

**Effect of hole doping on superconductivity in compressed CeH<sub>9</sub> at high pressures**Chongze Wang,<sup>1</sup> Shuyuan Liu,<sup>1</sup> Hyunsoo Jeon,<sup>1</sup> Seho Yi,<sup>1</sup> Yunkyu Bang,<sup>2,3</sup> and Jun-Hyung Cho<sup>1,3,\*</sup><sup>1</sup>*Department of Physics, Research Institute for Natural Science, and Institute for High Pressure at Hanyang University, Hanyang University, 222 Wangsimni-ro, Seongdong-Ku, Seoul 04763, Republic of Korea*<sup>2</sup>*Department of Physics, Pohang University of Science and Technology, Pohang 37673, Republic of Korea*<sup>3</sup>*Asia Pacific Center for Theoretical Physics, Pohang-si, Gyeongsangbuk-do 37673, Republic of Korea*

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The experimental realization of high-temperature superconductivity in compressed hydrides H<sub>3</sub>S and LaH<sub>10</sub> under high pressures over 150 GPa has aroused great interest in reducing the stabilization pressure of superconducting hydrides. For cerium hydride CeH<sub>9</sub> recently synthesized at 80–100 GPa, our first-principles calculations reveal that the strongly hybridized electronic states of Ce-4*f* and H-1*s* orbitals produce the topologically nontrivial Dirac nodal lines around the Fermi energy  $E_F$ , which are protected by crystalline symmetries. By hole doping,  $E_F$  shifts down toward the symmetry-driven van Hove singularity to increase the density of states, which in turn significantly raises a superconducting transition temperature  $T_c$ . We show that hole doping with Ce<sup>3+</sup> ions can be very electronically miscible in CeH<sub>9</sub> because both Ce<sup>3+</sup> and Ce behave similarly as cations. Therefore, the interplay of crystalline symmetry, band topology, and hole doping contributes to enhance  $T_c$  in compressed CeH<sub>9</sub>, which can also be demonstrated in another superconducting rare-earth hydride, LaH<sub>10</sub>.

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Doping in condensed matters is a well-established means of manipulating their electronic structures, which may lead to the emergence of various quantum phases with exotic physical properties [1–7]. For example, in the unconventional high-temperature superconductors such as cuprates [8] and pnictides [9,10], doping by holes or electrons has been demonstrated not only to induce complex quantum phase transitions, but also to vary  $T_c$  in their superconducting phases [4–7]. However, identifying the mechanism responsible for the doping-induced changes of  $T_c$  in such unconventional superconductors has been elusive because of the emergence of many electronic states involved. By contrast, the effect of doping in conventional Bardeen-Cooper-Schrieffer [11] superconductors has been relatively well understood in terms of the influence of electron-phonon coupling (EPC), and therefore various dopants can be employed to tune  $T_c$  [12,13]. It is interesting and promising that the effects of doping on the EPC-driven superconductivity (SC) are expanded to be applicable to recently discovered hydrides under high pressures [14].

During the past six years, compressed hydrides under megabar pressures have attracted much attention because of their unprecedented records of  $T_c$ . Motivated by the theoretical predictions of SC in many hydrides [15–24], experiments have confirmed that sulfur hydride H<sub>3</sub>S and lanthanum hydride LaH<sub>10</sub> exhibit  $T_c$  around 203 K at  $\approx 155$  GPa [25] and 250–260 K at  $\approx 170$  GPa [26,27], respectively. More recently, carbonaceous sulfur hydride was experimentally realized to reach a room-temperature SC with  $T_c = 288$  K at  $\approx 267$  GPa [28]. Nevertheless, it is highly demanding to discover

high- $T_c$  superconducting hydrides synthesized at moderate pressures below  $\approx 100$  GPa using a diamond anvil cell [29,30]. Near simultaneously, two experimental groups [31,32] reported the successful synthesis of cerium hydride CeH<sub>9</sub> at 80–100 GPa. The subsequent density-functional theory (DFT) calculation for CeH<sub>9</sub> revealed that the delocalized nature of Ce-4*f* electrons is an essential ingredient in the high chemical precompression of the clathrate H cage around the Ce atom [see Fig. 1(a)]. It is noticeable that, even though the synthesis of CeH<sub>9</sub> was made at lower pressures below  $\approx 100$  GPa, its theoretically predicted  $T_c$  value was around 75 K [21], much lower than those of H<sub>3</sub>S and LaH<sub>10</sub> [25–27]. Therefore, the main bottleneck for the research of high- $T_c$  hydrides has been associated with difficulties both raising  $T_c$  and lowering the pressure of stability simultaneously. In order to alleviate this bottleneck in CeH<sub>9</sub>, we here investigate the effect of hole doping on SC, which leads to a significant increase in  $T_c$ .

For clathrate-structured rare-earth superhydrides, the electronic states tend to have a strong hybridization between rare-earth-4*f* and H-1*s* orbitals near  $E_F$  [22,33–37]. This electronic characteristic of rare-earth hydrides having high-symmetry structures could be favorable for hosting topological states through band inversions, identified in recent studies of topological materials [38–43]. However, exploration of the cooperative interplay of crystal symmetry and band topology has been overlooked in high-pressure superconducting hydrides. These ingredients of symmetry and topology together with hole doping will provide a promising playground to enhance  $T_c$  in high-pressure superconducting hydrides, as will be demonstrated below.

In this Letter, using first-principles calculations, we discover that CeH<sub>9</sub> possessing a hexagonal-close-packed (hcp) structure has symmetry-enforced topologically

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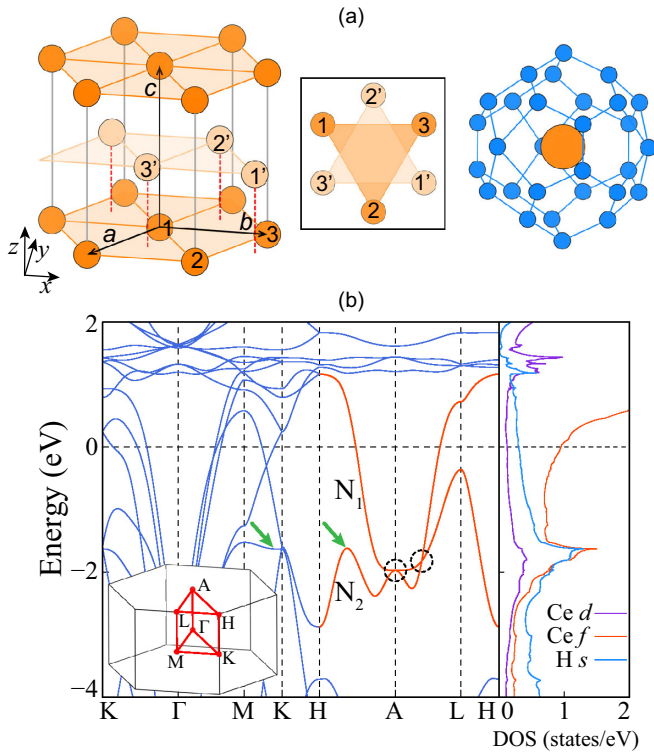


FIG. 1. (a) Optimized hcp structure of Ce atoms in  $\text{CeH}_9$ . The inset shows the top view of Ce atoms, and the isolated  $\text{H}_{29}$  cage surrounding a Ce atom is also included. The calculated band structure of  $\text{CeH}_9$  together with the PDOS for Ce-4*f*, Ce-5*d*, and H-1*s* orbitals is given in (b). The unit of DOS is states/eV per unit cell that contains two Ce atoms.  $N_1$  and  $N_2$  represent the fourfold degenerate bands along the high-symmetry H-A-L-H paths, and the energy zero is  $E_F$ . The Brillouin zone of the hcp structure is also included in (b). The arrows in (b) represent the saddle point and partially flat band which mostly contribute the DOS peak of vHs.

nontrivial Dirac-nodal-line (DNL) states. It is revealed that the two-dimensional (2D) nodal surface guaranteed by the nonsymmorphic crystal symmetry  $S_{2z}$  (equivalent to the combination of twofold rotation symmetry  $C_{2z}$  about the  $z$  axis and a half translation along the  $z$  direction) is converted to one-dimensional (1D) DNLs in the presence of spin-orbit coupling (SOC) [44]. Moreover, such DNL states composed of strongly hybridized Ce-4*f* and H-1*s* orbitals lead to the formation of a van Hove singularity (vHs) around  $-1.6$  eV below  $E_F$ . We propose that hole doping with  $\text{Ce}^{3+}$  ions shifts  $E_F$  toward the vHs, which in turn increases EPC and therefore raises  $T_c$  from 74 K (without hole doping) up to 136 K at 100 GPa. Our findings provide an avenue for using hole doping to enhance  $T_c$  in recently synthesized rare-earth hydrides  $\text{CeH}_9$  [31,32] as well as  $\text{LaH}_{10}$  [26,27].

We first present the electronic band structure of hcp  $\text{CeH}_9$ , obtained using first-principles DFT calculations [45]. In most of the calculations hereafter, we fix a pressure of 100 GPa at which the hcp phase with the lattice parameters  $a = b = 3.698$  Å and  $c = 5.596$  Å [see Fig. 1(a)] is thermodynamically stable [see Fig. S1(a) in the Supplemental Material [58]]. It is noted that at 70 GPa the hcp phase becomes dynamically unstable with the presence of imaginary phonon frequencies

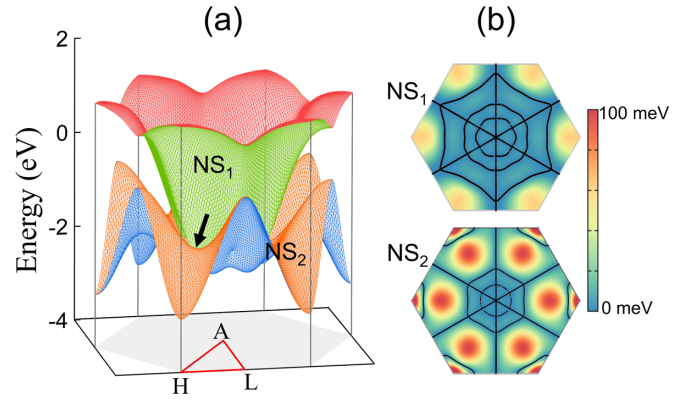


FIG. 2. (a) Energy of 2D nodal surfaces  $\text{NS}_1$  and  $\text{NS}_2$  throughout the  $k_z = \pi/c$  plane, obtained without including SOC, and (b) 1D nodal lines converted from  $\text{NS}_1$  and  $\text{NS}_2$  with including SOC. In (a), one saddle point along the A-H line is marked by the arrow. In (b), the SOC-induced gap is represented using the color scale in the range between 0 and 100 meV.

[see Fig. S1(b) in [58]]. Figure 1(b) shows the calculated band structure and partial density of states (PDOS) of  $\text{CeH}_9$ . We find that the Ce-4*f* and H-1*s* orbitals are dominant components in the electronic states around  $E_F$ , compared to other orbitals (see Fig. S2 in [58]). Interestingly, the PDOS for Ce-4*f* and H-1*s* orbitals exhibits a sharp peak around  $-1.6$  eV below  $E_F$  [see Fig. 1(b)], indicating a strong hybridization of the two orbitals. The existence of such vHs having large DOS leads to an increase of  $T_c$  via hole doping, as discussed below.

Figure 1(b) represents the DFT band structure computed without including SOC. The presence of space-inversion symmetry  $P$  and time-reversal symmetry  $T$  ensures Kramers double degeneracy in the whole Brillouin zone (BZ). We find that there are fourfold degenerate bands  $N_1$  and  $N_2$  along the high-symmetry H-A-L-H paths, formed by touching of two bands. It is noted that  $N_1$  and  $N_2$  touch each other at A and between A and L [marked by dashed circles in Fig. 1(c)], thereby giving rise to eightfold accidental degeneracies. Using the tight-binding Hamiltonian with a basis of maximally localized Wannier functions [60,61], we reveal the existence of 2D nodal surfaces  $\text{NS}_1$  and  $\text{NS}_2$  throughout the  $k_z = \pi/c$  plane, as shown in Fig. 2(a). Here, each nodal surface is formed by a touching of two doubly degenerate bands at the boundary of the BZ. Since the crystalline symmetry of hcp  $\text{CeH}_9$  belongs to the space group  $P6_3/mmc$  (no. 194) with the point group  $D_{6h}$ , the fourfold degeneracy of  $\text{NS}_1$  and  $\text{NS}_2$  is respected by the combined symmetry  $PS_{2z}$ , the eigenvalues of which are  $\pm 1$  because of  $(PS_{2z})^2 = 1$  (see symmetry analysis in the Supplemental Material [58]). The inclusion of SOC lifts the degeneracy of  $N_1$  and  $N_2$  along the H-A-L-H paths except A-L (see Fig. S3 in [58]), where the SOC-induced gap opening is less than  $\approx 0.1$  eV [see Fig. 2(b)]. It is noted that the nodal surfaces  $\text{NS}_1$  and  $\text{NS}_2$  are converted into 1D nodal lines along the high-symmetry paths  $k_x = 0$  and  $k_x = \pm\sqrt{3}k_y$ , as well as with circular patterns around the A point [see Fig. 2(b)]. These DNLs showing  $C_{3z}$  rotation symmetry are protected by additional mirror symmetry [62] (see symmetry analysis in the Supplemental Material [58]).

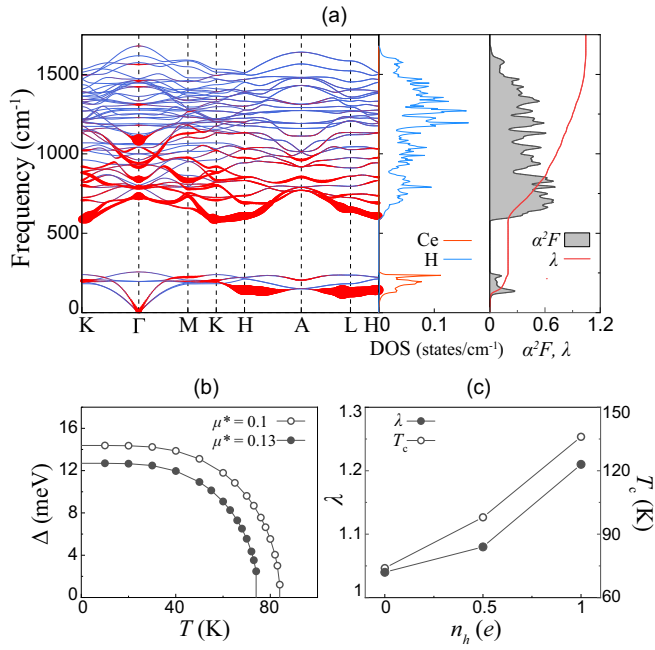


FIG. 3. (a) Calculated phonon spectrum, phonon DOS projected onto Ce and H atoms, Eliashberg function  $\alpha^2F(\omega)$ , and integrated EPC constant  $\lambda(\omega)$  of CeH<sub>9</sub>, (b) superconducting energy gap  $\Delta$  as a function of temperature with  $\mu^* = 0.1$  and  $0.13$ , and (c)  $\lambda$  and  $T_c$  as a function of  $n_h$ .

It is noteworthy that the vHs arises from the saddle points of energy dispersion [see Fig. 2(a)], located near the band touching of N<sub>1</sub> (NS<sub>1</sub>) and N<sub>2</sub> (NS<sub>2</sub>). There are six saddle points around the A point, one of which corresponds to the extremum point [marked by the arrow in Fig. 1(b)] along the A-H line. Moreover, the dispersion of this saddle point is rather flat in the  $k_z$  direction [see Fig. S4(a) in [58]], thereby leading to a major contribution to the DOS peak of vHs [see Fig. S4(b) in [58]]. In addition, the partially flat band [marked by the arrow in Fig. 1(b)] near the K point also gives some contribution to the DOS peak of vHs [see Fig. S4(b) in [58]]. The resulting vHs with a power law divergence in the DOS [63] in turn enhances  $T_c$  via hole doping, as discussed below. We note that there are numerous previous works about the influence of vHs or flat bands on SC. For examples, band engineering with utilizing various tricks such as twisted stacking of multilayer graphene [64,65] and strain-induced pseudomagnetic fields [66] increases the electronic DOS near  $E_F$ , thereby inducing the emergence of SC or enhancing  $T_c$ . It is also noteworthy that the high- $T_c$  hydrides such as LaH<sub>10</sub> and H<sub>3</sub>S also have DNLs around  $E_F$  [33], which lead to the formation of vHs. Therefore, the vHs accompanied by symmetry-protected degeneracies is rather generic to high- $T_c$  SC in compressed hydrides with high-symmetry structures.

To estimate  $T_c$  of CeH<sub>9</sub> at 100 GPa, we calculate the phonon spectrum, projected phonon DOS onto Ce and H atoms, Eliashberg function  $\alpha^2F(\omega)$ , and integrated EPC constant  $\lambda(\omega)$  as a function of phonon frequency. Figure 3(a) shows that the phonon spectrum is divided into two regimes arising from Ce and H atoms, respectively [67]. By numerically solving the isotropic Migdal-Eliashberg equations [68–70], we calculate the superconducting gap versus

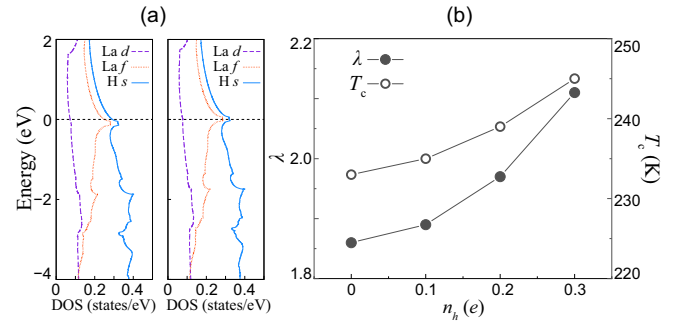


FIG. 4. (a) Calculated PDOS of LaH<sub>10</sub>, obtained without hole doping (left) and at  $n_h = 0.1e$  (right). The unit of DOS is states/eV per unit cell that contains one La atom. In (b), the calculated  $\lambda$  and  $T_c$  values are displayed as a function of  $n_h$ .

temperature with varying Coulomb pseudopotential parameter  $\mu^*$  [21,32], and estimate  $T_c \approx 84$  and  $74$  K with  $\mu^* = 0.1$  and  $0.13$ , respectively [see Fig. 3(b)] [71]. These predicted  $T_c$  values of CeH<sub>9</sub> are much lower than the experimentally observed  $T_c \approx 260$  K of LaH<sub>10</sub> [26,27]. The lower  $T_c$  in CeH<sub>9</sub> is associated with relatively lower EPC constant compared to the case of LaH<sub>10</sub> [33–35]. It is also noted that the H-derived DOS of CeH<sub>9</sub> at  $E_F$  is smaller than that of LaH<sub>10</sub> [see Fig. 4(a)].

Since a vHs in the electronic DOS of CeH<sub>9</sub> is located below  $E_F$  [see Fig. 1(b)], hole doping is expected to induce a shift of  $E_F$  toward the vHs. The calculated band structure at a hole doping of  $n_h = 1.0e$  per Ce atom shows that  $E_F$  approaches the vHs, thereby giving rise to an increase of DOS around  $E_F$  (see Fig. S5 in [58]). In order to examine how the hole doping influences SC, we use the isotropic Migdal-Eliashberg formalism [68–70] to estimate the variations of  $\lambda$  and  $T_c$  as a function of  $n_h$ . As shown in Fig. 3(c),  $\lambda$  is enhanced from  $1.04$  (without hole doping) to  $1.08$  and  $1.21$  at  $n_h = 0.5$  and  $1.0e$ , respectively, which in turn increases  $T_c$  up to  $136$  K at  $n_h = 1.0e$ . It is thus likely that the increased DOS around  $E_F$  via hole doping increases the EPC channels, resulting in an increase of  $T_c$  [72]. Here, the hole doping with  $n_h < 1.2e$  is found to preserve a structural stability without imaginary phonon frequencies (see Fig. S6 in [58]).

We here propose that the hole doping of CeH<sub>9</sub> can be achieved by the substitution of Ce<sup>3+</sup> ions for Ce atoms. In order to examine the electronic miscibility of Ce<sup>3+</sup> ions in the CeH<sub>9</sub> matrix, we calculate the charge density of CeH<sub>9</sub> without hole doping (see Fig. S7 in [58]). Interestingly, we find that the total charge inside the Ce muffin-tin sphere with radius  $1.40 \text{ \AA}$  is  $9.55e$  with including the  $5s^25p^6$  semicore electrons [74], close to that ( $9.63e$ ) obtained at  $n_h = 1.0e$ . This near invariance of Ce charges between the two systems implies that both Ce and Ce<sup>3+</sup> behave similarly as cations. We note that Ce atoms in compressed CeH<sub>9</sub> become a strong cation due to an electride character of the isolated Ce framework (see Fig. S8 in [58]). It is thus likely that, when hole doping is formed in CeH<sub>9</sub> even with  $n_h = 1.0e$  per Ce atom (equivalent to 33% Ce<sup>3+</sup> ion concentration), Ce<sup>3+</sup> and Ce would exhibit the same cationic states without hole localization around Ce<sup>3+</sup> ions [77]. Based on our results for the charge distribution and structural stability of hole doping  $n_h < 1.2e$ , Ce<sup>3+</sup> ions are most likely to be electronically miscible with Ce atoms in the



CeH<sub>9</sub> matrix. From an experimental point of view, since the Ce<sup>3+</sup> ion is available with high stability in air [78], Ce<sup>3+</sup> ion doping in compressed CeH<sub>9</sub> is anticipated to be experimentally realized in the future.

Finally, we also explore the effect of hole doping on SC in a recently observed [26,27] rare-earth hydride LaH<sub>10</sub>. As shown in Fig. 4(a), the band structure of LaH<sub>10</sub> computed at 300 GPa exhibits a vHs near  $E_F$  with a strong hybridization of La-4*f* and H-1*s* orbitals [33]. The calculated  $\lambda$  and  $T_c$  values of LaH<sub>10</sub> are displayed as a function of  $n_h$  in Fig. 4(b). Since the DOS around  $E_F$  increases with hole doping [see Fig. 4(a)],  $\lambda$  increases monotonously with increasing  $n_h$ . Consequently, hole doped LaH<sub>10</sub> raises  $T_c$  from 233 K (without hole doping) to 245 K at  $n_h = 0.3e$  [79]. Here, the hole doping induced increase of  $T_c$  is 12 K, much smaller than the corresponding  $\Delta T_c \approx 62$  K in CeH<sub>9</sub> [see Fig. 3(c)]. The relatively smaller value of  $\Delta T_c$  in LaH<sub>10</sub> is partly associated with the weak variation of DOS around  $E_F$  via hole doping [see Fig. 4(a)].

In summary, based on first-principles calculations, we proposed that hole doping significantly enhances  $T_c$  in a recently synthesized [31,32] hydride CeH<sub>9</sub>. It was revealed that hole doping with Ce<sup>3+</sup> ions induces the shift of  $E_F$  toward a vHs, thereby leading to the enhancement of EPC through an

increased DOS around  $E_F$ . Interestingly, the vHs was found to be associated with the band touching of two crystalline symmetry-protected DNLs the electronic states of which are mostly composed of hybridized Ce-4*f* and H-1*s* orbitals. Therefore, the crystalline symmetry, band topology, and hole doping cooperate to increase  $T_c$  of compressed CeH<sub>9</sub>. The proposed effect of hole doping on SC is rather generic and, hence, it can also be applicable to another experimentally observed [26,27] high- $T_c$  rare-earth hydride, LaH<sub>10</sub>. We anticipate that future experimental work will be stimulated to adopt hole doping for raising  $T_c$  in high-pressure superconducting hydrides.

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