Analytical theory for three-wave mixing processes in a slightly deformed nanowire

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The second-order optical response of centrosymmetric materials manifests itself mostly at their surface, being strongly suppressed in their bulk. However, the overall surface response is also suppressed in nanoparticles with a centrosymmetric geometry subjected to homogeneous fields. Nevertheless, nanoparticles with a *noncentrosymmetric geometry* do exhibit second-order optical properties. We develop an analytical theory to investigate the second-order optical response of a noncentrosymmetric thin nanowire with a slightly deformed cross section made up of a centrosymmetric material subjected to two monochromatic fields. We calculate the linear and nonlinear near fields perturbatively, using the extent of the deformation away from a circular cross section as the perturbation parameter. We obtain expressions for the quadratic hyperpolarizabilities in terms of the linear response evaluated at the three frequencies involved. We analyze the spectral features of the nonlinear response functions and explore their resonant structure for a model dielectric nanowire. Furthermore, we evaluate the second-order radiated fields, the radiation patterns, and efficiency of the different quadratic processes. We obtain a strong competition between electric dipolar, magnetic dipolar, and electric quadrupolar contributions even for very small deformations.

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I. INTRODUCTION

Nonlinear optics plays a key role in the development of many modern photonic technologies such as super continuum generation [1], holography [2], optical parametric amplification [3], generation of ultrashort pulses [4,5], etc. Since optical nonlinear processes are inherently weak, devices made from conventional nonlinear crystals require strong excitation fields and phase-matching conditions for them to be efficient. Advances in nanotechnology have led to the development of a plethora of nanomaterials which exhibit remarkable optical nonlinearities with unparalleled applications such as in miniaturization of photonic devices [6,7]. The nonlinear dependence on the electric field yields an amplification of the response in these novel nanomaterials. The presence of processes that enhance the local field, for example, plasmonic resonances in metallic nanoparticles, have been shown to boost the nonlinear efficiency [8,9]. Recently, all-dielectric nanostructures have also been reported with exceptional nonlinear conversion efficiencies [10–12]. Understanding the underlying mechanisms of nonlinear processes and their enhancement in these structures has been a topic of growing interest. Various nonlinear processes such as second harmonic generation (SHG) [13,14], two photon absorption (TPA) [15], third harmonic generation (THG) [11,16], and four wave mixing (FWM) [17,18] have been observed in different nanostructures and their applications have been discussed.

Second-order nonlinear processes involve photon-photon interactions assisted by materials that lead to various three-

wave mixing effects such as sum frequency generation (SFG) $(\omega_{+} = \omega_{1} + \omega_{2})$ or difference frequency generation (DFG) $(\omega_{-} = \omega_{1} - \omega_{2})$ where ω_{1} and ω_{2} are the frequencies of the two driving fields, conversion of these input signals to their second harmonics (SHG) $2\omega_1$ or $2\omega_2$, or the generation of a static quadratic polarization, optical rectification (OR). The quadratic susceptibility tensor, originating due to electric-dipolar transitions, is zero within the bulk of a centrosymmetric medium and hence the second-order response manifests itself mainly at its surface where the inversion symmetry is locally lost. For this reason, the different secondorder processes have been extensively used as surface probes for this class of materials [19-22]. Besides providing remarkable noninvasive surface-imaging techniques, they have demonstrated tremendous potential for numerous other applications, as in the development of coherent light sources at different frequencies. For example, SFG has been used in the production of light sources in the UV-Vis spectral range [23,24] and DFG for sources at mid- or far-infrared frequencies [25–27]. Generation of the previously inaccessible terahertz (THz) frequency band have also been facilitated by DFG [28,29].

The selection rules for the second-order optical properties of centrosymmetric bulk materials are also applicable to nanoparticles made up of them, with the second-order response being generated largely at their surfaces. However, for particles with a centrosymmetric shape, an exact cancellation of the induced quadratic polarization from opposite points of the surface leads to a null overall response. A second-order response may still be observed in such cases, but it is due to multipolar excitations. On the other hand, a dipole-driven second-order response from particles with noncentrosymmetric geometry may be observed, as local

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contributions from opposite points on the surface would not cancel. SHG [9,30,31] and DFG [32,33] from noncentrosymmetric nanoparticles or nanostructures have been studied extensively, using both experimental and numerical means.

In a previous work, we developed an analytical theory for the optical SHG of a noncentrosymmetric nanowire [14]. Here we present a calculation of the response using an approximate analytical perturbative theory and generalize it to explore all the second-order optical processes, namely, SFG, DFG, OR, and the previously discussed SHG, though including in the latter the possibility of excitation by noncollinear fundamental fields. To this end, we choose an isolated long cylindrical nanowire with a noncentrosymmetric cross section, as in Ref. [14]. We consider two monochromatic fields with polarizations normal to the axis of the nanowire, and compute the linear and the nonlinear fields induced within and outside the nanowire, generalizing the perturbative approach of Ref. [14]. We employ the dipolium model [34] to obtain the nonlinear response within the bulk and on the surface of the nanowire. That model was originally developed to explore the SH response, and was later extended toward the SF response of conductors [35]. Within the dipolium theory, the material is modeled as a homogeneous array of polarizable entities that respond harmonically to the electromagnetic field. The origin of the nonlinearity in the model is the nonhomogeneity of the fields, including their abrupt variation across interfaces. For the sake of completeness, we present a brief description of the model and a derivation of the difference frequency (DF) nonlinear surface and bulk susceptibilities which we write in terms of some dimensionless parameters [36] that depend on the linear dielectric response evaluated at the frequencies involved. Then we extend our results to also get the sum frequency (SF), second harmonic (SH), and optical rectification (OR) responses. Furthermore, we calculate the nonlinear fields in the radiation zone and analyze the efficiency of the different second-order processes.

The structure of the paper is the following. In Sec. II A, we describe our theory to calculate the generation of a DF signal from a planar surface. In Sec. II B, we calculate the DF response of the nanowire, assuming it is locally flat. We find the DF efficiency in Sec. II C and generalize it to the SF, SH, and OR cases. Section III illustrates our results for a model dielectric nanowire. Finally, we present our conclusions in Sec. IV.

II. THEORY

A. Response of a semi-infinite system

We consider a semi-infinite dipolium [34], a homogeneous array of harmonic polarizable entities. Each polarizable entity is represented by an electron of charge -e and mass m at a separation x from its equilibrium position r_0 to which it is bound by a harmonic force with resonant frequency ω_0 . Its classical equation of motion under the influence of a spatially varying external electromagnetic field is

$$m\ddot{\mathbf{x}} = -m\omega_0^2 \mathbf{x} - \frac{m}{\tau}\dot{\mathbf{x}} - e\mathbf{E}(\mathbf{r}, t) - \frac{e}{c}\dot{\mathbf{x}} \times \mathbf{B}(\mathbf{r}, t), \quad (1)$$

where we have included a dissipative term characterized by a lifetime τ . We remark that the fields should be evaluated at

the actual position $\mathbf{r} = \mathbf{r}_0 + \mathbf{x}$ of the electron, not at its equilibrium position \mathbf{r}_0 . Assuming the displacement \mathbf{x} from the equilibrium position to be smaller than the scale of variation in the driving fields, we perform a Taylor expansion:

$$E(\mathbf{r}_0 + \mathbf{x}, t) \approx E(\mathbf{r}_0, t) + \mathbf{x} \cdot \nabla E(\mathbf{r}_0, t) + \cdots$$
 (2)

Substituting Eq. (2) in the equation of motion Eq. (1), we get

$$m\ddot{\mathbf{x}} = -m\omega_0^2 \mathbf{x} - \frac{m}{\tau} \dot{\mathbf{x}} - e\mathbf{E}(\mathbf{r}_0, t) - e\mathbf{x} \cdot \nabla \mathbf{E}(\mathbf{r}_0, t)$$
$$+ e\dot{\mathbf{x}} \times \int_{-\infty}^{t} dt' \, \nabla \times \mathbf{E}(\mathbf{r}_0, t') + \cdots, \tag{3}$$

where we have written the magnetic field $B(\mathbf{r},t) = -c \int_{-\infty}^{t} dt' \nabla \times E(\mathbf{r},t')$ in terms of the electric field, and we assume the electromagnetic field is switched on adiabatically. Notice that the coefficients of \mathbf{x} and $\dot{\mathbf{x}}$ in the last two terms depend on time through the spatial derivatives of the field. Thus, Eq. (3) is the equation of a forced, damped harmonic oscillator whose effective *stiffness* varies in time, making it similar to a parametric oscillator. Hence, even though the harmonic oscillator is considered the paradigmatic linear system, it becomes nonlinear through the spatial variations of the driving fields.

We now drive the system with two electromagnetic waves oscillating at frequencies ω_1 and ω_2 ,

$$\boldsymbol{E}(\boldsymbol{r},t) = \boldsymbol{E}_{1}(\boldsymbol{r})e^{-i\omega_{1}t} + \boldsymbol{E}_{2}(\boldsymbol{r})e^{-i\omega_{2}t} + \text{c.c.}, \tag{4}$$

where $E_1(r)$ and $E_2(r)$ are complex amplitudes and c.c. stands for the complex conjugate. Since the incident optical fields are usually much smaller than the microscopic atomic fields, we employ a perturbative approach to solve Eq. (3) by expanding the solution in powers of E:

$$x(t) = x^{(1)}(t) + x^{(2)}(t) + \cdots$$
 (5)

The linear solution $\mathbf{x}^{(1)}(t) = \sum_g \mathbf{x}_g^{(1)} e^{-i\omega_g t} + \text{c.c.}$ with g = 1, 2 is a superposition of two oscillations with amplitudes $\mathbf{x}_g^{(1)}$ corresponding to the incident frequencies ω_g , respectively, each obeying the equation of a forced linear harmonic oscillator

$$-m\omega_g^2 \mathbf{x}_g^{(1)} = -m\omega_0^2 \mathbf{x}_g^{(1)} + im\frac{\omega_g}{\tau} \mathbf{x}_g^{(1)} - e\mathbf{E}_g(\mathbf{r}_0, t), \quad (6)$$

whose solution yields the induced linear dipole moment $p_g^{(1)} = -ex_g^{(1)} = \alpha_g E_g$ where each $\alpha_g = \alpha(\omega_g)$ is the linear polarizability

$$\alpha(\omega) = \frac{e^2/m}{\mathscr{D}(\omega)},\tag{7}$$

evaluated at frequency ω_{g} , and

$$\mathscr{D}(\omega) = \omega_0^2 - \omega^2 - i\omega/\tau. \tag{8}$$

We employ the abbreviated notation $f_g \equiv f(\omega_g)$ for any function f dependent on frequency. Now we write the second-order contribution to Eq. (3):

$$m\ddot{\mathbf{x}}^{(2)}(t) = -m\omega_0^2 \mathbf{x}^{(2)}(t) - \frac{m}{\tau} \dot{\mathbf{x}}^{(2)}(t) - e\mathbf{x}^{(1)}(t)$$

$$\cdot \nabla \mathbf{E}(\mathbf{r}_0, t) + e\dot{\mathbf{x}}^{(1)}(t) \times \int_0^t dt' \nabla \times \mathbf{E}(\mathbf{r}_0, t'). \tag{9}$$

Notice that upon substitution of $x^{(1)}$, the driving terms in Eq. (9) become quadratic in E with several frequency components: DC, the second harmonic of both incident frequencies $2\omega_g$, the sum frequency ($\omega_+ \equiv \omega_1 + \omega_2$), and the difference frequency ($\omega_- \equiv \omega_1 - \omega_2$). The equation corresponding to DF is

$$\omega_{-}^{2} \mathbf{x}_{-}^{(2)} = \omega_{0}^{2} \mathbf{x}_{-}^{(2)} - i \frac{\omega_{-}}{\tau} \mathbf{x}_{-}^{(2)} + \frac{e}{m} (\mathbf{x}_{1}^{(1)} \cdot \nabla \mathbf{E}_{2}^{*} + \mathbf{x}_{2}^{(1)*} \cdot \nabla \mathbf{E}_{1})$$

$$+ \frac{e}{m} \left[\left(\frac{\omega_{1}}{\omega_{2}} \right) \mathbf{x}_{1}^{(1)} \times \nabla \times \mathbf{E}_{2}^{*} + \left(\frac{\omega_{2}}{\omega_{1}} \right) \mathbf{x}_{2}^{(1)*} \times \nabla \times \mathbf{E}_{1} \right], \tag{10}$$

where the superscript (*) on any quantity denotes its complex conjugate and the subscript – means the corresponding terms are evaluated at ω_- . We solve Eq. (10) to obtain the quadratic DF dipole moment $p_-^{(2)} = -ex_-^{(2)}$:

$$\boldsymbol{p}_{-}^{(2)} = -\frac{1}{e}\alpha_{-} \left[\alpha_{1} \left(\boldsymbol{E}_{1} \cdot \nabla \boldsymbol{E}_{2}^{*} + \frac{\omega_{1}}{\omega_{2}} \boldsymbol{E}_{1} \times (\nabla \times \boldsymbol{E}_{2}^{*}) \right) + \alpha_{2}^{*} \left(\boldsymbol{E}_{2}^{*} \cdot \nabla \boldsymbol{E}_{1} + \frac{\omega_{2}}{\omega_{1}} \boldsymbol{E}_{2}^{*} \times (\nabla \times \boldsymbol{E}_{1}) \right) \right]. \tag{11}$$

There are two other second-order moments [35] which contribute to the nonlinear DF response: the electric quadrupole moment and the magnetic dipole moment. For convenience, we define the quadratic electric quadrupole moment as $Q_{-}^{(2)} = -ex_{1}^{(1)}x_{2}^{(1)*} - ex_{2}^{(1)*}x_{1}^{(1)}$. This differs from the usual definition, which is *traceless* and includes a numerical prefactor of 3. Similarly, the DF magnetic moment is given by $\mu_{-}^{(2)} = (-e/2mc)\{x_{1}^{(1)} \times m\dot{x}_{2}^{(1)*} + x_{2}^{(1)*} \times m\dot{x}_{1}^{(1)}\}$, From the linear solution, we obtain

$$\mathbf{Q}_{-}^{(2)} = -\frac{1}{e}\alpha_{1}\alpha_{2}^{*}(\mathbf{E}_{1}\mathbf{E}_{2}^{*} + \mathbf{E}_{2}^{*}\mathbf{E}_{1})$$
 (12)

and

$$\boldsymbol{\mu}_{-}^{(2)} = -\frac{i}{2ce}\alpha_{1}\alpha_{2}^{*}(\omega_{1} + \omega_{2})(\boldsymbol{E}_{1} \times \boldsymbol{E}_{2}^{*}). \tag{13}$$

We must remark here that although these nonlinear moments have been calculated through a classical model, expressions equivalent to the above are obtained for a quantum harmonic oscillator which interacts with the perturbing electromagnetic field through electric-dipolar, magnetic-dipolar, and electric-quadrupolar transitions [37].

We now consider a semi-infinite system made from n of these polarizable entities per unit volume. We assume the system is translationally invariant along the x-y plane and that its surface lies at z=0, across which n(z) changes rapidly albeit continuously from its bulk value $n(z \to \infty) = n_B$ to its vacuum value $n(z \to -\infty) = 0$. The macroscopic nonlinear polarization induced in the system is

$$\mathbf{P}_{-,\text{src}}(z) = n(z)\mathbf{p}_{-}^{(2)} - \frac{1}{2}\nabla \cdot (n(z)\mathbf{Q}_{-}^{(2)}) + \frac{ic}{\omega_{-}}\nabla \times (n(z)\boldsymbol{\mu}_{-}^{(2)}).$$
(14)

Note that the above expression has the usual electric dipole moment density and an additional term related to the nonhomogeneity of the electric quadrupolar moment density [38].

Furthermore, it contains a term related to the curl of the quadratic magnetic moment density. This term is not conventional but it yields the same induced current $j_- = \partial P_-/\partial t$ and is more convenient than keeping only the first two terms in Eq. (14) and adding a nonlinear magnetization [39] and the corresponding magnetization current. The nonlinear polarization Eq. (14) is a nonlinear source oscillating at the difference frequency, and it generates an oscillating DF field E_- . The linear response of the system to this DF field yields an additional DF polarization, so substituting Eqs. (11) to (13) into Eq. (14) we get the screened self-consistent nonlinear polarization:

$$P_{-}(z) = n(z)\alpha_{-}E_{-} - \frac{n(z)}{e}\alpha_{-}\left[\alpha_{1}\left(E_{1} \cdot \nabla E_{2}^{*}\right) + \frac{\omega_{1}}{\omega_{2}}E_{1} \times (\nabla \times E_{2}^{*})\right) + \alpha_{2}^{*}\left(E_{2}^{*} \cdot \nabla E_{1}\right) + \frac{\omega_{2}}{\omega_{1}}E_{2}^{*} \times (\nabla \times E_{1})\right] + \frac{1}{2e}\alpha_{1}\alpha_{2}^{*}\nabla \cdot n(z)(E_{1}E_{2}^{*}) + E_{2}^{*}E_{1}) + \frac{1}{2e}\alpha_{1}\alpha_{2}^{*}\left(\frac{\omega_{1}+\omega_{2}}{\omega_{-}}\right)\nabla \times (n(z)(E_{1}\times E_{2}^{*})).$$

$$(15)$$

To find the *surface response* of the medium, we will only be interested in the thin *selvedge* region whose thickness we can assume is much smaller than the wavelength, allowing us to safely ignore within it the effects of retardation. Thus, we identify E_- with the depolarization field

$$E_{-,i} = -4\pi P_{-,z} \delta_{iz}; \tag{16}$$

we drop the $\nabla \times E_g$ terms and ignore the relatively slow spatial variations of the field along the surface. The surface polarization can be obtained after solving Eq. (15) for P_- and integrating it across the selvedge,

$$\boldsymbol{P}_{-}^{s} = \int_{sa} dz \, \boldsymbol{P}_{-}(z), \tag{17}$$

where se denotes the selvedge. We define the components of the DF quadratic surface susceptibility tensor through

$$P_{-,i}^{s} = \sum_{jk} \left[\chi_{ijk}^{s}(\omega_{1}, \overline{\omega}_{2}) + \chi_{ikj}^{s}(\overline{\omega}_{2}, \omega_{1}) \right] F_{1,j} F_{2,k}^{*}, \quad (18)$$

where i, j, k denote Cartesian components and $\overline{\omega}_2$ denotes $-\omega_2$. Here, $\boldsymbol{F}_g = (E_{g,x}, E_{g,y}, D_{g,z})$ is a field whose components are the corresponding components of either \boldsymbol{D}_g or \boldsymbol{E}_g that are continuous across the surface. We use \boldsymbol{F}_g to avoid the ambiguity about the position in the selvedge where the fields are to be calculated. Note that j and k are dummy indices and thus can be interchanged. Thus, we may impose the intrinsic permutation symmetry $\chi_{ijk}^s(\omega_1, \overline{\omega}_2) = \chi_{ik}^s(\overline{\omega}_2, \omega_1)$.

From Eqs. (15) and 16, we obtain the normal component of the macroscopic polarization in the selvedge region,

$$P_{-,z}(z) = \frac{1}{e\epsilon_{-}(z)} \left[-n(z)\alpha_{-} \left(\alpha_{1} \frac{1}{\epsilon_{1}(z)} \frac{\partial}{\partial z} \frac{1}{\epsilon_{2}^{*}(z)} + \alpha_{2}^{*} \frac{1}{\epsilon_{2}^{*}(z)} \frac{\partial}{\partial z} \frac{1}{\epsilon_{1}(z)} \right) + \alpha_{1}\alpha_{2}^{*} \frac{\partial}{\partial z} n(z) \frac{1}{\epsilon_{1}(z)} \frac{1}{\epsilon_{2}^{*}(z)} \right] \times D_{1,z} D_{2,z}^{*} + 1 \leftrightarrow 2,$$

$$(19)$$

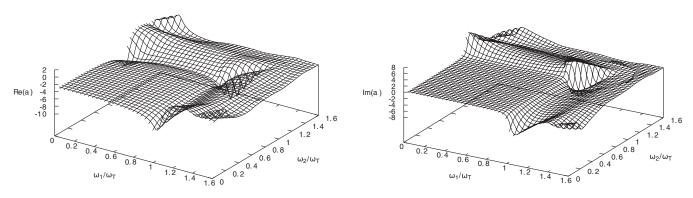


FIG. 1. Real (left panel) and imaginary (right panel) part of $a(\omega_1, \overline{\omega}_2)$ for a harmonic solid [Eq. (24)] with $\omega_L = \sqrt{2} \omega_T$ and $\tau = 20/\omega_T$, as function of ω_1 and ω_2 .

where we introduced the permittivity

$$\epsilon_g(z) = 1 + 4\pi n(z)\alpha_g,\tag{20}$$

used $E_{g,z}(z) = D_{g,z}/\epsilon_g(z)$, and assumed $D_{g,z}$ is constant across the selvedge for g = 1, 2, -.

The resulting normal component of the DF polarization depends on z through the density profile n(z) and its spatial derivatives and is large only within the thin selvedge region where the linear response has a large gradient, and in our long wavelength approximation it vanishes in the bulk and in vacuum. We now integrate Eq. (19) by substituting it in Eq. (17). The integral to be evaluated is of the form $\int dz f(n(z)) dg(n(z))/dz$, where f and g are rational functions of n(z). The integration can be divided into different intervals where n varies monotonically, which allows us to change integration variable $z \rightarrow n$. As the integrands are rational functions of n, they may be integrated analytically for any density profile n(z) to obtain the normal component of the nonlinear surface polarization

$$P_{-,z}^{s} = \chi_{zzz}^{s}(\omega_{1}, \overline{\omega}_{2})D_{1,z}D_{2,z}^{*} + 1 \leftrightarrow 2, \tag{21}$$

where

$$\chi_{zzz}^{s}(\omega_{1}, \overline{\omega}_{2}) = -\frac{a(\omega_{1}, \overline{\omega}_{2})}{64\pi^{2} n_{R} e} \left(\frac{\epsilon_{1} - 1}{\epsilon_{1}}\right) \left(\frac{\epsilon_{2}^{*} - 1}{\epsilon_{2}^{*}}\right), \quad (22)$$

and

$$a(\omega_{1}, \overline{\omega}_{2}) = -2 \left[1 + \frac{(1 - \epsilon_{-}) \epsilon_{1} \epsilon_{2}^{*} (\epsilon_{2}^{*} \log(\epsilon_{-}/\epsilon_{1}) + \text{c.p.})}{(\epsilon_{1} - \epsilon_{2}^{*}) (\epsilon_{2}^{*} - \epsilon_{-}) (\epsilon_{-} - \epsilon_{1})} \right]$$

$$(23)$$

is a dimensionless quantity that parameterizes the normal component of the nonlinear surface polarization [36]. Here, c.p. denotes the terms obtained from the previous one through cyclic permutations of the three indices 1, 2, -.

In Fig. 1, we illustrate the behavior of the real and imaginary parts of $a(\omega_1, \overline{\omega_2})$ for a model harmonic solid whose dielectric function [40],

$$\epsilon_{\rm d}(\omega) = \frac{\omega_{\rm L}^2 - \omega^2 - i\omega/\tau}{\omega_{\rm T}^2 - \omega^2 - i\omega/\tau},\tag{24}$$

has a single Lorentzian resonance, where ω_L and ω_T are the frequencies of the longitudinal and transverse optical modes,

respectively, and we included a small dissipation characterized by τ . We choose $\omega_{\rm L}^2=2\omega_{\rm T}^2$ and $\tau=20/\omega_{\rm T}$. Between its pole at $\omega_{\rm T}$ and its zero at $\omega_{\rm L}$, the dielectric function is negative and the logarithm in Eq. (23) becomes large. Hence, we expect the real and imaginary parts of a to exhibit spectral features in this region. Figure 1 shows peaks and valleys for both the real and imaginary parts of a whenever $\omega_{\rm 1}$ or $\omega_{\rm 2}$ falls in this region. Moreover, a broad valley along the diagonal $\omega_{\rm 1}\approx\omega_{\rm 2}$ is observed in the region where both input frequencies lie in this region, with their difference frequency close to zero. The parameter a has a constant value for low frequencies and reaches its asymptotic value [41] of -2 for high frequencies.

We follow a similar procedure to that shown above for the parallel component of the nonlinear polarization. Using Eq. (15), we find

$$P_{-,\parallel}(z) = \frac{1}{2e} \alpha_1 \alpha_2^* \left[E_{1,\parallel} \frac{\partial}{\partial z} \frac{n(z)}{\epsilon_2^*(z)} D_{2,z}^* + E_{2,\parallel}^* \frac{\partial}{\partial z} \frac{n(z)}{\epsilon_1(z)} D_{1,z} \right.$$

$$\left. + \left(\frac{\omega_1 + \omega_2}{\omega_-} \right) \left(\frac{\partial}{\partial z} \frac{n(z)}{\epsilon_2^*(z)} D_{2,z}^* E_{1,\parallel} \right.$$

$$\left. - \frac{\partial}{\partial z} \frac{n(z)}{\epsilon_1(z)} D_{1,z} E_{2,\parallel}^* \right) \right], \tag{25}$$

which we integrate across the selvedge to obtain the nonlinear tangential surface polarization

$$\begin{aligned} \mathbf{P}_{-,\parallel}^{s} &= \int_{-\infty}^{\infty} dz \mathbf{P}_{-,\parallel}(z) \\ &= \frac{1}{2e} \alpha_{1} \alpha_{2}^{*} \left[\frac{n_{B}}{\epsilon_{2}^{*}} \left(1 + \frac{\omega_{1} + \omega_{2}}{\omega_{-}} \right) \mathbf{E}_{1,\parallel} D_{2,z}^{*} \right. \\ &\left. + \frac{n_{B}}{\epsilon_{1}} \left(1 - \frac{\omega_{1} + \omega_{2}}{\omega_{-}} \right) D_{1,z} \mathbf{E}_{2,\parallel}^{*} \right] \\ &= \chi_{\parallel\parallel z}^{s}(\omega_{1}, \overline{\omega}_{2}) \mathbf{E}_{1,\parallel} D_{2,z}^{*} + \chi_{\parallel z \parallel}^{s}(\omega_{1}, \overline{\omega}_{2}) D_{1,z} \mathbf{E}_{2,\parallel}^{*} + 1 \leftrightarrow 2, \end{aligned} \tag{26}$$

where the surface susceptibility is parameterized as

$$\chi_{\parallel\parallel z}^{s}(\omega_{1}, \overline{\omega}_{2})
= \chi_{\parallel z \parallel}^{s}(\overline{\omega}_{2}, \omega_{1})
= -\frac{1}{32\pi^{2}n_{B}e} \frac{(\epsilon_{1} - 1)(\epsilon_{2}^{*} - 1)}{\epsilon_{2}^{*}} \frac{\omega_{1}}{\omega_{-}} b(\omega_{1}, \overline{\omega}_{2}),$$
(27)

$$\chi_{\parallel\parallel z}^{s}(\overline{\omega}_{2}, \omega_{1})$$

$$= \chi_{\parallel z \parallel}^{s}(\omega_{1}, \overline{\omega}_{2})$$

$$= \frac{1}{32\pi^{2}n_{B}e} \frac{(\epsilon_{1} - 1)(\epsilon_{2}^{*} - 1)}{\epsilon_{1}} \frac{\omega_{2}}{\omega} b(\overline{\omega}_{2}, \omega_{1}), \quad (28)$$

with

$$b(\omega_1, \overline{\omega}_2) = b(\overline{\omega}_2, \omega_1) = -1. \tag{29}$$

There is another component $\chi_{z\parallel\parallel}^s$ of the surface susceptibility tensor allowed by the in-plane isotropy of the surface [36], but it is null within our model.

We now focus on the bulk quadratic polarization of the system, which we find by substituting Eqs. (11) to (13) in Eq. (14),

$$\mathbf{P}_{-\text{src}}^{B} = D_{1}\mathbf{E}_{2}^{*} \cdot (\nabla \mathbf{E}_{1}) + \tilde{D}_{1}(\mathbf{E}_{2}^{*} \cdot \nabla)\mathbf{E}_{1} + 1 \leftrightarrow 2, \quad (30)$$

where

$$D_g = \frac{1}{16\pi^2 n_B e} (\epsilon_2^* - 1)(\epsilon_1 - 1)\delta_g d_g, \tag{31}$$

$$\tilde{D}_g = \frac{1}{16\pi^2 n_B e} (\epsilon_2^* - 1)(\epsilon_1 - 1)\tilde{\delta}_g \tilde{d}_g, \tag{32}$$

with

$$\delta_1 = -\left(\frac{\epsilon_- - 1}{\epsilon_1 - 1}\right) \frac{\omega_2}{\omega_1},\tag{33}$$

$$\tilde{\delta}_1 = \frac{\omega_1}{\omega_-} - \left(\frac{\epsilon_- - 1}{\epsilon_1 - 1}\right) \frac{\omega_-}{\omega_1},\tag{34}$$

$$\delta_2 = -\left(\frac{\epsilon_- - 1}{\epsilon_2^* - 1}\right) \frac{\omega_1}{\omega_2},\tag{35}$$

$$\tilde{\delta}_2 = -\frac{\omega_2}{\omega_-} + \left(\frac{\epsilon_- - 1}{\epsilon_2^* - 1}\right) \frac{\omega_-}{\omega_2},\tag{36}$$

and $d_1 = d_2 = \tilde{d}_1 = \tilde{d}_2 = 1$. Note that $P^B_{-,\text{SIC}}$ plays the role of an external source for the DF field in the bulk. The total bulk polarization also contains the polarization linearly induced in response to the self-consistent DF field, as shown in Eq. (15). To obtain the self-consistent DF field, Maxwell's equations with sources should be solved with appropriate boundary conditions.

In the dipolium model, we assumed all entities to be identical to each other, so it doesn't account for effects such as those arising from the surface electronic structure. We must remark that in a real system these additional effects may not be negligible and must be accounted for in more realistic models. Here, we only focused on the effect of the spatial variation of the field on the second order response.

By construction, the dipolium model above corresponds to a dielectric material. However, it may be shown that its results are equivalent to those of the local jellium model [35], so they may be applied to metals simply by substituting their corresponding dielectric functions. We recall that the results of the dipolium model are valid even for a quantum harmonic oscillator interacting with a perturbing electromagnetic field through electric dipole, electric quadrupole, and magnetic dipole transitions [37].

B. Response of an isolated nanowire

We will now consider an isolated, long nanowire with a noncentrosymmetric geometry but made up of a centrosymmetric material with a nanometric radius. We assume translational symmetry along the axis of the nanowire (\hat{z} direction), disregarding edge effects as if it were infinitely long, allowing us to perform all calculations in 2D. We consider a cross-section slightly deformed away from a symmetric circle, described in polar coordinates by

$$r_s(\theta) = r_0(1 + \xi \cos 3\theta), \tag{37}$$

where r_0 is the radius of the nominal nanowire and ξ characterizes the extent of deformation. This is the most simple noncentrosymmetric deformation of a circle, consisting of three lobes an angle of $2\pi/3$ apart. The SH for this shape was studied in Ref. [14].

We first excite the system with two monochromatic fields oscillating at frequencies ω_1 and ω_2 with polarization on the plane of the cross section and perpendicular to each other,

$$\mathbf{E}^{\text{ext}}(t) = E_1 e^{-i\omega_1 t} \hat{\mathbf{x}} + E_2 e^{-i\omega_2 t} \hat{\mathbf{y}} + \text{c.c.}, \tag{38}$$

where E_1 and E_2 are complex amplitudes and we take the corresponding polarization along \hat{x} and \hat{y} , respectively. We disregard the spatial dependence of the fields to concentrate on the effects of the noncentrosymmetric geometry, which is consistent with a long wavelength approximation $r_0 \ll \lambda_g$, where λ_g (g=1,2) are the wavelengths of the incoming waves. We follow the perturbative approach introduced in Ref. [14] to evaluate the self-consistent induced near fields. We start with the general nonretarded solution $\phi_g(r,\theta) = \phi_g^{\rm in}(r,\theta)\Theta(r_s(\theta)-r) + \phi_g^{\rm out}(r,\theta)\Theta(r-r_s(\theta))$ in polar coordinates (r,θ) of Laplace's equation for the scalar potential within the particle and in its neighborhood,

$$\phi_g^{\text{in}}(r,\theta) = \sum_{l=0}^{\infty} r^l (s_{gl} \cos l\theta + t_{gl} \sin l\theta), \tag{39a}$$

$$\phi_g^{\text{out}}(r,\theta) = \phi_g^{\text{ex}} + \sum_{l=0}^{\infty} r^{-l} (u_{gl} \cos l\theta + v_{gl} \sin l\theta), \quad (39b)$$

where $\phi_1^{\text{ex}}(r,\theta) = -E_1 r \cos \theta$, $\phi_2^{\text{ex}}(r,\theta) = -E_2 r \sin \theta$ and Θ is the unit step function. We expand the multipolar coefficients ζ_{gl} (any of s_{gl} , t_{gl} , u_{gl} , or v_{gl}) as power series on the deformation parameter ξ , $\zeta_{gl} = \sum_{n=0}^{\infty} \zeta_{gl}^{(n)} \xi^n$. As mentioned previously, we restrict ourselves to small deformations, and we consider terms up to linear order in ξ only. Using Eqs. (39) and imposing boundary conditions [38] at the interface $r = r_s(\theta)$, we obtain the self-consistent linear potential:

$$\begin{split} \frac{\phi_1^{\text{out}}}{E_1} &= -r\cos\theta - \frac{1 - \epsilon_1}{1 + \epsilon_1} \frac{r_0^2}{r} \cos\theta + \xi \left[\left(\frac{1 - \epsilon_1}{1 + \epsilon_1} \right)^2 \frac{r_0^3}{r^2} \cos 2\theta \right. \\ &\left. - \frac{1 - \epsilon_1}{1 + \epsilon_1} \frac{r_0^5}{r^4} \cos 4\theta \right], \end{split} \tag{40a}$$

$$\frac{\phi_1^{\text{in}}}{E_1} = -\frac{2}{1+\epsilon_1} r \cos \theta + 2\xi \frac{1-\epsilon_1}{(1+\epsilon_1)^2} \frac{r^2}{r_0} \cos 2\theta,$$
 (40b)

$$\begin{split} \frac{\phi_2^{\text{out}}}{E_2} &= -r\sin\theta - \frac{1-\epsilon_2}{1+\epsilon_2} \frac{r_0^2}{r} \sin\theta - \xi \left[\left(\frac{1-\epsilon_2}{1+\epsilon_2} \right)^2 \frac{r_0^3}{r^2} \sin 2\theta \right. \\ &+ \left. \frac{1-\epsilon_2}{1+\epsilon_2} \frac{r_0^5}{r^4} \sin 4\theta \right], \end{split} \tag{40c}$$

$$\frac{\phi_2^{\text{in}}}{E_2} = -\frac{2}{1+\epsilon_2} r \sin \theta - 2\xi \frac{1-\epsilon_2}{(1+\epsilon_2)^2} \frac{r^2}{r_0} \sin 2\theta.$$
 (40d)

The spatial variations of the self-consistent linear fields $E_g = -\nabla \phi_g$, induce a macroscopic nonlinear polarization within the bulk of the nanowire given by Eq. (14) but with a position independent density $n = n_B$:

$$\begin{aligned} \boldsymbol{P}_{-,\mathrm{src}} &= \frac{E_{1}E_{2}^{*}\xi}{2\pi^{2}ner_{0}} \frac{(1-\epsilon_{1})(1-\epsilon_{2}^{*})}{(1+\epsilon_{1})^{2}(1+\epsilon_{2}^{*})^{2}} \\ &\times \left[-(1-\epsilon_{-})(2+\epsilon_{1}+\epsilon_{2}^{*}) + (1-\epsilon_{1}\epsilon_{2}^{*}) \right. \\ &\left. + \left(\frac{\omega_{1}+\omega_{2}}{\omega_{1}} \right) (\epsilon_{2}^{*}-\epsilon_{1}) \right] \left\{ \sin\theta \ \hat{r} + \cos\theta \ \hat{\theta} \right\}. \end{aligned} \tag{41}$$

The nonlinear bulk polarization induces a null charge density within the nanowire,

$$\rho_{-\text{src}} = -\nabla \cdot \boldsymbol{P}_{-\text{src}} = 0, \tag{42}$$

up to linear order in the deformation parameter ξ . The termination of the bulk polarization at the surface of the nanowire induces a *bulk originated* surface nonlinear charge, $\sigma_{-,\mathrm{src}}^b = P_{-,\mathrm{src}} \cdot \hat{\boldsymbol{n}}$ where $\hat{\boldsymbol{n}} = \hat{\boldsymbol{r}} + 3\xi \sin 3\theta \,\hat{\boldsymbol{\theta}}$ is the outward-pointing unit vector normal to the surface. Substituting Eq. (41), we obtain

$$\sigma_{-,\text{src}}^{b} = \frac{E_{1}E_{2}^{*}\xi}{2\pi^{2}ner_{0}} \frac{(1-\epsilon_{1})(1-\epsilon_{2}^{*})}{(1+\epsilon_{1})^{2}(1+\epsilon_{2}^{*})^{2}} \times \left[-(1-\epsilon_{-})(2+\epsilon_{1}+\epsilon_{2}^{*}) + (1-\epsilon_{1}\epsilon_{2}^{*}) + \left(\frac{\omega_{1}+\omega_{2}}{\omega}\right)(\epsilon_{2}^{*}-\epsilon_{1}) \right] \sin\theta.$$
(43)

We now turn our attention toward the surface of the nanowire and calculate its nonlinear polarization. The expressions for the normal and tangential component of the nonlinear surface DF polarization are given by Eqs. (21) and (26). Both of these expressions were, however, written down for a semi-infinite surface lying at z = 0 with the z direction toward the bulk. To apply it to the curved cylindrical surface, we assume the thickness of the selvedge to be much smaller than the nominal radius r_0 of the nanowire. This permits us to assume that the surface is locally flat so the results of the dipolium model described in Sec. II A become applicable. We also assume a local Cartesian system on the surface with \perp denoting the outward-pointing normal direction and || denoting directions tangential to the surface. The components of the nonlinear surface polarization induced at each point on the surface can then be written as $P^s_{-,i} = \chi^s_{ijk}(\omega_1, \overline{\omega}_2) F_{1,j} F_{2,k} + 1 \leftrightarrow 2$, identical to Eq. (18), where $\chi^s_{ijk}(\omega_1, \overline{\omega}_2)$ are the components of the local nonlinear surface susceptibility and

$$F_{g}(r_{s}(\theta), \theta) = E_{g}(r_{s}^{+}(\theta), \theta)$$

$$= \epsilon_{g} E_{g}^{\perp}(r_{s}^{-}(\theta), \theta) + E_{g}^{\parallel}(r_{s}^{-}(\theta), \theta), \qquad (44)$$

where $r_s^{\pm} = r_s \pm \eta$, $\eta \to 0^+$ are positions just outside (+) or within (-) the surface. We recall that the fields F_g (g = 1, 2) are constant across the thin selvedge.

Interpreting Eq. (21) in the locally oriented frame, we obtain the normal component of the surface nonlinear polarization,

$$P_{-,\perp}^{s} = \frac{E_{1}E_{2}^{*}}{32\pi^{2}ne} \frac{(1 - \epsilon_{1})(1 - \epsilon_{2}^{*})}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \left[2a\sin 2\theta + 6\xi a(\sin \theta) + \sin 5\theta \right] + 8\xi a \sin 3\theta \left\{ \frac{\epsilon_{1} - \epsilon_{2}^{*}}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \right\} + 8\xi a \sin \theta \left\{ \frac{1 - \epsilon_{1}\epsilon_{2}^{*}}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \right\} \right], \tag{45}$$

where the dimensionless parameters a and b are given by Eqs. (23) and (29), respectively. Similarly, using Eq. (26), we obtain the tangential component of the surface polarization. Its spatial variation along the surface yields a *surface originated* nonlinear surface charge $\sigma_{-}^{s} = -\nabla_{\parallel} \cdot P_{-\parallel}^{s}$ given by

$$\sigma_{-}^{s} = \frac{E_{1}E_{2}^{*}}{16\pi^{2}ner_{0}} b \frac{(1 - \epsilon_{1})(1 - \epsilon_{2}^{*})}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \times \left[4\sin 2\theta - 4\xi \sin \theta + 28\xi \sin 5\theta + 8\xi \frac{\epsilon_{2}^{*} - \epsilon_{1}}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \left\{ \frac{\omega_{1} + \omega_{2}}{\omega_{-}} \sin \theta - 3\sin 3\theta \right\} \right].$$
(46)

Now that we have calculated its sources, we turn our attention to the calculation of the DF near field. The screened DF scalar potential ϕ_- has $\rho_{-,\rm src}=0$ as an *external bulk* source and the total nonlinear charges induced at the surface $\sigma_{-,\rm src}^b$ and σ_-^s as *surface* sources, together with the normal component of the surface polarization $P_{-,\perp}^s$, which may be accounted for through the boundary conditions. The sources have to be screened by the linear response ϵ_- of the particle at the DF frequency. Thus, to obtain the quadratic self-consistent scalar potential, we have to solve

$$\nabla^2 \phi_- = \begin{cases} 0, & \text{(outside)} \\ -4\pi \,\rho_{-,\text{src}}/\epsilon_- = 0, & \text{(inside)}, \end{cases}$$
 (47)

subject to the boundary conditions

$$\phi_{-}(r_{s}^{+}) - \phi_{-}(r_{s}^{-}) = 4\pi P^{s}$$
(48)

and

$$\hat{\boldsymbol{n}} \cdot \nabla \phi_{-}(r_{s}^{+}) - \epsilon_{-} \hat{\boldsymbol{n}} \cdot \nabla \phi_{-}(r_{s}^{-}) = -4\pi (\sigma_{-,\mathrm{src}}^{b} + \sigma_{-}^{s}). \quad (49)$$

Equation (48) expresses the discontinuity of the scalar potential due to the presence of the dipole layer $P^s_{-,\perp}$ across the selvedge. Equation (49) is the discontinuity of the normal component of the displacement field due to the presence of the nonlinear surface charge. We solve Eq. (47) perturbatively using Eq. (39) (with the subscript g=-) to obtain the selfconsistent scalar potential at the DF frequency with terms up to linear order in ξ . The resulting DF self-consistent scalar

potential ϕ_{-}^{out} outside the nanowire is given by

$$\frac{\phi_{-}^{\text{out}}}{E_{1}E_{2}^{*}}$$

$$= \frac{\xi}{\pi ne} \frac{(1 - \epsilon_{1})(1 - \epsilon_{2}^{*})}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})(1 + \epsilon_{-})} \left[\frac{2}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \right]$$

$$\times \left\{ - (1 - \epsilon_{-})(2 + \epsilon_{1} + \epsilon_{2}^{*}) + (1 - \epsilon_{1}\epsilon_{2}^{*}) + ($$

We must remark that terms corresponding to higher order multipoles are present in this second-order potential; however, they are of linear order in the deformation and smaller than the dipole by at least r_0/λ . Comparing Eq. (50) with the general expression of the 2D scalar potential,

$$\phi_{-}^{\text{out}} = 2p_{-,y} \frac{\sin \theta}{r} + Q_{-,xy} \frac{\sin 2\theta}{r^2} + \cdots,$$
 (51)

we identify the DF 2D dipolar and quadrupolar moments per unit length $p_{-,i}$ and $Q_{-,ij}$. The quadratic dipole contributes only for a nonzero deformation ξ while the quadrupolar response Q_{xy} is independent of ξ and would exist even for a nondeformed circular nanowire. We define dipolar and quadrupolar hyperpolarizability tensors through $p_{-,i} = \gamma^d_{ijk}(\omega_1, \overline{\omega}_2) E^{\rm ex}_{1,j}(E^{\rm ex}_{2,k})^* + 1 \leftrightarrow 2$ and $Q_{-,ij} = \gamma^Q_{ijkl}(\omega_1, \overline{\omega}_2) E^{\rm ex}_{1,k}(E^{\rm ex}_{2,l})^* + 1 \leftrightarrow 2$. Together with Eq. (51), they allow us to write the DF moments as

$$p_{-,y} = \left[\gamma_{yxy}^d(\omega_1, \overline{\omega}_2) + \gamma_{yyx}^d(\overline{\omega}_2, \omega_1) \right] E_{1,x} E_{2,y}^*$$
$$= \gamma^d(\omega_1, \overline{\omega}_2) E_{1,x} E_{2,y}^*, \tag{52}$$

$$Q_{-,xy} = \left[\gamma_{xyxy}^{\mathcal{Q}}(\omega_1, \overline{\omega}_2) + \gamma_{xyyx}^{\mathcal{Q}}(\overline{\omega}_2, \omega_1) \right] E_{1,x} E_{2,y}^*$$

= $\gamma^{\mathcal{Q}}(\omega_1, \overline{\omega}_2) E_{1,x} E_{2,y}^*,$ (53)

where we define

$$\gamma^{d}(\omega_{1}, \overline{\omega}_{2}) = \gamma^{d}_{yxy}(\omega_{1}, \overline{\omega}_{2}) + \gamma^{d}_{yyx}(\overline{\omega}_{2}, \omega_{1})
= 2\gamma^{d}_{yxy}(\omega_{1}, \overline{\omega}_{2})
= 2\gamma^{d}_{yyx}(\overline{\omega}_{2}, \omega_{1})$$
(54)

and

$$\gamma^{\mathcal{Q}}(\omega_{1}, \overline{\omega}_{2}) = \gamma^{\mathcal{Q}}_{xyxy}(\omega_{1}, \overline{\omega}_{2}) + \gamma^{\mathcal{Q}}_{xyyx}(\overline{\omega}_{2}, \omega_{1})
= 2\gamma^{\mathcal{Q}}_{xyxy}(\omega_{1}, \overline{\omega}_{2})
= 2\gamma^{\mathcal{Q}}_{xyyx}(\overline{\omega}_{2}, \omega_{1}),$$
(55)

using the intrinsic permutation symmetry $\gamma^d_{yxy}(\omega_1, \overline{\omega}_2) = \gamma^d_{yyx}(\overline{\omega}_2, \omega_1)$ and $\gamma^Q_{xyxy}(\omega_1, \overline{\omega}_2) = \gamma^Q_{xyyx}(\overline{\omega}_2, \omega_1)$. Comparing Eqs. (52) and (53) with Eqs. (51) and (50), we identify the DF hyperpolarizabilities:

$$\gamma^{d}(\omega_{1}, \overline{\omega}_{2}) = \frac{\xi r_{0}}{2\pi ne} \frac{(1 - \epsilon_{1})(1 - \epsilon_{2}^{*})}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})(1 + \epsilon_{-})} \\
\times \left[\frac{2}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \left\{ -(1 - \epsilon_{-})(2 + \epsilon_{1} + \epsilon_{2}^{*}) + (1 - \epsilon_{1}\epsilon_{2}^{*}) + (\epsilon_{2}^{*} - \epsilon_{1}) \left(\frac{\omega_{1} + \omega_{2}}{\omega_{-}} \right) \right\} \right. \\
\left. - b \frac{1 + 3\epsilon_{-}}{1 + \epsilon_{-}} + 2b \frac{(\epsilon_{2}^{*} - \epsilon_{1})}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \left(\frac{\omega_{1} + \omega_{2}}{\omega_{-}} \right) + \frac{\epsilon_{-}}{4} \left\{ 3a + \frac{4a(1 - \epsilon_{1}\epsilon_{2}^{*})}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})} \right\} \right], \tag{56}$$

$$\gamma^{Q}(\omega_{1}, \overline{\omega}_{2}) = \frac{r_{0}^{2}}{4\pi ne} \frac{(1 - \epsilon_{1})(1 - \epsilon_{2}^{*})}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})(1 + \epsilon_{-})} (a\epsilon_{-} + 2b).$$
(57)

We now turn our attention toward the calculation of the nonlinear magnetic dipole moment induced in the nanowire, the density of which is given by Eq. (13). The total magnetic moment per unit length induced within the nanowire can be obtained by integrating Eq. (13) across the cross section:

$$\boldsymbol{m}_{-}^{(2)} = \int_{0}^{2\pi} \int_{0}^{r_{s}(\theta)} n\boldsymbol{\mu}_{-}^{(2)} r dr d\theta.$$
 (58)

Substituting the linear fields obtained from Eq. (40) in Eq. (13), we obtain

$$\boldsymbol{m}_{-}^{(2)} = -\frac{i}{ce} \frac{E_1 E_2^* r_0^2}{8\pi n} (\omega_1 + \omega_2) \frac{(1 - \epsilon_1)(1 - \epsilon_2^*)}{(1 + \epsilon_1)(1 + \epsilon_2^*)} \hat{\boldsymbol{z}}.$$
 (59)

Defining $m_{-,z} = \gamma_{zxy}^m(\omega_1, \overline{\omega}_2) E_{1,x} E_{2,y}^* + 1 \leftrightarrow 2$, and following a procedure similar to Eq. (52), we identify the quadratic magnetic dipolar hyperpolarizability $\gamma^m(\omega_1, \overline{\omega}_2)$. We obtain

$$\gamma^{m}(\omega_{1}, \overline{\omega}_{2}) = 2\gamma_{zxy}^{m}(\omega_{1}, \overline{\omega}_{2})$$

$$= -\frac{i}{ce} \frac{r_{0}^{2}}{8\pi n} (\omega_{1} + \omega_{2}) \frac{(1 - \epsilon_{1})(1 - \epsilon_{2}^{*})}{(1 + \epsilon_{1})(1 + \epsilon_{2}^{*})}. \quad (60)$$

The limit $\omega_2 \to \omega_1$, $\omega_- \to 0$ of Eqs. (56), (57), and (60) yields the OR hyperpolarizabilities, i.e. the second order response of the nanowire for the nonlinear rectification process. The SF hyperpolarizabilities, corresponding to the frequency $\omega_+ = \omega_1 + \omega_2$, can be easily read from Eqs. (56), (57), and (60) after substituting $\overline{\omega}_2$ by ω_2 , ϵ_- by ϵ_+ , and taking the complex conjugate of the permittivity, i.e., changing ϵ_2^* to ϵ_2 ,

(68)

yielding

$$\gamma^{d}(\omega_{1}, \omega_{2}) = \frac{\xi r_{0}}{2\pi ne} \frac{(1 - \epsilon_{1})(1 - \epsilon_{2})}{(1 + \epsilon_{1})(1 + \epsilon_{2})(1 + \epsilon_{+})} \left[\frac{2}{(1 + \epsilon_{1})(1 + \epsilon_{2})} \times \left\{ -(1 - \epsilon_{+})(2 + \epsilon_{1} + \epsilon_{2}) + (1 - \epsilon_{1} \epsilon_{2}) + (\epsilon_{2} - \epsilon_{1}) \left(\frac{\omega_{1} - \omega_{2}}{\omega_{+}} \right) \right\} \right] \\
- b \frac{1 + 3\epsilon_{+}}{1 + \epsilon_{+}} + 2b \frac{\epsilon_{2} - \epsilon_{1}}{(1 + \epsilon_{1})(1 + \epsilon_{2})} \left(\frac{\omega_{1} - \omega_{2}}{\omega_{+}} \right) \\
+ \frac{\epsilon_{+}}{4} \frac{(3 - \epsilon_{+})a}{1 + \epsilon_{+}} + \frac{\epsilon_{+}}{4} \left\{ 3a + \frac{4a(1 - \epsilon_{1} \epsilon_{2})}{(1 + \epsilon_{1})(1 + \epsilon_{2})} \right\} \right], \tag{61}$$

$$\gamma^{Q}(\omega_{1}, \omega_{2}) = \frac{r_{0}^{2}}{4\pi ne} \frac{(1 - \epsilon_{1})(1 - \epsilon_{2})}{(1 + \epsilon_{1})(1 + \epsilon_{2})(1 + \epsilon_{+})} [\epsilon_{+} a + 2b], \tag{62}$$

and

$$\gamma^{m}(\omega_{1}, \omega_{2}) = -\frac{i}{ce} \frac{r_{0}^{2}}{8\pi n} (\omega_{1} - \omega_{2}) \frac{(1 - \epsilon_{1})(1 - \epsilon_{2})}{(1 + \epsilon_{1})(1 + \epsilon_{2})}. \quad (63)$$

The degenerate SH case can be obtained from Eqs. (61) and (62) when the input frequencies are equal, i.e., $\omega_2 = \omega_1$. Note, that the magnetic hyperpolarizability given by Eq. (63) would be zero for the SH case.

To calculate the other nonzero components of the hyperpolarizabilities, we repeat the calculations above but with different polarization of the incident fields to find all the nonzero components of the hyperpolarizabilities,

$$\gamma_{bab}^{d}(\omega_{c}, \omega_{d}) = \gamma_{bba}^{d}(\omega_{c}, \omega_{d}) = -\gamma_{aaa}^{d}(\omega_{c}, \omega_{d}) = \gamma_{abb}^{d}(\omega_{c}, \omega_{d})
= \gamma^{d}(\omega_{c}, \omega_{d})/2 = \gamma^{d}(\omega_{d}, \omega_{c})/2,$$
(64)

$$\gamma_{abab}^{Q}(\omega_{c}, \omega_{d}) = \gamma_{abba}^{Q}(\omega_{c}, \omega_{d}) = -\gamma_{aabb}^{Q}(\omega_{c}, \omega_{d}) = \gamma_{aaaa}^{Q}(\omega_{c}, \omega_{d})
= \gamma^{Q}(\omega_{c}, \omega_{d})/2 = \gamma^{Q}(\omega_{d}, \omega_{c})/2,$$
(65)

$$\gamma_{zab}^{m}(\omega_{c}, \omega_{d}) = \gamma_{zba}^{m}(\omega_{d}, \omega_{c}) = \gamma^{m}(\omega_{c}, \omega_{d})/2$$

$$= -\gamma^{m}(\omega_{d}, \omega_{c})/2,$$
(66)

where the pair of indices (a, b) can take the values (x, y) or (y, x), and the pair of frequencies (ω_c, ω_d) can take independently the values (ω_1, ω_2) or (ω_2, ω_1) . All other components are zero for our system.

C. SF/DF efficiency

We now focus on the calculation of the electromagnetic fields in the radiation zone and the efficiency of DFG/SFG from the nanowire. Following a procedure similar to the 3D case, one can write down the expressions for the radiated electromagnetic fields in 2D due to a localized distribution of charges and currents [14]. The magnetic and electric far fields

radiated at the SF/DF frequency are

$$\boldsymbol{B}_{\pm} = (1+i)k_{\pm}^{3/2} \left((\hat{\boldsymbol{r}} \times \boldsymbol{p}_{\pm}) - \hat{\boldsymbol{r}} \times (\hat{\boldsymbol{r}} \times \boldsymbol{m}_{\pm}) - \frac{i}{4}k_{\pm}(\hat{\boldsymbol{r}} \times (\boldsymbol{Q}_{\pm} \cdot \hat{\boldsymbol{r}})) \right) e^{ik_{\pm}r} \sqrt{\frac{\pi}{r}},$$
(67)

considering the dominant electric-dipolar, magnetic-dipolar, and electric-quadrupolar contributions, where k_{\pm} is the free wave number corresponding to the frequency ω_{\pm} , \hat{r} is the outward pointing unit vector in the direction of observation, and p_{\pm} , Q_{\pm} and m_{\pm} are given by Eqs. (52), (53), and (59), respectively. In the Appendix of Ref. [14], the electric dipolar and quadrupolar contributions to the radiated fields were presented; the magnetic dipolar contribution is discussed in our Appendix. The time-averaged power radiated per unit angle in the direction θ is

 $E_{\pm} = B_{\pm} \times \hat{r}$

$$\frac{dP_{\pm}}{d\theta} = \frac{rc}{2\pi} \operatorname{Re}[\boldsymbol{E}_{\pm} \times \boldsymbol{B}_{\pm}^{*}] \cdot \hat{\boldsymbol{r}}, \tag{69}$$

which after substituting Eqs. (67) and (68) becomes

$$\frac{d\mathcal{P}_{\pm}}{d\theta} = ck_{\pm}^{3}|E_{1}E_{2}|^{2} \left[|\gamma_{\pm}^{d}|^{2}\cos^{2}\theta + |\gamma_{\pm}^{m}|^{2} + \frac{k_{\pm}^{2}}{16}|\gamma_{\pm}^{Q}|^{2}\cos^{2}2\theta \right. \\
+ 2\operatorname{Re}(\gamma_{\pm}^{d}\gamma_{\pm}^{m*})\cos\theta - \frac{k_{\pm}}{2}\operatorname{Im}(\gamma_{\pm}^{m}\gamma_{\pm}^{Q*})\cos2\theta \\
- \frac{k_{\pm}}{2}\operatorname{Im}(\gamma_{\pm}^{d}\gamma_{\pm}^{Q*})\cos\theta\cos2\theta \right]. \tag{70}$$

Here, we introduce the compact notation $\gamma_+^{\alpha} \equiv \gamma^{\alpha}(\omega_1, \omega_2)$ and $\gamma_-^{\alpha} \equiv \gamma^{\alpha}(\omega_1, \overline{\omega}_2)$ with $\alpha = d, m, Q$. Integrating, we obtain the total SF/DF power radiated per unit length,

$$\mathcal{P}_{\pm} = \pi c k_{\pm}^{3} |E_{1} E_{2}|^{2} \left[|\gamma_{\pm}^{d}|^{2} + 2|\gamma_{\pm}^{m}|^{2} + \frac{k_{\pm}^{2}}{16} |\gamma_{\pm}^{Q}|^{2} \right].$$
 (71)

The efficiency of the SFG/DFG process, defined as

$$\mathcal{R}_{\pm} = \frac{\mathcal{P}_{\pm}}{I_1 I_2},\tag{72}$$

where $I_g = (c/2\pi)|E_g|^2$ (g = 1, 2) are the intensities of the incident waves, is

$$\mathcal{R}_{\pm} = \frac{\pi^3}{1024} \frac{k_{\pm}^3}{c} \left[|\gamma_{\pm}^d|^2 + 2|\gamma_{\pm}^m|^2 + \frac{k_{\pm}^2}{16} |\gamma_{\pm}^Q|^2 \right]. \tag{73}$$

III. RESULTS AND DISCUSSIONS

Figure 2 illustrates the absolute value of the electric-dipolar, electric-quadrupolar, and the magnetic-dipolar hyperpolarizabilities γ^{α} , $\alpha=d$, Q, m given by Eqs. (56), (57) and (60) to (63) respectively, for a deformed nanowire made up of an insulator with dielectric permittivity given by Eq. (24) with $\omega_{\rm L}=\sqrt{2}\,\omega_{\rm T}$ and $\tau=20/\omega_{\rm T}$, as in Fig. 1. We allow both the input frequencies to take negative and positive values to cover all three-wave mixing processes, namely, SFG, DFG, SHG, and OR, by identifying $\gamma^{\alpha}(\omega_{\rm I},\omega_{\rm 2})=\gamma_{\rm L}^{\alpha}$ when both frequencies are positive, $\gamma^{\alpha}(\omega_{\rm I},\omega_{\rm 2})=\gamma_{\rm L}^{\alpha}$ when $\omega_{\rm I}>0$ and $\omega_{\rm 2}<0$, and $\gamma^{\alpha}(\omega_{\rm I},\omega_{\rm 2})=(\gamma^{\alpha}(-\omega_{\rm I},-\omega_{\rm 2}))^*$ when $\omega_{\rm I}<0$. We show both 3D surface plots and 2D color maps to better

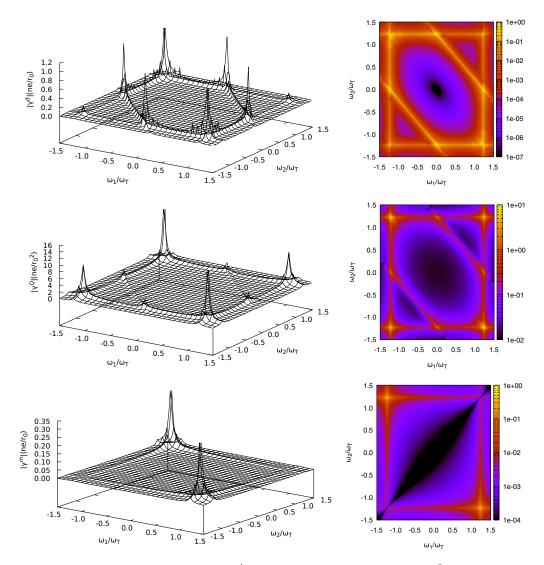


FIG. 2. Normalized absolute value of the electric dipolar γ^d (top panels), the electric quadrupolar γ^Q (middle panels), and the magnetic dipolar γ^m (bottom panels) hyperpolarizabilities for an infinitely long and thin deformed dielectric nanowire as a function of the fundamental frequencies ω_1 and ω_2 . The permittivity is given by Eq. (24) with $\omega_L = \sqrt{2} \, \omega_T$ and $\tau = 20/\omega_T$. The deformation is $\xi = 0.03$. 3D surface plots are displayed in the left panels and the respective 2D color maps in the right panels. The regions where both frequencies have the same signs correspond to SFG and those with opposite signs to DFG.

convey the qualitative and quantitative nature of the results. All three hyperpolarizabilities show strong resonant ridges when either input frequency is equal to the surface plasmon polariton (SPP) frequency or its additive inverse, $\omega_g =$ $\pm \omega_{\rm spn} = \pm \sqrt{3/2} \, \omega_{\rm T}$. Intense diagonal ridges occur for γ^d and γ^Q , but not in γ^m , when the sum of the two incident frequencies resonates with the SPP. There are further peaks when any of the two ridges meet, for which two of the resonant conditions are fulfilled jointly. The first quadrant corresponds to SFG, the fourth with DFG, and the third and second replicate these processes inverting the signs of all participating frequencies. The large peak observed in the fourth quadrant corresponds to DFG close to OR, where both the incident frequencies are simultaneously SPP resonant. The response along the diagonal $\omega_1 = \omega_2$ corresponds to SHG. Notice that in this case we cross a diagonal ridge when the fundamental frequency is the subharmonic of the SPP resonance and meet a peak when the fundamental reaches the resonance condition. The quadratic magnetic dipole is absent along the SH line. The horizontal, vertical, and diagonal ridges are much weaker than the doubly resonant peaks for both the quadrupolar and the dipolar response. We also explored the absolute values of γ^d , γ^Q , and γ^m for larger lifetimes (not shown). As expected, we obtained a similar structure with much narrower and sharper peaks and ridges. In Fig. 3, we show a closeup of γ^d around $\omega_1 = -\omega_2 = \omega_{\rm spp}$, corresponding to DFG processes with a small difference in frequency. Notice that when the two input frequencies are exactly equal and opposite, $\omega_{-}=0$, the second-order response is about an order of magnitude lower than for neighboring points, for which ω_{-} is small but finite. Thus, the electric-dipolar response of the system for OR is smaller than its DF response. No such behavior is observed for the quadrupolar nor the magnetic dipolar hyperpolarizability.

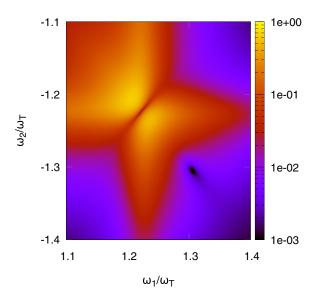


FIG. 3. High resolution 2D color map of the normalized dipolar hyperpolarizability $|\gamma^d|$, shown in Fig. 2, close to the resonance around the region $\omega_1 \approx \omega_2 \approx \omega_{\rm spp}$, with ω_2 negative.

In Fig. 4, we present the SFG/DFG dimensionless efficiencies

$$\mathcal{R}'_{+} = cr_0(ne)^2 \mathcal{R}_{\pm},\tag{74}$$

corresponding to the same nanowire as in Fig. 2. We obtain a structure similar to that for the hyperpolarizabilities, with vertical, horizontal, and diagonal ridges and peaks where two ridges meet. Note that the main peak corresponds to a SHG process where both input frequencies are resonant with the SPP of the nanowire, followed in intensity by SFG/DFG peaks where one input frequency is close to zero. The SHG at the SPP subharmonic is relatively small and there is no

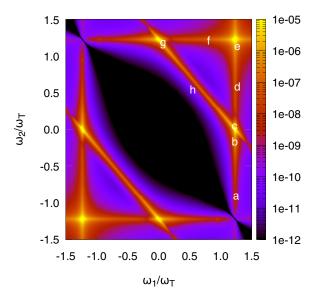


FIG. 4. Dimensionless efficiency for three-wave mixing processes for the same dielectric nanowire as in Fig. 2 as function of the normalized input frequencies ω_g/ω_T (g=1,2) for a deformation $\xi=0.03$ and $r_0/\lambda_T=0.01$.

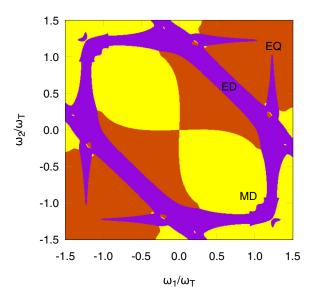


FIG. 5. Different regions of frequency space colored according to the nature of the largest contribution to the nonlinear efficiency shown in Fig. 4, electric-dipolar (ED), magnetic-dipolar (MD), and electric-quadrupolar (EQ).

peak corresponding to OR where both frequencies are SPP resonant. Nevertheless, there is a substantial DFG radiation when one frequency is SPP resonant and the other is close to the resonance, indicating a possible application toward the generation of THz radiation.

In Fig. 5, we show the regions of frequency space (ω_1, ω_2) , where the nonlinear efficiency is dominated by electric-dipolar, magnetic-dipole, or electric quadrupolar processes. Notice that the electric dipole is dominant when ω_1 , ω_2 , or ω_+ resonate with the SPP and that the electric quadrupole dominates along the SHG line, except at the subharmonic of the SPP. The rest of the frequency space is dominated by the magnetic dipole.

In Fig. 6, we plot the normalized 2D angular radiation pattern of the same nanowire as in Figs. 2 and 4 in the vicinity of resonances corresponding to the regions marked with the letters a-h in Fig. 4. Even though the calculation corresponds to a small deformation, $\xi = 0.03$, we observe a strong competition between the electric-dipolar, magnetic-dipolar, and

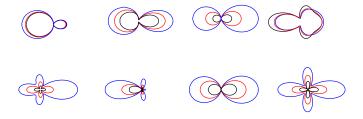


FIG. 6. 2D angular radiation patterns for the same dielectric nanowire as in Fig. 4 for different input frequencies ω_1 and ω_2 . Each set of patterns corresponds to the region around the points marked in Fig. 4: from left to right a–d (top row), and e–h (bottom row). For the patterns a–e, we set $\omega_1 = \omega_{\rm spp}$ while varying ω_2 , i.e., we traverse along the vertical line of Fig. 4 at resonance, while point f lies on the horizontal line where $\omega_2 = \omega_{\rm spp}$. We choose both input frequencies to vary along the diagonal resonance for regions g and h.

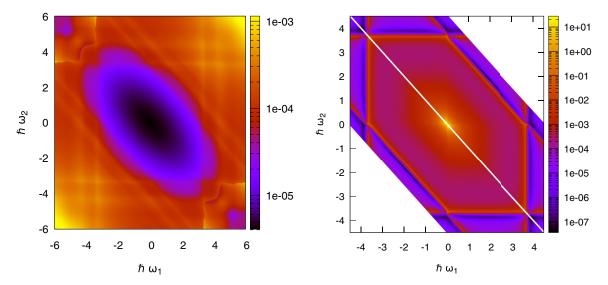


FIG. 7. Dimensionless efficiency, $\mathcal{R}'_{\pm}/(k_{\pm}r_0)^3$ [Eq. (74)] for three-wave mixing processes for a Si (left) and a Ag (right) nanowire as a function of the input photon energies $\hbar\omega_g$ for g=1,2, for a deformation parameter $\xi=0.03$. We chose $r_0=0.01\lambda_{E_1}$ for Si and $r_0=0.01/\lambda_{E_p}$ for Ag, where λ_{E_1} and λ_{E_p} are the wavelengths corresponding to the energies E_1 and E_p (see text). The white regions correspond to input data absent from Ref. [44].

quadrupolar contributions. Thus, around the region a of Fig. 4, in Fig. 6 we obtain an almost isotropic radiation pattern along the x - y plane, corresponding to the radiation of a magnetic dipole oriented along z, with a small lobe due to an electric dipole oriented along x. As we move vertically toward point b, there is a competition between electric and magnetic dipolar radiation, which yields a partially directional radiation, and as we approach c the radiation has mostly the two-lobed electricdipolar form. As we proceed to d, the radiation becomes a mixture of magnetic-dipolar and electric-quadrupolar and the latter dominates close to e. Moving toward f and g, the pattern again becomes electric-dipolar and, finally, close to h it becomes a mixture of electric dipolar and quadrupolar contributions. We must remark here that though Fig. 4 is symmetric under the interchange $\omega_1 \leftrightarrow \omega_2$, we purposely chose points c and g that are not equivalent to show the richness of the radiation patterns. Similarly, we chose d nonequivalent to f. An animation illustrating the evolution of the generated radiation pattern as we continuously vary the input frequencies is available in the Supplemental Material video file [42].

To illustrate an application of our theory, we present in Fig. 7 the dimensionless efficiency \mathcal{R}'_+ , Eq. (74), of the threewave mixing processes from deformed Si and Ag nanowires, further normalized by the factor $1/(k_{\pm}r_0)^3$ to reveal the detailed structure in the resonance patterns, especially in the low-frequency regime. We used experimentally determined dielectric functions of Si and Ag [43,44] as inputs to our theory. As in our previous results, there are horizontal and vertical ridges when the photon energy of either input wave is equal to characteristic energies of the material, the critical points E_1 and E_2 (3.4 eV and 4.3 eV) for Si, and around the surface and bulk plasmon $\sim 3.6 - 3.8$ eV for Ag. Diagonal ridges are also present at the energies where the energy of the sum of the two input photons is close to these characteristic values. Double resonances lead to stronger peaks at the intersection of any of these ridges.

IV. CONCLUSIONS

We developed a formalism to calculate analytically all three-wave mixing processes, sum and difference frequency generation, SHG [14], and OR for slightly deformed thin nanowires with a simple noncentrosymmetric cross section. Our theory was developed in 2D within the long-wavelength approximation, assuming translational symmetry along the axis of the nanowire, and is of a perturbative nature, assuming the geometry is controlled by a small deformation parameter. We first generalized the dipolium model to calculate the DF response of a semi-infinite homogeneous media and used the results to compute the bulk and surface contributions to the DF polarization of the nanowire, assuming its surface is smooth and thus locally flat. This polarization is a source for the near DF fields from which we identified the total DF electric dipole p_{-} and quadrupole Q_{-} , and we also obtained the nonlinear magnetic dipole m_{-} . We thus obtained all the finite components of the corresponding hyperpolarizability tensors up to first order in the deformation parameter. We also calculated the radiation fields, radiation patterns, and conversion efficiency. A simple extension allowed us to also obtain the induced moments, hyperpolarizabilities, and the radiation corresponding to SF, SH, and OR.

Our results are written in terms of the linear dielectric response of the system evaluated at the relevant frequencies. We illustrated them by calculating and analyzing the SF/DF hyperpolarizabilities, radiation patterns, and efficiencies for a model harmonic dielectric, and we interpreted their resonant structure, related to the excitation of SPPs at the input and/or output frequencies. We found a strong DFG when $\omega_1 \approx \omega_{\rm spp} \approx \omega_2$, corresponding to a small but finite ω_- , suggesting that our system might yield an efficient generation of radiation in the THz regime. We further identified the regions in the frequency space where different multipolar contributions became dominant and we illustrated them through

calculations of the corresponding radiation patterns. The electric quadrupole dominates in the first and third quadrants of the frequency space, while the magnetic dipole dominates along the second and fourth quadrant and is null for SH, but the electric dipole is dominant when either input frequency or its sum resonate with the SPP, even for very slightly deformed nanowires. As an application, we obtained the efficiency of the quadratic processes for Si and Ag nanowires.

Our formalism assumes that retardation effects can be ignored. This would be the case when the radius of the nanowire is much smaller than the wavelength, and their ratio must be smaller than the deformation parameter, which in turn should be much smaller than one, $r_0/\lambda \ll \xi \ll 1$. This imposes a strong limit on the applicability of the theory. Nevertheless, the main effects of retardation would arise from spatial oscillations of the sources along the axis of the nanowire and additional bulk contributions to the nonlinear polarization along the gradients of the fundamental fields. These effects could be minimized by adjusting parameters such as the propagation direction of the fundamental fields, normal, along, or at an angle to the axis, and by filtering the polarization of the input and output fields. A detailed discussion would go beyond the scope of the present paper.

In summary, we developed an analytical formalism that allowed us to explore all three-wave mixing processes at 2D nanowires made up of centrosymmetric materials but with a noncentrosymmetric geometry. Although we developed the model for a harmonic dipolium model, the results are written in terms of the dielectric function of the material evaluated at the relevant input and output frequencies. Thus, by substituting the appropriate response functions, our results may be applied to arbitrary dielectrics. Furthermore, it may be shown that the results agree with those of a local jellium model, so they may also be applied to metals [35]. Our theory does not take into account effects related to crystal structure, the presence of surface states, and surface reconstructions and relaxation. Nevertheless, our results allow a quantification of the expected efficiency of the different processes and, in particular, they show that electric electric dipolar contributions may dominate the quadrupolar and magnetic dipolar ones at certain frequency combinations even for very small deformations. Thus, ordinary centrosymmetric materials textured with noncentrosymmetric patterns may provide competitive sources of

optical sum and difference frequency generation for processes such as conversion of light into the THz regime. Furthermore, our model provides analytical expressions against which numerical computational schemes may be tested.

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APPENDIX

In this Appendix, we calculate the electromagnetic fields radiated in 2D by a magnetic dipole. A detailed description of the derivation is not presented here as a similar calculation was discussed in the Appendix of Ref. [14], where radiation from an electric dipole and a quadrupole were considered. Equation (A6) of Ref. [14] is an expression for the vector potential in 2D radiated by a harmonically varying monochromatic current distribution J(r,t), expressed as a power series in the diameter of the system. The second term of this series is

$$\boldsymbol{A}^{(1)}(\boldsymbol{r}) = \frac{1}{c} \sqrt{\frac{2\pi}{kr}} e^{i\pi/4} e^{ikr} (-ik) \int d^2r' \boldsymbol{J}(\boldsymbol{r}') (\hat{\boldsymbol{r}} \cdot \boldsymbol{r}'). \quad (A1)$$

Its integrand can be written as the sum of a symmetric and an antisymmetric part, $J(r')(\hat{r} \cdot r') = (1/2)[J(r')(\hat{r} \cdot r') + r'(\hat{r} \cdot J(r'))] + (1/2)[J(r')(\hat{r} \cdot r') - r'(\hat{r} \cdot J(r'))]$. The former yields the electric quadrupolar radiation while the latter corresponds to the contribution of the magnetic dipole, which can be written as

$$A^{m}(\mathbf{r}) = \sqrt{\frac{2\pi}{kr}} e^{i\pi/4} e^{ikr} (ik) \hat{\mathbf{r}} \times \mathbf{m}, \tag{A2}$$

where m is the magnetic dipole moment per unit length:

$$\boldsymbol{m} = \frac{1}{2c} \int d^2 r' \, \boldsymbol{r'} \times \boldsymbol{J}(\boldsymbol{r'}). \tag{A3}$$

As mentioned in Ref. [14], we may obtain the corresponding electromagnetic radiation field as $\mathbf{B}^m = ik\hat{\mathbf{r}} \times \mathbf{A}^m$ and $\mathbf{E}^m = \mathbf{B}^m \times \hat{\mathbf{r}}$.

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