

**SO<sub>4</sub><sup>\*</sup> complex in CdTe: Infrared absorption spectroscopy and first-principles calculations**F. Herklotz<sup>\*</sup> and E. V. Lavrov*Technische Universität Dresden, 01062 Dresden, Germany*Vladlen V. Melnikov<sup>✉</sup>*Tomsk State University, 634050 Tomsk, Russia*

(Received 11 May 2021; accepted 8 July 2021; published 19 July 2021)

A sulfur-oxygen complex in CdTe characterized by IR absorption lines at 1097 and 1108 cm<sup>-1</sup> is studied by means of infrared absorption spectroscopy and first principles theory. Temperature-sensitive measurements performed on samples with different <sup>16</sup>O:<sup>18</sup>O isotope ratios show that these lines are due to a split  $\nu_3$  stretch vibrational mode of a disturbed sulfate ion (SO<sub>4</sub><sup>2-</sup>) transformed under the  $A_1$  (1097 cm<sup>-1</sup>) and  $E$  (1108 cm<sup>-1</sup>) representations of the  $C_{3v}$  point group. The  $\nu_1$  symmetric stretch,  $\nu_4$  bend, and  $\nu_1 + \nu_3$  combination modes of the ion are also identified. An axially disturbed SO<sub>4</sub> located at the Cd vacancy site is proposed as a microscopic model of the complex.

DOI: [10.1103/PhysRevB.104.035204](https://doi.org/10.1103/PhysRevB.104.035204)**I. INTRODUCTION**

Over the last decades, CdTe has spurred an immense research interest as a key material for several high-efficiency applications including room temperature pixel X-ray,  $\gamma$ -ray,  $\alpha$ -particle, and  $\beta$ -particle detectors [1–3] as well as thin-film photovoltaics [4,5]. Impurities and their complexes with native defects play an important role in the performance of all semiconductor devices. Particular examples of relevance for the present study are oxygen and sulfur in CdTe thin-film solar cells.

Oxygen may be introduced into CdTe during multiple steps of the solar cell fabrication process, for instance, from an ambient during CdTe deposition, at the post-growth chloride “activation” process, in-diffused from CdS:O window layers, or from an oxygen-rich back contact. While its presence proved to be strongly beneficial for grain boundary nucleation and passivation as well as intermixing of CdTe with CdS window layers [6–8], oxygen-related defects may also have a detrimental influence as carrier recombination centers [9,10]. Sulfur, on the other hand, out-diffuses from CdS and adds to the well established phenomenon of Cd-S-Te intermixing at the CdS/CdTe interface. There is increasing evidence that an interaction of S and O impurities in this CdS<sub>x</sub>Te<sub>1-x</sub> interface region hinders the intermixing process [11–13]. The cumulative impact of both elements, oxygen and sulfur, on CdTe solar cell performance, however, is unclear without conclusive understanding of the fundamental properties of these impurities and their complexes in the CdTe host material.

The subject of the present work is a sulfur-oxygen complex in single-crystalline CdTe, hereafter labeled as SO<sub>n</sub><sup>\*</sup>, which results in a doublet feature of local vibrational modes (LVMs) at about 1097 and 1108 cm<sup>-1</sup> [14–16]. While the involvement of sulfur and oxygen in SO<sub>n</sub><sup>\*</sup> was convincingly evidenced by

the spectroscopic identification of weak <sup>34</sup>S isotope analogs of the main <sup>32</sup>S features [16] and in-growth oxygen doping experiments [14,15], the microscopic structure of the complex remains unidentified. The original model of a SO<sub>2</sub><sup>\*</sup> molecule [16] turned out to be not fully consistent with recent results of first principles calculations [17].

In this work, we present results of first principles calculations and IR absorption measurements on CdTe single crystals codoped with <sup>16</sup>O and <sup>18</sup>O isotopes. We will show that the vibrational spectrum of SO<sub>n</sub><sup>\*</sup> is consistent with an axially distorted tetragonal SO<sub>4</sub><sup>2-</sup> sulfate ion occupying the cadmium site of the CdTe host.

**II. METHODS****A. Experimental details**

For this study we used (100)-oriented CdTe single crystals grown via the traveling heater method at the Freiburger Materialforschungszentrum, Germany.

Fourier transform infrared (FTIR) absorbance spectra were recorded with a Bomem DA3.01 Fourier transform spectrometer equipped with a Globar light source, a KBr beam splitter, and a liquid nitrogen cooled mercury cadmium telluride (MCT) detector. The measurements were performed in a He exchange-gas cryostat, with the temperature of the samples stabilized within 1 K in the range of 7–180 K. The spectral resolution was 0.1–0.5 cm<sup>-1</sup>. Polarized light was produced by a wire grid polarizer with a KRS-5 substrate. Unless noted otherwise, measurements were taken at ~10 K with the wave vector  $\mathbf{k}$  of unpolarized light directed along the [100] axis of the CdTe samples.

In order to generate the defects of interest, the samples were subjected to one of the two following treatments. In the first, labeled as “CdSO<sub>4</sub> treatment,” the samples were sealed in quartz ampules filled with 16 mg CdSO<sub>4</sub> powder and 250 mbar argon gas, and subjected to thermal treatments at 850 °C for 60–315 min. Such treatments introduces SO<sub>n</sub><sup>\*</sup> in CdTe with

<sup>\*</sup>frank.herklotz1@tu-dresden.de

natural abundances of oxygen isotopes. In the second, labeled as “(CdS + O<sub>2</sub>) treatment,” samples were sealed in quartz ampules filled with ~3 mg CdS powder and 400 mbar oxygen gas, and subjected to thermal treatments at 850 °C for 1 h. For this doping procedure the <sup>16</sup>O:<sup>18</sup>O ratio could be varied by the different <sup>18</sup>O enrichment of the oxygen ambient.

### B. Computational details

The calculations of the local structure and vibrational properties of defects under consideration were carried out within the framework of the density functional theory (DFT) using the projector augmented wave (PAW) method [18] and the local density approximation (LDA) to the exchange-correlation energy functional as implemented in ABINIT code [19,20]. Under the theoretical approach chosen an estimated lattice constant of bulk CdTe with the zinc-blende crystal structure,  $a = 6.42 \text{ \AA}$ , is in good agreement with the corresponding experimental value of  $6.46 \text{ \AA}$  [21].

To construct the defect models a  $2a \times 2a \times 2a$  cubic supercell with the periodic boundary conditions initially comprising 32 formula units was employed. The necessary number of impurity atoms was added, and a Cd vacancy was created by removing an appropriate atom.

Series of high precision structure optimizations and subsequent calculations were performed using the  $2 \times 2 \times 2$  grid of  $k$  points and the energy cutoff of 40 hartree for the plane wave basis set. In doing so the energy cutoff for the fine FFT grid definition was set to 50 hartree. Corresponding phonon modes at the  $\Gamma$  point were calculated using the density-functional perturbation theory, [22–24] wherein all possible displacements of S and O atoms were taken into account.

### III. MICROSCOPIC MODEL AND CALCULATIONS

In the following sections we will give strong evidence that the  $\text{SO}_n^*$  complex is an axially distorted tetragonal  $\text{SO}_4^{2-}$  sulfate ion occupying the cadmium site of the regular CdTe lattice. The vibrational spectrum of a free  $\text{SO}_4^{2-}$  consists of four fundamental modes transformed under the  $A_1 + E + 2T_2$  irreducible representations of the  $T_d$  point group. The corresponding frequencies given by Herzberg are  $\nu_2(E) = 451 \text{ cm}^{-1}$ ,  $\nu_4(T_2) = 613 \text{ cm}^{-1}$ ,  $\nu_1(A_1) = 981 \text{ cm}^{-1}$ , and  $\nu_3(T_2) = 1104 \text{ cm}^{-1}$  [25]. The  $\nu_1$  and  $\nu_2$  modes are infrared inactive, whereas each of the triply degenerate  $\nu_3$  and  $\nu_4$  modes gives rise to a strong IR absorption band.

Note that the 1097 and 1108  $\text{cm}^{-1}$  IR absorption lines considered in Refs. [14–16] were referred to as  $\nu_1$  and  $\nu_2$ , respectively. These notations, however, do not correspond to those given by Herzberg for the  $\text{SO}_4^{2-}$  ion. To avoid confusion with the earlier works, we will relabel the 1097 and 1108  $\text{cm}^{-1}$  lines as  $\vartheta_1$  and  $\vartheta_2$ , respectively.

In order to identify possible structures of the  $\text{SO}_n^*$  complex we performed series of *ab initio* calculations for the CdTe crystal containing a  $\text{SO}_n$  moiety ( $n = 1, 2, 3, 4$ ) as an interstitial impurity, and a substitutional impurity located at the Cd vacancy site.

Validation of the defect structure was carried out in conjunction with available experimental data based on the local symmetry and vibrational modes of test complexes.

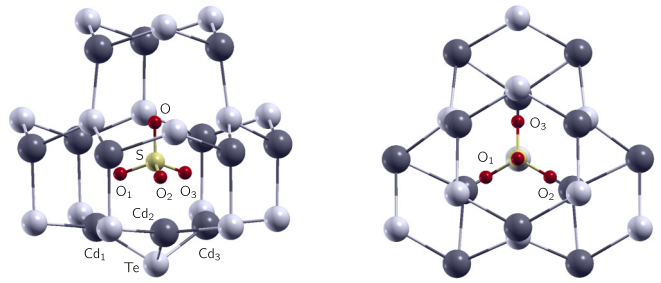


FIG. 1. Calculated structure of the  $\text{SO}_4\text{-V}_{\text{Cd}}$  complex in CdTe: left, general view; right, view along the  $\langle 111 \rangle$  direction. Cd, Te, S, and O atoms are light gray, dark gray, yellow, and red, respectively. The local symmetry of the defect is  $C_{3v}$ . The S–O bond is aligned along the  $\langle 111 \rangle$  direction. Each of the mirror  $\sigma_i$  planes ( $i = 1, 2, 3$ ) passes correspondingly through the O, S, O<sub>*i*</sub>, Cd<sub>*i*</sub>, and Te atoms labeled in the figure.

Among all trial structures, the only configuration consistent with the experiment was found to be the  $\text{SO}_4$  ion enclosed inside the Cd vacancy ( $\text{SO}_4\text{-V}_{\text{Cd}}$ ). We found that a  $T_d$  configuration in which the sulfur atom is positioned at the vacancy center and four identical S–O bonds are oriented along the corresponding  $\langle 111 \rangle$  directions is unstable. Instead, the  $\text{SO}_4\text{-V}_{\text{Cd}}$  complex possesses four equivalent equilibrium geometries of  $C_{3v}$  symmetry with the S atom being slightly shifted along one of the  $\langle 111 \rangle$  directions.

Figure 1 shows a calculated structure of the  $\text{SO}_4\text{-V}_{\text{Cd}}$  complex in CdTe. A general view and view along the  $\langle 111 \rangle$  direction are given. The S–O bond is aligned along the  $\langle 111 \rangle$  direction. Each of the mirror  $\sigma_i$  planes ( $i = 1, 2, 3$ ) passes correspondingly through the O, S, O<sub>*i*</sub>, Cd<sub>*i*</sub>, and Te atoms as labeled in the figure. The S atom is displaced from the vacancy center by about  $0.5 \text{ \AA}$  towards the next Te atom along  $\langle 111 \rangle$ . In turn, this Te atom leaves the corresponding  $\{111\}$  plane moving outside the vacancy approximately by  $1.3 \text{ \AA}$  (see labeled atoms in Fig. 1). Calculated S–O and S–O<sub>*i*</sub> bond lengths are about  $1.48$  and  $1.49 \text{ \AA}$ , respectively. The angle  $\angle \text{OSO}_i$  is  $108.7^\circ$ , i.e., close to the value of  $109.5^\circ$  corresponding to the tetrahedral molecular geometry. The distance between O<sub>*i*</sub> and Cd<sub>*i*</sub> atoms is about  $2.2 \text{ \AA}$ .

Reduction of the cubic symmetry of the  $\text{SO}_4^{2-}$  ion from  $T_d$  to  $C_{3v}$  results in the splitting of the  $T_2$  vibrational modes into a nondegenerate  $A_1$  and twofold degenerate  $E$  mode. The calculated values of the vibrational frequencies for the most abundant isotope configuration of  $\text{SO}_4\text{-V}_{\text{Cd}}$  are listed in Table I, wherein the  $A_1$  and  $E$  split modes of the two  $T_2$  representations are labeled as  $\nu'$  and  $\nu''$ , respectively. Experimental frequencies of the free tetrahedral sulfate ion are given for comparison. Taking into account that the  $\vartheta_2$  line at  $1108 \text{ cm}^{-1}$  is approximately twice as strong as the  $\vartheta_1$  one at  $1097 \text{ cm}^{-1}$  [14–16], these modes should be assigned to the  $E$  and  $A_1$  representations of the  $C_{3v}$  point group, respectively. Note that splitting of the  $\nu_3$  mode due to the reduced symmetry has been reported previously for sulfate ions trapped in various hosts (see, e.g., Ref. [26]).

It follows from the table that the calculated frequencies of the  $A_1$  ( $\nu'_3$ ) and  $E$  ( $\nu''_3$ ) modes as well as the magnitude and order of the  $A_1\text{-}E$  splitting of the  $\text{SO}_4\text{-V}_{\text{Cd}}$  complex are in good

TABLE I. Calculated vibrational modes (cm<sup>-1</sup>) of the SO<sub>4</sub>-V<sub>Cd</sub> complex in CdTe and those observed for free tetrahedral sulfate ion SO<sub>4</sub><sup>2-</sup> (see Ref. [25]).

SO <sub>4</sub> <sup>2-</sup>			SO <sub>4</sub> -V <sub>Cd</sub>		
451	$\nu_2$	<i>E</i>	418	$\nu_2$	<i>E</i>
613	$\nu_4$	<i>T</i> <sub>2</sub>	545	$\nu'_4$	<i>A</i> <sub>1</sub>
			568	$\nu''_4$	<i>E</i>
981	$\nu_1$	<i>A</i> <sub>1</sub>	940	$\nu_1$	<i>A</i> <sub>1</sub>
			1010	$\nu'_3$	<i>A</i> <sub>1</sub>
1104	$\nu_3$	<i>T</i> <sub>2</sub>	1014	$\nu''_3$	<i>E</i>

agreement with the experimental data. This finding supports the sulfate ion model of the SO<sub>n</sub>\* complex. In the following sections, we will present further experimental evidences for the validity of this model obtained from the spectral range of  $\nu_3$ ,  $\nu_1$ ,  $\nu_4$ , and combinational  $\nu_3 + \nu_1$  modes.

#### IV. EXPERIMENTAL RESULTS

##### A. The $\nu_3$ stretch modes

Figure 2 shows IR absorbance spectra obtained for (CdS + O<sub>2</sub>)-treated CdTe samples. The spectra are normalized to the absorbance integrated over the spectral range 1060–1110 cm<sup>-1</sup>. The top spectrum has been recorded on a sample treated with natural oxygen gas (0.2% of <sup>18</sup>O), whereas for the middle and bottom spectra the amount of <sup>18</sup>O in the oxygen ambient was 50 and 97%, respectively. All features seen in the figure were observed only as a result of the treatments. In the case of natural oxygen, the two previously reported modes  $\vartheta_1$  (1097 cm<sup>-1</sup>) and  $\vartheta_2$  (1108 cm<sup>-1</sup>) due to the SO<sub>n</sub>\* complex dominate the spectrum [14–16]. Weaker lines at about 1083 and 1094 cm<sup>-1</sup> result from isotopic <sup>34</sup>S counterparts of  $\vartheta_1$  and  $\vartheta_2$ , respectively [16].

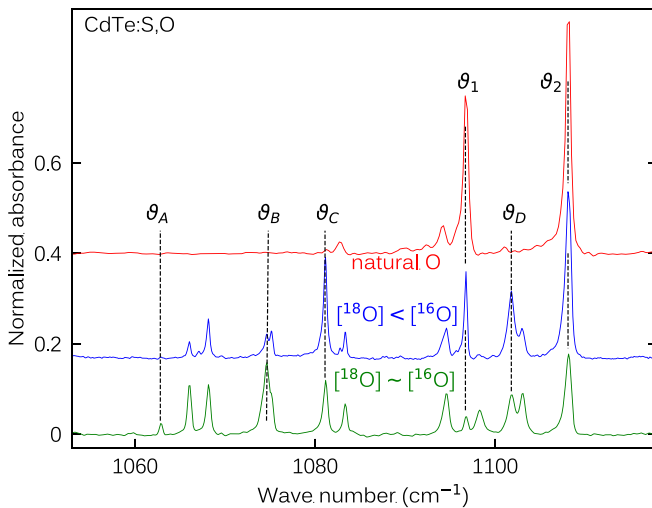


FIG. 2. Infrared absorption spectra obtained for (CdS + O<sub>2</sub>)-treated CdTe samples with different <sup>18</sup>O:<sup>16</sup>O ratios of the oxygen ambient: top, natural abundances; middle, <sup>18</sup>O:<sup>16</sup>O = 1 : 1; bottom, <sup>18</sup>O:<sup>16</sup>O = 97 : 3. Spectra are vertically offset for clarity and normalized to the integrated absorbance in the range 1060–1110 cm<sup>-1</sup>.

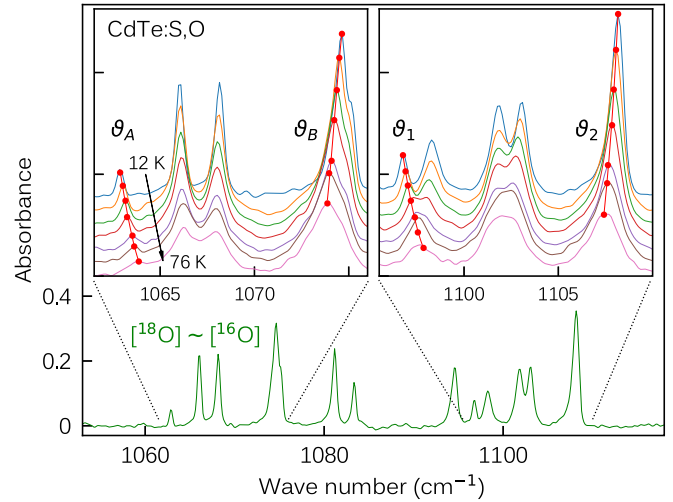


FIG. 3. Temperature-dependent IR absorption spectra recorded for a (CdS + O<sub>2</sub>)-treated CdTe sample annealed in the <sup>18</sup>O:<sup>16</sup>O = 97 : 3 ambient. Spectra given in the inserts are vertically offset for clarity.

As can be seen from the lower two spectra, the <sup>18</sup>O-enriched CdTe samples reveal a number of new IR absorption lines. Altogether, at least 13 different modes occur in the 1060–1110 cm<sup>-1</sup> range. Moreover, the different oxygen isotope ambients also result in distinct redistributions of the vibrational mode intensities. In particular, the intensity ratio of the  $\vartheta_1$  and  $\vartheta_2$  modes significantly decreases as the <sup>18</sup>O:<sup>16</sup>O ratio grows in. These results disagree with the sulfur-dioxygen model (SO<sub>2</sub>\*) proposed earlier for the defect under study [16], strongly indicating that the SO<sub>n</sub>\* complex includes more than two oxygen atoms.

We emphasize that whereas the isotopic <sup>18</sup>O:<sup>16</sup>O ratio of the gas ambient was varied for each of the (CdS + O<sub>2</sub>) treatments presented in the figure, the actual amount of <sup>18</sup>O introduced into the samples could not be controlled. It turned out that an unintentional source of natural oxygen—resulting, most likely, from outgassing during fusion sealing of the quartz ampules—enhanced the amount of <sup>16</sup>O in the gas ambient. As a result, the actual <sup>18</sup>O:<sup>16</sup>O ratio in the samples was significantly lower than expected. In fact, even the nominally pure <sup>18</sup>O ambient during the treatment resulted in only <sup>18</sup>O:<sup>16</sup>O = 1:1 ratio.

In order to unveil the complete vibrational spectrum of SO<sub>n</sub>\*, we first focus on the identification of IR absorption lines resulting from <sup>32</sup>S <sup>18</sup>O<sub>n</sub>\*. This complex should give rise to two distinct features “redshifted” relative to the  $\vartheta_1$  and  $\vartheta_2$  modes of its isotopic <sup>16</sup>O counterpart.

Figure 3 shows temperature dependent spectra of a (CdS + O<sub>2</sub>)-treated CdTe sample annealed in the <sup>18</sup>O:<sup>16</sup>O = 97 : 3 ambient. As can be seen from the right insert, the  $\vartheta_1$  and  $\vartheta_2$  modes due to <sup>32</sup>S <sup>16</sup>O<sub>n</sub>\* “blue” and “red” shift, respectively, with the temperature. Importantly, two lines at 1062.9 and 1074.6 cm<sup>-1</sup>, denoted as  $\vartheta_A$  and  $\vartheta_B$  (left insert), display a strikingly similar temperature dependence, both in sign and magnitude of the frequency shifts. The difference in frequency of these lines at ~10 K, 1074.6 – 1062.9 = 11.7 cm<sup>-1</sup>, is very close to that of the  $\vartheta_1$  and  $\vartheta_2$  modes, 1108.4–1096.8 =

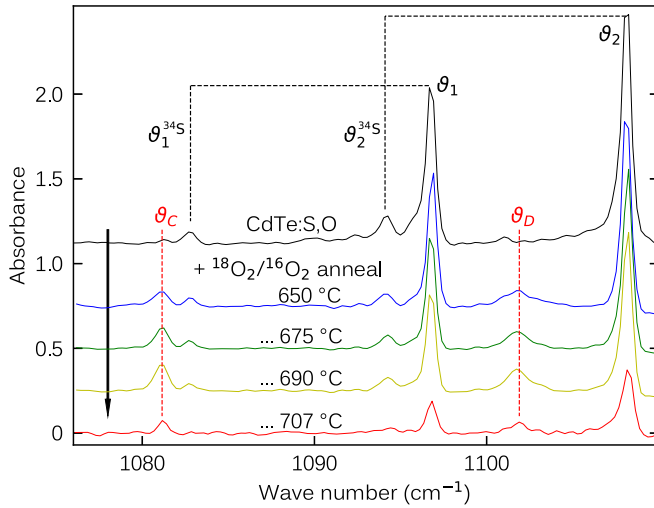


FIG. 4. IR absorption spectra obtained for a  $\text{CdSO}_4$ -treated CdTe sample which has been subsequently subjected to a series of thermal treatments (30 min) in  $^{18}\text{O}$ -enriched oxygen ambient. Spectra are vertically offset for clarity.

$11.6 \text{ cm}^{-1}$ . Moreover, the  $\vartheta_A$  mode has the lowest frequency of all features observed in the spectra and becomes visible only in the sample with the highest  $^{18}\text{O}$  content. Based on these observations, we attribute  $\vartheta_A$  and  $\vartheta_B$  to the  $^{32}\text{S}^{18}\text{O}_n^*$  counterparts of  $\vartheta_1$  and  $\vartheta_2$ .

We now turn to a  $\text{CdSO}_4$ -treated CdTe sample, with natural oxygen isotope abundances, subsequently subjected to a series of thermal treatments in  $^{18}\text{O}$ -enriched oxygen ambient. The results are shown in Fig. 4. The as-treated sample (upper spectrum) shows strong  $\vartheta_1$  and  $\vartheta_2$  signals together with their weaker isotopic  $^{34}\text{S}$  counterparts at about  $1083$  and  $1094 \text{ cm}^{-1}$ . No features due to  $^{18}\text{O}$  can be spotted. Subsequent annealing in oxygen atmosphere with equal content of  $^{18}\text{O}$  and  $^{16}\text{O}$  at  $650 \text{ }^\circ\text{C}$  for 30 min (blue spectrum), however, gives rise to two additional lines at  $1081 \text{ cm}^{-1}$  ( $\vartheta_C$ ) and  $1101.7 \text{ cm}^{-1}$  ( $\vartheta_D$ ). The features gain further in intensity after annealings at  $675$  and  $690 \text{ }^\circ\text{C}$  (green and yellow spectrum). We tentatively attribute these modes to  $\text{SO}_n^*$  complexes in which one  $^{16}\text{O}$  atom has been replaced by  $^{18}\text{O}$  in the cause of oxygen indiffusion. Further annealing at  $707 \text{ }^\circ\text{C}$  (red spectrum) results in a significant reduction of all IR absorption lines, most likely due to dissociation of  $\text{SO}_n^*$ .

In order to unveil the nature of all IR absorption lines occurring in the spectra presented in Fig. 2 we have to turn to the  $\text{SO}_4\text{-V}_{\text{Cd}}$  model of  $\text{SO}_n^*$  put forward in Sec. III. Vibrational stretch mode frequencies of a distorted tetrahedral  $\text{SO}_4$  complex of  $C_{3v}$  symmetry can be obtained in the valence force approximation from the solution of the secular equation [27]

$$\|\hat{\mathbf{F}}\hat{\mathbf{G}} - \omega^2\hat{\mathbf{E}}\| = 0, \quad (1)$$

with the identity matrix  $\hat{\mathbf{E}}$  and matrices

$$\hat{\mathbf{F}} = \begin{pmatrix} F_{\parallel} & f' & f' & f' \\ f' & F_{\perp} & f'' & f'' \\ f' & f'' & F_{\perp} & f'' \\ f' & f'' & f'' & F_{\perp} \end{pmatrix}, \quad (2)$$

TABLE II. Calculated stretch vibrational modes ( $\text{cm}^{-1}$ ) of an axially distorted tetrahedral  $\text{SO}_4$  complex (see text for further details) together with experimentally observed LVMS of the  $\text{SO}_n^*$  center. Notations  $a$  and  $b$  stand for the axial and basal orientation of the corresponding S–O bond relative to the trigonal axis of the defect, respectively. The last column denotes the sign of the frequency shift with the temperature.

Configuration				Label	$\omega_{\text{exp}}$	$\omega_{\text{calc}}$	$\omega_{\text{diff}}$	$\Delta\omega(T)$
$a$	$b$	$b$	$b$					
$^{16}\text{O}$	$^{16}\text{O}$	$^{16}\text{O}$	$^{16}\text{O}$	$\vartheta_1$	1096.8	1097.2	0.4	+
				$\vartheta_2$	1108.4	1108.6	0.2	–
$^{18}\text{O}$	$^{16}\text{O}$	$^{16}\text{O}$	$^{16}\text{O}$	$\vartheta_C$	1081.1	1081.4	0.3	–
				$\vartheta_2$	1108.4	1108.6	0.2	–
$^{16}\text{O}$	$^{18}\text{O}$	$^{16}\text{O}$	$^{16}\text{O}$	$\vartheta_C$	1080.8	1080.9	0.1	–
				$\vartheta_D$	1101.8	1100.7	–1.1	–
				$\vartheta_2$	1108.4	1108.6	0.2	–
$^{18}\text{O}$	$^{18}\text{O}$	$^{16}\text{O}$	$^{16}\text{O}$	–	1068.1	1068.1	0.0	–
				–	1094.6	1094.6	0.0	–
				$\vartheta_2$	1108.4	1108.6	0.2	–
				$\vartheta_B$	1075.2	1075.3	0.1	–
$^{16}\text{O}$	$^{18}\text{O}$	$^{18}\text{O}$	$^{16}\text{O}$	–	1083.3	1084.2	0.9	–
				–	1103.3	1104.5	1.2	–
$^{18}\text{O}$	$^{18}\text{O}$	$^{18}\text{O}$	$^{16}\text{O}$	–	1066.0	1065.7	–0.3	+
				$\vartheta_B$	1074.6	1075.3	0.7	–
				–	1098.4	1102.4	4.0	–
$^{16}\text{O}$	$^{18}\text{O}$	$^{18}\text{O}$	$^{18}\text{O}$	$\vartheta_B$	1074.6	1075.3	–0.7	–
				–	1087.1	1086.1	–1.0	–
$^{18}\text{O}$	$^{18}\text{O}$	$^{18}\text{O}$	$^{18}\text{O}$	$\vartheta_A$	1062.9	1063.1	0.2	+
				$\vartheta_B$	1074.6	1075.3	0.7	–

$$\hat{\mathbf{G}} = \begin{pmatrix} 1/\mu & \cos\varphi/m_S & \cos\varphi/m_S & \cos\varphi/m_S \\ \cos\varphi/m_S & 1/\mu & \cos\varphi/m_S & \cos\varphi/m_S \\ \cos\varphi/m_S & \cos\varphi/m_S & 1/\mu & \cos\varphi/m_S \\ \cos\varphi/m_S & \cos\varphi/m_S & \cos\varphi/m_S & 1/\mu \end{pmatrix}. \quad (3)$$

Here,  $1/\mu = 1/m_S + 1/m_O$  is the reduced mass of the S–O bond with  $m_S = 32$  a.u. and  $m_O$  equals 16 or 18 a.u.;  $F_{\parallel}$  and  $F_{\perp}$  are the force constant of the S–O bonds with axial and basal orientation relative to the trigonal symmetry axis of the defect, respectively;  $f'$  and  $f''$  are interaction force constants;  $\varphi$  is the O–S–O angle which for simplicity—as also supported by the first principles calculations presented in Sec. III—we assumed to be tetragonal ( $\varphi = 109.5^\circ$ ). The influence of bending modes is neglected.

Table II gathers the “best-fit” frequencies of the axially distorted  $\text{SO}_4^*$  complex together with the local vibrational modes of different isotopic configurations of  $\text{SO}_n^*$  shown in Fig. 2. As one can see, the calculated frequencies agree quite well with experiment thus providing unambiguous assignments of all experimentally observed IR absorption lines to distinct isotopic varieties of  $\text{SO}_4^*$ .



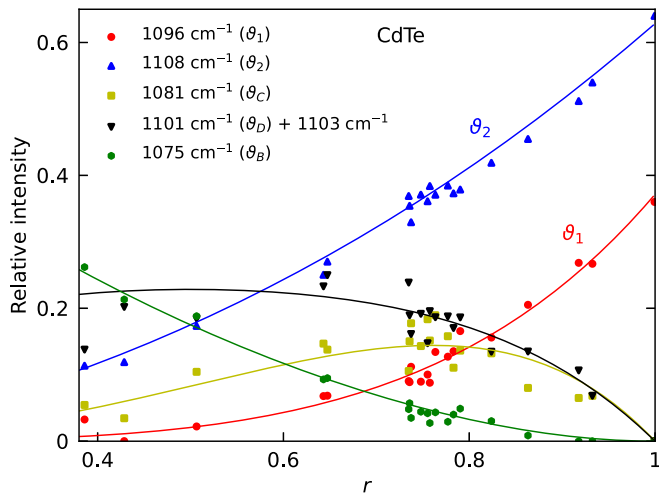


FIG. 5. Best fit of relative LVM intensities of different isotopic configurations due to the  $\text{SO}_4^*$  center obtained on the basis of the  $\text{SO}_4^*$  model (see text for details). The relative  $^{16}\text{O}$  content,  $r = [^{16}\text{O}]/([^{16}\text{O}] + [^{18}\text{O}])$ , was treated as a fitting parameter and was varied independently for each sample to give the best fit to all data.

Further support of the  $\text{SO}_4^*$  model comes from the relative intensities of the IR absorption lines. As mentioned above, the actual  $^{18}\text{O}:^{16}\text{O}$  ratio of the oxygen isotopes introduced into CdTe samples significantly deviated from that of the gas ambient during the thermal treatment. Because of this, the absolute intensity of each isotope-specific LVM of  $\text{SO}_n^*$  in the IR absorption spectra varied from sample to sample. However, the *relative* LVM intensities within each isotopic configuration should depend solely on the isotopic ratio  $r = [^{16}\text{O}]/([^{16}\text{O}] + [^{18}\text{O}])$ .

Assuming that the incorporation of  $^{16}\text{O}$  and  $^{18}\text{O}$  on a certain position in the  $\text{SO}_4^*$  tetrahedron is governed by random statistics, the relative intensities of each mode can be easily estimated. For example, LVMs of  $\text{S}^{16}\text{O}_4^*$  and  $\text{S}^{18}\text{O}_4^*$  should occur in the spectra with the probabilities of  $r^4$  and  $(1-r)^4$ , respectively. The configuration with a single  $^{18}\text{O}$  atom would consist of two groups of modes differing by the orientation of the  $\text{S}-^{18}\text{O}$  bond relative to the trigonal axis of the defect, whereby the axial and basal configurations occur with the probabilities of  $(1-r)r^3$  and  $3(1-r)r^3$ , respectively. In a similar manner, the relative intensities for all isotopic configurations of the  $\text{SO}_4^*$  complex can be constructed and compared against the experimental data.

Figure 5 shows the relative intensities of the most prominent IR absorption lines of the  $\text{SO}_n^*$  complex together with the best-fit curves as a function of  $r$  obtained for 20 CdTe samples subjected to different isotopic  $^{18}\text{O}$ - $^{16}\text{O}$  treatments. Since the value of  $r$  was not known *a priori*, it was treated as a fitting parameter. For simplicity we also assumed that the absorption strength of each mode is equal to the mean value of the  $\vartheta_1$  and  $\vartheta_2$  modes. As follows from the figure, our model accounts for the experimental data reasonably well. We take these observations as further support for the consistency of the tetrahedron  $\text{SO}_4^*$  model.

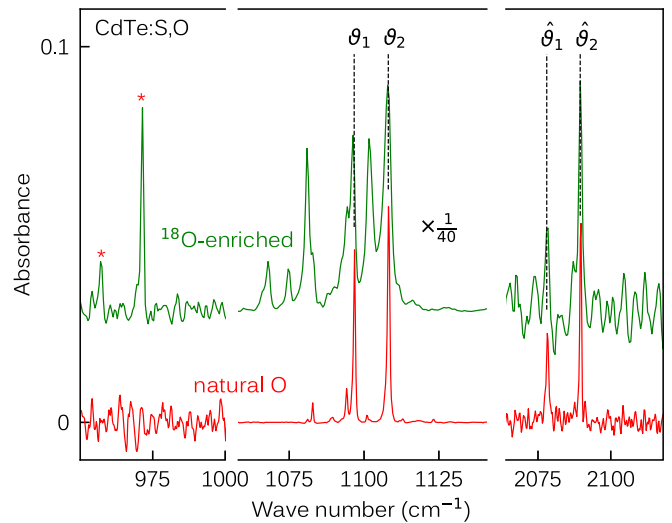


FIG. 6. IR absorption spectra obtained for  $\text{CdSO}_4$ -treated (bottom) and  $(\text{CdS} + \text{O}_2)$ -treated CdTe samples with the  $^{18}\text{O}:^{16}\text{O} = 1:1$  isotope ratio of the gas ambient (top).

### B. The $\nu_1$ symmetric stretch and $\nu_1 + \nu_3$ combination modes

Figure 6 shows expanded IR absorption spectra obtained for two CdTe samples subjected to different  $\text{SO}_n^*$  doping procedures. The lower spectrum was obtained after a  $\text{CdSO}_4$  treatment, inherently displaying natural abundances of oxygen isotopes. The upper spectrum was recorded on a  $(\text{CdS} + \text{O}_2)$ -treated CdTe sample for which the oxygen atmosphere was  $^{18}\text{O}$  enriched.

In both cases the  $\nu_3$  stretch modes of  $\text{SO}_n^*$  at about  $1060$ – $1110\text{ cm}^{-1}$  dominate the spectra (note the scaling factor of  $\times \frac{1}{40}$  for this spectral range). In addition to these lines, a number of significantly weaker features are also seen in the figure. We first turn our focus to two previously unreported lines at  $957$  and  $971\text{ cm}^{-1}$  marked by a red star. As follows from Table I, these modes match that of the symmetric stretching mode  $\nu_1(A_1)$  of  $\text{SO}_4\text{-V}_{\text{Cd}}$ . In the case of the cubic symmetry of free  $\text{SO}_4^{2-}$ , the  $\nu_1$  mode is forbidden in IR absorption but might become visible for the distorted  $\text{SO}_4\text{-V}_{\text{Cd}}$  complex. The bottom spectrum in Fig. 6 recorded for the sample with the natural abundances of oxygen isotopes, however, indicates that the trigonal distortion of the sulfate ion is insufficient to detect the corresponding transition of  $\text{S}^{16}\text{O}_4^*$ .

The situation changes for the  $^{18}\text{O}$ -enriched CdTe sample ( $r = 0.74$ ) whose spectrum is also presented in Fig. 6. For such an enrichment the expected occurrences of  $\text{SO}_4^*$  with the  $^{18}\text{O}:^{16}\text{O}$  isotope ratios of 0:4, 1:3, 2:2, 3:1, and 4:0 are 0.30, 0.42, 0.22, 0.05, and 0.005, respectively. That is, the complex with a single  $^{18}\text{O}$  isotope has the maximum occurrence, whereby the basal orientation of the  $\text{S}-^{18}\text{O}$  bond is thrice as probable compared to the axial one.

We propose, therefore, that the  $971$ - and  $957\text{-cm}^{-1}$  lines are due to the  $\nu_1$ -like modes of the  $\text{SO}_4^*$  complex comprising a single  $^{32}\text{S}-^{18}\text{O}$  bond of the basal and axial orientation, respectively. Indeed, the distortion of  $\text{SO}_4^*$  caused by the replacement of an  $^{16}\text{O}$  atom with a heavier isotope should contribute much more to the IR activity of the  $\nu_1$ -like mode compared to the trigonal deformation of  $\text{SO}_4^{2-}$ .

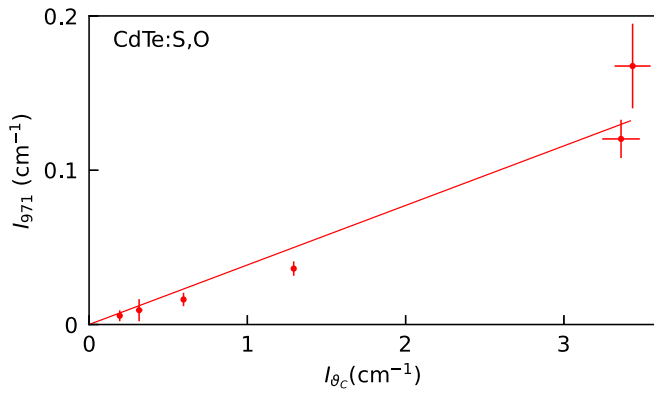


FIG. 7. Integrated intensity of the  $\nu_C$  mode at  $1081\text{ cm}^{-1}$  as a function of that at  $971\text{ cm}^{-1}$  obtained from a set of  $^{18}\text{O}$ -enriched CdTe samples.

Further support of our assignment comes from the intensity correlation of the  $971\text{-cm}^{-1}$  and the  $\nu_C$  ( $1081\text{-cm}^{-1}$ ) lines given in Fig. 7. The  $\text{SO}_4^*$  model assigns both modes to the isotopic configuration with a single  $^{32}\text{S}\text{-}^{18}\text{O}$  bond of the basal orientation relative to the trigonal axis of the defect (see Table II). Evidently, the intensity of the  $971\text{-cm}^{-1}$  feature scales linearly with that of  $\nu_C$ , which we take as a further indication for the validity of our model.

We now turn to the spectral range  $2070\text{--}2110\text{ cm}^{-1}$  in Fig. 6. Two lines at about  $2078$  ( $\hat{\nu}_1$ ) and  $2089\text{ cm}^{-1}$  ( $\hat{\nu}_2$ ) appear in the spectra of CdTe which were previously interpreted as first overtones of the  $\nu_1$  and  $\nu_2$  fundamentals [16]. Our results presented this far strongly suggest that these lines should be reassigned to  $\nu_1 + \nu_3$  combination modes of  $\text{SO}_4^*$ . Notably, the frequency differences of each pair of lines, i.e.,  $\hat{\nu}_1$  vs  $\nu_1$  and  $\hat{\nu}_2$  vs  $\nu_2$ , are equal to  $981\text{ cm}^{-1}$ , i.e. that of the  $\nu_1$  mode due to free  $\text{SO}_4^{2-}$  (see Table I).

Frequency shifts of the  $\nu_1$ ,  $\nu_2$ ,  $\hat{\nu}_1$ , and  $\hat{\nu}_2$  lines with the temperature presented in Fig. 8 strengthen our interpretation even further. The left panel in the figure shows the shifts relative to the values obtained at  $10\text{ K}$ . Both pairs of lines show a strikingly similar “merging” behavior; that is, the low-frequency modes “blue” shift whereas the high-frequency modes “red” shift with the temperature. More importantly, the differences in frequency between each pair of lines are equal to each other, as seen from the right panel in Fig. 8. The finding is naturally explained if  $\hat{\nu}_1$  and  $\hat{\nu}_2$  are combinational modes since in this case

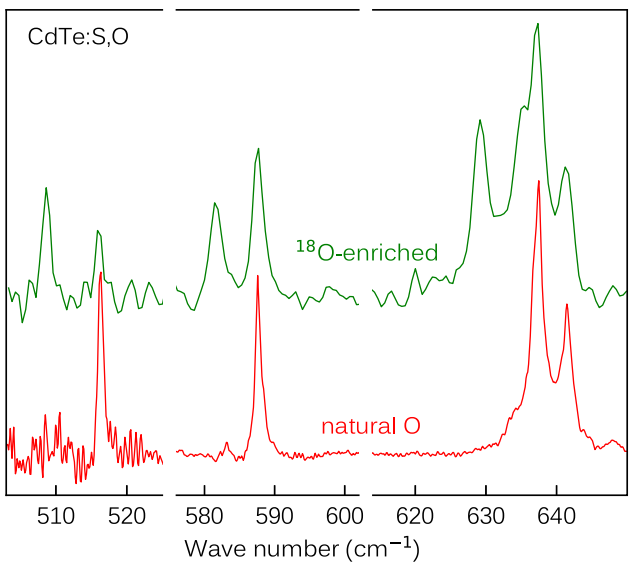


FIG. 9. IR absorption spectra obtained for  $\text{CdSO}_4$ - (bottom) and  $(\text{CdS} + \text{O}_2)$ -treated CdTe samples with the  $^{18}\text{O}:^{16}\text{O} = 1 : 1$  isotope ratio of the gas ambient (top). Spectra are normalized to their peak absorbance in the spectral range  $637 \pm 3\text{ cm}^{-1}$ .

$$\omega_{\hat{\nu}_2} - \omega_{\hat{\nu}_1} = (\omega_{\nu_2} + \omega_{\nu_1}) - (\omega_{\nu_1} + \omega_{\nu_1}) = \omega_{\hat{\nu}_2} - \omega_{\hat{\nu}_1}.$$

ones “red” shift with the temperature. More importantly, the differences in frequency between each pair of lines are equal to each other, as seen from the right panel in Fig. 8. The finding is naturally explained if  $\hat{\nu}_1$  and  $\hat{\nu}_2$  are combinational modes since in this case

### C. The $\nu_4$ bending modes

Finally we consider the spectral range where bending modes of the  $\text{SO}_4^*$  units are expected to occur. Figure 9 shows IR absorption spectra obtained for two CdTe samples subjected to  $\text{SO}_n^*$  doping procedures, similar to those presented in Fig. 6. The sample with natural oxygen isotope abundances reveals two single modes at about  $517$  and  $588\text{ cm}^{-1}$  as well as a double feature at about  $640\text{ cm}^{-1}$ . The substructure of additional lines appearing in the  $^{18}\text{O}$ -enriched CdTe sample indicates that all these signals are also related to complexes containing oxygen. The entirety of our experimental data shows that the intensities of the  $588\text{-}$  and  $640\text{-cm}^{-1}$  features scale linearly with those of the  $\nu_3$ -like stretch modes of  $\text{SO}_4^*$  at about  $1100\text{ cm}^{-1}$ . Due to substantial noise in the spectra of  $^{18}\text{O}$ -enriched samples we can neither confirm nor rule out a similar correlation for the  $517\text{-cm}^{-1}$  line.

We tentatively assign the  $640\text{-cm}^{-1}$  feature to the triply degenerate IR active  $\nu_4(T_2)$  bending mode of  $\text{SO}_4^*$  split by the trigonal distortion of the crystal field (see Table I). Based on the relative intensities of the  $637\text{-}$  and  $641\text{-cm}^{-1}$  lines constituting the  $640\text{-cm}^{-1}$  feature they should be assigned to the  $\nu_4''$  and  $\nu_4'$  modes transformed under the  $E$  and  $A_1$  representations of the  $C_{3v}$  point group.

The temperature dependences of the the  $637\text{-}$  and  $641\text{-cm}^{-1}$  lines presented in Fig. 10 reveal that the two modes merge as the temperature grows in. Such a behavior is similar to that found for the  $\nu_1$  and  $\nu_2$  stretch modes (see Fig. 8)

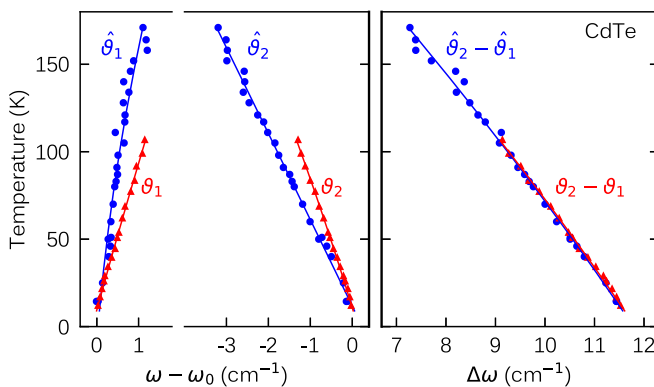


FIG. 8. Temperature-dependent frequency shifts (left panel) and differences in frequency (right panel) of the  $\nu_1$  and  $\nu_2$  modes (red) as well as those of the  $\hat{\nu}_1$  and  $\hat{\nu}_2$  modes (blue) obtained for a  $\text{CdSO}_4$ -treated CdTe sample.

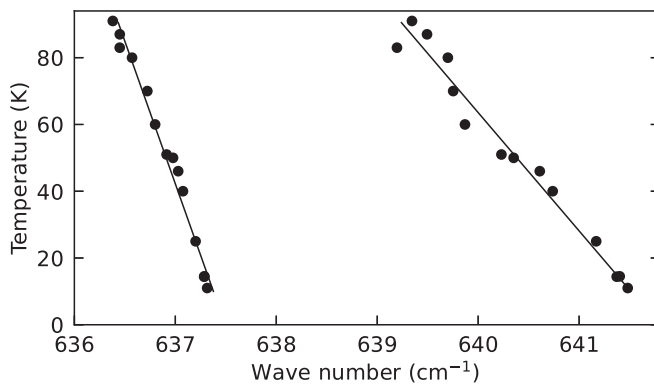


FIG. 10. Frequencies of the 637- and 641-cm<sup>-1</sup> modes as a function of temperature observed in CdSO<sub>4</sub>-treated CdTe samples.

whose frequencies coalesce at about 300 K to a value of 1102 cm<sup>-1</sup> [14,15]. The observed analogy in the temperature behavior of the 640-cm<sup>-1</sup> feature is also in favor the SO<sub>4</sub>\* model.

The model of a trigonally distorted SO<sub>4</sub>\* provides a straightforward explanation for such a converging behavior. As described in Sec. III, trigonal distortion of SO<sub>4</sub> trapped in the Cd vacancy implies an orientational degeneracy of the complex with respect to four equivalent ⟨111⟩ directions. We suppose that thermally activated dynamic switching among these equilibrium sites “softens” the distortion and eventually leads to an effective  $T_d$  symmetry of the SO<sub>4</sub>\* complex, which manifests itself as a convergence of the  $A_1$  and  $E$  states into the threefold degenerate  $T_2$ .

It remains to discuss the origin of the 517- and 588-cm<sup>-1</sup> modes seen in Fig. 9. The additional lines which appear in the <sup>18</sup>O-enriched sample indicate that both modes are oxygen related. The  $\nu_2$  mode of free SO<sub>4</sub><sup>2-</sup> at 451 cm<sup>-1</sup> is infrared inactive [25]. Trigonal distortion of SO<sub>4</sub> trapped at the Cd vacancy shifts its frequency down to 418 cm<sup>-1</sup> and may, in

principle, allow its detection by means of infrared absorption (see Table I). On the other hand, our results presented in Sec. IV B imply that the trigonal deformation is not sufficient to result in the infrared activity of the  $\nu_1$  mode at 981 cm<sup>-1</sup>. Moreover, the 517-cm<sup>-1</sup> line turned out to occur only in very heavily SO<sub>n</sub>\*-doped CdTe samples, which might indicate towards formation of another complex consisting of both sulfur and oxygen. At this stage, the bulk of experimental data at our disposal do not allow us to draw unambiguous conclusions on the microscopic origin of the 517- and 588-cm<sup>-1</sup> modes.

## V. SUMMARY

A combined study of sulfur-oxygen complexes in single-crystalline CdTe by IR absorption spectroscopy and first-principles theory was performed. It is shown that the earlier interpretation of the double feature at 1097 and 1108 cm<sup>-1</sup> as asymmetric stretching vibrations of an SO<sub>2</sub> molecule has to be revised. Instead, the spectrum of the complex, as obtained from oxygen isotope experiments in the spectral range of symmetric and asymmetric stretching, bending, as well as combinational vibrations, is characteristic for an axially distorted SO<sub>4</sub> tetrahedron. First principles calculations suggest a sulfate ion located on the cation vacancy sites, SO<sub>4</sub>-V<sub>Cd</sub>, as a most plausible model for the complex.

## ACKNOWLEDGMENTS

This work was funded by the Deutsche Forschungsgemeinschaft (Grant No. LA 1397/14). V.V.M. acknowledges the support from the Ministry of Education and Science of the Russian Federation. The authors are grateful to the Centre for Information Services and High Performance Computing TU Dresden and the Cyberia Supercomputer Center of Tomsk State University for providing the facilities for high throughput calculations. The authors thanks D. Bastin for help with IR absorption measurements.

- [1] L. Verger, J. Bonnefoy, F. Glasser, and P. Ouvrier-Buffet, *J. Electron. Mater.* **26**, 738 (1997).
- [2] T. E. Schlesinger, J. E. Toney, H. Yoon, E. Y. Lee, B. A. Brunett, L. Franks, and R. B. James, *Mater. Sci. Eng., R* **32**, 103 (2001).
- [3] S. Del Sordo, L. Abbene, E. Caroli, A. M. Mancini, A. Zappettini, and P. Ubertini, *Sensors* **9**, 3491 (2009).
- [4] J. M. Burst, J. N. Duenow, D. S. Albin, E. Colegrove, M. O. Reese, J. A. Aguiar, C.-S. Jiang, M. Patel, M. M. Al-Jassim, D. Kuciauskas *et al.*, *Nat. Energy* **1**, 16015 (2016).
- [5] W. Metzger, S. Grover, D. Lu, E. Colegrove, and J. Moseley, C. L. Perkins, X. Li, R. Mallick, W. Zhang, R. Malik, J. Kephart *et al.*, *Nat. Energy* **4**, 837 (2019).
- [6] J. D. Major, *Semicond. Sci. Technol.* **31**, 093001 (2016).
- [7] D. Albin, Y. Yan, and M. Al-Jassim, *Prog. Photovoltaics* **10**, 309 (2002).
- [8] B. A. Korevaar, J. R. Cournoyer, O. Sulima, A. Yakimov, and J. N. Johnson, *Prog. Photovoltaics* **22**, 1040 (2014).
- [9] S. A. Awadalla, A. W. Hunt, K. G. Lynn, H. Glass, C. Szeles, and S.-H. Wei, *Phys. Rev. B* **69**, 075210 (2004).
- [10] M.-H. Du, H. Takenaka, and D. J. Singh, *J. Appl. Phys.* **104**, 093521 (2008).
- [11] A. Klein, *J. Phys. Condens. Matter* **27**, 134201 (2015).
- [12] D. M. Meysing, M. O. Reese, C. W. Warren, A. Abbas, J. M. Burst, H. P. Mahabaduge, W. K. Metzger, J. M. Walls, M. C. Lonergan, T. M. Barnes *et al.*, *Sol. Energy Mater. Sol. Cells* **157**, 276 (2016).
- [13] A. Abbas, D. Meysing, M. Reese, T. Barnes, J. Walls, and C. Wolden, *Sol. Energy* **159**, 940 (2018).
- [14] G. Chen, I. Miotkowski, S. Rodriguez, and A. K. Ramdas, *Phys. Rev. Lett.* **96**, 035508 (2006).
- [15] G. Chen, I. Miotkowski, S. Rodriguez, and A. K. Ramdas, *Phys. Rev. B* **75**, 125204 (2007).
- [16] E. V. Lavrov, D. Bastin, J. Weber, J. Schneider, A. Fauler, and M. Fiederle, *Phys. Rev. B* **84**, 233201 (2011).
- [17] J. T-Thienprasert, T. Watcharatharapong, I. Fongkaew, M. H. Du, D. J. Singh, and S. Limpijumnong, *J. Appl. Phys.* **115**, 203511 (2014).
- [18] P. E. Blöchl, *Phys. Rev. B* **50**, 17953 (1994).
- [19] X. Gonze, F. Jollet, F. Abreu Araujo, D. Adams, B. Amadon, T. Applencourt, C. Audouze, J.-M. Beuken, J. Bieder, A. Bokhanchuk *et al.*, *Comput. Phys. Commun.* **205**, 106 (2016).

- [20] M. Torrent, F. Jollet, F. Bottin, G. Zérah, and X. Gonze, *Comput. Mater. Sci.* **42**, 337 (2008).
- [21] *CdTe Crystal Structure: Datasheet from “PAULING FILE Multinaries Edition—2012”* (Springer-Verlag, Berlin, 2016).
- [22] S. Baroni, S. de Gironcoli, A. Dal Corso, and P. Giannozzi, *Rev. Mod. Phys.* **73**, 515 (2001).
- [23] C. Audouze, F. Jollet, M. Torrent, and X. Gonze, *Phys. Rev. B* **73**, 235101 (2006).
- [24] C. Audouze, F. Jollet, M. Torrent, and X. Gonze, *Phys. Rev. B* **78**, 035105 (2008).
- [25] G. Herzberg, *Molecular Spectra and Molecular Structure II. Infrared and Raman Spectra of Polyatomic Molecules* (Van Nostrand, Princeton, 1960).
- [26] J. Decius, E. Coker, and G. Brenna, *Spectrochim. Acta* **19**, 1281 (1963).
- [27] E. B. Wilson, J. C. Decius, and P. C. Cross, *Molecular Vibrations* (Dover, New York, 1980).