Low-temperature T-linear resistivity due to umklapp scattering from a critical mode

Patrick A. Lee

Department of Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139-4307, USA

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We consider the transport properties of a model of fermions scattered by a critical bosonic mode. The mode is overdamped and scattering is mainly in the forward direction. Such a mode appears at the quantum critical point for an electronic nematic phase transition and in gauge theories for a U(1) spin liquid. It leads to a short fermion lifetime, violating Landau's criterion for a Fermi liquid. In spite of this, transport can be described by a Boltzmann equation. We include momentum relaxation by umklapp scattering, supplemented by weak impurity scattering. We find that above a very low temperature which scales with Δ_q^3 , where Δ_q is the minimum umklapp scattering vector, the resistivity is linear in T with a coefficient which is independent of the amount of disorder. This picture holds until the temperature dependent part of the resistivity exceeds that due to impurity scattering. We compare the relaxation time approximation with an exact numerical solution of the Boltzmann equation. Surprisingly we find that, unlike the resistivity, the Hall coefficient strongly deviates from the relaxation time approximation and shows a strong reduction with increasing temperature. We comment on possible comparisons with experiments on high T_c cuprates.

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I. INTRODUCTION

A great deal of attention has been paid to the linear in temperature resistivity observed in the cuprate high temperature superconductor family, in the so-called strange metal phase. (For a recent discussion with up to date references, see [1].) A T-linear resistivity is not unusual on its own: the standard electron phonon scattering leads to linear in T resistivity at temperatures above approximately 1/4 of the Debye temperature. What is surprising are two additional features. First, the linear T term often extends to low temperatures of a few kelvin or even less, if the superconductivity is suppressed by a strong magnetic field. There are experiments that show that this low-temperature linear term extends over a range of doping, albeit with a doping dependent slope that may or may not match the high-temperature slope [2,3]. A second feature is that, at some special doping, the linear T term persists over a large temperature range with the same slope all the way to the lowest temperature. In this paper we shall not address this second feature, but instead focus on the lowtemperature linear T phenomenon, which is highly unusual on its own, because the standard expectation is T^2 behavior. It is therefore important to ask whether there is a microscopic model that gives rise to the low-temperature linear in Tresistivity.

In this paper we consider a model which assumes a two dimensional Fermi sea where the fermions with dispersion $\epsilon_{\bf k}$ are being scattered by a critical bosonic mode with the propagator $P(q, \omega) = 1/(Cq^2 - i\omega/q)$ so that the spectral function $D(q, \omega) = \text{Im}[P(q, \omega)]$ is given by

$$D(q,\omega) = \frac{q\omega}{\omega^2 + (Cq^3)^2}.$$
 (1)

To simplify notations, throughout this paper we measure energy, temperature, or frequency in units of the nearest neighbor fermion hopping parameter t and we set the lattice constant to be unity. The fermions are scattered by this mode with a coupling constant g. Equation (1) has arisen in several contexts. For example, this equation describes the critical fluctuations at a quantum critical point that separates a Fermi surface with fourfold symmetry to one with twofold symmetry. This is sometimes called the electronic nematic phase transition or the Pomeranchuk instability [4–6]. This model is considered to be one of the leading candidates for a quantum critical point in the cuprates. Nematicity is also known to play a prominent role in the iron based superconductors [7]. It is also worth noting that nematicity, T-linear resistivity, and van Hove singularity are ingredients that are showing up in twisted bilateral graphene as well [8]. Importantly, the singularity in Eq. (1) is for small momentum q. This is very different from a charge or spin density wave or an antiferromagnetic instability, where the singularity occurs at a finite q [9,10]. Another example is the scattering of fermionic spinons by U(1) gauge fluctuations that arise in spin-charge separated models in certain quantum spin liquids [11]. This model has also been discussed in the composite fermion description of the half-filled Landau level [12]. The ω/q term in the propagator $P(q, \omega)$ comes from Landau damping of the mode by the Fermi sea. The mode is overdamped with ω that scales as q^3 . This leads to a fermion self energy $\Sigma(\omega)$ that goes in leading order as $\omega^{2/3}$, thereby violating the Landau criterion for a well defined quasiparticle [11]. As a result, this model is considered to be an example of a non-Fermi liquid.

We have earlier suggested that this model gives rise to a transport scattering rate that goes as $T^{4/3}$ [11]. This was based on the assumption that the bosonic mode equilibrates to the

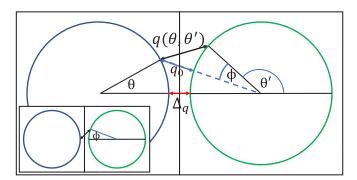


FIG. 1. Schematic picture of umklapp scattering between an almost circular Fermi surface (colored blue) and its umklapp partner (colored green). This can represent the hole pockets in hole doped cuprate if the zone center is shifted by (π,π) . A fermion on the Fermi surface parametrized by θ is scattered to a point parametrized by θ' on the umklapp Fermi surface by a boson mode carrying momentum $q(\theta,\theta')$. The minimum umklapp vector is Δ_q . $q_0(\theta)$ is the minimum umklapp scattering momentum for the fermion at θ . The picture is repeated for the other three umklapp Fermi surfaces that are closest to the original Fermi surface. The inset shows the special case for the scattering of a fermion located at $\theta=0$ and is useful for the simple calculation of the scattering rate.

lattice, but this assumption has been rightly criticized on the grounds that the total momentum is conserved in the scattering process and therefore there is no mechanism for relaxing the current [5,6]. Some form of momentum relaxation such as disorder or umklapp scattering needs to be introduced. Reference [5] considered impurity scattering and concluded that the leading T dependent correction for a singly connected convex 2D Fermi surface has a power greater than 2 and saturates for higher temperatures. In Ref. [6], the problem was worked out for several versions of disorder including a linear coupling to the nematic order parameter. In this paper we focus on umklapp scattering as the mechanism of momentum relaxation. The motivation is the following. In the cuprates, the Fermi level is close to a van Hove singularity so that the minimum umklapp vector Δ_q connecting neighboring Fermi surfaces is small near the antinode, as seen in Fig. 1. Due to the q^3 scaling in Eq. (1), the temperature scale when the umklapp scattering kicks in can be very low, being proportional to Δ_q^3 . It will be interesting to find out what the resistivity is above this low-temperature scale. A more general remark is that, because the mode is overdamped, the umklapp effect is not exponentially small at low T as in the case of electron-phonon interaction, but will in general be power law down to the lowest temperature. As we shall show, what we find is a T^2 behavior that crosses over to T at a very low-temperature scale. To see how this come about, we make a quick estimate of the scattering rate $\tilde{\gamma}$ of a fermion at the umklapp point $(\theta = 0)$ with energy T by emitting a boson mode with momentum qto reach a final state at ϕ on the umklapp Fermi surface, as seen in the inset of Fig. 1:

$$\tilde{\gamma} \propto \int_0^\infty d\omega \int d\phi \left[n_0(\omega) + 1 \right] D(q, \omega)$$

$$\propto T \int_0^T d\omega \int d\phi \frac{q}{\omega^2 + (Cq^3)^2} \propto T \int_0^T d\phi \frac{q}{Cq^3}. \tag{2}$$

In the second step we replaced the Bose factor $n_0(\omega)+1$ by T/ω and the integration limit by T. If $T>Cq^3$, we can perform the ω integration by extending the upper limit to ∞ to obtain the last step, where the ' in the upper limit of the integral reminds us of this condition. The integrand is now $1/q^2$. We approximate $q^2 \approx \Delta_q^2 + (k_F\phi)^2$. Under the condition $T>C\Delta_q^3$, the $\int' d\phi$ integration converges to a T independent constant within the integration limit. This shows that the scattering rate is proportional to T/Δ_q . A more careful analysis given by Eqs. (7)–(10) below gives the same result. In the opposite case of $T< C\Delta_q^3$ it is easy to see that the upper limit T of the ω integral in the second step gives an additional factor of T, leading to a T^2 behavior.

It is worth noting that, perhaps surprisingly (and I am thankful to the referee for calling my attention to this), the linear T behavior is quite general, and holds for a variety of boson propagators. We consider two examples. First we generalize the propagator to $P(q, \omega) = 1/(C|q|^{z-1} - i\omega/q)$, where z is the dynamical scaling index. (z = 3 in the case)considered up to now.) The integrand in the last step in Eq. (2) becomes $1/|q|^{z-1}$ and converges to give the linear T behavior as long as z > 2. In a second example, we consider the more generic boson propagator $P(q, \omega) = 1/(C|q|^z - i\omega)$, which is a standard scaling form without the Landau damping. A similar line of reasoning leads to the conclusion that linear T resistivity holds for z > 1. Thus the linear T behavior holds for a variety of z and models, as long as the mode is gapless. However, the value of z does play an important role in specifying the onset temperature of the linear T behavior, which is Δ_a^z and is small only for sufficiently large z.

As mentioned earlier, unlike the electron-phonon problem, the scattering rate is never exponentially activated. It is also worth remarking that since umklapp scattering involves a final state that carries current in the opposite direction, there is no significant distinction between a transport lifetime which is sensitive to the momentum relaxation of each collision and the collision time, in contrast to the case of forward scattering [11]. On the other hand, since the scattering is concentrated at certain points on the Fermi surface, in order to calculate transport properties it is necessary to determine how the momentum distribution relaxes in the vicinity of these points. We need to solve the Boltzmann equation using the scattering processes as input. This is done in the following sections.

We will calculate the resistivity, magnetoresistivity, Hall conductivity, and the Hall constant R_H . The key physics is that the important scattering process is concentrated near the antinodal point. As such, there is a lot of overlap in the mathematical framework with a different problem involving scattering by antiferromagnetic fluctuations at momentum (π, π) , where strong scattering are concentrated at hot spots [9]. This problem was thoroughly investigated by Rosch [10] and his work was very helpful to us. In particular he found a linear T resistivity and linear B magnetoresistivity in a certain parameter range. Just as in his case, we found that while some impurity scattering is needed to restrict the modification of the distribution function to the vicinity of a special point on the Fermi surface, the coefficient of the linear T term is independent of disorder. In this paper we focus on the relatively low-temperature regime where the temperature dependent part

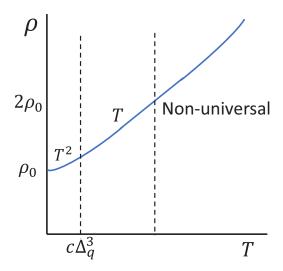


FIG. 2. Schematic summary of the resistivity as a function of temperature in the presence of both disorder and umklapp scattering involving a soft mode. The zero temperature limit ρ_0 is determined by disorder. The initial deviation is T^2 which crosses over to linear T above a temperature scale given by $C\Delta_q^3$, which can be very small if the energy band is near a van Hove point. When the resistivity reaches about $2\rho_0$ our theory loses control and we enter a nonuniversal regime where the scattering is no longer dominated by small momentum scattering. The resistivity is typically T^2 , but may enter a linear T regime if C is small and the soft mode is thermally occupied for large momenta.

of the resistivity is comparable to the zero temperature limit. We shall make brief comments at the end of Sec. III on the expected behavior for temperature higher than this scale or, equivalently, in the clean limit when this scale shrinks to zero.

Before getting into details, we show in Fig. 2 a schematic summary of our finding. The zero temperature resistivity ρ_0 is set by the disorder scattering. Initially the resistivity rises as T^2 until $T \approx C\Delta_a^3$, when it crosses over to a linear T behavior, with a coefficient which is independent of ρ_0 . Our theory is controlled until the temperature dependent part becomes comparable to ρ_0 , when we enter a nonuniversal regime. Here the scattering is no longer dominated by small momentum scattering near the umklapp point and the resistivity depends on the details of the model, such as the value of C which determines the softness of the mode. The resistivity is typically T^2 , but can rapidly cross over to linear T (with a different slope than the low-temperature linear T slope) if C is small and the soft modes are occupied up to large momenta, just like the high-temperature limit of the Debye model for electron phonon scattering.

It is worth pointing out that the inability to dissipate current without umklapp or disorder scattering is not limited to a Galilean invariant system. The problem is rather that crystal momentum is conserved without this scattering and the system will continue to gain momentum from the electric field. On the other hand, since electron-electron scattering typically involves large momentum transfer of order k_F , umklapp scattering occurs even at low temperature and T^2 is the rule, except for systems at very low density. Our problem

is a rather special one because we focus on small momentum scattering. We also emphasize that the picture shown in Fig. 2 requires both disorder and umklapp scattering. Without umklapp there is no low-temperature linear regime. The deviation is always T^2 [5]. Furthermore, the high-temperature resistivity will saturate to several times ρ_0 because without umklapp electron-electron scattering cannot relax momentum without disorder [5]. On the other hand, without disorder, the low-temperature linear T regime shrinks to zero. We briefly comment on this clean regime at the end of Sec. III.

II. BOLTZMANN EQUATION

The reader may worry whether one can use a Boltzmann equation when there are no quasiparticles in the Landau sense. The answer is that the Boltzmann equation can be derived without assuming the existence of quasiparticles, as long as the self-energy depends on ω and not on momentum. (In our problem the leading self-energy correction depends only on ω . While a small k dependence was discovered in a higher order expansion [13], we do not expect this to affect the argument in a significant way.) The above-mentioned result was shown in a classic paper by Prange and Kadanoff [14]. Their motivation was that, in the electron-phonon scattering problem at temperature high compared with the Deybe temperature, the self-energy is $\pi \lambda \omega$ which can be larger than ω and yet the Boltzmann equation was used routinely. We have adopted their method to the composite fermion problem [15] and here we sketch the main idea. The key observation by Prange and Kadanoff is that the electron spectral function takes the form

$$A(\mathbf{k}, \omega) = \frac{\Sigma''(\omega)}{[\omega - \epsilon_{\mathbf{k}} - \Sigma'(\omega)]^2 + \Sigma''(\omega)^2},$$
 (3)

where Σ' and Σ'' are the real and imaginary part of the self-energy. For $\Sigma''(\omega) \sim \omega^{2/3}$ the spectral function is indeed very broad as a function of ω , but at a given small ω , it is actually a sharp function of $\epsilon_k - \mu$. This distinction is a familiar one in the modern ARPES literature, where the energy dispersion curve (EDC) is broad and the momentum dispersion curve (MDC) is sharp. The conventional derivation of the Boltzmann equation starting from the nonequilibrium Green's function involves integrating over ω . What Prange and Kadanoff proposed to do is to pick a patch of the Fermi surface specified by $k(\theta)$ which defines a contour along the Fermi surface parametrized by the direction θ of the Fermi momentum vector and integrate the perpendicular momentum and hence over ϵ_k instead. As a result, instead of the usual distribution function $f(\mathbf{k})$ they introduced the function $f(k(\theta), \omega)$. Note that, in the usual formulation, the vector **k** is decomposed into $k(\theta)$ and the momentum in the perpendicular direction, which is then represented by $\epsilon_k - \mu$. Thus we can simply write down the standard Boltzmann equation with the understanding that, in the momentum k, the component perpendicular to the Fermi surface is replaced by $(\epsilon_k - \mu)/v_F$ and $(\epsilon_k - \mu)$ is in turn is replaced by ω . We next introduce in the standard way the linear perturbation from the equilibrium by writing $f(\mathbf{k}) = f_0(\epsilon_{\mathbf{k}}) - [df_0(\epsilon_{\mathbf{k}})/d\epsilon_{\mathbf{k}}]\tilde{\Phi}(\mathbf{k}) = f_{\mathbf{k}}^0 + f_{\mathbf{k}}^0(1 - f_{\mathbf{k}}^0)\tilde{\Phi}(\mathbf{k})/T$, where $f_0(\epsilon_{\mathbf{k}}) = f_{\mathbf{k}}^0$ is the Fermi distribution and $\tilde{\Phi}(\mathbf{k})$ has the interpretation of a local shift of the chemical potential at patch θ . This leads to the linearized

Boltzmann equation [5]

$$[e\mathbf{v}_{\mathbf{k}} \cdot \mathbf{E} + e(\mathbf{v}_{\mathbf{k}} \times \mathbf{B}) \cdot \partial_{\mathbf{k}} \tilde{\Phi}] \frac{\partial f_0(\epsilon_{\mathbf{k}})}{\partial \epsilon_{\mathbf{k}}} = -I_{ee} - I_{ei}, \quad (4)$$

where the electron-electron collision term is given by

$$I_{ee} = \sum_{\mathbf{p},\mathbf{q},\mathbf{G}} 2(g^2/T) |P(\mathbf{q}, \epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}-\mathbf{q}})|^2 [\tilde{\Phi}(\mathbf{k}) + \tilde{\Phi}(\mathbf{p})$$

$$- \tilde{\Phi}(\mathbf{k} - \mathbf{q} + \mathbf{G}) - \tilde{\Phi}(\mathbf{p} + \mathbf{q})] f_{\mathbf{k}}^0 f_{\mathbf{p}}^0 (1 - f_{\mathbf{k}-\mathbf{q}+\mathbf{G}}^0)$$

$$\times (1 - f_{\mathbf{p}+\mathbf{q}}^0) \delta(\epsilon_{\mathbf{k}} + \epsilon_{\mathbf{p}} - \epsilon_{\mathbf{k}-\mathbf{q}+\mathbf{G}} - \epsilon_{\mathbf{p}+\mathbf{q}}), \tag{5}$$

where G denotes a set of reciprocal lattice vectors and the electron-impurity collision term is $I_{ei} =$ $-\gamma_0 \tilde{\Phi}(\mathbf{k}) [df_0(\epsilon_{\mathbf{k}})/d\epsilon_{\mathbf{k}}]$, where γ_0 is the impurity scattering rate. Note that we have described the scattering process as an electron-electron scattering via the exchange of the boson propagator $P(q, \omega)$, rather than the scattering between electrons via the emission and absorption of bosons. The reason is that the conservation of total momentum for the normal scattering (G = 0) is clearly seen in Eq. (5). The distribution will rapidly equilibrate to a Fermi function with a shifted overall momentum $\Phi(\mathbf{k}) \propto \mathbf{k} \cdot \mathbf{E}$ so that its contribution to the collision integral is exactly zero. Had we considered the emission and absorption of bosons, we would have to keep track of the shifted boson distribution function as well, which introduces more complications. Next, we will show that the vanishing of the normal collision term is more general. In order to stay on the Fermi surface and conserve momentum and energy, the scattering partner p must equal either $\mathbf{k} - \mathbf{q}$ or $-\mathbf{k}$. In the first case the last two terms in [] in Eq. (5) cancel the first two terms. In the second case, since $\tilde{\Phi}(-\mathbf{k}) = -\tilde{\Phi}(\mathbf{k})$, the first two terms in [] in Eq. (5) cancel. A similar cancellation holds for the last two terms corresponding to the outgoing pair $\mathbf{p} + \mathbf{q}$ and $\mathbf{k} - \mathbf{q}$. These arguments show that the contribution from normal scattering to the collision integral is negligible. This argument works also in the presence of a magnetic field. The Fermi surface is shifted along an axis that is rotated from the direction of the applied field **E** but the relation $\tilde{\Phi}(-\mathbf{k}) = -\tilde{\Phi}(\mathbf{k})$ continues to hold. So from this point on we will keep only the umklapp term ($\mathbf{G} \neq 0$) in Eq. (5). This conclusion continues to hold in the clean limit, a point we shall return to when we comment on this limit later at the end of Sec. III.

Our next step is to integrate out **p** and the radial part of **k** taking advantage of the fact that $df_0(\omega)/d\omega$ is a sharply peaked function. To be concrete we choose the origin in **k** space so that it is completely enclosed by the Fermi surface and we parametrize the Fermi surface by the angle θ as shown in Fig. 1. Leaving details to the Appendix, we find

$$e\mathbf{v}_{F}(\theta) \cdot \mathbf{E} + e[\mathbf{v}_{F}(\theta) \times \mathbf{B}] \cdot \frac{\partial \Phi(\theta)}{k_{F} \partial \theta}$$
$$= -\int \frac{d\theta'}{2\pi} 2g^{2} \nu(\theta') F(\theta, \theta') [\Phi(\theta) - \Phi(\theta')], \quad (6)$$

where $\nu(\theta) = k_F/(2\pi v_F)$ is the density of states factor at angle θ . In this equation, all variables are defined on the Fermi surface as parametrized by θ and $\Phi(\theta)$ is defined as $\tilde{\Phi}(k(\theta))$. The kernel of the integral equation $F(\theta, \theta')$ depends on θ and θ' only via the magnitude of the momentum transfer $q(\theta, \theta')$

which connects the points θ and θ' on the umklapp Fermi surface as shown in Fig. 1. Here we focus on θ near zero, and the discussion should be repeated for θ close to the other three nearest neighbor umklapp Fermi surfaces. The kernel is given by

$$F(\theta, \theta') = \int n_0(\omega)[n_0(\omega) + 1](\omega/T)D(q, \omega) d\omega, \quad (7)$$

where $n_0(\omega)$ is the Bose function. Note that, after integrating out the scattering partner, the Boltzmann equation now takes the form of umklapp scattering by emission and absorption of the mode defined by $D(q, \omega)$. The kernel can be written in the form $F(\theta, \theta') = 2qf(a)$, where $a = Cq^3/T$ and the scaling function f(a) is given by

$$f(a) = \int_0^\infty dx \, \frac{e^x}{(e^x - 1)^2} \frac{x^2}{x^2 + a^2},\tag{8}$$

where $f(a) = \pi/2a$ for small a and $\pi^2/3a^2$ for large a [9]. For our numerical work we find it useful to approximate f(a) by the form suggested by Rosch [10], which matches the large and small a limits and is accurate to 2% everywhere: $f(a) = \pi^2(2\pi + a)/[a(4\pi^2 + 6\pi a + 3a^2)]$.

The coefficient of $\Phi(\theta)$ on the right hand side of Eq. (6) can be interpreted as the scattering out rate $\gamma(\theta)$ for an electron at θ :

$$\gamma(\theta) = \int 2g^2 \nu(\theta') F(\theta, \theta') d\theta' / 2\pi. \tag{9}$$

We now estimate $\gamma(\theta)$ for small θ . In Fig. 1 the line connecting θ to the center of the next Brillouin zone defines $q_0(\theta)$, which is the shortest distance from θ to a point on the umklapp Fermi surface. Then $q(\theta,\theta')$ can be approximated by $\sqrt{q_0^2(\theta)+(k_F\phi)^2}$. The θ' integral can be replaced by an integral over ϕ . For $T\ll Cq_0^3(\theta)$, we use the form for large a given after Eq. (8). The integral over ϕ is rapidly convergent and we find $\gamma(\theta)\propto T^2/[v_FC^2q_0^4(\theta)]$. Note that it is a rapidly decaying function of $q_0(\theta)$.

Next we consider the case $T > Cq_0^3(\theta)$ and use the small a limit of f(a). The ϕ integration converges to a constant when the small a limit is satisfied, and we obtain

$$\gamma(\theta) = \frac{\alpha T}{q_0(\theta)}, \quad T > Cq_0^3(\theta), \tag{10}$$

where $\alpha = g^2/(2v_FC)$. The linear T dependence of the scattering out rate near the umklapp point which onsets at very low temperature is one of the main results of this paper.

III. SOLUTION OF THE BOLTZMANN EQUATION

The difficulty of the solution of the Boltzmann equation comes from the second term in Eq. (6) which involves an integral over $\Phi(\theta')$. To make analytic progress, we shall argue that a relaxation time approximation is justified for the resistivity (B=0) at low temperatures, which we verify later with a numerically exact solution. Let us consider an electric field E along x and B=0. We expect the Fermi surface to be displaced along x and the displacement will satisfy $\Phi(\theta+\pi)=-\Phi(\theta)$. From Fig. 1, we expect θ' to be near π . So we define $\theta''=\theta'-\pi$. Furthermore, since F is peaked near small q, we

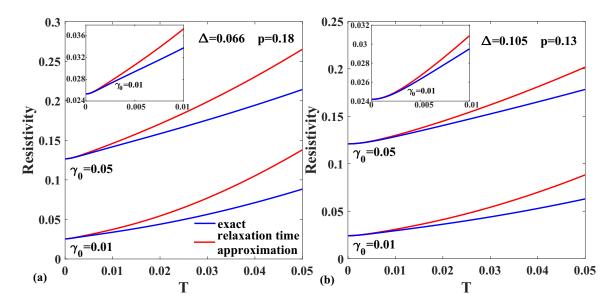


FIG. 3. Resistivity vs temperature is shown in (a) and (b) for two values of doping p. Temperature is measured in units of the nearest neighbor hopping parameter t. Note that Δ is the umklapp vector Δ_q shown in Fig. 1 measured in units of the Brillouin zone dimension, i.e., $\Delta = \Delta_q/2\pi$. The impurity scattering rate is γ_0 . The blue lines are obtained by solving the linearized Boltzmann equation, Eq. (6) numerically, while the red lines are obtained in the relaxation time approximation. The inset shows an expanded view of the low-temperature region. Note that in (a) an apparently T-linear resistivity extends from the lowest temperature up to T=0.05 for $\gamma=0.05$. The crossover from T to T^2 as the temperature is lowered can be seen in the inset of (b) which is for a larger Δ , confirming the expected Δ^3 dependence of the crossover scale.

expect $-\theta''$ to be near θ . Since Φ is an even function of θ in the absence of B, and we expect it to be slowly varying on the scale of the relatively short range kernel $F(\theta, \theta')$, we can replace $\Phi(\theta')$ on the right hand side in Eq. (6) by $-\Phi(\theta)$. This leads to the relaxation time approximation, with a relaxation time of $1/(2\gamma)$. We obtain $\Phi(\theta) = e\mathbf{v_F} \cdot \mathbf{E}/[2\gamma(\theta) + \gamma_0]$ and the current and conductivity can be computed by averaging the product of $\Phi(\theta)$ and the x component of the Fermi velocity over the Fermi surface in the standard way. For simplicity we will assume a circular Fermi surface with a constant Fermi velocity in the analytic estimate below.

In the absence of impurity scattering, $\Phi(\theta)$ is strongly suppressed near the umklapp point where the scattering is strong. The current is mainly carried by electrons near $\theta=\pi/4$ and equivalent directions, and the current is no longer controlled by the distribution function near the umklapp points. A similar situation was pointed out by Hlubina *et al.* [9] in connection with the hot-spot problem. Just as in that case [10], the situation is different once a small amount of impurity scattering is introduced, with scattering rate $\gamma_0 \ll 1$. The conductivity (resistivity) saturates to a constant σ_0 (ρ_0) at zero temperature and we can consider the relative deviation at finite T. For small deviation $[\rho(T) - \rho_0]/\rho_0 = -[\sigma(T) - \sigma_0]/\sigma_0 = -\gamma_0 \int \{1/[2\gamma(\theta) + \gamma_0] - 1/\gamma_0\} d\theta/2\pi$.

We will use Eq. (10). For $\Delta_q \ll k_F$, $q_0(\theta)$ can be approximated by $\Delta_q + 2k_F\theta^2$. The integral over θ is easily done after extending the integration limits to infinity, a step which is justified as long as $[\rho(T) - \rho_0]/\rho_0 < 1$. We obtain

$$\frac{\rho(T) - \rho_0}{\rho_0} = \frac{\alpha T / (\gamma_0 \sqrt{2k_F})}{\sqrt{\Delta_q + 2\alpha T / \gamma_0}}.$$
 (11)

This equation should be valid for $T > C\Delta_q^3$ and when the value of $[\rho(T) - \rho_0]/\rho_0$ is limited to be less than unity. We conclude that the resistivity begins as a constant ρ_0 plus a T^2 term which quickly changes to a linear T dependence, and then crosses over to a limited region of \sqrt{T} behavior for $T > \Delta_q \gamma_0/\alpha$ before the limit set by $[\rho(T) - \rho_0]/\rho_0 < 1$ is reached. Note that the coefficient of the linear T term in the resistivity $\rho(T)$ itself is independent of the impurity scattering rate γ_0 and is therefore intrinsic. It depends weakly on Δ_q as $1/\sqrt{\Delta_q}$. At even higher temperatures, the assumption of the conductivity being dominated by small θ no longer holds and the resistivity increases faster than linear T. The precise T dependence depends on details of the model and is referred to as the nonuniversal regime in Fig. 2.

We next solve the Boltzmann equation numerically without making the relaxation time approximation. This is done by discretizing the θ' variable in Eq. (6) and converting the integral-differential equation (in the presence of B) by a matrix equation. Inverting the matrix numerically gives an accurate solution for $\Phi(\theta)$. The results for the resistivity are shown in Fig. 3.

We introduce the dimensionless umklapp vector measured in units of the Brillouin zone size $\Delta = \Delta_q/2\pi$. We also rewrite $C\Delta_q^3$ as $\tilde{C}(\Delta_q/k_{F0})^3$ and in the numerical calculations we choose the parameter $\tilde{C}=Ck_{F0}^3=1$, where k_{F0} is the Fermi wave vector along $\theta=0$. A different choice of \tilde{C} can be compensated by choosing a different value of Δ . For example, a larger value of \tilde{C} will require a smaller Δ to enter the linear T regime at the same temperature by keeping $C\Delta_q^3$ the same. However, the value of \tilde{C} does impact the high-temperature nonuniversal regime shown in Fig. 2. If \tilde{C} is small, we will quickly enter the temperature regime $T > \tilde{C}$ when all modes

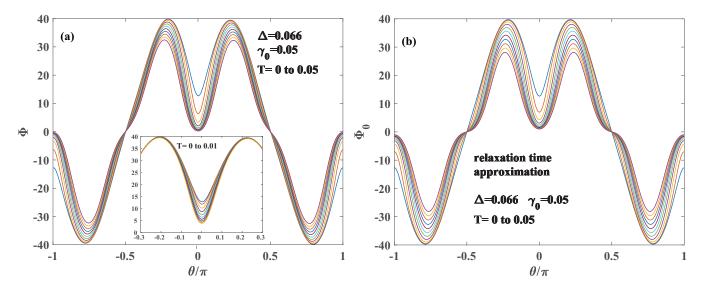


FIG. 4. (a) Distribution $\Phi(\theta)$ obtained by solving the Boltzmann equation (6) numerically for a range of temperatures in ten equally spaced steps. Inset shows the low-temperature range in 10 equal steps up to T = 0.01 (b) same as (a) but using the relaxation time approximation.

are thermal occupied. This is equivalent to the regime when temperature is higher than the phonon Debye temperature and the resistivity is linear, for a rather trivial reason. We also set g = 1 in the numerical work. In the region of interest, the temperature dependent part of the resistivity is proportional to g^2 . So this choice simply sets the scale of the temperature dependent part of the conductivity (for example, the slope of the linear T term) relative to the constant part ρ_0 . In the calculation of Φ we have also set the electric field Ealong x to be unity. For the band dispersion ϵ_k we use the parametrization suggested by Horio et al. [16] for Nd doped LSCO, t'/t = -0.136, t''/t = 0.068, where t' and t'' are the further neighbor hopping integrals. This model has a van Hove singularity at doping $p \approx 0.22$ beyond which the large hole Fermi surface centered at (π, π) becomes an electron Fermi surface centered at zero. Near this doping the dimensionless umklapp vector Δ is very small. In Fig. 3 we see that the relaxation time approximation works very well at low temperature, but lies above the exact results and deviates from linearity sooner as temperature increases. The linear T behavior is very clear at low T from the inset of Fig. 3(a). For $\Delta = 0.066$ the initial T^2 term is hardly visible, but becomes apparent for the $\Delta = 0.105$ case, as expected from the Δ^3 dependence of the crossover scale. We verify that the resistivity slope is independent of γ_0 by inspecting Fig. 3. The crossover to \sqrt{T} is rather subtle and can be seen as a region of convex curvature in the inset of Fig. 3(a). Numerically the linear T like regime extends to about $2\rho_0$.

In Fig. 4(a) we show $\Phi(\theta)$ for a range of temperatures for a finite impurity concentration. At zero temperature, $\Phi(\theta)$ is given by the relaxation time approximation due to impurity scattering alone and the dips at $\theta=0$ and π are due to the smaller Fermi velocity there. In the inset, we see that, at low temperature, the Φ is further suppressed mainly near the umklapp points at $\theta=0$ and π so that the current is reduced in a way that is linear in T. As the temperature further increases, the current is increasingly being carried by fermions away from the umklapp points. This is responsible for the eventual

deviation from linear T in the resistivity. We also show in Fig. 4(b) the distribution function $\Phi_0(\theta)$ in the relaxation time approximation. We see that the relaxation time approximation works very well at low temperature, but tends to overestimate the effect of temperature as T increases, leading to the upward curvature for the resistivity shown by the red lines in Fig. 3.

The clean limit is approached by continuing to reduce the impurity scattering rate γ_0 . The impurity dominated temperature range identified in this paper shrinks, and the entire temperature range is covered by the nonuniversal regime shown in Fig. 2. In this case, most of the current is carried by fermions in the direction $\theta = \pi/4$. These currents are relaxed by large momentum scattering to the umklapp point and its temperature dependence is similar to that of conventional electron-electron scattering, i.e., T^2 . This trend is already visible in the $\gamma = 0.01$ case in Fig. 3. The normal scattering term (G = 0) in Eq. (5) continues to be negligible, for reasons explained earlier. Thus the anomalous scattering rate $T^{4/3}$ that is associated with the normal scattering term does not contribute to flattening the distribution function Φ and does not affect the resistivity. It is worth repeating our earlier remark that if the parameter $\tilde{C} = Ck_{F0}^3 << 1$, the mode given by Eq. (1) is very soft even for large momentum scattering, and the resistivity is linear in T when $T > \tilde{C}$ when all the modes are thermally occupied.

IV. MAGNETIC FIELD DEPENDENCE AND POSSIBLE RELATIONS TO EXPERIMENTS

We next discuss the effect of an orbital magnetic field, and compute the Hall effect and magnetoresistance. Our model contains a number of features which have been seen experimentally in a number of cuprates. Here we show some useful comparisons and also point out a number of inadequacies of our model.

The Hall coefficient R_H is calculated from the linear B coefficient in the exact solution of the Boltzmann equation

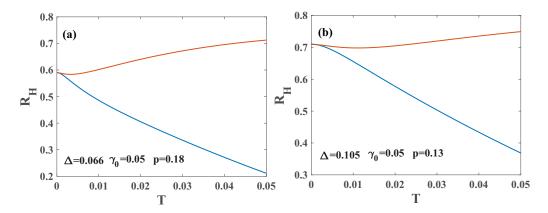


FIG. 5. Hall coefficient is plotted as a function of temperature for two values of doping. The blue lines are obtained by solving the Boltzmann equation (6) numerically, while the red line is obtained using the relaxation time approximation. Note that, unlike the resistivity, there is a huge discrepancy between the two results. A Hall coefficient that rapidly decreases with increasing temperature is a special feature of our model which is not captured by the commonly used angle dependent relaxation time approximation.

and shown by the blue lines in Fig. 5. For comparison we also show the relaxation time approximation result, using the standard Zener-Jones formula [17]:

$$\sigma_{xy} = \frac{2e^3B}{h} \int \frac{d\theta}{2\pi} \frac{v_y}{\gamma(\theta)} \frac{d[v_x/\gamma(\theta)]}{d\theta}.$$
 (12)

The two results agree exactly at zero temperature where impurity scattering dominates, but to our surprise they deviate strongly at higher temperatures. The zero temperature value is a bit lower than the expected 1/(1+p). This is because we assume a constant scattering rate as appropriate for Born scattering. If we assume a constant mean-free path as is appropriate for impurities with a fixed scattering cross section, we do find 1/(1+p). This difference is as expected in the presence of anisotropic Fermi velocities [17]. At finite temperatures our model has an inelastic scattering rate which strongly depends on the position on the Fermi surface, being large near the umklapp point. At a given T its temperature changes from linear T to T^2 as θ moves away from the umklapp point, as seen from Eq. (10). This kind of anisotropic scattering has been used as phenomenological models with considerable success to fit the conductivity and the angle dependent magnetoresistance (ADMR) for the Tl based and other cuprates [18,19]. They assume an isotropic Fermi liquid like T^2 term and a non-Fermi liquid T term that is concentrated near the antinode. This kind of model bears a lot of similarity to the relaxation time approximation of our more microscopic model. Therefore, the resistivity results are similar. Their calculated Hall coefficient also shows a weak temperature dependence, consistent with the red curves in Fig. 5. However, we see that the relaxation time approximation fares poorly for the Hall coefficient, which we find to decrease strongly with increasing temperature. As we can see by comparing Figs. 5(a) and 5(b), the decrease is faster and the discrepancy is stronger for smaller Δ . This result suggests the following physical picture. Consider an electron near $\theta =$ $\pm \pi/2$ in the presence of an E field along x. The umklapp process scatters the electron to a final state on the Fermi surface in the neighboring Brillouin zone in the y direction. This is equivalent to near perfect backscattering and the final state has a y component of the Fermi velocity that is *opposite* in sign to that from a near forward-scattering process. This can lead to a reduction and eventually a sign change in the Hall effect as the temperature increases. The information about the final state is encoded in the $\Phi(\theta')$ term on the right hand side of Eq. (6) but is absent in the relaxation time approximation. To confirm this picture we have calculated R_H in a model where the umklapp scattering to the neighboring Fermi surfaces located in the y direction have been removed, leaving only two umklapp points along the electric field direction. As seen from Fig. 6 the Hall coefficient is now increasing with T and is qualitatively similar to the relaxation time approximation result.

Experimentally, R_H that decreases with increasing temperatures was observed in underdoped and optimally doped YBCO in the early days of high T_c research and considered to be a major puzzle [20,21]. It has never been explained by any theory based on the relaxation time approximation and inspired exotic suggestions such as two different lifetimes for

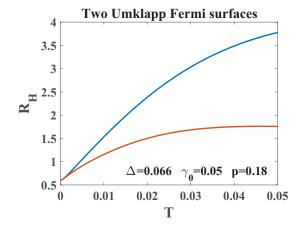


FIG. 6. Same as Fig. 5(a) but in a model where there are two instead of four umklapp Fermi surfaces. For electric field along x the umklapp scattering to the neighboring Fermi surfaces along y has been suppressed. Now R_H increases with increasing temperatures and the trend is qualitatively captured by the relaxation time approximation, shown in red.

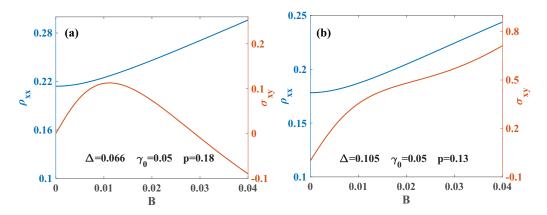


FIG. 7. Magnetoresistivity $\rho_{xx}(B)$ and the Hall conductivity (in red) for two dopings. While the magnetoresistance looks linear in B above a certain field, that field scale coincides with the deviation of σ_{xy} from linearity, which signals the onset of $\omega_c \tau > 1$.

 σ_{xx} and σ_{xy} [22]. The fact that a strongly temperature dependent R_H shows up due to the breakdown of the relaxation time approximation may give a hint to this longstanding puzzle. We should caution that in the experiment R_H is well fitted to a 1/T form, while our results in Fig. 5 are closer to a linearly decreasing function. Furthermore, this behavior is seen most strongly in the underdoped cuprates, where $1/R_H \approx p$. This is a feature of the pseudogap which is not captured in our present model, which gives in the limit of low temperature $1/R_H \approx 1 + p$.

We also computed the Hall conductivity σ_{xy} and the magnetoresistivity ρ_{xx} as shown in Fig. 7. The magnetoresistivity is initially quadratic in B as expected, by switches over to a linear dependence. However, σ_{xy} also deviates from linear B at a similar B scale. This indicates a breakdown of the weak field condition $\omega_c \tau < 1$, where $\hbar \omega_c = eB/m$. In a tight binding model we can roughly set $m \approx 1/2t$ so that $B \approx \hbar \omega_c/2t$, which gives us a sense of the size of the dimensionless parameter B. For an accessible field of tens of tesla's, B is indeed of order 10^{-2} as shown in Fig. 5. It has been pointed out that a linear |B| magnetoresistance can happen when a group of carriers is moved by the magnetic field to round a corner at $\theta = \pm \pi/2$, where the x component of the Fermi velocity changes sign. This can be a striking effect when the curvature of the turning point is large [23]. A linear magnetoresistance is also found in a parameter range associated with linear T resistivity by Rosch [10]. However, it is not known whether σ_{xy} also deviates from linearity in these cases. Experimentally, linear B magnetoresistance has been found to be associated with the low-temperature linear T behavior in both the cuprates [3,24] and the iron based superconductors [25]. It is often (but not always [24]) fitted to the form $\sqrt{B^2 + T^2}$. However, this is observed in the $\omega_c \tau < 1$ regime and it is not clear if our theory applies. Recent experiments even indicate that the linear in B magnetoresistance may have a Zeeman rather than orbital origin [3].

The effect of a magnetic field on the distribution Φ is shown in Fig. 8. We can see the distribution being shifted to the right, but its magnitude also becomes asymmetric as the field is increased. Note that the condition that Φ is an odd function of θ is violated by the magnetic field, and the argument given earlier in support of the relaxation time approximation fails.

V. CONCLUSION

We have treated a model of fermions scattered by a critical bosonic mode with umklapp scattering being the dominant momentum relaxation mechanism. After introducing a weak impurity scattering, we find that, above a very lowtemperature scale, the resistivity is linear in T. This persists up to a temperature scale where the temperature dependent part of the resistivity is comparable to the impurity contribution. We find that the resistivity is characterized by a highly anisotropic scattering rate, which is linear in T near the umklapp points and becoming T^2 as we move away from the umklapp point. It should be noted this is happening in a model where inverse lifetime of the fermion is large, proportional to $T^{2/3}$, and Landau's criterion for Fermi liquid is violated. Thus the lifetime of the electron as measured by ARPES is totally different from that inferred from transport measurements. We also find a serious breakdown of the relaxation time approximation in the presence of a magnetic field, leading to a Hall coefficient R_H that decreases with increasing temperature. This is a unique feature (or signature) of transport that is dominated by umklapp scattering. While many of these

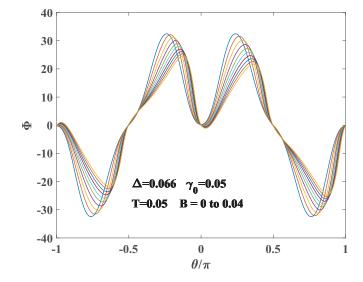


FIG. 8. Distribution function $\Phi(\theta)$ for a range of magnetic field B in 10 equal steps.

features have been seen in cuprates, we should caution that our model has made a specific assumption that the boson mode is completely soft, i.e., we are either at the quantum critical point or in a critical phase. Deviation from criticality will give a low-temperature cutoff of the phenomena we describe. We should also remember that the model assumes a sharp Fermi surface in the sense that the electron spectral function is sharp in momentum space at low energy, i.e., the MDC as seen in ARPES is well defined. Thus our model does not have the pseudogap at the antinode, which is a dominant feature in underdoped cuprates. Recently it was shown that the MDC becomes sharp abruptly for p greater than a certain p_c [26] and our model may be better suited for this doping range.

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APPENDIX

We sketch the derivation of Eq. (6) starting from Eqs. (4) and (5). The idea is to integrate out the variable $\bf p$ and replace it with the fermion susceptibility. In the case of umklapp scattering, we expect $\bf p$ to be far from the umklapp points, so that $\tilde{\Phi}(\bf p)$ is slowly varying and we can drop the term $\tilde{\Phi}(\bf p) - \tilde{\Phi}(\bf p + \bf q)$ on the right hand side for small q. We also replace $\delta(\epsilon_{\bf k} + \epsilon_{\bf p} - \epsilon_{\bf k - q + G} - \epsilon_{\bf p + q})$ with $\int d\omega \, \delta(\epsilon_{\bf k} - \epsilon_{\bf k - q + G} - \omega) \delta(\omega + \epsilon_{\bf p} - \epsilon_{\bf p + q})$. We replace the $\bf p$ integration by an integration along the Fermi surface and one perpendicu-

lar to it. For simplicity we shall assume a quadratic dispersion. The radial integration is replaced by an integral over $\epsilon_{\bf p}/v_F$. This integration can be done using the identity

$$\int d\epsilon f_0(\epsilon - \omega)[1 - f_0(\epsilon)] = \omega[1 + n_0(\omega)]. \tag{A1}$$

The appearance of the Bose factor on the right signals that we are describing a particle-hole excitation which has Bose statistics. Next we do the integration along the Fermi surface which we can replace by $k_F d\theta_p$, where θ_p specifies a patch on the Fermi surface. For small q we can write $\delta(\omega + \epsilon_{\mathbf{p}} - \epsilon_{\mathbf{p}+\mathbf{q}}) = \delta(v_{\mathbf{p}} \cdot \mathbf{q} - \omega)$. We choose the origin of θ_p to be the point where \mathbf{q} is tangent to the Fermi surface. The delta function then becomes $\delta(v_F q \sin(\theta_p) - \omega)$. Doing the θ_p integration gives the factor $\omega/v_F q$ for $\omega < v_F q$, as expected for Landau damping. Since we are dealing with a soft mode $\omega \propto q^3$ this inequality is always satisfied. We obtain

$$I_{ee} = (g^2/\pi T) \int d\omega \sum_{\mathbf{q}} \delta(\epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k} - \mathbf{q} + \mathbf{G}} - \omega) [n_0(\omega) + 1]$$

$$\times f_{\mathbf{k}}^0 (1 - f_{\mathbf{k} - \mathbf{q} + \mathbf{G}}^0) (k_F \omega / v_F q) |P(q, \omega)|^2 [\tilde{\Phi}(\mathbf{k})$$

$$- \tilde{\Phi}(\mathbf{k} - \mathbf{q} + \mathbf{G})]. \tag{A2}$$

Finally we integrate Eq. (4) over $\epsilon_{\bf k}$. The left side gives the left side of Eq. (6). On the right side the Fermi factors force $\epsilon_{\bf k} - \mu$ to be small and we can replace $\tilde{\Phi}({\bf k})$ by $\Phi(\theta)$ as defined in the text. The integration over $\epsilon_{\bf k}$ is done by using Eq. (A1) again. Using $|P(q,\omega)|^2 = (q/\omega)D(q,\omega)$, we obtain Eq. (6).

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