Diabolical touching point in the magnetic energy levels of topological nodal-line metals

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For three-dimensional metals, Landau levels disperse as a function of the magnetic field and the momentum wave number parallel to the field. In this two-dimensional parameter space, it is shown that two conically dispersing Landau levels can touch at a diabolical point—a *Landau-Dirac point*. The conditions giving rise to Landau-Dirac points are shown to be magnetic breakdown (field-driven quantum tunneling) and certain crystallographic spacetime symmetry. Both conditions are realizable in topological nodal-line metals, as we exemplify with the material candidates CaX_3 (X = As, P). The experimental fingerprints of a Landau-Dirac point include (a) anomalous "batman"-like peaks in the magnetoresistance, (b) circular Landau-Fermi surfaces revealed by angle-dependent ultrasonic attenuation, and (c) the tunability of the frequency onset of optical absorption to zero.

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For a real Hamiltonian, energy-level surfaces over a twodimensional parameter space can locally form a double cone (*diabolo*) with an energy-degenerate vertex known as a *diabolical point* [1–4]. The first physical application of the diabolical point was by Hamilton in his 1832 prediction of conical refraction [5,6]. Since then, the diabolical point has re-emerged in diverse phenomena in singular optics [7], chemistry [8–10], and nuclear [11] and quantum [12] physics. Its most recent revival is as Dirac-Weyl points [13] in the crystal-momentum space of topological semimetals [14–18] and insulators [19–22].

This work presents another type of diabolical point in a textbook solid-state phenomenon: the quantized energy spectrum of three-dimensional metals subject to a homogeneous magnetic field. A fundamental feature of the magnetic energy spectrum is its quantization into Landau levels [23], which are naturally parametrized by the field magnitude (*B*) and the momentum wave number (k_z) parallel to the field. In this two-dimensional parameter space, Fig. 1(b) illustrates how two Landau-level surfaces can touch at a diabolical point, which will be referred to as a *Landau-Dirac point*. Parallel transport around an equienergy contour of the Landau-Dirac cone gives a topologically quantized, π Berry phase [24].

Landau-Dirac points do *not* exist for metals with a single Fermi pocket; their Landau levels are determined by the Onsager-Lifshitz-Roth quantization rule [25-27]: $\hbar/eB = (2\pi n + \gamma)/S(E, k_z)$, with $S(E, k_z)$ the *k* area enclosed by the orbit, $0 \le n \in \mathbb{Z}$ the Landau-level index, and $\gamma \approx 1$ being field independent to leading order in *B* [27–30]. Henceforth,

we set $\hbar = e = 1$ so that B^{-1} equals the square of the magnetic length. Generally for an electron-like (resp. hole-like) pocket, $S(E, k_z)$ is a single-valued function of k_z and an increasing (resp. decreasing) function of energy E, e.g., $S = \pi (2mE - k_z^2)$ for a free-electron gas with mass m. These conditions on $S(E, k_z)$ ensure that equienergy solutions of the quantization rule lie on *open*, nonintersecting contours in (B^{-1}, k_z) space, as illustrated for the free-electron gas in Fig. 1(a). It follows that the *closed* equienergy contours of the diabolo [cf. Fig. 1(b)] cannot derive from a single electron-like or hole-like pocket.

However, if multiple pockets are linked by field-driven quantum tunneling (known as magnetic breakdown [31-35]), we will show that tunneling-induced level repulsion can convert open contours to closed contours of a diabolo. A stable Landau-Dirac point relies on certain crystallographic symmetries that are preserved in the presence of the field. For example, the composition $T \mathfrak{c}_{2\nu}$ of time reversal and twofold rotation (about a field-orthogonal axis) maps $(B^{-1}, k_z) \rightarrow (B^{-1}, k_z)$, ensuring that Landau-Dirac points are movable over (B^{-1}, k_7) space, but irremovable unless annihilated in pairs—as analogous to Dirac points in graphene [15]. Either spatial inversion i $[(x, y, z) \rightarrow (-x, -y, -z)]$ or reflection \mathfrak{r}_{z} [(x, y, z) \rightarrow (x, y, -z)] maps (B^{-1}, k_{z}) \rightarrow ($B^{-1}, -k_{z}$), and therefore protects crossings between Landau levels of opposite i (or r_z) representations on high-symmetry lines. All three symmetries, plus the condition of magnetic breakdown, are realizable in topological nodal-line metals [36-41], as we will first demonstrate with a conceptually simple, minimal model, and subsequently for the nodal-line metallic candidates CaX_3 (X = As, P). We will show further that a Landau-Dirac point reveals itself in anomalous "batman"-like peaks in the density of states [cf. Fig. 1(b)], as well as having unique fingerprints in ultrasonic attenuation and optical absorption.

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FIG. 1. Magnetic energy levels for a free-electron gas (a), and for topological nodal-line metals [(b), (c)]. Left of each panel: equienergy contours of energy-level surfaces in (B^{-1}, k_z) space, with distinct surfaces distinguished by color; right: corresponding density of states, regularized by a finite lifetime.

I. PROOF OF PRINCIPLE

We first present a minimal model of Landau-Dirac points with both r_z and $T c_{2y}$ symmetries. At zero field, our effectivemass model describes two parabolic bands with opposite-sign masses:

$$H(\mathbf{k}) = \left[\left(k_x^2 + k_y^2 \right) / 2m - \varepsilon_0 \right] \tau_3 + u_z k_z \tau_1 + u_x k_x.$$
(1)

 $\varepsilon_0 > 0$ implies that the two bands overlap on the energy axis; however, level repulsion is absent in the $k_z = 0$ plane owing to \mathfrak{r}_z symmetry: $\tau_3 H(\mathbf{k})\tau_3 = H(k_x, k_y, -k_z)$. It follows that a zero-energy, nodal-line degeneracy encircles $\mathbf{k} = \mathbf{0}$ with radius $k_R = \sqrt{2m\varepsilon_0}$, supposing $u_x = 0$. If nonzero, the $u_x k_x$ term causes the nodal line to disperse with bandwidth $\Delta E = 2u_x k_R$. Thus, for a Fermi energy satisfying $|E_F| < \Delta E/2$, the Fermi surface comprises electron and hole pockets that interconnect like a linked sausage, as illustrated in Fig. 2(b). Close to either interconnection points (with $E_F = 0$), an effective Hamiltonian is attained by linearizing Eq. (1) around $\mathbf{k} = (0, \pm k_R, 0)$:

$$H_{\pm} = \pm u_y \delta k_y \tau_3 + u_z k_z \tau_1 + u_x k_x, \quad u_y = \sqrt{2\varepsilon_0/m}, \quad (2)$$

whose equienergy contours form a hyperbola depicted in the inset of Fig. 2(a).

Applying a magnetic field parallel to -z, the magnetic energy levels are eigenvalues of the Peierls-Onsager Hamiltonian $H(K_x, K_y, k_z)$, which is obtained by substituting (k_x, k_y) in the zero-field Hamiltonian [cf. Eq. (1)] by noncommuting operators satisfying $[K_y, K_x] = iB$ [42,43]. If *B* is much smaller than the *k* area of both sausage-shaped pockets, the following semiclassical interpretation holds: The Lorentz force pushes electrons along trajectories indicated by arrows in Fig. 2(a). In the vicinity of both connection points [k = $(0, \pm k_R, 0)$], interpocket tunneling occurs with the Landau-Zener probability [33,44–51]:

$$\rho^2 = e^{-2\pi\mu}, \quad \mu = S_{\Box}/8B, \quad S_{\Box} = 4v_z^2 k_z^2 / v_x v_y, \quad (3)$$

with S_{\Box} being the rectangular area inscribed by the two hyperbolic arms [cf. inset of Fig. 2(a)]. By matching the Wentzel-Kramers-Brillouin (WKB) wave functions [52] at the tunneling regions (by the Landau-Zener connection for-



FIG. 2. For the minimal model in Eq. (1) with parameters $v_x = v_z = m = 1$ and $\epsilon_0 = 10$, we plot the zero-energy, Fermi surface within the Brillouin zone in panel (b); a constant ($k_z = 0.4$) cross section of the same surface is shown in panel (a). Inset of panel (a): enlarged view of breakdown region. Landau-Fermi surfaces over (B^{-1}, k_z) are indicated by black dots and black lines in panels (c)–(e), for E=0, 0.01, 0.95 respectively. Right panels [(d) and (e)] plot the corresponding density of states (DOS) in arbitrary units. The diabolo in panel (d) [(e)] is the energy dispersion of the type I (resp., type II) Landau-Dirac cone encircled in blue (resp., brown).

mula [49]), we derive a quantization rule for the magnetic energy levels:

$$0 = Q(E, k_z, B^{-1}) = \cos X + \rho^2 \cos Y + \tau^2 \cos Z,$$

(X, Y, Z) = $\frac{1}{2B}(S_1 - S_3, S_{12} + S_{23}, S_1 + S_3 + 4\omega B),$ (4)

with $\tau^2 = 1 - \rho^2$ being the probability that an incoming electron "reflects" off the tunneling region with a different velocity. $\omega = \mu - \mu \ln \mu + \arg[\Gamma(i\mu)] + \pi/4$ is the phase acquired during this adiabatic reflection, with Γ being the Gamma function; S_1 (S_3) is the k area of the left (right) sausage-shaped pocket, and $S_{12} := S_1 + S_2$ ($S_{23} := S_2 + S_3$) is the area of the left (right) circular trajectory linked by tunneling [cf. Fig. 2(a)].

For $k_z = \mu = 0$, Landau-Zener tunneling occurs with unit probability, and solutions of Eq. (4) describe independent cyclotron orbits over overlapping circles:

$$\cos X + \cos Y = 0 \implies S_{12,23}(E,0)/B = 2\pi (n+1/2).$$
 (5)

The zero-energy solutions of Eq. (5) are doubly degenerate and lie at equidistant points on the vertical axis of Fig. 2(c), owing to the commensuration of areas: $S_{12}(0, k_z) = S_{23}(0, k_z)$, which derives from the effective-mass Hamiltonian in Eq. (1).

There is no unique semiclassical trajectory in the intermediate tunneling regime with nonzero, finite $\mu \propto k_z^2$. We focus on a class of solutions contained in certain hypersurfaces in (E, k_z, B^{-1}) space (*r* space, in short), defined by $X(r)/\pi \in 2\mathbb{Z}$ and $2\mathbb{Z} + 1$. Whether even or odd, $\cos X$ is extremized to ± 1 , and hence this class of solutions satisfy $\cos Y = \cos Z =$ $\mp \cos X$. These two constraints (within a two-dimensional hypersurface) can only be satisfied at isolated points, denoted by { \overline{r} }. Such points lying within the (X = 0) hypersurface are illustrated as black dots in Fig. 2(c); note the (X = 0) hypersurface is just the E = 0 plane owing to the justmentioned commensuration condition, and the black dots lie at the intersections of red lines (defined by $\cos Y = -1$) and yellow lines ($\cos Z = -1$).

Moving off a hypersurface in the normal (or antinormal) direction, each point solution evolves into an ellipse, as illustrated for E = 0.01 in Fig. 2(d). To prove that \bar{r} is a diabolical point, apply that \bar{r} is an extremal point for each of {cos *X*, cos *Y*, cos *Z*}. Consequently, for any solution of the quantization rule that deviates from \bar{r} by small $\delta r = (\delta E, \delta k_z, \delta B^{-1}), 0 = Q(\bar{r} + \delta r) - Q(\bar{r})$, with the right-hand side quadratic in δr to the lowest order. Solving this quadratic equation for the Landau-level dispersion,

$$\begin{split} \delta E &= (-b \pm \sqrt{b^2 - 4ac})/2a, \quad a = X_E^2 - \rho^2 Y_E^2 - \tau^2 Z_E^2, \\ b &= [2X_E(\delta k_z X_z + \delta B^{-1} X_{B^{-1}})] - \rho^2 [X \to Y] - \tau^2 [X \to Z], \\ c &= [(\delta k_z X_z + \delta B^{-1} X_{B^{-1}})^2] - \rho^2 [X \to Y] - \tau^2 [X \to Z]. \end{split}$$

 $X_{E,z,B^{-1}}$ denotes the partial derivative of X with respect to (E, k_z, B^{-1}) , as evaluated at \overline{r} ; $[X \to Y]$ denotes the substitution of X with Y in the square-bracketed expression on the same line. Since the quantity under the square root is quadratic in $(\delta k_z, \delta B^{-1})$, the solution in $(\delta k_z, \delta B^{-1})$ space generically forms a diabolo with vertex at \overline{r} .

The perturbative stability of Landau-Dirac points is guaranteed by Tc_{2y} symmetry: $H(K_x, K_y, k_z)^* = H(K_x, -K_y, k_z)$. Given this antiunitary constraint, a standard generalization [53] of the von Neumann–Wigner theorem [1] states that the codimension of an eigenvalue degeneracy is two, implying degeneracies are perturbatively stable in the two-dimensional (B^{-1}, k_z) space. The Landau-Dirac points at $k_z = 0$ are doubly protected by r_z symmetry, because each such point is a crossing between levels in distinct eigenspaces of τ_3 .

II. TYPE-II LANDAU-DIRAC POINTS

While the (X = 0) hypersurface is the E = 0 plane, $(X = \pi j)$ hypersurfaces are increasingly dispersive for larger |j|. With sufficient dispersion, the conical axis tilts away from the energy axis, such that the diabolo [centered at $(\bar{E}, \bar{k}_z, \bar{B}^{-1})$] intersects the $E = \bar{E}$ plane on open lines; such a *type-II Landau-Dirac point* occurs if and only if ac < 0 on any segment of a circle encircling the diabolical point. A type-II point lying on the $X = 6\pi$ hypersurface is illustrated in Fig. 2(e).

An isolated, type-I point is distinguishable from type II by the Fermi-level density of states (DOS). The intersection of a magnetic band with the Fermi level defines a *Landau-Fermi surface* in $(1/B, k_z)$ space; in the type-I case, the Landau-Fermi surface is deformable to a circle, and can be parametrized by a multivalued function $B^{-1}(k_z)$ with two extrema. At each extremum, the DOS has a van Hove singularity that is left-right asymmetric, being proportional to $[\pm (B^{-1}-B_0^{-1})]^{-1/2}$ on one side of the singularity but not the other. (Such left-right asymmetry is routinely measurable in thermodynamic and galvanomagnetic experiments [54–56].) Figure 1 illustrates that the inverse-square-root "tails" (in a type-I scenario) trail toward each other, resembling the helm of Batman; conversely, type-II tails trail apart, like anti-Batman. For our minimal model in Eq. (1), we plot



FIG. 3. For a $\mathbf{k} \cdot \mathbf{p}$ model [57] of CaP₃ without spin-orbit coupling, we plot (a) the Fermi surface, (b) Landau-Fermi surface, and (c) Landau-level dispersion at $k_z = 0$ and *B* parallel to *z*. Panel (d) shows the dispersion of a specific Landau-Dirac point, for *B* tilted within the *xz* plane by angles $\theta_B = 0^\circ$, 0.15°, 0.21°, with the electron density fixed throughout. Inset of panel (c) illustrates a spin-split Landau-Dirac point.

the DOS in the right panels of Figs. 2(d) and 2(e), with the correspondence between Batman peaks and type-I Landau-Fermi surfaces [resp. anti-Batman and type II] indicated by red dashed lines in Fig. 2(d) [resp. Fig. 2(e)]. Unlike conventional peaks in Schubnikov–de Haas–van Alphen oscillations, Batman peaks associated to quantum tunneling are generally *nonperiodic* in 1/B; the width of the Batman helm is likewise *not* attributable to the area of any *k* loop in the graph.

III. MATERIAL CASE STUDIES

The Landau-Dirac phenomenology potentially manifests in a number of topological-metallic candidates: CaAs₃ [58], CaP₃ [57], SrP₃ [57], and Ca₃P₂ [59,60], each of which has a Fermi surface enclosing a single, circular nodal line—just like our minimal model. For concreteness, we pick *P*Īsymmetric [61] CaX₃ (*X* = As, P) for our final case study. Its point group is generated solely by the spatial inversion i. CaX₃'s nodal line is predicted [57,58] to be centered at an inversion-invariant wavevector on the BZ boundary, and encircles an area $\leq 1/50$ the areal dimension of the BZ—this allows for an accurate description by an effective-mass Hamiltonian $H(\mathbf{k}) = \sum_{i=0}^{3} d_i(\mathbf{k})\tau_i$, with τ_0 being the identity matrix; the two-by-two matrix structure reflects our (present) ignorance of the weak spin-orbit interaction.

Our effective-mass parameters (detailed in the Supplemental Material [62]) are chosen such that the Fermi surface consists of four interconnected pockets (two electron-like and two hole-like), as has been predicted for CaX₃ [57,58]. Owing to i symmetry $[\tau_3 H(\mathbf{k})\tau_3 = H(-\mathbf{k})]$ and time-reversal symmetry (represented by complex conjugation), momentum coordinates can be chosen such that the interpocket connections lie in the $k_z = 0$ plane [cf. Fig. 3(a)] and $[H(k_x, k_y, k_z = 0), \tau_3] = 0$. This $U(1) \times U(1)$ symmetry encodes the nonmixing of orbitals indexed by $\langle \tau_3 \rangle = \pm 1$.

The corresponding Landau levels (restricted to $k_z = 0$) are plotted in Fig. 3(c), with blue (red) lines indicating $\langle \tau_3 \rangle = 1$ ($\langle \tau_3 \rangle = -1$). For either $\langle \tau_3 \rangle$, the i eigenvalue alternates be-

tween adjacent levels [23], as illustrated by alternating solid (i-even) and dashed (i-odd) lines. Half of the Landau-Dirac points in Fig. 3(c) are i-protected crossings between solid and dashed lines; the other half are protected by $U(1) \times U(1)$ symmetry but not by i. For small $k_z \neq 0$, the four sausage links in Fig. 3(a) disconnect; electron dynamics in the vicinity of the four disconnected links is again of the Landau-Zener type, with tunneling probability $\exp(-2\pi\mu)$. The resultant Landau-Fermi surface form closed lobes encircling the type-I Landau-Dirac points at $k_z = 0$, as shown in Fig. 3(b).

Though our analysis has assumed a specific field orientation, half the crossings in Fig. 3(c) are perturbatively stable against tilting of the field, because i symmetry is maintained for any field orientation; the other half that relies on $U(1) \times$ U(1) symmetry will destabilize.

We have thus far neglected spin in the CaX₃ study. Because the intrinsic Zeeman and spin-orbit interactions maintain i symmetry, each spin-degenerate Landau level perturbatively splits in energy, converting a single, spin-degenerate, i-protected Landau-Dirac point into four, spin-nondegenerate, i-protected Landau-Dirac points, as illustrated in Fig. 3(c). Nonperturbatively, we expect the Landau-Dirac cones to persist so long as the spin-orbit energy is less than the dispersion bandwidth of the nodal line [cf. ΔE below Eq. (1)]; this is the regime where quantum tunneling remains relevant.

IV. EXPERIMENTAL DIAGNOSTICS

The experimental observation of Batman peaks in the magnetoresistance would be suggestive but not conclusive of a type-I Landau-Dirac cone. One must further demonstrate that both peaks originate from a single Landau-Fermi surface that is topologically equivalent to a circle in $(1/B, k_z)$ space [cf. Fig. 1(b)]. This relies on our ability to map out the entire Landau-Fermi surface, which we propose to accomplish by *angle-dependent ultrasound attenuation*. Our proposal generalizes existing ultrasound methodology [54,63,64] to measure "giant quantum oscillations" [65] in the (1/B)-dependent attenuation coefficient, for which the angle between sound wave vector and *B* field remains *fixed*. We propose here to ignore these oscillations and instead track *individual* peaks by varying both the *B* magnitude and the sound-wave vector orientation, with fixed *B* orientation.

When an electronic quasiparticle in a magnetic energy band [with dispersion $E_n(k_z, B)$] absorbs an acoustic phonon [with typical wavenumber $|\mathbf{q}| \approx (5 \ \mu \text{m})^{-1}$], inter-Landaulevel transitions are forbidden for *B* large enough that $|E_{n+1} - E_n| > 0.1$ meV. This inequality holds assuming that the magnetic velocity in the Taylor expansion

$$E_n(k_z + q_z, B) = E_n(k_z, B) + v_n(k_z, B)q_z + \frac{q_z^2}{2m_n(k_z, B)} + \cdots$$

satisfies $|v_n| \leq c/100$, and the magnetic mass $m_n > m_e/1000$ with m_e being the free-electron mass. Energy-momentum conservation requires that resonant sound absorption occurs only if the electron "rides the surf" of the sound-wave fronts [54,64], i.e., the *q*-parallel component of the magnetic velocity $(v_n \mathbf{B}/|\mathbf{B}|)$ equals the sound speed *s*:

$$v_n(k_z, B_n)\cos(\theta) \approx s(q/|q|), \quad \cos\theta = \frac{B}{|B|} \cdot \frac{q}{|q|}.$$
 (6)



FIG. 4. For the highest equienergy contours of Figs. 1(a)–1(c), we plot 1/B vs the field-parallel Fermi velocity $v_n = dE_n/dk_z$ in panels (a)–(c), respectively. The color of the plotted lines indicate the direction cosine $\cos(\theta) \in [-1, 1]$ (of sound wave vector relative to *B* field) where resonant, ultrasound absorption occurs. We have assumed an isotropic sound speed *s* satisfying $s/\max(|v_n|) = 1/100$.

Pauli's exclusion principle requires that we evaluate v_n at $B = B_n$ where the *n*th Landau level crosses the Fermi level. Fixing $B = B_n$ and varying θ , resonant absorption occurs at an angle-dependent electronic wave number $k_{z;n} =$ $k_z(\cos\theta, B_n, s)$ satisfying Eq. (6). By varying $\theta \in [0, \pi], k_{z;n}$ covers essentially the entire Landau-Fermi surface; only a tiny fraction $(\sim s / \max(|v_n|) \lesssim 10^{-2})$ of the surface is missed for k_z values where $v_n \lesssim s$, and Eq. (6) cannot be satisfied. In practice, θ is varied by gluing piezoelectric transducers [63] to multiple crystal facets cut by wire or focused ion beam [66], with the facet orientation determined by x-ray diffraction. Figure 4 illustrates how this technique is able, in principle, to distinguish the Landau-Fermi surfaces associated to free electrons, type-I and type-II Landau-Dirac cones: The reconstructed surfaces over $(1/B, v_n)$ are topologically equivalent to the Landau-Fermi surfaces over $(1/B, k_z)$.

We have assumed in Fig. 4 the generic scenario in which the Landau-Dirac point lies away from the Fermi level. Otherwise, inter-Landau-level transitions may occur with Eq. (6) failing to hold. Two tunable parameters are needed to bring an i- or r_z -protected Landau-Dirac point to the Fermi level, e.g., by tuning B^{-1} , the two Landau levels (closest to the Fermi level) can be made to cross; by tuning the *B*-tilt angle θ_B , such crossing can be brought to the Fermi level, as illustrated in Fig. 3(d) for the CaX₃ model.

To directly diagnose a Landau-Dirac *point*, we propose that the frequency onset of optical absorption *linearly* evolves to zero as a function of θ_B . Such an optical transition between Landau levels of distinct i representations is allowed by the dipole selection rule [67]. Optical experiments in the far-infrared, submillimeter-wavelength regime [68–70] have a penetration depth $\lambda \approx 100$ nm in many metals [71] λ greatly exceeding the magnetic length [25 nm/ $\sqrt{B(T)}$] for $B \approx 10 T$ allows for optical excitations to directly reflect bulk, inter-Landau-level transitions.

V. DISCUSSION

We have shown how the diabolical point in solid-state, magnetic energy levels originates from quantum tunneling; an individual Landau-Dirac point is topologically irremovable if certain magnetic space-group symmetries are preserved. Topological nodal-line metals provide an ideal experimental platform to realize Landau-Dirac points. *Ab initio* calculations have predicted that CaX_3 is either a band-inverted topological metal, or can be band inverted under lattice compression [57,58,72]. The existence of a nodal line in CaAs₃ is as yet inconclusive, but would be corroborated by observing the Landau-Dirac phenomenology proposed in this work.

Future investigations would determine if a similar phenomenology exists for two other topological-nodal-line material candidates, which host more complicated Fermi surfaces than the present study: (a) SrAs₃ has an experimentally evidenced [73–75], nodal-line degeneracy, and (b) the square-net compound ZrSiS is known to undergo magnetic breakdown [76]. While SrAs₃ is chemically similar to CaAs₃, the former has an additional, twofold rotational symmetry that protects nodal-*line* degeneracies in (B^{-}_{1,k_2}) space, if the field is oriented along the twofold axis.

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