

Complex charge density waves at Van Hove singularity on hexagonal lattices: Haldane-model phase diagram and potential realization in the kagome metals AV_3Sb_5 ($A=K, Rb, Cs$)

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We investigate how the real and imaginary charge density waves interplay at the Van Hove singularity on the hexagonal lattices. A phenomenological analysis indicates the formation of $3Q$ complex orders at all three nesting momenta. Under a total phase condition, unequal phases at the three momenta break the rotation symmetry generally. The $3Q$ complex orders constitute a rich Haldane-model phase diagram. When effective time-reversal symmetries arise under 1-site translations, the Dirac semimetals are protected. The breakdown of these symmetries gaps the Dirac points and leads to the trivial and Chern insulator phases. These phases are deformations of purely real and imaginary orders, which exhibit trivial site and/or bond density and chiral flux orders, respectively. The exotic single-Dirac-point semimetals also appear along the gapless phase boundary. We further show that the theoretical model offers transparent interpretations of experimental observations in the kagome metals AV_3Sb_5 with $A = K, Rb, Cs$. The topological charge density waves may be identified with the complex orders in the Chern insulator phase. Meanwhile, the lower-temperature symmetry-breaking phenomena may be interpreted as the secondary orders from the complex order ground states. Our work sheds light on the nature of the topological charge density waves in the kagome metals AV_3Sb_5 and may offer useful indications to the experimentally observed charge orders in the future experiments.

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I. INTRODUCTION

The studies of Fermi liquid instabilities on the hexagonal lattices has received enormous interest in the past decade. While most of the interest is devoted to the graphene with honeycomb lattice [1–7], the materials with triangular [7–12] and kagome lattices [13–15] have also been studied extensively. A particularly interesting setup for such analyses is the doping to the Van Hove singularity [16]. At this doping, the fermiology of the three lattices become identical, with the caveat that the translation from lattice scale interactions to interaction constants in momentum space is nontrivial on the kagome lattice [14,15]. The density of states is logarithmically divergent at the M -point saddle points of dispersion energy, leading to the amplification of correlation effects. These saddle points define a hexagonal Fermi surface with parallel edges, which further supports the Fermi surface nesting at three finite momenta. The combination of these two singular structures can trigger various types of Fermi liquid instabilities. It has been shown that the $d \pm id$ chiral superconductivity is the universal leading weak-coupling instability at the Van Hove doping for repulsive interactions on triangular or honeycomb lattices [2]. The spin density waves can also arise away from the Van Hove doping, where the orders develop at all three nesting momenta. These ground states are known as the $3Q$ states, which can realize the chiral noncoplanar Chern insulator [8] and the uniaxial half metal [3]. On the other hand, it was shown that the charge density waves may develop from the sublattice interference on the kagome lattice [14,15]. The M -point charge density waves with unconventional features have also been studied in the transition metal dichalcogenides

[17–19]. More recently, the doping of graphene with intercalation shows a flattening of dispersion energy at the Van Hove singularity with power-law divergent density of states [21]. This turns the phase diagram into the competition between the $d \pm id$ chiral superconductivity and the ferromagnetism [22–24].

While most of the works at the Van Hove singularity have focused on the real orders in the particle-hole channels, the imaginary orders have not received as much investigation. The imaginary particle-hole orders at finite momenta can realize staggered/loop currents on the lattice, which corresponds to the formation of intrinsic staggered fluxes [25–27]. Such flux orders may break the time-reversal symmetry spontaneously. The development of orders at all three nesting momenta can further trigger nontrivial band topology in the ground states. For the imaginary charge density waves, a Chern insulator can develop from the $3Q$ chiral flux order [28,29]. Meanwhile, a quantum spin Hall insulator can arise from the $3Q$ uniaxial spin flux order, which is a combination of two opposite chiral flux orders at opposite spins [30]. Whether these topological states can arise as the leading instability at the Van Hove doping becomes an interesting topic to explore. It has been shown that the imaginary charge density wave is degenerate with the real spin density wave with spin flavors $N_f = 2$ and is further dominant universally at larger number of flavors $N_f \geq 4$ [29,31]. Meanwhile, the staggered currents have also been proposed in a π -flux triangular lattice [11], as well as in the doped chiral spin liquid [32]. These observations indicate the possibility of realizing the topological imaginary orders in the systems with hexagonal lattices. A Ginzburg-Landau

analysis has been conducted to investigate how the according d -wave order interplays with the real orders [12]. However, the d -wave order has been treated as a secondary order to the real site density order, and its purely imaginary structure has not been appreciated. A complete analysis where the real and imaginary orders are treated on equal footing is urged for the advanced understanding of complex charge density waves. Such an analysis may uncover unconventional phenomena where the topological imaginary orders contribute.

Recently, a set of experiments observe unconventional $3Q$ charge density waves in the kagome metals AV_3Sb_5 with $A = K, Rb, Cs$ [33–43], which occur at 80–110 K far above the superconductivity at 0.9–2.7 K [42,44–48]. These orders develop at all three M points and manifest giant anomalous Hall effects [34,38]. Furthermore, a more exotic $1Q$ charge density wave is observed at a half M point at lower temperature, which is accompanied by another rotation symmetry breaking effect along the same direction [39,42]. The signal of pair density wave at the three-quarter M point is also observed along the same direction [42]. A recent experiment indicates that the charge density waves may arise from the electronic repulsion instead of the strong electron-phonon coupling [43]. Given the proximity of the Fermi surface to the Van Hove singularity, the theoretically proposed chiral flux order [28,29] may contribute significantly to the topological response in these kagome metals.

In this work, we investigate how the real and imaginary charge density waves interplay at the Van Hove singularity on the hexagonal lattices. A phenomenological analysis indicates the formation of $3Q$ complex orders at all three nesting momenta. Under a total phase condition, unequal phases at the three momenta break the rotation symmetry generally. The $3Q$ complex orders constitute a rich Haldane-model phase diagram (Fig. 1). When effective time-reversal symmetries arise under 1-site translations, the Dirac semimetals are protected. The breakdown of these symmetries gaps the Dirac points and leads to the trivial and Chern insulator phases. These phases are deformations of purely real and imaginary orders, which exhibit trivial site and/or bond density and chiral flux orders, respectively. The exotic single-Dirac-point semimetals also appear along the gapless phase boundary. We further show that the theoretical model offers transparent interpretations of experimental observations in the kagome metals AV_3Sb_5 . The topological charge density waves may be identified with the complex orders in the Chern insulator phase. Meanwhile, the lower-temperature symmetry-breaking phenomena may be interpreted as the secondary orders from the complex order ground states. Our work sheds light on the nature of the topological charge density waves in the kagome metals AV_3Sb_5 and may offer useful indications to the experimentally observed charge orders in the future experiments.

II. VAN HOVE FERMIOLGY ON HEXAGONAL LATTICES

We consider the fermionic models doped to the Van Hove singularity on the hexagonal lattices. These include the triangular, honeycomb, and kagome lattices [Figs. 2(a)–2(c)], all of which exhibit the same Van Hove fermiology in the hexagonal

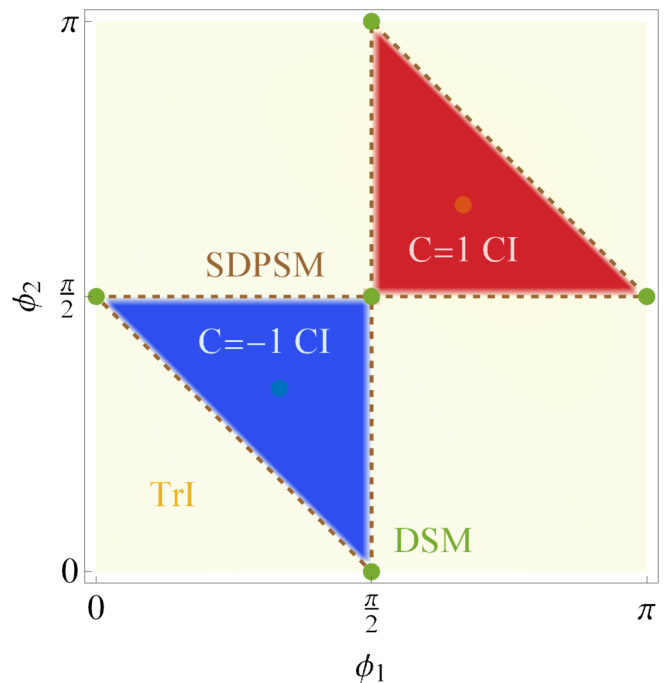


FIG. 1. Haldane-model phase diagram of $3Q$ complex charge density waves from the computation of Chern number, where the s -wave order is chosen for the real order. Here the trivial insulator (TrI), Chern insulators (CI) with nonzero Chern numbers $C = \pm 1$, Dirac semimetal (DSM), and single-Dirac-point semimetal (SDPSM) arise in different regimes. The minima of real order strength δ_R^2 under the total phase condition occur in the Chern insulator phases, below which the system evolves to the $3Q$ imaginary orders. Note that the phase diagram is periodic under $\phi_i \rightarrow \phi_i + \pi$ for $i = 1, 2$.

Brillouin zone [Fig. 2(d)]. For the triangular lattice with a single band, the Van Hove singularity occurs at the $3/4$ doping. For later convenience, we interpret this band as a hole band from the full doping, where the Van Hove singularity sits at the $-1/4$ doping. The honeycomb and kagome lattices contain two and three bands, respectively, where a pair of bands are separated by the Dirac points with opposite relative energies. In these systems, the Van Hove singularity occurs at the $\pm 1/4$ dopings on the particle and hole bands, respectively. The Van Hove singularity is carried by the saddle points of dispersion energy, where the density of states becomes logarithmically divergent. For the hexagonal lattices, these saddle points sit at the three inequivalent zone edge centers $\mathbf{M}_{\alpha=1,2,3}$. The Fermi surface takes these saddle points as the corners and form a hexagon in the Brillouin zone. Since the opposite Fermi lines are parallel to each other with opposite energy structures, a strong Fermi surface nesting is manifest at the Van Hove singularity. Note that the nesting vectors $\mathbf{Q}_{\alpha} \equiv \mathbf{M}_{\alpha}$ are half of reciprocal lattice vectors. This allows the Umklapp scattering to occur, from which various Fermi liquid instabilities may be triggered.

Due to the Van Hove singularity, the three saddle points dominate the rest parts of the Fermi surface at low energy. The low-energy effective theory is well described by the patch model [2,29], where the Fermi surface is approximated by

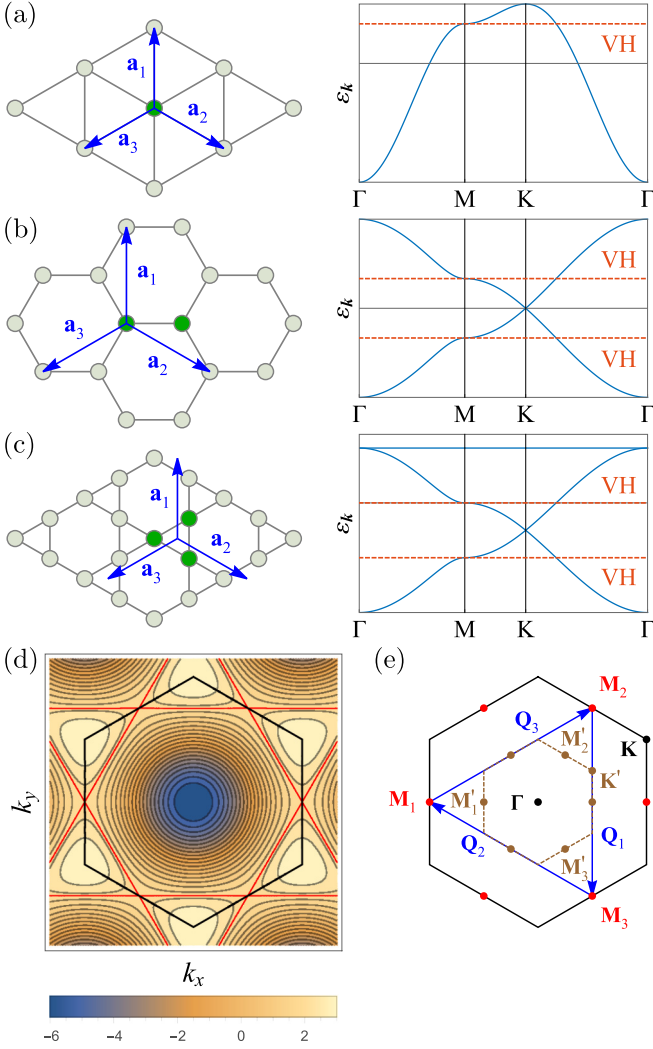


FIG. 2. The hexagonal lattices and their Van Hove (VH) fermiology. The (left) lattices and (right) nearest-neighbor tight-binding band structures are presented for (a) triangular, (b) honeycomb, and (c) kagome lattices. The origins of triangular Bravais lattices are defined by the intersections of lattice vectors $\mathbf{a}_{\alpha=1,2,3}$. (d) The contour illustration of band structure on the triangular lattice. Without loss of generality, we present the momentum-space computation only for the triangular lattice in this work. The Fermi surface (red) is a hexagon in the hexagonal Brillouin zone (black), where the corner saddle points sit at the zone edge centers. The opposite sides are parallel, leading to the Fermi surface nesting at three momenta \mathbf{Q}_α . (e) Patch model of the Van Hove fermiology. The Fermi surface is approximated by the patches at the three inequivalent saddle points \mathbf{M}_α , which are connected by the nesting momenta \mathbf{Q}_α . The inner hexagon indicates the reduced Brillouin zone under the charge density waves.

three patches at these saddle points [Fig. 2(e)]

$$H^0 = \sum_{\alpha=0}^3 (\varepsilon_\alpha - \mu) \psi_\alpha^\dagger \psi_\alpha. \quad (1)$$

Here ψ_α with $\alpha = 1, 2, 3$ are the fermions in the three patches with dispersion energy ε_α , and the chemical potential $\mu = 0$ is defined at the Van Hove singularity. We have included a patch $\alpha = 0$ at the zone center Γ , which is coupled to the saddle

points by the nesting momenta. Despite the distance from the Fermi surface, it may still contribute by lifting the degeneracy between otherwise degenerate orders. Note that the fermion flavor is suppressed since our analysis focuses on the charge orders.

III. PHENOMENOLOGY OF COMPLEX CHARGE DENSITY WAVES

The combination of Van Hove singularity and Fermi surface nesting leads to the $\ln^2(1/T)$ divergences in the temperature T . These divergences can induce various Fermi liquid instabilities in the presence of interactions. Our interest lies in the charge density waves, which are the particle-hole condensates at finite momenta. At the Van Hove singularity on the hexagonal lattices, these condensates develop at the three nesting momenta \mathbf{Q}_α

$$\Delta_{\alpha,\mathbf{k}} = \langle \psi_{\mathbf{k}+\mathbf{Q}_\alpha}^\dagger \psi_{\mathbf{k}} \rangle. \quad (2)$$

The charge density waves are generally complex. While the real orders manifest the periodic modulations of charge site and/or bond densities, the imaginary order hosts the staggered currents. We will study the interplay between these orders in the framework of Ginzburg-Landau theory and determine the phase diagram of complex charge density waves.

A. Irreducible pairing channels

The irreducible pairing channels of charge density waves can be determined based on the symmetry and the momenta [28]. An important feature of charge density waves at \mathbf{M}_α is the commensuration of momenta \mathbf{Q}_α . This results in the decoupling of real and imaginary orders into different irreducible pairing channels. The decoupling can be identified from the order function $\Delta_{\alpha,\mathbf{k}} = \Delta_\alpha f_{\alpha,\mathbf{k}}$. Here $\Delta_\alpha \in \mathbb{C}$ is the order parameter and the form factor $f_{\alpha,\mathbf{k}} \in \mathbb{R}$ is the eigenmode of momentum shift $\tilde{T}_{\mathbf{Q}_\alpha}$. Under the commensuration, the condition $\tilde{T}_{\mathbf{Q}_\alpha}^2 = \tilde{T}_{2\mathbf{Q}_\alpha} = 1$ implies the eigenvalues ± 1 for the eigenmodes $f_{\alpha,\mathbf{k}+\mathbf{Q}_\alpha} = \tilde{T}_{\mathbf{Q}_\alpha} f_{\alpha,\mathbf{k}} = \pm f_{\alpha,\mathbf{k}}$. Meanwhile, a complex conjugate constraint is imposed on the order function $\Delta_{\alpha,\mathbf{k}+\mathbf{Q}_\alpha} = \langle \psi_{\mathbf{k}+2\mathbf{Q}_\alpha}^\dagger \psi_{\mathbf{k}+\mathbf{Q}_\alpha} \rangle = \Delta_{\alpha,\mathbf{k}}^*$. Combining these two conditions, the order parameter acquires the purely real or imaginary form $\Delta_\alpha = \pm \Delta_\alpha^*$. Such a decoupling has also been confirmed from the flows of the renormalization group [29].

We adopt particular real and imaginary irreducible pairing channels under the commensurate conditions [28]. In the s - and $d_{R,I}$ -wave channels, the explicit form factors read (Fig. 3)

$$\begin{aligned} f_{\alpha,\mathbf{k}}^s &= 1, & f_{\alpha,\mathbf{k}}^{d_R} &= -\cos(\mathbf{k} \cdot \mathbf{a}_\alpha), \\ f_{\alpha,\mathbf{k}}^{d_I} &= \cos(\mathbf{k} \cdot \mathbf{a}_\beta) - \cos(\mathbf{k} \cdot \mathbf{a}_\gamma), & \gamma &> \beta > \alpha, \end{aligned} \quad (3)$$

where \mathbf{a}_α are the lattice vectors [Figs. 2(a)–2(c)]. We have defined the patch numbers α in a cyclic notation $1 < 2 < 3 < 1$. The s - and d_R -wave orders manifest the real condition $f_{\alpha,\mathbf{k}+\mathbf{Q}_\alpha}^{s,d_R} = f_{\alpha,\mathbf{k}}^{s,d_R}$, thereby exhibiting the site and bond density modulations. Meanwhile, the d_I -wave order obeys the imaginary condition $f_{\alpha,\mathbf{k}+\mathbf{Q}_\alpha}^{d_I} = -f_{\alpha,\mathbf{k}}^{d_I}$ and leads to staggered/loop currents. The form factors can be translated into the patch representations in the patch model. The real orders exhibit

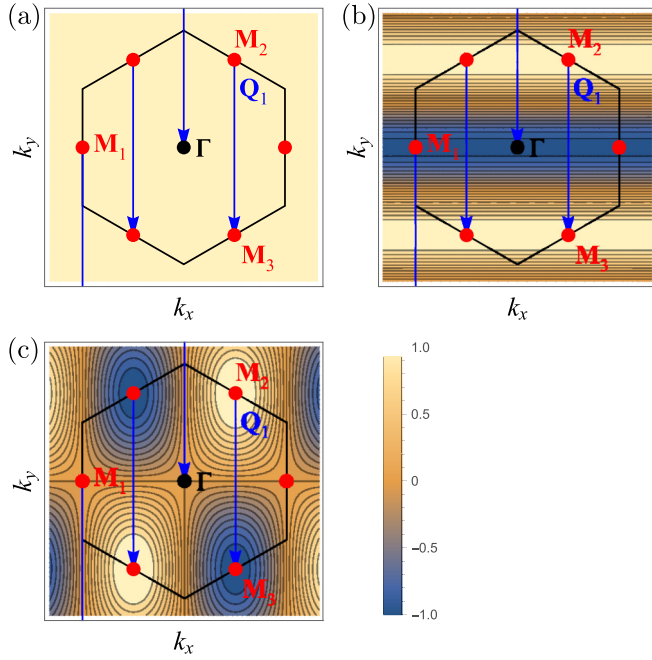


FIG. 3. The form factors of the charge density waves with (a) real s -wave $f_{\alpha,\mathbf{k}}^s$, (b) real d_R -wave $f_{\alpha,\mathbf{k}}^{d_R}$, and (c) imaginary d_I -wave $f_{\alpha,\mathbf{k}}^{d_I}$ orders in the momentum space. The momentum \mathbf{Q}_1 and the connected pairs of points $(\mathbf{M}_3, \mathbf{M}_2)$, (\mathbf{M}_1, Γ) are indicated in the figures. The form factors at the other two momenta $\alpha = 2, 3$ can be obtained by C_3 rotations.

the s - or d_R -wave patch representation $(f_{\alpha,\mathbf{M}_\alpha}, f_{\alpha,\mathbf{M}_\beta}, f_{\alpha,\mathbf{M}_\gamma}) = (\pm 1, 1, 1)$ with $\gamma > \beta > \alpha$, while the imaginary order carries the d_I -wave patch representation $(0, 1, -1)$.

Our analysis focuses on the s - and $d_{R,I}$ -wave irreducible pairing channels under the symmetry. However, the realistic structures of charge density waves may experience some deviations from these channels. Such deviations may be attributed to the strong suppression of condensates away from the Fermi surface, such as in the pairing between a saddle point \mathbf{M}_α and the zone center Γ in the s - and d_R -wave real orders. The combination of different channels may resolve this issue. For example, the combined $(s + d_R)$ -wave real order exhibits the patch representation $(0, 1, 1)$, which involves only the saddle points at the Van Hove singularity. This configuration is sufficient for the suppression effects in the patch model.

B. Ginzburg-Landau free energy

Having identified the real and imaginary charge density waves, we introduce the interactions in these two channels and obtain the interacting theory

$$H = H^0 + \frac{1}{2} \sum_{O=R,I} \sum_{\alpha} g^O (P_{\alpha}^O)^{\dagger} P_{\alpha}^O. \quad (4)$$

The pairing operators at \mathbf{M}_{α}

$$\begin{aligned} P_{\alpha}^R &= \text{Re}[\psi_{\gamma}^{\dagger} \psi_{\beta}]_{\gamma > \beta > \alpha} \pm \text{Re}[\psi_{\alpha}^{\dagger} \psi_0], \\ P_{\alpha}^I &= \text{Im}[\psi_{\gamma}^{\dagger} \psi_{\beta}]_{\gamma > \beta > \alpha} \end{aligned} \quad (5)$$

are defined according to the patch representations. Note that the zone center Γ is coupled to the saddle points \mathbf{M}_{α} only in

the real channel. The s - and d_R -wave orders correspond to the \pm signs, respectively.

We assume that both of the real and imaginary orders can develop below certain critical temperatures $T_c^{R,I}$, where the originally positive interactions become negative $g^{R,I} < 0$. To study the interplay between these two orders, we conduct a coherent path integral and extract the mean-field free energy [29]. Under a Hubbard-Stratonovich transformation, the interactions are decoupled by the bosonic complex order parameter $\bar{\Delta} = (\Delta_1, \Delta_2, \Delta_3)$. The real and imaginary components of the order parameter $\Delta_{\alpha} = \Delta_{\alpha}^R + i\Delta_{\alpha}^I = |\Delta_{\alpha}|e^{i\phi_{\alpha}}$ are coupled to the pairing operators $(P_{\alpha}^{R/I})^{\dagger}$, respectively. Integrating out the fermionic modes, we arrive at the mean-field free energy

$$f = \frac{2}{|g^R|} |\bar{\Delta}^R|^2 + \frac{2}{|g^I|} |\bar{\Delta}^I|^2 - \text{Tr} \ln(-\mathcal{G}^{-1}). \quad (6)$$

Here the trace denotes the momentum-frequency summation $\text{Tr} \sim T \sum_n \int_{\mathbf{k}}$. The inverse Green's function takes the form

$$\mathcal{G}^{-1} = \begin{pmatrix} G_1^{-1} & \Delta_3 & \bar{\Delta}_2 & \pm \Delta_1^R \\ \bar{\Delta}_3 & G_2^{-1} & \Delta_1 & \pm \Delta_2^R \\ \Delta_2 & \bar{\Delta}_1 & G_3^{-1} & \pm \Delta_3^R \\ \pm \Delta_1^R & \pm \Delta_2^R & \pm \Delta_3^R & G_0^{-1} \end{pmatrix}, \quad (7)$$

where the free propagators are defined $G_{\alpha} = [i\omega - (\epsilon_{\alpha} - \mu)]^{-1}$ with the fermionic Matsubara frequency ω .

We expand the free energy with respect to the infinitesimal order parameters near the critical temperature $T_c = \max\{T_c^R, T_c^I\}$. Ignoring the constant part, the expansion to the quartic order gives the Ginzburg-Landau free energy

$$\begin{aligned} f &= Z_R^{(2)} |\bar{\Delta}^R|^2 + Z_I^{(2)} |\bar{\Delta}^I|^2 \\ &\quad - Z^{(3)} (\Delta_1 \Delta_2 \Delta_3 + \bar{\Delta}_1 \bar{\Delta}_2 \bar{\Delta}_3) - 6Z_0^{(3)} \Delta_1^R \Delta_2^R \Delta_3^R \\ &\quad + \frac{1}{2} Z_1^{(4)} |\bar{\Delta}|^4 + (Z_2^{(4)} - Z_1^{(4)}) \\ &\quad \times (|\Delta_1|^2 |\Delta_2|^2 + |\Delta_2|^2 |\Delta_3|^2 + |\Delta_3|^2 |\Delta_1|^2). \end{aligned} \quad (8)$$

The quadratic prefactor $Z_I^{(2)}$ turns negative below T_c^I , indicating a second-order phase transition for the purely imaginary order. Meanwhile, the other quadratic prefactor $Z_R^{(2)}$ may remain infinitesimally positive at T_c^R and turn negative at lower temperature, since a cubic term supports a first-order phase transition. The isotropic quartic prefactor $Z_1^{(4)} = \text{Tr}(G_1^2 G_2^2) = \text{Tr}(G_2^2 G_3^2) = \text{Tr}(G_3^2 G_1^2) > 0$ remains positive and ensures the stability of Ginzburg-Landau free energy. The charge density waves develop below T_c and expand a large order manifold, where the degeneracy is reduced by the cubic and quartic anisotropies. At the cubic order, the primary anisotropy reads $\sim 2|\Delta_1||\Delta_2||\Delta_3| \cos(\phi_1 + \phi_2 + \phi_3)$ with prefactor $Z^{(3)} = \text{Tr}(G_1 G_2 G_3)$. The magnitude part $|\Delta_1||\Delta_2||\Delta_3|$ indicates that the '3Q orders' are energetically favored, where the orders at the three momenta develop simultaneously with the same magnitude

$$|\Delta_1| = |\Delta_2| = |\Delta_3|. \quad (9)$$

The same conclusion follows from the consideration of the quartic anisotropy with a negative prefactor $Z_2^{(4)} - Z_1^{(4)} < 0$, where $Z_2^{(4)} = \text{Tr}(G_1^2 G_2 G_3) = \text{Tr}(G_2^2 G_3 G_1) = \text{Tr}(G_3^2 G_1 G_2) > 0$ [3]. The phase degeneracy of the 3Q orders is lifted

by the phase part of cubic anisotropies. The primary cubic anisotropy $\sim \cos(\phi_1 + \phi_2 + \phi_3)$ imposes a total phase condition on the $3Q$ orders. Furthermore, the secondary cubic anisotropy with the prefactor $Z_0^{(3)} = \text{Tr}(G_0 G_1 G_2) = \text{Tr}(G_0 G_2 G_3) = \text{Tr}(G_0 G_3 G_1)$ leads to the additional preference of real orders. Note that this term is secondary since it involves the zone center Γ away from the Fermi surface and only comes into play if the real and imaginary orders would be degenerate in the absence of this term.

The phase conditions from the cubic anisotropies deserve further discussions. For the hole bands with the zone center Γ at the band bottoms, the cubic prefactors are positive $-Z^{(3)}, -6Z_0^{(3)} > 0$. On the contrary, the prefactors are negative $-Z^{(3)}, -6Z_0^{(3)} < 0$ for the particle bands, where the zone center Γ lies at the band tops. According to the prefactors of the primary cubic anisotropy, we summarize the total phase condition as

$$\phi_1 + \phi_2 + \phi_3 = \begin{cases} (2n+1)\pi, & \text{hole band} \\ 2n\pi, & \text{particle band} \end{cases} \quad (10)$$

with $n \in \mathbb{Z}$. The preference of real orders from the secondary cubic anisotropy then follows directly. Notably, the total phase condition suggests that the phases at the three momenta are generally different. This indicates that rotation symmetry breaking occurs generally in the $3Q$ complex orders. In the real channel, the secondary cubic anisotropy suggests an energetically favored imbalance between the s - and d_R -wave orders. This is also confirmed by a Ginzburg-Landau analysis where both orders are included explicitly.

C. Energetically favored ground states

The energetically favored ground states can now be determined from the Ginzburg-Landau free energy. We start by assuming that the real and imaginary orders are balanced, where equal strength of orders can be manifest near T_c . (We will shortly relax this assumption.) The full order manifold contains all $3Q$ orders for any strength of real order $\delta_R = |\bar{\Delta}_R|/|\bar{\Delta}| \in [0, 1]$. For example, the real and imaginary orders carry $\delta_R = 1$ and 0, respectively, while $0 < \delta_R < 1$ for the complex orders. The cubic anisotropies select the real orders as the energetically favored ground states (Fig. 4). For the hole bands, the negative order $(\phi_\alpha, \phi_\beta, \phi_\gamma) = (\pi, \pi, \pi)$ with $\gamma > \beta > \alpha$ and the 1-negative orders $(0, 0, \pi)$ are energetically favored. Meanwhile, the particle bands prefer the positive order $(0, 0, 0)$ and the 2-negative orders $(0, \pi, \pi)$.

Things may become different when the real and imaginary orders are imbalanced. When the two orders develop at distinct critical temperatures $T_c^R \neq T_c^I$, their available magnitudes below the critical temperature T_c become different. This constrains the available range of δ_R and shrinks the order manifold, thereby changing the energetically favored ground states. A renormalization group study shows that the imaginary order is much stronger than the real order under the electronic repulsion [29]. Nevertheless, the imaginary order may bring up the real order so as to minimize the free energy. We study the Ginzburg-Landau free energy in this complex-order regime (Fig. 4), where an upper bound $\bar{\delta}_R \geq \delta_R$ with $\bar{\delta}_R < 1$ indicates the deviation from the balanced case. As $\bar{\delta}_R$ decreases, the energetically favored ground state is pushed

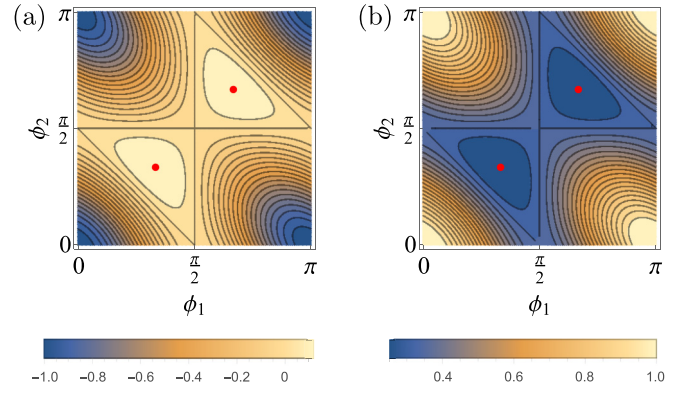


FIG. 4. (a) The secondary cubic anisotropy $\Delta_1^R \Delta_2^R \Delta_3^R \in [-1, 1/8]$ and (b) the strength of real order $\delta_R^2 \in [1/4, 1]$. Here two of the phases $\phi_{1,2}$ are tuned, while the third phase is given by $\phi_3 = \pi - (\phi_1 + \phi_2)$ under the total phase condition. The corners exhibit the minimal $\Delta_1^R \Delta_2^R \Delta_3^R = -1$ and the maximal $\delta_R^2 = 1$. Meanwhile, the red points $(\pi/3, \pi/3, \pi/3)$ and $(2\pi/3, 2\pi/3, -\pi/3)$ exhibit the maximal $\Delta_1^R \Delta_2^R \Delta_3^R = 1/8$ and the minimal $\delta_R^2 = 1/4$. Despite different functional forms, the contours in the two figures are identical. The states with the same δ_R^2 are degenerate under the secondary cubic anisotropy. In the balanced case, the system sits at the corners with minimal secondary cubic anisotropy and exhibit the real orders with maximal $\delta_R^2 = 1$. As the upper bound $\bar{\delta}_R^2$ decreases in the imbalanced case, the contour at $\delta_R^2 = \bar{\delta}_R^2$ defines the degenerate ground states with the lowest available secondary cubic anisotropy.

away from the real orders. The degenerate ground states are determined by the energy contour of the secondary cubic anisotropy at this $\bar{\delta}_R$. Note that $\bar{\delta}_R$ reaches the minimum $\bar{\delta}_R^{\min} = 1/2$ at $(m_1\pi \pm \pi/3, m_2\pi \pm \pi/3, m_3\pi \pm \pi/3)$ with $m_{1,2,3} \in \mathbb{Z}$ under the total phase condition. The total phase condition is broken below this point, and the system gradually evolves to the imaginary orders $(\pm\pi/2, \pm\pi/2, \pm\pi/2)$. On the other hand, the real order may be stronger than the imaginary order under the strong phonon-mediated attraction. The sublattice interference on the particle band of kagome lattice may also drive the system into this regime [14,15]. According to the secondary cubic anisotropy, the real orders remain energetically favored under the imbalance.

IV. GROUND STATE PHASE DIAGRAM

The Ginzburg-Landau analysis identifies various complex orders as the ground states of $3Q$ charge density waves. These orders may lead to unconventional phenomena, such as the Chern insulators with quantized intrinsic Hall conductivity [28,29]. To examine the ground state properties, we consider the mean-field model of $3Q$ complex orders and analyze the according band structures. Since the charge density waves break the 1-site translation symmetries, the system exhibits 2×2 enlarged unit cells. The nesting momenta \mathbf{Q}_α serve as the new reciprocal lattice vectors, which connect the new reciprocal lattice sites \mathbf{M}_α and Γ . In the $1/2 \times 1/2$ reduced Brillouin zone [Fig. 2(e)], the mean-field Hamiltonian takes a

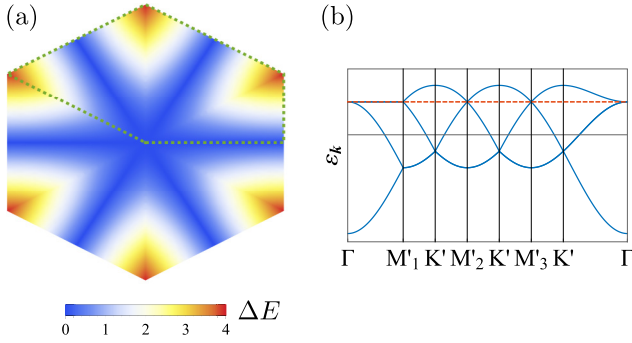


FIG. 5. Fermiology in the absence of charge density waves. (a) The band gap at the Fermi level and (b) the band structure along the indicated path (green dashed polygon) in the reduced Brillouin zone. The Fermi surface is composed of three lines connecting opposite $\pm\mathbf{M}'_\alpha$. These lines cross at the zone center Γ and form a topological quadratic band crossing.

four-band form

$$\mathcal{H}_{\mathbf{k}} = \begin{pmatrix} \varepsilon_{\mathbf{M}_1, \mathbf{k}} & -\Delta_{3, \mathbf{M}_1, \mathbf{k}} & -\Delta_{2, \mathbf{M}_1, \mathbf{k}} & -\Delta_{1, \mathbf{M}_1, \mathbf{k}} \\ -\Delta_{3, \mathbf{M}_2, \mathbf{k}} & \varepsilon_{\mathbf{M}_2, \mathbf{k}} & -\Delta_{1, \mathbf{M}_2, \mathbf{k}} & -\Delta_{2, \mathbf{M}_2, \mathbf{k}} \\ -\Delta_{2, \mathbf{M}_3, \mathbf{k}} & -\Delta_{1, \mathbf{M}_3, \mathbf{k}} & \varepsilon_{\mathbf{M}_3, \mathbf{k}} & -\Delta_{3, \mathbf{M}_3, \mathbf{k}} \\ -\Delta_{1, \Gamma, \mathbf{k}} & -\Delta_{2, \Gamma, \mathbf{k}} & -\Delta_{3, \Gamma, \mathbf{k}} & \varepsilon_{\Gamma, \mathbf{k}} \end{pmatrix}. \quad (11)$$

The reciprocal lattice sites with dispersion energies $\varepsilon_{\mathbf{p}, \mathbf{k}} = \varepsilon_{\mathbf{k}-\mathbf{p}}$ are coupled by the charge density waves $\Delta_{\alpha, \mathbf{p}, \mathbf{k}} = \Delta_{\alpha, \mathbf{k}-\mathbf{p}} = |\Delta_\alpha|(\cos \phi_\alpha f_{\alpha, \mathbf{k}-\mathbf{p}}^{s, d_R} + i \sin \phi_\alpha f_{\alpha, \mathbf{k}-\mathbf{p}}^{d_l})$.

In the absence of charge density waves, the Fermi surface is composed of three straight lines connecting opposite zone edge centers $\pm\mathbf{M}'_\alpha = \pm\mathbf{M}_\alpha/2$ (Fig. 5). These Fermi lines cross at the zone center Γ and lead to a triply degenerate quadratic band crossing. Importantly, the quadratic band crossing inherits the d -wave structure of saddle points and manifest topological $\pm 2\pi$ phase winding [49,50]. Such a topological band crossing is protected by the time-reversal and C_6 rotation symmetries. The charge density waves may break the symmetries and gap the topological band crossing [28,29,50]. The resulting bands can inherit the nontrivial $\pm 2\pi$ phase winding, thereby forming topologically nontrivial states. On the other hand, the doubly degenerate band crossings at \mathbf{M}'_α are protected by the symmetries of 1-site translations at $\mathbf{a}_{\beta \neq \alpha}$, inversion C_2 , and time reversal [28]. Although C_2 symmetry remains present under the charge density waves, the band crossings can be gapped by the breakdown of 1-site translation and time-reversal symmetries. Fully gapped insulators can appear from the gapping of these band crossings.

In addition to the analysis of band structures, we also map out the site, bond, and current density modulations on the hexagonal lattices. The Fourier transform of charge density waves

$$\langle \psi_{\mathbf{r}}^\dagger \psi_{\mathbf{r}'} \rangle = \sum_{\gamma > \beta > \alpha} \{ \Delta_\alpha e^{i(-\mathbf{M}_\gamma \cdot \mathbf{r} + \mathbf{M}_\beta \cdot \mathbf{r}')} + \bar{\Delta}_\alpha e^{i(-\mathbf{M}_\beta \cdot \mathbf{r} + \mathbf{M}_\gamma \cdot \mathbf{r}')}\} \\ \pm \Delta_\alpha^R [e^{i(-\mathbf{M}_\alpha \cdot \mathbf{r} + \Gamma \cdot \mathbf{r}')} + e^{i(-\Gamma \cdot \mathbf{r} + \mathbf{M}_\alpha \cdot \mathbf{r}')}] \quad (12)$$

gives the densities of site $\rho_{\mathbf{r}} = \text{Re}[\langle \psi_{\mathbf{r}}^\dagger \psi_{\mathbf{r}} \rangle]$, bond $\rho_{\mathbf{r}\mathbf{r}'} = \text{Re}[\langle \psi_{\mathbf{r}}^\dagger \psi_{\mathbf{r}'} \rangle]$, and current $j_{\mathbf{r}\mathbf{r}'} = \text{Im}[\langle \psi_{\mathbf{r}}^\dagger \psi_{\mathbf{r}'} \rangle]$. For the honey-

comb and kagome lattices with multiple bands, the evaluation involves a projection from the band eigenstate to the sublattice sites. Importantly, there is an asymmetry between the hole and particle band eigenstates on the kagome lattice. Each saddle point receives the contributions from two sublattice sites on the hole band, while the remaining sublattice site is involved singly on the particle band [51]. The real-space pattern can indicate the residual symmetries under the charge density waves, which serves as an important complement to the determination of band properties.

We now examine whether the nontrivial band topology occurs in the potential ground states, thereby uncovering the phase diagram of $3Q$ complex charge density waves.

A. Trivial insulator from real order

When the orders at all three momenta are real [Fig. 6(a)], the system preserves both the time-reversal and C_6 rotation symmetries. This can be observed from the real-space patterns of density modulations, where a density order $(3, -1, -1, -1)$ is present in the 2×2 enlarged unit cell. For the triangular lattice, the s - and d_R -wave orders manifest the site and bond density modulations, respectively [28]. The realistic orders may be closer to the combined $(s + d_R)$ -wave order, where the pairings between the saddle points $\langle \psi_\gamma^\dagger \psi_\beta \rangle$ lead to the equally mixed site and bond density modulations. According to the secondary cubic anisotropy in the free energy, a secondary imbalance between these two orders is energetically favored. For the honeycomb lattice and the hole band on the kagome lattice, the $(s + d_R)$ -wave order also shows an equally mixed site and bond density modulations from the pairings between the saddle points $\langle \psi_\gamma^\dagger \psi_\beta \rangle$. Under the imbalance between the s - and d_R -wave orders, the finite condensates $\langle \psi_\alpha^\dagger \psi_0 \rangle^{(*)}$ lead to secondary modulations. Similar results are observed on the particle band on the kagome lattice. However, the site density modulation is absent, which results from the single-sublattice-site structure of band eigenstate at the saddle points. Note that the bond density modulation shows the ‘inverse star-of-David’ pattern.

With both time-reversal and C_6 rotation symmetries, the topological quadratic band crossing remains stable at the zone center Γ . However, the degenerate triplet is split into a singlet and a degenerate doublet, where the latter hosts the protected quadratic band crossing [28]. Whether the doublet sits at the Fermi level determines the gap opening. We find that the energetically favored ground states are those with the Fermi level lying between the doublet and the singlet. The gap is opened in the whole reduced Brillouin zone, leading to a trivial insulator with zero Chern number $C = 0$. Note that the results are consistent with the maximization of ordering energy, which is equivalent to the maximization of gap structure. For the hole bands, the fully gapped states are the energetically favored ground states under the total phase condition $\phi_1 + \phi_2 + \phi_3 = (2n + 1)\pi$, such as $(0, 0, \pi)$ and (π, π, π) . Meanwhile, the energetically unfavored states with $\phi_1 + \phi_2 + \phi_3 = 2n\pi$, including $(0, 0, 0)$ and $(0, \pi, \pi)$, exhibit a Fermi-level cut across the quadratic band crossing. The gap structure and energetic hierarchy are interchanged on the particle bands. The latter states become fully gapped and energetically favored, while the former states are gapless and energetically unfavored.

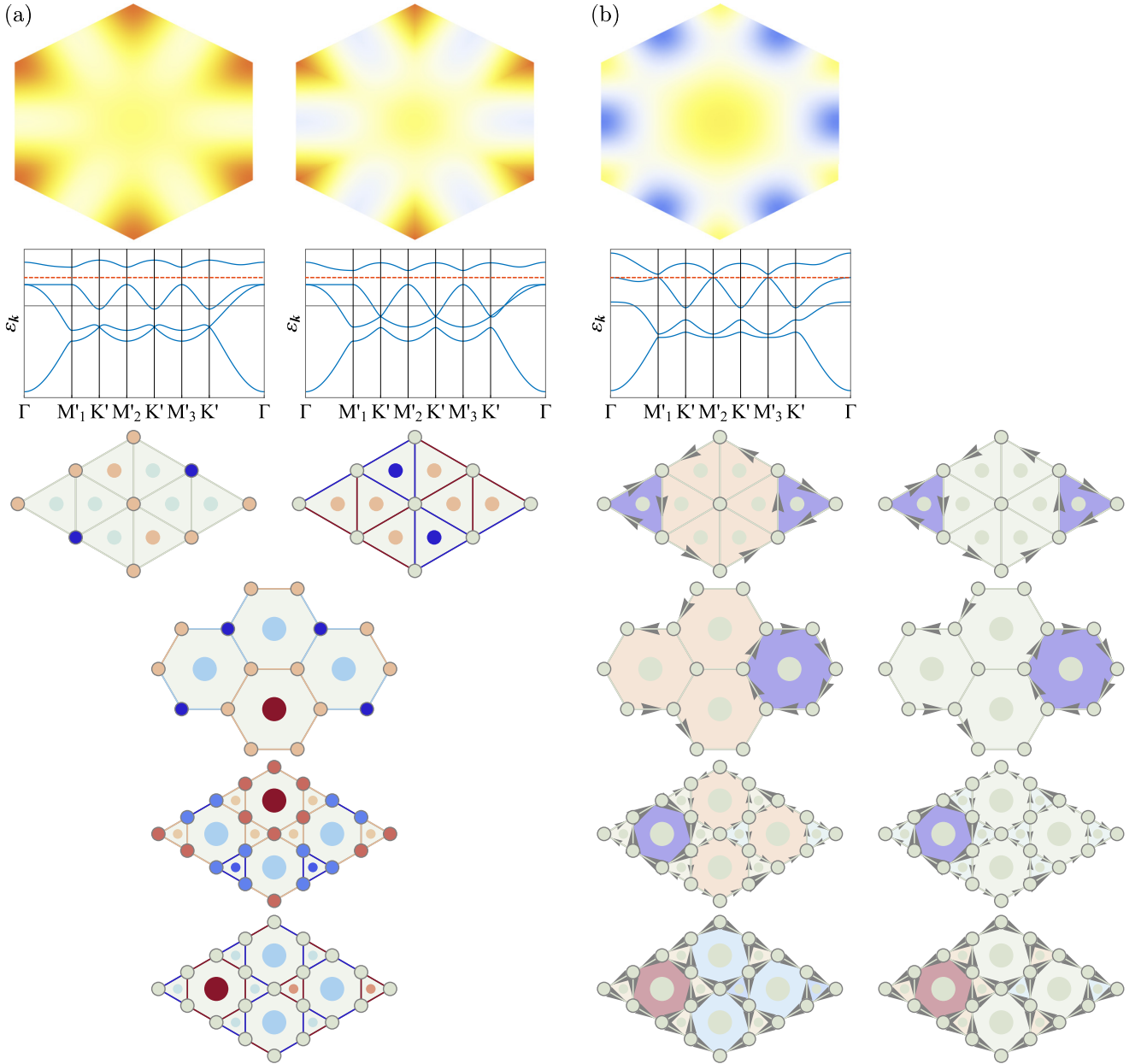


FIG. 6. The (a) trivial and (b) Chern insulator ground states from the $3Q$ real $(0, 0, \pi)$ $[(0,0,0)]$ for the particle band on the kagome lattice] and imaginary $(\pi/2, \pi/2, \pi/2)$ charge density waves, respectively. The first row illustrates the band gaps at the Fermi level in the mean-field Hamiltonian, where the colorbar is the same as in Fig. 5(a). The second row presents the according band structures. The rest of the rows illustrate the patterns on the triangular and honeycomb lattices, as well as the kagome lattice at the (up) hole and (down) particle dopings. (a) For the trivial insulator, we present the (left) s - and (right) d_R -wave real orders on the triangular lattice, while the $(s + d_R)$ -wave order is presented on the honeycomb and kagome lattices. (b) For the Chern insulator, we present the (left) staggered and (right) chiral flux orders. The site and bond densities are indicated by the colors, where the positive and negative values correspond to red and blue, respectively. The triangle and hexagon densities are summed over the surrounding sites and bonds and indicated by the center dots. The current strength is indicated by the arrow size. The flux in each triangle or hexagon is summed over the surrounding bond currents and indicated by the background.

B. Chern insulator from imaginary order

For the charge density waves with imaginary orders at all three momenta $(\pm\pi/2, \pm\pi/2, \pm\pi/2)$ [Fig. 6(b)], the staggered/loop currents are induced on the lattice. These currents are related to the intrinsic staggered fluxes in the triangles and hexagons, where a flux order $(3, -1, -1, -1)$ is manifest in the 2×2 enlarged unit cell [28,29]. While the C_6

rotation symmetry is preserved, the time-reversal symmetry is broken spontaneously. This indicates the gap of topological quadratic band crossing at the zone center Γ . The Fermi surface is fully gapped under the $3Q$ order, where the bands inherit the nontrivial $\pm 2\pi$ phase winding of the quadratic band crossing. The ground state thus manifests a Chern insulator with nonzero Chern number $C = \pm 1$ [28,29].

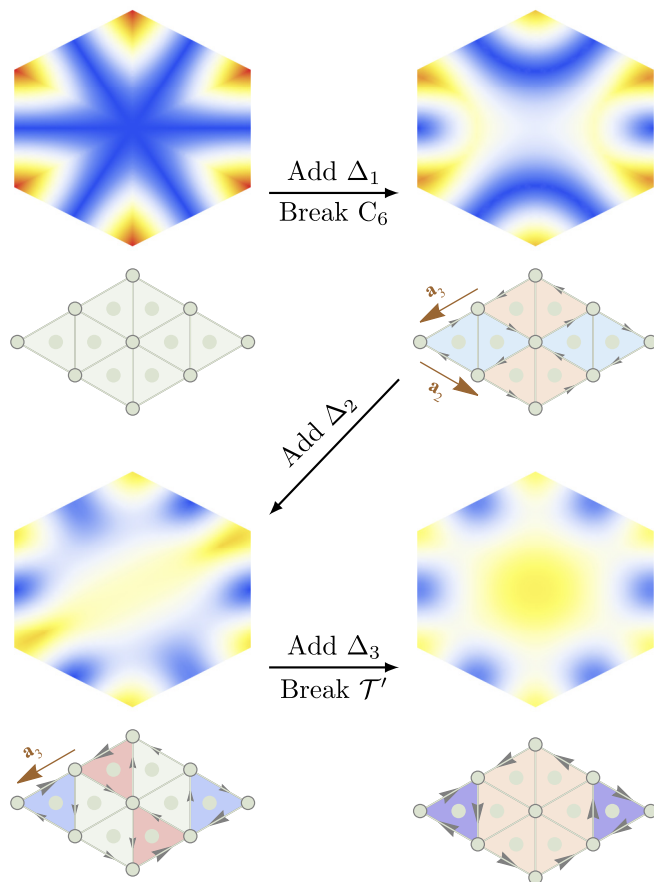


FIG. 7. The formation of Chern insulator can be understood from a step-by-step construction of $3Q$ imaginary order. The energy gap at the Fermi level in the reduced Brillouin zone and the real-space current pattern are illustrated in each step. The colorbar for the energy gap is the same as in Fig. 5(a). The side arrows in $1Q$ and $2Q$ orders indicate the available 1-site translations that support the effective time-reversal symmetries \mathcal{T}' .

To acquire more information on how the Chern insulator forms, we investigate how the gap structure evolves under the development of each order (Fig. 7) [29]. The breakdown of symmetries plays an important role in this procedure. When the order develops at a single momentum \mathbf{Q}_α and breaks the C_6 rotation symmetry, the $1Q$ order gaps the topological quadratic band crossing at the zone center Γ . The Fermi surface exhibits a Dirac point at \mathbf{M}'_α , as well as a curved nodal line connecting the other two $\mathbf{M}'_{\beta \neq \alpha}$. These nodal structures inherit the $\pm 2\pi$ phase winding of the quadratic band crossing. Time-reversal symmetry is broken by the currents on the lattice. Nevertheless, effective time-reversal symmetries arise by combining 1-site translations at $\mathbf{a}_{\beta \neq \alpha}$. Each effective time-reversal symmetry at $\mathbf{a}_{\beta \neq \alpha}$ protects the band crossings at two edge centers $\mathbf{M}'_{\gamma \neq \beta}$. As a second order occurs at a momentum $\mathbf{Q}_{\beta \neq \alpha}$, the effective time-reversal symmetry at $\mathbf{a}_{\gamma \neq \alpha, \beta}$ is still present. The $2Q$ order gaps the nodal line into a Dirac point at \mathbf{M}'_β , leaving a pair of Dirac points at $\mathbf{M}'_{\alpha, \beta}$ on the Fermi surface. The third order at $\mathbf{Q}_{\gamma \neq \alpha, \beta}$ gaps the pair of Dirac points by breaking the effective time-reversal symmetry. Despite the restoration of C_6 rotation symmetry, the topological quadratic

band crossing at the zone center Γ remains gapped. The $3Q$ order thus turns the system into a Chern insulator with $C = \pm 1$ as in the Haldane model [52].

We note that the topological nature of the $3Q$ imaginary order may be interpreted more naturally with an alternative chiral flux order [Fig. 6(b)]. The physical order in the imaginary order is the current order, which is unique for each choice of $3Q$ order. According to the pattern of current modulations, the flux order may be assigned as an auxiliary order in the state. The staggered flux orders are demanded in the $1Q$ and $2Q$ orders due to the effective time-reversal symmetry. Meanwhile, the absence of such a symmetry in the $3Q$ orders allows more freedom in choosing the flux orders. Although the $3Q$ imaginary orders inherit the staggered flux orders $(3, -1, -1, -1)$ from the $1Q$ orders, the chiral flux orders with intrinsic dilute fluxes $(1, 0, 0, 0)$ may serve as more natural choices. These orders manifest an intrinsic flux in only one triangle or hexagon in each 2×2 enlarged unit cell. A unit anomalous Hall conductivity is induced accordingly, corresponding to the Chern number $C = \pm 1$. The chiral flux orders also indicate the possibility of switching with external magnetic fields, which reflects the chiral nature of the states.

C. Semimetals in between

We have identified the trivial and Chern insulators as the ground states of real and imaginary orders. A natural expectation is that the gapless states should also occur in the phase diagram, which serve as the critical states between the two gapped phases. Such gapless states may occur, for example, at $(m\pi, \pm\pi/2, \pm\pi/2)$ with $m \in \mathbb{Z}$ [Fig. 8(a)]. The real-space pattern indicates the presence of an effective time-reversal symmetry under a 1-site translation at \mathbf{a}_α , similar to the $2Q$ imaginary orders (Fig. 7). This protects a pair of Dirac points at $\mathbf{M}'_{\beta \neq \alpha}$ and leads to a Dirac semimetal. The effective time-reversal symmetry is broken away from this critical point $(m\pi + \delta\phi, \pm\pi/2 - \delta\phi, \pm\pi/2)$ with $\delta\phi \neq 0$. Remarkably, one of the Dirac points becomes gapped, leaving only one Dirac point at the Fermi level [Fig. 8(b)]. This exotic single-Dirac-point semimetal breaks the fermion doubling theorem, similar to the two-dimensional surfaces of three-dimensional topological insulators [53]. The possibility of realizing a single Dirac point between the trivial and Chern insulators has already been anticipated in the Haldane model [52]. When an effective time-reversal symmetry occurs at $\delta\phi = \pm\pi/2$, a new Dirac point appears and leads to a new Dirac semimetal. These semimetallic states constitute the gapless phase boundary between the trivial and Chern insulators in the phase diagram.

D. Phase diagram

Having analyzed the ground state properties at specific points, we now map out the phase diagram of the $3Q$ complex charge density waves. We determine the phase diagram under the total phase condition by computing the Chern number (Fig. 1) [54]. When the real and imaginary orders are balanced at $\bar{\delta}_R = 1$, the ground states are the trivial insulators from the $3Q$ real orders $(m_1\pi, m_2\pi, m_3\pi)$ with $m_{1,2,3} \in \mathbb{Z}$. As the system becomes imbalanced with decreasing $\bar{\delta}_R < 1$,

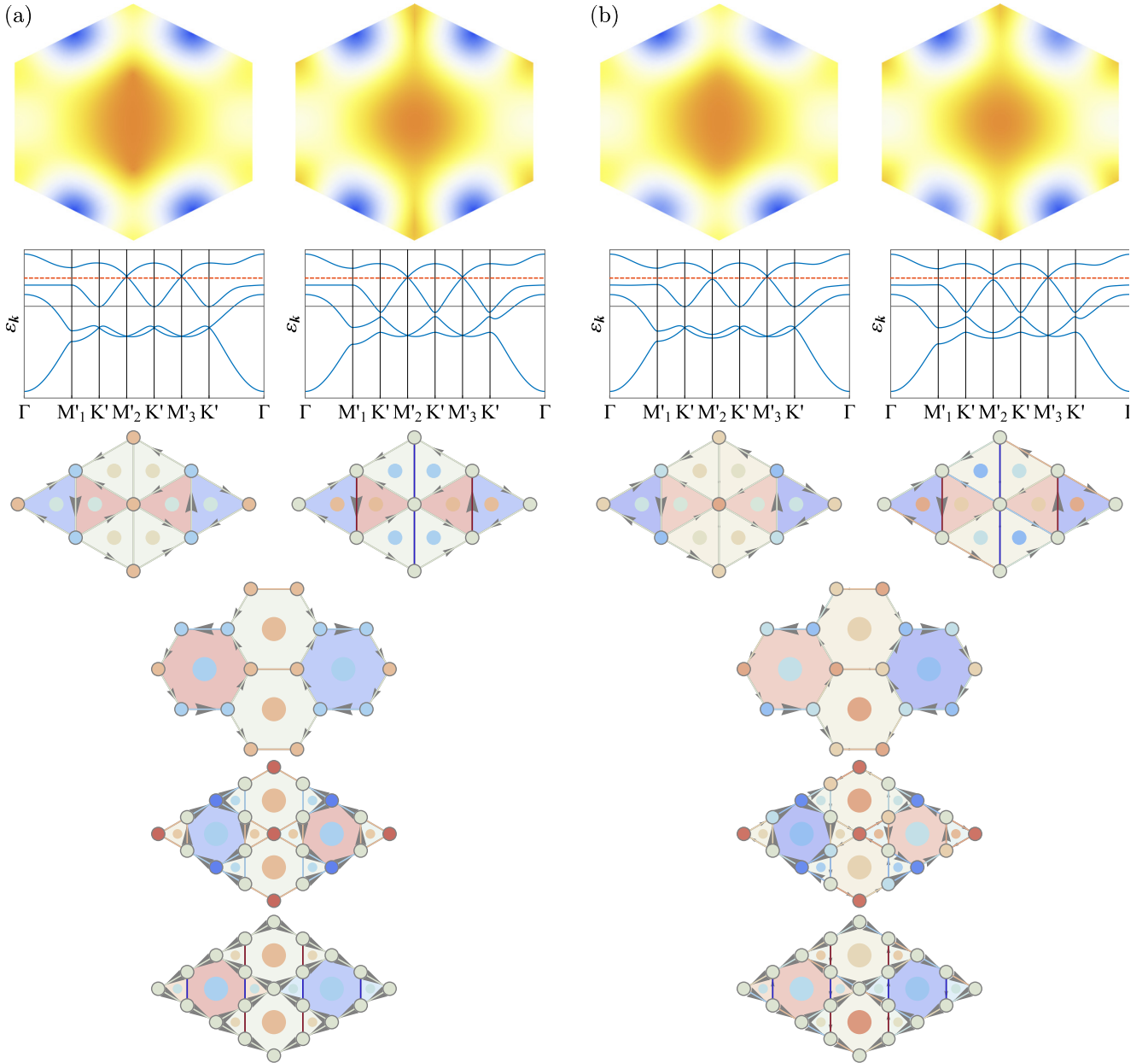


FIG. 8. The semimetallic ground states of $3Q$ complex charge density waves. (a) Dirac semimetal from the complex orders at $(0, \pi/2, \pi/2)$ and $(\pi, \pi/2, \pi/2)$ on the hole and particle bands, respectively. (b) Single-Dirac-point semimetal from the complex order. When the real order is s wave, we choose $(\pi[20/180], \pi[70/180], \pi[90/180])$ and $(\pi[200/180], \pi[70/180], \pi[90/180])$ on the hole and particle bands, respectively. In the case where the real order is d_R wave, we choose $(\pi[20 - \delta/180], \pi[70/180], \pi[90 + \delta/180])$ with $\delta = 3.766$ on the triangular lattice. The patterns on the hole bands of the other lattices are also shown at this point, although the gapless points δ are slightly different on different lattices. Similar setup is chosen for the particle band on the kagome lattice with $\delta = 8.6241$. The layout of the figures are the same as in Fig. 6(a).

the ground state remains in the trivial insulator phase until reaching the phase boundary. In the case where the real order is s wave, the phase boundary line at $\bar{\delta}_R^c = 1/\sqrt{3}$ is defined by the phase condition $\phi_\alpha = \pm\pi/2$ for a single α . When the real order is d_R wave, the phase boundary becomes slightly curved. The Dirac semimetals develop at $(m\pi, \pm\pi/2, \pm\pi/2)$, where an effective time-reversal symmetry at \mathbf{a}_α protects a pair of Dirac points at $\mathbf{M}'_{\beta \neq \alpha}$. The breakdown of this symmetry leads to the single-Dirac-point semimetals along the rest of the phase boundary. The further decrease of $\bar{\delta}_R$ gaps the system

into the Chern insulator with nonzero Chern number $C = \pm 1$. The minima $\bar{\delta}_R^{\min} = 1/2$ under the total phase condition occur within the Chern insulator phases at $(m_1\pi \pm \pi/3, m_2\pi \pm \pi/3, m_3\pi \pm \pi/3)$ with $m_{1,2,3} \in \mathbb{Z}$. As $\bar{\delta}_R$ decreases further toward $\bar{\delta}_R = 0$, the ground state remains in the Chern insulator phase and evolves continuously to the $3Q$ imaginary orders $(\pm\pi/2, \pm\pi/2, \pm\pi/2)$.

The $3Q$ complex charge density waves constitute a phase diagram reminiscent of the one in the Haldane model [52,53,55]. A major difference lies in the manifestations of

symmetries. In the Haldane model, the pair of Dirac points at $\pm\mathbf{K}$ are related and protected by the inversion and time-reversal symmetries. When these symmetries are broken, the gaps of Dirac points lead to the trivial and Chern insulators. In the $3Q$ complex charge density waves, the pair of Dirac points at $\mathbf{M}'_{\beta \neq \alpha}$ are protected by the symmetries of inversion and effective time reversal at \mathbf{a}_α . However, the inversion symmetry now connects the equivalent points $\pm\mathbf{M}'_\alpha$ and persists under the $3Q$ complex orders. The gaps of Dirac points are controlled only by the effective time-reversal symmetry breaking. Nevertheless, the resulting gapped states still manifest both the trivial and Chern insulators. Such a Haldane-model phase diagram may offer useful information to the experimentally observed charge orders on the hexagonal lattices.

V. TOPOLOGICAL CHARGE DENSITY WAVES IN KAGOME METALS

Recent experiments on the kagome metals AV_3Sb_5 with $A = \text{K, Rb, Cs}$ have uncovered the relevance of topological charge density waves on the V kagome lattices [33–43]. These states develop at 80–110 K far above the superconductivity at 0.9–2.7 K, with the charge modulations occurring at the three nesting momenta \mathbf{Q}_α . The observed giant anomalous Hall effect suggests that the charge density waves may break the time-reversal symmetry spontaneously and become topological [34,38]. Given the proximity of Fermi surface to the Van Hove singularity and the commensurate momenta, we propose that the topological charge density waves are realized by the $3Q$ complex orders in our theoretical model.

The Fermi surface of AV_3Sb_5 lies on the particle bands on the V kagome lattices. With the total phase condition demanded, the complex orders at the three momenta carry unequal phases generally. This suggests the general breakdown of rotation symmetry in the $3Q$ complex charge density waves in AV_3Sb_5 . An experiment on KV_3Sb_5 uncovers the charge modulations with the ratios of strengths $\approx(3.1, 0.9, 3.8)$ at the three momenta, which is reversed under opposite external magnetic field [37]. Choosing the complex phases $(\pi[56/180], \pi[252/180], \pi[52/180])$ for the $3Q$ order, we obtain the magnitudes of real orders at the three momenta $(\cos^2 \phi_1, \cos^2 \phi_2, \cos^2 \phi_3) = (0.313, 0.095, 0.379)$. This result is extremely close to the experimentally observed ratios. Furthermore, the real-space star-of-David pattern leads to a hexagon density modulation which matches the measured results in the experiment [Fig. 9(a)]. Importantly, the state lies in the Chern insulator phase of the $3Q$ complex charge density waves. This explains naturally how the giant anomalous Hall effects occur in the experimental measurements [34,38]. Note that the gap structure may be affected by the deviations from the ideal Van Hove fermiology. For example, residual Fermi surfaces may appear around the valleys \mathbf{M}'_α under finite doping or nonperfect nesting. In the multiband structure of AV_3Sb_5 , the normal bands immune to charge density waves may also contribute to the residual Fermi surfaces. These effects may explain the (nearly) gapless signals in the momentum-dependent gaps, as well as the nonquantized parts in the measured anomalous Hall effects.

In addition to the $3Q$ charge density waves at \mathbf{M}_α , recent experiments on CsV_3Sb_5 also observe a $1Q$ charge density

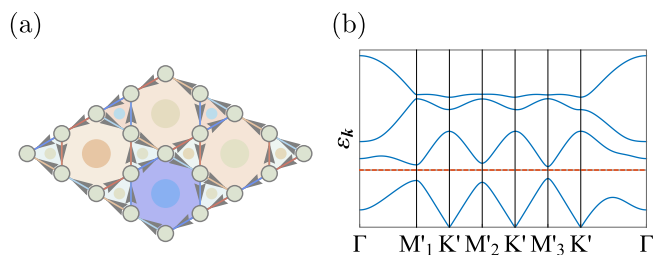


FIG. 9. The proposed interpretation to the experimental results in the kagome metals AV_3Sb_5 . (a) The real-space pattern of $3Q$ complex charge density wave at $(\pi[56/180], \pi[252/180], \pi[52/180])$. The bond density modulation shows a star-of-David pattern, and the according hexagon density pattern may be matched with the experimental result of KV_3Sb_5 [37]. (b) The band structure of complex charge density wave at $(\pi[60/180], \pi[231/180], \pi[69/180])$, which is assigned based on the experimental result of CsV_3Sb_5 [39]. We observe the hierarchy of anisotropic valley gaps $\Delta\varepsilon_{\mathbf{M}'_3} < \Delta\varepsilon_{\mathbf{M}'_1} < \Delta\varepsilon_{\mathbf{M}'_2}$ with similar differences $\Delta\varepsilon_{\mathbf{M}'_1} - \Delta\varepsilon_{\mathbf{M}'_3} \gtrsim \Delta\varepsilon_{\mathbf{M}'_2} - \Delta\varepsilon_{\mathbf{M}'_1}$.

wave at a half momentum \mathbf{M}'_3 and a rotation symmetry breaking along the same direction [39,42]. Here we propose an explanation also based on the theoretical model of $3Q$ complex charge density waves. Adopting the experimental ratios of strengths in the charge modulations $\approx(2, 3, 1)$ [39], we assign the complex phases $(\pi[60/180], \pi[231/180], \pi[69/180])$ to the $3Q$ order. Three valleys with anisotropic gaps $\Delta\varepsilon_{\mathbf{M}'_3} < \Delta\varepsilon_{\mathbf{M}'_1} < \Delta\varepsilon_{\mathbf{M}'_2}$ are observed near the Fermi level [Fig. 9(b)], where residual Fermi surfaces may appear under finite doping or nonperfect nesting. The low-energy valleys may support secondary orders at low temperatures. If a secondary nematic order forms at the minimal-gap valley \mathbf{M}'_3 , rotation symmetry breaking occurs along this direction. An intervalley charge density wave may develop between the rest two valleys $\mathbf{M}'_{1,2}$ and manifest a $1Q$ density modulation at the momentum \mathbf{M}'_3 . These valleys may also support the pair density waves at the three $3\mathbf{M}_\alpha/4$, which were recently proposed based on the experiments [42]. While we have chosen the state in the Chern insulator phase, the actual phases in the experiments can be examined by the measurement of anomalous Hall effect.

VI. DISCUSSION

We have studied the interplay of real and imaginary charge density waves at the Van Hove singularity on the hexagonal lattices. The $3Q$ complex orders constitute a rich Haldane-model phase diagram under a total phase condition, where rotation symmetry breaking occurs generally. When effective time-reversal symmetries arise under 1-site translations, the Dirac semimetals are protected. The breakdown of these symmetries gaps the Dirac points and leads to the trivial and Chern insulator phases. The exotic single-Dirac-point semimetals also appear along the gapless phase boundary. The theoretical model offers transparent interpretations to the experimentally observed topological charge density waves in the kagome metals AV_3Sb_5 with $A = \text{K, Rb, Cs}$, as well as the lower-temperature symmetry-breaking phenomena. Future experimental results may also find useful hints from the theoretical model in our analysis. Feasible experimental

probes of complex charge density waves include the real-space microscopy and transport measurement. In addition to the solid-state materials, the ultracold atomic systems have also served as fertile grounds for the topological phases [55]. The realization of tunable $3Q$ complex charge density waves may serve as an exciting direction for experimental investigations.

Our analysis has focused on the irreducible pairing channels under the symmetries, while strong deviations may occur away from the Fermi surface. We have addressed this issue briefly and obtained the qualitative results by considering the mixing between different real orders. Meanwhile, the finite doping or nonperfect nesting may also alter the gap structures. Further analysis in the practical systems can offer more precise predictions in the gap structures and real-space patterns, which is an interesting topic for future work. On the other hand, later analysis uncovers the possible occurrence of higher-order topological insulators in the $3Q$ charge bond orders [56]. Inspecting such an unconventional state in the Haldane-model phase diagram is an interesting topic for future work.

Our work presents a complete framework of charge density waves at the M -point Van Hove singularity on the hexagonal lattices. While the model has presented transparent interpretations to the kagome metals AV_3Sb_5 , the application to the other hexagonal lattice materials, such as the moiré systems [29], may also uncover intriguing phenomena. Note that the charge density waves may become incommensurate when the saddle points are shifted away from the M points. The deformation of bands under such deviation may lead to nonperfect nesting and according residual Fermi surfaces. Meanwhile, the two decoupled channels both become complex [29], where the sliding phases may contribute to additional transport signals. The investigations along this direction may serve as an important topic for future work.

Our work also serves as a paradigmatic example of how the real and imaginary orders may interplay generally. The analysis herein may be generalized to the study of the other

channels. The spin density waves at the Van Hove singularity on the hexagonal lattices may serve as an interesting example [30]. While the real orders realize the chiral noncoplanar Chern insulator [8] and uniaxial half metal [3], the imaginary order may support a quantum spin Hall insulator [30]. The interplay between these topological states can lead to an unconventional phase diagram of complex spin density waves. Such an analysis also presents an interesting topic for future work.

Note added. Recently, we learned about the independent mean-field studies on the charge density waves in the kagome metals AV_3Sb_5 . A manuscript evaluated the mean-field ground state energies of various density, bond, and flux orders with mixed real and imaginary orders [57]. Our analysis treats the real and imaginary orders as decoupled channels properly, thereby providing a more complete investigation of the interplay between these two orders. The actual energetically favored ground states are determined accordingly. Another manuscript conducted a Ginzburg-Landau analysis for another type of complex charge density wave [58]. The real and imaginary orders therein differ from the M -point irreducible pairing channels discussed in our analysis. Meanwhile, our work presents a detailed analysis of the phase diagram and according ground states. A matching to the experimental results is further presented in our work.

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