Octupolar order and Ising quantum criticality tuned by strain and dimensionality: Application to *d*-orbital Mott insulators

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Recent experiments have discovered multipolar orders in a variety of *d*-orbital Mott insulators. Motivated by uncovering the exchange interactions which underlie octupolar order proposed in osmate double perovskites, we study a two-site model using exact diagonalization on a five-orbital Hamiltonian, incorporating spin-orbit coupling and interactions, and including both intraorbital and interorbital hopping. Using an exact Schrieffer-Wolff transformation, we then extract an effective pseudospin Hamiltonian for the non-Kramers doublets, uncovering dominant ferrooctupolar coupling driven by the interplay of two distinct intraorbital hopping terms. Using classical Monte Carlo simulations on the face-centered cubic lattice, we obtain a ferrooctupolar transition temperature which is in good agreement with experiments on osmate double perovskites. We also explore the impact of uniaxial strain and dimensional tuning via ultrathin films, which are shown to induce a transverse field on the Ising octupolar order. This suppresses T_c and potentially allows one to access octupolar Ising quantum critical points. We discuss possible implications of our results for a broader class of materials which may host such non-Kramers doublet ions.

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I. INTRODUCTION

Multipolar orders have been extensively studied in *f*-electron compounds [1–16] where spin-orbit coupling and interactions dominate over weaker crystal-field effects. However, there is growing evidence for such exotic higher multipoles in a wide range of heavy *d*-orbital metals such as LiOsO₃ and Cd₂Re₂O₇, which may exhibit odd-parity nematic orders [17,18] or quadrupolar orders as proposed in A_2 OsO₄ (with A = K,Rb,Cs) [19].

Recent work from various groups have also begun to explore such orders in the Mott insulator regime, where a local picture provides a useful starting point. For d orbitals in an octahedral crystal field, the t_{2g} single-particle levels are split by SOC, resulting in a fourfold degenerate, $j_{\text{eff}} = 3/2$, ground state and a doubly degenerate, $j_{eff} = 1/2$, excited state. These levels can realize interesting multipolar phases at different electron fillings. For instance, d^1 Mott insulators can realize the magnetism of $j_{\text{eff}} = 3/2$ spins. Theoretical studies of such moments on the fcc lattice have shown that they can lead to wide regimes of quadrupolar order [20-22] which may coexist with conventional dipolar magnetic order or valence bond orders [23]. Experiments on $5d^1$ oxides, Ba₂NaOsO₆ with Os^{7+} [24,25] and Ba₂MgReO₆ with Re⁶⁺ [26], have found evidence for two phase transitions, with a higher temperature quadrupolar ordering transition followed by dipolar ordering at a lower temperature.

In this paper, we focus on d^2 Mott insulators, where Refs. [20,21,27,28] have argued for a local J = 2 spin moment,

which can lead to various exotic orders including quadrupolar phases. We have recently reexamined this issue [29,30] and shown that virtual excitations into the high energy e_{g} orbitals split the fivefold degeneracy of the J=2 moment as $2(E_g) \oplus 3(T_{2g})$, resulting in a ground state non-Kramers E_g doublet carrying quadrupolar and octupolar moments. We had proposed, on phenomenological grounds, that ferro-octupolar (FO) order of these local moments provides a comprehensive understanding [29,30] of the time-reversal breaking phase transition observed in cubic-ordered double perovskite Mott insulators, Ba₂ZnOsO₆, Ba₂CaOsO₆, and Ba₂MgOsO₆, which host a $5d^2$ configuration on Os [31–35]. It is tempting to speculate that this Ising FO order might provide a template for storing information. Interestingly, our theory of octupolar order is reminiscent of, but distinct from, an old proposal by van den Brink and Khomskii [36] of complex e_g orbital order in the colossal magnetoresistive manganites, which explored time-reversal breaking in the single-particle e_{g} orbitals.

Despite the seeming success of our proposal, our previous work did not fully identify the microscopic origin of the octupolar exchange, although it did correctly identify the mechanism by which quadrupolar exchange can get suppressed. In particular, there was no theoretical basis starting from a model of interacting spin-orbit coupled electrons. This gap has been partially filled by a very recent study which combines density functional theory (DFT) and dynamical mean field theory (DMFT) calculations [37], and finds unequivocal evidence of FO exchange-however, it is still desirable to clarify the origin of FO order using a model tight-binding Hamiltonian. Meanwhile, several competing theories have emerged for the phase transition observed in these osmates. One proposal argues for antiferro-octupolar (AFO) ordering of the E_g doublets [38]. Other studies have argued for antiferro-quadrupolar (AFQ) orders based on a second-order

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perturbation theory calculation of the exchange interactions between non-Kramers doublets, while also including coupling to Jahn-Teller active phonons [39,40]. However, the latter results do not naturally explain the time-reversal symmetry breaking observed in experiments. Motivated by these developments, we consider here a five-orbital model for two neighboring sites, which we solve using numerical exact diagonalization (ED) to extract the exchange interactions. We find dominant FO exchange, in qualitative agreement with the DFT and DMFT study [37], and identify a combination of two distinct intraorbital hoppings as the driving force for FO exchange. Our results for the weaker quadrupolar terms do not precisely match the DFT and DMFT study [37]; we attribute these differences to differences in methodology. However, our ED results are strikingly different from the simple secondorder perturbation projected to the E_g doublets which finds dominant quadrupolar exchange [39,40]. We show that this discrepancy arises from the strong influence of the energetically close T_{2g} triplets, which necessitates including higher order terms. Armed with our ED results, we use Monte Carlo (MC) simulations to explore the phase diagram as we vary the interorbital and intraorbital hoppings. Over a wide regime of parameters, we find robust FO order with high T_c , thus providing an explanation for experimental observations on the double perovskite osmates. We also investigate the impact of uniaxial strain and dimensionality, showing that this leads to a transverse field on the Ising octupolar order, allowing one to tune T_c and potentially access octupolar Ising quantum critical points. Our paper extends previous work showing strain tuning of nematic (quadrupolar) order and its transverse field quantum criticality [41].

This paper is organized as follows. In Sec. II, we discuss the single-site and two-site ED results for the full five-orbital model, and show how we extract the pseudospin exchange model using an exact Schrieffer-Wolff transformation. Our results yield large swaths of parameter space with dominant FO exchange interactions on the face-centered cubic (fcc) lattice. In Sec. III, we discuss MC simulations of this pseudospin model and show that it leads to a phase transition into the FO ordered state with T_c in reasonable agreement with experiments on Ba₂ZnOsO₆, Ba₂CaOsO₆, and Ba₂MgOsO₆. Section IV studies the impact of uniaxial strain and dimensional tuning via thin films, showing that it leads to an effective transverse field on the Ising FO order, suppressing T_c and driving the system toward an Ising quantum critical point. Section V presents the summary and outlook.

II. PSEUDOSPIN HAMILTONIAN

A. Single-site exact diagonalization study

The single-site model for the d^2 configuration incorporating both crystal-field effects, electron-electron interactions, and spin-orbit coupling has been carefully explored in our previous work. To keep our discussion self-contained, we sketch the main results. We employ a single-site (local) Hamiltonian,

$$H_{\rm loc} = H_{\rm CEF} + H_{\rm SOC} + H_{\rm int},\tag{1}$$

which includes $t_{2g} - e_g$ crystal field splitting, SOC, and electronic interactions, written in the orbital basis $(\{yz, xz, xy\}, \{x^2 - y^2, 3z^2 - r^2\}) \leftrightarrow (\{1, 2, 3\}, \{4, 5\})$, where $\alpha \equiv \{1, 2, 3\}$ label t_{2g} orbitals and $\alpha \equiv \{4, 5\}$ label e_g orbitals. The CEF term is given by

$$H_{\rm CEF} = V_C \sum_{\alpha=4,5} \sum_{s} n_{\alpha,s}, \qquad (2)$$

where *s* is the spin. The SOC term is

$$H_{\rm SOC} = \frac{\lambda}{2} \sum_{\alpha,\beta} \sum_{s,s'} \langle \alpha | \mathbf{L} | \beta \rangle \cdot \langle s | \boldsymbol{\sigma} | s' \rangle c_{\alpha s}^{\dagger} c_{\beta s'}, \qquad (3)$$

where σ refers to the vector of Pauli matrices and **L** are orbital angular momentum matrices. The operators $c_{\alpha s}$, $c_{\alpha s}^{\dagger}$, and $n_{\alpha s}$ destroy, create, and count the electrons with spin *s* in orbital α . The Kanamori interaction is given by

$$H_{\text{int}} = U \sum_{\alpha} n_{\alpha\uparrow} n_{\alpha\downarrow} + \left(U' - \frac{J_H}{2} \right) \sum_{\alpha > \beta} n_{\alpha} n_{\beta}$$
$$- J_H \sum_{\alpha \neq \beta} \mathbf{S}_{\alpha} \cdot \mathbf{S}_{\beta} + J_H \sum_{\alpha \neq \beta} c^{\dagger}_{\alpha\uparrow} c^{\dagger}_{\alpha\downarrow} c_{\beta\downarrow} c_{\beta\uparrow}, \qquad (4)$$

where U and U' are the intra- and interorbital Hubbard interactions, J_H is the Hund's coupling, and $\mathbf{S}_{\alpha} = (1/2)c_{\alpha s}^{\dagger} \boldsymbol{\sigma}_{s,s'} c_{\alpha s'}$. The operator $n_{\alpha} \equiv n_{\alpha \uparrow} + n_{\alpha \downarrow}$ counts the total number of electrons in orbital α . Assuming spherical symmetry of the Coulomb interaction, we set $U' = U - 2J_H$ [42]. In this calculation, we use $V_C = 2.2$ eV, $\lambda = 0.4$ eV, U = 2.5 eV, and $J_H = 0.3$ eV to obtain a spin gap (described below) which matches values obtained by neutron studies [35].

When the crystal-field splitting $V_C \rightarrow \infty$, it leads to a fivefold degenerate ground state corresponding to a spin-orbit coupled J = 2 quantum spin. For realistic finite V_C , this J = 2 manifold is split, leading to a non-Kramers pseudospin doublet, with wave functions given in terms of J_z eigenstates as

$$|\psi_{g,\uparrow}\rangle = |0\rangle; \quad |\psi_{g,\downarrow}\rangle = \frac{1}{\sqrt{2}}(|2\rangle + |-2\rangle)$$
 (5)

and an excited state triplet separated from the doublet by a gap ~20 meV. The states $|\psi_{g,\uparrow}\rangle$, $|\psi_{g,\downarrow}\rangle$ are individually timereversal invariant. The angular momentum operators $(J_x^2 - J_y^2)/2\sqrt{3}$ and $-(3J_z^2 - J^2)/6$, restricted to this basis, act as Pauli matrices (τ_x, τ_z) , forming the two components of an XYlike quadrupolar order parameter, while $-\overline{J_xJ_yJ_z}/3$ (with the overline denoting symmetrization) behaves as τ_y , and serves as the Ising-like octupolar order parameter. We will define the corresponding pseudospin-1/2 operators as $\tilde{S}_{\alpha} = \tau_{\alpha}/2$. The FO order discussed later corresponds to all pseudospins being in the state $|\psi_{\pm}^{oct}\rangle = |\psi_{g,\uparrow}\rangle \pm i|\psi_{g,\downarrow}\rangle$, with the signs reflecting the \mathbb{Z}_2 Ising character of octupolar order, and the factor of *i* reflecting time-reversal symmetry breaking.

Our next goal is to uncover the interaction between these pseudospins on neighboring sites.

B. Two-site exact diagonalization calculation

We consider a two-site model, with each site housing a non-Kramers doublet as described above. Two sites lying in the γ plane (where $\gamma \in \{xy, yz, zx\}$) are coupled via a hopping Hamiltonian of the form

$$H_T^{\gamma} = \sum_{\alpha\beta s} \left(T_{\alpha\beta}^{\gamma} c_{2\beta s}^{\dagger} c_{1\alpha s} + T_{\beta\alpha}^{\gamma\dagger} c_{1\alpha s}^{\dagger} c_{2\beta s} \right), \tag{6}$$



FIG. 1. Schematic top view of orbitals in the xy plane showing different nearest-neighbor hoppings in the xy plane. The corresponding hoppings in the xz, yz planes are determined by the cubic point group symmetry.

where T^{γ} is the hopping matrix in the γ plane. In the *xy* plane, the sites are coupled via four hopping channels, as described in Fig. 1. The matrix in this plane takes the form

$$T^{xy} = \begin{pmatrix} t_{yz-yz} & t_{yz-zx} & 0 & 0 & 0 \\ t_{zx-yz} & t_{zx-zx} & 0 & 0 & 0 \\ 0 & 0 & t_{xy-xy} & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$
 (7)

With cubic symmetry, the corresponding matrices in the other planes can be obtained via C_3 transformations about the [111] direction. The dominant hopping in the *xy* plane is t_{xy-xy} , which is larger than $t_{yz-zx} = t_{zx-yz}$, which in turn is larger than $t_{yz-yz} = t_{zx-zx}$. The two-site model can be studied in the Fock space sector with four *d* electrons having access to 20 distinct states (ten on each site). The dimension of the resulting Hilbert space is thus $\binom{20}{4} = 4845$. The two-site Hamiltonian

$$H^{\gamma} = H_{\rm loc} \otimes \mathcal{I} + \mathcal{I} \otimes H_{\rm loc} + H_T^{\gamma} \tag{8}$$

consists of two copies of the single-site Hamiltonian presented in Eq. (1), in addition to H_T^{γ} . The symbol \mathcal{I} denotes the identity operator for the Hilbert space in the single-site problem.

C. Exact Schrieffer-Wolff transformation to obtain the pseudospin Hamiltonian

We compute the effective pseudospin Hamiltonian in the presence of intersite couplings using an exact Schrieffer-Wolff (SW) transformation [43,44]. SW transformations, in general, are used to obtain effective low-energy description of a perturbed Hamiltonian in terms of the low-energy eigenstates of the original unperturbed Hamiltonian. This is accomplished by defining a so-called direct rotation [44] that connects the low-energy subspaces of the unperturbed and perturbed Hamiltonians.

For our two-site model, the intersite hoppings in Eq. (6) will serve as the source of the perturbation. Therefore, we consider the two-site decoupled Hamiltonian [Eq. (8) without H_T^{γ}] as the unperturbed Hamiltonian H_0 and the coupled model H^{γ} [Eq. (8)] as the perturbed Hamiltonian. As already discussed (Sec. II A), the low-energy subspace of the single-site Hamiltonian has a twofold degeneracy, which translates to a four-dimensional degenerate subspace for the decoupled two-site Hamiltonian H_0 . We refer to this subspace for the decoupled (unperturbed) Hamiltonian as \mathcal{P}_0 . Upon introducing intersite couplings, the subspace \mathcal{P}_0 gets modified perturbatively to a different four-dimensional subspace \mathcal{P} . This new subspace \mathcal{P} is by definition the low-energy eigenspace for the coupled two-site Hamiltonian H^{γ} .

The SW transformation that rotates the subspace \mathcal{P} to \mathcal{P}_0 is defined as the unitary transformation

$$U_{\mathcal{P}\to\mathcal{P}_0} = \sqrt{(2P_0 - 1)(2P - 1)},$$
(9)

where $P = \sum_{\phi \in \mathcal{P}} |\phi\rangle \langle \phi|$, $P_0 = \sum_{\psi_0 \in \mathcal{P}_0} |\psi\rangle \langle \psi|$ are the projection operators onto the subspace \mathcal{P} and \mathcal{P}_0 , respectively, and 1 is the identity operator. The square root in Eq. (9) is defined using a branch cut on the complex plane such that $\sqrt{1} = 1$. The states $\{|\phi\rangle\}$ denote a choice of basis spanning \mathcal{P} and $\{|\psi\rangle\}$ is a basis for \mathcal{P}_0 . The operator $U_{\mathcal{P}\to\mathcal{P}_0}$ by construction maps a state $|\phi\rangle \in \mathcal{P}$ to a unique state $|\psi\rangle \in \mathcal{P}_0$, such that $U_{\mathcal{P}\to\mathcal{P}_0} |\phi\rangle = |\psi\rangle$. Consequently, $U_{\mathcal{P}\to\mathcal{P}_0}^{\dagger}$ does the opposite, i.e., $U_{\mathcal{P}\to\mathcal{P}_0}^{\dagger} |\psi\rangle = |\phi\rangle$. Furthermore, $U_{\mathcal{P}\to\mathcal{P}_0}$ is guaranteed to be unique iff $U^2_{\mathcal{P}\to\mathcal{P}_0}$, i.e., $(2P_0-1)(2P-1)$, does not have any eigenvalues that reside on the negative real axis of the complex plane. It has been shown [44] that this is indeed the case when the corrections arising from the perturbation are sufficiently small compared with the spectral gap Δ separating the low-energy subspace \mathcal{P}_0 from the excited states of the *unperturbed* Hamiltonian H_0 . For our two-site model, as discussed below, we have checked that the perturbative level shifts are weak compared with the spectral gap Δ separating the non-Kramer's doublet [Eq. (5)] from the rest of the spectrum, justifying a pseudospin-1/2 model of the low-energy two-site spectrum.

Usually, a direct computation of $U_{\mathcal{P}\to\mathcal{P}_0}$ [see Eq. (9)] is extremely difficult in a many-body setting, since a full computation of the perturbed subspace \mathcal{P} , spanning all orders of perturbation, is hard due to the exponential complexity of the many-body problem. Therefore, a series expansion for $U_{\mathcal{P}\to\mathcal{P}_0}$ in powers of perturbation strength is often used as an approximation. However, for our two-site problem the dimension of the many-body Fock space is 4845 [see discussion above (8)], and well within reach of ED techniques. This allows us to solve for the low-energy subspace $\mathcal{P}(\mathcal{P}_0)$ for the perturbed (unperturbed) Hamiltonian exactly, and obtain $U_{\mathcal{P}\to\mathcal{P}_0}$ using Eq. (9) to all orders of perturbation in intersite couplings.

We then use the computed SW transformation $U_{\mathcal{P}\to\mathcal{P}_0}$ to obtain the effective low-energy form of the perturbed Hamiltonian H^{γ} in the original subspace \mathcal{P}_0 of the unperturbed

problem, as follows:

$$H_{\rm eff}^{\gamma} = \left(P_0 U_{\mathcal{P} \to \mathcal{P}_0} \right) H^{\gamma} \left(U_{\mathcal{P} \to \mathcal{P}_0}^{\dagger} P_0 \right). \tag{10}$$

Since we use the exact SW transformation $U_{\mathcal{P} \to \mathcal{P}_0}$ to compute H_{eff}^{γ} , the resulting 4 × 4 Hamiltonian is also exact in the sense that it has contributions from all orders of perturbation. By construction, the eigenvalues of H_{eff}^{γ} are precisely equal to the lowest four eigenvalues of H^{γ} .

Having proposed the strategy to extract H_{eff}^{γ} , we need to compute the effective 4×4 Hamiltonian in a basis that will naturally allow us to interpret H_{eff}^{γ} in the form of a valid pseudospin Hamiltonian. Therefore, we carry out the entire computation discussed above, using a basis spanning \mathcal{P}_0 in which the operators $(J_x^2 - J_y^2)$, $-\overline{J_x}\overline{J_y}\overline{J_z}$, $(3J_z^2 - J^2)$ on sites i = 1, 2, admit the Pauli matrix representations $\tau_x \otimes \tau_0$, $\tau_y \otimes$ τ_0 , $\tau_z \otimes \tau_0$, and $\tau_0 \otimes \tau_x$, $\tau_0 \otimes \tau_y$, $\tau_0 \otimes \tau_z$, respectively, where τ_0 is the 2 × 2 identity matrix. The steps that go into selecting such a basis for \mathcal{P}_0 are discussed in Appendix A. The resulting pseudospin Hamiltonian of the γ plane in this basis takes the general form

$$H_{\rm spin}^{\gamma} = \tilde{\mathbf{S}}_1^{\top} \mathcal{K}^{\gamma} \tilde{\mathbf{S}}_2 + \mathbf{h}_1^{\gamma} \cdot \tilde{\mathbf{S}}_1 + \mathbf{h}_2^{\gamma} \cdot \tilde{\mathbf{S}}_2, \qquad (11)$$

where the symbols $\tilde{\mathbf{S}}_{i=1,2} \equiv [\tilde{S}_{ix}, \tilde{S}_{iy}, \tilde{S}_{iz}]$ represent the pseudospin operators for the two sites i = 1, 2. The effective spin-spin interactions are encoded in the $3 \times 3 \mathcal{K}^{\gamma}$ tensor and \mathbf{h}_{i}^{γ} are effective time-reversal even Zeeman fields acting on the pseudospins. Both the \mathcal{K}^{γ} tensor and the components of the fields \mathbf{h}_{i}^{γ} can be obtained from the exactly computed H_{eff}^{γ} as follows:

$$\begin{aligned} \mathcal{K}_{\alpha\beta}^{\gamma} &= \mathrm{Tr} \left[H_{\mathrm{eff}}^{\gamma} (\tau_{\alpha} \otimes \tau_{\beta}) \right], \\ \left(\mathbf{h}_{1}^{\gamma} \right)_{\alpha} &= \mathrm{Tr} \left[H_{\mathrm{eff}}^{\gamma} (\tau_{\alpha} \otimes \tau_{0}) \right] / 2, \\ \left(\mathbf{h}_{2}^{\gamma} \right)_{\alpha} &= \mathrm{Tr} \left[H_{\mathrm{eff}}^{\gamma} (\tau_{0} \otimes \tau_{\alpha}) \right] / 2. \end{aligned}$$

While the Zeeman fields appear to break the cubic symmetry of the lattice, they appear precisely because we consider one bond at a time (Fig. 1, for example, shows only the bond in the *xy* plane), a process which does not respect cubic symmetry. When summed over all the neighbors of the fcc lattice, the net field vanishes exactly, i.e.,

$$\sum_{\gamma \in \{xy, yz, zx\}} \mathbf{h}_i^{\gamma} = 0 \; ,$$

thus restoring the full symmetry. In Sec. IV, we will consider the impact of uniaxial strain or crystal surfaces, which will give rise to situations where the net Zeeman field on the pseudospin does not vanish (which is to be expected, since the strain explicitly breaks cubic symmetry and intersite couplings can then lift the pseudospin degeneracy).

D. Exchange couplings

The symmetry considerations outlined in Appendix B dictate that the pseudospin Hamiltonian in the xy plane is an XYZ model,

$$H_{\rm spin}^{xy} = \mathcal{K}_{Qx}\tilde{S}_{1x}\tilde{S}_{2x} + \mathcal{K}_{Qz}\tilde{S}_{1z}\tilde{S}_{2z} + \mathcal{K}_O\tilde{S}_{1y}\tilde{S}_{2y}, \qquad (12)$$

where \mathcal{K}_{Qx} and \mathcal{K}_{Qz} are the quadrupole-quadrupole couplings, and \mathcal{K}_{O} is the octupole-octupole coupling. For bonds in other



FIG. 2. Evolution of couplings in *xy* plane as a function of the intraorbital *yz-yz* and *zx-zx* hopping. The dashed lines show the phases based on the most dominant couplings. This cut was made for $t_{xy-xy} = -150$ meV and $t_{yz-zx} = 30$ meV.

planes, the exchange couplings may be obtained using C_3 rotations about the (111) axis and they involve off-diagonal symmetric couplings of the form $(\tilde{S}_{1x}\tilde{S}_{2z} + \tilde{S}_{1z}\tilde{S}_{2x})$. In this section, we will drop the Zeeman field terms from Eq. (11), since they cancel out upon summing over all neighbors as outlined at the end of Sec. II C. Keeping the dominant t_{xy-xy} hopping fixed at -150 meV, we vary t_{yz-zx} and $t_{yz-yz} = t_{zx-zx}$ in the ranges 0-30 meV and 0-10 meV, respectively, to study the dominant order hosted by the pseudospin models. We do so by analyzing the dependence of the couplings, \mathcal{K}_{Oz} , \mathcal{K}_{Oz} , and \mathcal{K}_O on the hopping terms t_{yz-yz} and t_{yz-zx} . Figure 2 shows a representative example of this analysis when $t_{yz-zx} = 30$ meV. As a first pass at identifying the phases in the model, we simply assign phases based on the dominant coupling in the *XYZ* model—an approach that will be corroborated below by classical MC simulations in Sec. III. The three phases that appear in this phase diagram spanned by the subdominant hoppings are

- (1) FO: $K_0 < 0$
- (2) AFO: $\mathcal{K}_O > 0$
- (3) AFQ: $\mathcal{K}_{Q\alpha} > 0 \ (\alpha \in \{x, z\}).$

In Fig. 3(a), we lay out the full phase diagram in the $t_{yz-yz} - t_{yz-zx}$ plane and label the phases appropriately by identifying the dominant coupling. Interestingly, we see that the FO phase forms the largest and most robust swath of the phase diagram centered around Fig. 3(a). Previous proposals have argued for the stabilization of both AFO and AFQ phases, and while these phases do exist in our model, we will show in Sec. III that for the reasonable choice of hopping parameters used, they have critical temperatures that are incompatible with experimental evidence. The specific quadrupolar ordering patterns coming from these frustrated interactions have been explored in previous works [38,39].

E. Comparison of exact and second-order perturbation theory results

One might reasonably wonder why it is necessary to use the ED and SW method to extract the exchange couplings



FIG. 3. (a) Phase diagram in subdominant hopping parameter space, using a fixed $t_{xy-xy} = -150$ meV. The solid black phase boundaries t_{\circ} and t_{\star} denote the AFO-AFQ and AFQ-FO phase transition boundaries calculated simply by considering the dominant coupling (as outlined in Sec. IID). The colored lines (represented with symbols $-\bullet$, \blacksquare) denote the phase boundaries obtained from MC simulations (as outlined in Sec. III). The MC predictions of the t_{\star} boundary occur at smaller values of $t_{y_7-z_8}$, likely because the frustration of the quadrupoles on the fcc lattice causes the unfrustrated ferro-octupolar (FO) order to be preferentially stabilized despite the coupling having a smaller magnitude. (b) Representative cuts along the y-axis of the phase diagram (denoted as dashed-horizontal lines in (a)), showing the evolution of the critical temperature T_c with t_{yz-yz} . Three piecewise regions, representing the indicated phases, can be observed. It can be seen that the values of T_c for the AFO $(0 < T_c < 3K)$ and AFQ $(0 < T_c < 1K)$ phases are far lower than the T_c values of 30-50K reported in experiments, while the T_c for the FO phase fits well with experiments.

between the non-Kramers doublets, which goes beyond the standard second-order perturbation theory [39,40]. To understand this, we note that while the charge gap is indeed much larger than the hopping energy scale, there is a small scale corresponding to the splitting between the non-Kramers ground-state doublet and the excited triplet. Due to this small scale, hopping processes which involve an intermediate hop-



FIG. 4. Comparison of the exchange couplings computed using the Schrieffer-Wolff (SW, solid lines) method and second-order perturbation theory (PT, dashed lines). It can be seen that a second-order treatment agrees with the SW results for small t_{xy-xy} but would lead to the erroneous conclusion that the antiferromagnetic quadrupolar interactions would prevail, while in reality it gets suppressed and causes the ferro-octupolar interaction to dominate. We have fixed $t_{yz-zx} = 18$ meV and $t_{yz-yz} = t_{zx-zx} = 10$ meV.

ping to the triplet before returning to the doublet become significant. In perturbation theory, such processes occur at fourth order; a simple second-order treatment completely misses these effects. As the energy splitting becomes smaller, it is conceivable that even higher order processes may become significant. To illustrate this point, we show in Fig. 4 the evolution of the coupling constants as a function of the dominant hopping t_{xy-xy} . It is clear that the second-order perturbation theory agrees with the exact calculation for small t_{xy-xy} , but a further increase of t_{xy-xy} leads to a suppression of the quadrupolar interactions which is not captured by second-order perturbation theory; this suppression leads to the dominance of the FO exchange.

III. MONTE CARLO SIMULATIONS ON THE FACE-CENTERED CUBIC LATTICE

In this section, we discuss the phase diagram of the pseudospin-1/2 Hamiltonian in Eq. (12), with coupling constants derived from microscopics, by treating the pseudospins as classical moments and using MC simulations to extract their ordering and thermal phase transitions. Such an approach is expected to qualitatively capture the phase diagram on the 3D fcc lattice of the ordered double perovskites; quantum fluctuations may lead to quantitative corrections to the phase boundaries and transition temperatures.

The simulations were conducted using the SPINMC package [45] on a cluster of 1331 spins ($11 \times 11 \times 11$ primitive fcc cluster) with periodic boundary conditions over a temperature range of 0.01 to 10 meV (corresponding to 0.116 to 116 K). To construct a phase diagram, pseudospin Hamiltonians were generated using a fixed dominant hopping $t_{xy-xy} =$ -150 meV, and varying t_{yz-zx} and t_{yz-yz} in the ranges 0– 30 meV and 0–20 meV, respectively (DFT studies on these



FIG. 5. Representative example of MC simulation, for the hopping parameters corresponding to the ferro-octupolar (FO) phase. It can be seen that there is a single phase transition, marked by a peak in the specific heat (C_V) and the emergence of a ferro-octupolar order parameter $\langle \tau_v \rangle$.

and other 5*d* double perovskites have shown that these are reasonable choices [40,46]). We observe, in each case, a single thermal phase transition marked by a sharp peak in the specific heat C_V and accompanied by the development of a nonzero order parameter as illustrated for a FO transition in Fig. 5. This representative plot was generated using hopping parameters close to those recently obtained using *ab initio* electronic-structure calculations [40] on the osmate double perovskites.

To identify phase boundaries shown in the full phase diagram in Fig. 3(a), we look at the development of the critical temperature T_c as a function of the hoppings t_{yz-zx} and t_{yz-yz} [see Fig. 3(b)] and identify kinks, suggesting a change in the underlying analytic form of the dependence. The phase boundaries [shown with solid lines and symbols in Fig. 3(a)] obtained using this method match well with the naïve method of computing the phase boundaries [t_o , t_\star in Fig. 3(a)] described in Sec. II D, where we simply looked at the dominant term in the pseudospin Hamiltonian.

IV. TUNING OCTUPOLAR ORDER VIA UNIAXIAL STRAIN OR DIMENSIONALITY

The multipolar orders we have obtained above are highly sensitive to the nature of the interorbital and intraorbital hoppings as discussed above. In addition, we have seen that the full cubic point group symmetry leads to a cancellation of the time-reversal even field terms acting on the (τ_x, τ_z) pseudospin components, leaving us with only two-spin exchange terms. Motivated by tuning the multipolar orders, we next consider the impact of breaking cubic symmetry via strain or interfaces on the pseudospin Hamiltonian.

A. Uniaxial strain

Let us consider uniaxial strain along the (001) axis (z axis), which we take into account by rescaling all the intersite hoppings for neighbors in the xz and yz planes by a factor



FIG. 6. Evolution of the ferro-octupolar transition temperature, T_c , as a function of the hopping distortion δ induced by uniaxial strain. The cubic hopping parameters used here are $t_{xy-xy} = -150$ meV, $t_{yz-zx} = 18$ meV, and $t_{yz-yz} = 10$ meV.

 $(1 - \delta)$, with $\delta > 0$ corresponding to tensile strain and $\delta < 0$ corresponding to compressive strain. Given the typical strong dependence of the hopping amplitudes on the lattice constants [47,48], we expect the lattice strain $\varepsilon_{zz} \ll \delta$. A careful account of strain effects must rely on experiments and ab *initio* electronic-structure calculations to relate δ to changes in lattice constants and to examine changes in the relative strengths of the interorbital and intraorbital terms; we defer this to a future study. We repeat the two-site ED and SW procedure as a function of δ and find that $\delta \neq 0$ leads to a noncancelling field acting on the (τ_x, τ_z) pseudospin components due to loss of cubic symmetry. This field is transverse to the octupolar ordering direction τ_v , and can thus induce quantum fluctuations which can suppress $\langle \tau_{v} \rangle$, and potentially reveal a three-dimensional (3D) octupolar Ising quantum critical point.

Figure 6 shows the T_c computed using MC simulations with the modified exchange couplings and induced Zeeman field in the presence of strain. We find that tensile strain ($\delta > 0$) leads to a strong suppression of T_c , due to the combined effect of the weakening of octupolar exchange interactions in the *yz*, *zx* planes and the generated transverse field. On the other hand, for compressive strain ($\delta < 0$), T_c first increases, since the enhancement of the octupolar exchange coupling is initially more significant than the generated transverse field before it begins to drop. For tensile strain, we find that the (mean-field) 3D Ising quantum critical point is at $\delta_c \approx 0.8$, beyond which the octupolar ordering is suppressed. While this critical point δ_c may not be accessible in experiments, the δ dependence of T_c at smaller strain may be more easily tested. We note again that the strain $\varepsilon_{zz} \ll \delta$.

Previous work has shown that shear strain can act as a transverse field on Ising nematic order and drive a nematic quantum phase transition [41]. Our paper generalizes this idea to the case of octupolar order. Our paper also goes beyond previous studies which have explored the interplay of weak magnetic field and strain for probes of octupolar ordering or octupolar susceptibility [16,49].



FIG. 7. Evolution of the ferro-octupolar phase transition as a function of film thickness N_z . The specific heat peak marking the transition indicates that T_c decreases with film thickness, eventually vanishing for $N_z \leq 2$; see text for details.

B. Ultrathin films

The generation of Zeeman fields when symmetry is lowered from cubic also happens naturally at surfaces or interfaces. In particular, let us consider an ultrathin (001) epitaxial film where the top and bottom faces experience a field $\propto \tau_z$ due to reduced symmetry. If this surface field is sufficiently strong and the film thickness is sufficiently small, the transverse surface field can kill the octupolar order in the entire film.

To properly take into account the effective fields that may appear at the surfaces, it is important to ensure that all the possible interactions which break cubic symmetry on a single bond are taken into account. In the preceding sections, we had not included *intersite* Coulomb interactions; as we have explicitly checked, their inclusion has a negligible impact on the exchange couplings. However, we find that these interactions have a large effect on the effective fields \mathbf{h}^{γ} in Eq. (11); while the Coulomb-induced terms cancel in the bulk when we add up the contributions from the 12 nearest neighbors, this cancellation does not occur at surfaces. In what follows, we incorporate these residual inter-site Coulomb interactions and extract the transverse fields at the surface; the calculation of these Coulomb matrix elements for the osmate double perovskites is described in Appendix C.

With the above consideration in place, we consider a $12 \times 12 \times N_z$ lattice, with periodic boundary conditions along the *z* direction. Here N_z represents the film thickness. We incorporate the microscopically computed effective transverse fields on the top and bottom faces of the film. For illustrative purposes, we fix two hopping parameters, $t_{xy-xy} = -150 \text{ meV}$, $t_{yz-zx} = 18 \text{ meV}$, and vary t_{yz-yz} . Figure 7 shows (for $t_{yz-yz} = 10 \text{ meV}$) the evolution of the specific heat, C_V , as we decrease the film thickness N_z . Figure 8 shows how T_c , extracted from the peak in the specific heat, changes as we vary N_z for various values of t_{yz-yz} . It can be seen that for a wide range of values of this hopping parameter, we are able to completely suppress T_c for bilayer samples. We thus see that as we decrease the film





FIG. 8. Evolution of the critical temperature, T_c , as a function of the film thickness, N_z , for various values of t_{yz-yz} .

thickness, we will tune the system through a 2D Ising quantum critical point. This provides another promising avenue to suppress T_c and to look for signatures of octupolar quantum criticality.

V. DISCUSSION

We have discussed a simple tight-binding model with spinorbit coupling and interactions which lead to FO order in the ordered double perovskite osmates Ba2ZnOsO6, Ba2CaOsO6, and Ba2MgOsO6. Our calculations, which use ED and an exact SW transformation and MC simulations, show that we can capture the octupolar order and its high transition temperature as observed in experiments. In addition, we have shown how strain and thin-film geometries can induce transverse fields which suppress the octupolar ordering temperature, potentially revealing an Ising quantum critical point. Our paper has implications for a broad class of materials, including d-orbital transition metal oxides and f-orbital heavy fermion systems, where such multipolar orders may be accessible. For instance, NpO_2 is a well-known example of an fcc lattice material which hosts higher-rank multipolar order with time-reversal symmetry breaking [1–6]. Octupolar order of the T_{xyz} type explored here has also been proposed in $Pr(Ti, V, Ir)_2(Al, Zn)_{20}$ compounds where Pr moments live on the diamond lattice [15,16]. In future work, it would be important to extend our paper to understand the origins of multipolar order in these materials. Indeed, there may be a large set of lattice geometries where such physics of multipolar order in d^2 transition metal compounds would be worth exploring, as highlighted by Khaliullin et al. [39]. More broadly, our paper shows that understanding the impact of thin-film geometries, surfaces, and interfaces in promoting or suppressing multipolar orders in non-Kramers doublet systems may be a fruitful research direction.

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APPENDIX A: CHOOSING P₀ BASIS STATES IN THE SCHRIEFFER-WOLFF (SW) PROCESS

An important step in the SW process is ensuring that we use basis states for \mathcal{P}_0 which can be interpreted as direct products of (pseudo)spin states on the two sites. This enables us to interpret the extracted pseudospin Hamiltonian as a direct-product-type interaction between the multipole moments in the doublet manifold. While there are many ways to do this, the simplest is to leverage the fact that the \mathcal{P}_0 Hamiltonian is decoupled, meaning that the basis states can be constructed out of the single-site states.

At a single-site level, we can ensure that the up and down pseudospin states from Sec. II A correspond to eigenstates of $\tau_z \ (\propto 3J_z^2 - J^2)$, by adding a term to the Hamiltonian which couples an infinitesimal magnetic field to this operator. This weakly breaks the twofold degeneracy and the basis states of \mathcal{P}_0 can simply be built using the direct product of the aforementioned states.

APPENDIX B: SYMMETRY CONSIDERATIONS FOR THE PSEUDOSPIN HAMILTONIAN

As stated in the main text, the following correspondence exists between J = 2 angular momentum multipole moments and the Pauli matrices in the low-energy non-Kramers doublet manifold:

$$\frac{1}{2\sqrt{3}} (J_x^2 - J_y^2) \to \tau_x$$
$$-\frac{1}{3} \overline{J_x J_y J_z} \to \tau_y$$
$$-\frac{1}{6} (3J_z^2 - J^2) \to \tau_z.$$
(B1)

Therefore, the most general spin-1/2 Hamiltonian takes the form (sites are numbered 1 and 2)

$$H_{\rm spin} = \frac{1}{4} \mathcal{K}_{ab}(\tau_a \otimes \tau_b). \tag{B2}$$

For a bond in the *xy* plane, the following symmetry considerations heavily constrain the form of \mathcal{K} :

(1) Inversion symmetry about the center of the bond exchanges the site indices, implying that \mathcal{K} is symmetric, i.e.,

$$\mathcal{K}_{xy} = \mathcal{K}_{yz},$$

$$\mathcal{K}_{yz} = \mathcal{K}_{zy} \qquad (B3)$$

$$\mathcal{K}_{zx} = \mathcal{K}_{xz}.,$$

(2) Under the M_z mirror transformation (the mirror plane is z = 0), we have

$$\begin{cases} J_z \to -J_z \\ J_x \to -J_y \\ J_y \to -J_x \end{cases} \Rightarrow \begin{cases} \tau_x \to -\tau_x \\ \tau_y \to -\tau_y \\ \tau_z \to \tau_z \end{cases}$$
(B4)

For the above to be a symmetry of the system, we must have that $\mathcal{K}_{zx} = \mathcal{K}_{yz} = 0$.

(3) The system is time-reversal symmetric. Under the time-reversal operation, we have the following transformations:

$$\tau_y \to -\tau_y, \quad \tau_x, \tau_z \to \tau_x, \tau_z.$$
 (B5)

This implies that $\mathcal{K}_{xy} = \mathcal{K}_{yz} = 0$.

The above points, when considered together, lead to the vanishing of all the off-diagonal elements in \mathcal{K} . This leaves us with an *XYZ* Hamiltonian of the form

$$\mathcal{K} = \begin{pmatrix} \mathcal{K}_{xx} & 0 & 0\\ 0 & \mathcal{K}_{yy} & 0\\ 0 & 0 & \mathcal{K}_{zz} \end{pmatrix}.$$
 (B6)

In the main text, we have renamed $\mathcal{K}_{xx} \to \mathcal{K}_{Qx}$, $\mathcal{K}_{yy} \to \mathcal{K}_{O}$, and $\mathcal{K}_{zz} \to \mathcal{K}_{Qz}$. The exchange Hamiltonian in the *zx* and *yz* planes can be conveniently obtained using C_3 rotations about the cubic (111) direction.

APPENDIX C: INTERSITE COULOMB MATRIX ELEMENTS

To evaluate matrix elements for the intersite direct Coulomb interaction, we must compute integrals of the form

$$\mathcal{V}_{ab} = \frac{e^2}{4\pi\kappa\epsilon_0} \int d\mathbf{r} \int d\mathbf{r}' \, \frac{\left|\psi_1^a(\mathbf{r})\right|^2 \left|\psi_2^b(\mathbf{r}')\right|^2}{|\mathbf{r} - \mathbf{r}'|},\qquad(C1)$$

where κ is the dielectric constant (we set $\kappa = 10$) and ψ_1^a (ψ_2^b) refers to the wave function of an electron in orbital a(b) centered on site 1(2). We use hydrogen-type d orbital wave functions of the form $\psi^a(\mathbf{r}) = R(r)T^a(\theta, \phi)$, where R(r) refers to the radial part of the wave function, and T^a is the tesseral harmonic associated with the a orbital. The detailed form of these functions for the osmate double perovskites are given in Appendix C of Ref. [30].

To compute \mathcal{V}_{ab} in Eq. (C1), we use a MC integration method. We interpret the integral as a random-walk in a six-dimensional (6D) space; each point **x** in the 6D space is defined as $\mathbf{x} = (\mathbf{r}_x, \mathbf{r}_y, \mathbf{r}_z, \mathbf{r}'_x, \mathbf{r}'_y, \mathbf{r}'_z)$ in terms of the original position vectors appearing in Eq. (C1). The random walk is performed obeying the rules of a Markov process guided by the joined probability distribution:

$$p_{ab}(\mathbf{x} = (\mathbf{r}, \mathbf{r}')) = \left| \psi_1^a(\mathbf{r}) \right|^2 \left| \psi_2^b(\mathbf{r}') \right|^2.$$
(C2)

Starting from a randomly chosen $\mathbf{x}_{n=0}$, a sequence of points $\{\mathbf{x}_0, \ldots, \mathbf{x}_n\}$ is generated by taking steps $d\mathbf{x}$ of a sufficiently small length in a randomly-chosen direction, so upon acceptance of the step we get $\mathbf{x}_{n+1} = \mathbf{x}_n + d\mathbf{x}$. A proposed step $d\mathbf{x}$ is directly accepted if $p_{ab}(\mathbf{x}_n + d\mathbf{x})/p_{ab}(\mathbf{x}_n) \ge 1$. If $p_{ab}(\mathbf{x}_n + d\mathbf{x})/p_{ab}(\mathbf{x}_n) < 1$, a random number *r* is picked from the uniform distribution defined on the interval [0,1]. The step $d\mathbf{x}$ is accepted if the number $r \le p_{ab}(\mathbf{x}_n + d\mathbf{x})/p_{ab}(\mathbf{x}_n)$, otherwise the process is repeated for a new step $d\mathbf{x}'$. We allow the random walk to proceed for a large number $[O(10^6)]$ of MC steps. The value of the integral in Eq. (C1) is then estimated using

$$\mathcal{V}_{ab} \approx \frac{e^2}{4\pi\kappa\epsilon_0} \frac{1}{N_{\text{steps}} - N_{\text{skip}}} \sum_{n=N_{\text{skip}}}^{N_{\text{steps}}} \frac{1}{|\mathbf{r}_n - \mathbf{r}'_n|}, \qquad (C3)$$

where $\mathbf{r}_n (\mathbf{r}'_n)$ is the obtained from the first (last) three components of \mathbf{x}_n and N_{steps} is the number of MC steps. We also skip the first N_{skip} steps to neglect transient contributions due to the choice of the initial point \mathbf{x}_0 . We used $N_{\text{skip}} \sim O(10^3)$ for our calculations. The total number of N_{steps} were determined by monitoring when the fluctuations of \mathcal{V}_{ab} in Eq. (C3), resulting from the random walk, reduced to less than 1% of the average value.

For a pair of nearest-neighbor sites in the xy plane, and working in the basis {yz, zx, xy, $x^2 - y^2$, $3z^2 - 1$ }, we obtain the

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Coulomb interaction matrix (in eV):

$$\mathcal{V} = \begin{pmatrix} 0.231 & 0.237 & 0.244 & 0.239 & 0.233 \\ 0.237 & 0.231 & 0.244 & 0.239 & 0.233 \\ 0.244 & 0.244 & 0.261 & 0.260 & 0.245 \\ 0.239 & 0.239 & 0.260 & 0.254 & 0.239 \\ 0.233 & 0.233 & 0.245 & 0.239 & 0.232 \end{pmatrix}.$$
(C4)

We note that this matrix has an orbital-independent value ~ 0.25 eV and orbital-dependent parts on the scale of \sim 30 meV. Adding this Coulomb term to the two-site Hamiltonian as $\sum_{\alpha\beta} \mathcal{V}_{\alpha\beta} n_{1,\alpha} n_{2,\beta}$, where $n_{i\alpha}$ is the total electron number in orbital α at site *i*, we use ED and the SW method to recompute the exchange interactions and effective fields. We find that the two-site exchange interactions are nearly unaffected since the scale of $\mathcal{V}_{\alpha\beta}$ is much smaller than the on-site Hubbard interaction U = 2.5 eV. However, the orbital*dependent* part of $\mathcal{V}_{\alpha\beta}$ results in an extra effective field $\propto \tau_z$ on the non-Kramers doublet. To see this, we note that meanfield theory yields an on-site term at site i = 1 given by $\sum_{\alpha\beta} \mathcal{V}_{\alpha\beta} n_{1,\alpha} \langle n_{2\beta} \rangle$. Upon projection to the non-Kramers doublet, this acts as an extra effective field. Our computations show that the scale of this field is ~ 8 meV, which is larger than the field produced by two-site exchange interactions alone. Thus, while these fields cancel in the cubic bulk, the Coulomb-enhanced surface fields are strong enough to overcome the FO exchange and kill the octupolar order for sufficiently thin films as shown in the paper.

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