Topological magnon insulator spin excitations in the two-dimensional ferromagnet CrBr3

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Topological magnons are bosonic analogues of topological fermions in electronic systems. They have been studied extensively by theory but rarely realized by experiment. Here, by performing inelastic neutron scattering measurements on single crystals of a two-dimensional ferromagnet CrBr3, which was classified as Dirac magnon semimetal featured by the linear bands crossing at the Dirac points, we fully map out the magnetic excitation spectra, and reveal that there is an apparent gap of ∼3.5 meV between the acoustic and optical branches of the magnons at the K point. By collaborative efforts between experiment and theoretical calculations using a five-orbital Hubbard model obtained from first-principles calculations to derive the exchange parameters, we find that a Hamiltonian with Heisenberg exchange interactions, next-nearest-neighbor Dzyaloshinskii-Moriya (DM) interaction, and single-ion anisotropy is more appropriate to describe the system. Calculations using the model show that the lower and upper magnon bands separated by the gap exhibit Chern numbers of ± 1 . These results indicate that CrBr₃ is a topological magnon insulator, where the nontrivial gap is a result of the DM interaction.

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Topological electronic systems with nontrivial topological electron band structures and emergent fascinating physical properties have been at the forefront of condensed-matter physics in recent decades $[1-4]$. The concept of band topology can be extended from fermionic to bosonic systems, e.g., magnons also [\[5\]](#page-4-0). In fact, there have been many theoretical proposals for various topological magnon band structures, including topological magnon insulators $[6–11]$, and magnonic Dirac $[12-19]$, and Weyl semimetals $[20-23]$. Similar to fermionic systems, topological magnonic materials also possess exotic topological properties, such as the nonzero Berry curvature responsible for the thermal Hall effect describing the charge-neutral spin excitations carrying heat flowing transversely under external magnetic fields [\[24–34\]](#page-4-0), and edge or surface state that is topologically protected and robust to perturbations [\[6,7,9,24,35](#page-4-0)[–37\]](#page-5-0). Therefore, they hold great potential in designing next-generation high-efficiency

and low-cost magnon spintronics [\[9](#page-4-0)[,38–40\]](#page-5-0). So far, only a handful of topological magnonic states have been realized experimentally, including topological magnon insulator in Cu[1,3-benzenedicarboxylate] [\[41,42\]](#page-5-0), $SrCu₂(BO₃)₂$ [\[43\]](#page-5-0), and $Ba_2CuSi_2O_6Cl_2$ [\[44\]](#page-5-0), and Dirac magnons in CoTiO₃ $[45-47]$ and Cu₃TeO₆ [\[48–50\]](#page-5-0).

Recently, the honeycomb-structured $CrI₃$, a twodimensional ferromagnet with a Curie temperature T_C of 45 K, not too much reduced from the bulk value of 61 K [\[51,52\]](#page-5-0), has also been suggested to be a topological magnon insulator candidate [\[53,54\]](#page-5-0). There are two magnetic atoms per unit cell in this material, and the acoustic and optical branch of the spin waves act as the valence and conduction band, respectively, in fermionic systems. According to the inelastic neutron scattering (INS) results in Ref. [\[53\]](#page-5-0), there is a clear gap between the two bands, resulting from the next-nearest-neighbor (NNN) Dzyaloshinskii-Moriya (DM) interaction that leads to the nonzero Berry phase of the bands [\[55,56\]](#page-5-0). Later on, it has been suggested that the bond-dependent Kitaev interaction can also be responsible for the topological magnon band structure [\[54,57,58\]](#page-5-0). Although the gap opened by either the DM or Kitaev interaction is topological, and thus both results classify $\rm CrI_3$ as a topological

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magnon insulator $[53,57]$, the precise mechanism for the topological band structure is yet to be determined [\[53,54,57–](#page-5-0) [61\]](#page-5-0). Interestingly, another two-dimensional ferromagnet CrBr3 has been labeled as a magnonic Dirac semimetal instead [\[15\]](#page-4-0), for which the doubly degenerate acoustic and optical branches cross each other and form a Dirac cone at the two-dimensional Brillouin-zone corner, K point [\[62,63\]](#page-5-0), although both its crystal and magnetic structures are similar to those of CrI₃ $[64, 65]$. This provides an excellent opportunity not only to examine the topological state in CrBr₃, but also to pin down the mechanism responsible for the band topology in $CrBr₃$ and $CrI₃$ [\[53,54,57–61\]](#page-5-0).

In this Letter, we have carried out comprehensive INS measurements on single crystals of $CrBr₃$ and fully mapped out the spin-wave excitations in the entire momentum-energy space of interest. We observe a clear gap of ∼3.5 meV between the acoustic and optical branches at the K point, where the two modes were expected to cross each other and form Dirac magnons [\[15,](#page-4-0)[62,63\]](#page-5-0). By performing theoretical calculations using a five-orbital Hubbard model with tight-binding parameters derived from first-principles calculations, and by careful analysis of the experimental and theoretical data, we find the material can be more properly described by a Heisenberg Hamiltonian with NNN DM interaction *A* and single-ion anisotropy constant D_z , and the best fit to the experimental spectra yields a parameter set of nearest-neighbor (NN) Heisenberg exchange interaction $J_1 = -1.48$ meV, NNN $J_2 =$ -0.08 meV, next-next-NN (NNNN) $J_3 = 0.11$ meV, $A =$ 0.22 meV, and $D_z = -0.02$ meV. Calculations using this model show that the lower acoustic and upper optical magnon bands separated by the gap have a Chern number of $+1$ and -1 , respectively. These results thus classify CrBr₃ as a topological magnon insulator, where the nontrivial gap is opened by the NNN DM interaction.

Single crystals of $CrBr₃$ were grown by the chemicalvapor-transport method. For the INS experiment, we used 40 pieces of single crystals weighed about 1.06 g in total, which were well coaligned using a Laue machine. Time-of-flight INS experiment was performed on a direct geometry chopper spectrometer MERLIN located at ISIS Facility in the United Kingdom. The single crystals were mounted in the (*h*, 0, *l*) plane, with $\overline{120}$ aligned along the vertical direction. We used a set of incident energies $E_i = 30$ (primary) and 10.7 meV with a chopper frequency of 350 Hz, which resulted in an energy resolution at the elastic line of 1.16 and 0.35 meV (full width at half maximum), respectively. The wave vector *Q* was described by (*hkl*) reciprocal lattice unit (r.l.u.) of $(a^*, b^*, c^*) = (4\pi/\sqrt{3}a, 4\pi/\sqrt{3}b, 2\pi/c)$ with $a = b =$ 6.30 Å, and $c = 18.37$ Å.

We carried out powder x-ray diffraction measurements at 300 K and the pattern is shown in Fig. $1(a)$ within the Supplemental Material [\[66\]](#page-5-0), from which we obtain the lattice parameters. CrBr₃ crystallizes in a hexagonal structure with the space group $P3$ (#143) as shown in Fig. $1(a)$. In contrast to CrI3, which undergoes a structural phase transition from the high-temperature monoclinic to the low-temperature rhombohedral phase $[72, 73]$, CrBr₃ exhibits no structural transition in the Raman spectra in the whole temperature range mea-sured (see the Supplemental Material [\[66\]](#page-5-0)). We measured the magnetization and specific heat for $CrBr₃$ and the results are

FIG. 1. (a) Schematic crystal structure of CrBr₃. Arrows denote the spins. J_1 , J_2 . and J_3 represent NN, NNN, and NNNN exchange couplings, respectively. (b) Schematic Brillouin zone with highsymmetry points and directions on the (*h*, *k*, 0) plane. (c), (d), and (e) show INS spectra collected at 6 K along (*h*, *h*), (*h*–0.5, *h*+0.5), and $(-k, k)$ directions, respectively. These directions are illustrated in (b). The high-energy part (≥ 4 meV, above the horizontal dashed line) was obtained with $E_i = 30$ meV, while the low-energy part was obtained with $E_i = 10.7$ meV. The high-energy data were integrated with the orthogonal direction over [−0.2, 0.2] r.l.u., while the low-energy data were over [−0.15, 0.15] r.l.u, and *l* over [–5, 5] r.l.u. for both cases. Solid curves are the calculated dispersions with the DM model, as discussed in the main text. Vertical dashed lines in (c) and (d) illustrate constant *Q* cuts at the K points, and the cut results of (c) and (d) are plotted in (f) and (g), respectively. Solid curves in (f) and (g) are guides to the eye, and the vertical dashed lines denote the peak positions, between which we define to be the gap energy. (h) Magnetic spectrum along the [001] direction obtained with $E_i = 10.7$ meV. Throughout the paper, errors represent one standard deviation.

shown in Fig. [2](#page-2-0) within the Supplemental Material [\[66\]](#page-5-0), from which we find that the system orders ferromagnetically below the $T_C = 32$ K, with Cr spins $S = 3/2$ aligned approximately along the *c* axis [\[65,](#page-5-0)[74\]](#page-6-0).

The spin-wave excitation spectra along three highsymmetry paths (h, h) , $(h-0.5, h+0.5)$, and $(-k, k)$ as illustrated in Fig. $1(b)$ are plotted in Figs. $1(c)-1(e)$, respectively. From Figs. $1(c)$ and $1(d)$, which show spectra along the same direction but at different *Q* points with different magnetic structural factors, we can clearly observe two spinwave branches. One low-energy branch emerges from the Brillouin-zone center Γ point, and reaches the maximum at the K point, which we refer to as the "acoustic" mode. A high-energy branch has a global maximum, local maximum, and minimum at the Γ , M, and K points, respectively. We label it as the "optical" mode. In Fig. $1(e)$, we plot the spectra along $(-k, k)$, orthogonal to those in Figs. 1(c) and 1(d). In Fig. 1(e), the optical mode with maximum and minimum at the Γ and M points, respectively, is very clear. As shown in Figs. 1(c) and $1(d)$, there is a clear gap separating the acoustic and optical branches at the K point. To quantify the gap, we performed constant-*Q* cuts at four K points as illustrated by the dashed lines in Figs. $1(c)$ and $1(d)$. The results shown in Figs. $1(f)$ and

FIG. 2. Calculated dependence of the Heisenberg exchange interactions J_1 , J_2 , and J_3 , NN Kitaev interaction K , off-diagonal interactions Γ and Γ' , NNN DM interaction *A*, and single-ion anisotropy term D_z , as a function of Hund's coupling J_H over the Coulomb interaction *U*. The vertical line indicates the material parameters with $U = 3.05$ eV and $J_H = 0.95$ eV. An SOC constant $\lambda = 30$ meV was used in the calculations.

[1\(g\)](#page-1-0) clearly exhibit a double-peak profile where the two peaks correspond to the acoustic band top and optical band bottom, with a valley in the middle of the gap. We fit the cut profiles and take the energy difference between the two peaks as the gap. We average these values at all 24 K points available and obtain a gap energy of \sim 3.5 meV, smaller than that in CrI₃ (Refs. [\[53,57\]](#page-5-0)). The gap can also be clearly visualized from Fig. 3 within the Supplemental Material [\[66\]](#page-5-0), where we plot constant-energy contour maps showing the excitation spectra in the (*h*, *k*) plane. The observation of a clear gap separating the acoustic and optical bands in $CrBr₃$ is in stark contrast to the expectation that these two bands will cross each other and form a Dirac cone at the K point [\[15](#page-4-0)[,62,63\]](#page-5-0). From our results, we also note that there are finite intensities extending from the acoustic and optical branches into the gaped regime due to the resolution effect. We suspect that the intensities might be misinterpreted as resulting from the band crossing in a triple-axis experiment with limited data [\[62,63\]](#page-5-0).

In Fig. $1(h)$, we plot the spectra along the $[001]$ direction, where we find any meaningful excitations will be overwhelmed by the incoherent elastic scattering. We can thus set an upper limit of 1 meV for the band top of the out-of-plane dispersion. This indicates that the interlayer coupling J_c is much weaker than that in $CrI₃$ where the bandwidth along the [001] direction is ∼2 meV [\[53\]](#page-5-0). Therefore, we neglect *Jc* in the following discussions for $CrBr₃$.

Next, we discuss appropriate models to describe the INS excitation spectra. First, we can use a Heisenberg Hamiltonian with a DM interaction and single-ion anisotrpy term similar to $CrI₃$ [\[53\]](#page-5-0). In this model, the DM interaction breaks the spatial inversion symmetry and opens the gap at the Dirac point [\[8,9,](#page-4-0)[53,55,56\]](#page-5-0). In addition, it has been suggested that the anisotropic Kitaev interaction originating from the superexchange processes via strong spin-orbital coupling (SOC) anions can also lift the degeneracy at the Dirac point [\[57\]](#page-5-0). To determine whether the DM or Kitaev interaction is responsible for the opening of the Dirac gap [\[53,54,57–61\]](#page-5-0), we use a five-orbital Hubbard model obtained from first-principle calculations to derive the exchange parameters for both CrI3 and $CrBr₃$, as has been done in Refs. [\[75,76\]](#page-6-0), and compare them with the experiment. The results for both compounds are similar and the details of the calculations are presented within the Supplemental Material [\[66\]](#page-5-0).

The calculated dependence of the exchange parameters on the Hund's coupling J_H over the Coulomb interaction U for $CrBr₃$ is shown in Fig. 2. We used the material parameters with $U = 3.05$ eV and $J_H = 0.95$ eV, which are similar to those obtained from first-principles calculations for $CrI₃$ in Ref. [\[77\]](#page-6-0). An SOC $\lambda = 30$ meV was also included in our work. The corresponding calculated exchange parameters are listed in Table [I,](#page-3-0) where we have a dominant $J_1 = -1.36$ meV, an order of magnitude larger than the positive *K* of 0.15 meV. Considering the small SOC and the large pure spin of 3/2, it is reasonable that the system exhibits a small anisotropic spin interaction *K*. It is worth noting that Ref. [\[59\]](#page-5-0) also found J_1 to be orders of magnitude larger than the positive *K*. On the other hand, as shown in Fig. 4 within the Supplemental Material [\[66\]](#page-5-0) and Table [I,](#page-3-0) our best fit to the experimental spectra if

FIG. 3. Comparison between the experimental (on the left of each panel) and calculated excitation spectra using a Hamiltonian consisted of J_1 , J_2 , J_3 , A , and D_z (on the right of each panel). The experimental spectra were obtained under the same conditions as those in Figs. [1\(c\)–1\(e\).](#page-1-0) Calculated dispersions with $J_1 = -1.48$ meV, $J_2 = -0.08$ meV, $J_3 = 0.11$ meV, $A = 0.22$ meV, and $D_z = -0.02$ meV are plotted as solid lines on top of the experimental spectra on the left side in each panel. Chern numbers of the acoustic and optical bands are also labeled.

TABLE I. Heisenberg couplings (*J*), NNN DM interaction (*A*), magnetocrystalline anisotropy constant (D_z), NN Kitaev (*K*), and offdiagonal interactions (Γ) of CrBr₃ and CrI₃. THE denotes calculated exchange parameters with a five-orbital Hubbard model. EXP-*JAD* and EXP-*JK* I denote the parameters obtained from the best fits to the experimental data using Heisenberg model with DM and Kitaev interactions, respectively.

Material	Method	J_1 (meV)	J_2 (meV)	J_3 (meV)	A (meV)	D_{τ} (meV)	K (meV)	Γ (meV)
CrBr ₃	THE EXP-JAD	-1.36 -1.48	-0.06 -0.08	0.12 0.11	0.07 0.22	-0.04 -0.02	0.15	0.05
	$EXP-JKT$		-0.18	0.05			-4.29	-0.04
CrI ₃	THE [59] $EXP-JAD$ [54]	-5.94 -2.13	-0.09	0.10	0.19	-0.20 -0.22	0.05	-0.04
	EXP-J $K\Gamma$ [57]	-0.2					-5.2	-0.07

using the *JK* model yields a dominant $K = -4.29$ meV while J_1 of effectively zero. Similarly, a negative K was also dominating over J_1 in the experimental works in CrI₃ if the JKT model was applied $[54,57]$. Such an outstanding disagreement in both the order of magnitude of the leading terms and the sign of the *K* term is very difficult to be reconciled. Therefore, we consider the model with the DM interaction where J_1 is most prominent to be more appropriate, which is indeed the case as we show below.

On the honeycomb lattice, the NN DM interaction cancels out upon space inversion, and only the NNN DM interaction remains finite [\[53\]](#page-5-0). Thus, the Hamiltonian can be written as

$$
H_{\rm DM} = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j + \sum_{\langle \langle ij \rangle \rangle} \mathbf{A} \cdot (\mathbf{S}_i \times \mathbf{S}_j) + \sum_j D_z \big(\mathbf{S}_j^z \big)^2.
$$

For CrBr₃, a zone center gap for the acoustic mode at the Γ point was shown to be 0.08 meV by INS and ferromagnetic resonance measurements in Refs. [\[62\]](#page-5-0) and [\[78\]](#page-6-0), respectively. This value is in line with the magnetization data plotted in Fig. [2](#page-2-0) within the Supplemental Material [\[66\]](#page-5-0), which shows that the easy-axis is roughly along the *c* axis, and there is a small but finite anisotropy field of 0.35 T between the *c* axis and the *a*-*b* plane. Therefore, we use this gap value to constrain D_z , which is necessary to stabilize ferromagnetism in thin layers [\[51\]](#page-5-0).

Based on the linear spin-wave theory [\[79\]](#page-6-0), we calculated the spin-spin correlation function for $CrBr₃$ using this model and compare the results with the experiment in Fig. [3](#page-2-0) (see the Supplemental Material [\[66\]](#page-5-0) for details on the calculations). In Fig. [3,](#page-2-0) the experimental INS data are plotted on the left in each panel, while the calculated spectra with a Hamiltonian consisted of J , A , and D_z are plotted on the right. The calculated dispersions are also plotted on top of the experimental data as solid curves. Parameters obtained from the best fit using the *JAD* model are listed in Table I. The small D_z is compatible with the small anisotropy field of 0.35 T shown in Fig. [2\(b\)](#page-2-0) within the Supplemental Material [\[66\]](#page-5-0), and the small zone center gap of 0.08 meV in Refs. [\[62](#page-5-0)[,78\]](#page-6-0). By comparing these values with the calculated results in Table I, we believe the agreement between theory and experiment is remarkable, although the fitted value of the subleading term *A* is still three times larger than the calculated one, which can be further improved in principle as we discuss in the Supplemental Material [\[66\]](#page-5-0). It is sufficient to justify the *JAD*

model rather than the JKT model as the appropriate model to describe the material by evaluating the order of magnitude in the leading interactions and the sign of the *K* term. It is clear that the model can reproduce the magnetic excitation spectra very well, especially with a clear gap at the K point consistent with the experimental data. As discussed in the Supplemental Material [\[66\]](#page-5-0), we have calculated the Chern numbers $[6,9,35,36,80,81]$ $[6,9,35,36,80,81]$ $[6,9,35,36,80,81]$ of the magnon spectra obtained using this model. The results show that both the acoustic and optical bands are topologically nontrivial, having a Chern number $+1$ and -1 , respectively, which are labeled in Fig. [3.](#page-2-0)

These results demonstrate that $CrBr₃$ is a new topological magnon insulator, similar to CrI₃ [\[53\]](#page-5-0), but in contrast to the theoretical prediction of it being a Dirac magnon [\[15\]](#page-4-0). The overall energy scale of $CrBr₃$ is reduced from that of $CrI₃$ [\[53,54\]](#page-5-0), consistent with the smaller T_C [\[51,52\]](#page-5-0). We can estimate the relative strength of the SOC in $CrI₃$ and $CrBr₃$ by analyzing the ratio of the gap at the K point over the bandwidth. For a more accurate estimation, we use the bandwidth of the optical branch, which can be determined more precisely. For CrI₃ and CrBr₃, we have their ratios to be 1.20 and 1.02, respectively. This suggests that the former has a larger SOC due to the heavier anion mass. Overall, as summarized in Table I, our theoretical calculations and those in Ref. [\[59\]](#page-5-0), the exchange parameters extracted from our and others' experiments [\[53,54,57\]](#page-5-0), the strength of the SOC, and the large and pure spin of $3/2$ in Cr³⁺, all indicate that J_1 is the leading term and the DM interaction is responsible for opening the gap and making the material a topological magnon insulator. There are further works that can testify this conclusion, such as to apply an in-plane magnetic field and explore the evolution of the gap at the K point, and to measure the thermal Hall and magnon Nernst effects [\[61\]](#page-5-0).

In summary, our comprehensive INS excitation spectra clearly unveil a gap of ∼3.5 meV between the acoustic and optical branches of the spin-wave excitations at the supposedly Dirac points in a two-dimensional ferromagnet CrBr₃. By carefully analyzing the experimental and theoretical data, we find that a Heisenberg Hamiltonian with a DM interaction and single-ion anisotropy term is more appropriate to describe the system. The DM interaction is responsible for opening the topologically nontrivial gap, separating the two bands which exhibit nonzero Chern numbers. These results show that $CrBr₃$ is a topological magnon insulator, which should exhibit topological thermal Hall and magnon Nernst effects.

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