


Crystal symmetry based selection rules for anharmonic phonon-phonon scattering from a group theory formalism

Runqing Yang, Shengying Yue, Yujie Quan, and Bolin Liao^{✉*}

Department of Mechanical Engineering, University of California, Santa Barbara, California 93106, USA

 (Received 3 March 2021; revised 21 April 2021; accepted 22 April 2021; published 4 May 2021)

Anharmonic phonon-phonon scattering serves a critical role in heat conduction in solids. Previous studies have identified many selection rules for possible phonon-phonon scattering channels imposed by phonon energy and momentum conservation conditions and crystal symmetry. However, the crystal-symmetry-based selection rules have mostly been *ad hoc* so far in selected materials, and a general formalism that can summarize known selection rules and lead to new ones in any given crystal is still lacking. In this work, we apply a general formalism for symmetry-based scattering selection rules based on the group theory to anharmonic phonon-phonon scatterings, which can reproduce known selection rules and guide the discovery of new selection rules between phonon branches imposed by the crystal symmetry. We apply this formalism to analyze the phonon-phonon scattering selection rules imposed by the in-plane symmetry of graphene, and demonstrate the significant impact of symmetry-breaking strain on the lattice thermal conductivity. Our work quantifies the critical influence of the crystal symmetry on the lattice thermal conductivity in solids and suggests routes to engineer heat conduction by tuning the crystal symmetry.

DOI: [10.1103/PhysRevB.103.184302](https://doi.org/10.1103/PhysRevB.103.184302)

I. INTRODUCTION

Phonon scattering processes play a central role in the atomic-level understanding of thermal transport in semiconductors and insulators [1]. The intrinsic anharmonic phonon-phonon scattering, which includes three-phonon, four-phonon, and higher-order phonon scattering processes [2], is the mechanism that dominates the behavior of the lattice thermal conductivity for crystalline semiconductors and insulators around and above room temperature. Extensive theoretical, computational [1], and experimental [3] efforts have focused on understanding the anharmonic phonon-phonon scattering processes in detail. Maradudin *et al.* [4,5] established the anharmonic lattice dynamics framework to predict intrinsic three-phonon scattering rates in solids [6] by creating the third-order anharmonic Hamiltonian and adopting the phonon creation and annihilation operators in the second quantization formalism of many-body physics. Recent advancements of first-principles methods, such as the density-functional perturbation theory (DFPT) [7] and the finite-displacement approach [6] have enabled routine calculations of the anharmonic three-phonon scattering rates in realistic single crystalline materials. Feng and Ruan [8] further generalized the first-principles calculation to include the four-phonon scattering process. Combining first-principles calculations of phonon scattering rates with the phonon Boltzmann transport equation (BTE) solvers, the lattice thermal conductivity of a wide range of crystalline materials can now be computed with a high accuracy, often showing excellent agreements with experiments [9–13].

In addition to the advancement of first-principles computational methods, an improved physical understanding of

the phonon-phonon scattering, particularly the selection rules that determine allowed and forbidden scattering channels in a given material, has led to the rational discovery of materials with desirable thermal transport properties. Many of these selection rules are imposed by the requirement of energy and momentum conservation during phonon-phonon scattering events [14]. One well-known example is the large acoustic-optical band gaps typically existing in materials with a large mass contrast between constituent atoms that forbid the *aa* type of phonon scattering processes involving two acoustic phonons and one optical phonon [15]. Another example is the “acoustic-bunching” effect, where overlapping acoustic branches in the phonon dispersion limit the *aaa* scattering channels involving three acoustic phonons [16]. Both selection rules have contributed to the unexpected high lattice thermal conductivity in boron arsenide [15] that has been experimentally verified recently [17–19].

Besides the energy and momentum conservation conditions, the crystal symmetry also places restrictions on possible phonon scattering channels. For example, symmetry-based analysis of the selection rules have been extensively used to interpret optical spectroscopic measurements involving photon-phonon interactions, such as the Raman and infrared spectroscopy [20]. The impact of symmetry-based selection rules on electron-phonon scatterings has also been examined to understand the strain-engineering of charge mobility in semiconductors [21,22] and the electrical transport in two-dimensional (2D) materials [23]. In the context of phonon properties and thermal transport, crystal symmetry has been used to reduce the number of unique interatomic force constants (IFCs) in first-principles phonon calculations and improve their numerical accuracy [24,25]. In addition, crystal symmetry can place constraints on the phonon dispersion relations, which indirectly affect phonon scattering through

*bliao@ucsb.edu

energy and momentum conservation conditions [26]. Furthermore, phonon-phonon scattering rules that are directly imposed by crystal symmetry have been studied in a few material systems with special crystal symmetries. For example, the existence of a screw axis in certain one-dimensional (1D) systems, such as the carbon nanotubes [10] and the 1D-chain compound Ba_3N [27], imposes an additional phonon scattering selection rule requiring the conservation of a phonon angular momentum. As another example, Lindsay *et al.* [28] discussed the selection rule for three-phonon scatterings involving flexural phonon modes associated with the out-of-plane mirror reflection symmetry in 2D materials, which contributes to the high lattice thermal conductivity of graphene. However, most crystalline materials possess more crystal symmetries and should have more phonon scattering selection rules imposed by their full crystal symmetry properties. A natural development, therefore, is to systematically identify phonon scattering selection rules for a given crystal based on its full crystal symmetry.

Group theory provides a systematic mathematical framework to analyze the impact of crystal symmetries on phonon-phonon scattering. To reveal additional phonon scattering selection rules, we first review the three-phonon scattering matrix elements written in complex normal coordinates for phonon eigenmodes [29,30]. We then investigate the symmetry properties of the complex normal coordinates [30] and apply the method of space-group selection rules [31,32] to derive the phonon scattering selection rules in crystals with symmorphic space groups. We provide several examples to illustrate how those selection rules can be identified based on the group theory and provide justifications for our findings. Our results not only show good compatibility with the findings in previous research [27,28], but also lead to additional selection rules for phonon scattering channels. To demonstrate the effect of these selection rules, we compare the phonon scattering rates of forbidden channels and allowed channels in graphene with or without a symmetry-breaking strain using first-principles simulations. The simulation results agree well with our theoretical predictions and show that the group theory framework we have generalized can serve as a valuable tool to search for phonon-phonon scattering selection rules in crystalline materials and investigate the impact of crystal symmetry on phonon transport. Our result also implies a potential route towards tuning the thermal conductivity of solids via controlled crystal-symmetry breaking. We note that the group-theory formalism has been applied to discuss the thermal conductivity of transition metal dichalcogenides by Cammarata [33], however, the scattering selection rules for phonons with particular wave vectors were not established.

II. THREE-PHONON SCATTERING RATES IN NORMAL COORDINATES

The lattice Hamiltonian of a crystal can be written as the sum of the harmonic part H_0 and the anharmonic parts [8,29,34]:

$$H = H_0 + H_3 + H_4 + \dots \quad (1)$$

The third-order terms are expressed as

$$H_3 = \frac{1}{6} \sum_{l,\kappa,\alpha} \sum_{l',\kappa',\beta} \sum_{l'',\kappa'',\gamma} \Phi_{\alpha\beta\gamma}(l\kappa, l'\kappa', l''\kappa'') \times u_\alpha \binom{l}{\kappa} u_\beta \binom{l'}{\kappa'} u_\gamma \binom{l''}{\kappa''}. \quad (2)$$

Here Φ represents the force constant and u is the atomic displacement. $\alpha, \beta,$ and γ are Cartesian coordinates. l, l', l'' and $\kappa, \kappa', \kappa''$ represent the indices for a certain unit cell and a particular atom within that cell. The atomic displacements u can be expanded in the basis of the phonon eigenvectors [29,30]:

$$u_\alpha \binom{l}{\kappa} = \frac{1}{\sqrt{N}\sqrt{M_\kappa}} \sum_{\mathbf{q}} \sum_{j,\rho} Q \binom{\mathbf{q}}{j_\rho} e_\alpha^\kappa \binom{\mathbf{q}}{j_\rho} e^{i\mathbf{q}\cdot\mathbf{R}_l}. \quad (3)$$

Here N is the number of atoms, M_κ is the mass of the atom κ . \mathbf{q} is the phonon wave vector and j is the phonon branch index. ρ is an extra index labeling degenerate phonon modes for a given branch. $\rho = 1, \dots, l_j$ and l_j is the number of degenerate eigenvectors. $e_\alpha^\kappa \binom{\mathbf{q}}{j_\rho}$ is one component of the eigenvector of the phonon mode (\mathbf{q}, j_ρ) associated with the displacement of atom κ along the direction α . \mathbf{R}_l is the coordinate of a unit cell. The expansion coefficients $Q \binom{\mathbf{q}}{j_\rho}$ are the complex normal coordinates for the phonon eigenmodes. We can transform Eq. (2) into the following form by rewriting the atomic displacements $u_\alpha \binom{l}{\kappa}$ in normal coordinates $Q \binom{\mathbf{q}}{j_\rho}$

$$H_3 = \frac{1}{6N^{\frac{3}{2}}} \sum_{\mathbf{q},j,\rho} \sum_{\mathbf{q}',j',\rho'} \sum_{\mathbf{q}'',j'',\rho''} Q \binom{\mathbf{q}}{j_\rho} Q \binom{\mathbf{q}'}{j'_{\rho'}} Q \binom{\mathbf{q}''}{j''_{\rho''}} \times \sum_{l,\kappa,\alpha} \sum_{l',\kappa',\beta} \sum_{l'',\kappa'',\gamma} \frac{\Phi_{\alpha\beta\gamma}(l\kappa, l'\kappa', l''\kappa'')}{\sqrt{M_\kappa M_{\kappa'} M_{\kappa''}}} \times e_\alpha^\kappa \binom{\mathbf{q}}{j_\rho} e_\beta^{\kappa'} \binom{\mathbf{q}'}{j'_{\rho'}} e_\gamma^{\kappa''} \binom{\mathbf{q}''}{j''_{\rho''}} e^{i\mathbf{q}\cdot\mathbf{R}_l + i\mathbf{q}'\cdot\mathbf{R}_{l'} + i\mathbf{q}''\cdot\mathbf{R}_{l''}}. \quad (4)$$

Equation (4) can be rewritten in a more compact form for the phonon absorption case (two phonons merge into a third phonon):

$$H_3 = \frac{1}{6N^{\frac{3}{2}}} \sum_{\mathbf{q},j,\rho} \sum_{\mathbf{q}',j',\rho'} \sum_{\mathbf{q}'',j'',\rho''} V_+(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''}) \times \Delta(\mathbf{q} + \mathbf{q}' - \mathbf{q}'') Q \binom{\mathbf{q}}{j_\rho} Q \binom{\mathbf{q}'}{j'_{\rho'}} Q \binom{\mathbf{q}''}{j''_{\rho''}}^*, \quad (5)$$

where $V_+(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''})$ and $\Delta(\mathbf{q} + \mathbf{q}' - \mathbf{q}'')$ are:

$$V_+(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''}) = \sum_{\kappa,\alpha} \sum_{l,\kappa',\beta} \sum_{l',\kappa'',\gamma} \frac{\Phi_{\alpha\beta\gamma}(0\kappa, l\kappa', l'\kappa'')}{\sqrt{M_\kappa M_{\kappa'} M_{\kappa''}}} \times e_\alpha^\kappa \binom{\mathbf{q}}{j_\rho} e_\beta^{\kappa'} \binom{\mathbf{q}'}{j'_{\rho'}} e_\gamma^{\kappa''} \binom{\mathbf{q}''}{j''_{\rho''}}^* e^{i\mathbf{q}'\cdot\mathbf{R}_l - i\mathbf{q}''\cdot\mathbf{R}_{l'}}, \quad (6)$$

$$\Delta(\mathbf{q} + \mathbf{q}' - \mathbf{q}'') = \begin{cases} 1 & \mathbf{q} + \mathbf{q}' - \mathbf{q}'' = \mathbf{K} \\ 0 & \text{otherwise} \end{cases}, \quad (7)$$

where \mathbf{K} can be any reciprocal lattice vector. Equations (6) and (7) are extensively used in first-principles phonon

scattering calculations [34]. The three-phonon absorption rate $\Gamma_{\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''}}^+$ can be expressed as [35]:

$$\Gamma_{\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''}}^+ = \frac{\hbar\pi}{4} \frac{f_0' - f_0''}{\omega_{\mathbf{q}j_\rho} \omega_{\mathbf{q}'j'_{\rho'}} \omega_{\mathbf{q}''j''_{\rho''}}} |V_+(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''})|^2 \times \delta(\omega_{\mathbf{q}j_\rho} + \omega_{\mathbf{q}'j'_{\rho'}} - \omega_{\mathbf{q}''j''_{\rho''}}), \quad (8)$$

where f_0 stands for $f_0(\omega_\lambda)$, the Bose–Einstein distribution, and $V_+(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''})$ can be viewed as the absorption scattering matrix element of three specific phonons. The two delta functions in Eqs. (7) and (8) impose the conservation of energy and momentum during the scattering process. Equation (5) shows the third-order anharmonic Hamiltonian can be expressed as a linear combination of triple products of the complex normal coordinates Q with the coefficient $V_+(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''})\Delta(\mathbf{q} + \mathbf{q}' - \mathbf{q}'')$. In order to derive the scattering selection rules in the framework of the group theory, we need to find the transformation properties of the left-hand side and the right-hand side of Eq. (5) from the symmetry perspective. First we express Eq. (5) as

$$H_3 = \sum_{\mathbf{q}, \xi} \sum_{\mathbf{q}', \xi'} \sum_{\mathbf{q}'', \xi''} C_+(\mathbf{q}\xi, \mathbf{q}'\xi', \mathbf{q}''\xi'') Q(\xi) Q(\xi') Q(\xi'')^*. \quad (9)$$

In Eq. (9), we merge $V_+(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''})$ and $\Delta(\mathbf{q} + \mathbf{q}' - \mathbf{q}'')$ into one coefficient $C_+(\mathbf{q}\xi, \mathbf{q}'\xi', \mathbf{q}''\xi'')$. Here we use ξ instead of j_ρ to specify each phonon branch at each \mathbf{q} for brevity. Similarly, for phonon emission processes (one phonon splits into two phonons),

$$H_3 = \sum_{\mathbf{q}, \xi} \sum_{\mathbf{q}', \xi'} \sum_{\mathbf{q}'', \xi''} C_-(\mathbf{q}\xi, \mathbf{q}'\xi', \mathbf{q}''\xi'') Q(\xi) Q(\xi')^* Q(\xi'')^*. \quad (10)$$

$C_-(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''})$ is the product of $V_-(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''})$ and $\Delta(\mathbf{q} - \mathbf{q}' - \mathbf{q}'')$, where

$$V_-(\mathbf{q}j_\rho, \mathbf{q}'j'_{\rho'}, \mathbf{q}''j''_{\rho''}) = \sum_{\kappa, \alpha} \sum_{l, \kappa', \beta} \sum_{l', \kappa'', \gamma} \frac{\Phi_{\alpha\beta\gamma}(0\kappa, l\kappa', l'\kappa'')}{\sqrt{M_\kappa M_{\kappa'} M_{\kappa''}}} \times e_\alpha^\kappa(\mathbf{q}) e_\beta^{\kappa'}(\mathbf{q}')^* e_\gamma^{\kappa''}(\mathbf{q}'')^* e^{-i\mathbf{q}' \cdot \mathbf{R}_l - i\mathbf{q}'' \cdot \mathbf{R}_{l'}}, \quad (11)$$

$$\Delta(\mathbf{q} - \mathbf{q}' - \mathbf{q}'') = \begin{cases} 1 & \mathbf{q} - \mathbf{q}' - \mathbf{q}'' = \mathbf{K} \\ 0 & \text{otherwise} \end{cases}. \quad (12)$$

III. PHONON SCATTERING SELECTION RULES IN SYMMORPHIC GROUPS

In this paper, we focus mainly on symmorphic space groups, which do not contain symmetry operations with fractional translations, such as screw axes and glide planes. In symmorphic space groups, the space group G can be expressed as the semidirect product of the point group G_0 and its normal subgroup A , which for most cases is the translational group T [31,32,36]:

$$G = A \wedge G_0. \quad (13)$$

Given a phonon wave vector \mathbf{q} , all the group elements $\{R\}$ in G_0 that satisfy the following relation:

$$R\mathbf{q} = \mathbf{q} + \mathbf{K}, \quad (14)$$

will form a subgroup of the point group, which is called the group of the wave vector $G_0(\mathbf{q})$. Here \mathbf{K} is any reciprocal lattice vector.

Next we will apply the selection-rule theory for space groups to the phonon absorption process. It can be shown [30] that $Q(\xi)$ transforms in the same way as the phonon eigenvector $\mathbf{e}(\xi)$. Suppose $\mathbf{e}(\xi)$ transforms according to a certain irreducible representation $D_G^{(\mathbf{q})(\xi)}$ of the group of the wave vector $G_0(\mathbf{q})$, then the triple product $Q(\xi)Q(\xi')Q(\xi'')^*$ must transform according to the direct product of the representations:

$$D_G^{(\mathbf{q})(\xi)} \otimes D_G^{(\mathbf{q})(\xi')} \otimes D_G^{(\mathbf{q})(\xi'')*}. \quad (15)$$

A necessary and sufficient condition for a particular triple product of the complex normal coordinates to appear in the expansion of H_3 [in another word, the coefficient C_+ is nonzero for a particular triple product in Eq. (5)] is that the corresponding character reduction coefficient after the Clebsch–Gordan series expansion is nonvanishing [30,31], i.e., in the expansion

$$D_G^{(\mathbf{q})(\xi)} \otimes D_G^{(\mathbf{q})(\xi')} \otimes D_G^{(\mathbf{q})(\xi'')*} = \sum_n \langle \mathbf{q}\xi \otimes \mathbf{q}'\xi' \otimes \mathbf{q}''\xi''^* | n \rangle \Gamma^n, \quad (16)$$

$\langle \mathbf{q}\xi \otimes \mathbf{q}'\xi' \otimes \mathbf{q}''\xi''^* | 1 \rangle \neq 0$. Here n labels different irreducible representations and Γ^1 is the identity representation. For symmorphic space groups, $\langle \mathbf{q}\xi \otimes \mathbf{q}'\xi' \otimes \mathbf{q}''\xi''^* | 1 \rangle$ can be calculated by the following formula [31]:

$$\langle \mathbf{q}\xi \otimes \mathbf{q}'\xi' \otimes \mathbf{q}''\xi''^* | 1 \rangle = \frac{1}{g_0} \sum_{\{R\}} \chi^{\mathbf{q}\xi}(\{R\}) \chi^{\mathbf{q}'\xi'}(\{R\}) \chi^{\mathbf{q}''\xi''}(\{R\})^* \Delta(\mathbf{q} + \mathbf{q}' - \mathbf{q}''), \quad (17)$$

where $\chi^{\mathbf{q}\xi}$ is the character of the irreducible representation $D_G^{(\mathbf{q})(\xi)}$ and R goes through all the common elements in $G_0(\mathbf{q})$, $G_0(\mathbf{q}')$, and $G_0(\mathbf{q}'')$. These symmetry operations form a new subgroup, which we denote as $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ and g_0' is the number of elements in this group. Equation (17) can be used to determine whether a particular phonon scattering process is forbidden by the crystal symmetry once the groups of the wave vector $G_0(\mathbf{q})$, $G_0(\mathbf{q}')$, and $G_0(\mathbf{q}'')$ for the three participating phonons are specified. We can write down the condition for a forbidden phonon absorption process as follows:

$$\langle \mathbf{q}\xi \otimes \mathbf{q}'\xi' \otimes \mathbf{q}''\xi''^* | 1 \rangle = 0. \quad (18)$$

Following the same procedure, we can get the similar selection rule for phonon emission processes:

$$\langle \mathbf{q}\xi \otimes \mathbf{q}'\xi'^* \otimes \mathbf{q}''\xi''^* | 1 \rangle = 0. \quad (19)$$

These equations show that once we have determined $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ and specified the phonon branches, the expansion coefficients $\langle \mathbf{q}\xi \otimes \mathbf{q}'\xi' \otimes \mathbf{q}''\xi''^* | 1 \rangle$ or $\langle \mathbf{q}\xi \otimes \mathbf{q}'\xi'^* \otimes \mathbf{q}''\xi''^* | 1 \rangle$ can be readily calculated with the help of group character tables using Eq. (17).

TABLE I. C_{1h} group character table.

	E	σ_h
Δ_1	1	1
Δ_2	1	-1

IV. APPLICATION TO 2D SYSTEM: GRAPHENE

In this section, we demonstrate the use of the space-group selection-rule theory elaborated in the previous section by deriving the phonon-phonon scattering selection rules in graphene. Graphene's space group is $P6/mmm$, and the corresponding point group is D_{6h} , whose properties are most conveniently studied in terms of the combination of the simpler C_{6v} subgroup that includes all the in-plane symmetries and the out-of-plane mirror symmetry σ_h . Given graphene's 2D nature, the subgroup $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ always contains σ_h , and may have additional in-plane symmetries from C_{6v} . In the following examples, we will only apply the selection rules for phonon absorption processes since the procedure is the same for phonon emission processes.

A. Selection rules imposed by the mirror reflection σ_h

Since all \mathbf{q} in the momentum space are confined in a 2D plane, there are at least two elements in $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$:

$$G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'') = \{E, \sigma_h\}, \quad (20)$$

where E is the identify operation. In this case, $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ is the point group C_{1h} , which means any phonon eigenvector $\mathbf{e}(\xi^{\mathbf{q}})$ must transform according to one of its irreducible representations listed in Table I: Δ_1 or Δ_2 . To be concrete, the eigenvectors of in-plane phonon modes (TA, TO and LA, LO modes) remain the same under the mirror reflection σ_h and thus belong to the representation Δ_1 , while the eigenvectors of out-of-plane phonon modes (the flexural ZA and ZO modes) change sign under σ_h and thus belong to the representation Δ_2 .

Now consider the scattering process involving two in-plane phonons and one out-of-plane phonon assuming the energy and momentum conservation conditions are satisfied. Then the eigenvector $\mathbf{e}(\xi^{\mathbf{q}})$ transforms as Δ_1 , $\mathbf{e}(\xi^{\mathbf{q}'})$ transforms as Δ_1 , and $\mathbf{e}(\xi^{\mathbf{q}''})$ transform as Δ_2 . Applying Eq. (17), we have

$$\begin{aligned} & \langle \mathbf{q}\xi \otimes \mathbf{q}'\xi' \otimes \mathbf{q}''\xi'' | 1 \rangle \\ &= \frac{1}{g_0} \sum_{\{R\}} \chi^{\mathbf{q}\xi}(\{R\}) \chi^{\mathbf{q}'\xi'}(\{R\}) \chi^{\mathbf{q}''\xi''}(\{R\})^* \\ &= \frac{1}{2} [\chi_{\Delta_1}(E) \chi_{\Delta_1}(E) \chi_{\Delta_2}(E) + \chi_{\Delta_1}(\sigma_h) \chi_{\Delta_1}(\sigma_h) \chi_{\Delta_2}(\sigma_h)] \\ &= \frac{1}{2} [1 \times 1 \times 1 + 1 \times 1 \times (-1)] \\ &= 0, \end{aligned} \quad (21)$$

so this transition is forbidden. This calculation indicates that two in-plane modes cannot scatter into an out-of-plane mode. Similarly, we can repeat the calculation for other possible phonon combinations, which concludes that any three-phonon

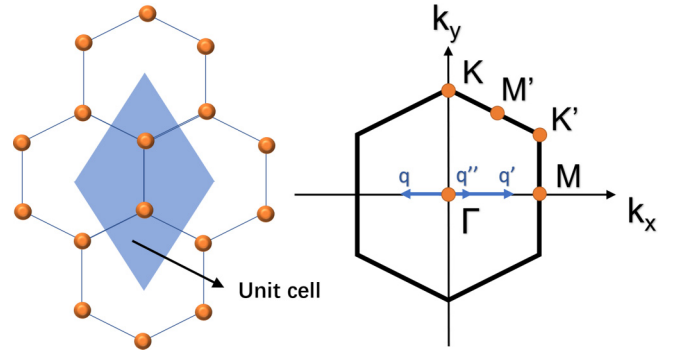


FIG. 1. The crystal structure and the Brillouin zone of graphene. Three phonon modes with wave vectors along the Γ -M direction in the Brillouin zone of graphene are labeled $(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$.

scattering channels involving an odd number of out-of-plane phonon modes are forbidden in 2D materials with the out-of-plane mirror reflection symmetry σ_h . These selection rules were first analyzed by Lindsay *et al.* [28] by an explicit symmetry analysis of the scattering matrix elements in graphene, and are responsible for the reduced scattering of the flexural phonons in graphene. Here we justify them through our group theory approach. Similarly, the group theory approach can also reproduce the phonon-angular-momentum selection rules in 1D chain systems with a screw axis as discussed in previous work [27]. We detail the derivation process in Appendix A.

B. Selection rules imposed by in-plane symmetries

Besides the mirror reflection σ_h , the in-plane symmetries of graphene, including the six-fold rotational axis and in-plane mirror reflections, impose additional selection rules on phonon-phonon scattering. For phonon modes with a generic wave vector \mathbf{q} in the momentum space (not located at any high-symmetry lines or points), the associated group of wave vector $G_0(\mathbf{q})$ is trivial and only contains the identity operation and σ_h . Therefore, for any scattering process involving such a generic phonon mode, the in-plane crystal symmetry will not impose additional selection rules. In other words, the in-plane crystal symmetries will only lead to additional selection rules on scattering processes involving phonon modes located at high-symmetry lines and points, where the associated groups of wave vector contain more symmetry elements and possess nontrivial representations.

As one example, consider three phonon modes with wave vectors along the high-symmetry path Γ to M (as shown in Fig. 1, excluding Γ and M points), then $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ contains four elements:

$$G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'') = \{E, C_2(x), \sigma_{xz}, \sigma_h\}, \quad (22)$$

where $C_2(x)$ is the π rotation around the x axis and σ_{xz} is the mirror reflection by the x - z plane. In this case, $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ has the same representations as the point group C_{2v} , which means the eigenvector $\mathbf{e}(\xi^{\mathbf{q}})$ of any phonon mode along this path must transform according to one of its irreducible representations listed in Table II (Δ_1 to Δ_4). Since all irreducible representations in this case are one dimensional, the symmetry properties of the phonon eigenvectors can be easily analyzed

TABLE II. C_{2v} character table.

	E	$C_2(x)$	σ_{xz}	σ_h
Δ_1	1	1	1	1
Δ_2	1	1	-1	-1
Δ_3	1	-1	1	-1
Δ_4	1	-1	-1	1

by examining their sign change under a particular symmetry operation. The typical eigenvectors of different phonon branches along this path and their respective irreducible representations are shown in Fig. 2.

Consider a scattering channel involving three phonons along the Γ -M path, whose eigenvectors belong to the irreducible representations Δ_A , Δ_B , and Δ_C , respectively, and we denote this scattering channel as $\Delta_A \odot \Delta_B \odot \Delta_C$. With the help of the character table (Table II), we can enumerate the forbidden scattering channels by applying Eq. (17). Since no phonon modes belong to the representation Δ_2 along the Γ -M path in graphene, the following scattering channels for phonons along the Γ -M path are forbidden by the in-plane crystal symmetries in graphene:

$$\begin{aligned}
 &\Delta_1 \odot \Delta_1 \odot \Delta_3 \quad \Delta_1 \odot \Delta_1 \odot \Delta_4 \\
 &\Delta_3 \odot \Delta_3 \odot \Delta_3 \quad \Delta_3 \odot \Delta_3 \odot \Delta_4 \\
 &\Delta_4 \odot \Delta_4 \odot \Delta_3 \quad \Delta_4 \odot \Delta_4 \odot \Delta_4 \\
 &\Delta_1 \odot \Delta_3 \odot \Delta_4.
 \end{aligned} \tag{23}$$

For example, since the channel $\Delta_1 \odot \Delta_1 \odot \Delta_4$ is forbidden, so two longitudinal modes cannot scatter with a transverse mode along the Γ -M direction, even if the energy and momentum conservation conditions are satisfied. These additional scattering selection rules are imposed strictly by the crystal symmetry, indicating more restrictions on phonon scattering channels and thus higher lattice thermal conductivity for materials with higher crystal symmetries. To gain more physical insights, we explicitly analyze the symmetry properties of the force constants that are associated with the forbidden channels in Appendix B.

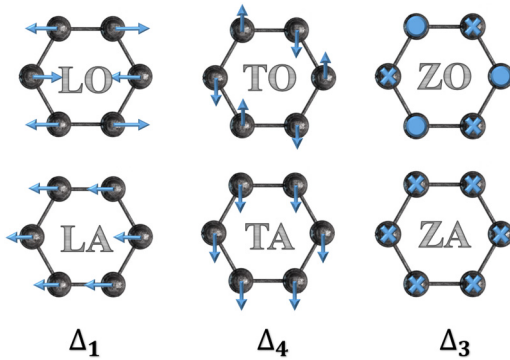


FIG. 2. Typical atomic vibrational patterns (eigenvectors) for phonon modes along the Γ -M path and their corresponding irreducible representation as listed in Table II. The crosses and dots represent directions into and out from the paper plane.

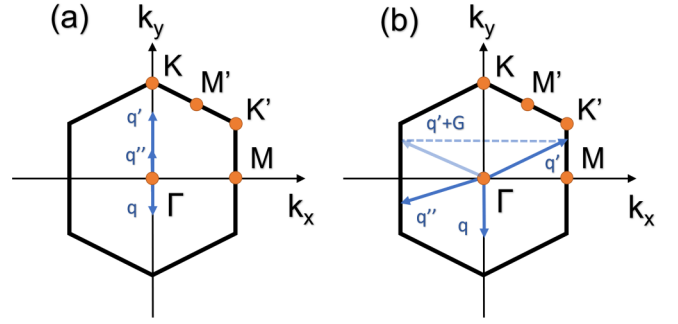


FIG. 3. (a) Three phonon modes with wave vectors along the Γ -K path, whose representations are listed in Table III. (b) One example of three other phonons possessing the same symmetry properties as those along the Γ -K path.

Similarly, we can examine the scattering processes involving phonon modes along other high-symmetry paths. For example, if all three phonon wave vectors are along the high-symmetry path Γ to K [Fig. 3(a)], then $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ has four elements:

$$G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'') = \{E, C_2(y), \sigma_{yz}, \sigma_h\}. \tag{24}$$

We note here that there are other possible combinations of phonon modes with the same $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ structure, as long as each mode's group of wave vector contains the same four elements. One example is shown in Fig. 3(b). In this case, $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ has the same representations as the point group C_{2v} , whose character table is given in Table III with irreducible representations Δ'_1 to Δ'_4 . For these phonon modes shown in Fig. 3, the symmetry properties of their eigenvectors and the corresponding irreducible representation that they belong to are listed in Fig. 4. Different from the Γ -M path, all four representations appear along Γ -K. Thus, the following forbidden processes can be derived using Eq. (17):

$$\begin{aligned}
 &\Delta'_1 \odot \Delta'_1 \odot \Delta'_2 \quad \Delta'_1 \odot \Delta'_1 \odot \Delta'_3 \\
 &\Delta'_1 \odot \Delta'_1 \odot \Delta'_4 \quad \Delta'_2 \odot \Delta'_2 \odot \Delta'_2 \\
 &\Delta'_2 \odot \Delta'_2 \odot \Delta'_3 \quad \Delta'_2 \odot \Delta'_2 \odot \Delta'_4 \\
 &\Delta'_3 \odot \Delta'_3 \odot \Delta'_2 \quad \Delta'_3 \odot \Delta'_3 \odot \Delta'_3 \\
 &\Delta'_3 \odot \Delta'_3 \odot \Delta'_4 \quad \Delta'_4 \odot \Delta'_4 \odot \Delta'_2 \\
 &\Delta'_4 \odot \Delta'_4 \odot \Delta'_3 \quad \Delta'_4 \odot \Delta'_4 \odot \Delta'_4 \\
 &\Delta'_1 \odot \Delta'_2 \odot \Delta'_3 \quad \Delta'_1 \odot \Delta'_2 \odot \Delta'_4 \\
 &\Delta'_1 \odot \Delta'_3 \odot \Delta'_4.
 \end{aligned} \tag{25}$$

From this list of forbidden scattering channels, we can conclude, for example, $TA + TA \rightarrow TA$ is a forbidden process

 TABLE III. C_{2v} character table.

	E	$C_2(y)$	σ_{yz}	σ_h
Δ'_1	1	1	1	1
Δ'_2	1	1	-1	-1
Δ'_3	1	-1	1	-1
Δ'_4	1	-1	-1	1

TABLE IV. $C_{3v} \otimes H$ character table.

	E	$2C_3(z)$	$3\sigma_v$	S	$2SC_3(z)$	$3S\sigma_v$
Γ_1	1	1	1	1	1	1
Γ'_1	1	1	1	-1	-1	-1
Γ_2	1	1	-1	1	1	-1
Γ'_2	1	1	-1	-1	-1	1
Γ_3	2	-1	0	2	-1	0
Γ'_3	2	-1	0	-2	1	0

while $LA + LA \rightarrow LA$ is an allowed process for phonons along the Γ -K path. We can also observe that the scatterings of phonons along the Γ -K path are more restricted by the crystal symmetry than those along the Γ -M path given the larger number of selection rules.

V. IMPACT OF SELECTION RULES ON THERMAL TRANSPORT IN GRAPHENE

In this section, we use the first-principles calculation to verify the phonon scattering selection rules in graphene. From the discussion in the previous section, when the in-plane symmetries exist, the group of wave vectors $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ could contain many elements, so there will be more selections rules for phonon scattering. On the other hand, once the crystal symmetries are broken in the x - y plane, the group of wave vectors $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ will only contain E and σ_h , so most of the selection rules will be lifted (except for the ones imposed by σ_h) as long as energy and momentum conservation conditions are met.

In order to directly verify this conclusion and evaluate the effect of symmetry breaking on thermal transport in graphene, we simulate a “skewed” graphene with first-principles methods [1], in which the atomic positions are slightly shifted in x - y plane to break all the in-plane symmetries. The structures of the original graphene unit cell and the “skewed” graphene unit cell are shown in Fig. 5. We note here that, although the “skewed” graphene structure is artificial, it serves as an extreme example to assess the impact of the symmetry-imposed selection rules. Experimentally achievable uniaxial strains can affect some, but not all, of the in-plane symmetries. In practice, a strain gradient can break all in-plane

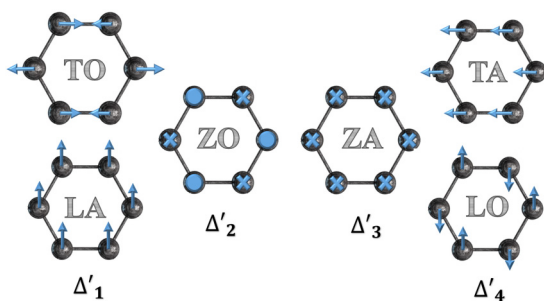


FIG. 4. Typical atomic vibrational patterns (eigenvectors) for phonon modes along the Γ -K path and their corresponding irreducible representation as listed in Table III. The crosses and dots represent directions into and out from the paper plane.

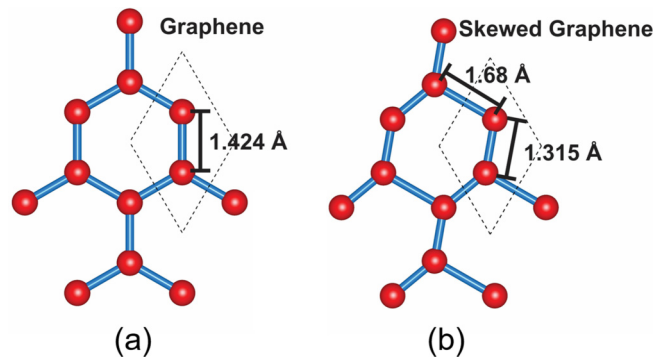


FIG. 5. The crystal structures and unit cells of (a) graphene, and (b) “skewed” graphene.

symmetries but it is difficult to theoretically assess its impact on thermal transport due to the broken lattice periodicity. The details for the first-principles computation are given in Appendix D. We use first-principles simulation to calculate the mode-specific phonon-phonon scattering rates and decompose them into contributions from different scattering channels. Strictly speaking, the symmetry-imposed selection rules only affect phonon modes located at high-symmetry lines and points in the momentum space. However, it is challenging to only focus on these special phonon modes in the first-principles simulation due to the lack of sufficient data limited by the sampling mesh. So instead, we compute the scattering rates of all phonon modes and examine how the scattering rates of the commonly forbidden scattering channels in graphene (such as $TA \rightarrow ZA + ZA$ and $LA + TA \rightarrow LA$) change when the in-plane symmetries are broken in the “skewed” graphene. In Figs. 6(a) and 6(b), we compare the scattering rates of these two forbidden channels in graphene and “skewed” graphene. We find that, for the two forbidden channels in graphene, the phonon-phonon scattering rates increase significantly when the in-plane symmetries are broken in the “skewed” graphene. In contrast, for the two allowed channels in graphene ($LA \rightarrow ZA + ZA$ and $LA \rightarrow TA + TA$), there is no apparent order-of-magnitude change of the phonon scattering rates. We further confirm that the phonon dispersion relations for graphene and “skewed” graphene are similar [Fig. 7(a)]. Although it has been shown that small differences in phonon dispersions can lead to large changes of phonon scattering rates due to the modified phonon scattering phase space [37–39], this effect alone cannot explain the mode-selectivity we observe in Fig. 6. We further evaluate the impact of the broken in-plane crystal symmetry and the lifted scattering selection rules on the lattice thermal conductivity. As shown in Fig. 7(b), the thermal conductivity of the “skewed” graphene (~ 2100 W/mK at 300 K) is much lower than graphene (~ 3000 W/mK at 300 K). Given their similar phonon dispersion relations, we expect that the phonon group velocities and phonon specific heats in both materials are very similar. In fact, the group velocity of the ZA mode in graphene is lower than that in “skewed” graphene from the slope of the phonon dispersions shown in Fig. 7(a). Therefore, the enhanced phonon-phonon scatterings should be the main contributor to the significant reduction of the thermal conductivity ($\sim 30\%$) in skewed graphene. Although our calculation cannot

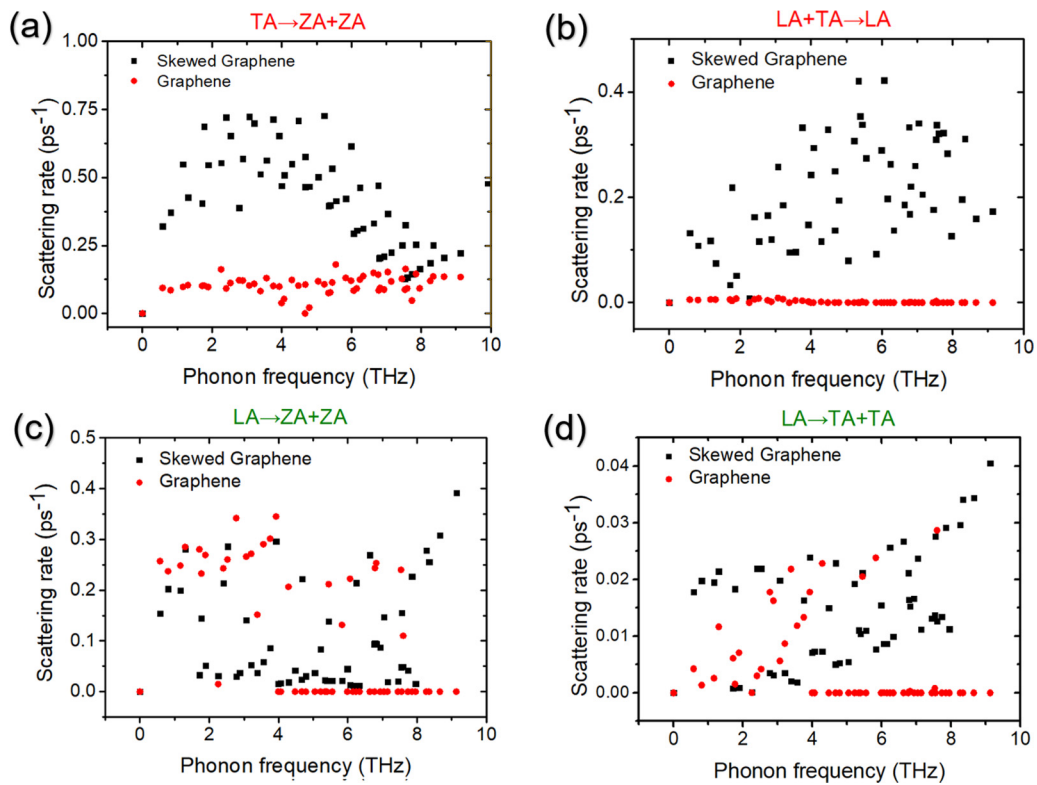


FIG. 6. Phonon-phonon scattering rates for both graphene and “skewed graphene” decomposed into scattering channels. (a) and (b) show the results for scattering channels that are forbidden by in-plane symmetry in graphene, while (c) and (d) show the results for allowed scattering channels in graphene.

directly prove that the broken crystal symmetry is the single factor contributing to the enhanced phonon-phonon scattering in “skewed” graphene due to the difficulty in sampling the Brillouin zone, the clear contrast of scattering rates in phonon scattering channels shown in Fig. 6 demonstrate that the scattering selection rules imposed by crystal symmetry are at least a significant contributor to the change of the phonon scattering rates, illustrating the important influence of crystal symmetry on the lattice thermal conductivity. In addition, we note that, although the crystal-symmetry selection rules only strictly impact the phonons along high-symmetry lines, the continuity of physical quantities implies that phonons in the proximity

of high-symmetry lines and points should also be strongly influenced by the selection rules. This result suggests that the lattice thermal conductivity in high-symmetry materials can potentially be controlled effectively by external conditions that break the crystal symmetry. Lastly, we emphasize that the impact of the crystal-symmetry-imposed scattering selection rules is not an “on and off” effect. In perfect graphene, the scattering rates of some phonons located on high-symmetry lines and points are strictly zero due to the selection rules. When the symmetries are broken, the selection rules are lifted, but it does not mean the scattering rates of these phonons will suddenly change to high values. The continuity of physical

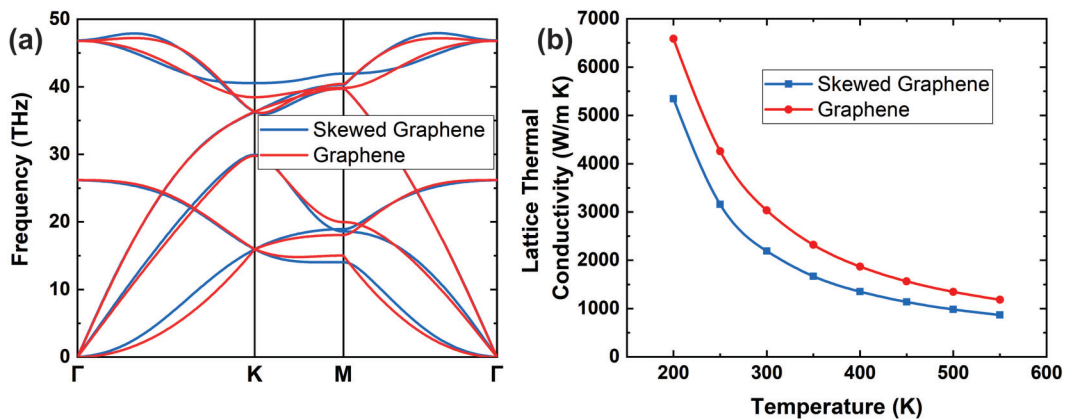


FIG. 7. (a) Calculated phonon dispersion relations of graphene and “skewed” graphene. (b) Calculated lattice thermal conductivity of graphene and “skewed” graphene at different temperatures.

quantities indicate that the change of the phonon scattering rate will be a gradual process when the lattice is increasingly distorted.

VI. SUMMARY AND DISCUSSION

In summary, we demonstrated that a group-theory-based formalism can be applied to systematically identify anharmonic phonon scattering selection rules imposed by crystal symmetry. We showed that this formalism can reproduce known *ad hoc* selection rules and lead to new ones. We further examined the impact of crystal-symmetry breaking on the phonon scattering and thermal transport properties of graphene. Although there has been the qualitative understanding that crystals with higher symmetry tend to possess higher

lattice thermal conductivity, our study quantifies the influence of the crystal symmetry. Our recent experimental and computational study of the thermal conductivity of epitaxial gallium arsenide (GaAs) on silicon substrate showed that a small symmetry-breaking in-plane biaxial strain can significantly reduce the lattice thermal conductivity of GaAs [40]. This observation can now be quantitatively understood using the group-theory formalism here, although the derivation is quite tedious so not provided in this paper (we give a brief heuristic discussion in Appendix C).

Furthermore, we note that the group-theory formalism here can be generalized to higher-order scattering processes involving more phonons. For an anharmonic scattering channel involving N phonons, the Clebsch-Gordon coefficient in Eq. (17) can be calculated in a generalized way:

$$\begin{aligned} \langle N \text{ phonon process} | 1 \rangle &= \left[\frac{1}{g'_0} \sum_{\{R\}} \prod_{i=1}^N \chi^{\mathbf{q}_i \xi_i}(\{R\})^\ddagger \right] \Delta \left(\sum_{j=1}^N (-1)^{M_j} \mathbf{q}_j \right) \\ \chi^{\mathbf{q}_i \xi_i}(\{R\})^\ddagger &= \begin{cases} \chi^{\mathbf{q}_i \xi_i}(\{R\}) & \text{if } M_i = 0 \\ \chi^{\mathbf{q}_i \xi_i}(\{R\})^* & \text{if } M_i = 1 \end{cases} \\ \Delta \left(\sum_{j=1}^N (-1)^{M_j} \mathbf{q}_j \right) &= \begin{cases} 1 & \text{if } \sum_{j=1}^N (-1)^{M_j} \mathbf{q}_j = \mathbf{K} \\ 0 & \text{otherwise} \end{cases} \end{aligned} \quad (26)$$

R goes through all elements in the group $G_0(\mathbf{q}_1, \mathbf{q}_2, \dots, \mathbf{q}_N)$.

While the application of this formula is cumbersome for general crystal symmetries, useful conclusions can be drawn for simple symmetries. For example, it can be shown from Eq. (26) that the forbidden scattering channels involving an odd number of flexural phonons imposed by σ_h in graphene still applies to higher-order phonon scattering processes, which was also discussed by Lindsay *et al.* [28].

Compared to materials with symmorphic space groups, it is more difficult to obtain straightforward selection rules for materials with nonsymmorphic space groups due to the complexity of their symmetry considerations. This suggests that further research can be done to generalize the current formalism to lattices with nonsymmorphic space groups.

On a practical level, our result suggests that symmetry-breaking strain should be minimized for applications where efficient heat dissipation is desirable [40]. On the other hand, breaking the crystal symmetry in a controlled way can serve as a means to tune the thermal conductivity for solid-state thermal switching applications. For this purpose, a strain gradient can be more effective than uniaxial or biaxial strains.

ACKNOWLEDGMENTS

This work is based on research supported by the National Science Foundation under the Award No. CBET-1846927. R.Y. acknowledges the support of a Chancellor's Fellowship from UCSB. We acknowledge the use of the Center for Scientific Computing supported by the California NanoSystems Institute and the Materials Research Science and Engineering Center (MRSEC) at University of California, Santa Barbara

through NSF Awards No. DMR-1720256 and No. CNS-1725797.

APPENDIX A: SCATTERING SELECTION RULES IN 1D CHAIN SYSTEM WITH A SCREW AXIS

For 1D helical systems with screw axes such as carbon nanotubes and Ba_3N , it is known that anharmonic phonon scattering is further subjected to selection rules on the phonon angular momentum [27]. Here we analyze this selection rule using the group-theory formalism with Ba_3N as an example [27], which contains 1D-chain structures with a two-fold screw axis. The space group of the Ba_3N chain can be treated as a symmorphic group because the helical symmetry group containing the screw axis operation is its normal subgroup. In this case, since all phonon wave vectors are along the z direction, the group $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$ is the direct product of the helical symmetry group H and C_{3v} group. The character table is given in Table IV. Here S is the screw axis operation (π rotation around the chain axis plus a half-period translation along the chain direction), $C_3(z)$ is a three-fold rotation around the chain axis (z axis), and σ_v is a mirror reflection operation. Typical phonon eigenvectors for the acoustic branches and the corresponding representations are given in Fig. 8.

Due to the space limitation, we will not write down all forbidden processes here. Still, we can retrieve some general findings: the representations without the prime can be seen to have chirality 0 and those with the prime has chirality 1, depending on how they transform under S . Then the selection rules originated from the phonon chirality (or the conservation

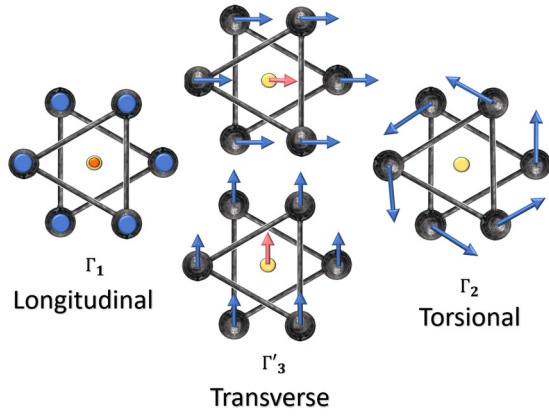


FIG. 8. Typical atomic vibrational patterns (eigenvectors) for phonon modes along the 1D Ba₃N chains and their corresponding irreducible representation as listed in Table IV.

of phonon angular momentum) discussed by Pandey *et al.* [27] can be easily checked. For instance, using Eq. (17), we can show that the scattering channel

$$(\Gamma_1 \text{ or } \Gamma_2) + (\Gamma_1 \text{ or } \Gamma_2) \rightarrow \Gamma'_3 \quad (\text{A1})$$

is forbidden, which means two longitudinal or torsional phonon modes cannot scatter into a transverse phonon mode. This is consistent with the phonon angular momentum rule since the left-hand side has a total angular momentum of 0 while the right-hand side has a total angular momentum of 1. Also, we can identify the following forbidden transitions:

$$\begin{aligned} \Gamma_1 \odot \Gamma_1 \odot \Gamma_2 \\ \Gamma_2 \odot \Gamma_2 \odot \Gamma_2' \end{aligned} \quad (\text{A2})$$

which means, if we only consider scatterings among acoustic phonons, two torsional modes cannot be scattered into one longitudinal mode, and three torsional modes cannot scatter with each other. These results are all consistent with the phonon angular momentum selection rule.

APPENDIX B: SYMMETRY ANALYSIS OF THE FORCE CONSTANTS

Here we provide an explicit symmetry analysis of the relevant force constants to understand the selection rules discussed in the main text. For this purpose, we rewrite Eq. (6) in the following form:

$$\begin{aligned} V_+(\mathbf{q}\Delta_A, \mathbf{q}'\Delta_B, \mathbf{q}''\Delta_C) \\ = \sum_{0\kappa, \alpha} \sum_{l, \kappa'} \sum_{\beta, l', \kappa''} \sum_{\gamma} \frac{\Phi_{\alpha\beta\gamma}(0\kappa, l\kappa', l'\kappa'')}{\sqrt{M_\kappa M_{\kappa'} M_{\kappa''}}} \\ \times e_{\alpha}^{\kappa} \left(\mathbf{q} \right)_{\Delta_A} e_{\beta}^{\kappa'} \left(\mathbf{q}' \right)_{\Delta_B} e_{\gamma}^{\kappa''} \left(\mathbf{q}'' \right)_{\Delta_C}^* e^{i\mathbf{q}'\cdot\mathbf{R}_l - i\mathbf{q}''\cdot\mathbf{R}_{l'}}, \end{aligned} \quad (\text{B1})$$

where Δ_A , Δ_B , and Δ_C are the corresponding representations of ξ , ξ' , and ξ'' in the character table of $G_0(\mathbf{q}, \mathbf{q}', \mathbf{q}'')$. Consider the particular forbidden channel for phonons along the Γ -M path in graphene: $\Delta_1 \odot \Delta_1 \odot \Delta_4$ (two longitudinal phonons scatter with one transverse phonon). One term in the

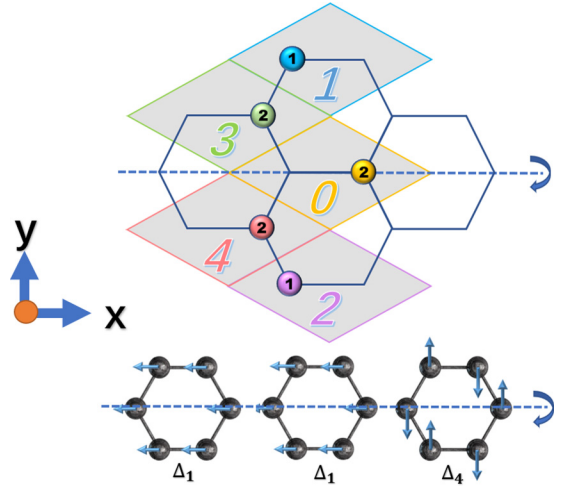


FIG. 9. An illustration of the graphene crystal structure, where the three atoms involved in the force constants used in Eqs. (B2) and (B3) are labeled. The vibrational modes of the three participating phonons are also illustrated.

summation in Eq. (B1) has the form

$$\begin{aligned} V_1 = \frac{\Phi_{\alpha\beta\gamma}([0]2, [1]1, [3]2)}{\sqrt{M_c M_c M_c}} \\ \times e_{\alpha}^2 \left(\mathbf{q} \right)_{\Delta_1} e_{\beta}^1 \left(\mathbf{q}' \right)_{\Delta_1} e_{\gamma}^2 \left(\mathbf{q}'' \right)_{\Delta_4}^* e^{i\mathbf{q}'\cdot\mathbf{R}_{[1]} - i\mathbf{q}''\cdot\mathbf{R}_{[3]}}. \end{aligned} \quad (\text{B2})$$

Here the third-order force constants involve three atoms, whose unit cell indices and atomic indices inside each unit cell are labeled in Fig. 9. For each term V_1 , there is always a corresponding term V_2 in the summation with the following form:

$$\begin{aligned} V_2 = \frac{\Phi_{\alpha\beta\gamma}([0]2, [2]1, [4]2)}{\sqrt{M_c M_c M_c}} \\ \times e_{\alpha}^2 \left(\mathbf{q} \right)_{\Delta_1} e_{\beta}^1 \left(\mathbf{q}' \right)_{\Delta_1} e_{\gamma}^2 \left(\mathbf{q}'' \right)_{\Delta_4}^* e^{i\mathbf{q}'\cdot\mathbf{R}_{[2]} - i\mathbf{q}''\cdot\mathbf{R}_{[4]}} \end{aligned} \quad (\text{B3})$$

Given the reflection symmetry about the x axis, it is obvious that

$$e^{i\mathbf{q}'\cdot\mathbf{R}_{[1]} - i\mathbf{q}''\cdot\mathbf{R}_{[3]}} = e^{i\mathbf{q}'\cdot\mathbf{R}_{[2]} - i\mathbf{q}''\cdot\mathbf{R}_{[4]}}. \quad (\text{B4})$$

Further, we know that the force constants are related by crystal symmetries in the following form [28,41]:

$$\begin{aligned} \sum_{\alpha'\beta'\gamma'} \Phi_{\alpha'\beta'\gamma'}([0]2, [1]1, [3]2) \Omega_{\alpha'\alpha} \Omega_{\beta'\beta} \Omega_{\gamma'\gamma} \\ = \Phi_{\alpha\beta\gamma}([0]2, [2]1, [4]2). \end{aligned} \quad (\text{B5})$$

Here $\Omega_{\alpha'\alpha}$ are scalar elements of a matrix representing a symmetry operation. If we take the symmetry operation to be the mirror reflection about the x axis (the direction of phonon wave vectors), the above equation gives $\Phi_{xxy}([0]2, [1]1, [3]2) = -\Phi_{xxy}([0]2, [2]1, [4]2)$. Since Δ_1 modes only have polarizations along the x direction and Δ_4 modes only along the y direction, Φ_{xxy} are the only force constants contributing to V_1 and V_2 . Therefore, we have

$$V_1 + V_2 = 0. \quad (\text{B6})$$

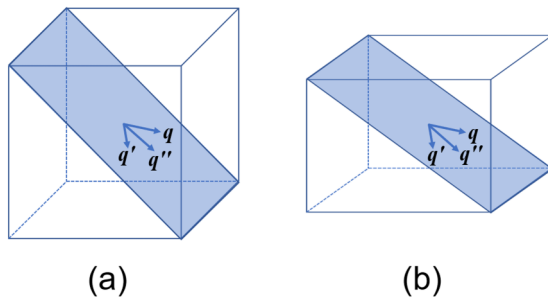


FIG. 10. An illustration of Brillouin zones of (a) simple cubic structure (b) cuboid structure and wave vectors discussed in Appendix C.

The above analysis shows that for any give term V_1 in the summation in Eq. (B1), it is always possible to find another term V_2 that the sum of these two terms is zero given the reflection symmetry by the x axis. Thus, the total sum in Eq. (B1), namely V_+ , must be zero, confirming that this particular scattering channel is forbidden. Other selection rules derived in the main text can be understood in a similar manner. Taking $TA \rightarrow ZA + ZA$ in Sec. IV B for example, we still can find the cancellation of two terms in summation for V_- if we choose the symmetry operator to be mirror reflection about y axis and therefore this scattering channel is also forbidden.

APPENDIX C: SIMPLE 3D CRYSTAL APPLICATION

It is also interesting to investigate the effect of symmetry breaking from a group theory perspective in 3D crystals. For simplicity, we compare two cases here. One is the Brillouin zone of a simple cubic lattice, which is a perfect cube. The second is a cuboid Brillouin zone. This is the case when some strain was applied on the material with a simple cubic structure. In these two cases, phonons with wave vectors located in the shadow area shown in Fig. 10 should possess different scattering properties. In case 1, the plane reflection operator with respect to the shadowed plane that leaves these wave vectors invariant belongs to the group of wave vectors G_0 ,

while in case 2 this reflection operator does not belong to G_0 since it is not an element of the space group G . Thus, some selection rules in case 1 no longer hold in case 2. For instance, out-of-plane phonon modes in the shadow area will obey the selection rules similar to flexural phonons in graphene, while in case 2 they will not. A complete analysis and enumeration of selection rules are tedious but, in principle, can be done following the formalism introduced in the main text.

APPENDIX D: DETAILS FOR THE FIRST-PRINCIPLES CALCULATION

We applied the first-principles calculations to obtain the phonon properties of the normal and the “skewed” graphene. The Vienna *ab initio* simulation package (VASP) [42,43] based on density-functional theory (DFT) were adopted for all simulations. The Perdew-Burke-Ernzerhof (PBE) of generalized gradient approximation (GGA) was chosen as the exchange correlation functional [44]. We used the projector augmented wave (PAW) potentials [45,46] to describe the core ($1s^2$) electrons, with the $2s^2$ and $2p^2$ electrons of carbon considered as valence electrons [13]. The kinetic energy cutoff of wave functions was set at 500 eV [13], and Monkhorst-pack k mesh $20 \times 20 \times 1$ including the Γ point was used to sample the Brillouin zone for both cases. Vacuum layers with 10 \AA thickness were used to hinder the self-interactions between atomic layers arising from the periodic boundary condition. In the calculation of phonon dispersions, $5 \times 5 \times 1$ supercells were constructed for both structures. To obtain the phonon dispersions, we calculated the second-order interatomic force constants employing the finite displacement method using PHONOPY package [47]. For the calculations of the lattice thermal conductivity, the anharmonic third-order force constants were computed using the same supercell and sampling mesh. Interactions among atoms up to the fifth-nearest neighbor were taken into account. The convergence of the interaction distance was checked. With the second- and third-order force constants, we solved the phonon Boltzmann transport equation (BTE) by an iterative method using shengBTE package [35]. The q -grid mesh density for converged thermal conductivities in both cases was $100 \times 100 \times 1$.

-
- [1] L. Lindsay, *Nanoscale Microscale Thermophys. Eng.* **20**, 67 (2016).
 - [2] T. Feng and X. Ruan, in *Nanoscale Energy Transport: Emerging Phenomena, Methods and Applications*, edited by B. Liao (IOP Publishing, Bristol, UK, 2020).
 - [3] C. Hua, in *Nanoscale Energy Transport: Emerging Phenomena, Methods and Applications*, edited by B. Liao (IOP Publishing, Bristol, UK, 2020).
 - [4] A. A. Maradudin and A. E. Fein, *Phys. Rev.* **128**, 2589 (1962).
 - [5] A. A. Maradudin, A. E. Fein, and G. H. Vineyard, *Phys. Status Solidi B* **2**, 1479 (1962).
 - [6] D. A. Broido, M. Malorny, G. Birner, N. Mingo, and D. A. Stewart, *Appl. Phys. Lett.* **91**, 231922 (2007).
 - [7] S. Baroni, S. De Gironcoli, A. Dal Corso, and P. Giannozzi, *Rev. Mod. Phys.* **73**, 515 (2001).
 - [8] T. Feng and X. Ruan, *Phys. Rev. B* **93**, 045202 (2016).
 - [9] J. E. Turney, E. S. Landry, A. J. H. McGaughey, and C. H. Amon, *Phys. Rev. B* **79**, 064301 (2009).
 - [10] L. Lindsay, D. A. Broido, and N. Mingo, *Phys. Rev. B* **80**, 125407 (2009).
 - [11] L. Lindsay, D. A. Broido, and T. L. Reinecke, *Phys. Rev. Lett.* **109**, 095901 (2012).
 - [12] S.-Y. Yue, X. Zhang, G. Qin, S. R. Phillpot, and M. Hu, *Phys. Rev. B* **95**, 195203 (2017).
 - [13] S.-Y. Yue, T. Ouyang, and M. Hu, *Sci. Rep.* **5**, 15440 (2015).
 - [14] N. K. Ravichandran and D. Broido, *Phys. Rev. X* **10**, 021063 (2020).
 - [15] L. Lindsay, D. A. Broido, and T. L. Reinecke, *Phys. Rev. Lett.* **111**, 025901 (2013).

- [16] J. M. Ziman, *Electrons and Phonons: The Theory of Transport Phenomena in Solids* (Clarendon Press, Oxford, 1960).
- [17] F. Tian, B. Song, X. Chen, N. K. Ravichandran, Y. Lv, K. Chen, S. Sullivan, J. Kim, Y. Zhou, T.-H. Liu *et al.*, *Science* **361**, 582 (2018).
- [18] S. Li, Q. Zheng, Y. Lv, X. Liu, X. Wang, P. Y. Huang, D. G. Cahill, and B. Lv, *Science* **361**, 579 (2018).
- [19] J. S. Kang, M. Li, H. Wu, H. Nguyen, and Y. Hu, *Science* **361**, 575 (2018).
- [20] M. S. Dresselhaus, G. Dresselhaus, and A. Jorio, *Group Theory: Application to the Physics of Condensed Matter* (Springer-Verlag, Berlin, 2007).
- [21] M. O. Baykan, S. E. Thompson, and T. Nishida, *J. Appl. Phys.* **108**, 093716 (2010).
- [22] Y. Sun, S. Thompson, and T. Nishida, *J. Appl. Phys.* **101**, 104503 (2007).
- [23] E. V. Castro, H. Ochoa, M. I. Katsnelson, R. V. Gorbachev, D. C. Elias, K. S. Novoselov, A. K. Geim, and F. Guinea, *Phys. Rev. Lett.* **105**, 266601 (2010).
- [24] K. Esfarjani and H. T. Stokes, *Phys. Rev. B* **77**, 144112 (2008).
- [25] A. Togo and I. Tanaka, *Scr. Mater.* **108**, 1 (2015).
- [26] L. Falkovsky, *Phys. Lett. A* **372**, 5189 (2008).
- [27] T. Pandey, C. A. Polanco, V. R. Cooper, D. S. Parker, and L. Lindsay, *Phys. Rev. B* **98**, 241405(R) (2018).
- [28] L. Lindsay, D. A. Broido, and N. Mingo, *Phys. Rev. B* **82**, 115427 (2010).
- [29] M. Born and K. Huang, *Dynamical Theory of Crystal Lattices* (Clarendon Press, Oxford, 1954).
- [30] J. L. Birman, *Theory of Crystal Space Groups and Lattice Dynamics* (Springer, New York, 1984).
- [31] M. El-Batanouny and F. Wooten, *Symmetry and Condensed Matter Physics: A Computational Approach* (Cambridge University Press, Cambridge, 2008).
- [32] C. J. Bradley and A. P. Cracknell, *The Mathematical Theory of Symmetry in Solids: Representation Theory for Point Groups and Space Groups* (John Wiley & Sons, New York, 1972).
- [33] A. Cammarata, *RSC Adv.* **9**, 37491 (2019).
- [34] A. Ward, D. A. Broido, D. A. Stewart, and G. Deinzer, *Phys. Rev. B* **80**, 125203 (2009).
- [35] W. Li, J. Carrete, N. A. Katcho, and N. Mingo, *Comput. Phys. Commun.* **185**, 1747 (2014).
- [36] M. Lax, *Symmetry Principles in Solid State and Molecular Physics* (John Wiley & Sons, New York, 1974).
- [37] Y. Xia, *Appl. Phys. Lett.* **113**, 073901 (2018).
- [38] Y. Xia, V. I. Hegde, K. Pal, X. Hua, D. Gaines, S. Patel, J. He, M. Aykol, and C. Wolverton, *Phys. Rev. X* **10**, 041029 (2020).
- [39] X. Gu, Z. Fan, H. Bao, and C. Y. Zhao, *Phys. Rev. B* **100**, 064306 (2019).
- [40] A. Vega-Flick, D. Jung, S. Yue, J. E. Bowers, and B. Liao, *Phys. Rev. Material* **3**, 034603 (2019).
- [41] G. Leibfried and W. Ludwig, in *Solid State Physics*, edited by F. Seitz and D. Turnbull (Academic Press, New York, 1961) Vol. 12, pp. 275–444.
- [42] G. Kresse and J. Furthmuller, *Phys. Rev. B* **54**, 11169 (1996).
- [43] G. Kresse and J. Furthmuller, *Comput. Mater. Sci.* **6**, 15 (1996).
- [44] R. Elmer, M. Berg, L. Carlen, B. Jakobsson, B. Noren, A. Oskarsson, G. Ericsson, J. Julien, T. F. Thorsteinsen, M. Guttormsen, G. Lovhoiden, V. Bellini, E. Grosse, C. Muntz, P. Senger, and L. Westerberg, *Phys. Rev. Lett.* **78**, 1396(E) (1997).
- [45] P. E. Blöchl, *Phys. Rev. B* **50**, 17953 (1994).
- [46] G. Kresse and D. Joubert, *Phys. Rev. B* **59**, 1758 (1999).
- [47] A. Togo, F. Oba, and I. Tanaka, *Phys. Rev. B* **78**, 134106 (2008).