

Electrical control of anisotropic and tightly bound excitons in bilayer phosphoreneSangho Yoon^{1,2,*}, Taeho Kim^{1,2,*}, Seung-Young Seo,^{1,2} Seung-Hyun Shin,³ Su-Beom Song,^{1,2} B. J. Kim,^{2,3} Kenji Watanabe⁴, Takashi Taniguchi,⁵ Gil-Ho Lee,³ Moon-Ho Jo,^{1,2} Diana Y. Qiu,^{6,†} and Jonghwan Kim^{1,2,3,‡}¹*Department of Materials Science and Engineering, Pohang University of Science and Technology, Pohang, Republic of Korea*²*Center for Artificial Low Dimensional Electronic Systems, Institute for Basic Science (IBS), Pohang, Republic of Korea*³*Department of Physics, Pohang University of Science and Technology, Pohang, Republic of Korea*⁴*Research Center for Functional Materials, National Institute for Materials Science, Tsukuba, Ibaraki, Japan*⁵*International Center for Materials Nanoarchitectonics, National Institute for Materials Science, Tsukuba, Ibaraki, Japan*⁶*Department of Mechanical Engineering and Materials Science, Yale University, New Haven, Connecticut 06520-8292, USA*

(Received 21 August 2020; revised 15 December 2020; accepted 22 December 2020; published 27 January 2021)

Monolayer and few-layer phosphorene are anisotropic quasi-two-dimensional (quasi-2D) van der Waals (vdW) semiconductors with the linear-dichroic light-matter interaction and the widely tunable direct band gap in the infrared frequency range. Despite recent theoretical predictions of strongly bound excitons with unique properties, it remains experimentally challenging to probe excitonic quasiparticles due to the severe oxidation that occurs during device fabrication. In this study, we report the observation of strongly bound excitons and trions with highly anisotropic optical properties in intrinsic bilayer phosphorene, which are protected from oxidation by encapsulation with hexagonal boron nitride (hBN) in a field-effect transistor (FET) geometry. Reflection contrast and photoluminescence spectroscopy clearly reveal the linear-dichroic optical spectra from anisotropic excitons and trions in the hBN-encapsulated bilayer phosphorene. The optical resonances from the exciton Rydberg series indicate that the neutral exciton binding energy is over 100 meV even with the dielectric screening from hBN. The electrostatic injection of free holes enables an additional optical resonance from a positive trion (charged exciton) ~ 30 meV below the optical band gap of the charge-neutral system. Our work shows exciting possibilities for monolayer and few-layer phosphorene as a platform to explore many-body physics and photonics and optoelectronics based on strongly bound excitons with twofold anisotropy.

DOI: [10.1103/PhysRevB.103.L041407](https://doi.org/10.1103/PhysRevB.103.L041407)**I. INTRODUCTION**

Two-dimensional van der Waals (vdW) semiconductors have emerged as a fascinating class of materials for exploring novel excitonic phenomena [1–4] and optoelectronic applications [5]. Such opportunities arise from the combination of spatial confinement and the dramatically enhanced Coulomb interaction due to reduced dielectric screening in the atomically thin structure, which greatly enhances the exciton binding energy compared to bulk materials, as shown for transition-metal dichalcogenide (TMD) monolayers [6]. The exciton binding energy can be on the order of several 100 meV, which is more than an order of magnitude greater than in typical inorganic semiconductors. Higher-order excitonic complexes such as charged excitons (or trions) [7,8], biexcitons [9,10], and even charged biexcitons [11–13] are observed to be stable at elevated temperatures. Furthermore, the strong Coulomb interaction can be readily controlled via the modification of the local dielectric environment, which provides a pathway to engineer the electrical and optical properties of semiconductors based on many-body interactions [14–16].

Monolayer and few-layer phosphorene are unique quasi-two-dimensional (quasi-2D) vdW semiconductors with distinct physical properties. The puckered intralayer structure [Fig. 1(a)] exhibits highly anisotropic charge transport and linear-dichroic optical response (i.e., strong linear polarization dependence of light absorption) with respect to the crystalline axes [17–20]. As the thickness varies from the monolayer to the bulk limit, the electronic structure maintains a direct band gap, while the optical band gap substantially decreases from ~ 1.7 to ~ 0.3 eV due to the strong interlayer interaction [21,22]. Such unusual properties of few-layer phosphorene have enabled exciting new possibilities for device applications including widely tunable infrared optoelectronics [23,24] and extremely low-power transistors [25].

However, experimental studies of anisotropic excitonic quasiparticles in monolayer and few-layer phosphorene have been significantly hampered due to the low crystal quality in the limit of atomic thickness [21,22,26–29]. A recent study shows that the crystal can be oxidized by the surface chemical environment of an oxide substrate even in a glovebox with a globally low level of oxygen and moisture [30]. The degradation from the substrate surface can be suppressed by utilizing a hydrophobic substrate such as polydimethylsiloxane (PDMS) [28]. Nevertheless, the polymer substrate is limited for device fabrication and low-temperature measurements, which are essential to investigate the structure and properties of excitons and to demonstrate optoelectronic applications. On

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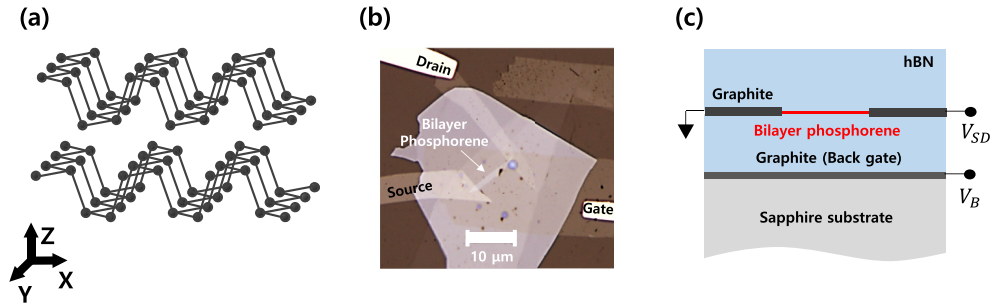


FIG. 1. hBN-encapsulated bilayer phosphorene in FET geometry. (a) Crystal structure of bilayer phosphorene. (b) Optical microscope image of the representative bilayer phosphorene device. (c) The schematic of the FET device in (b).

the other hand, hexagonal boron nitride (hBN), a vdW layered wide-band-gap insulator (~ 6 eV), has been widely utilized for two-dimensional materials as an excellent encapsulating material due to its atomically flat and dangling-bond-free surface. The disorder from the external environment including the substrate surface roughness, charge traps, and chemical degradation can be dramatically suppressed by encapsulation with hBN, which has provided experimental access to novel intrinsic physical properties of graphene, TMD monolayers, and air-sensitive 2D materials [25,31–33].

In this study, we report the observation of strongly bound excitons and trions (charged excitons) with highly anisotropic optical properties of bilayer phosphorene in a field-effect transistor (FET) geometry with hBN encapsulation. Reflection contrast and photoluminescence spectroscopy reveal a linear-dichroic optical spectrum from a series of anisotropic excitons and trions with a remarkably narrow linewidth, which allows us to probe the detailed excitonic structure. The optical resonances from the exciton Rydberg series show that the neutral exciton binding energy in the encapsulated bilayer phosphorene is greater than 100 meV. The electrostatic injection of free holes enables the observation of an additional optical resonance from the positive trion ~ 30 meV below the lowest-energy singlet exciton state. In combination with *ab initio* calculations of the energy splitting between the spin-singlet and spin-triplet exciton states, we estimate that the binding energy of the positive trions is ~ 20 meV. Despite the large dielectric screening from hBN, bilayer phosphorene shows tightly bound excitons and trions with remarkably large binding energies.

II. RESULTS AND DISCUSSION

Figure 1(a) shows the crystal structure of bilayer phosphorene where phosphorus atoms form a puckered honeycomb lattice with two distinct crystalline axes along the X (armchair) and Y (zigzag) directions. An optical microscope image and a schematic of the representative FET device are shown in Figs. 1(b) and 1(c), respectively. Two hBN crystals (~ 20 nm thick) encapsulate the bilayer phosphorene to prevent oxidation and avoid oxide substrate-induced defects including dielectric disorder [33]. Two flakes of few-layer graphene are in contact with the bilayer phosphorene as a source and a drain electrode. Additional few-layer graphene is placed under the bottom hBN, which controls the carrier concentration electrostatically (see the Supplemental Material [34] and

Refs. [21,31] therein). The source-drain current as a function of the gate voltage (V_B) shows the characteristic behavior of a bipolar transistor, which indicates that both electrons and holes can be injected in the channel of bilayer phosphorene (see the Supplemental Material [34]). We find that bilayer phosphorene is slightly hole-doped at $V_B = 0$ V presumably due to defects in the crystal as reported in the previous literature [18,35].

We measure the absorption and photoluminescence spectra of the bilayer phosphorene for the charge-neutral case ($V_B = 0.2$ V). Figure 2(a) shows the polarization-resolved reflection contrast spectra ($\Delta R/R$). Linearly polarized broadband white light from a tungsten-halogen lamp illuminates the sample in a home-built confocal microscope setup. All our optical measurements are carried out at a temperature of 10 K unless otherwise specified. For atomically thin vdW crystals on a transparent sapphire substrate, $\Delta R/R$ is approximately proportional to the real part of the optical conductivity (i.e., optical absorption) [21,36]. A prominent resonance is observed at 1.129 eV for the polarization along the armchair direction (red solid line labeled as X -pol.) of the bilayer phosphorene crystal. On the other hand, $\Delta R/R$ shows an overall broad background for the polarization along the zigzag direction (black solid line labeled as Y -pol.). The observed linear-dichroic resonance with a Lorentzian line shape originates from the excitonic transition at the optical band edge of the bilayer phosphorene as reported in the previous literature [16,21,22,28,37,38]. In particular, mirror symmetry in the xz -plane of bilayer phosphorene [Fig. 1(a)] leads to the strictly forbidden dipole interaction of linearly polarized light in the Y -direction (Y -polarized light) at the band edge. The broad background in the spectra for both polarization configurations arises due to the dielectric capping layers of hBN, which start introducing the imaginary part of the optical conductivity in $\Delta R/R$ [21,36].

Figure 2(b) shows the photoluminescence spectra with the unpolarized laser excitation at 1.96 eV. The polarization of the luminescence is analyzed by a combination of a half-wave plate and a linear polarizer in front of the spectrometer. The spectra show perfectly polarized photoluminescence along the armchair direction (red solid line labeled as X -pol.) as expected from the anisotropic dipole interaction of bilayer phosphorene. In addition, a strong signal is observed at 1.129 eV, which is exactly the same energy of the resonance in $\Delta R/R$ as marked with a black dashed line in Figs. 2(a) and 2(b). The excellent agreement between the absorption and the

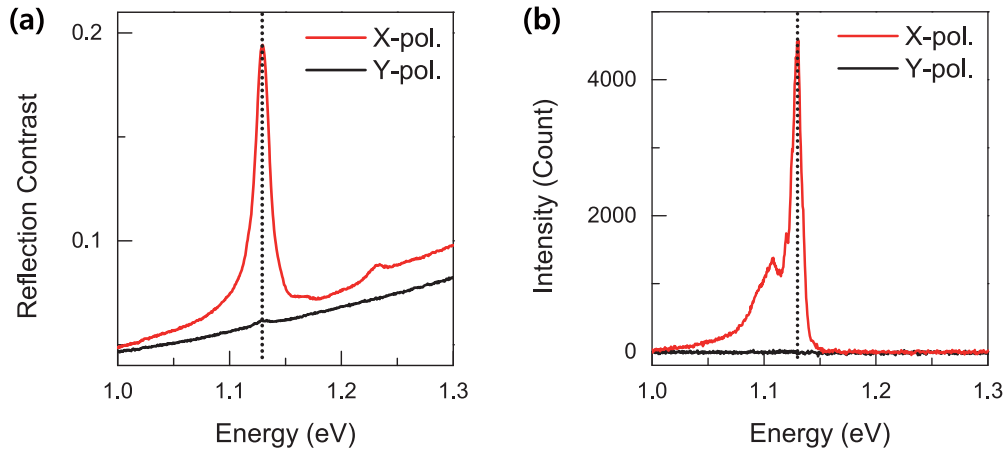


FIG. 2. Optical spectra of the anisotropic exciton for the undoped system. (a) The polarization-resolved $\Delta R/R$. (b) The polarization-resolved photoluminescence spectra with the unpolarized laser excitation at 1.96 eV.

luminescence edges confirms the characteristic of the direct-band-gap semiconductor for bilayer phosphorene. The full width at half-maximum (FWHM) of the luminescence peak is ~ 10 meV. The broad and small signal at lower energy is presumably from defect-related states. The absence of a Stokes shift and the remarkably narrow linewidth indicate that high crystalline quality of bilayer phosphorene can be achieved via hBN encapsulation as demonstrated for graphene and TMD monolayers [32,33].

The high quality of the sample enables detailed investigation of the excitonic transitions at the optical band edge. $\Delta R/R$ [red solid line in Fig. 2(a)] shows another pronounced resonance at 1.229 eV. The strong polarization dependence indicates that the resonance originates from the bilayer phosphorene. The optical transition between the higher-lying subbands, on the other hand, is located at ~ 2.4 eV, which is far away in energy [16,21]. Therefore, we attribute the resonances in series at 1.129 and 1.229 eV as the optical transitions for the exciton $1s$ and $2s$ states, respectively. The assignment is further supported by our *GW* plus Bethe-Salpeter equation (*GW*-BSE) calculations [39–41], which find an energy difference of 160 meV between the exciton $1s$ and $2s$ states in freestanding bilayer phosphorene and 90 meV when the screening from the hBN encapsulation is included with a Rytova-Keldysh type potential [42,43] (see the Supplemental Material [34] and Refs. [39–49] therein). We note that the recent study reports similar excitonic transitions in bilayer phosphorene on PDMS at room temperature [28].

Due to the large transfer of oscillator strength to the exciton states, the continuum onset is not visible in the reflection contrast spectrum. However, the binding energy of the exciton can be estimated from the excitonic absorption lines in Fig. 2(a). In the simplest approach, the isotropic 2D hydrogenic model predicts that the exciton binding energy, $E_B^{X_0}$, should be $E_B^{X_0} = \frac{9}{8}\Delta_{12}$, where Δ_{12} is the energy difference between the exciton $1s$ and $2s$ states. For unencapsulated quasi-2D materials, it is well-established in both theory and experiment that exciton excitation series deviate strongly from the isotropic 2D hydrogenic model due to the inhomogeneous dielectric environment, and the ratio of $E_B^{X_0}$ and Δ_{12} can be greater than 2 in freestanding quasi-2D materials [16,50–53].

However, in the presence of encapsulation, if the dielectric constant of the encapsulating material is similar to that of the functional material, as is the case for hBN and few-layer phosphorene [16,54,55], the dielectric environment becomes more homogeneous and is better approximated by the 2D hydrogenic model. Based on our experimentally measured Δ_{12} of ~ 100 meV, we use the 2D hydrogenic model to estimate a binding energy of ~ 110 meV. A more accurate theoretical model (see the Supplemental Material [34] and Refs. [39–49] therein), which was fit to our *GW*-BSE calculation and includes the anisotropy of the band structure, gives a similar value of 98 meV for the exciton binding energy of bilayer phosphorene encapsulated in hBN.

We investigate the effect of doping on the exciton structure by applying a gate voltage that electrostatically controls the carrier concentration in bilayer phosphorene. Figures 3(a) and 3(d) show photoluminescence spectra and $\Delta R/R$ with polarization along the armchair direction, respectively, for the hole-doped case. In both the luminescence and absorption spectra, the exciton $1s$ peak at 1.129 eV diminishes, and the new peak emerges at ~ 1.1 eV as the gate voltage is varied from 0.2 V (the charge-neutral case) to -1.5 V (the hole-doped case). The emerged optical resonance also shows a strong polarization dependence. At $V_B = -1.5$ V, as a representative example for the hole-doped case, the magnitude of the peak at ~ 1.1 eV exhibits a $\cos^2\theta$ pattern [blue empty circles in Fig. 3(c) and blue filled circles in Fig. 3(f) for photoluminescence and $\Delta R/R$, respectively] in the function of the light polarization angle θ . The negligible optical response at $\theta = 90^\circ$ (polarization along the zigzag direction) indicates that the dipole interaction for *Y*-polarized light is forbidden, identical to the optical resonance at ~ 1.129 eV for the charge neutral system [grey-empty circles in Fig. 3(c) and grey-filled circles in Fig. 3(f)]. The large oscillator strength of the new peak in $\Delta R/R$ implies that the new peak does not originate from long-lived localized defect states. Instead, our optical spectra suggest that a new delocalized state with strong anisotropy emerges due to the presence of free holes. The gate-dependent photoluminescence spectra are also reported in previous studies of monolayer and trilayer phosphorene on a SiO_2/Si substrate without encapsulation [27,29].

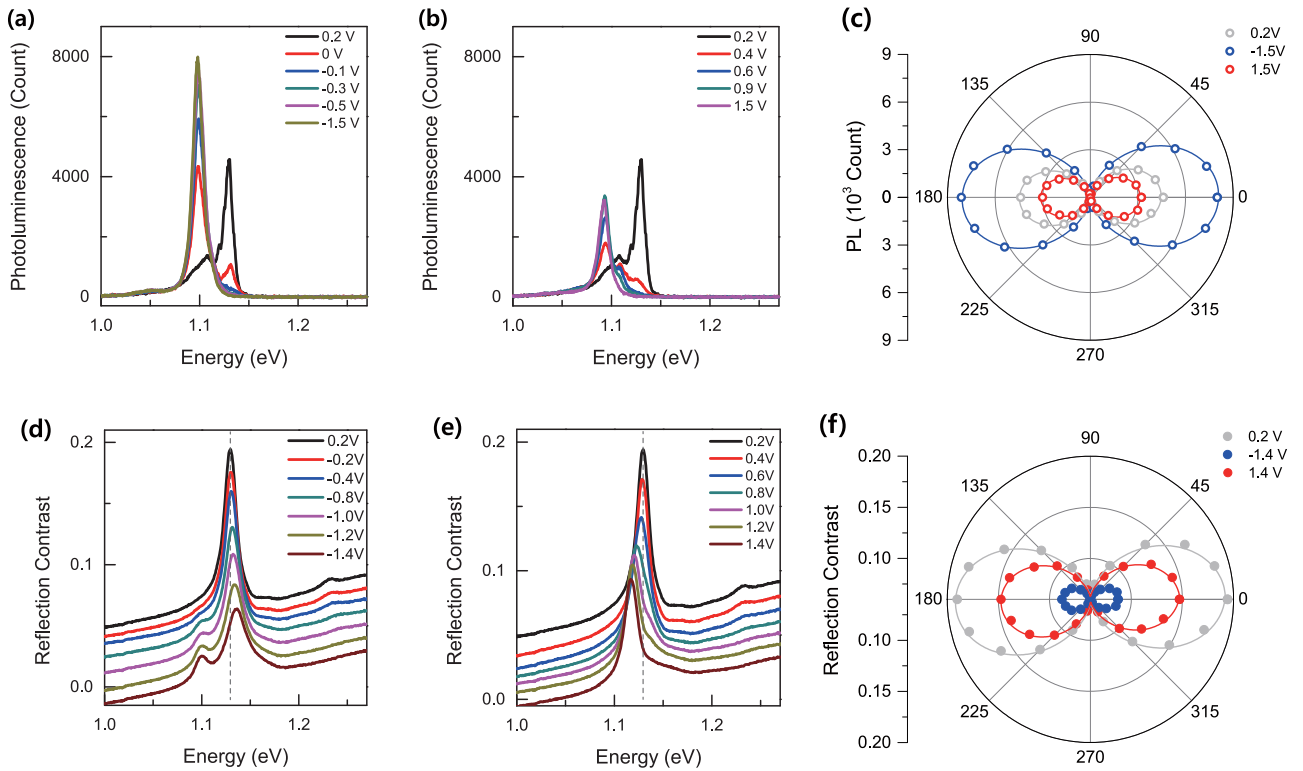


FIG. 3. Optical spectra of the anisotropic excitons with gate control. (a) and (b) Photoluminescence spectra with polarization along the armchair direction for the hole-doped (a) and electron-doped (b) cases. (c) Intensity of photoluminescence at peak energy as a function of polarization angle θ . (d) and (e) $\Delta R/R$ with polarization along the armchair direction for the hole-doped (d) and electron-doped (e) cases. (f) $\Delta R/R$ at peak energy as a function of polarization angle θ .

The emerged resonance in the photoluminescence and $\Delta R/R$ spectra can be explained by the formation of the trion, which was predicted by a recent theoretical study on monolayer phosphorene [37] and also well-established in TMD monolayers [6,56] as well as conventional semiconductors [57,58]. An exciton can combine with an additional hole (electron) to form a positive (negative) trion. The presence of free carriers enables the optical transition to directly create the trion, while the optical transition for the neutral exciton is suppressed due to a combination of Pauli blocking and dielectric screening. Following photoexcitation, the population of photoexcited excitons quickly relaxes to the trion, which is lower in energy. Such modification of the optical transition and relaxation dynamics of the exciton explains our optical spectra in Figs. 3(a) and 3(d).

In addition, the injection of free holes leads to a linewidth broadening and energy blueshift of optical transitions for the exciton $1s$ and $2s$ states [Fig. 3(d)]. The scattering with holes decreases the lifetime of excitons, which increases the linewidth of the resonances. The blueshift of the resonance energy is due to a combination of Pauli blocking and screening of Coulomb interaction. In the single-particle band picture, the occupation of hole states blocks the interband transitions at the band edge which participate in the formation of the exciton states. This leads to an energy blueshift of the quasiparticle gap and exciton excitation energy. On the other hand, the dielectric screening of Coulomb interaction reduces both the quasiparticle band gap and the exciton binding energy, which also modifies the exciton resonance energy. Typically, the

overall effect results in an energy blueshift for the exciton $1s$ state, which is consistent with our result [7,56].

The optical spectra for the electron-doped case are investigated by the application of a gate voltage from 0.2 to 1.5 V with polarization along the armchair direction. The photoluminescence spectra [Fig. 3(b)] show somewhat similar behavior to the hole doping case where the exciton peak diminishes accompanied by the emergence of a new peak at ~ 1.09 eV. However, $\Delta R/R$ [Fig. 3(e)] shows a stark difference. We do not observe any noticeable emergence of a new resonance at ~ 1.09 eV corresponding to the luminescence peak. The majority of the $1s$ state resonance shows only a continuous redshift while leaving a small shoulder at higher energy as shown for $V_B = 1.0$ and 1.2 V. At $V_B = 1.5$ V, as a representative example for the electron-doped case, the magnitudes of the luminescence peak at ~ 1.09 eV and the $\Delta R/R$ peak at ~ 1.116 eV show the same polarization dependence [red empty circles in Fig. 3(c) and red filled circles in Fig. 3(f), respectively] as the optical resonances for the neutral and hole-doped cases. This gate-dependent behavior cannot be explained by the conventional model for the trion as in the hole-doped case. This can be potentially explained by the electric field effect on few-layer phosphorene. A thicker-layer phosphorene FET with additional gate controls will be effective to address this anomalous issue, which will be of future interest but is beyond the scope of this work.

The analysis of $\Delta R/R$ [Fig. 3(d)] allows us to estimate the binding energy of the positive trion, which is defined as the energy required to break it into a free hole and the lowest energy

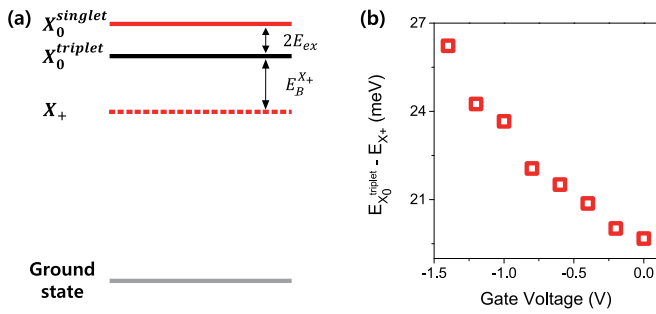


FIG. 4. The gate control of exciton energy structure. (a) The schematic of the energy levels for excitons and trions. (b) The energy separation of optical resonances between X_0^{triplet} and X_+ with the function of V_B .

neutral exciton. The exciton $1s$ state has the two possible spin configurations for the electron and the hole, namely the optically bright singlet ($S = 0$) and the optically dark triplet ($S = 1$) excitons, labeled as X_0^{singlet} (red solid line) and X_0^{triplet} (black solid line), respectively, in Fig. 4(a). The energy levels of two states are separated by twice the electron-hole exchange energy (E_{ex}), and the singlet state is higher in energy than the triplet state. In the absence of a magnetic field or spin-orbit coupling, X_0^{singlet} and X_0^{triplet} are bright and dark, respectively, due to the zero quantum number of spins of the photon. Therefore, the exciton $1s$ peak at 1.129 eV in Figs. 2(a) and 3(d) can be attributed to the optical resonance from X_0^{singlet} .

While our optical spectroscopy cannot probe the optically dark X_0^{triplet} state, we determine the triplet exciton energy level with theoretical calculations within the *ab initio* GW-BSE approach [39–41] (see the Supplemental Material [34] and Refs. [39–49] therein). We find that the exciton singlet-triplet energy splitting in bilayer phosphorene is 10 meV, in good agreement with previous calculations [38]. While the encapsulation leads to a renormalization of the QP band gap and exciton binding energy, it will not affect the exciton singlet-triplet splitting, which arises from the short-range exchange interaction.

Analogous to the case of carbon nanotubes [59,60], the major dissociation pathway of the positive trion in bilayer phosphorene, labeled as X_+ (red dashed line) in Fig. 4(a), is the following process: $X_+ \rightarrow X_0^{\text{triplet}} + \text{hole}$. The energy separation between X_0^{singlet} and X_+ increases monotonically as the doping concentration is raised as shown in Fig. 3(d). The energy separation between X_0^{triplet} and X_+ follows an identical trend, as the exchange interaction defining the exciton singlet-triplet energy splitting is unscreened and should thus be largely unaffected by doping. Figure 4(b) summarizes the

energy separation as a function of V_B . The increase in the energy separation can be explained by the energy required to dissociate X_+ into X_0^{triplet} and a hole at different doping concentration [7]. At an infinitesimal concentration of doping, the dissociation simply requires $E_B^{X_+}$. At higher concentrations, additional energy is required for the dissociation to overcome the raised chemical potential for the gas of free holes. Figure 4(b) shows ~ 20 meV for the energy separation at the nearly zero concentration, which corresponds to the binding energy of a positive trion $E_B^{X_+}$.

III. CONCLUSIONS

In summary, we realize a FET device of high-quality bilayer phosphorene by fabrication of a van der Waals heterostructure with hBN. $\Delta R/R$ and photoluminescence spectra show a strong linear-dichroic optical resonance from anisotropic excitons and positive trions. Despite the dielectric screening from hBN, bilayer phosphorene exhibits tightly bound excitons and trions with a binding energy of roughly 100 and 20 meV, respectively. Our work shows exciting possibilities for exploring many-body physics and optoelectronic applications based on excitons with twofold anisotropy.

ACKNOWLEDGMENTS

J.K., S.Y., T.K., and S.-B.S. acknowledge the support from the National Research Foundation of Korea (Grants No. 2017R1C1B2012729 and No. NRF-2020R1A4A1018935) and POSCO Steel Science Program. B.J.K. acknowledges the support from the Institute for Basic Science (IBS-R014-A2). S.-Y. S. and M.-H.J. acknowledge the support from the Institute for Basic Science (IBS), under Project Code IBS-R014-A1. S.-H.S. and G.-H.L. acknowledge the support from the National Research Foundation of Korea (NRF-2020R1C1C1013241). K.W. and T.T. acknowledge support from the Elemental Strategy Initiative conducted by the MEXT, Japan, Grant No. JPMXP0112101001, JSPS KAKENHI Grant No. JP20H00354, and the CREST(JPMJCR15F3), JST. D.Y.Q. acknowledges resources from a user project at the Molecular Foundry supported by the Office of Science, Office of Basic Energy Sciences, of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231. Computational resources were provided by the National Energy Research Scientific Computing Center (NERSC), a DOE Office of Science User Facility supported by the Office of Science of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231, which provided computational resources for the calculation on benzene.

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