish which portions of the Brillouin zone contribute the most to the tunneling current. The higher value of $\kappa(E_F, \mathbf{k}_{\parallel})$ corresponds to smaller transmission probability amplitude.

This exercise is presented in Fig. 1, where we show the $\kappa(E_F, \mathbf{k}_{\parallel})$ contour maps of AlN and ZnO over the first Brillouin zone of the 2D transverse hexagonal lattice. The figure clearly shows that both AlN and ZnO exhibit the smallest wave-vector decay coefficient at the Γ point. This is the situation corresponding to a tunneling electron approaching the barrier along the transport direction normal to the surface, namely when the effective distance traveled by the electrons across the barrier region is minimal. Symmetry analysis further suggests that the Bloch states available around the Γ point have Δ_1 symmetry. Comparing the two compounds, we found that AlN presents relatively large decay coefficients over the entire Brillouin zone, except for regions around Γ , K, and M, with Γ being the most transmissive point in the Brillouin zone. In contrast for ZnO large transmission is found only at Γ , with little contribution from the rest of the \mathbf{k}_{\parallel} plane. The decay constants are generally rather small, owing to the large band

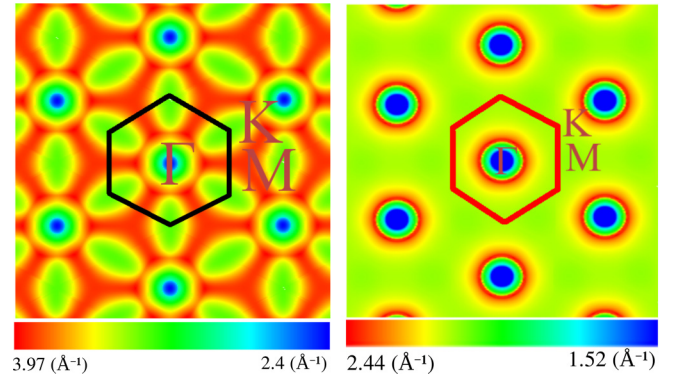


FIG. 1. Heat color plots of the wave-function decay coefficient, $\kappa(E_F, \mathbf{k}_{\parallel})$, as a function of the transverse wave vector, \mathbf{k}_{\parallel} , for AlN (left-hand side panel) and ZnO (right-hand side panel). Calculations are carried out for E_F placed in the middle of the band gap. The black and red boxes mark the 2D Brillouin zones and the color code is blue to green to red as κ gets larger. In both cases the decay coefficient is plotted in linear scale with the following limit: AlN $\kappa_{\min} = 2.4 \text{ \AA}^{-1}$, $\kappa_{\max} = 3.97 \text{ \AA}^{-1}$; ZnO $\kappa_{\min} = 1.52 \text{ \AA}^{-1}$, $\kappa_{\max} = 2.44 \text{ \AA}^{-1}$.

gaps of these two compounds. Note that the distribution of the decay coefficients over the Brillouin zone is not expected to change with the choice of the DFT functional, which just modifies the band gap, but not the symmetry of the Kohn-Sham states.

Having established that most of the transmission is likely to take place at Γ , next we analyze in more detail the complex-band structure along the transport direction for $\mathbf{k}_{\parallel} = 0$. In epitaxial junctions, where \mathbf{k}_{\parallel} is conserved, the largest contribution to the transmission coefficient in Eq. (2) originates from a region of the Brillouin zone around $\mathbf{k}_{\parallel} = 0$. Furthermore, one has to assign the symmetry of the tunneling wave function, since this is also conserved in the phase-coherent tunneling process. Such assignment is performed by projecting the wave function onto the transverse plane and by characterizing it according to its orbital composition. More specifically, a Δ_1 symmetry is assigned to Bloch states having zero angular momentum about the transport direction, the z axis. This means that the Δ_1 symmetry is associated to s , p_z , and $d_{3z^2-r^2}$ orbitals. In contrast, the p_x , p_y , d_{xz} , and d_{yz} orbitals are assigned to Δ_5 symmetry, while Δ_2 corresponds to the $d_{x^2-y^2}$ orbital. Finally, the Δ_2' symmetry is characteristic of the d_{xy} orbital.

The real and complex band structures at $\mathbf{k}_{\parallel} = \Gamma$, for both AlN and ZnO, are presented in Fig. 2 and Fig. 3, respectively. In both cases there is a continuous semicircular band that connects the conduction-band bottom to the valence-band top across the gap. No low-lying spurious flat bands are observed in our calculations, at variance to what may happen with nonorthogonal basis sets [30,34]. For both insulators such semicircular band is characterized by Δ_1 symmetry, a feature expected since the conduction-band bottom is mainly s -like. Notably, there is another band at the valence band maximum with Δ_5 symmetry. However, this has a rather large imaginary wave vector (decay rate) and will contribute little to the transport, unless the Fermi level of the junction is pinned very close

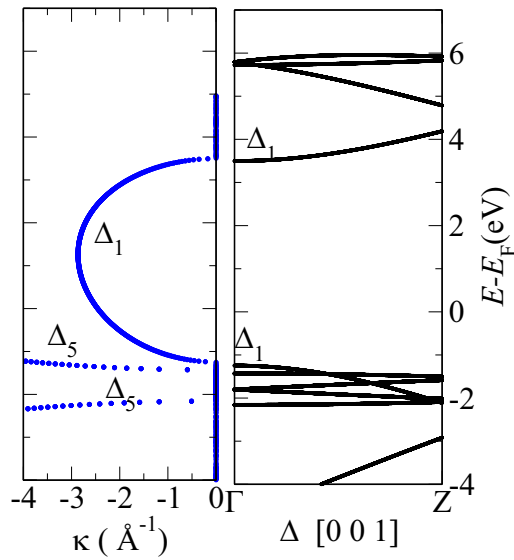


FIG. 2. Real (right-hand side panel) and complex (left-hand side panel) band structure of AlN calculated at the Γ point in the 2D transverse Brillouin zone. The symmetry labels, Δ_n , where $n \in [1, 5]$, have been described in the text and the energy is measured from the Fermi energy E_F .

to the top edge of the valence band. In that case both the Δ_1 and Δ_5 symmetry states will compete to the transmission.

B. Symmetry of the magnetic electrodes

We now perform the same symmetry analysis for the real band structures of the ferromagnetic electrodes. Given the structure of our proposed MTJs the relevant direction is $[111]$. Ideally, the best situation we can encounter is that where there is only one spin subband crossing the Fermi level with the

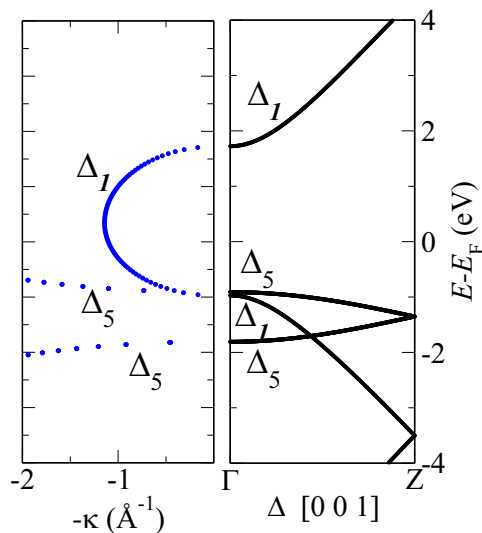


FIG. 3. Real (right-hand side panel) and complex (left-hand side panel) band structure of ZnO calculated at the Γ point in the 2D transverse Brillouin zone. The symmetry labels, Δ_n , where $n \in [1, 5]$, have been described in the text and the energy is measured from the Fermi energy E_F .

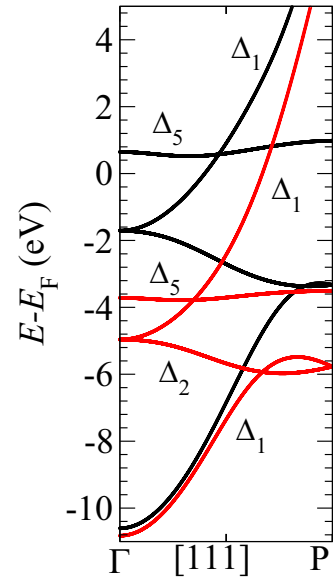


FIG. 4. Real band structure of bcc Co is plotted along the $[111]$ direction (the direction of transport). The majority spin subband is in red and the minority one in black.

symmetry matching that of the most transmissive complex band, Δ_1 in this case. In such case only one spin channel (either up or down) can be transmitted with high probability, so that the junction effectively behaves as a half metal with an almost 100% spin-polarized current in the parallel configuration, and a magnetoresistance ratio increasing exponentially with the barrier thickness. This favorable band alignment is encountered for a band with Δ_1 symmetry in Fe/MgO [16,17] and Fe/HfO₂ [23] MTJs along the $[001]$ transport direction.

The real band structure of bcc-Co and bcc-Fe is here plotted along the $[111]$ direction in Fig. 4 and Fig. 5, respectively. Unfortunately we find that in both ferromagnets, the Δ_1 symmetry is available at Fermi energy along $[111]$ for both spins. This means that spin filtering across the Δ_1 complex band is unlikely, since the difference between the two spin subbands remains only in the details of the band curvature. Fe seems to offer the most favorable condition, since the Δ_1 band edge for the minority subband is only about 0.5 eV below the Fermi level. This may suggest that under moderate bias conditions there will be regions in the energy window where only one spin can be transmitted. Together with the very broad Δ_1 bands we also observe two flat bands with Δ_5 and Δ_2 symmetry, which distribute across E_F depending on the compound and the spin. The most relevant for transport appears to be the minority Δ_5 for Co, which is positioned rather close to E_F .

C. Tunnel magnetoresistance

Finally, we turn our attention toward the transmission coefficients and the TMR of the proposed MTJs. Let us begin with AlN-based junctions. Figure 6 shows $T(E)$ for both the spin channels (\uparrow, \downarrow) in the parallel and antiparallel configuration of the Co/AlN/Co MTJ. As expected, $T(E)$ drops drastically in an energy region approximately 6 eV wide, which corresponds to the calculated AlN band gap (note that the transmission coefficient is plotted on a log scale). The Fermi

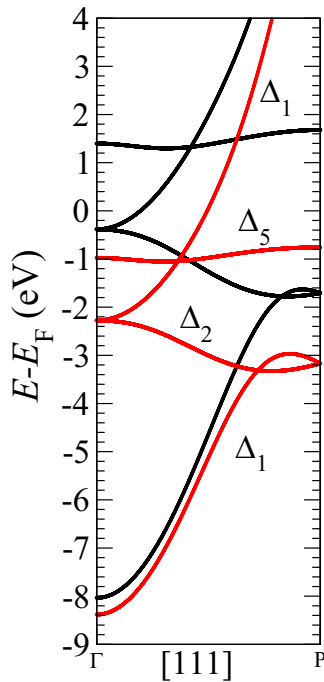


FIG. 5. Real band structure of bcc Fe plotted along the [111] direction (the direction of transport). The majority spin subband is in red and the minority one in black.

level of the junction is positioned at about 2 eV above the AlN valence band so that the MTJ at low bias is deep in the tunneling regime and away from any band edge. This means that there is little contribution to the transmission from

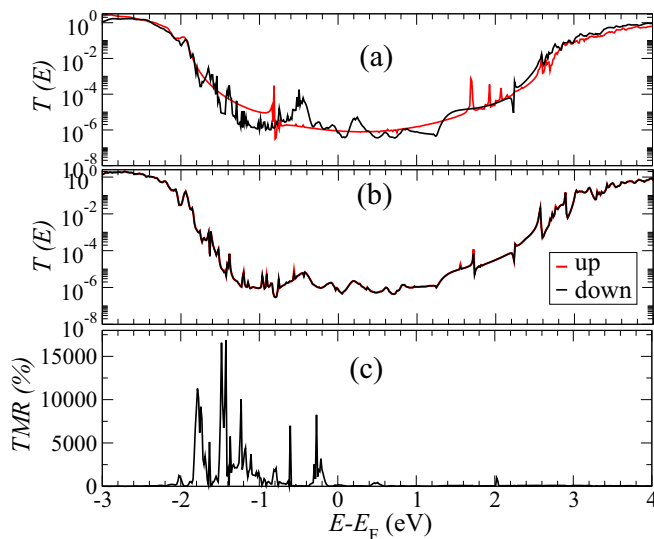


FIG. 6. Transmission coefficient as a function of energy for the Co/AlN/Co MTJ. The parallel and antiparallel configurations are plotted in panels (a) and (b), respectively. $T(E)$ for the majority (minority) spins is plotted in red (black). For the antiparallel case the spin direction is set by the right-hand side electrode. The transmission coefficient is plotted on a logarithmic scale. In the lower panel (c) we present the calculated zero-bias TMR as a function of energy in the same energy window of the transmission coefficients.

any band with symmetry different from Δ_1 . Such observation is corroborated by the shape of $\log_{10}[T(E)]$ as a function of E , which resembles closely the complex band of AlN (see Fig. 2).

Panel (a) of Fig. 6 presents the $T(E)$ of Co/AlN/Co in the parallel spin configuration. One can notice that by large the transmission is similar for the two spins. This is expected from the fact that the highest Δ_1 band edge for Co along [111] is about 2 eV below E_F and corresponds to the minority spin (the one for the majority is well below 4 eV), meaning that across the band-gap region both Δ_1 spin subbands are available to transport. Differences, however, appear as well, with two energy regions where the transmission is dominated by one spin only. This happens in the ranges $E_F - 1.5$ to $E_F - 1$ eV for the majority Δ_1 subbands, $E_F - 1$ to $E_F + 0.5$ eV for the minority Δ_1 , $E_F + 0.5$ to $E_F + 1.5$ eV for the majority Δ_1 , and finally between $E_F + 1.5$ and $E_F + 2$ eV the minority Δ_1 subband dominates. As expected, the flat minority Δ_5 subbands with nearly zero bandwidth have negligible contribution to transmission around E_F .

The resulting TMR as a function of energy is then plotted in the lower panel of Fig. 6 for an energy window of 3 eV around E_F . As expected from our transmission coefficient analysis we find a significant TMR in a region of 2 eV below the Fermi level. The maximum value of $\sim 15\,000\%$ is reached at $E = E_F - 1.5$ eV. This is indeed larger than what is expected from the simple product of the spin-polarized DOS as from Julliere's analysis, indicating that some spin-filtering effect is at work (note that this energy window is relatively near to the minority Δ_1 band edge of Co). Unfortunately, this effect takes place far away from the Fermi level so that it will be accessible only at extremely large bias voltages. Unfortunately, other energy regions of large TMR, such as the sharp peak at about 0.2 eV below E_F , are likely to arise from surface states and are expected to be washed away at finite bias, and by scattering at defects.

A similar situation is found for the Fe/AlN/Fe MTJ, whose transmission coefficients and TMR are presented in Fig. 7. Also, in this case panel (a) helps us in understanding the tunneling process. This time one expects a similar transmission for both spins, down to about 0.5 eV from the Fermi level, a position corresponding to the minority Δ_1 edge of Fe. In fact, a relatively sharp peak in the TMR is found around that energy [see panel (c)], together with some other peaks scattered across the energy window investigated. Notably, we did not find a large energy window where the TMR is consistently large, not even for $E < E_F - 0.5$ eV. This seems to suggest that the Δ_1 transmission away from the Γ point contributes sensibly to the tunneling current by reducing the spin polarization. Note that for both the AlN-based junctions the transmission in the antiparallel configuration is spin-independent, owing to the inversion symmetry of the junction.

Finally we move to the ZnO-based junctions, whose transport quantities, $T(E)$ and $TMR(E)$, are presented in Fig. 8 and Fig. 9, respectively, for Co and Fe electrodes. The main feature of these two junctions is that the Fermi energy just pins at the conduction-band bottom, so that the transport is essentially metallic. In this situation, clearly the spin-filtering effect is not at play and the magnetotransport response of the

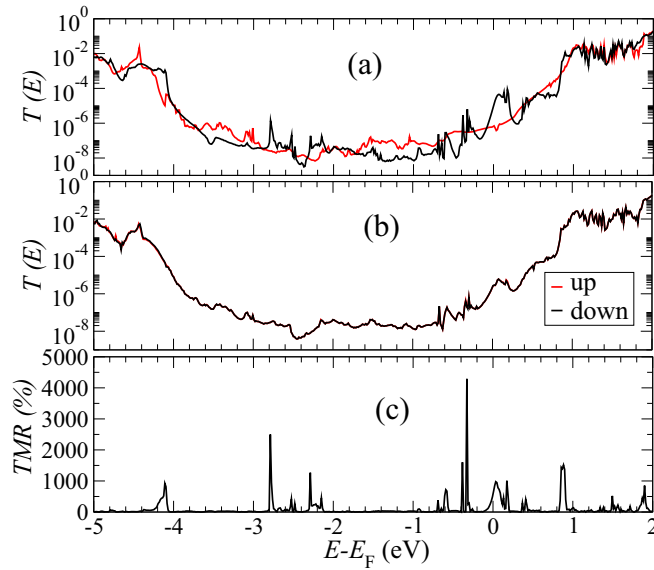


FIG. 7. Transmission coefficient as a function of energy for the Fe/AlN/Fe MTJ. The parallel and antiparallel configurations are plotted in panels (a) and (b), respectively. $T(E)$ for the majority (minority) spins is plotted in red (black). For the antiparallel case the spin direction is set by the right-hand side electrode. The transmission coefficient is plotted on a logarithmic scale. In the lower panel (c) we present the calculated zero-bias TMR as a function of energy in the same energy window of the transmission coefficients.

device is determined by the electrodes' density of states and the bonding at the interface. As a result, the TMR at the Fermi level remains always below 100%; namely, it is relatively limited. Furthermore, since we are away from the tunneling limit, we do not expect that the magnetoresistance will depend drastically on the barrier thickness.

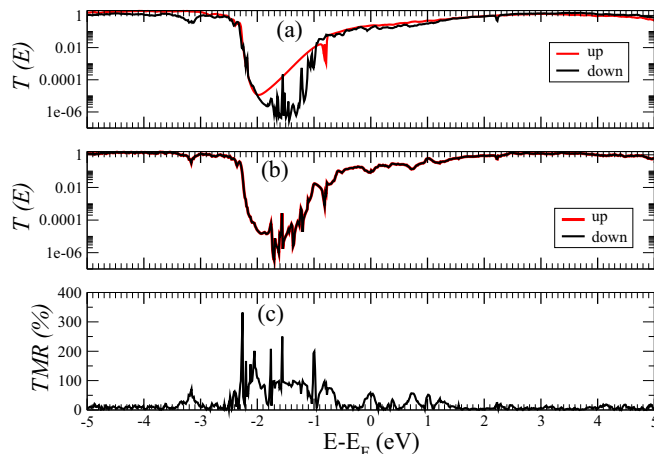


FIG. 8. Transmission coefficient as a function of energy for the Co/ZnO/Co MTJ. The parallel and antiparallel configurations are plotted in panels (a) and (b), respectively. $T(E)$ for the majority (minority) spins is plotted in red (black). For the antiparallel case the spin direction is set by the right-hand side electrode. The transmission coefficient is plotted on a logarithmic scale. In the lower panel (c) we present the calculated zero-bias TMR as a function of energy in the same energy window of the transmission coefficients.

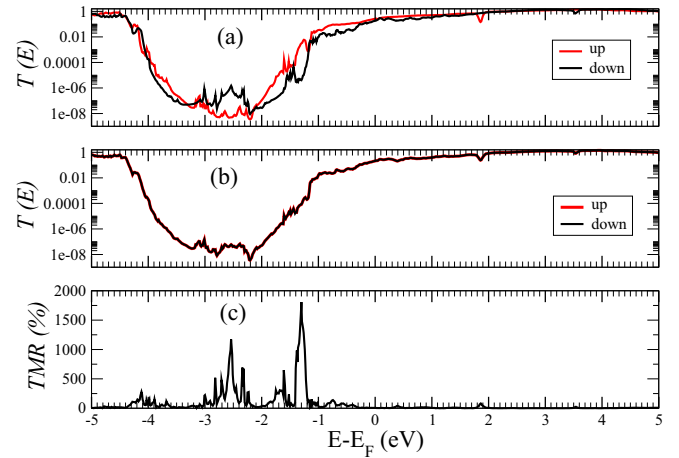


FIG. 9. Transmission coefficient as a function of energy for the Fe/ZnO/Fe MTJ. The parallel and antiparallel configurations are plotted in panels (a) and (b), respectively. $T(E)$ for the majority (minority) spins is plotted in red (black). For the antiparallel case the spin direction is set by the right-hand side electrode. The transmission coefficient is plotted on a logarithmic scale. In the lower panel (c) we present the calculated zero-bias TMR as a function of energy in the same energy window of the transmission coefficients.

Interestingly, for both Co/ZnO/Co and Fe/ZnO/Fe MTJs we find energy regions corresponding to the ZnO band gap, where the transmission indeed displays a spin-filtering effect. For instance, in Co/ZnO/Co there is a clear dominance of the majority Δ_1 transmission in an energy range going from $E_F - 2$ eV to $E_F - 1$ eV, where the Co minority band instead has a strong Δ_2 character (this is a low-conducting band). As a consequence, a substantial TMR is found over this energy range. Similar behaviors are also found for Fe/ZnO/Fe, whose transmission spectrum for the parallel configuration [Fig. 9(a)] presents several energy sections with a spin sub-band dominating over the other. This is for instance the case in the interval $E_F - 3$ eV $< E < E_F - 2$ eV, where the high-transmission Δ_1 minority band coexists with the majority low-transmission Δ_2 . An opposite situation is found for $E_F - 2$ eV $< E < E_F - 1$ eV, where now the majority Δ_1 dominates over the transmission of the minority Δ_2 . Unfortunately, these energy regions are not accessible in practice by the unfavorable pinning of the Fermi energy at the bottom of the ZnO conduction band.

IV. CONCLUSION

In summary, we have explored the possibility of using display materials AlN and ZnO as tunnel barriers in novel magnetic tunnel junctions. Both of these compounds are currently used in the microelectronic industry, so that their MTJs have the potential to be integrated in hybrid memory/logic components or spin-polarized-based display devices. When incorporated in an MTJ, we found that both AlN and ZnO change their crystal structure from bulk wurzite to a layered planar one. This, however, does not affect drastically their electronic structure and insulating nature. A complex-band structure analysis has allowed us to identify the dominant symmetry of the tunneling states. In both cases the smallest

wave-function decay coefficient is found at the Γ point in the 2D transverse Brillouin zone, although for AlN other high-symmetry points present low transmission as well. When looking along the transport direction we find that the symmetry of the complex-band structure is Δ_1 , as suggested by the s -type character of the insulators' conduction band and by the fact that the lowest complex-band structure connects directly across the gap.

We have then investigated four potential MTJs, namely Co/AlN/Co, Fe/AlN/Fe, Co/ZnO/Co, and Fe/ZnO/Fe, where the insulators are oriented along the [0001] direction and the metals along [111]. When ZnO is used as tunnel barrier the Fermi level pins at the bottom of the conduction band and the transport are therefore metallic. In this case the TMR is not determined by spin filtering and it remains limited. This is unfortunate, since deep in the band gap spin filtering is active and robust. The situation is more favorable for AlN-based junctions, in particular when the electrode is

Fe. In this case, in fact, there is an energy window around the Fermi level where the majority transmission is Δ_1 -dominated, but the same band is not available in the minority subband. As a consequence a large TMR at E_F is found. Our work thus shows that it is possible to potentially achieve large TMRs even in junctions with C_6 planar symmetry, although new magnetic electrodes may turn out to be more suitable than the simple Co and Fe investigated here.

ACKNOWLEDGMENTS

This work is supported by the National Research Foundation of Korea (Basic Science Research Program, Grant No. 2021R1A2C1006039), and the computational resources of KISTI (Grant No. KSC-2021-CRE-0567). S.S. thanks the Irish Research Council for financial support (Grant No. IRCLA/2019/127).

-
- [1] *Handbook of Spin Transport and Magnetism*, edited by E. Y. Tsymlal and I. Zutic (Chapman and Hall/CRC, 2011).
- [2] S. Bhatti, R. Sbiaa, A. Hirohata, H. Ohno, S. Fukami, and S. N. Piramanayagam, Spintronics based random access memory: A review, *Mater. Today* **20**, 530 (2017).
- [3] K. Fujiwara, M. Oogane, A. Kanno, M. Imada, J. Jono, T. Terauchi, T. Okuno, Y. Aritomi, M. Morikawa, M. Tsuchida, N. Nakasato, and Y. Ando, Magnetocardiography and magnetoencephalography measurements at room temperature using tunnel magneto-resistance sensors, *Appl. Phys. Express* **11**, 023001 (2018).
- [4] D. C. Ralph and M. D. Stiles, Spin transfer torques, *J. Magn. Mater.* **320**, 1190 (2008).
- [5] S. I. Kiselev, J. C. Sankey, I. N. Krivorotov, N. C. Emley, R. J. Schoelkopf, R. A. Buhrman, and D. C. Ralph, Microwave oscillations of a nanomagnet driven by a spin-polarized current, *Nature (London)* **425**, 380 (2003).
- [6] J. Torrejon, M. Riou, F. Abreu Araujo, S. Tsunegi, G. Khalsa, D. Querlioz, P. Bortolotti, V. Cros, K. Yakushiji, A. Fukushima, H. Kubota, S. Yuasa, M. D. Stiles, and J. Grollier, Neuromorphic computing with nanoscale spintronic oscillators, *Nature (London)* **547**, 428 (2017).
- [7] J. Kaiser, W. A. Borders, K. Y. Camsari, S. Fukami, H. Ohno, and S. Datta, Hardware-Aware *In Situ* Boltzmann Machine Learning Using Stochastic Magnetic Tunnel Junctions, *Phys. Rev. Appl.* **17**, 014016 (2022).
- [8] M. N. Baibich, J. M. Broto, A. Fert, F. N. Van Dau, F. Petroff, P. Eitene, G. Greuzet, A. Friederich, and J. Chazelas, Giant Magnetoresistance of (001)Fe/(001)Cr Magnetic Superlattices, *Phys. Rev. Lett.* **61**, 2472 (1988).
- [9] G. Binasch, P. Grünberg, F. Saurenbach, and W. Zinn, Enhanced magnetoresistance in layered magnetic structures with antiferromagnetic interlayer exchange, *Phys. Rev. B* **39**, 4828 (1989).
- [10] S. S. P. Parkin, C. Kaiser, A. Panchula, P. M. Rice, B. Hughes, M. Samant, and S. H. Yang, Giant tunnelling magnetoresistance at room temperature with MgO (100) tunnel barriers, *Nat. Mater.* **3**, 862 (2004).
- [11] S. Yuasa, T. Nagahama, A. Fukushima, Y. Suzuki, and K. Ando, Giant room-temperature magnetoresistance in single-crystal Fe/MgO/Fe magnetic tunnel junctions, *Nat. Mater.* **3**, 868 (2004).
- [12] T. Miyazaki and N. Tezuka, Giant magnetic tunneling effect in Fe/Al₂O₃/Fe junction, *J. Magn. Magn. Mater.* **139**, L231 (1995).
- [13] J. S. Moodera, L. R. Kinder, T. M. Wong, and R. Meservey, Large Magnetoresistance at Room Temperature in Ferromagnetic Thin Film Tunnel Junctions, *Phys. Rev. Lett.* **74**, 3273 (1995).
- [14] I. I. Mazin, How to Define and Calculate the Degree of Spin Polarization in Ferromagnets, *Phys. Rev. Lett.* **83**, 1427 (1999).
- [15] M. Julliere, Tunneling between ferromagnetic films, *Phys. Lett. A* **54**, 225 (1975).
- [16] W. H. Butler, X.-G. Zhang, T. C. Schulthess, and J. M. Maclaren, Spin-dependent tunneling conductance of Fe|MgO|Fe sandwiches, *Phys. Rev. B* **63**, 054416 (2001).
- [17] J. Mathon and A. Umerski, Theory of tunneling magnetoresistance of an epitaxial Fe/MgO/Fe(001) junction, *Phys. Rev. B* **63**, 220403(R) (2001).
- [18] J. P. Velev, C.-G. Duan, J. D. Burton, A. Smogunov, M. K. Niranjan, E. Tosatti, S. S. Jaswal, and E. Y. Tsymlal, Magnetic tunnel junctions with ferroelectric barriers: Prediction of four resistance states from first principles, *Nano Lett.* **9**, 427 (2009).
- [19] N. M. Caffrey, T. Archer, I. Rungger, and S. Sanvito, Coexistence of Giant Tunneling Electroresistance and Magnetoresistance in an All-Oxide Composite Magnetic Tunnel Junction, *Phys. Rev. Lett.* **109**, 226803 (2012).
- [20] N. Jutong, I. Rungger, C. Schuster, U. Eckern, S. Sanvito, and U. Schwingenschlögl, Electronic transport through EuO spin-filter tunnel junctions, *Phys. Rev. B* **86**, 205310 (2012).
- [21] S. V. Faleev, S. S. P. Parkin, and O. N. Mryasov, Brillouin zone spin filtering mechanism of enhanced tunneling magnetoresistance and correlation effects in a Co(0001)/h-BN/Co(0001) magnetic tunnel junction, *Phys. Rev. B* **92**, 235118 (2015).
- [22] H. X. Liu, Y. Honda, T. Taira, K. I. Matsuda, M. Arita, T. Uemura, and M. Yamamoto, Giant tunneling magnetoresistance

- in epitaxial $\text{Co}_2\text{MnSi}/\text{MgO}/\text{Co}_2\text{MnSi}$ magnetic tunnel junctions by half-metallicity of Co_2MnSi and coherent tunneling, *Appl. Phys. Lett.* **101**, 132418 (2012).
- [23] G. Shukla, T. Archer, and S. Sanvito, HfO_2 and SiO_2 as barriers in magnetic tunneling junctions, *Phys. Rev. B* **95**, 184410 (2017).
- [24] M. Holub and P. Bhattacharya, Spin-polarized light-emitting diodes and lasers, *J. Phys. D: Appl. Phys.* **40**, R179 (2007).
- [25] J. M. Soler, E. Artacho, J. D. Gale, A. Garcia, J. Junquera, P. Ordejón, and D. Sánchez-Portal, The SIESTA method for *ab initio* order- N materials simulation, *J. Phys.: Condens. Matter* **14**, 2745 (2002).
- [26] D. M. Ceperley and B. J. Alder, Ground State of the Electron Gas by a Stochastic Method, *Phys. Rev. Lett.* **45**, 566 (1980).
- [27] A. R. Rocha, V. M. Garcia Suarez, S. Bailey, C. J. Lambert, J. Ferrer, and S. Sanvito, Towards molecular spintronics, *Nat. Mater.* **4**, 335 (2005).
- [28] A. R. Rocha, V. M. Garcia Suarez, S. Bailey, C. J. Lambert, J. Ferrer, and S. Sanvito, Spin and molecular electronics in atomically generated orbital landscapes, *Phys. Rev. B* **73**, 085414 (2006).
- [29] I. Rungger and S. Sanvito, Algorithm for the construction of self-energies for electronic transport calculations based on singularity elimination and singular value decomposition, *Phys. Rev. B* **78**, 035407 (2008).
- [30] E. Bosoni and S. Sanvito, Complex band structure with non-orthogonal basis set: Analytical properties and implementation in the SIESTA code, *J. Phys.: Condens. Matter* **34**, 105501 (2022).
- [31] C. D. Pemmaraju, T. Archer, D. Sánchez-Portal, and S. Sanvito, Atomic-orbital-based approximate self-interaction correction scheme for molecules and solids, *Phys. Rev. B* **75**, 045101 (2007).
- [32] A. Filippetti, C. D. Pemmaraju, S. Sanvito, P. Delugas, D. Puggioni, and V. Fiorentini, Variational pseudo-self-interaction-corrected density functional approach to the *ab initio* description of correlated solids and molecules, *Phys. Rev. B* **84**, 195127 (2011).
- [33] W. H. Butler, Tunneling magnetoresistance from a symmetry filtering effect, *Sci. Technol. Adv. Mater.* **9**, 014106 (2008).
- [34] F. Sacconi, J. M. Jancu, M. Povolotskyi, and A. Di Carlo, Full-band tunneling in high- κ oxide MOS structures, *IEEE Trans. El. Dev.* **54**, 3168 (2007).