


Supersymmetric free fermions and bosons: Locality, symmetry, and topology

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Supersymmetry (SUSY), originally proposed in particle physics, refers to a dual relation that connects fermionic and bosonic degrees of freedom in a system. Recently, there has been considerable interest in applying the idea of SUSY to topological phases, motivated by the attempt to gain insights from the fermion side into the boson side and vice versa. We present a systematic study of this construction when applied to band topology in noninteracting systems. First, on top of the conventional tenfold way, we find that topological insulators and superconductors are divided into three classes depending on whether the supercharge can be local and symmetric, must break a symmetry to preserve locality, or needs to break locality. Second, we resolve the apparent paradox between the nontriviality of free fermions and the triviality of free bosons by noting that the topological information is encoded in the identification map. We also discuss how to understand a recently revealed SUSY entanglement duality in this context. These findings are illustrated by prototypical examples. In this paper, we shed light on band topology from the perspective of SUSY.

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I. INTRODUCTION

Supersymmetric (SUSY) models play an important role in physics. Perhaps the most well-known is their use in relativistic quantum field theories, where they resolve a number of theoretical problems [1]. SUSY in quantum mechanics was introduced to understand properties of SUSY theories [2], which led to profound understanding of SUSY breaking [3]. SUSY can also appear in nonrelativistic theories, for example, in statistical mechanics [4], or the Sachdev-Ye-Kitaev model [5]. Additionally, it is a powerful tool in the analysis of disordered systems [6] or to solve an array of problems in quantum and statistical physics [7].

In the last decades, topology has risen to become a cornerstone of our understanding of condensed matter physics [8–10]. For example, the well-known periodic table [11–14] exhausts the topological classifications of insulators and superconductors in the 10 fundamental symmetry (Altland-Zirnbauer) classes [15] in all dimensions.

Recently, the idea of SUSY has been applied to extract the topological indices of free-boson systems, such as photonic and magnonic crystals, from their free-fermion counterparts [16–19]. This strategy indeed works well for individual bands, which may still be nontrivial despite the fact that the ground state of free bosons is always trivial [20]. In seeming contradiction, one important measure of topology, the entanglement

spectrum [21], was recently found to translate from the fermionic *ground state* to the bosonic ground state [22].

In this paper, we analyze free-SUSY systems systematically. We start from a basic question concerning the existence of a SUSY construction for free-fermion topological phases. The answer turns out to be highly nontrivial: depending on the topological class, the SUSY generator, called the *supercharge*, may be necessarily nonlocal or local but necessarily asymmetric [23]. [cf. Fig. 1(b)]. The entanglement problem gets resolved as the SUSY map, called the *identification map*, can lead to very strong squeezing, if it is locality preserving.

II. SUSY BANDS

We consider a pair of fermion and boson systems in d dimensions (dD). For simplicity, we assume that fermions and bosons live on identical hypercubic lattices $\Omega \subset \mathbb{Z}^d$ with a set of internal states I at each unit cell and subject to periodic boundary conditions. Denoting the fermionic/bosonic modes by $\hat{c}_{\mathbf{r}s}/\hat{b}_{\mathbf{r}s}$ ($\mathbf{r} \in \Omega$, $s \in I$), we can write down a general translation invariant supercharge:

$$\hat{Q} = \sum_{\mathbf{k}} \begin{bmatrix} \hat{\mathbf{c}}_{\mathbf{k}}^{\dagger} \\ \hat{\mathbf{c}}_{-\mathbf{k}} \end{bmatrix}^T q(\mathbf{k}) \begin{bmatrix} \hat{\mathbf{b}}_{\mathbf{k}} \\ \hat{\mathbf{b}}_{-\mathbf{k}}^{\dagger} \end{bmatrix}, \quad (1)$$

where $\hat{\mathbf{c}}_{\mathbf{k}} \equiv [\hat{c}_{\mathbf{k}s}]_{s \in I}^T$, $\hat{\mathbf{b}}_{\mathbf{k}} \equiv [\hat{b}_{\mathbf{k}s}]_{s \in I}^T$ with $\hat{c}_{\mathbf{k}s} = |\Omega|^{-1/2} \sum_{\mathbf{r} \in \Omega} e^{-i\mathbf{k} \cdot \mathbf{r}} \hat{c}_{\mathbf{r}s}$, and $\hat{b}_{\mathbf{k}s} = |\Omega|^{-1/2} \sum_{\mathbf{r} \in \Omega} e^{-i\mathbf{k} \cdot \mathbf{r}} \hat{b}_{\mathbf{r}s}$ ($|\cdot|$: cardinality of a set). The $2|I| \times 2|I|$ matrix $q(\mathbf{k})$ satisfies $q(\mathbf{k} + 2\pi \mathbf{e}_j) = q(\mathbf{k})$ (\mathbf{e}_j : unit vector in the j th direction) $\forall j = 1, 2, \dots, d$, and

$$Xq(\mathbf{k})^*X = q(-\mathbf{k}), \quad X \equiv \sigma_x \otimes \mathbb{1}. \quad (2)$$

Here, σ_{μ} ($\mu = x$) is the Pauli matrix, and $\mathbb{1}$ is the identity acting on the internal states. This symmetry in Eq. (2) arises

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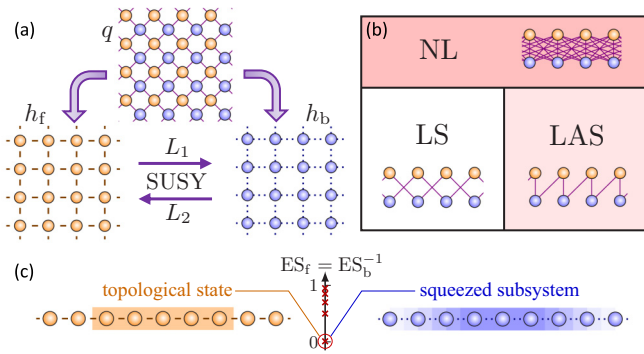


FIG. 1. (a) A translation-invariant supercharge generates a pair of supersymmetric (SUSY) fermion and boson systems. The SUSY pair can be related to each other by the identification maps. (b) Free-fermion topological phases can be categorized into three classes, depending on whether their parent supercharges (i) are necessarily nonlocal (NL), (ii) can be local but then necessarily asymmetric (LAS), or (iii) can be both local and symmetric (LS) (see Table I). (c) Entanglement-spectrum (ES) duality for two subsystems related by the identification map. For topological free-fermion phases, the subsystem on the bosonic side contains strongly squeezed modes.

from the Hermiticity of the supercharge. If the fermion-boson coupling in \hat{Q} is short ranged, i.e., decays no slower than exponentially, we know that $q(\mathbf{k})$ is analytic in \mathbf{k} and vice versa [24]. We will call such a supercharge *local*.

By taking the square of the supercharge in Eq. (1), we obtain a SUSY pair of fermion and boson bands [cf. Fig. 1(a)]:

$$\begin{aligned} \hat{H} &= \hat{Q}^2 = \hat{H}_f + \hat{H}_b, \\ \hat{H}_f &= \frac{1}{2} \sum_{\mathbf{k}} \begin{bmatrix} \hat{c}_{\mathbf{k}}^\dagger \\ \hat{c}_{-\mathbf{k}} \end{bmatrix}^T h_f(\mathbf{k}) \begin{bmatrix} \hat{c}_{\mathbf{k}} \\ \hat{c}_{-\mathbf{k}}^\dagger \end{bmatrix}, \\ \hat{H}_b &= \frac{1}{2} \sum_{\mathbf{k}} \begin{bmatrix} \hat{b}_{\mathbf{k}}^\dagger \\ \hat{b}_{-\mathbf{k}} \end{bmatrix}^T h_b(\mathbf{k}) \begin{bmatrix} \hat{b}_{\mathbf{k}} \\ \hat{b}_{-\mathbf{k}}^\dagger \end{bmatrix}, \end{aligned} \quad (3)$$

where the Bogoliubov–de Gennes (BdG) Hamiltonians $h_f(\mathbf{k})$ and $h_b(\mathbf{k})$ are determined by $q(\mathbf{k})$ via

$$\begin{aligned} h_f(\mathbf{k}) &= q(\mathbf{k})Zq(\mathbf{k})^\dagger, \quad Z \equiv \sigma_z \otimes \mathbb{1}, \\ h_b(\mathbf{k}) &= q(\mathbf{k})^\dagger q(\mathbf{k}). \end{aligned} \quad (4)$$

The full derivation of the above results is given in Appendix A. As an important implication of SUSY, the dynamical matrices $h_f(\mathbf{k})$ and $Zh_b(\mathbf{k})$ share the same spectrum [25]. This can be easily seen from the fact that the spectrum of AB is identical to that of BA for any two matrices. It is also clear that the necessary and sufficient condition for a nonzero bandgap (at zero energy) is $\det q(\mathbf{k}) \neq 0$.

III. TOPOLOGICAL OBSTRUCTION FROM LOCALITY AND SYMMETRY

To discuss band topology, we should impose the locality such that $h_{f,b}(\mathbf{k})$ is a smooth map from $T^d \equiv (2\pi\mathbb{R}/\mathbb{Z})^d$ (dD torus) to a matrix space constrained by the gap condition and symmetries. We define two Hamiltonians to be topologically equivalent if they can be smoothly interpolated within

TABLE I. Periodic table of topological insulators and superconductors [11–13] and their realizability by the SUSY construction. Cell uncolored: the supercharge can be local and symmetric. Cells in dark red: the supercharge necessarily breaks the locality. Cells in light red: the supercharge, if local, necessarily breaks the symmetry. Cells in light blue: a subgroup $2\mathbb{Z}$ out of \mathbb{Z} can be generated by local and symmetric supercharges. Otherwise, the supercharge necessarily breaks the symmetry, provided that it is local.

AZ	$d=0$	1	2	3	4	5	6	7
A	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AI	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
BDI	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
D	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$	0
DIII	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	$2\mathbb{Z}$
AII	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
CII	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
C	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
CI	0	0	0	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}

the map space, possibly with the assistance of some auxiliary bands [10].

As pointed out in Ref. [20], $h_b(\mathbf{k})$ is always trivial when requiring a gap at zero energy in the dynamical matrix. This can be seen from the fact that it can always be smoothly deformed into the identity via a linear interpolation, which preserves any additional symmetries. This result is consistent with the triviality of short-range correlated bosonic Gaussian states [26]. Moreover, $h_b(\mathbf{k})$ can always be generated by a local and symmetric supercharge with $q(\mathbf{k}) = \sqrt{h_b(\mathbf{k})}$.

On the other hand, $h_f(\mathbf{k})$ can be nontrivial. Remarkably, if we assume that the supercharge is local and has a symmetry, we will find that not all topological fermion bands can be generated. In fact, the SUSY construction can only cover disentangled topological phases, which can be transformed into trivial product states [27] by some unitary Gaussian operations that preserve locality and symmetries. To see this, we perform the polar decomposition of $q(\mathbf{k})$ in Eq. (1): $q(\mathbf{k}) = u(\mathbf{k})|q(\mathbf{k})|$, where $|q(\mathbf{k})| \equiv \sqrt{q(\mathbf{k})^\dagger q(\mathbf{k})}$ is Hermitian and positive definite and $u(\mathbf{k}) = q(\mathbf{k})|q(\mathbf{k})|^{-1}$ is unitary. Without breaking locality, symmetries, or closing the gap, $q(\mathbf{k})$ can be smoothly unitarized into $u(\mathbf{k})$ via $(1-\lambda)q(\mathbf{k}) + \lambda u(\mathbf{k})$ ($\lambda \in [0, 1]$). Note that $u(\mathbf{k})Zu(\mathbf{k})^\dagger$ generated by the unitarized supercharge is a disentangled Hamiltonian [26].

A broader class of topological phases can be created if we loosen the constraints on the supercharge. If we do not require the supercharge to obey the same symmetries as the phase but still impose the locality, then those phases that become disentangled upon removing the symmetries can be generated. All the symmetry-protected topological phases that become trivial in the absence of symmetries can arise from a local supercharge since trivial states are in the same phase as product states and they are connected by some trivial Gaussian operations [26]. We summarize the results for all the tenfold fundamental symmetry classes in Table I. While the full derivation is a bit involved (see Appendixes B and C), simple explanations are available in specific cases. For example, two-dimensional (2D) Chern insulators (in class A) cannot be

generated by local supercharges since the Wannier functions cannot be exponentially localized [28].

Forgoing locality as well, one can obtain all topological phases from the SUSY construction. Given an arbitrary $h_f(\mathbf{k})$, the corresponding supercharge can be chosen as $q(\mathbf{k}) = V(\mathbf{k})[\sigma_0 \otimes \Lambda_+(\mathbf{k})^{1/2}]$, where $V(\mathbf{k}) = XV(-\mathbf{k})^*X$ and diagonal matrix $\Lambda_+(\mathbf{k}) > 0$ are determined from $h_f(\mathbf{k}) = V(\mathbf{k})[\sigma_z \otimes \Lambda_+(\mathbf{k})]V(\mathbf{k})^\dagger$. Note that, while $\Lambda_+(\mathbf{k})$ is always continuous in \mathbf{k} , $V(\mathbf{k})$ may not be continuous.

IV. IDENTIFICATION MAP AND ENTANGLEMENT DUALITY

The supercharge induces a pair of identification maps which, in a canonical way, identify fermionic subsystems with bosonic subsystems and vice versa. Subsystems identified in this way were recently shown to obey an entanglement duality [22] which, in the following, we review briefly and investigate in the context of translation invariant systems.

The identification maps are most easily defined in terms of their action on the eigenmodes of the system. Let $\hat{\mathbf{f}}_{\mathbf{k}} = [\hat{f}_{\mathbf{k}1}, \hat{f}_{\mathbf{k}2}, \dots, \hat{f}_{\mathbf{k}|\mathcal{I}|}]$ and $\hat{\phi}_{\mathbf{k}} = [\hat{\phi}_{\mathbf{k}1}, \hat{\phi}_{\mathbf{k}2}, \dots, \hat{\phi}_{\mathbf{k}|\mathcal{I}|}]$ be such that they diagonalize the Hamiltonians in Eq. (3), i.e., $\hat{H}_f = \frac{1}{2} \sum_{\mathbf{k}} \sum_{\alpha=1}^{|\mathcal{I}|} \epsilon_{\mathbf{k}\alpha} (\hat{f}_{\mathbf{k}\alpha}^\dagger \hat{f}_{\mathbf{k}\alpha} + \hat{f}_{-\mathbf{k}\alpha} \hat{f}_{-\mathbf{k}\alpha}^\dagger)$ and $\hat{H}_b = \frac{1}{2} \sum_{\mathbf{k}} \sum_{\alpha=1}^{|\mathcal{I}|} \epsilon_{\mathbf{k}\alpha} (\hat{\phi}_{\mathbf{k}\alpha}^\dagger \hat{\phi}_{\mathbf{k}\alpha} + \hat{\phi}_{-\mathbf{k}\alpha} \hat{\phi}_{-\mathbf{k}\alpha}^\dagger)$. Then the identification maps identify bosonic and fermionic eigenmodes with identical excitation energies. Specifically, the first map acts as $\mathcal{L}_1(\hat{\mathbf{f}}_{\mathbf{k}}) = \hat{\phi}_{\mathbf{k}}$, and the second acts as $\mathcal{L}_2(\hat{\phi}_{\mathbf{k}}) = -i\hat{\mathbf{f}}_{\mathbf{k}}$. In the original basis, the action of the identification map is given by

$$\begin{aligned} \mathcal{L}_1 \left(\begin{bmatrix} \hat{\mathbf{c}}_{\mathbf{k}} \\ \hat{\mathbf{c}}_{-\mathbf{k}}^\dagger \end{bmatrix} \right) &= L_1(\mathbf{k}) \begin{bmatrix} \hat{\mathbf{b}}_{\mathbf{k}} \\ \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger \end{bmatrix}, \\ \mathcal{L}_2 \left(\begin{bmatrix} \hat{\mathbf{b}}_{\mathbf{k}} \\ \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger \end{bmatrix} \right) &= L_2(\mathbf{k}) \begin{bmatrix} \hat{\mathbf{c}}_{\mathbf{k}} \\ \hat{\mathbf{c}}_{-\mathbf{k}}^\dagger \end{bmatrix}, \end{aligned} \quad (5)$$

with the matrices

$$\begin{aligned} L_1(\mathbf{k}) &= |h_f(\mathbf{k})|^{-1/2} q(\mathbf{k}), \\ L_2(\mathbf{k}) &= -iZq(\mathbf{k})^\dagger |h_f(\mathbf{k})|^{-1/2}. \end{aligned} \quad (6)$$

Hence, if the fermion-boson coupling is short ranged, i.e., $q(\mathbf{k})$ is analytic, so are $L_1(\mathbf{k})$ and $L_2(\mathbf{k})$. Therefore, for a short-range supercharge \hat{Q} , both \mathcal{L}_1 and \mathcal{L}_2 are locality-preserving mappings between the fermionic and bosonic lattices.

The identification maps preserve the expectation value of the commutator and anticommutator of linear operators, i.e., for arbitrary fermionic linear operators \hat{f}_1, \hat{f}_2 :

$$\langle \Psi_f | [\hat{f}_1, \hat{f}_2] | \Psi_f \rangle = \langle \Psi_b | [\mathcal{L}_1(\hat{f}_1), \mathcal{L}_1(\hat{f}_2)] | \Psi_b \rangle, \quad (7)$$

and accordingly for the anticommutator and for \mathcal{L}_2 . Also, the identification maps fully encode the ground state of the Hamiltonians in Eq. (1). This is because their compositions yield the linear complex structures $\mathcal{J}_f = \mathcal{L}_2 \circ \mathcal{L}_1$ of the fermionic ground state $|\Psi_f\rangle$ and $\mathcal{J}_b = \mathcal{L}_1 \circ \mathcal{L}_2$ of the bosonic ground state $|\Psi_b\rangle$ [22,29,30]. The linear complex structures relate the expectation values of anticommutators and commutators in the ground states as $\langle \Psi_b | \{\hat{\phi}_1, \hat{\phi}_2\} | \Psi_b \rangle = [\hat{\phi}_1, \mathcal{J}_b(\hat{\phi}_2)]$ for any bosonic linear operators $\hat{\phi}_1, \hat{\phi}_2$ and $\langle \Psi_f | [\hat{f}_1, \hat{f}_2] | \Psi_f \rangle = -\{\hat{f}_1, \mathcal{J}_f(\hat{f}_2)\}$ for any fermionic linear operators \hat{f}_1, \hat{f}_2 .

The linear complex structures also encode the entanglement content of the ground states. To this end, consider subsystems consisting of a single fermionic/bosonic mode \hat{c}/\hat{b} . If the reduced state of a mode is pure, i.e., if the mode is in a product state with the complement of its ground state $|\Psi_{f/b}\rangle$, then the restriction of $\mathcal{J}_{f/b}$ to the linear space spanned by \hat{c}/\hat{b} and $\hat{c}^\dagger/\hat{b}^\dagger$ has eigenvalues $\pm i$ [31]. However, if the reduced state is mixed, i.e., the mode is entangled with the complement, then the restriction of $\mathcal{J}_{f/b}$ to the subsystem has eigenvalues $\pm i\lambda_{f/b}$ with $\lambda_f \in [0, 1)$, $\lambda_b \in (1, \infty]$. The von Neumann entropy of the mode is then $s(\lambda_{f/b})$ with $s(x) = \frac{1+x}{2} |\log(\frac{1+x}{2})| - \frac{1-x}{2} |\log(\frac{1-x}{2})|$. For fermions, $\lambda_f \rightarrow 0$ signals maximal entanglement of $s_f \rightarrow \log 2$, and for bosons, $\lambda_f \rightarrow 0$ signals diverging entanglement $s_b \rightarrow \infty$. Since $|\Psi_{f/b}\rangle$ is Gaussian, subsystems consisting of several modes can be decomposed in terms of the normal modes of the subsystems, and then the above applies mode by mode [22,29,30].

The entanglement duality [22] asserts that, if a fermionic and a bosonic subsystem are related by an identification map, e.g., $\mathcal{L}_1(\hat{c}) = \hat{b}$ or $\mathcal{L}_2(\hat{b}) = \hat{c}$, then the eigenvalues of the restricted complex linear structures are inverses of each other:

$$\lambda_f = \frac{1}{\lambda_b}. \quad (8)$$

This means that, on the one hand, modes in pure states are mapped to modes in pure states, and on the other hand, highly entangled modes are mapped to highly entangled modes.

If the fermion system is topological, the reduced state on some region of the lattice is known to contain some (almost) maximally entangled modes [21]. For such modes, the eigenvalue λ_f approaches zero, and its entanglement entropy approaches $\log 2$. On the bosonic side, such a system is mapped to a subsystem with divergent values of λ_b and thus a divergent entanglement entropy. However, because the identification maps preserve locality, the real-space profile does not change much under the mapping from the fermionic to the bosonic lattice. Hence, the divergent entanglement in the dual bosonic mode necessarily is due to high squeezing. In fact, this can be seen by considering a highly entangled fermionic mode operator \hat{c}' , i.e., with $\langle \Psi_f | [\hat{c}', \hat{c}'^\dagger] | \Psi_f \rangle = \epsilon \ll 1$. Through \mathcal{L}_1 , this mode is identified with the bosonic mode defined by $\hat{b}' = \mathcal{L}(\hat{c}')/\sqrt{\epsilon}$. The rescaling with $\sqrt{\epsilon}$, which is necessary due to Eq. (7) to obtain a proper bosonic normalization, causes the coefficients in the expansion of \hat{b}' to diverge as $\epsilon \rightarrow 0$.

V. EXAMPLES

As a canonical example, we consider the one-dimensional (1D) Kitaev chain [32] under periodic boundary conditions:

$$h_f(k) = -2t \sin k \sigma_y + (\mu + 2t \cos k) \sigma_z. \quad (9)$$

Following the construction given in the Appendices B and D, one finds that this Hamiltonian is generated by the supercharge $q(k) = \sqrt{\epsilon_k} V(k)$ with $\epsilon_k = \sqrt{\mu^2 + 4t^2 + 4t\mu \cos k}$ and $V(k) = (\sigma_0 + \sigma_x)/2 + (\mu + 2te^{ik})(\sigma_0 - \sigma_x)/(2\epsilon_k)$. This supercharge generates the bosonic Hamiltonian with $h_b(k) = \epsilon_k \sigma_0$, which in contrast to the Kitaev chain is particle-number conserving and thus has a trivial ground state.

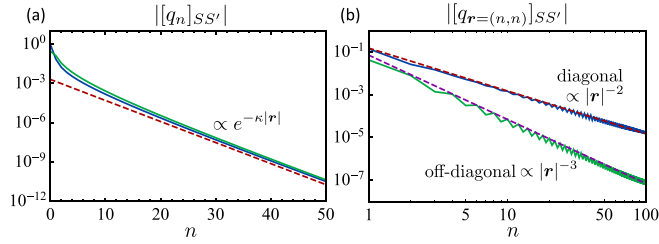


FIG. 2. Real-space profiles of the diagonal (blue) and off-diagonal (green) components in the supercharge for (a) the Kitaev chain in Eq. (9) with $\mu = 1$, $t = 0.7$ (system size: 400) and (b) the two-dimensional (2D) chiral superconductor (10) with $m = 1$ [direction: $\mathbf{r} \propto (1, 1)$, system size: 400×400]. In (a), both components decay exponentially. In (b), the diagonal/off-diagonal decays algebraically as $|\mathbf{r}|^{-2}/|\mathbf{r}|^{-3}$. Here, $q_{\mathbf{r}} = |\Omega|^{-1} \sum_{\mathbf{k}} q(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{r}}$ and $S = \pm s$ ($s \in I$), where \pm arises from the creation or annihilation half of the basis [cf. Eq. (1)].

Both the supercharge [cf. Fig. 2(a)] and the bosonic Hamiltonian are short range. However, whereas both \hat{H}_f and \hat{H}_b are mirror symmetric, the supercharge is not. This asymmetry shows most clearly in the flat band case $\mu = 0$, where the fermionic eigenmodes are formed by pairing Majorana modes on adjacent sides $\hat{f}_j = (\hat{c}_j + \hat{c}_{j+1} + \hat{c}_j^\dagger - \hat{c}_{j+1}^\dagger)/2$. Note that $\mathcal{L}_1(\hat{f}_j) = \hat{b}_j$, implying that the bosonic operator on the j th site is identified with a fermionic mode residing equally on the j th and $(j+1)$ th sites, thus breaking mirror symmetry.

This asymmetry persists in the topological phase of the Kitaev chain also for nonzero values of μ . Figure 3 demonstrates this by showing the localization of the fermionic entanglement edge mode in a subsystem and that of the bosonic dual mode. The figure also conveys that the dual bosonic mode is highly squeezed. This behavior is also related to the very different scaling of the entanglement entropy of fermionic subsystems and their bosonic dual subsystems, also given in Fig. 3. On the fermion side, the entanglement entropy approaches a finite value as the subsystem size l increases. Meanwhile, as l increases, the minimal λ_f decays exponentially. Due to the duality in Eq. (8), this leads to dual bosonic eigenvalue which is increasing exponentially, thus leading to a scaling of $\mathcal{O}(l)$ of the entanglement entropy on the boson side.

We turn to consider a 2D chiral superconductor (class D), which is also described by a two-band BdG Hamiltonian:

$$h_f(\mathbf{k}) = \sin k_x \sigma_x + \sin k_y \sigma_y + (m - \cos k_x - \cos k_y) \sigma_z. \quad (10)$$

For $0 < |m| < 2$, the system is in a topological phase characterized by a nontrivial Chern number [33]. According to the previous general analysis, such a topological phase cannot be generated by a local supercharge. Nevertheless, we can still construct a nonlocal supercharge whose diagonal (hopping)/off-diagonal (pairing) component follows a power law decay $|\mathbf{r}|^{-2}/|\mathbf{r}|^{-3}$ [cf. Fig. 2(b)]. These algebraic tails arise from the nonanalyticity of $q(\mathbf{k})$ at specific points in the Brillouin zone (see Appendix B 1). It might be interesting to ask whether the supercharge could be more localized than $|\mathbf{r}|^{-2}$ decay.

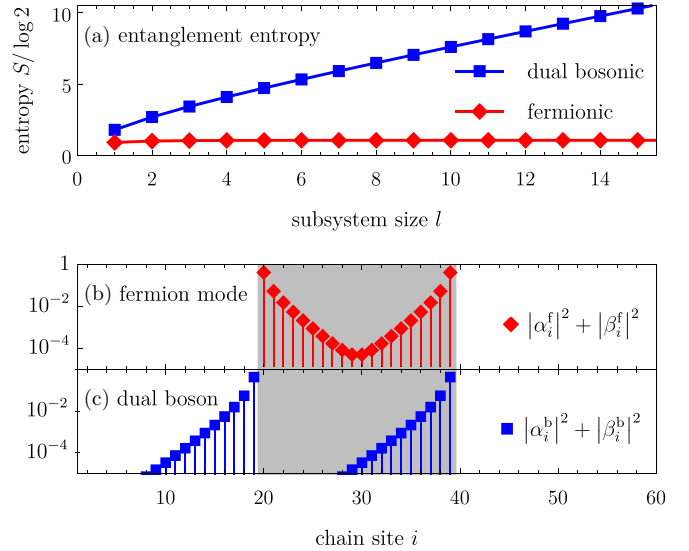


FIG. 3. Entanglement in the fermionic one-dimensional (1D) Kitaev chain in Eq. (9) with $\mu = 1$ and $t = 0.7$ (system size: 60) and its supersymmetric (SUSY) bosonic dual. (a) Entanglement entropy for fermionic subsystems of varying length l and for their dual bosonic subsystems. (b) Profile of a topological fermionic entanglement edge mode $\hat{c}' = \sum_i \alpha_i^f \hat{c}_i + \beta_i^f \hat{c}_i^\dagger$ carrying almost maximal entanglement. This mode is symmetrically localized at both edges of the subsystem (shaded region). (c) Profile of the dual bosonic mode $\mathcal{L}_1(\hat{c}') = \sum_i \alpha_i^b \hat{c}_i + \beta_i^b \hat{c}_i^\dagger$. In contrast to the fermionic mode, it is not mirror symmetric, and counterintuitively, at the left edge, it is localized outside the shaded region. (Note that $\mathcal{L}_1(\hat{c}')$ is not a normalized bosonic ladder operator, but $[\mathcal{L}_1(\hat{c}'), \mathcal{L}_1(\hat{c}')^\dagger] \approx 1.78 \times 10^{-4}$; hence, after normalizing the operator, we obtain a highly squeezed bosonic mode.)

As a final example, we mention that all the time-reversal-symmetric topological insulators (class AII), both in 2D and three dimensions (3D), can be generated by local time-reversal-symmetric supercharges that break the U(1) symmetry (see Appendix B 3).

VI. DISCUSSIONS

Strictly local systems, whose coupling ranges are finite, constitute an important subclass of short-range systems. All the examples of \hat{H}_f above fall into this category. It is natural to ask whether the supercharge can also be chosen to be strictly local for them. While we do not have a complete answer, we can show this is possible at least for classes BDI, CII, and AIII in any dimension (see Appendix B 2). This is to be contrasted to zero-length correlated topological phases, i.e., those with strictly local (compactly supported) Wannier functions, which do not exist in $d \geq 2$ D for all the fundamental symmetry classes [34].

A remarkable observation in the numerical demonstration is the spatial asymmetry in the identification map for the Kitaev chain, which is mirror symmetric. In fact, the identification map or equivalently the supercharge can never be mirror symmetric under the locality constraint. The most convenient way to see this is to forget the time-reversal symmetry (TRS) and regard the Kitaev chain as a nontrivial phase in

class D, which is classified by \mathbb{Z}_2 in 1D. This \mathbb{Z}_2 index is determined by the parity of the winding number of $q(k)$. Provided that the supercharge is mirror symmetric, its winding number will be enforced to be even and thus cannot generate a nontrivial Kitaev chain. It would be interesting to explore the topological constraints of additional symmetries in a more general setting.

Finally, we note that the exemplified boson Hamiltonians respect U(1) particle-number symmetry, although the corresponding fermion Hamiltonians and supercharges do not. In fact, given a general local supercharge, we can always gauge transform it in a locality-preserving manner such that $h_f(\mathbf{k})$ [$h_b(\mathbf{k})$] remains invariant, while $h_b(\mathbf{k})$ [$h_f(\mathbf{k})$] becomes particle conserving (see Appendix D). This result implies that all the fermion topological phases can be mapped into the boson vacuum, the ground state of an arbitrary U(1) symmetric boson Hamiltonian.

VII. SUMMARY AND OUTLOOK

We have examined the role of topology in the SUSY construction of quadratic fermion and boson Hamiltonians. We have found that not all the topological fermion bands can be generated by local and symmetric supercharges. We have also clarified that the boson bands are always trivial, and the topological information is encoded in the SUSY map. The apparent inconsistency with the entanglement duality can be resolved by noting that the bosonic subsystem could be highly squeezed.

In this paper, we raise many open problems for future studies. On top of those in the Discussion part, it would be interesting to see whether and how the SUSY construction can be extended to unstable and metastable [35,36] bosonic systems. Probably the supercharge and the fermion Hamiltonian should be non-Hermitian [24,37]. It might also be interesting to consider the generalization to a full open-system setting (described by Lindbladians) and to systems in the continuum rather than lattices.

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APPENDIX A: DERIVATION OF THE SUSY HAMILTONIANS

1. Supercharge

We start by explaining why a translation-invariant supercharge takes the form of Eq. (1). In the most general case, a supercharge for N pairs of fermion and boson modes, denoted as c_j and b_j ($j = 1, 2, \dots, N$), respectively, can be written as

$$\hat{Q} = \begin{bmatrix} \hat{\mathbf{c}}^\dagger \\ \hat{\mathbf{c}} \end{bmatrix}^\dagger \begin{bmatrix} U^* & T^* \\ T & U \end{bmatrix} \begin{bmatrix} \hat{\mathbf{b}} \\ \hat{\mathbf{b}}^\dagger \end{bmatrix}, \quad (\text{A1})$$

where $\hat{\mathbf{c}} = [\hat{c}_1, \hat{c}_2, \dots, \hat{c}_N]^\dagger$, $\hat{\mathbf{b}} = [\hat{b}_1, \hat{b}_2, \dots, \hat{b}_N]^\dagger$, and U and T are two arbitrary $N \times N$ matrices.

Let us then consider the specific situation in the main text, i.e., both of the fermions and bosons live on a dD lattice $\Omega \subset \mathbb{Z}^d$ with a set of internal states I at each unit cell. In this case, we have $N = |\Omega||I|$, and the fermion/boson modes are denoted as $c_{\mathbf{r}s}/b_{\mathbf{r}s}$ ($\mathbf{r} \in \Omega$, $s \in I$). Imposing periodic boundary conditions and translation invariance on the supercharge in Eq. (A1), we have $T_{\mathbf{r}s,\mathbf{r}'s'} = T_{\mathbf{r}-\mathbf{r}',ss'}$ and $U_{\mathbf{r}s,\mathbf{r}'s'} = U_{\mathbf{r}-\mathbf{r}',ss'}$ and can define their Fourier transformations as $[T_{\mathbf{k}}]_{ss'} = \sum_{\delta\mathbf{r} \in \Omega} T_{\delta\mathbf{r},ss'} e^{-i\mathbf{k} \cdot \delta\mathbf{r}}$ and $[U_{\mathbf{k}}]_{ss'} = \sum_{\delta\mathbf{r} \in \Omega} U_{\delta\mathbf{r},ss'} e^{-i\mathbf{k} \cdot \delta\mathbf{r}}$, which are both $|I| \times |I|$ matrices. In terms of the momentum basis, we can decompose Eq. (A1) as $\hat{Q} = \sum_{\mathbf{k}} \hat{Q}_{\mathbf{k}}$, where

$$\hat{Q}_{\mathbf{k}} = \begin{bmatrix} \hat{\mathbf{c}}_{\mathbf{k}}^\dagger \\ \hat{\mathbf{c}}_{-\mathbf{k}} \end{bmatrix}^\dagger \begin{bmatrix} U_{-\mathbf{k}}^* & T_{-\mathbf{k}}^* \\ T_{\mathbf{k}} & U_{\mathbf{k}} \end{bmatrix} \begin{bmatrix} \hat{\mathbf{b}}_{\mathbf{k}} \\ \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger \end{bmatrix}. \quad (\text{A2})$$

The $2|I| \times 2|I|$ matrix in Eq. (A2) turns out to be the general form of $q(\mathbf{k})$ constrained by Eq. (2). One can also check that

$$\hat{Q}_{\mathbf{k}}^\dagger = \hat{Q}_{-\mathbf{k}}, \quad \{\hat{Q}_{\mathbf{k}}, \hat{Q}_{\mathbf{k}'}\} = 0, \quad \forall \mathbf{k} \neq -\mathbf{k}'. \quad (\text{A3})$$

2. BdG Hamiltonians

To simplify the calculations, we can express the SUSY Hamiltonian as an anticommutator:

$$\hat{H} = \hat{Q}^2 = \frac{1}{2} \{\hat{Q}, \hat{Q}\}. \quad (\text{A4})$$

Using Eq. (A3), we can decompose the SUSY Hamiltonian as $\hat{H} = \sum_{\mathbf{k}} \hat{H}_{\mathbf{k}}$, where

$$\hat{H}_{\mathbf{k}} = \frac{1}{2} \{\hat{Q}_{\mathbf{k}}, \hat{Q}_{-\mathbf{k}}\} = \frac{1}{2} \{\hat{Q}_{\mathbf{k}}, \hat{Q}_{\mathbf{k}}^\dagger\}. \quad (\text{A5})$$

Noting that

$$\hat{Q}_{\mathbf{k}} = \hat{r}_{\mathbf{k}} + \hat{r}_{-\mathbf{k}}^\dagger, \quad \hat{r}_{\mathbf{k}} = \hat{\mathbf{c}}_{\mathbf{k}}^\dagger (U_{-\mathbf{k}}^* \hat{\mathbf{b}}_{\mathbf{k}} + T_{-\mathbf{k}}^* \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger), \quad (\text{A6})$$

we can express $H_{\mathbf{k}}$ explicitly as

$$\hat{H}_{\mathbf{k}} = \frac{1}{2} \{ \{\hat{r}_{\mathbf{k}}, \hat{r}_{-\mathbf{k}}\} + \{\hat{r}_{\mathbf{k}}, \hat{r}_{\mathbf{k}}^\dagger\} + \{\hat{r}_{\mathbf{k}}^\dagger, \hat{r}_{-\mathbf{k}}^\dagger\} + \{\hat{r}_{-\mathbf{k}}, \hat{r}_{-\mathbf{k}}^\dagger\} \}. \quad (\text{A7})$$

Hence, it suffices to calculate $\{\hat{r}_{\mathbf{k}}, \hat{r}_{-\mathbf{k}}\}$ and $\{\hat{r}_{\mathbf{k}}, \hat{r}_{\mathbf{k}}^\dagger\}$ since we can then obtain $\{\hat{r}_{\mathbf{k}}^\dagger, \hat{r}_{-\mathbf{k}}^\dagger\}$ and $\{\hat{r}_{-\mathbf{k}}, \hat{r}_{-\mathbf{k}}^\dagger\}$ by taking the Hermitian conjugate or replacing \mathbf{k} by $-\mathbf{k}$, respectively.

The result of $\{\hat{r}_{\mathbf{k}}, \hat{r}_{-\mathbf{k}}\}$ turns out to be

$$\{\hat{r}_{\mathbf{k}}, \hat{r}_{-\mathbf{k}}\} = [\hat{\mathbf{c}}_{\mathbf{k}}^\dagger]^\dagger (U_{-\mathbf{k}}^* T_{\mathbf{k}}^\dagger - T_{-\mathbf{k}}^* U_{\mathbf{k}}^\dagger) \hat{\mathbf{c}}_{-\mathbf{k}}, \quad (\text{A8})$$

from which we know

$$\{\hat{r}_{\mathbf{k}}^\dagger, \hat{r}_{-\mathbf{k}}^\dagger\} = \hat{\mathbf{c}}_{-\mathbf{k}}^\dagger (T_{\mathbf{k}} U_{-\mathbf{k}}^\dagger - U_{\mathbf{k}} T_{-\mathbf{k}}^\dagger) \hat{\mathbf{c}}_{\mathbf{k}}. \quad (\text{A9})$$

The result of $\{\hat{r}_{\mathbf{k}}, \hat{r}_{\mathbf{k}}^\dagger\}$ turns out to be

$$\begin{aligned} \{\hat{r}_{\mathbf{k}}, \hat{r}_{\mathbf{k}}^\dagger\} &= [\hat{\mathbf{b}}_{\mathbf{k}}^\dagger]^\dagger U_{-\mathbf{k}}^\dagger U_{-\mathbf{k}}^* \hat{\mathbf{b}}_{\mathbf{k}} + \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger T_{-\mathbf{k}}^\dagger T_{-\mathbf{k}}^* \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger \\ &\quad + \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger T_{-\mathbf{k}}^\dagger U_{-\mathbf{k}}^* \hat{\mathbf{b}}_{\mathbf{k}} + [\hat{\mathbf{b}}_{\mathbf{k}}^\dagger]^\dagger U_{-\mathbf{k}}^\dagger T_{-\mathbf{k}}^* \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger \\ &\quad + [\hat{\mathbf{c}}_{\mathbf{k}}^\dagger]^\dagger (U_{-\mathbf{k}}^* U_{-\mathbf{k}}^\dagger - T_{-\mathbf{k}}^* T_{-\mathbf{k}}^\dagger) \hat{\mathbf{c}}_{\mathbf{k}}, \end{aligned} \quad (\text{A10})$$

from which we know

$$\begin{aligned} \{\hat{r}_{-\mathbf{k}}, \hat{r}_{-\mathbf{k}}^\dagger\} &= \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger U_{\mathbf{k}}^\dagger U_{\mathbf{k}}^* \hat{\mathbf{b}}_{-\mathbf{k}} + [\hat{\mathbf{b}}_{\mathbf{k}}^\dagger]^\dagger T_{\mathbf{k}}^\dagger T_{\mathbf{k}}^* \hat{\mathbf{b}}_{\mathbf{k}} \\ &\quad + \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger U_{\mathbf{k}}^\dagger T_{\mathbf{k}}^* \hat{\mathbf{b}}_{\mathbf{k}} + [\hat{\mathbf{b}}_{\mathbf{k}}^\dagger]^\dagger T_{\mathbf{k}}^\dagger U_{\mathbf{k}}^* \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger \\ &\quad + \hat{\mathbf{c}}_{-\mathbf{k}}^\dagger (T_{\mathbf{k}} T_{\mathbf{k}}^\dagger - U_{\mathbf{k}} U_{\mathbf{k}}^\dagger) \hat{\mathbf{c}}_{-\mathbf{k}}. \end{aligned} \quad (\text{A11})$$

Combining all the results above, we end up with

$$\hat{H}_{\mathbf{k}}^f = \frac{1}{2} \begin{bmatrix} \hat{\mathbf{c}}_{\mathbf{k}}^\dagger \\ \hat{\mathbf{c}}_{-\mathbf{k}} \end{bmatrix}^T h_f(\mathbf{k}) \begin{bmatrix} \hat{\mathbf{c}}_{\mathbf{k}} \\ \hat{\mathbf{c}}_{-\mathbf{k}}^\dagger \end{bmatrix},$$

$$h_f(\mathbf{k}) = \begin{bmatrix} U_{-\mathbf{k}}^* U_{-\mathbf{k}}^T - T_{-\mathbf{k}}^* T_{-\mathbf{k}}^T & U_{-\mathbf{k}}^* T_{\mathbf{k}}^\dagger - T_{-\mathbf{k}}^* U_{\mathbf{k}}^\dagger \\ T_{\mathbf{k}} U_{-\mathbf{k}}^T - U_{\mathbf{k}} T_{-\mathbf{k}}^T & T_{\mathbf{k}} T_{\mathbf{k}}^\dagger - U_{\mathbf{k}} U_{\mathbf{k}}^\dagger \end{bmatrix}, \quad (\text{A12})$$

and

$$\hat{H}_{\mathbf{k}}^b = \frac{1}{2} \begin{bmatrix} \hat{\mathbf{b}}_{\mathbf{k}}^\dagger \\ \hat{\mathbf{b}}_{-\mathbf{k}} \end{bmatrix}^T h_b(\mathbf{k}) \begin{bmatrix} \hat{\mathbf{b}}_{\mathbf{k}} \\ \hat{\mathbf{b}}_{-\mathbf{k}}^\dagger \end{bmatrix},$$

$$h_b(\mathbf{k}) = \begin{bmatrix} U_{-\mathbf{k}}^T U_{-\mathbf{k}}^* + T_{\mathbf{k}}^\dagger T_{\mathbf{k}} & U_{-\mathbf{k}}^T T_{-\mathbf{k}}^* + T_{\mathbf{k}}^\dagger U_{\mathbf{k}}^\dagger \\ T_{-\mathbf{k}}^T U_{-\mathbf{k}}^* + U_{\mathbf{k}}^\dagger T_{\mathbf{k}} & T_{-\mathbf{k}}^T T_{-\mathbf{k}}^* + U_{\mathbf{k}}^\dagger U_{\mathbf{k}} \end{bmatrix}. \quad (\text{A13})$$

With the matrix in Eq. (A2) denoted as $q(\mathbf{k})$, one can check that Eqs. (A12) and (A13) are nothing but Eq. (4).

APPENDIX B: CONSTRUCTING SUPERCHARGES OUT OF FERMION HAMILTONIANS

1. General construction

We introduce a general and simple way to extract the supercharge and construct the SUSY boson band for a given gapped fermion BdG Hamiltonian $h_f(\mathbf{k})$, although this construction may not guarantee the locality.

Having in mind that $h_f(\mathbf{k})$ is gapped and respects the particle-hole symmetry [PHS; i.e., $X h_f(\mathbf{k})^* X = -h_f(-\mathbf{k})$], we know that there should be exactly $n = |I|$ positive eigenenergy $\epsilon_{\mathbf{k}\alpha} > 0$ for each \mathbf{k} . We can thus identify the corresponding normalized eigenstates:

$$h_f(\mathbf{k}) u_{\mathbf{k}\alpha} = \epsilon_{\mathbf{k}\alpha} u_{\mathbf{k}\alpha}, \quad \alpha = 1, 2, \dots, n. \quad (\text{B1})$$

By again using the PHS, we have

$$X h_f(-\mathbf{k})^* u_{-\mathbf{k}\alpha}^* = -h_f(\mathbf{k}) X u_{-\mathbf{k}\alpha}^* = \epsilon_{-\mathbf{k}\alpha} X u_{-\mathbf{k}\alpha}^*, \quad (\text{B2})$$

implying that $X u_{-\mathbf{k}\alpha}^*$ is also an eigenstate but with a negative eigenenergy $-\epsilon_{-\mathbf{k}\alpha}$. Obviously, $\{u_{\mathbf{k}\alpha}, X u_{-\mathbf{k}\alpha}^*\}_{\alpha=1}^n$ form the complete eigenbasis of $h_f(\mathbf{k})$, indicating the following spectral decomposition (diagonalization):

$$h_f(\mathbf{k}) V(\mathbf{k}) = V(\mathbf{k}) \Lambda(\mathbf{k}),$$

$$V(\mathbf{k}) = \begin{bmatrix} \uparrow & \cdots & \uparrow & \uparrow & \cdots & \uparrow \\ u_{\mathbf{k}1} & \cdots & u_{\mathbf{k}n} & X u_{-\mathbf{k}1}^* & \cdots & X u_{-\mathbf{k}n}^* \\ \downarrow & \cdots & \downarrow & \downarrow & \cdots & \downarrow \end{bmatrix},$$

$$\Lambda(\mathbf{k}) = \text{diag}[\epsilon_{\mathbf{k}1} \quad \cdots \quad \epsilon_{\mathbf{k}n} \quad -\epsilon_{-\mathbf{k}1} \quad \cdots \quad -\epsilon_{-\mathbf{k}n}]. \quad (\text{B3})$$

Such a choice of the eigenbasis has appeared in Ref. [14] [cf. Eq. (5.2)] and plays an important role in calculating PHS-protected topological invariants. The privilege of this eigenbasis is rooted in the following symmetry property:

$$X V(\mathbf{k})^* X = V(-\mathbf{k}),$$

$$X |\Lambda(\mathbf{k})| X = |\Lambda(-\mathbf{k})|,$$

$$|\Lambda(\mathbf{k})| = Z \Lambda(\mathbf{k}). \quad (\text{B4})$$

Accordingly, we can choose $q(\mathbf{k})$ to be

$$q(\mathbf{k}) = V(\mathbf{k}) |\Lambda(\mathbf{k})|^{1/2}, \quad (\text{B5})$$

such that Eq. (2) is fulfilled. For a general two-band BdG Hamiltonian $h_f(\mathbf{k}) = \sum_{\mu=x,y,z} d_\mu(\mathbf{k}) \sigma_\mu$, one can check that a valid choice reads

$$q = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\phi} \sqrt{|\mathbf{d}| + d_z} & \sqrt{|\mathbf{d}| - d_z} \\ \sqrt{|\mathbf{d}| - d_z} & -e^{i\phi} \sqrt{|\mathbf{d}| + d_z} \end{pmatrix}, \quad (\text{B6})$$

where variable \mathbf{k} is dropped for simplicity, $|\mathbf{d}| = \sqrt{d_x^2 + d_y^2 + d_z^2}$, $e^{i\phi} = (d_x + id_y) / \sqrt{d_x^2 + d_y^2}$, and $d_\mu(\mathbf{k})$'s are real and satisfy $d_{x,y}(-\mathbf{k}) = -d_{x,y}(\mathbf{k})$, $d_z(-\mathbf{k}) = d_z(\mathbf{k})$. Note that the (nonlocal) supercharge for the chiral superconductor in the main text follows this construction. For this example, one can check that $e^{i\phi}$ is not well defined at the high-symmetry points $\Gamma = (0, 0)$, $(0, \pi)$, $(\pi, 0)$, and (π, π) , while $\sqrt{|\mathbf{d}| \pm d_z}$ is well defined but may exhibit a linear singularity $\sim |\mathbf{k} - \Gamma|$ nearby. After the Fourier transform, these singularities are turned into the algebraic tails of the supercharge in real space, as shown in Fig. 2(b). Similar phenomena have been observed for the parent Hamiltonians of chiral Gaussian fermionic projected entangled pair states [38].

Remarkably, the corresponding boson BdG Hamiltonian of Eq. (B5) is diagonalized:

$$h_b(\mathbf{k}) = q(\mathbf{k})^\dagger q(\mathbf{k}) = |\Lambda(\mathbf{k})|. \quad (\text{B7})$$

This Hamiltonian is always local and conserves the particle number. One can also easily write down the identification maps:

$$L_1(\mathbf{k}) = V(\mathbf{k}), \quad L_2(\mathbf{k}) = Z V(\mathbf{k})^\dagger, \quad (\text{B8})$$

which are both unitary.

While the above general construction may not be local, we can construct a local supercharge from another local one for a different Hamiltonian, provided that the Hamiltonian is in the same (in the homotopy sense) topological phase as the target one. To see this, we consider $h_f(\mathbf{k}; 0)$ and $h_f(\mathbf{k}; 1)$, which can be smoothly interpolated by $h_f(\mathbf{k}; \lambda)$ ($\lambda \in [0, 1]$). Suppose that $h_f(\mathbf{k}; 0)$ can be generated by a local supercharge $q_0(\mathbf{k})$. Then we can construct $q_1(\mathbf{k}) = v_1(\mathbf{k}) q_0(\mathbf{k})$ that generates $h_f(\mathbf{k}; 1)$, where $v_1(\mathbf{k})$ is obtained by solving

$$\partial_\lambda v_\lambda(\mathbf{k}) = \frac{1}{2} [\partial_\lambda h_f(\mathbf{k}; \lambda)] h_f(\mathbf{k}; \lambda)^{-1} v_\lambda(\mathbf{k}), \quad (\text{B9})$$

starting from $v_0(\mathbf{k}) = \mathbb{1}$. Note that $v_1(\mathbf{k})$ is analytic in \mathbf{k} and satisfies the intrinsic symmetry $X v_1(\mathbf{k})^* X = v_1(-\mathbf{k})$. According to Eq. (B9), this construction is also compatible with any additional symmetries.

2. Strictly local and symmetric constructions for chiral symmetry classes

In the previous subsection, we introduced a way to construct $q(\mathbf{k})$ out of $h_f(\mathbf{k})$. Provided that $q(\mathbf{k})$ turns out to be local, it generally exhibits exponential tails even for strictly local $h_f(\mathbf{k})$. Here, we show that, for strictly local Hamiltonians in the chiral symmetry classes AIII, BDI, and CII, which are known to be fully disentangleable [26], we can always construct strictly local and symmetric supercharges in any dimension, no matter whether $h_f(\mathbf{k})$ is topological.

Let us start from class BDI, which respects a spinless TRS alone:

$$q(\mathbf{k})^* = q(-\mathbf{k}), \quad h_f(\mathbf{k})^* = h_f(-\mathbf{k}). \quad (\text{B10})$$

The general form of the supercharge is given by

$$q(\mathbf{k}) = \frac{\sigma_0 + \sigma_x}{2} \otimes q_+(\mathbf{k}) + \frac{\sigma_0 - \sigma_x}{2} \otimes q_-(\mathbf{k}), \quad (\text{B11})$$

where $q_{\pm}(\mathbf{k})^* = q_{\pm}(-\mathbf{k})$, and that of the Hamiltonian reads

$$h_f(\mathbf{k}) = \sigma_y \otimes h_y(\mathbf{k}) + \sigma_z \otimes h_z(\mathbf{k}), \quad (\text{B12})$$

where $h_{y,z}(\mathbf{k})^\dagger = h_{y,z}(\mathbf{k})$, $h_y(\mathbf{k})^* = -h_y(-\mathbf{k})$, and $h_z(\mathbf{k})^* = h_z(-\mathbf{k})$. The supercharge in Eq. (B11) generates the following Hamiltonian:

$$q(\mathbf{k})Zq(\mathbf{k}) = \sigma_y \otimes \frac{q_+(\mathbf{k})q_-(\mathbf{k})^\dagger - q_-(\mathbf{k})q_+(\mathbf{k})^\dagger}{2i} + \sigma_z \otimes \frac{q_+(\mathbf{k})q_-(\mathbf{k})^\dagger + q_-(\mathbf{k})q_+(\mathbf{k})^\dagger}{2}. \quad (\text{B13})$$

To make the above equation the target Hamiltonian in Eq. (B12), we should require

$$\begin{aligned} q_+(\mathbf{k})q_-(\mathbf{k})^\dagger - q_-(\mathbf{k})q_+(\mathbf{k})^\dagger &= 2ih_y(\mathbf{k}), \\ q_+(\mathbf{k})q_-(\mathbf{k})^\dagger + q_-(\mathbf{k})q_+(\mathbf{k})^\dagger &= 2h_z(\mathbf{k}), \end{aligned} \quad (\text{B14})$$

which admits the following symmetry-preserving (spinless TRS) and strictly local solution:

$$q_+(\mathbf{k}) = \mathbb{1}, \quad q_-(\mathbf{k}) = h_z(\mathbf{k}) - ih_y(\mathbf{k}). \quad (\text{B15})$$

$$q(\mathbf{k})Zq(\mathbf{k})^\dagger = \begin{bmatrix} (q_1q_1^\dagger - q_2q_2^\dagger)(\mathbf{k}) & 0 & 0 & -(q_1q_2^\dagger + q_2q_1^\dagger)(\mathbf{k}) \\ 0 & (q_1^*q_1^T - q_2^*q_2^T)(-\mathbf{k}) & (q_1^*q_2^T + q_2^*q_1^T)(-\mathbf{k}) & 0 \\ 0 & (q_1^*q_2^T + q_2^*q_1^T)(-\mathbf{k}) & (q_2^*q_2^T - q_1^*q_1^T)(-\mathbf{k}) & 0 \\ -(q_1q_2^\dagger + q_2q_1^\dagger)(\mathbf{k}) & 0 & 0 & (q_2q_2^\dagger - q_1q_1^\dagger)(\mathbf{k}) \end{bmatrix}. \quad (\text{B20})$$

To make the above equation equal to the target Hamiltonian in Eq. (B19), we should require

$$\begin{aligned} q_1(\mathbf{k})q_1(\mathbf{k})^\dagger - q_2(\mathbf{k})q_2^\dagger(\mathbf{k}) &= h_1(\mathbf{k}), \\ q_1(\mathbf{k})q_2(\mathbf{k})^\dagger + q_2(\mathbf{k})q_1(\mathbf{k})^\dagger &= h_2(\mathbf{k}), \end{aligned} \quad (\text{B21})$$

which admits the following strictly local solution:

$$\begin{aligned} q_1(\mathbf{k}) &= \frac{1}{2}[h_1(\mathbf{k}) - ih_2(\mathbf{k}) + \mathbb{1}], \\ q_2(\mathbf{k}) &= \frac{i}{2}[h_1(\mathbf{k}) - ih_2(\mathbf{k}) - \mathbb{1}]. \end{aligned} \quad (\text{B22})$$

Finally, let us consider class CII. Ordering the internal degrees of freedom as Majorana, other, and spin, the constraint of spin- $\frac{1}{2}$ TRS is given by

$$\begin{aligned} (\sigma_0 \otimes \sigma_0 \otimes \sigma_y \otimes \tilde{\mathbb{1}})q(\mathbf{k})^*(\sigma_0 \otimes \sigma_0 \otimes \sigma_y \otimes \tilde{\mathbb{1}}) &= q(-\mathbf{k}), \\ (\sigma_0 \otimes \sigma_0 \otimes \sigma_y \otimes \tilde{\mathbb{1}})h_f(\mathbf{k})^*(\sigma_0 \otimes \sigma_0 \otimes \sigma_y \otimes \tilde{\mathbb{1}}) &= h_f(-\mathbf{k}), \end{aligned} \quad (\text{B23})$$

The strict locality follows from the fact that the entries of $q(\mathbf{k})$ are linear combinations of those of $h_f(\mathbf{k})$, which is strictly local by assumption.

We move on to class AIII, which respects a spin- $\frac{1}{2}$ TRS:

$$\begin{aligned} (\sigma_0 \otimes \sigma_y \otimes \tilde{\mathbb{1}})q(\mathbf{k})^*(\sigma_0 \otimes \sigma_y \otimes \tilde{\mathbb{1}}) &= q(-\mathbf{k}), \\ (\sigma_0 \otimes \sigma_y \otimes \tilde{\mathbb{1}})h_f(\mathbf{k})^*(\sigma_0 \otimes \sigma_y \otimes \tilde{\mathbb{1}}) &= h_f(-\mathbf{k}), \end{aligned} \quad (\text{B16})$$

as well as a U(1) spin-rotation symmetry along the z axis:

$$[\sigma_z \otimes \sigma_z \otimes \tilde{\mathbb{1}}, q(\mathbf{k})] = [\sigma_z \otimes \sigma_z \otimes \tilde{\mathbb{1}}, h_f(\mathbf{k})] = 0. \quad (\text{B17})$$

The general form of the supercharge is given by

$$q(\mathbf{k}) = \begin{bmatrix} q_1(\mathbf{k}) & 0 & 0 & q_2(\mathbf{k}) \\ 0 & q_1(-\mathbf{k})^* & -q_2(-\mathbf{k})^* & 0 \\ 0 & q_2(-\mathbf{k})^* & q_1(-\mathbf{k})^* & 0 \\ -q_2(\mathbf{k}) & 0 & 0 & q_1(\mathbf{k}) \end{bmatrix}, \quad (\text{B18})$$

where $q_1(\mathbf{k})$ and $q_2(\mathbf{k})$ are arbitrary (if $\det q(\mathbf{k}) \neq 0$), and that of the Hamiltonian reads

$$h_f(\mathbf{k}) = \begin{bmatrix} h_1(\mathbf{k}) & 0 & 0 & -h_2(\mathbf{k}) \\ 0 & h_1(-\mathbf{k})^* & h_2(-\mathbf{k})^* & 0 \\ 0 & h_2(-\mathbf{k})^* & -h_1(-\mathbf{k})^* & 0 \\ -h_2(\mathbf{k}) & 0 & 0 & -h_1(\mathbf{k}) \end{bmatrix}, \quad (\text{B19})$$

where $h_{1,2}(\mathbf{k})^\dagger = h_{1,2}(\mathbf{k})$. The supercharge in Eq. (B18) generates the following Hamiltonian:

while that of the nonspin SU(2) symmetry reads

$$\begin{aligned} [\sigma_z \otimes \sigma_x \otimes \sigma_0 \otimes \tilde{\mathbb{1}}, q(\mathbf{k})] &= [\sigma_z \otimes \sigma_z \otimes \sigma_0 \otimes \tilde{\mathbb{1}}, q(\mathbf{k})] = 0, \\ [\sigma_z \otimes \sigma_x \otimes \sigma_0 \otimes \tilde{\mathbb{1}}, h_f(\mathbf{k})] &= [\sigma_z \otimes \sigma_z \otimes \sigma_0 \otimes \tilde{\mathbb{1}}, h_f(\mathbf{k})] = 0. \end{aligned} \quad (\text{B24})$$

The general form of the supercharge is thus given by

$$q(\mathbf{k}) = \begin{bmatrix} \sigma_0 \otimes \tilde{a}(\mathbf{k}) & \sigma_y \otimes \tilde{b}(\mathbf{k}) \\ \sigma_y \otimes \tilde{b}(-\mathbf{k})^* & -\sigma_0 \otimes \tilde{a}(-\mathbf{k})^* \end{bmatrix}, \quad (\text{B25})$$

where

$$\begin{aligned} (\sigma_y \otimes \tilde{\mathbb{1}})\tilde{a}(\mathbf{k})^*(\sigma_y \otimes \tilde{\mathbb{1}}) &= \tilde{a}(-\mathbf{k}), \\ (\sigma_y \otimes \tilde{\mathbb{1}})\tilde{b}(\mathbf{k})^*(\sigma_y \otimes \tilde{\mathbb{1}}) &= -\tilde{b}(-\mathbf{k}), \end{aligned} \quad (\text{B26})$$

and that of the Hamiltonian reads

$$h_f(\mathbf{k}) = \begin{bmatrix} \sigma_0 \otimes \tilde{A}(\mathbf{k}) & \sigma_y \otimes \tilde{B}(\mathbf{k}) \\ \sigma_y \otimes \tilde{B}(-\mathbf{k})^* & -\sigma_0 \otimes \tilde{A}(-\mathbf{k})^* \end{bmatrix}, \quad (\text{B27})$$

where $A(\mathbf{k}) = A(\mathbf{k})^\dagger$, $(\sigma_y \otimes \tilde{\mathbb{1}})A(\mathbf{k})^*(\sigma_y \otimes \tilde{\mathbb{1}}) = A(-\mathbf{k})$ and $B(\mathbf{k})^* = B(-\mathbf{k})$, $(\sigma_y \otimes \tilde{\mathbb{1}})B(\mathbf{k})^*(\sigma_y \otimes \tilde{\mathbb{1}}) = -B(-\mathbf{k})$. The supercharge in Eq. (B25) generates the following Hamiltonian:

$$\begin{aligned} q(\mathbf{k})Zq(\mathbf{k})^\dagger &= \sigma_0 \otimes [\tilde{a}(\mathbf{k})\tilde{a}(\mathbf{k})^\dagger - \tilde{b}(\mathbf{k})\tilde{b}(\mathbf{k})^\dagger] \\ &\times \sigma_y \otimes [\tilde{a}(\mathbf{k})\tilde{b}(-\mathbf{k})^\dagger + \tilde{b}(\mathbf{k})\tilde{a}(-\mathbf{k})^\dagger] \\ &\times \sigma_y \otimes [\tilde{b}(-\mathbf{k})^*\tilde{a}(\mathbf{k})^\dagger + \tilde{a}(-\mathbf{k})^*\tilde{b}(\mathbf{k})^\dagger] \\ &\times \sigma_0 \otimes [\tilde{b}(\mathbf{k})^*\tilde{b}(\mathbf{k})^\dagger - \tilde{a}(\mathbf{k})^*\tilde{a}(\mathbf{k})^\dagger]. \end{aligned} \quad (\text{B28})$$

To make the above equation equal to the target Hamiltonian in Eq. (B27), we should require

$$\begin{aligned} \tilde{a}(\mathbf{k})\tilde{a}(\mathbf{k})^\dagger - \tilde{b}(\mathbf{k})\tilde{b}(\mathbf{k})^\dagger &= \tilde{A}(\mathbf{k}), \\ \tilde{a}(\mathbf{k})\tilde{b}(-\mathbf{k})^\dagger + \tilde{b}(\mathbf{k})\tilde{a}(-\mathbf{k})^\dagger &= \tilde{B}(\mathbf{k}), \end{aligned} \quad (\text{B29})$$

which admits the following symmetry-preserving (spin- $\frac{1}{2}$ TRS) and strictly local solution:

$$\begin{aligned} \tilde{a}(\mathbf{k}) &= \frac{1}{2}[\tilde{A}(\mathbf{k}) + \tilde{B}(\mathbf{k})(\sigma_y \otimes \tilde{\mathbb{1}}) + \mathbb{1}], \\ \tilde{b}(\mathbf{k}) &= \frac{1}{2}[\tilde{A}(\mathbf{k})(\sigma_y \otimes \tilde{\mathbb{1}}) + \tilde{B}(\mathbf{k}) + (\sigma_y \otimes \tilde{\mathbb{1}})]. \end{aligned} \quad (\text{B30})$$

One can check that Eq. (B26) is indeed fulfilled.

3. Strictly local and asymmetric constructions for classes AI and AII

The general fermion Bloch Hamiltonian in class AI takes the following form:

$$h_f(\mathbf{k}) = \sigma_z \otimes h_I(\mathbf{k}), \quad h_I(\mathbf{k})^* = h_I(-\mathbf{k}). \quad (\text{B31})$$

Note that class AI goes back to BDI if we forget the U(1) symmetry. Therefore, using the general construction for class BDI, we may choose the supercharge to be in the form of Eq. (B11), where

$$q_-(\mathbf{k}) = \mathbb{1}, \quad q_+(\mathbf{k}) = h_I(\mathbf{k}). \quad (\text{B32})$$

While this supercharge respects the TRS, the U(1) symmetry is broken in general. Moreover, whenever the Hamiltonian is strictly local, so is the supercharge.

For class AII, the general form of the fermion Bloch Hamiltonian reads

$$h_f(\mathbf{k}) = \frac{\sigma_0 + \sigma_z}{2} \otimes h_{II}(\mathbf{k}) - \frac{\sigma_0 - \sigma_z}{2} \otimes h_{II}(-\mathbf{k})^*, \quad (\text{B33})$$

where $(\sigma_y \otimes \tilde{\mathbb{1}})h_{II}(\mathbf{k})^*(\sigma_y \otimes \tilde{\mathbb{1}}) = h_{II}(-\mathbf{k})$. Note that, like class AI, class AII goes back to DIII if we forget the U(1) symmetry. The general form of a supercharge in class DIII is given by

$$q(\mathbf{k}) = \begin{bmatrix} a(\mathbf{k}) & b(\mathbf{k}) \\ b(-\mathbf{k})^* & a(-\mathbf{k})^* \end{bmatrix}, \quad (\text{B34})$$

where $(\sigma_y \otimes \tilde{\mathbb{1}})a(\mathbf{k})^*(\sigma_y \otimes \tilde{\mathbb{1}}) = a(-\mathbf{k})$ and $(\sigma_y \otimes \tilde{\mathbb{1}})b(\mathbf{k})^*(\sigma_y \otimes \tilde{\mathbb{1}}) = b(-\mathbf{k})$. To generate Eq. (B33), we only have to impose

$$\begin{aligned} a(\mathbf{k})a(\mathbf{k})^\dagger - b(\mathbf{k})b(\mathbf{k})^\dagger &= h_{II}(\mathbf{k}), \\ a(\mathbf{k})(\sigma_y \otimes \tilde{\mathbb{1}})b(\mathbf{k})^\dagger &= b(\mathbf{k})(\sigma_y \otimes \tilde{\mathbb{1}})a(\mathbf{k})^\dagger, \end{aligned} \quad (\text{B35})$$

which can be readily satisfied by

$$a(\mathbf{k}) = \frac{\mathbb{1} + h_{II}(\mathbf{k})}{2}, \quad b(\mathbf{k}) = \frac{\mathbb{1} - h_{II}(\mathbf{k})}{2}. \quad (\text{B36})$$

Again, this supercharge is strictly local, provided that the Hamiltonian is.

APPENDIX C: FULL DETAIL ON TABLE I

As mentioned in the main text, the problem concerning SUSY constructions can be related to the disentangling of topological phases, as discussed in Ref. [26]. Here, we outline the derivations without going into technical calculations and try to rephrase the argument in the language of SUSY whenever possible.

1. Local and symmetric

Let us first identify all the topological phases that can be generated by local and symmetric supercharges. The situation for the chiral-symmetry classes, including AIII, BDI, and CII, is the simplest. As one has seen in the previous section, any local Hamiltonians in these classes can be generated by a local and symmetric supercharge. Indeed, one can even require the supercharge to be strictly local (i.e., with finite coupling range) whenever the Hamiltonian is strictly local.

For the Wigner-Dyson classes, including A, AI, and AII, one can straightforwardly compute the topological invariant for a Hamiltonian generated by a local and symmetric supercharge and always find a trivial result. This is because, after continuous (smooth) deformation by unitarizing $q(\mathbf{k})$, the obtained BdG Hamiltonian can be diagonalized by a unitary that is analytic and, for classes AI and AII, respects the TRS. This implies exponentially localized Wannier functions compatible with the TRS (if any), which are forbidden by a nontrivial topology.

Care should be taken for the remaining four BdG classes, including D, DIII, C, and CI. It suffices to consider class D (C) in 0,1D (4,5D) and class DIII (CI) in 1,3,7D (3,5,7D) since, otherwise, $q(\mathbf{k})$ can be continuously trivialized into a constant while keeping the invertibility. For class D (C) in 0,1D (4,5D), there is a surjective homomorphism from the topological classes of BDI (CII) by forgetting the TRS. Since the latter can be generated by local and symmetric supercharges, so can the former. For class DIII (CI) in 3,7D, the topological number is given by the winding number. For a local and symmetric SUSY construction, the winding number is evaluated to be always even. This covers all the topological phases in 7D (3D) but only half in 3D (7D). Finally, for class DIII (CI) in 1D (5D), we have to compute the Chern-Simon form to determine the \mathbb{Z}_2 index. In the local and symmetric SUSY construction, $q(\mathbf{k})$ is determined by a smaller matrix $a(\mathbf{k})$ with no symmetry constraint. The \mathbb{Z}_2 index turns out to be the parity of the winding number of $a(\mathbf{k})$ and thus can be nontrivial.

2. Local but asymmetric

As mentioned in the main text, to determine whether a topological phase can be generated by a local supercharge without requiring any symmetry constraint, it suffices to know its image in the classification group for class D under the inclusion map by forgetting all the symmetries. Then the answer is positive (negative), if the image can (cannot) be generated by a local supercharge.

Let us first consider the Wigner-Dyson classes. For classes AI and AII in $d = 1, 2, \dots, 7D$, we can check that the image is always trivial, either from the triviality of the classification group for class D or that of the homomorphism from \mathbb{Z}_2 to \mathbb{Z} or $2\mathbb{Z}$ [39]. In 0D (more precisely, $d \equiv 0 \pmod{8D}$), since the nontrivial \mathbb{Z}_2 topological phase in class D can be generated by a local supercharge, so can all the topological phases with additional symmetries. Applying the same argument to class A, we know that SUSY constructions based on local supercharges exist in 0D and 4D. Note that, in the previous section, we have shown that all the local Hamiltonians in classes AI and AII can be generated by local supercharges by partially breaking the $U(1)$ symmetry, which can be made strictly local whenever the Hamiltonians are.

Regarding the BdG classes, we can check that the images of class DIII in 2,3D (CI in 6,7D) are trivial due to the very same reasons mentioned above. So far, we have identified all the topological phases that can be generated by local supercharges.

3. Nonlocal

Excluding the topological phases mentioned above, we finally single out the topological phases whose parent supercharges are necessarily nonlocal. These phases appear only in $d \equiv 2 \pmod{4D}$ in classes A, C, and D and are all characterized by nontrivial Chern numbers.

Before ending the section, we would like to give another (on top of the Wannier localization) physical interpretation to the absence of local parent supercharges for these chiral phases in 2D. It is well known that, in 2D, the Hall conductance at zero temperature is proportional to the Chern number and is thus nonzero if the system is in a topological phase. Suppose that the Hamiltonian can be generated by a local supercharge. We can deform the Hamiltonian into a sum of (frustration-free) commuting local terms by unitarizing $q(\mathbf{k})$. Such a system has been proved to necessarily exhibit a vanishing Hall conductance [40], leading to a contradiction.

APPENDIX D: PARTICLE-NUMBER CONSERVATION FROM GAUGE TRANSFORMATION

We prove that, given a local supercharge, it is always possible to gauge transform it to generate a $U(1)$ -symmetric (i.e., particle-number conserving or without pairing terms) SUSY boson Hamiltonian while keeping the fermion Hamiltonian fixed and vice versa.

1. $U(1)$ -symmetric h_b with fixed h_f

We first note that a gauge transformation in the supercharge $q(\mathbf{k}) \rightarrow q(\mathbf{k})S(\mathbf{k})$, where $S(\mathbf{k})$ is a symplectic matrix satisfying

$$S(\mathbf{k})ZS(\mathbf{k})^\dagger = Z, \quad XS(\mathbf{k})X = S(-\mathbf{k})^*, \quad (\text{D1})$$

does not change $h_f(\mathbf{k})$. On the other hand, $h_b(\mathbf{k}) = q(\mathbf{k})^\dagger q(\mathbf{k})$ is turned into

$$h_b(\mathbf{k}) = S(\mathbf{k})^\dagger q(\mathbf{k})^\dagger q(\mathbf{k}) S(\mathbf{k}). \quad (\text{D2})$$

One can check that the spectrum of $Zh_b(\mathbf{k})$ does not change since it undergoes a similarity transformation. To make $h_b(\mathbf{k})$

particle-number conserving (i.e., without block-off-diagonal components), we have to impose the following constraint:

$$[Z, h_b(\mathbf{k})] = 0. \quad (\text{D3})$$

Before finding $S(\mathbf{k})$ to validate Eq. (D3), we note that, starting from any $S(\mathbf{k})$ satisfying Eq. (D1), there always exists a unitary $U_S(\mathbf{k})$ and a Hermitian positive-definite matrix $|S(\mathbf{k})|$ determined from the polar decomposition $S(\mathbf{k}) = U_S(\mathbf{k})|S(\mathbf{k})|$, such that Eq. (D1) is also satisfied. The right equation in Eq. (D1) has been proved in Ref. [41]. To show the left equation, we can make use of the original relation for $S(\mathbf{k})$ to obtain

$$\begin{aligned} S(\mathbf{k})^\dagger S(\mathbf{k})ZS(\mathbf{k})^\dagger &= S(\mathbf{k})^\dagger Z \\ \Rightarrow S(\mathbf{k})^\dagger S(\mathbf{k})ZS(\mathbf{k})^\dagger S(\mathbf{k})ZS(\mathbf{k})^\dagger S(\mathbf{k}) &= S(\mathbf{k})^\dagger S(\mathbf{k}) \\ \Leftrightarrow Z|S(\mathbf{k})|^2 Z &= |S(\mathbf{k})|^{-2}. \end{aligned} \quad (\text{D4})$$

Recalling that $|S(\mathbf{k})|$ is positive definite, the last equation above implies $Z|S(\mathbf{k})|Z = |S(\mathbf{k})|^{-1}$ [42]. Substituting this relation into Eq. (D1) gives

$$U_S(\mathbf{k})ZU_S(\mathbf{k})^\dagger = Z \Leftrightarrow [U_S(\mathbf{k}), Z] = 0, \quad (\text{D5})$$

i.e., $U_S(\mathbf{k})$ is a particle-number-conserving operation. This fact further implies $S(\mathbf{k}) \rightarrow U_S(\mathbf{k})^\dagger S(\mathbf{k})$, which becomes Hermitian and positive definite, also validates Eqs. (D1) and (D3). Therefore, to construct number-conserving $h_b(\mathbf{k})$, it suffices to consider Hermitian positive-definite symplectic matrices.

We claim that, under the above constraint [Eqs. (D1) and (D3) and $S(\mathbf{k}) = S(\mathbf{k})^\dagger > 0$], the solution is uniquely given by

$$S(\mathbf{k})^2 = q(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1}, \quad (\text{D6})$$

where we recall that $h_f(\mathbf{k}) = q(\mathbf{k})Zq(\mathbf{k})^\dagger$. To confirm the symplecticity:

$$S(\mathbf{k})ZS(\mathbf{k}) = Z, \quad (\text{D7})$$

it suffices to show $S(\mathbf{k})^2 Z S(\mathbf{k})^2 = Z$, which is equivalent to

$$|h_f(\mathbf{k})|h_f(\mathbf{k})^{-1}|h_f(\mathbf{k})| = h_f(\mathbf{k}). \quad (\text{D8})$$

This is indeed true since $h_f(\mathbf{k})$ is a positive-definite Hermitian matrix. To confirm Eq. (D3), which explicitly reads

$$S(\mathbf{k})|q(\mathbf{k})|^2 S(\mathbf{k}) = ZS(\mathbf{k})|q(\mathbf{k})|^2 S(\mathbf{k})Z, \quad (\text{D9})$$

it suffices to show

$$\begin{aligned} S(\mathbf{k})^2 |q(\mathbf{k})|^2 S(\mathbf{k})^2 &= S(\mathbf{k})ZS(\mathbf{k})|q(\mathbf{k})|^2 S(\mathbf{k})ZS(\mathbf{k}) \\ &= Z|q(\mathbf{k})|^2 Z, \end{aligned} \quad (\text{D10})$$

where we have used the symplecticity in Eq. (D7) of $S(\mathbf{k})$. This identity indeed holds true:

$$\begin{aligned} q(\mathbf{k})^{-1}|h_f(\mathbf{k})|^2 q(\mathbf{k})^{\dagger-1} &= q(\mathbf{k})^{-1}[q(\mathbf{k})Zq(\mathbf{k})^\dagger]^2 q(\mathbf{k})^{\dagger-1} \\ &= Z|q(\mathbf{k})|^2 Z. \end{aligned} \quad (\text{D11})$$

Finally, to see the uniqueness of $S(\mathbf{k})$, we only have to rewrite Eq. (D10) into

$$[q(\mathbf{k})S(\mathbf{k})^2 q(\mathbf{k})^\dagger]^2 = h_f(\mathbf{k})^2. \quad (\text{D12})$$

Noting that both $q(\mathbf{k})S(\mathbf{k})^2 q(\mathbf{k})^\dagger$ and $|h_f(\mathbf{k})|$ are positive definite, the only solution should be Eq. (D6) [42].

As a simple exercise, let us consider a two-band fermion system in class BDI and choose the original supercharge to be given by Eqs. (B11) and (B15) with $h_{y,z}(\mathbf{k}) \in \mathbb{R}$. After some straightforward calculations, one can obtain the gauge transformed supercharge $q(\mathbf{k})S(\mathbf{k})$ to be

$$\frac{\sqrt{\epsilon_{\mathbf{k}}}}{2}(\sigma_0 + \sigma_x) + \frac{h_z(\mathbf{k}) - ih_y(\mathbf{k})}{2\sqrt{\epsilon_{\mathbf{k}}}}(\sigma_0 - \sigma_x), \quad (\text{D13})$$

where $\epsilon_{\mathbf{k}} = \sqrt{h_y(\mathbf{k})^2 + h_z(\mathbf{k})^2}$. Note that the supercharge for the Kitaev chain in the main text follows this construction.

2. U(1)-symmetric h_f with fixed h_b

We finally turn to the converse. For a fixed $h_b(\mathbf{k})$, we have a gauge transformation $q(\mathbf{k}) \rightarrow W(\mathbf{k})q(\mathbf{k})$, where $W(\mathbf{k})$ is a unitary matrix satisfying

$$XW(\mathbf{k})^*X = W(-\mathbf{k}). \quad (\text{D14})$$

To make the transformed fermion Hamiltonian particle-number conserving, i.e.,

$$[Z, W(\mathbf{k})h_f(\mathbf{k})W(\mathbf{k})^\dagger] = 0 \Leftrightarrow [W(\mathbf{k})^\dagger ZW(\mathbf{k}), h_f(\mathbf{k})] = 0, \quad (\text{D15})$$

we can choose the involutory unitary $W(\mathbf{k})^\dagger ZW(\mathbf{k})$ to be the flattened Hamiltonian (before transformation):

$$W(\mathbf{k})^\dagger ZW(\mathbf{k}) = |h_f(\mathbf{k})|^{-1}h_f(\mathbf{k}). \quad (\text{D16})$$

We claim that a possible solution is given by

$$W(\mathbf{k}) = [q(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1}]^{1/2}q(\mathbf{k})^\dagger|h_f(\mathbf{k})|^{-1/2}. \quad (\text{D17})$$

First, let us check the unitarity:

$$\begin{aligned} W(\mathbf{k})W(\mathbf{k})^\dagger &= [q(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1}]^{1/2} \\ &\quad \times q(\mathbf{k})^\dagger|h_f(\mathbf{k})|^{-1}q(\mathbf{k}) \\ &\quad \times [q(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1}]^{1/2} \\ &= \mathbb{1}. \end{aligned} \quad (\text{D18})$$

We then check Eq. (D16), which turns out to be equivalent to

$$[q(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1}]^{1/2}Z[q(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1}]^{1/2} = Z. \quad (\text{D19})$$

Since $q(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1}$ is positive definite, it suffices to show

$$q(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1}Zq(\mathbf{k})^{-1}|h_f(\mathbf{k})|q(\mathbf{k})^{\dagger-1} = Z, \quad (\text{D20})$$

which is nothing but $|h_f(\mathbf{k})|h_f(\mathbf{k})^{-1}|h_f(\mathbf{k})| = h_f(\mathbf{k})$ and thus indeed holds true.

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