


## Frequency dependence of the light-induced Hall effect in dissipative graphene

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We determine the Hall conductivity of light-driven graphene, with a specific focus on its frequency dependence, and compare it to the static effective approximation, based on Floquet states. This approximation gives the Haldane model as the effective model for light-driven graphene, with a gapped spectrum and a quantized Hall conductivity of  $-2e^2/h$ . We simulate both the light-driven and the effective model, and explicitly include the dissipative environment in our simulations. We investigate the effect of different driving regimes and dissipation strengths on the Hall conductivity in graphene. As a central result, the Hall conductivity of the light-driven system is not well approximated by the effective model, except for a regime of intermediate driving frequencies and small dissipation where the Hall conductivity contribution of the Dirac point approximately recovers the quantized value of  $-2e^2/h$ , as well as in the transient dynamics for weak dissipation.

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### I. INTRODUCTION

While topologically insulating states of solids in equilibrium have been established, characterized by a quantized Hall current, a new route of designing materials with a Hall conductivity via optical control is developed in Refs. [1–4], and has been demonstrated experimentally in graphene in Ref. [5]. Given that optical driving is a form of periodic driving, Floquet theory provides a framework of description for light-driven materials, insofar as it provides a natural set of quasienergy states. Floquet theory therefore provides a mapping of a periodic time-dependent Hamiltonian onto an effective static one [6,7]. By using such an effective Hamiltonian Refs. [8–11] have proposed that circularly polarized light drives graphene into a topologically insulating state. In the topological regime a gap opens at the Dirac point and the effective Hamiltonian resembles the Haldane model [12]. Under the additional assumption that the electron distribution forms a band insulating state the system obtains a quantized Hall conductivity of  $-2e^2/h$  [8,9]. However, we emphasize that this hypothesis about the light-driven system at high frequencies requires these simplifying assumptions. As we discuss in this paper, the conductivity of the light-driven system is in general not described by this hypothesis, except for narrow parameter regimes.

We note that the experimental realization of light-induced Floquet physics is limited to frequencies that are typically smaller than the bandwidths of the electronic bands. In the case of light-driven graphene, the size of the band gap at the Dirac point scales as  $E_{\text{dr}}^2/\omega_{\text{dr}}^3$ , where  $E_{\text{dr}}$  is the electric field strength and  $\omega_{\text{dr}}$  the driving frequency. In order to obtain a sizable gap for driving frequencies larger than the broadening of the Floquet or the Bloch states it is necessary to

simultaneously increase the field strength to experimentally unfeasible regimes. Therefore the experimental realization of the light-induced Hall effect in graphene has been performed for low driving frequencies of 48 THz [5]. We note that, apart from being experimentally more feasible, low-frequency driving also adds to the diversity of the topological phase diagram as additional Chern numbers occur at each of the resonances [13–15].

To capture the light-induced dynamics and the steady state of the electrons in a solid, it is imperative to include dissipation in its theoretical description. The specific dissipative processes and timescales are to be adjusted to describe a specific material or sample. We note that we have demonstrated that the resulting Hall conductivity measured in Ref. [5] can be recovered quantitatively in this manner (see Ref. [16]). As we point out, the resulting magnitude of the Hall conductivity is not consistent with the assumption that a band insulator state on the Floquet states is formed, which would result in a quantized conductivity that is related to the Chern numbers of the occupied states. Instead, the electron distribution of the steady state is a broad, smooth distribution over the Floquet states, and the Hall conductivity is composed of the weighted group velocity and the weighted Berry curvature of the Floquet states. As such, we refer to it as a geometric-dissipative effect. Other studies were reported in Refs. [17–34].

In this paper we present a more extensive discussion of the effects of dissipation on the light-induced Hall effect in graphene with a particular focus on its behavior with increasing driving frequencies. In addition to the frequency dependence, we present the dependence of the Hall conductivity on the driving field strength and dissipation strength. We find that the Hall conductivity contribution of the Dirac point of the light-driven system is greatly suppressed by dissipation

even in the high-frequency limit, which implies that the limit of the effective static model is not achieved. We observe this discrepancy for most of the parameter regimes that we consider, except for small dissipation and intermediate driving frequencies. We observe the same tendency in the transient regime as well, rather than the steady-state behavior.

This paper is organized as follows: In Sec. II we describe the formalism employed for all calculations. In Secs. III–V we provide a comparison of the light-induced Hall conductivity and the corresponding value for the corresponding static effective model. In Sec. III we consider the limit of increasing driving frequencies, in Sec. IV the limit of decreasing dissipation, and in Sec. V the transient regime for short times. In Sec. VI we conclude.

## II. NUMERICAL FORMALISM

Our primary objective is to describe the light-induced dynamics of electrons in graphene. Our discussion takes the experimental regime of Ref. [5] as its starting point, and strongly expands its parameter range to determine if and under what conditions the hypothesized high-frequency limit is achieved, in which the Floquet quasienergy states are interpreted as the energy states of a static effective Hamiltonian. Here, we describe the key features of our formalism (for a detailed description, see Ref. [16]).

To determine the electron dynamics of graphene we integrate the time evolution of the density matrix  $\rho$ . We factorize the density matrix  $\rho$  as  $\rho = \prod_{\mathbf{k}} \rho_{\mathbf{k}}$ , on a discrete lattice of  $N \times N$  momenta  $\mathbf{k}$ , centered around the Dirac point. At each momentum  $\mathbf{k}$  we represent  $\rho_{\mathbf{k}}$  in the four-dimensional basis of  $\Psi_{\mathbf{k}}$  consisting of  $|0\rangle, c_{\mathbf{k},A}^\dagger|0\rangle, c_{\mathbf{k},B}^\dagger|0\rangle, c_{\mathbf{k},A}^\dagger c_{\mathbf{k},B}^\dagger|0\rangle$ . Here, the operators  $c_{\mathbf{k},C}^\dagger$  and  $c_{\mathbf{k},C}$  create and annihilate an electron on the  $C = A, B$  sublattice, respectively. We solve the master equation for each  $\rho_{\mathbf{k}}$ . In the master equation we include unitary contributions based on the Hamiltonian

$$H = H_{0,\mathbf{k}} + H_{\text{dr},\mathbf{k}}(t) + H_{\text{L},\mathbf{k}}(t),$$

in which the contributing terms are given in Eqs. (1)–(3). The low-energy dynamics of electrons in graphene is described by Dirac Hamiltonians of the form

$$H_{0,\mathbf{k}} = \Psi_{\mathbf{k}}^\dagger [\hbar v_{\text{F}} (\tau_z k_x \sigma_x + k_y \sigma_y)] \Psi_{\mathbf{k}}, \quad (1)$$

where  $v_{\text{F}} = 10^6$  m/s is the Fermi velocity,  $\sigma_i$ ,  $i = x, y, z$ , denote Pauli matrices with respect to the singly occupied sector, and  $\tau_z = \pm 1$  is the valley index. Additionally we include the light-matter interaction  $H_{\text{em},\mathbf{k}}$  which consists of a circularly polarized driving term

$$H_{\text{dr},\mathbf{k}}(t) = \frac{e v_{\text{F}} E_{\text{dr}}}{\omega_{\text{dr}}} \Psi_{\mathbf{k}}^\dagger [\tau_z \sin(\omega_{\text{dr}} t) \sigma_x - \sigma_{\text{pol}} \cos(\omega_{\text{dr}} t) \sigma_y] \Psi_{\mathbf{k}}, \quad (2)$$

and a longitudinal dc probing field

$$H_{\text{L},\mathbf{k}} = -\tau_z e v_{\text{F}} E_{\text{L}} t \Psi_{\mathbf{k}}^\dagger \sigma_x \Psi_{\mathbf{k}}. \quad (3)$$

For later convenience we give the Floquet theory result for the effective static Hamiltonian for Eq. (2), at second order in the driving field  $E_{\text{dr}}$ . In the high-frequency limit [8,9]

$$H_{\text{eff},\mathbf{k}} = -\sigma_{\text{pol}} \Delta_{\text{hf}} \Psi_{\mathbf{k}}^\dagger \sigma_z \Psi_{\mathbf{k}}, \quad (4)$$

where  $\Delta_{\text{hf}} = (\hbar v_{\text{F}} e E_{\text{dr}})^2 / (\hbar \omega_{\text{dr}})^3$ . For our simulations we include an additional switch-on function of the form

$$\frac{\tanh\left(\frac{t-t_{r,\alpha}}{\sigma_{r,\alpha}}\right) + 1}{2}, \quad (5)$$

with the timescales  $t_{r,\alpha}$  and  $\sigma_{r,\alpha}$  as a global prefactor of the driving, the longitudinal probing term, and the effective Hamiltonian. We use different switch-on timescales for the longitudinal term ( $\alpha = \text{L}$ ) and the driving and effective Hamiltonian ( $\alpha = \text{dr}$ ). For the longitudinal probing term  $t_{r,\text{L}} = 50$  fs and  $\sigma_{r,\text{L}} = 20$  fs.

In the following, we refer to

$$H = H_{0,\mathbf{k}} + H_{\text{dr},\mathbf{k}}$$

as the light-driven system and to

$$H_{\text{st}} = H_{0,\mathbf{k}} + H_{\text{ef},\mathbf{k}}$$

as the static effective model.

In addition to these unitary contributions we model dissipative processes via Lindblad operators. We introduce three Lindblad operators in the basis that diagonalizes the instantaneous Hamiltonian. The first operator describes decay from the upper to the lower band, with rate  $\gamma_1 = 1/T_1$ . The second operator describes bare dephasing between the upper and the lower band, described by a rate  $\gamma_z$ . We introduce the combined decay rate  $\gamma_2$ , which describes the effective dephasing rate, via

$$\gamma_2 = 1/T_2 = \gamma_1/2 + 2\gamma_z. \quad (6)$$

The third Lindblad operator represents single-particle exchange with a fermionic bath of temperature  $T$  and chemical potential  $\mu$ , described by a rate  $\gamma_p = 1/T_p$ .

We solve the master equation numerically, compute the current for each momentum by taking the trace of the current operator  $\mathbf{j}_{\mathbf{k}} = e v_{\text{F}} (\tau_z \sigma_x \mathbf{e}_x + \sigma_y \mathbf{e}_y)$  with the density matrix. The contribution to the conductivity of the momentum  $\mathbf{k}$  is obtained from the limit  $\sigma_{xy}(\mathbf{k}) = \lim_{E_{\text{L}} \rightarrow 0} \mathbf{j}_{\mathbf{k}} / E_{\text{L}}$ . We define the contribution to the conductivity dichroism of the momenta  $\mathbf{k}$  and  $-\mathbf{k}$ , via

$$\tilde{\sigma}_{xy}(\mathbf{k}) = \frac{1}{2A} [\sigma_{xy}(\mathbf{k}) + \sigma_{xy}(-\mathbf{k})],$$

where  $A$  is the lattice size and the full conductivity

$$\sigma_{xy} = \sum_{\mathbf{k}} \tilde{\sigma}_{xy}.$$

The circular dichroism of the Hall conductivity describes the difference of the response for right- and left-handed circularly polarized light.

As a central tool to investigate the Hall conductivity of the Dirac point and individual resonances we show the conductivity dichroism  $\tilde{\sigma}_{xy}(\mathbf{k})$  in Fig. 1(a) and its radially integrated value in Fig. 1(b), i.e.,  $\int_0^{|\mathbf{k}|} \tilde{\sigma}_{xy}(\mathbf{k})$ . In addition to the contribution of the Dirac point there are contributions whenever the driving frequency is resonant with the band gap, i.e.,  $\omega_{\text{dr}} = 2v_{\text{F}}k$ . For small field strength  $E_{\text{dr}}$ , the contributions to the Hall conductivity dichroism are localized around each of these resonances, depicted by dashed lines in Fig. 1(b). The initial decrease in Fig. 1(b) corresponds to the contribution of the Dirac point. It is much smaller than  $-2e^2/h$ , which is

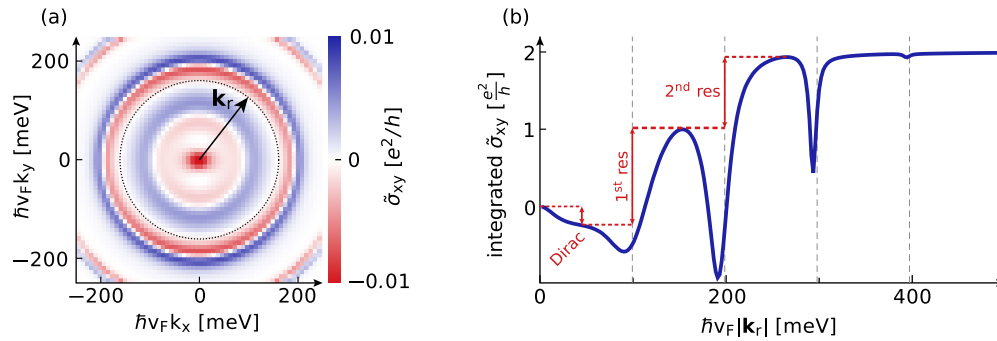


FIG. 1. Circular dichroism of the Hall conductivity. (a) We show the conductivity dichroism  $\tilde{\sigma}_{xy}(\mathbf{k})$ . Note that  $\hbar v_F k = 200$  meV corresponds to  $k \approx 0.03$  /Å. (b) shows the same data as (a) but radially integrated as a function of threshold momentum  $|\mathbf{k}_r|$ . The first four resonances are indicated by dashed lines. We observe that the conductivity contributions are localized around individual resonances and indicate their net contributions by red arrows. For both panels we use  $E_{\text{dr}} = 20$  MV/m,  $\omega_{\text{dr}} = 2\pi \cdot 48$  THz  $\approx 200$  meV/ $\hbar$ ,  $T_1 = 1$  ps,  $T_2 = 200$  fs,  $T_p = 250$  fs,  $T = 1$  K,  $E_L = 1.7$  kV/m,  $\mu = 0$ ,  $t_{r,\text{dr}} = 1$  ps, and  $\sigma_{r,\text{dr}} = 0.5$  ps. We show the conductivity density after a steady state is achieved for a tanh-type ramp of the driving field strength.

the result of the static effective model. As an estimate for the contribution of the Dirac point we can define

$$\sigma_{\text{Dirac}} = \int_0^{k=\omega_{\text{dr}}/(4v_F)} d^2\mathbf{k} \tilde{\sigma}_{xy}, \quad (7)$$

which we use below.

### III. HIGH-FREQUENCY LIMIT

As a first approach we consider increasing the driving frequency, and compare it to the quantized integer conductivity of the Floquet Hamiltonian interpreted as a static Hamiltonian with the gap term in Eq. (4). Changing the driving frequency only implies a reduction of the gap at the Dirac point, which scales as  $\Delta_{\text{hf}} \propto E_{\text{dr}}^2/\omega_{\text{dr}}^3$  [see Eq. (4)]. Hence, for increasing driving frequencies the gap at the Dirac point is reduced. We therefore consider the case of increasing the driving field strength  $E_{\text{dr}}$  such that the value of  $\Delta_{\text{hf}}$  remains constant. We display this scenario in Fig. 2(a), where we show the Hall conductivity dichroism as a function of the chemical potential  $\mu$  for several different driving frequencies  $\omega_{\text{dr}}$ . We compare the conductivity of the light-driven system with the static effective high-frequency limit which we model by using the effective static Hamiltonian from Eq. (4). We find that the conductivity of the light-driven system has the opposite sign of the static effective system. Additionally we observe in Fig. 2(a) that for the given range of frequencies the conductivity of the light-driven system decreases slowly for increasing driving frequency.

Given that higher driving frequencies and larger driving field strengths are numerically challenging, we focus on the contribution of the Dirac point in Fig. 2(b). For  $\omega_{\text{dr}} = 2\pi \cdot 50$  THz and  $\omega_{\text{dr}} = 2\pi \cdot 100$  THz we see the onset of the first resonance, at  $\hbar v_F |\mathbf{k}_r| \approx 100$  meV and  $\hbar v_F |\mathbf{k}_r| \approx 200$  meV, respectively. For larger driving frequencies the plateau at larger threshold momenta gives the Dirac-point contribution to the conductivity. In the static high-frequency limit it is expected to converge towards  $-2e^2/h$ . However, the Hall conductivity of the light-driven system is notably different than the hypothesized limit of equating the Floquet states to energy states of a static Hamiltonian. We observe in Fig. 2(b) for

increasing driving frequencies that the conductivity reduces to 0 instead. This result is consistent with the formation of a high-temperature state with almost equal occupation of the lower and upper graphene band. In Ref. [16] we have seen that the Hall conductivity can be approximated by weighting the Berry curvature and band velocity with the corresponding band occupations. Since both bands have opposite Berry curvature and band velocity the resulting Hall conductivity vanishes.

### IV. GEOMETRIC HALL CONDUCTIVITY FOR LOW DISSIPATION

Next we consider reducing dissipation. We find that for fixed driving frequency the dissipation greatly inhibits the Hall-conductivity contribution of the Dirac-point gap. The contributions of resonances are enhanced by some dissipation mechanisms (see Appendix A). We can identify a regime of low dissipation and intermediate electric field strengths where the Hall-conductivity contribution of the Dirac point approaches  $-2e^2/h$ .

In this and the following section we switch off the coupling to the back gate ( $T_p = \infty$ ). Hence, we work at a fixed particle number, enforcing the unit occupation of each momentum mode. The computations with a decoupled back gate are more efficient and hence allow one to investigate a wider parameter regime. Apart from being numerically more feasible we have several other reasons motivating the decoupled back gate: Experimentally this could be achieved by using free-standing graphene, i.e., graphene without a substrate. Also we confirm in Appendix C that at zero chemical potential the results are qualitatively similar to those with a coupled back gate. Finally, it is an interesting question on its own to ask whether decay-type damping inhibits the geometric Hall conductivity of the gap at the Dirac point. In addition to decoupling the back gate we consider only cases where  $T_2 = 2T_1$ , which corresponds to small dephasing-type dissipation. We explain in Appendix A that this is beneficial for obtaining a large contribution of the Dirac point to the Hall conductivity.

We show the driving-field-strength dependence of the Dirac-point contribution for different  $T_1$  in Fig. 3. In the

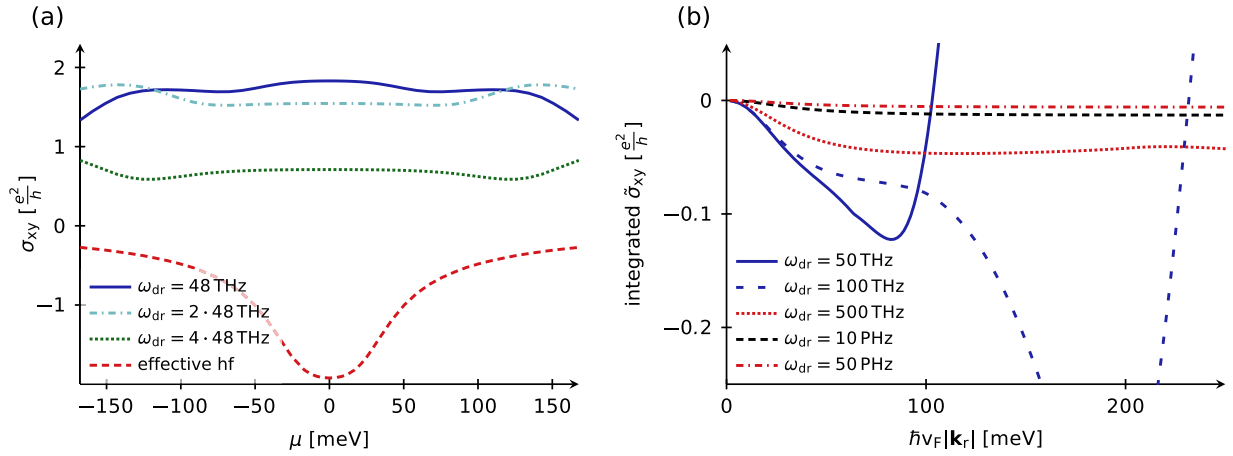


FIG. 2. Increasing the driving frequency, while adjusting the driving field  $E_{dr}$  to keep the energy gap  $\Delta_{hf} = (\hbar v_F e E_{dr})^2 / (\hbar \omega_{dr})^3$  fixed. In both panels we show the circular dichroism of the Hall conductivity. (a) Total conductivity as a function of the chemical potential. For comparison we show the corresponding conductivity of the static effective model, as defined by Eq. (4). We observe that the Hall conductivity has the opposite sign for low- and high-frequency driving. (b) We show the conductivity density  $\tilde{\sigma}_{xy}(\mathbf{k})$  integrated over all momenta smaller than the threshold value  $|\mathbf{k}_r|$ . We find that the contribution of the Dirac point becomes vanishingly small instead of converging towards  $-2e^2/h$  when increasing the driving frequency by orders of magnitude. The driving frequencies for both panels are indicated in the legends. The electric field strength is  $E_{dr} = 20$  MV/m for  $\omega_{dr} = 2\pi \cdot 48$  THz and is adjusted such that  $\Delta_{hf}$  has the same value for all driving frequencies  $\omega_{dr}$ . The remaining parameters for all panels are  $T_1 = 100$  fs,  $T_2 = 20$  fs,  $T_p = 25$  fs,  $T = 80$  K, and  $E_L = 840$  V/m. All observables are shown after a steady state is achieved for a tanh-type ramp of the driving field strength, for (a)  $t_{r,dr} = 1$  ps and  $\sigma_{r,dr} = 0.5$  ps, while for (b)  $t_{r,dr} = 0.5$  ps and  $\sigma_{r,dr} = 0.25$  ps.

effective high-frequency limit the contribution of the Dirac point to the Hall conductivity is small for low electric field strength, increases to approximately  $-2e^2/h$  and then reduces again for even larger field strengths. At low field strength the size of the gap is small compared to dissipation and temperature resulting in a partial cancellation of the conductivity.

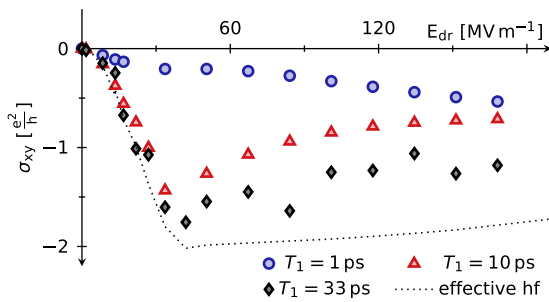


FIG. 3. Contribution of the Dirac point to the Hall conductivity dichroism, as defined in Eq. (7), for different damping timescales as indicated in the legend. For comparison the dotted line shows the static effective model, as defined by Eq. (4), at  $T_1 = 1$  ps. Note that the static effective model has no strong dependence on dissipation, for driving field strengths that are sufficiently large so that the induced energy gap is larger than the dissipative broadening and the temperature. We observe a regime of small dissipation and intermediate driving field strengths where the Hall conductivity of the light-driven system approaches the effective static result of  $-2e^2/h$ . We use  $\omega_{dr} = 2\pi \cdot 200$  THz,  $T_2 = 2T_1$ ,  $T_p = \infty$ ,  $T = 80$  mK,  $E_L = 1.7$  kV/m, and  $\mu = 0$ . All observables are shown after a steady state is achieved following a tanh-type ramp-up function of the driving field strength with timescales  $t_{r,dr} = 1$  ps and  $\sigma_{r,dr} = 0.5$  ps. The simulations for the low dissipation cases are numerically challenging which is the origin of the numerical noise in the  $T_1 = 33$  ps data.

The origin of the reduction for large field strength is that the conductivity contribution spreads out in momentum space and hence extends beyond the regime that we associate with the Dirac point. At intermediate field strengths we find a plateau near  $-2e^2/h$  for the effective high-frequency system.

For the light-driven system we see in Fig. 3 that decay-type damping has a strong influence on the Hall conductivity of the Dirac point. The Dirac-point contribution is reduced significantly for large decay-type damping, i.e., small  $T_1$ . For larger values of  $T_1$  the contribution of the Dirac point agrees with the one computed for the effective high-frequency system for small values of the driving field strength. When further increasing  $T_1$  the range in which the two contributions agree extends to a larger and larger field strength. For  $T_1 = 33$  ps we nearly recover the quantized value of  $-2e^2/h$  at intermediate field strengths. We expect that the Hall-conductivity contribution of the Dirac point converges towards its high-frequency limit when reducing dissipation.

## V. GEOMETRIC HALL CONDUCTIVITY IN THE TRANSIENT RESPONSE

As a third comparison, we consider the contribution of the Dirac point to the Hall conductivity during the transient response before the system equilibrates. We show the time-resolved contribution of the Dirac point to the Hall conductivity in Fig. 4. The net Hall conductivity is negative for all dissipation strengths and times. For vanishing dissipation, corresponding to  $T_1 = \infty$ , the Hall conductivity approaches  $-2e^2/h$ . For nonzero but small dissipation we find that the Hall conductivity approaches  $-2e^2/h$  before it equilibrates at a smaller value. The dissipative process results in a population of both the upper and the lower band at the Dirac point, which gives a partial cancellation of the Hall conductivity. For

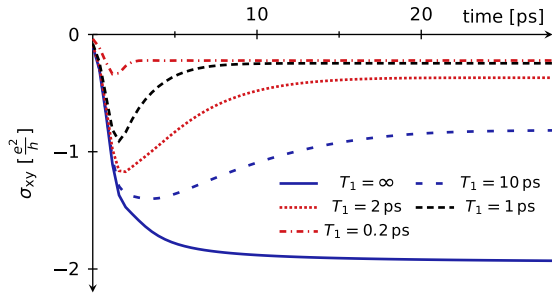


FIG. 4. Contribution of the Dirac point to the Hall conductivity dichroism, as defined in Eq. (7), as a function of time  $t$  for several dissipation times  $T_1$ . We use  $E_{\text{dr}} = 84$  MV/m,  $\omega_{\text{dr}} = 2\pi \cdot 200$  THz,  $T_2 = 2T_1$ ,  $T_p = \infty$ ,  $T = 80$  mK,  $E_L = 1.7$  kV/m,  $\mu = 0$ , and the ramp-up timescales  $t_{r,\text{dr}} = 1$  ps and  $\sigma_{r,\text{dr}} = 0.5$  ps.

stronger dissipation, i.e., smaller  $T_1$ , both the transient and the equilibrium Hall conductivity is suppressed as compared to weaker dissipation.

## VI. SUMMARY AND OUTLOOK

We have investigated the Hall conductivity of light-driven graphene for a wide range of driving frequencies and dissipation strengths. As a central focus, we have compared the Hall conductivity of the light-driven system to a hypothetical limit that we refer to as the static effective model, in which the Floquet quasienergies are interpreted as energies of a static Hamiltonian, to determine if this static effective limit provides a valid approximation for the light-driven, dynamical system. We have focused on the contribution of the Dirac point on the Hall conductivity which is expected to show a quantized response of  $-2e^2/h$  for large driving frequencies and vanishing dissipation for the static effective model. We find that the steady-state contribution of the Dirac point is crucially influenced by dissipation. As a central focus, we have compared the Hall conductivity of the light-driven system to a hypothetical limit that we refer to as the static effective model, in which the Floquet quasienergies are interpreted as energies of a static Hamiltonian, to determine if this static effective limit provides a valid approximation for the light-driven, dynamical system. For finite dissipation we find that the Hall conductivity vanishes in the high-frequency-driving limit. Instead we identify a regime of small dissipation and intermediate driving frequencies and field strengths where we recover the value of  $-2e^2/h$  approximately.

Our work paves the way for a more complete understanding of periodically driven solids in the dissipative regime. We emphasize that similar conclusions as we present here will apply to light-driven materials in general. This implies that, in general, a prediction for a Floquet-engineered material cannot be achieved by determining the Floquet states and using the static effective approximation. Rather, the inclusion of dissipative processes creates a steady state, for which the magnitude of the observables depends on the type and magnitude of the dissipative processes. We identify a narrow regime, in which the static effective model gives an acceptable approximation of the steady state, and point out that the transient state of the light-driven material is approximated by the

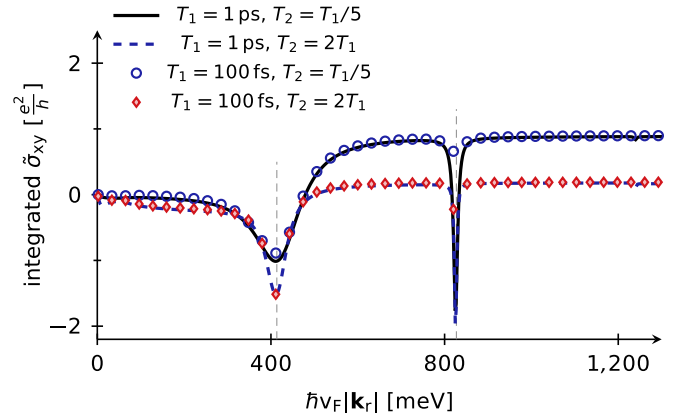


FIG. 5. Circular dichroism of the integrated Hall conductivity densities for several different values of the dissipation as indicated in the legend. We show the conductivity density  $\tilde{\sigma}_{xy}$  integrated over all momenta smaller than the threshold value  $|\mathbf{k}_r|$ . The first and second resonance are indicated by dashed lines. We use  $E_{\text{dr}} = 84$  MV/m,  $\omega_{\text{dr}} = 2\pi \cdot 200$  THz,  $T_p = \infty$ ,  $T = 80$  mK,  $E_L = 1.7$  kV/m, and  $\mu = 0$ . All observables are shown after a steady state is achieved for a tanh-type ramp of the driving field strength with  $t_{r,\text{dr}} = 1$  ps and  $\sigma_{r,\text{dr}} = 0.5$  ps.

static effective model, for sufficiently small dissipation. With these insights, we refine and advance Floquet engineering in general.

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## APPENDIX A: REDUCING THE DEPHASING-TYPE DISSIPATION

Here, we discuss the role of dephasing-type damping, i.e.,  $T_2$ . We observe in Fig. 5 that the relative scale of  $T_1$  and  $T_2$  crucially influences the Hall-conductivity contributions of the Dirac point and the first resonance. Large dephasing-type damping, i.e., small  $T_2$ , suppresses the contribution from the Dirac point, while at the same time enhancing the contribution of the first resonance. Compared to this effect the overall timescale of damping at fixed  $T_2/T_1$  plays a negligible role for  $100 \text{ fs} < T_1 < 1 \text{ ps}$ . We note that there is an upper bound  $T_2/T_1 \leq 2$ , since there is a finite amount of dephasing for each decay process. This can also be motivated from Eq. (6) in the main text, where we see, when setting the bare dephasing-type damping  $\gamma_z = 0$ , that at low temperature

$$\frac{1}{T_2} = \Gamma = \frac{\gamma_1}{2} \approx \frac{1}{2T_1}.$$

Our results motivate the use of the optimal case of  $T_2 = 2T_1$  in the main text.

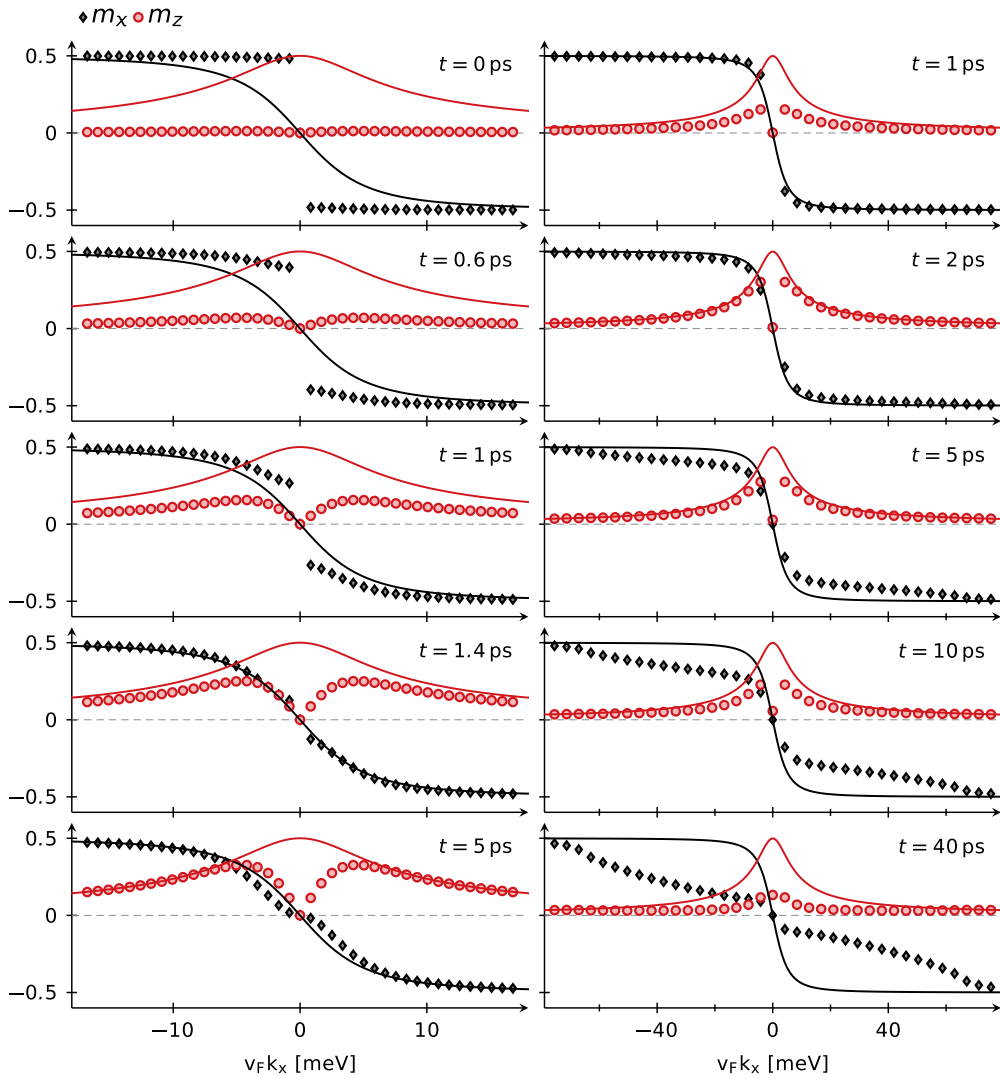


FIG. 6. Coefficients of the density matrix for a cut along the  $k_x$  direction at different snapshots in time as indicated in the legend. For comparison we show the ground state of the effective high-frequency system with solid lines. We show  $m_x$  by black triangles and  $m_z$  by red circles, but we do not show  $m_y$  since it is negligible along the  $k_x$  direction. The left column shows the undamped system while the right column shows  $T_1 = 10$  ps,  $T_2 = 2T_1$ , and  $T_p = \infty$ . For all panels  $E_{dr} = 84$  MV/m,  $\omega_{dr} = 2\pi \cdot 200$  THz,  $T = 80$  mK,  $E_L = 0$ , and the ramp-up timescales are  $t_{r,dr} = 0.5$  ps and  $\sigma_{r,dr} = 0.25$  ps.

## APPENDIX B: TIME-RESOLVED OCCUPATIONS

In order to get an intuitive understanding of the transient regime we first consider the time evolution of the density matrix without an applied longitudinal field. When decoupling the back gate ( $T_p = \infty$ ) the system has unit filling and hence can be described by a  $2 \times 2$  density matrix

$$\rho_{\mathbf{k}} = 1/2 + m_x(\mathbf{k})\sigma_x + m_y(\mathbf{k})\sigma_y + m_z(\mathbf{k})\sigma_z.$$

We show the coefficients of the density matrix for a cut along the  $k_x$  direction in Fig. 6. We do not show  $m_y(\mathbf{k})$  since it is negligible for  $k_y = 0$ . We first consider the undamped case which is shown in the left column of Fig. 6. Initially the density matrix correctly shows the dependence for undriven graphene where  $m_x = -k_x/|\mathbf{k}|$  and  $m_z = 0$ . Subsequently the system evolves towards the ground state of the driven effective high-frequency system shown by the solid lines in Fig. 6. For

large momenta it reaches this value after about 5 ps. Here, the quench is adiabatic since the timescale of the quench of about  $t_{\text{quench}} = 1$  ps ( $\hbar/t_{\text{quench}} \approx 0.7$  meV) is slow compared to the bandwidth of undriven graphene  $2\epsilon_{\mathbf{k}} = 2\hbar v_F k$ . Directly at the Dirac point the bands of undriven graphene touch and hence the quench cannot be adiabatic. The timescale of the quench determines the range around the Dirac point where the quench is nonadiabatic. For longer quench timescales the region where the response deviates from the ground state of the high-frequency system is more confined around the Dirac point. A finite  $m_z$  component around the Dirac point is important for having finite Berry curvature and hence a finite Hall conductivity. With dissipation momentum points that are nonadiabatic may relax towards the ground-state value of the high-frequency system (see the right column of Fig. 6). At the same time dissipation leads to a reduction of all coefficients of the density matrix. We find that this reduction is stronger

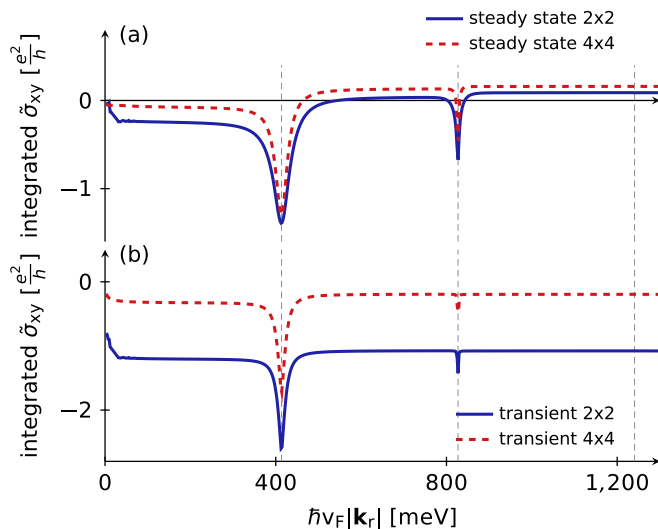


FIG. 7. Comparison of the integrated Hall conductivity dichroism for the  $2 \times 2$  and the  $4 \times 4$  model for low dissipation. We show the conductivity density  $\tilde{\sigma}_{xy}$  integrated over all momenta smaller than the threshold value  $|\mathbf{k}_r|$ . (a) shows the conductivity after a steady state has been achieved for a tanh-type ramp of the driving field strength, while (b) shows the transient response. We use  $E_{dr} = 30$  MV/m,  $\omega_{dr} = 2\pi \cdot 200$  THz,  $T_1 = 10$  ps,  $T_2 = 2$  ps,  $T_p = 4$  ps,  $T = 80$  mK,  $E_L = 1.7$  kV/m,  $\mu = 0$ ,  $t_{r,dr} = 0.5$  ps, and  $\sigma_{r,dr} = 0.25$  ps. The first, second, and third resonances are indicated by dashed lines.

for larger dissipation. This overall reduction also reduces the Hall conductivity. In the right column of Fig. 6 we consider a regime where the quench duration is faster than the relaxation timescale  $T_1$ . Then there are two different timescales. First, the system approaches the ground state of the high-frequency system for all momentum modes that are adiabatic within the first 5 ps. Then on a longer timescale the density-matrix components of all momenta approach the steady state which is reached after about 40 ps. At intermediate times the  $m_z$  component of the density matrix at the Dirac point already has a nonzero value while at the same time the density-matrix components of larger momenta are still close to the effective high-frequency system. This is the regime where we expect the quantized Hall response of the Dirac point.

### APPENDIX C: COMPARISON OF THE $2 \times 2$ AND $4 \times 4$ MODEL

As we have noted, Secs. IV and V in the main text have used the effective  $2 \times 2$  system, i.e., have considered the system with fixed particle number  $T_p \rightarrow \infty$ . Here, we compare these results to the full  $4 \times 4$  system (see Fig. 7). We see that finite back gate damping  $T_p$  within the  $4 \times 4$  model significantly reduces the contribution of the Dirac point. While the overall magnitude is reduced, the conductivity in the transient regime is still larger than the corresponding steady-state result.

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