

**Electron cascades and secondary electron emission in graphene under energetic ion irradiation**Henrique Vázquez<sup>1</sup>, Alina Kononov<sup>2</sup>, Andreas Kyritsakis<sup>1</sup>, Nikita Medvedev<sup>3,4</sup>,  
André Schleife<sup>5,6,7,\*</sup> and Flyura Djurabekova<sup>1,†</sup><sup>1</sup>*Helsinki Institute of Physics and Department of Physics, University of Helsinki, P.O. Box 43, 00014 Helsinki, Finland*<sup>2</sup>*Department of Physics, University of Illinois at Urbana-Champaign, Urbana, Illinois 61801, USA*<sup>3</sup>*Institute of Physics, Czech Academy of Sciences, Na Slovance 1999/2, 18221 Prague 8, Czechia*<sup>4</sup>*Institute of Plasma Physics, Czech Academy of Sciences, Za Slovankou 3, 182 00 Prague 8, Czechia*<sup>5</sup>*Department of Materials Science and Engineering, University of Illinois at Urbana-Champaign, Urbana, Illinois 61801, USA*<sup>6</sup>*Materials Research Laboratory, University of Illinois at Urbana-Champaign, Urbana, Illinois 61801, USA*<sup>7</sup>*National Center for Supercomputing Applications, University of Illinois at Urbana-Champaign, Urbana, Illinois 61801, USA*

(Received 1 December 2020; revised 19 April 2021; accepted 20 April 2021; published 16 June 2021)

Highly energetic ions traversing a two-dimensional material such as graphene produce strong electronic excitations. Electrons excited to energy states above the work function can give rise to secondary electron emission, reducing the amount of energy that remains in graphene after the ion impact. Electrons can be either emitted (kinetic energy transfer) or captured by the passing ion (potential energy transfer). To elucidate this behavior that is absent in three-dimensional materials, we simulate the electron dynamics in graphene during the first femtoseconds after ion impact. We employ two conceptually different computational methods: a Monte Carlo (MC)-based one, where electrons are treated as classical particles, and time-dependent density functional theory (TDDFT), where electrons are described quantum mechanically. We observe that the linear dependence of electron emission on deposited energy, emerging from MC simulations, becomes sublinear and closer to the TDDFT data when the electrostatic interactions of emitted electrons with graphene are taken into account via complementary particle-in-cell simulations. Our TDDFT simulations show that the probability for electron capture decreases rapidly with increasing ion velocity, whereas secondary electron emission dominates in the high-velocity regime. We estimate that these processes reduce the amount of energy deposited in the graphene layer by 15%–65%, depending on the ion and its velocity. This finding clearly shows that electron emission must be taken into consideration when modeling damage production in two-dimensional materials under ion irradiation.

DOI: [10.1103/PhysRevB.103.224306](https://doi.org/10.1103/PhysRevB.103.224306)**I. INTRODUCTION**

Two-dimensional (2D) materials promise a myriad of new applications such as ultracompact electronics [1], nanosensors of unprecedented performance [2,3], and water desalinators [4–6], among others. These applications cannot be realized with traditional, oftentimes low-precision, manufacturing techniques and require new, high-precision tools for modification of 2D materials. In this respect, swift heavy ions (SHI), i.e., ions heavier than carbon with energies above 100 keV per nucleon, were shown to modify materials on the nanometer scale [7]. This feature makes this type of irradiation promising for tailoring single-layer materials.

To date, there have been only a few studies on the effects of SHI irradiation in 2D materials. For example, experiments with SHIs under grazing incidence showed appearance of micron-size defects in graphene [8] and MoS<sub>2</sub> [9]. Under normal incidence, the regions affected by ions are much smaller, on a few nanometer scale.

These defects can be identified in graphene with Raman spectroscopy [10]. This technique is sensitive to changes in the bonding environment of carbon atoms; however, it is not capable of resolving the nature and structure of the defects. Moreover, high reactivity of the induced defects in graphene with air molecules limits the use of *ex situ* imaging techniques for accurate analysis of the damage size.

Atomistic simulations can be used to bypass these limitations of imaging techniques in 2D materials. For example, molecular dynamics (MD) simulations of SHI irradiation of graphene suggested that SHIs produce pores in this single-layer material [10]. Moreover, the size of the simulated pores showed the same trend as the corresponding experimental Raman signal. The same technique elucidated the role of a substrate in damage formation in the irradiated graphene [11] and the formation mechanism of catalytic sites in MoS<sub>2</sub> by SHIs [12]. These studies gave valuable insights into how 2D materials respond to SHI irradiation, although the models used to simulate SHI impact were based on approaches developed for bulk materials. In order to improve the accuracy of theoretical predictions, it is necessary to understand and include surface-specific processes, that despite not being critical in bulk, might significantly affect the dynamics in 2D materials.

\*schleife@illinois.edu

†flyura.djurabekova@helsinki.fi

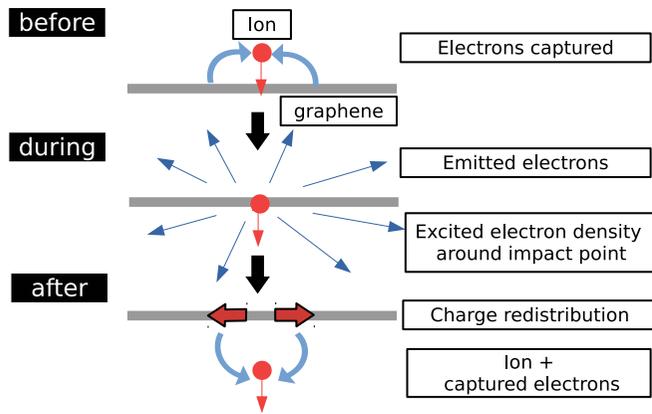


FIG. 1. Schematics of the electron dynamics before (top), during (middle), and after (bottom) the impact of a SHI in graphene. The approximate timescale of the dynamics shown here is on the order of femtoseconds.

In bulk materials, highly energetic ions excite electrons along their trajectories, generating primarily energetic  $\delta$  electrons, which propagate outwards, exciting more electrons and generating an electronic cascade. These excited electrons subsequently de-excite, transferring their energy to the atoms, which in turn may lead to defect formation in the irradiated material. Despite similarities, there are several important mechanisms in 2D materials that are different from those in bulk (see schematics in Fig. 1). First, the excitation modes of 2D materials can differ from their bulk counterparts, which can influence energy deposition [13]. Also, electrons excited to high energy states during a SHI impact may escape from the surface if their energy exceeds the barrier imposed by the work function. This phenomenon is known as secondary electron emission (SEE). Finally, a SHI captures electrons in bulk materials until its charge state reaches the equilibrium value, which happens within a few nanometers [14–17]. In 2D materials, the electron capture starts as the ion approaches the target, but this process may not have enough time to fully complete within the material and can become disrupted upon the SHI's exit from the target [13]. Moreover, graphene might be especially efficient at supplying electrons; Refs. [18] and [19] showed that during the impact of a highly charged ion (HCI) up to 30 electrons are captured, and 70 electrons are emitted.

SEE and electron capture may play a major role in determining how the energy is deposited and redistributed after the ion impact in 2D materials, which may consequently affect defect formation. Hence, in this work we aim to examine this hypothesis and quantify the loss of the initially deposited energy via these processes for different ion velocities and charge states.

To this end, we use two conceptually different simulation approaches: On one hand, we employ Monte Carlo (MC)-based simulations of electron cascades, where the electrons are described as classical point-like charges. On the other, we use time-dependent density functional theory (TDDFT) to describe quantum-mechanical behavior of electrons during the impact of an energetic ion. Our MC simulations predict as many as 100 emitted electrons during a single ion impact. We

interpret the large emission as an artifact of the simulation technique, which does not include electrostatic interactions after emission. When taking into account electrostatic interactions between emitted electrons and the charges in the layer via additional particle-in-cell (PIC) simulations, we observe a significant reduction of SEE and a closer agreement with the values predicted by TDDFT.

From comparing the results of both types of simulations we improve the understanding of the primary mechanisms triggered in 2D materials by SHIs. We show that SEE and electron capture carry away 15%–65% of the total energy that is initially deposited by the ion in the electronic subsystem. This reduction affects the size of structural defects arising from irradiation, which we expect to be smaller than what is obtained for 2D materials using bulk models that neglect SEE.

## II. COMPUTATIONAL METHODS

Interaction of a SHI with the electronic subsystem is a complex, multiscale phenomenon that involves processes such as electron-ion and electron-electron scattering, long-range Coulomb interactions, as well as the excitation and relaxation of electrons of the SHI and the target. To describe the physics of these processes we use both classical and quantum-mechanical approaches. Both of the methods have advantages and disadvantages in the context of SHI irradiation, which we discuss in short below.

Real-time TDDFT [20–24] explicitly approximates the quantum-mechanical electron-electron interaction and includes the electron-ion Coulomb interaction. It has recently been used to describe strong excitations in material surfaces created by either charged particles or electromagnetic radiation [13,18,25–27], and it is able to account for charge capture by the passing ion [13]. In the context of this work, we use TDDFT to describe (1) energy deposition by the ion in the electronic system of the target, (2) subsequent electron-electron scattering and electron-hole interactions in the excited state, and (3) emission and capture of electrons. However, the high computational cost of this method does not allow for simulations of highly charged and fast ions as well as large graphene sheets. In particular, since simulations of fast ions over several femtoseconds require large simulation cells, we use TDDFT only to simulate ion impacts at relatively low velocities  $\leq 4.7$  atomic units.

We employed asymptotic trajectory MC simulations with the complex dielectric function [28] (MC-CDF), where electrons are treated as pointlike particles. The physics described by MC-CDF relies on the explicit implementation of processes of interest and the choice of corresponding input parameters. The asymptotic MC method has been successfully applied for decades to study electron cascades in bulk materials [29–32] and was shown to provide a good approximation for high-velocity ions and high-energy electron scattering in various materials. The approximations used in this technique become less accurate near the Bohr velocity [28,33].

This method was initially developed for bulk materials, and its main disadvantage is that it does not include electrostatic forces between emitted electrons and positive charge left behind in the layer. During an ion impact, tens of electrons are emitted within a fraction of a femtosecond leaving positively

charged holes in the graphene layer. Within this very short time interval, the created charge does not have time to equilibrate. Due to the lack of electrostatic interactions the method cannot capture the rise of the emission barrier and consequent reduction of the SEE efficiency caused by the transient charge in the graphene layer.

In order to include the Coulomb interactions between electrons and holes, we couple the MC-CDF simulations with the PIC method [34–36]. This *ad hoc* correction aims to describe the positive charge induced in the layer by emitted electrons and the corresponding increase of the barrier for electron emission due to Coulomb interactions. Only electrons that overcome the electrostatic barrier in PIC simulations are considered “emitted.” Similar electrostatic approaches have been used previously to model the barrier for electron emission in charged metallic clusters [37–40] and to study the dynamics of the emitted electrons in metals during laser irradiation [41].

In the following, we describe these techniques in detail. Throughout this work, we use  $a_0$  for the hydrogen Bohr radius and atomic units (a.u.) for velocities, where 1 a.u. is the electron velocity in the first Bohr orbit. An approximate conversion from velocity in a.u. to energy in MeV can be made through the expression  $E \simeq 0.05 \times \frac{m}{2} v^2$ , where  $m$  is the ion mass in Dalton units and  $v$  the velocity in a.u.

### A. Time-dependent density functional theory

We performed real-time time-dependent density functional theory simulations using the Qbox/Qb@ll code [42,43] to propagate the time-dependent Kohn-Sham equations [20,21,44,45],

$$i\hbar \frac{\partial}{\partial t} \phi_j(\mathbf{r}, t) = \left\{ -\frac{\hbar^2 \nabla^2}{2m} + \hat{V}_{\text{ext}}(t) + \hat{V}_s[n(\mathbf{r}, t)] \right\} \phi_j(\mathbf{r}, t), \quad (1)$$

in real time. Equation (1) governs the dynamics of the electronic system, where  $\mathbf{r}$  describes the spatial coordinate of electrons at time  $t$ ,  $\phi_j(\mathbf{r}, t)$  are Kohn-Sham states representing single-particle orbitals,  $\hat{V}_{\text{ext}}(t)$  describes the external potential due to the ionic system, and  $\hat{V}_s[n(t)]$  includes the Hartree electron-electron interaction and the quantum-mechanical exchange-correlation potential as a functional of the electron density  $n(\mathbf{r}, t)$ .

We used a plane-wave cutoff energy of 100 Ry and the adiabatic local density approximation (ALDA) [45,46] for exchange and correlation. The time-dependent external potential  $\hat{V}_{\text{ext}}(t)$  is described by local and nonlocal parts of a pseudopotential, including the fast-moving projectile ion. Explicitly describing all electrons in the system quickly becomes computationally prohibitive, and instead we use an HSCV pseudopotential [47] with four valence electrons per carbon atom to describe the electron-ion interaction. Each projectile ion is also described by a pseudopotential (RRKJ [48] in the case of  $\text{Si}^{+12}$  and HSCV [47] otherwise), where any occupied core states are pseudized. While core electrons cannot be excited in this approach, which can lead to an effective reduction of electronic stopping at high ion velocities [49,50], the effect should be negligible for the velocities studied here, which lie well below the  $\sim 13.5$  a.u. threshold velocity [51] at which an

incoming ion could excite electrons across the 370 eV energy gap between 1s and 2s electrons in carbon [52,53].

Fully converged ground-state Kohn-Sham wavefunctions from density functional theory [54] for graphene were used as the initial condition for real-time propagation. In the ground state calculation, the atomic forces were relaxed to less than 2 meV/Å. Large simulation cells containing 112 carbon atoms and 150  $a_0$  (400  $a_0$ ) vacuum were needed to converge total charge transfer, including SEE and charge capture, to within 4% for projectile ions with velocities of  $v < 2$  a.u. ( $2 \text{ a.u.} < v < 5 \text{ a.u.}$ ).  $\text{H}^+$ ,  $\text{He}^{2+}$ ,  $\text{Si}^{4+}$ ,  $\text{Si}^{12+}$ , and  $\text{Xe}^{8+}$  were used as projectile ions in our simulations.

The Enforced Time Reversal Symmetry (ETRS) integrator [43,55] with a time step of 1 attosecond was used to evolve time-dependent Kohn-Sham equations, Eq. (1), for the electronic system because of its exceptional numerical accuracy for simulations of extended systems over thousands of time steps [13,56]. In the beginning of the time-dependent simulation, each projectile ion starts 25  $a_0$  away from the graphene layer; it approaches and traverses the graphene at a constant velocity along a normal trajectory (see inset of Fig. 3 below). Graphene nuclei are held at fixed positions because the few-fs timescale of the simulations is too short for them to move appreciably. As the projectile ion moves, we compute instantaneous electronic stopping  $S(x)$  from Hellmann-Feynman forces acting on it. Similar to the approach of Ref. [57], we then average  $S(x)$  over the graphene thickness, taken as the interlayer separation in graphite of 6.33  $a_0$  [58]. The energy deposited in the graphene is simply given by the product of this average stopping power and the layer thickness.

SEE yields are determined by integrating the electron density  $n(\mathbf{r}, t)$  over the volume outside the graphene and subtracting the number of electrons captured by the projectile ion, which is calculated using the orbital fitting technique described in Ref. [13]. Since the electron density decreases exponentially away from the graphene sheet into vacuum, there is no well-defined boundary between graphene and vacuum. Here we define the region outside 10.5  $a_0$  on either side of the graphene plane as outside of graphene. With this choice, only  $5 \times 10^{-6}$  electrons lie within the vacuum region initially. These techniques often produce noninteger values for electron emission and capture, which can be interpreted as expectation values. Finally, we perform a time of flight analysis [23] on the electron density to calculate kinetic energy spectra of emitted electrons.

We stop the time propagation when electrons emitted from each side of the graphene merge across the periodic boundary in order to avoid unphysical results. Thus, the computational limitations in cell size ultimately restrict the simulation length to a few fs. Nevertheless, since the graphene charge plateaus within this time (see Fig. S4 of the Supplemental Material [59]) and is converged with respect to vacuum size, this short simulation time is enough to capture the electron emission and electron capture processes in the material.

### B. Monte Carlo simulations

We also simulate the interaction of an incident ion with the target using a MC model, which describes the propagation of individual particles according to the asymptotic

trajectory event-by-event approach (see, e.g., Ref. [60]), as implemented in the TREKIS code. All details of the model assumptions, cross section parameters, and numerical aspects of TREKIS were thoroughly described in Refs. [28,61]. The surface barrier model for electron emission used in the code was presented in Ref. [62], together with benchmarks and comparisons with available data for bulk materials. These simulations are predictive, since all parameters are determined *a priori*, based on experiments and *ab initio* simulations [28,61]. According to the Bohr criterion [63]; however, this is strictly valid only for projectile ions with velocities higher than  $\frac{Z}{v} \leq 1$ .

Within the MC formalism, the target is assumed to be a homogeneous arrangement of atomic nuclei screened by their respective electron shells, and different types of moving particles (SHI, electrons, holes) travel inside it until they reach a sampled site of interaction. Their free flight distance  $d$  is sampled according to the Poisson distribution

$$d = -\lambda \ln(\gamma), \quad (2)$$

$$\lambda = 1/(N_{\text{at}}\sigma), \quad (3)$$

where  $\lambda$  is the mean-free path,  $\gamma$  is a random number within the interval  $[0,1]$ ,  $N_{\text{at}}$  is the atomic density of the target, and  $\sigma$  is the scattering cross section as discussed for the different particle types below.

The moving particles can interact within the material either elastically or inelastically. In elastic collisions, the particle transfers energy directly to the screened atomic nuclei, while in inelastic ones, it transfers energy to an electron. When an electron is excited, it leaves a hole in the core shell or in the valence band at the impact site. The energy transferred in each inelastic collision is determined via an additional MC sampling step by evaluating the partial ionization cross sections for valence bands and core shells. If ionization of the valence band was chosen, the energy level from which an electron is excited is determined according to the electron density of states of the target. If the ionization of a core shell is to take place, the energy level is chosen among the atomic core shells. The scattering event affects the energy and momentum of the moving particle, and the energy lost by it is divided between the created electron and hole, ensuring energy conservation. Momentum conservation determines the scattering angle of the new particles, while the azimuthal component of the momentum transfer direction is selected randomly.

In the SHI regime, the ions interact with materials mainly inelastically, depositing energy in the electronic subsystem, whereas the probability of collision with the atomic nuclei in the target is negligibly small. Hence, in our model we focus only on the inelastic collisions of ions. Electrons generated via inelastic scattering of the ions start their own trajectories. These electrons can interact both elastically and inelastically inside the material and might create more electron-hole pairs. Both types of interactions are taken into account in our simulations according to their respective cross sections. Holes interact with the screened atomic nuclei in the target only elastically and do not excite electrons directly. Note that in this approach, all the three particle types are scattered only by

the atomic and electron system in the target and do not interact between themselves.

The scattering cross sections in Eq. (3) are derived from different models depending on the type of interaction. In order to model elastic scattering of excited electrons and valence band holes, where the carrier transfers kinetic energy to an atomic nucleus without exciting new electrons, we use the Mott scattering cross section with the modified Moliere screening parameter [60]. Inelastic scattering is modeled for SHI and electrons using linear response theory based on the complex dielectric function (CDF)  $\varepsilon(\omega, q)$ . This formalism accounts for collective effects within the electronic system of the target, beyond the atomic approximation [28,61]. The inelastic-scattering differential cross section is determined by the expression

$$\frac{d^2\sigma}{d(\hbar\omega)d(\hbar q)} = \frac{2[q_{\text{eff}}(v, Z)e]^2}{n\pi\hbar^2v^2} \frac{1}{\hbar q} \text{Im} \left[ -\frac{1}{\varepsilon(\omega, q)} \right], \quad (4)$$

where  $\text{Im}[-\frac{1}{\varepsilon(\omega, q)}]$  is the loss function of the material,  $\omega$  and  $q$  are energy and momentum transfer to the excited electrons, and  $v$  and  $q_{\text{eff}}$  are velocity and effective charge of the particle. The effective charge  $q_{\text{eff}}(v, Z)$  is given by the Barkas formula [30] for ions [see Eq. (S7) and Fig. S1 in the Supplemental Material [59]], while it is set to  $-1$  for electrons.

In addition to scattering elastically as described above, core holes created by inelastic scattering events can decay via either Auger or radiative emission processes. These events are determined based on the relative characteristic times of both processes, taken from the 2017 Electron Photon Interaction Cross Sections (EPICS-2017) database [64], which combines experiments and *ab initio* simulations, wherever available. Emitted Auger electrons are considered secondary electrons and modeled in the same way as described above. We do not observe any photons created in our simulations.

After sampling a free flight distance and collision or decay event, we repeat this procedure and continue simulating the trajectory of each particle generated. Since the time frame of these simulations is too short for any significant change in the target structure, all cross sections remain constant throughout the simulation. We stop tracking ions and electrons when they leave the target or the simulation box and holes are instead reflected at the target boundaries. We also stop tracking electrons when their energy drops below a cutoff energy, chosen here as the work function of graphene, 4.6 eV [65]. We simulate these electron cascades for 2 fs, which is sufficiently long to observe saturation of electron emission, which occurs within the first femtosecond after a SHI impact (see Fig. S4 in the Supplemental Material [59]). The MC simulations were repeated 1000 times for each ion to obtain statistically reliable results [28,61]. We note that the simulation results converged already after 100 runs, with the accuracy of extracted quantities improving only by 1% after completion of all 1000 independent runs.

In our simulations, we used material-dependent ionization energies [66] as an input to compute the ionization cross sections. The electron mass was set to the mass of a free electron and the hole mass was calculated from the density of states of graphite [61,67]. In addition, optical data for graphite [68] were employed to construct the CDF [28]. The choice of

graphite parameters was motivated by the limitations of the TREKIS code [28,61], originally developed for bulk materials. In the Supplemental Material [59] (see Fig. S2) we show that our results do not change significantly when we use the A-A stacked graphite density of states (DOS), which is similar to the DOS of graphene, [10] instead of the experimental graphite DOS. Therefore, we chose to use the experimental DOS of graphite for consistency with the CDF. The MC-CDF framework used here also relies on the three-dimensional (3D) CDF formalism. In Fig. S10 of the Supplemental Material [59] we compare the behavior of the inelastic mean-free path of electron-electron interactions based on the cross sections obtained with 2D and 3D formalisms, and we see that the inelastic mean-free path is very similar in both cases.

These MC-CDF simulations provide transient radial distributions of the density and energy of excited electrons, of holes in the valence band, and in the core shells. They also provide the energy transferred to the atomic system of the target and the kinetic energy spectra of electrons emitted from the surface. However, for moving particles with velocities lower than and up to approximately the Bohr velocity  $v_0$ , the method becomes unreliable since the CDF formalism is based on linear response theory, i.e., first-order perturbation theory, which breaks down at low velocities [33]. Due to this limitation, we performed the MC-CDF simulations for ions with initial velocity above 1.8 a.u. We also note that inelastic electron scattering can be described accurately only at electron energies above  $\sim 40$  eV, where the first Born approximation applies [61].

### C. Particle-in-cell simulations

We couple the MC-CDF simulations with the PIC method [34–36] to include the effect of long-range electrostatic electron-electron and electron-hole interactions on the behavior of the emitted electrons. The PIC simulations follow the evolution of the electric field around the impact point, which is generated by the electron emission. This field, in turn, affects self-consistently the trajectories of the electrons in vacuum. Since magnetic fields are negligible, electrons are subject only to the electric component of the Lorentz force, which is calculated iteratively at each time step solving the Poisson's equation by a finite-element method (FEM).

In PIC simulations, electrons are treated as elements of a continuous fluid in the phase space, which is then described by hydrodynamics. In hydrodynamics, the number of particles is generally too large to be simulated explicitly, therefore the fluids are described by particle density and velocity distribution. The phase space in PIC simulations is divided into superparticles (SPs), whose number must be large enough to describe accurately the density and velocity distributions of the electrons. In our simulations, we used  $10^5$  SPs to model the electron dynamics. Since the number of emitted electrons in the MC-CDF simulations is rather small, to use the hydrodynamic approach, we assigned to each SP only a fractional number of electrons, similarly to Ref. [69]. The weight of each SP was chosen to be  $w_{SP} = n_{emit} \times 10^{-5}$ , where  $n_{emit}$  is the number of emitted electrons. The movement of electrons is then described using the trajectory of each SP,

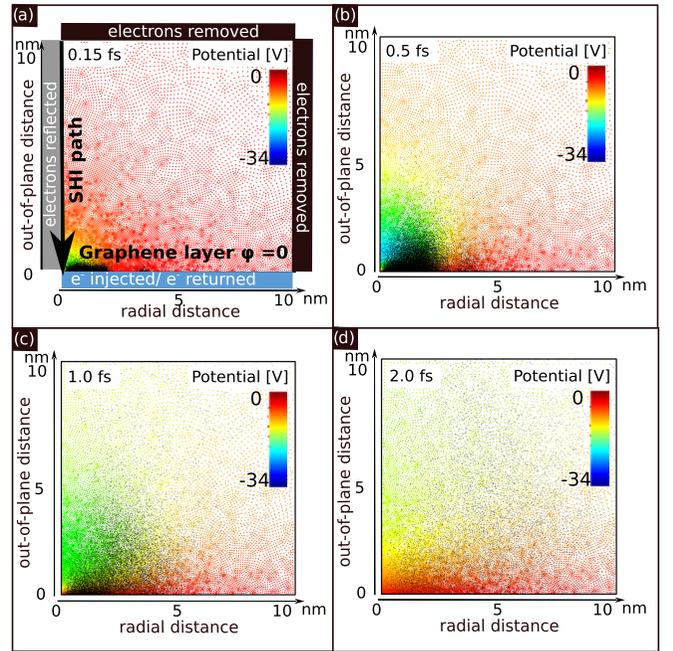


FIG. 2. Different snapshots from the femtosecond real-time evolution, computed by 2D-PIC simulations, for emitted electrons from MC-CDF. Top left panel includes the simulation setup and boundary conditions. In each panel, the graphene sheet lies along the bottom edge, and the ion travels along the left edge. Black dots show superparticles, which are used to track positions of electrons in vacuum. The color coding shows the electrostatic potential generated by the emitted electrons.

which is tracked by solving numerically Newton's equation of motion with a time step of  $\Delta t = 0.001$  fs. This allows for total simulation times between 7.5 fs for heavy ions and up to 90 fs for lighter ions with the convergence criterion of 0.3%.

Due to rotational symmetry around the ion path, it is sufficient to simulate only a 2D radial cross section of the simulation space. Moreover, we can further decrease the size of the cell to only one quadrant by assuming symmetric SEE in up and down directions with respect to the graphene layer, as shown in Fig. 2. The symmetric geometry is motivated by the following rationale: The TREKIS code, which we employed for the MC-CDF simulations, gives the emitted energy spectra and the angular distribution of the emitted electrons as two separate outputs without giving the correlation between them. Hence, for an emitted electron of given energy, we can not determine the direction of emission or if it leaves from the front or back surface. Since we can not disentangle the contribution from forward and backward emission, we assumed instead symmetric SEE in both directions. We use the aggregated energy spectra and angular distribution from both surfaces to generate the emitted electrons, but with only half the amount of the emitted electrons. To obtain the total magnitude of the resulting SEE, we multiply the PIC SEE value by a factor of two.

We note that the simplified symmetric geometry is not fully accurate. In MC-CDF method, the SEE in the forward direction is 1.3–1.4 times higher. The difference of SEE in both directions calculated by TDDFT can differ by up to two

to five times. Hence, we performed additional PIC simulations where all the emission occurs from a single surface (front). The results, shown in Fig. S5 of the Supplemental Material [59], give us the upper bound of the electrostatic effect, since in these simulations the density of emitted electrons is much higher compared to the case of symmetric emission.

In this work we use a rectangular simulation box of  $10 \times 10 \text{ nm}^2$ , as illustrated in the top left panel of Fig. 2. Increasing the cell size by a factor of four in each dimension changes the emitted electron density and emitted energy only by less than 5% and 1%, respectively. We also note that the element size in these finite-element simulations has a negligible influence of about 0.03%.

In our simulations, we assume the graphene layer at the bottom of the simulation box ( $z = 0$ ) as a perfect conductor described by a Dirichlet boundary condition at zero electrostatic potential. This approach implicitly models the positive charge left behind in the graphene as an image charge of every emitted electron. Modeling graphene as a perfect conductor corresponds to approximating all the carriers in graphene as massless; Gruber *et al.* [18] reported very large electron currents in graphene during the passage of a HCI, which supports this approximation.

Electrons that reach the bottom graphene boundary (see Fig. 2) are counted as “recaptured” by the material, and no possible secondary cascades produced by these electrons are considered in our model. The leftmost boundary ( $r = 0$ ) corresponds to the ion path and represents a rotational symmetry axis. Electrons are reflected at this boundary. The top and right boundaries in Fig. 2 assume no flux of electric field through them; electrons crossing these are removed from the simulation and counted as “emitted electrons.”

To represent emission of electrons, we inject a total of  $N$  electron SPs at the bottom graphene layer at each time step  $t_i$ , with  $N = \frac{1}{2}I(t_i)\Delta t/w_{\text{SP}}$ . The emission rate  $I(t)$  at time  $t$  is obtained from the MC-CDF simulations (see details in Fig. S6 of the Supplemental Material [59]), and the factor of  $\frac{1}{2}$  is needed since we explicitly simulate only one of the surfaces of graphene. Each SP is initialized at ( $r = r_0, z = 0$ ), with velocity ( $v_r, v_z$ ), where  $r_0, v_r, v_z$  are randomly selected according to the probability density distribution  $P(r_0, v_r, v_z; t)$  obtained from our MC-CDF data. We note that we used aggregate distributions, ignoring any correlation between energy and angle of the emitted electrons. By collecting the exit statistics, we predict the fraction of electrons that are emitted far from the graphene sheet. We perform these simulations using the efficient 2D-axisymmetric version of the FEMOCS framework [70,71], which has recently been extended to incorporate PIC [69].

Representative PIC results for the electron dynamics in the vacuum above the graphene layer are depicted in Fig. 2. The four panels show the evolution in the subfemtosecond range right after impact of a 91 MeV Xe ion. The electron density and potential energy near the layer drop after 1 fs, when most of the electrons returned to graphene. We also find that electron emission and return to the layer happen simultaneously and that most of the electrons do not travel further than 3–5 Å from the layer. This is illustrated in Fig. S7 in the Supplemental Material [59] and we find that the rate of injection and return of electrons from PIC simulations

(see Fig. S6 in the Supplemental Material [59]) are almost perfectly superimposed. After only 2 fs, the potential energy has dropped everywhere in the cell and no further evolution is observed. This timescale agrees well with the timescale of electron emission observed in our TDDFT simulations (see Fig. S6 in the Supplemental Material [59]).

The large fraction of returning electrons in the PIC simulations indicates that the electron energies are too low to overcome the electrostatic barrier of the positively charged graphene sheet. By complementing the MC-CDF simulations with the PIC approach, we were able to imitate the effect of the transient change in graphene on the electron emission barrier due to the strong electrostatic field between the electrons and the image charge forming in the conducting graphene layer. These mechanisms are implicitly captured by TDDFT and the “returned” electrons can dissipate their energy by exciting electrons and/or plasmons in the graphene layer.

### III. RESULTS AND DISCUSSION

#### A. Trajectory dependence

As described in Sec. II, we employed two different approaches to simulate the impact of a SHI in graphene. Differences between the two simulation techniques pose challenges for comparing results. For instance, in MC-CDF the projectile ion’s trajectory is chosen randomly in graphene, which is represented as a random arrangement of atoms rather than an ordered atomic lattice. Conversely, in TDDFT the projectile ions are simulated in a deterministic fashion as they travel along a specific trajectory through the graphene crystal. It was previously shown that the energy deposited by an ion in graphene depends on the impact parameter [57]. In the following, we further analyze the effect of the impact parameter on the number of emitted and captured electrons, which are the focus of the present study.

In Fig. 3 we report the energy deposition, electron emission, and electron capture obtained by TDDFT for the 25 keV  $\text{H}^+$  ion with different impact trajectories, which are illustrated in the inset of Fig. 3. The deposited energy calculated by TDDFT along the O trajectory agrees well with SRIM’s prediction, which is consistent with the TDDFT results reported in Refs. [57,72]. The highest and lowest electron emission and energy deposition correspond to the trajectories traversing the highest (F and O) and lowest (A) electron density, respectively. The difference in the number of emitted electrons between different trajectories can reach 50%–60%, while the deposited energy varies by up to 70%. Figure 3 also shows a clear correlation between SEE and the deposited energy for different trajectories, while the number of captured electrons depends on the trajectory only weakly. For a more detailed analysis of trajectory-dependent energy transfer, electron capture, and electron emission for different proton velocities, we refer the reader to Ref. [73].

In the remainder of this article, we present TDDFT results only for the most symmetric trajectory A, which corresponds to the impact position with the lowest electron density and the smallest energy deposition and electron emission relative to the other impact parameters. This allows us to compute *lower* bounds for the energy transfer from the projectile to the

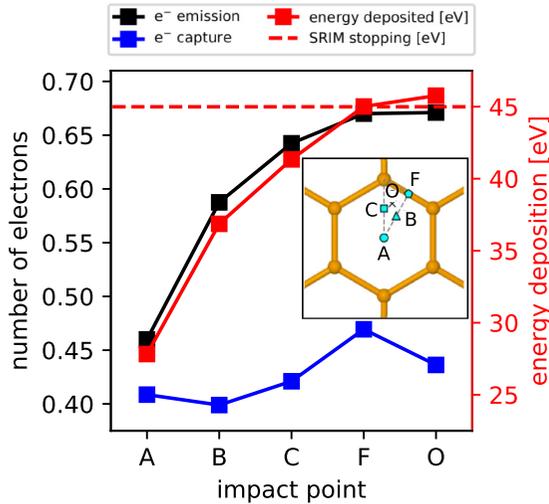


FIG. 3. Number of electrons emitted (black curve), number of electrons captured (blue curve), and energy deposited (red curve) by a 25 keV  $H^+$  ion for different impact trajectories as calculated by TDDFT. The red dashed line shows the energy deposited by the same ion in a single layer ( $6.33 a_0$  thick [58]) of bulk graphite as calculated with SRIM [74]. The inset shows the impact points (cyan) for the different ion trajectories normal to the graphene (orange); point O lies at the centroid of the gray dashed triangle.

electronic system of the graphene and the number of electrons emitted after the impact. While the high computational cost of TDDFT simulations prevents exhaustive sampling of many impact parameters in the same detail for other projectiles in this work, our tests allow us to conclude that trajectory A leads to energy transfer and electron emission that is at most a factor of two smaller than other impact points.

### B. Energy deposition

In Fig. 4 we compare the energy deposited in graphene by ions of different type and velocity computed by TDDFT and MC-CDF with results from the SRIM database [74]. We observe good agreement between the SRIM data and MC-CDF results, which is not surprising, considering that both models approximate graphene as a thin slice of bulk graphite. Moreover, MC-CDF employs the Barkas formula for the effective charge of projectile ions [30], which was shown to give good agreement with SRIM [28]. While the agreement is particularly good for heavy ions such as Si and Xe (see Fig. 4), MC-CDF overestimates the energy deposition for H ions, especially at high ion velocities. In this regime, the MC-CDF predictions are almost twice as large as the SRIM results.

In general, we observe that energy deposition in the TDDFT calculations is lower compared to the MC-CDF data (see Fig. 4). In some cases, this difference can be quite large, even more than an order of magnitude (see, e.g., Si ions with velocity  $> 2$  a.u.). The discrepancy can be partially explained by the difference in the impact parameters used in the MC-CDF and TDDFT simulations: As discussed in Sec. III A, these TDDFT simulations were performed along trajectory A (see Fig. 3 inset), which corresponds to the lowest electron

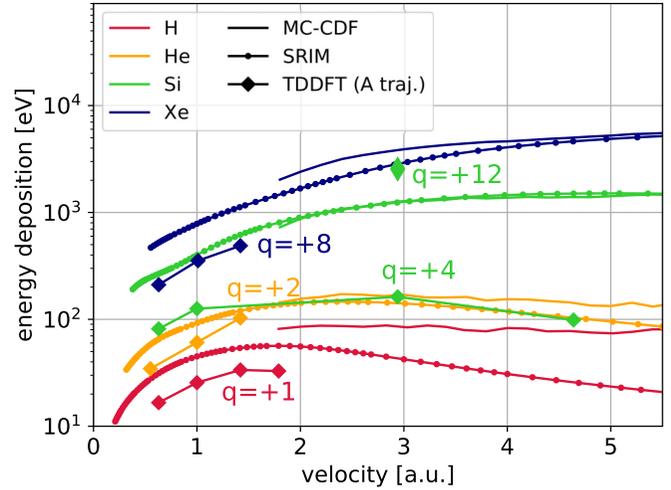


FIG. 4. Energy deposition in graphene for different projectile ion species and velocities. Results from TDDFT (diamonds), MC-CDF (solid curves), and SRIM (circles) are compared. The text annotations correspond to the initial charge states of the ions in TDDFT; the MC-CDF calculations use an equilibrium effective charge given by the Barkas formula [30].

density, while MC-CDF predictions represent an average over all possible impact parameters.

In addition, the charge state of the projectile ion, which may change as the ion captures and loses electrons while traversing the graphene, affects the electronic stopping. In TDDFT simulations, only the initial charge state is fixed and the charge dynamics are taken into account implicitly. The ion velocities in this study, however, are rather high, and in most cases the ion does not spend sufficient time inside the layer to reach an equilibrium charge state. Hence, the energy deposition still depends strongly on the initial charge state of the ion. This is clearly illustrated in Fig. 4, where we compare the energy deposition calculated in TDDFT for Si ions with 2.92 a.u. of velocity and initial charge states  $q = +4$  and  $q = +12$ . We find that the energy deposition of the initially  $q = +12$  ion is  $\sim 20$  times higher.

In the MC-CDF simulations, on the other hand, ions assume the equilibrium charge state (actual values shown in Fig. S1 of the Supplemental Material [59]) from the beginning of the simulation. As shown in Fig. 4, the energy deposition obtained in MC-CDF simulations for Si with 2.92 a.u. of velocity (effective charge state 5.2) falls between the values obtained in TDDFT for the two different initial charge states. We note, however, that the concept of effective charge state is employed as an ansatz in MC-CDF and other linear models [75,76] in order to reproduce accurately the stopping power measured experimentally in bulk materials. Therefore, the value of the effective charge itself, does not have to necessarily reproduce the actual charge state of the moving ion inside of the material.

While similar TDDFT simulations have shown that highly charged ions such as  $Si^{+12}$  do not equilibrate within a single layer of material [17], the lack of experimental measurements

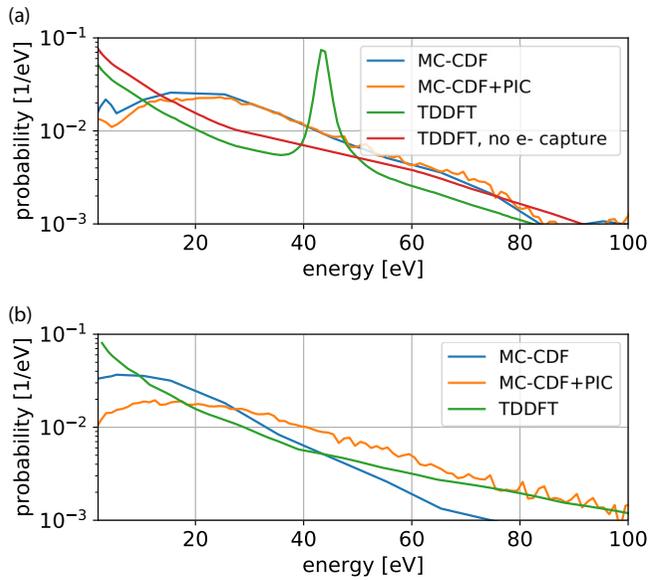


FIG. 5. Normalized kinetic-energy spectra of the secondary electrons emitted from graphene after impact by (a)  $H^+$  80 keV and (b)  $Si^{+4}$  15 MeV. TDDFT with (green) and without (red) captured electrons is compared to MC-CDF before (blue) and after (orange) including PIC simulations. MC-CDF results were computed with the Barkas effective charge [30].

of the energy deposition in graphene does not allow us to determine which of the model predictions is more accurate.

### C. Electron emission from graphene

#### 1. Energy spectra of emitted electrons

In MC-CDF the kinetic-energy spectra of emitted electrons can be obtained from the energy statistics of all emitted electrons. To extract this quantity in TDDFT, we instead apply a time-of-flight analysis [23] to compute the spectrum from the time-dependent electron density. We average the TDDFT spectra calculated for forward and backward emission in order to enable comparison with MC-CDF and MC-CDF + PIC.

The TDDFT energy spectrum of the emitted electrons for the 80 keV  $H^+$  in Fig. 5(a) features a prominent peak at electron energies of  $\sim 44$  eV, which corresponds to the same electron velocity as that of the impacting proton. A similar, though less pronounced peak appears at electron energy  $\sim 290$  eV for the 15 MeV  $Si^{+4}$  ion, but lies beyond the scale shown in Fig. 5(b). The electrons constituting these peaks are largely localized around the ion, indicating that they have been captured by it. Some of these electrons may be bound only weakly, e.g., in Rydberg or continuum states of the ion, and, hence, they could easily detach eventually [77]. Such weakly bound electrons which later detach into the vacuum would appear in experimentally measured SEE spectra; these are commonly referred to as convoy electrons [78]. On the contrary, captured electrons that are more strongly bound to the ion would not be detected in measured SEE spectra.

Since the simulation time of the TDDFT calculations is only a few fs, we cannot distinguish between “convoy” and “captured” electrons and, hence, we consider all electrons which left with the exiting ion “captured.”

Because MC-CDF does not include electron capture processes, this peak is not present in the energy spectrum calculated by this model.

To enable consistent comparison between the MC-CDF and TDDFT results, we manually remove the peak between 32 and 60 eV from the TDDFT spectrum for the 80 keV  $H^+$  ion, linearly connecting the probability values right before and after the peak and renormalizing the distribution to unity.

We find that the normalized TDDFT and MC-CDF spectra compared in Figs. 5(a) and 5(b) follow a similar trend at high electron energies ( $\gtrsim 40$  eV). The agreement between the TDDFT and MC-CDF spectra for the 80 keV proton further improves after the electron capture peak is removed from the TDDFT spectrum. Moreover, we see that the agreement between TDDFT and MC-CDF spectra for  $Si^{+4}$  ions improves significantly for high-energy SEE ( $\gtrsim 60$  eV) when the MC-CDF method is coupled with PIC to include electron-electron and electron-hole electrostatic interactions for the emitted electrons. The same correction produces a less visible effect in the  $H^+$  spectrum, which can be explained by the less efficient SEE for this ion [see Fig. 6(a)] and the corresponding reduction in the corrections introduced by PIC.

At low energies, below about 10–40 eV, however, the comparison between the spectra is poor. In this energy regime, the TDDFT spectra show a trend of increasing electron emission towards lower energies for both ions, whereas the MC-CDF and MC-CDF + PIC spectra exhibit a maximum at  $< 20$  eV. The different behavior of the SEE spectra obtained with TDDFT and MC-CDF + PIC at low energies may be partially explained by the short timescales of the TDDFT simulations, which make it difficult to distinguish between low-energy emitted electrons and excess electrons in the vicinity of the graphene surface and could lead to overestimation of low-energy emission. Moreover, the cross sections of inelastic electron scattering and inelastic mean-free path (IMFP) adopted in the MC-CDF approach (see Fig. S10 in the Supplemental Material [59]) are not sufficiently accurate at low energies [61] ( $\lesssim 40$  eV), which may also affect the low-energy MC-CDF SEE spectra. Finally, the electrostatic interactions between the impacting ion and electrons in the graphene are not included in either of our MC-CDF models, but they may also transiently reduce the emission barrier as the positively charged ion attracts electrons.

#### 2. SEE dependence on ion velocity and charge state

As discussed in Sec. III B, the ion species, velocity, and charge state affect the energy deposition (see Fig. 4), and the energy deposition in turn is typically correlated with SEE [79]. In the following, we analyze how these properties of the projectile ion affect the SEE process. In Fig. 6(a) we show the number of emitted electrons for different impacting ions as calculated with the different methods. We see that the total number of emitted electrons for a given ion velocity increases with the charge state of the ion in both TDDFT and MC-CDF.

The TDDFT results in the velocity range  $v < 2$  a.u. show an increase of the number of emitted electrons with the ion velocity for all the studied ions except for  $H^+$ . The SEE of the latter peaks at  $v = 1$  a.u. The results for the ions with

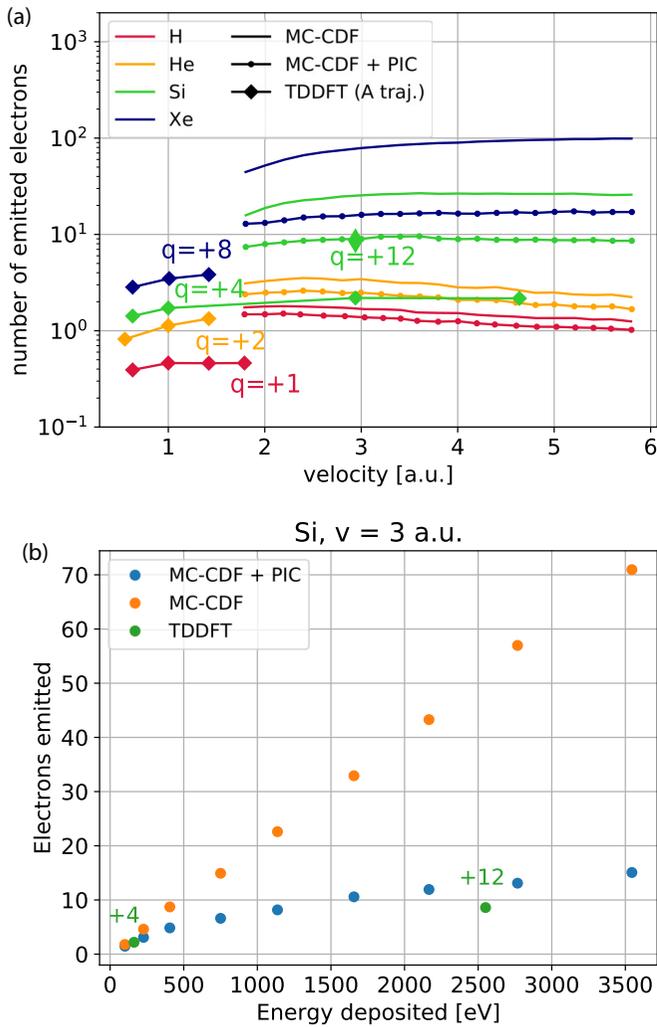


FIG. 6. (a) Number of emitted secondary electrons in TDDFT (diamonds), MC-CDF (solid curves), and MC-CDF + PIC simulations (dots). The TDDFT calculations used the initial charge states indicated beside the data points, while the MC-CDF(+PIC) calculations employed the Barkas effective charge [30]. (b) Electron emission vs energy deposited in TDDFT (green), MC-CDF (orange), and MC-CDF + PIC simulations (blue) for Si projectile ions with  $v = 2.92$  a.u. The TDDFT calculations used the initial charge states indicated beside the data points, while the MC-CDF(+PIC) calculations employed effective charge states in ascending order from +1 to +9.

$v > 2$  a.u. were obtained using only the MC-CDF and MC-CDF + PIC methods. These results show that both light ions,  $H^+$  and  $He^{+2}$  emit less electrons with increasing velocity. According to the MC-CDF + PIC results, the SEE of Si ions reaches the peak at  $v = 3.5$  a.u., while no peak is observed for Xe ions, at least, in the range of velocities studied here. Based on these results, we infer that SEE does not increase monotonically as a function of ion velocity, but instead, at a certain velocity, which depends on the ion mass and charge state, SEE reaches its maximum and starts decreasing. The velocity corresponding to the maximal SEE seems to increase with the ion charge state.

### 3. Comparison between TDDFT, MC-CDF, and MC-CDF + PIC

Due to the high computational costs associated with the large simulation cells required to model fast ion impacts, TDDFT calculations were mainly performed at low ion velocities. The approximations underlying the MC-CDF model at these velocities are not valid, hence, the direct comparison of the results obtained with both methods is difficult. To enable this comparison, we chose the  $Si^{+4}$  ion and performed an additional set of TDDFT simulations for a wider range of velocities, which overlaps with the MC-CDF results. The results presented in Fig. 6(a) show that the SEE predicted by TDDFT is much lower than that predicted by MC-CDF at the same velocities. Even though we did not perform similar high-velocity simulations for the rest of the ions, we see that the SEE at the highest studied velocity in TDDFT is much lower than the SEE obtained for the lowest possible velocity in the MC-CDF method. This clearly indicates that MC-CDF method overestimates efficiency of SEE compared to TDDFT.

In the following, we quantitatively discuss two possible reasons for the discrepancy between the MC-CDF and TDDFT results: (1) the charge state of the ion in MC-CDF is fixed to the effective equilibrium value in bulk, whereas in TDDFT it evolves dynamically as the ion traverses the layer and (2) the electrostatic interactions of all charged particles are implicitly taken into account in TDDFT, but not in the MC-CDF approach.

Since the results in Sec. III C 2 suggest that SEE depends on the charge state of the ion, we performed an additional TDDFT simulation for a  $Si^{+12}$  ion with  $v = 2.93$  a.u. and found much higher SEE than that produced by the same ion with the lower charge state,  $Si^{+4}$ . Yet, when we compare the SEE obtained with both methods, we see that the SEE produced by the ion with higher initial charge state  $Si^{+12}$  is still below the result obtained with the MC-CDF approach only [compare the green diamonds and the green solid line in Fig. 6(a)].

As previously mentioned, this large discrepancy between methods might be due to the lack of electrostatic interactions in MC-CDF. We approach this problem by coupling the MC-CDF model to PIC simulations as described in Sec. II C. This allows us to follow explicitly the dynamics of the emitted electron cloud (see Fig. 2 and Fig. S4 in the Supplemental Material [59]) and its attractive interaction with the charge induced in the layer. We show that overall the SEE obtained by the combined MC-CDF + PIC method agrees with the TDDFT data better [see the dotted lines in Fig. 6(a)], although the MC-CDF + PIC SEE data points are still persistently higher than the TDDFT ones. The PIC simulations compensate for the lack of electrostatic effects only *a posteriori*, so the possible effects of electrostatic interactions on SEE before and during the ion impact are still missing. Moreover, the differences in impact parameter and ion charge states still affect the TDDFT and MC-CDF results and do not allow a one to one comparison between methods.

The reduction of SEE achieved due to the use of PIC simulation is, however, remarkable. It is particularly visible for the heavier ions, such as Si and Xe. The number of emitted electrons in these simulations was reduced by a factor of

two and five, respectively. Moreover, for the lighter ions the correction introduced by PIC is less significant. This may be explained by the lower electron emission for these ions, since for low SEE, the electrostatic correction introduced by PIC is negligible.

#### 4. SEE dependence on energy deposition

Surprisingly, the SEE calculated for  $\text{Si}^{+12}$  with the velocity of 2.92 a.u. using TDDFT matches very well with the result of the combined MC-CDF + PIC method [see a single diamond data point marked as  $q = +12$  in Fig. 6(a)]. The close agreement of this result with MC-CDF + PIC is likely to be explained by the higher energy deposition value compared to the  $\text{Si}^{+4}$  ion, since as we saw in Fig. 3, TDDFT simulations seem to show a correlation between SEE and energy deposition. Strong correlation between the stopping power and the number of emitted electrons has already been established for bulk materials [79–82], where secondary electron excitation and emission rates were found to be roughly proportional to stopping power.

In Fig. 4 we showed that the value of the energy deposition is sensitive to the charge state of the ion. We analyze in Fig. 6(b) the correlation between the number of emitted electrons and the energy deposited in the layer by the passing ion as calculated by all three methods. The data shown correspond to the Si ion with  $v = 2.93$  a.u. The TDDFT data are the same as in Fig. 6(a); however, we performed additional MC-CDF and MC-CDF + PIC simulations with fixed ion effective charge values between  $q = +9$  and  $q = +1$  in descending order. Both impact parameter and charge state of ions affect the amount of energy deposited by the ion and, consequently, the electron emission. By analyzing the electron emission as a function of energy deposition directly, we can exclude the effect of both factors and compare the TDDFT and MC-CDF results, avoiding the uncertainty that arises from the definition of impact parameter and ion charge state.

In Fig. 6(b) we see that  $\text{Si}^{+4}$  and  $\text{Si}^{+12}$  in TDDFT deposit as much energy along the trajectory A (see Fig. 3) as the Si ions in MC-CDF + PIC with a random impact parameter and the reduced effective charge state:  $\lesssim +2$  and  $\lesssim +8$ , respectively. At the same time, both TDDFT data points fit very well within the dependence of the SEE on the deposited energy, obtained in the MC-CDF + PIC simulations.

In Fig. 6(b) we observe a linear growth of SEE with the deposited energy as calculated in MC-CDF. The SEE in these calculations reaches up to 70 electrons per ion in the studied range of ion charge states. However, this efficiency is significantly reduced after the correction is introduced by the PIC simulations (blue dots in the figure), and the emission values become much closer to those obtained in TDDFT (green dots).

Despite the better agreement, we see that the SEE for  $\text{Si}^{+12}$  in TDDFT is still slightly lower than that calculated in MC-CDF + PIC for the same energy deposition values. We remind here that the electron emission in PIC was simulated as symmetric, i.e., equal in forward and backward direction. This simplification is compatible with MC-CDF observations, where SEE was only 30%–40% higher in the forward direction compared to backward; in TDDFT however, we observe the emission mainly in the forward direction. As one can see

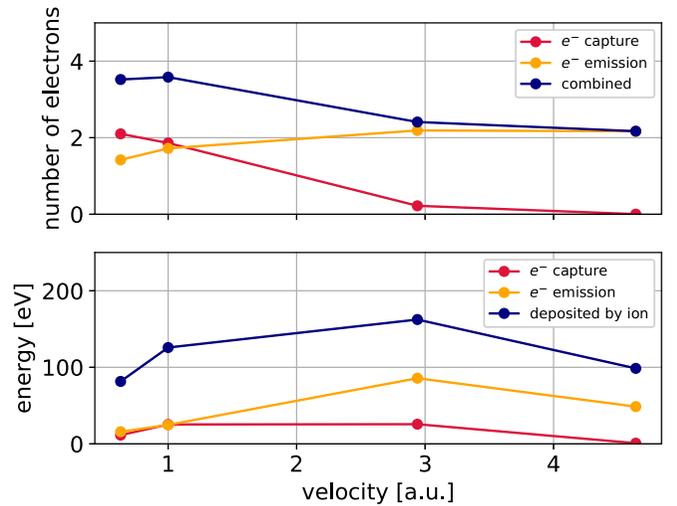


FIG. 7. (a) Number of electrons emitted and captured in TDDFT for  $\text{Si}^{+4}$  ions of varying velocities. (b) Total energy deposited and dissipated via electron emission and electron capture for  $\text{Si}^{+4}$  ions of varying velocities in TDDFT simulations.

in Fig. S5 of the Supplemental Material [59], the emission in MC-CDF + PIC is further reduced by up to 30% when all electrons are assumed to leave graphene from the same surface. Therefore, the difference in SEE seen in Fig. 6(b) for  $\text{Si}^{+12}$  may be explained by the different preferential emission direction in the models.

We observe in Fig. 6(b) a saturation tendency for SEE with increase of the deposited energy for both the TDDFT and the MC-CDF + PIC simulations, while it is not observed for the pure MC-CDF simulations. This result differs from the approximately linear behavior of SEE versus deposited energy observed for bulk materials [79]. This behavior in bulk is explained by the linear proportionality between the stopping power and the generation rate of secondary electrons [82]. While the model works well for bulk materials, it does not include the electrostatic interactions between the emitted electrons and the material surface. These interactions explain the sublinear behavior of SEE with the deposited energy, which we observed in both TDDFT and MC-CDF + PIC simulations.

#### D. Energy removal from the layer by SEE and electron capture

In this section we take a deeper look at the proportion of captured and emitted electrons, and we investigate the amount of energy carried away from the layer by each of these processes. Since in TDDFT the electronic structure of the impacting ion and graphene enter the calculations directly, all electrostatic interactions between ions and electrons are also taken into account. By analyzing TDDFT calculations of the electron density near the exiting ion, we are able to disentangle the number of captured electrons from the total number of emitted electrons.

In Fig. 7(a) we show separately the number of emitted and captured electrons as a function of ion velocity for  $\text{Si}^{+4}$  ions. The results show that electron capture dominates over electron emission at ion velocities below 1 a.u. and drops

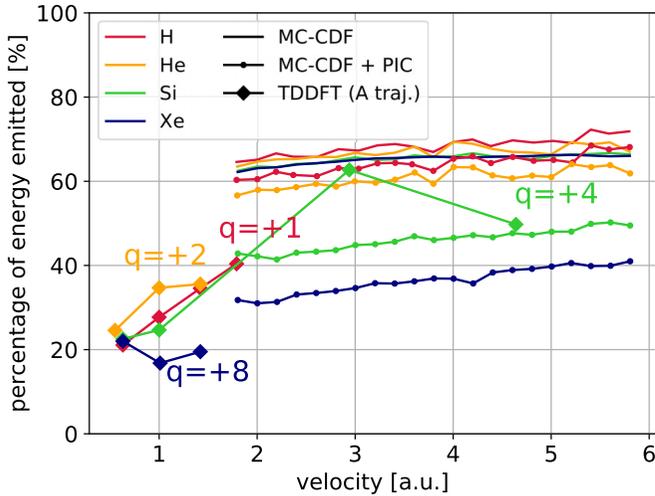


FIG. 8. Percentage of the initially deposited energy that is lost via electron emission in TDDFT (diamonds), MC-CDF (solid curves), and MC-CDF + PIC (points). The text annotations indicate the initial charge states of the ions in TDDFT; the MC-CDF calculations use the equilibrium effective charge state given by the Barkas formula [30].

rapidly to almost zero at 2.93 a.u., while the electron emission steadily increases with ion velocity. However, we note that in the velocity range  $0.5 \lesssim v \lesssim 1.8$  a.u., the numbers of emitted and captured electrons are comparable.

We compute the energy loss for the quantum-mechanical electrons in TDDFT using the kinetic energy spectrum  $P^{\text{TDDFT}}(E)$  of the electrons outside the graphene layer. In these calculations both emitted and captured electrons are included. The kinetic energy spectrum is obtained from the time-of-flight analysis of the total electron density that escaped into vacuum. The “total” kinetic energy is then computed as

$$E_{\text{tot}}^{\text{kin}} = \int E P^{\text{TDDFT}}(E) dE \quad (5)$$

and subdivided into the kinetic energy of captured and emitted electrons, respectively, as

$$E_{\text{capt}}^{\text{kin}} = \frac{1}{2} m v^2 n_{\text{capt}}, \quad (6)$$

$$E_{\text{emit}}^{\text{kin}} = E_{\text{tot}}^{\text{kin}} - E_{\text{capt}}^{\text{kin}}, \quad (7)$$

where  $v$  is the ion velocity and  $n_{\text{capt}}$  is the number of captured electrons.

In Fig. 7(b) we show the TDDFT results for the total energy deposited by the  $\text{Si}^{4+}$  ion (referred as “deposited by ion” in the legend) and the kinetic energy that is taken away by the emitted and captured electrons separately, as given by Eqs. (5)–(7). The results show that in the velocity range below 1 a.u., i.e., when electron capture dominates, the combined energy loss from both processes is as high as 40% of the total deposited energy. With increasing velocity, the electron capture becomes negligible and most of the energy is lost via electron emission.

Finally, we show in Fig. 8 how much of the energy initially deposited by an ion into the graphene layer is lost in

the electron emission processes as computed using TDDFT, MC-CDF, and MC-CDF + PIC. In this graph we see that the TDDFT simulations predict that 15%–40% of the energy deposited by an ion with the velocity  $\leq 1.8$  a.u. is subsequently emitted from graphene. In the high-velocity range ( $v \geq 1.8$  a.u.), the MC-CDF simulations show a deposited energy loss of up to 70%. The PIC correction reduces significantly this percentage for heavy ions, however, in the velocity range ( $v \simeq 1.8$  a.u.) where MC-CDF + PIC and TDDFT data should meet, the difference between both methods is still about 10%–20%. The only high-velocity data points in TDDFT correspond to the  $\text{Si}^{4+}$  ion. The energy emitted by this ion is as high as 45%–60% of the initially deposited energy and is comparable to the MC-CDF + PIC predictions for the same ion.

Overall, Fig. 8 shows that both TDDFT and MC-CDF + PIC predict that lighter ions with lower stopping powers cause a greater fraction of energy loss via electron emission. This trend can be understood in terms of the electrostatic barrier for emission. The higher energy deposited by higher charge ions is associated with a larger number of emitted electrons [see Fig. 6(b)], increasing the electrostatic barrier for electron emission. Assuming similar energy distributions for the initially excited electrons, a lower percentage of excited electrons is then capable of overcoming the higher barrier and escaping the material after heavy ion impacts, leading to a lower percentage of initially deposited energy dissipating via electron emission. This interpretation is further supported by the difference in behavior between MC-CDF and MC-CDF + PIC: the percentage of deposited energy lost to electron emission in MC-CDF is not sensitive to ion charge, indicating that the electrostatic interactions introduced by PIC are responsible for the stronger reductions in lost energy for heavy ions.

In summary, our results clearly show that electron emission and electron capture dramatically reduce the energy effectively deposited in graphene by the energetic ion. This reduction is expected to affect the size and morphology of the defects created by the ions and must be taken into account when modeling SHI impacts on single-layer materials.

#### IV. CONCLUSIONS

In this work we studied the electron dynamics triggered in graphene by swift heavy ions. We simulated the swift heavy ion impacts using both a quantum-mechanical approach, i.e., the time-dependent density functional theory (TDDFT) method, and a classical approach by means of the MC method employing the complex dielectric function formalism (MC-CDF), where electrons are approximated as pointlike particles.

Our MC simulations resulted in a large number of emitted electrons. This number, however, was reduced significantly when electrostatic interactions between emitted electrons and holes in the graphene layer were taken into account via additional PIC simulations. The best agreement between methods was observed when we plotted the number of emitted electrons against the deposited energy. We observed a sublinear dependence of the electron emission on the energy deposition,

which differs from roughly linear scaling reported previously for bulk materials.

The energy carried away by emitted electrons resulted in a 15%–40% reduction of the effective energy deposition in our TDDFT simulations at low velocities  $v < 1.8$  a.u. At higher velocities  $v > 2$  a.u., this fraction increased to 40%–65%, which we observed in both TDDFT and MC-CDF + PIC simulations. Moreover, our simulations showed that light ions lose a greater fraction of deposited energy to the emission processes than the heavy ions.

These findings suggest that defects created by swift heavy ions in 2D materials might be smaller than those created by the same ions in their bulk counterparts. We expect this reduction to be more pronounced for ions of low charge state and ion mass.

### ACKNOWLEDGMENTS

H.V. thanks Alfredo Correa for his inspiring ideas and fruitful discussions. H.V. acknowledges support from the MATRENA doctoral program. This publication is partly based upon work from COST Action TUMIEE (CA17126), supported by COST (European Cooperation in Science and Technology), and partly based upon work supported by the National Science Foundation under Grant No. OAC-1740219.

A.K. was supported by the CERN K-contract (No. 47207461). Partial financial support from the Czechia Ministry of Education, Youth and Sports, Czechia Republic (Grants No. LTT17015 and No. EF16\_013/0001552) is gratefully acknowledged by N.M. Support from the IAEA F11020 CRP “Ion Beam Induced Spatio-temporal Structural Evolution of Materials: Accelerators for a New Technology Era” is gratefully acknowledged. Generous grants of computer time by CSC-IT are gratefully acknowledged. This research is part of the Blue Waters sustained-petascale computing project, which is supported by the National Science Foundation (Awards No. OCI-0725070 and No. ACI-1238993) and the state of Illinois. Blue Waters is a joint effort of the University of Illinois at Urbana-Champaign and its National Center for Supercomputing Applications. An award of computer time was provided by the Innovative and Novel Computational Impact on Theory and Experiment (INCITE) program. This research used resources of the Argonne Leadership Computing Facility, which is a DOE Office of Science User Facility supported under contract DE-AC02-06CH11357. This work made use of the Illinois Campus Cluster, a computing resource that is operated by the Illinois Campus Cluster Program (ICCP) in conjunction with the National Center for Supercomputing Applications (NCSA) and which is supported by funds from the University of Illinois at Urbana-Champaign.

- 
- [1] Q. H. Wang, K. Kalantar-Zadeh, A. Kis, J. N. Coleman, and M. S. Strano, *Nat. Nanotechnol.* **7**, 699 (2012).
- [2] W. Yuan and G. Shi, *J. Mater. Chem. A* **1**, 10078 (2013).
- [3] T. H. Han, Y.-K. Huang, A. T. Tan, V. P. Dravid, and J. Huang, *J. Am. Chem. Soc.* **133**, 15264 (2011).
- [4] G. Liu, W. Jin, and N. Xu, *Angew. Chem., Int. Ed.* **55**, 13384 (2016).
- [5] Y. You, V. Sahajwalla, M. Yoshimura, and R. K. Joshi, *Nanoscale* **8**, 117 (2016).
- [6] L. Madau, J. Schumacher, M. Ghosh, O. Ochedowski, J. Meyer, H. Lebius, B. Ban-d’Etat, M. E. Toimil-Molares, C. Trautmann, R. G. Lammertink *et al.*, *Nanoscale* **9**, 10487 (2017).
- [7] M. Lang, F. Djurabekova, N. Medvedev, M. Toulemonde, and C. Trautmann, *Comprehensive Nuclear Materials (Secnd ed.)* **1**, 485 (2020).
- [8] S. Akcöltekin, H. Bukowska, T. Peters, O. Osmani, I. Monnet, I. Alzahr, B. B. d’Etat, H. Lebius, and M. Schleberger, *Appl. Phys. Lett.* **98**, 103103 (2011).
- [9] L. Madau, O. Ochedowski, H. Lebius, B. Ban-d’Etat, C. H. Naylor, A. C. Johnson, J. Kotakoski, and M. Schleberger, *2D Mater.* **4**, 015034 (2017).
- [10] H. Vazquez, E. H. Ahlgren, O. Ochedowski, A. A. Leino, R. Mirzayev, R. Kozubek, H. Lebius, M. Karlusic, M. Jaksic, A. V. Krasheninnikov *et al.*, *Carbon* **114**, 511 (2017).
- [11] S. Zhao and J. Xue, *Carbon* **93**, 169 (2015).
- [12] L. Madau, I. Zegkinoglou, H. V. Muiños, Y.-W. Choi, S. Kunze, M.-Q. Zhao, C. H. Naylor, P. Ernst, E. Pollmann, O. Ochedowski *et al.*, *Nanoscale* **10**, 22908 (2018).
- [13] A. Kononov and A. Schleife, *Phys. Rev. B* **102**, 165401 (2020).
- [14] J. Rozet, C. Stephan, and D. Vernhet, *Nucl. Instrum. Methods Phys. Res. B* **107**, 67 (1996).
- [15] M. Imai, M. Sataka, K. Kawatsura, K. Takahiro, K. Komaki, H. Shibata, H. Sugai, and K. Nishio, *Nucl. Instrum. Methods Phys. Res. B* **267**, 2675 (2009).
- [16] O. Osmani and P. Sigmund, *Nucl. Instrum. Methods Phys. Res. B* **269**, 813 (2011).
- [17] C.-W. Lee, J. A. Stewart, R. Dingreville, S. M. Foiles, and A. Schleife, *Phys. Rev. B* **102**, 024107 (2020).
- [18] E. Gruber, R. A. Wilhelm, R. Pétuya, V. Smejkal, R. Kozubek, A. Hierzenberger, B. C. Bayer, I. Aldazabal, A. K. Kazansky, F. Libisch *et al.*, *Nat. Commun.* **7**, 13948 (2016).
- [19] J. Schwestka, A. Niggas, S. Creutzburg, R. Kozubek, R. Heller, M. Schleberger, R. A. Wilhelm, and F. Aumayr, *J. Phys. Chem. Lett.* **10**, 4805 (2019).
- [20] E. Runge and E. K. U. Gross, *Phys. Rev. Lett.* **52**, 997 (1984).
- [21] M. Marques and E. Gross, *Annu. Rev. Phys. Chem.* **55**, 427 (2004).
- [22] M. Marques, *Time-Dependent Density Functional Theory* (Springer Science & Business Media, Berlin, 2006).
- [23] C. A. Ullrich, *Time-Dependent Density-Functional Theory: Concepts and Applications* (Oxford University Press, 2011).
- [24] C. A. Ullrich and Z.-h. Yang, *Braz. J. Phys.* **44**, 154 (2014).
- [25] G. Wachter, C. Lemell, J. Burgdörfer, M. Schenk, M. Krüger, and P. Hommelhoff, *Phys. Rev. B* **86**, 035402 (2012).
- [26] C. A. Ullrich, P.-G. Reinhard, and E. Suraud, *Phys. Rev. A* **57**, 1938 (1998).
- [27] H. Zhang, Y. Miyamoto, and A. Rubio, *Phys. Rev. Lett.* **109**, 265505 (2012).
- [28] N. Medvedev, R. Rymzhanov, and A. Volkov, *J. Phys. D* **48**, 355303 (2015).
- [29] W. Eckstein, *Computer Simulation of Ion-Solid Interactions*, Springer Series in Materials Science (Springer Science & Business Media, Berlin, 2013), Vol. 10.

- [30] B. Gervais and S. Bouffard, *Nucl. Instrum. Methods Phys. Res.* **88**, 355 (1994).
- [31] N. Medvedev and B. Rethfeld, in *International Symposium on High Power Laser Ablation 2010*, AIP Conf. Proc. No. 1278 (AIP, New York, 2010), pp. 250–261.
- [32] A. Akkerman, M. Murat, and J. Barak, *Nucl. Instrum. Methods Phys. Res. B* **269**, 1630 (2011).
- [33] R. Garcia-Molina, I. Abril, I. Kyriakou, and D. Emfietzoglou, in *Radiation Damage in Biomolecular Systems*, edited by G. García Gómez-Tejedor and M. C. Fuss (Springer Netherlands, Dordrecht, 2012), pp. 239–261.
- [34] C. K. Birdsall and A. B. Langdon, *Plasma Physics via Computer Simulation* (McGraw-Hill, New York, 1985).
- [35] O. Buneman, *Phys. Rev.* **115**, 503 (1959).
- [36] J. Dawson, *Phys. Fluids* **5**, 445 (1962).
- [37] J. P. Perdew, *Phys. Rev. B* **37**, 6175 (1988).
- [38] V. Kresin, *J. Chem. Phys.* **128**, 094706 (2008).
- [39] W.-D. Schöne, W. Ekartdt, and J. M. Pacheco, *Phys. Rev. B* **50**, 11079 (1994).
- [40] E. Kalered, N. Brenning, I. Pilch, L. Caillaud, T. Minéa, and L. Ojamäe, *Phys. Plasmas* **24**, 013702 (2017).
- [41] J. Zhou, A. A. Correa, J. Li, S. Tang, Y. Ping, T. Ogitsu, D. Li, Q. Zhou, and J. Cao, *Phys. Rev. E* **90**, 041102(R) (2014).
- [42] E. W. Draeger and F. Gygi, Qbox code, qb@ll version, Lawrence Livermore National Laboratory, <https://github.com/LLNL/qball> (2018).
- [43] E. W. Draeger, X. Andrade, J. A. Gunnels, A. Bhatlele, A. Schleife, and A. A. Correa, *J. Parallel Distrib. Comput.* **106**, 205 (2017).
- [44] V. Peuckert, *J. Phys. C* **11**, 4945 (1978).
- [45] A. Zangwill and P. Soven, *Phys. Rev. Lett.* **45**, 204 (1980).
- [46] A. Zangwill and P. Soven, *Phys. Rev. B* **24**, 4121 (1981).
- [47] D. Vanderbilt, *Phys. Rev. B* **32**, 8412 (1985).
- [48] A. M. Rappe, K. M. Rabe, E. Kaxiras, and J. D. Joannopoulos, *Phys. Rev. B* **41**, 1227 (1990).
- [49] A. Schleife, Y. Kanai, and A. A. Correa, *Phys. Rev. B* **91**, 014306 (2015).
- [50] R. Ullah, E. Artacho, and A. A. Correa, *Phys. Rev. Lett.* **121**, 116401 (2018).
- [51] A. Lim, W. Foulkes, A. Horsfield, D. Mason, A. Schleife, E. Draeger, and A. Correa, *Phys. Rev. Lett.* **116**, 043201 (2016).
- [52] A. Kramida, Yu. Ralchenko, J. Reader, and NIST ASD Team, NIST Atomic Spectra Database (ver. 5.7.1), <https://physics.nist.gov/asd>, National Institute of Standards and Technology, Gaithersburg, MD (2019).
- [53] G. W. Erickson, *J. Phys. Chem. Ref. Data* **6**, 831 (1977).
- [54] R. M. Dreizler and E. K. U. Gross, *Density Functional Theory: An Approach to the Quantum Many-Body Problem* (Springer-Verlag, 1990).
- [55] A. Castro, M. A. L. Marques, and A. Rubio, *J. Chem. Phys.* **121**, 3425 (2004).
- [56] K. Kang, A. Kononov, C.-W. Lee, J. A. Leveillee, E. P. Shapera, X. Zhang, and A. Schleife, *Comput. Mater. Sci.* **160**, 207 (2019).
- [57] A. Ojanperä, A. V. Krasheninnikov, and M. Puska, *Phys. Rev. B* **89**, 035120 (2014).
- [58] P. Delhaes, *Graphite and Precursors* (CRC Press, 2000), Vol. 1.
- [59] See Supplemental Material at <http://link.aps.org/supplemental/10.1103/PhysRevB.103.224306> for information regarding the simulations performed in this article and which includes Refs. [10,28,30,67,68,83–87].
- [60] T. M. Jenkins, W. R. Nelson, and A. Rindi, *Monte Carlo Transport of Electrons and Photons*, Ettore Majorana International Science Series (Springer Science & Business Media, Berlin, 2012), Vol. 38.
- [61] R. Rymzhanov, N. Medvedev, and A. Volkov, *Nucl. Instrum. Methods Phys. Res. B* **388**, 41 (2016).
- [62] R. Rymzhanov, N. Medvedev, and A. Volkov, *Phys. Status Solidi B* **252**, 159 (2015).
- [63] P. Sigmund and A. Schinner, *Nucl. Instrum. Methods Phys. Res.* **382**, 15 (2016).
- [64] D. E. Cullen, Tech. Rep., Nuclear Data Section, International Atomic Energy Agency, Vienna, <https://www-nds.iaea.org/publications/iaea-nds/iaea-nds-224{ }Rev1{ }2018.pdf> (2018).
- [65] Y.-J. Yu, Y. Zhao, S. Ryu, L. E. Brus, K. S. Kim, and P. Kim, *Nano Lett.* **9**, 3430 (2009).
- [66] H. O. Pierson, *Handbook of Carbon, Graphite, Diamonds and Fullerenes: Processing, Properties and Applications* (William Andrew, 2012).
- [67] N. Ooi, A. Rairkar, and J. B. Adams, *Carbon* **44**, 231 (2006).
- [68] U. Diebold, A. Preisinger, P. Schattschneider, and P. Varga, *Surf. Sci.* **197**, 430 (1988).
- [69] M. Veske, A. Kyritsakis, K. N. Sjobak, V. Zadin, A. Aabloo, and F. Djurabekova, *Phys. Rev. E* **101**, 053307 (2020).
- [70] A. Kyritsakis, E. Baibuz, V. Jansson, and F. Djurabekova, *Phys. Rev. B* **99**, 205418 (2019).
- [71] M. Veske, A. Kyritsakis, K. Eimre, V. Zadin, A. Aabloo, and F. Djurabekova, *J. Comput. Phys.* **367**, 279 (2018).
- [72] A. V. Krasheninnikov, Y. Miyamoto, and D. Tománek, *Phys. Rev. Lett.* **99**, 016104 (2007).
- [73] A. Kononov and A. Schleife, *Nano Lett.* **21**, 4816 (2021).
- [74] J. F. Ziegler, M. D. Ziegler, and J. P. Biersack, *Nucl. Instrum. Methods Phys. Res. B* **268**, 1818 (2010).
- [75] A. F. Lifschitz and N. R. Arista, *Phys. Rev. A* **69**, 012902 (2004).
- [76] G. Maynard, M. Chabot, and D. Gardès, *Nucl. Instrum. Methods Phys. Res. B* **164-165**, 139 (2000).
- [77] W. Meckbach and P. Focke, *Nucl. Instrum. Methods Phys. Res. B* **33**, 255 (1988).
- [78] W. Brandt and R. Ritchie, *Phys. Lett. A* **62**, 374 (1977).
- [79] H. Rothard, K. Kroneberger, A. Clouvas, E. Veje, P. Lorenzen, N. Keller, J. Kemmler, W. Meckbach, and K.-O. Groeneveld, *Phys. Rev. A* **41**, 2521 (1990).
- [80] D. Hasselkamp, H. Rothard, K.-O. Groeneveld, J. Kemmler, P. Varga, and H. Winter, *Particle Induced Electron Emission II*, Springer Tracts in Modern Physics book series (Springer, Berlin, 2006), Vol. 123.
- [81] S. M. Ritzau and R. A. Baragiola, *Phys. Rev. B* **58**, 2529 (1998).
- [82] E. Sternglass, *Phys. Rev.* **108**, 1 (1957).
- [83] R. Ritchie and A. Howie, *Philos. Mag.* **36**, 463 (1977).
- [84] A. L. Fetter, *Ann. Phys.* **81**, 367 (1973).
- [85] G. Barton and C. Eberlein, *J. Chem. Phys.* **95**, 1512 (1991).
- [86] V. B. Jovanović, I. Radović, D. Borka, and Z. L. Mišković, *Phys. Rev. B* **84**, 155416 (2011).
- [87] F. J. Nelson, J.-C. Idrobo, J. D. Fite, Z. L. Mišković, S. J. Pennycook, S. T. Pantelides, J. U. Lee, and A. C. Diebold, *Nano Lett.* **14**, 3827 (2014).