

**Ferroelastic-ferroelectric multiferroics in a bilayer lattice**Ting Zhang, Yan Liang, Xilong Xu, Baibiao Huang, Ying Dai,<sup>\*</sup> and Yandong Ma<sup>†</sup>*School of Physics, State Key Laboratory of Crystal Materials, Shandong University, Shandan Street 27, Jinan 250100, China*

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Two-dimensional multiferroics, combined with ferroelastic and ferroelectric orders, enable unprecedented exploration of applications, such as high-density multistate storage. However, current ferroelastic-ferroelectric-multiferroic research is based on the paradigm of unique symmetry in a single-layer lattice, which restricts ferroelastic ferroelectricity to being rarely explored. Here, going beyond the existing paradigm, we report a design principle for realizing ferroelastic ferroelectricity using the van der Waals interaction as perturbation in a bilayer lattice. Using first principles, we show that, through layer-stacking, bilayer  $\text{ZrI}_2$  not only possesses  $120^\circ$  ferroelasticity due to its crystal symmetry but also holds out-of-plane and in-plane polarizations caused by interlayer charge redistribution, thereby achieving ferroelastic-ferroelectric multiferroics in a bilayer lattice. The switch of out-of-plane polarization relates to interlayer sliding, while in-plane polarization reversal correlates with  $120^\circ$  ferroelastic switching. Based on this fact, we propose the six-logic-state multiferroicity. This paper illustrates a tantalizing scheme for achieving and developing two-dimensional ferroelastic ferroelectricity.

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Two-dimensional (2D) multiferroics hosting two or more ferroic orders are of great technological and fundamental importance as they provide a unique opportunity to address multifarious physical effects and potential applications [1–4]. 2D ferroelastic ferroelectricity is one such example. Compared with ferromagnetism which suffers from a low transition temperature in the 2D limit [1,5,6], ferroelasticity and ferroelectricity can sustain relatively higher temperatures as long as the crystal structure is undamaged [7–9]. Meanwhile, ferroelasticity and ferroelectricity are essentially pertinent to crystal structure, and thus the simultaneous existence of ferroelastic and ferroelectric orders in 2D ferroelastic ferroelectricity exhibits a high possibility to be strongly coupled, which is highly useful for practical multiferroic applications [10–12]. This is in sharp contrast with the annoying inherent exclusion between ferroelectricity and ferromagnetism [13] and weak coupling between ferroelasticity and ferromagnetism [14]. These merits, not seen in other multiferroics, have driven huge interest to 2D ferroelastic ferroelectricity.

Currently, 2D ferroelastic-ferroelectric-multiferroic research has been established in the paradigm of crystal-symmetry connected ferroic orders in a single-layer lattice. To facilitate the coexistence of ferroelastic and ferroelectric orders in one single-layer lattice, it requires an extremely stringent crystal symmetry that is not easily accessible. In this case, 2D ferroelastic-ferroelectric multiferroics are rather rare in nature, and their design is shown to be unexpectedly thorny, although both 2D ferroelastics and ferroelectrics independently have been broadly studied in many systems

[4,15–19]. Only a few single-layer candidates of 2D ferroelastic ferroelectric multiferroics have been proposed, including 2D  $\text{BP}_5$  [8],  $\text{Bi}_2\text{O}_2\text{X}$  ( $X = \text{S}, \text{Se}, \text{Te}$ ) [9],  $\text{GaTeCl}$  [11],  $\text{AgI}$  [12], and phosphorene analogues [7,10]. This greatly obstructs the further explorations and potential applications of 2D ferroelastic ferroelectricity. It is therefore of fundamental scientific interest to explore a scheme to achieve 2D ferroelastic ferroelectricity, especially with strong ferroelastic-ferroelectric coupling.

In this paper, by studying an example system of bilayer  $\text{ZrI}_2$ , we present a design principle for realizing 2D ferroelastic-ferroelectric multiferroics in a bilayer lattice. Based on first-principles calculations, our results show that the spontaneous structure polarization induces three distinct orientation states in bilayer  $\text{ZrI}_2$ , and the van der Waals stacking leads to interlayer charge redistribution. These two effects bring simultaneously the  $120^\circ$  ferroelasticity and bidirectional (in and out of plane) ferroelectricity, realizing the 2D ferroelastic-ferroelectric multiferroics in bilayer  $\text{ZrI}_2$ . The switch of the resulting out-of-plane polarization is associated with interlayer sliding, while the reversal of in-plane polarization depends on ferroelastic switching. Such strong coupling between ferroelastic and ferroelectric orders produces an extraordinary six-logic-state multiferroicity in bilayer  $\text{ZrI}_2$ . The explored design scheme and mechanism of ferroelastic ferroelectricity in a bilayer lattice not only are useful for fundamental research in 2D ferroelastic-ferroelectric multiferroics but also enable a wide range of applications in nanodevices.

Our calculations based on density functional theory were carried out by using the Vienna *ab initio* Simulation Package [20]. The generalized gradient approximation parametrized by Perdew, Burke, and Ernzerhof [21,22] was adopted for the exchange correlation. A vacuum thickness of  $30 \text{ \AA}$  along the  $c$  direction was introduced to avoid spurious interactions

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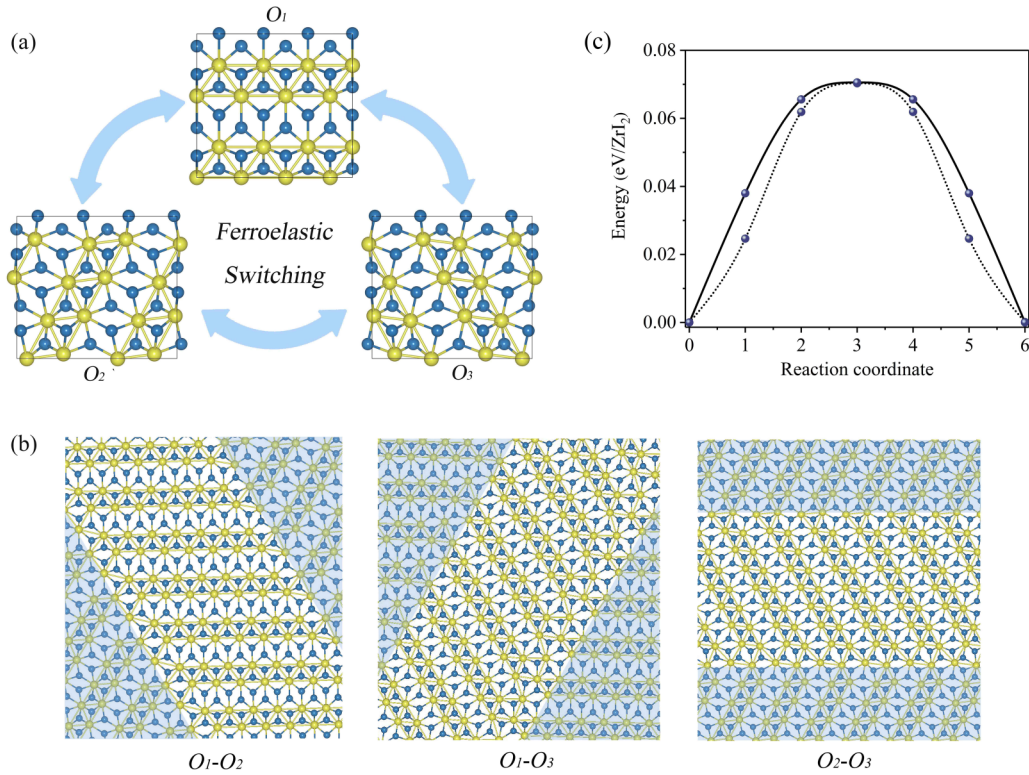


FIG. 1. (a) Schematic diagram of ferroelastic switching among the three different orientation states of monolayer ZrI<sub>2</sub>. (b) Ferroelastic domain boundaries between different orientation variants. The domains of different orientations can coexist and form domain boundaries, which we refer to as  $O_1$ - $O_2$ ,  $O_1$ - $O_3$ , and  $O_2$ - $O_3$ , respectively. The domains of different orientations are distinguished by different colors. (c) Energy barrier of the most effective ferroelastic switching based on the nudged elastic band (NEB) method for monolayer (dotted line) and bilayer (full line) ZrI<sub>2</sub>.

between neighboring sheets. A Monkhorst-Pack k-point mesh of  $11 \times 7 \times 1$  was utilized. The kinetic cutoff energy was set to 500 eV. Structures were fully relaxed with convergence criteria of  $10^{-5}$  eV and 0.01 eV/Å for energy and force, respectively. The van der Waals interaction was accounted for by using the Grimme's DFT-D3 method [23]. *Ab initio* molecular dynamics (AIMD) simulations were performed on a canonical ensemble with a Nosé thermostat at 300 K for 5 ps with a time step of 1 fs [24]. The phonon dispersion was based on a supercell approach using PHONOPY code [25]. The energy barriers were investigated using the nudged elastic band (NEB) method [26]. The ferroelectric polarization was calculated using the Berry phase approach [27], and the dipole correction was adopted to meet the convergent criterion [28].

The ferroelastic phase usually originates from a structural phase transition (or a hypothetical one) that reduces the symmetry of a prototype one [4]. Compared with the “flexible” ferroelectricity that results from the separation between positive and negative charges [29], undoubtedly, ferroelasticity is “stubborn,” which is hard to be generated through external methods. To design or search for 2D ferroelastic-ferroelectric multiferroics, ferroelasticity must be first satisfied. The stubborn character of ferroelasticity, on the contrary, is also a merit superior to ferroelectricity, as it will be robust against external perturbations to some extent. Then if we further introduce nonequivalence into the structure using perturbations, which breaks the charge distribution balance, electric polarization occurs. In this case, the appearance of 2D ferroelastic-

ferroelectric multiferroics might be expected. We find this scenario can be realized using the van der Waals interaction in a bilayer lattice.

One candidate system to study this design principle is ZrI<sub>2</sub>. Bulk ZrI<sub>2</sub> [30,31] has been known, which features a van der Waals layered structure ( $Pmn2_1$  symmetric group). Figure 1(a) shows the crystal structure of monolayer ZrI<sub>2</sub>, wherein two adjacent lines of Zr atoms dimerize and form parallel chains. In view of the particular structure symmetry of  $P_{21}/m$ , the dimerization of adjacent parallel lines of Zr atoms could occur along three equivalent directions [i.e.,  $o_1 = \hat{a}$ ,  $o_2 = -\frac{1}{2}\hat{a} - \frac{\sqrt{3}}{2}\hat{b}$ , and  $o_3 = -\frac{1}{2}\hat{a} + \frac{\sqrt{3}}{2}\hat{b}$ ], which generates three orientation states. For convenience of discussion, we refer to the three orientation states as  $O_1$ ,  $O_2$ , and  $O_3$ , respectively. These three orientation states are related to each other by a rotational symmetry operation of  $\{\frac{1}{3}|abc\}$ . According to the crystal symmetry, these three orientations can construct three different ferroelastic domain boundaries. Note that the sizes of ferroelastic domains are generally in the order of nanometers [32–36], we take the supercell containing about 100 atoms ( $\sim 5$  nm) to construct ferroelastic domains, as shown in Fig. 1(b). The formation energy of ferroelastic domains is 16.3 meV/Å, which is close to that of T'-TMDs (27–52 meV/Å) [4], facilitating the formation of the domains.

Under an external stimulus, these three orientations can be converted to each other, thus realizing a 2D tristate ferroelasticity in monolayer ZrI<sub>2</sub>. Taking the  $O_1$  and  $O_2$  states as

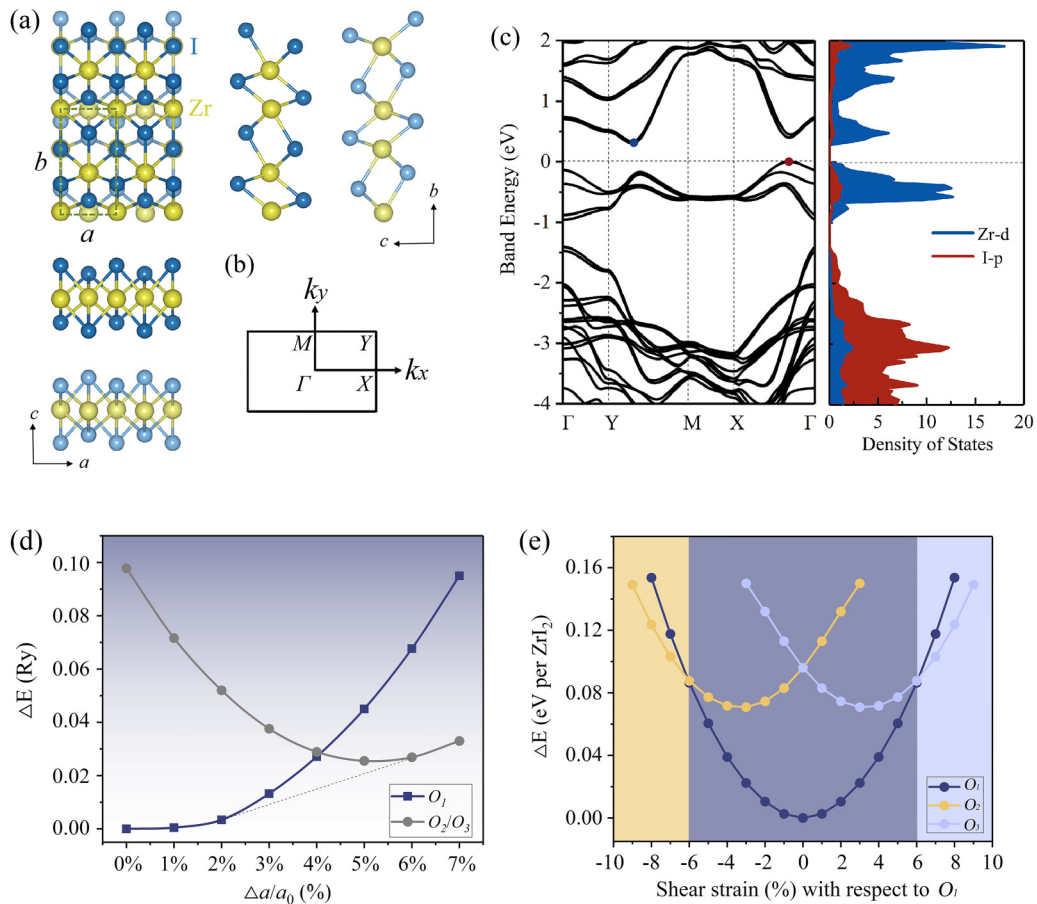


FIG. 2. (a) Crystal structure of bilayer  $\text{ZrI}_2$  from top and side views. (b) The two-dimensional (2D) Brillouin zone for bilayer  $\text{ZrI}_2$ . (c) Band structures and projected density of states of bilayer  $\text{ZrI}_2$ . The Fermi level is set to zero. The energy profiles of three different orientation states of bilayer  $\text{ZrI}_2$  as a function of (d) uniaxial and (e) shear strains. The dashed line in (d) is the common tangent between the two curves.

representatives, we calculate the pathway and energy barrier for the ferroelastic switching employing the NEB method. It is found that the energy barrier against direct shifting of the  $O_1$  to the  $O_2$  state via the paraelastic state is 0.4 eV/f.u., as illustrated in Fig. S1(a) in the Supplemental Material [37]. The inset in Fig. S1(a) in the Supplemental Material [37] shows the crystal structure of the paraelastic state with the space group  $P\bar{3}m1$ . The phonon spectra of the paraelastic state exhibit obvious imaginary frequencies, which reveals that the paraelastic state is unstable, as can be seen from Fig. S1(b) in the Supplemental Material [37]. The paraelastic state would experience a spontaneous symmetry breaking, resulting in three equally stable orientation states due to its threefold axis. Fortunately, there is an alternative process with a significantly lower barrier to switch from the  $O_1$  to the  $O_2$  state, which does not include the paraelastic state, as shown in Fig. S2 in the Supplemental Material [37]. The overall energy barrier of this process is much lower, with the highest barrier only 0.07 eV/f.u.; see the dotted line in Fig. 1(c).

Upon van der Waals stacking, obviously, bilayer  $\text{ZrI}_2$  with space group  $Pm$  (No. 6) will inherit the characteristic ferroelasticity [Fig. 2(a)]. Then we calculate the energy barrier of the ferroelastic switching in bilayer  $\text{ZrI}_2$ ; see the full line in Fig. 1(c). The switching barrier is found to be 0.07 eV/f.u., not much different from the barrier of mono-

layer  $\text{ZrI}_2$ . This value is smaller than those of single-layer phosphorene [7],  $\text{GaTeCl}$  [11], borophane [38], and  $1T'$ - $\text{WTe}_2$  [4], but larger than that of  $\text{BaTiO}_3$  whose Curie temperature is  $>400$  K [7,39]. Therefore, bilayer  $\text{ZrI}_2$  is expected to retain its ferroelastic switching capability beyond room temperature, suggesting the in-plane ferroelasticity is robust against the perturbation of the van der Waals interaction in bilayer  $\text{ZrI}_2$ .

To get better insight into the ferroelasticity of bilayer  $\text{ZrI}_2$ , we describe the ferroelastic transition process mathematically using  $2 \times 2$  in-plane transformation strain matrixes. The three orientation states of bilayer  $\text{ZrI}_2$  are based on  $2 \times 2$  supercells. According to the equilibrium lattice vectors and the relaxed atomic coordinates in Cartesian coordinates, the supercell matrices corresponding to the  $O_1$ ,  $O_2$ , and  $O_3$  states of bilayer  $\text{ZrI}_2$  are constructed as:  $H_1 = (7.492, 0; 0, 13.711)$ ;  $H_2 = (7.808, -0.333; -0.073, 13.164)$ ;  $H_3 = (7.808, 0.333; 0.073, 13.164)$ . These three supercell matrices are connected with each other by the transformation matrix  $J_{ij}$ , namely  $H_j = J_{ij}H_i$ . The transformation strain matrix  $\eta_{ij}$  can then be obtained with  $J_{ij}$  based on the Green-Lagrange strain tensor [4,11]:

$$\eta_{ij} = \frac{1}{2}(J_{ij}^T J_{ij} - I) = \frac{1}{2}[(H_i^{-1})^T H_j^T H_j H_i^{-1} - I],$$

where  $T$  represents matrix transpose, and  $I$  denotes a  $2 \times 2$  identity matrix. The transformation strain matrix  $\eta_{ij}$  has a symmetric form  $(\varepsilon_{aa}, \varepsilon_{aa}, \varepsilon_{ab}, \varepsilon_{ab}, \varepsilon_{bb})$ , where  $\varepsilon_{aa}$  ( $\varepsilon_{bb}$ ) is the tensile (compressive) strain along the  $a$  ( $b$ ) direction, and  $\varepsilon_{ab}$  is the shear strain component. Here, we use  $O_1$  state as a reference state and obtain the transformation strain matrices associated with  $O_1 \rightarrow O_2$  and  $O_1 \rightarrow O_3$  switching in bilayer  $\text{ZrI}_2$  as follows:

$$\eta_{12(O_1 \rightarrow O_2)} = \begin{pmatrix} 0.043 & -0.017 \\ -0.017 & -0.039 \end{pmatrix},$$

$$\eta_{13(O_1 \rightarrow O_3)} = \begin{pmatrix} 0.043 & 0.017 \\ 0.017 & -0.039 \end{pmatrix}.$$

This indicates that the  $O_2$  ( $O_3$ ) state becomes thermodynamically more favorable than the  $O_1$  state with imposing an external strain of magnitude  $\eta_{12}$  ( $\eta_{13}$ ) on the  $O_1$  state in bilayer  $\text{ZrI}_2$ . It is therefore conceivable to apply an external strain to switch these three orientation states of bilayer  $\text{ZrI}_2$ .

To study in detail the relative energetic stability between these different orientation states of bilayer  $\text{ZrI}_2$  under external mechanical deformations, we calculate the energy profiles as a function of uniaxial and shear strains. We take the equilibrium  $O_1$  state as reference, and set the  $O_2$  and  $O_3$  states with the same dimension of the  $O_1$  state along the  $a$  direction. The uniaxial strain is imposed along the  $a$  direction, and the stress along the  $b$  direction is relaxed. The corresponding results are shown in Fig. 2(d), wherein we can draw a common tangent between the energy curves of the  $O_1$  and  $O_2/O_3$  states, with the intersection points being 2 and 6%. Upon imposing the uniaxial strain within the range of 2–6%, the  $O_1$  state tends to reduce its free energy by coexisting with the  $O_1$  and  $O_2/O_3$  states [4], which suggests that the transition from the  $O_1$  to  $O_2/O_3$  states is expected to occur. As shown in Fig. 2(d), the  $O_2$  and  $O_3$  states are energetically degenerate under the uniaxial strain, which can be attributed to the fact that homogeneous uniaxial strain cannot break their mirror symmetry along the  $b$  direction. Figure 2(e) shows the energy profiles as a function of shear strain.  $O_3$  and  $O_2$  become the lowest-energy states for bilayer  $\text{ZrI}_2$  when the shear strain imposed on the  $O_1$  state reaches 6 and  $-6\%$ , respectively, making these three orientation states distinguishable and switchable. Accordingly, to realize the reversal switching between these three ferroelastic orientations, namely, achieving tristate ferroelasticity, in bilayer  $\text{ZrI}_2$ , shear strain should be considered.

As we mentioned above, ferroelectricity stems from the separation between positive and negative charges caused by symmetry breaking. Monolayer  $\text{ZrI}_2$  possesses a  $C_{2h}$  point group with a mirror plane symmetry  $M_a$  perpendicular to the  $a$  direction and a twofold screw rotation symmetry  $C_{2a}$ , forming an inversion symmetry  $M_a C_{2a}$  or  $C_{2a} M_a$ . In this regard, electric polarization cannot be expected in monolayer  $\text{ZrI}_2$ . Upon introducing the perturbation of the van der Waals interaction into bilayer  $\text{ZrI}_2$ , the mirror plane symmetry  $M_a$  is preserved, while the  $C_{2a}$  symmetry is broken, although the stubborn ferroelasticity is demonstrated to be robust against this perturbation. As a result, bilayer  $\text{ZrI}_2$  belongs to the  $C_s$  point group and, obviously, exhibits neither mirror symmetry nor inversion symmetry in the  $c$  and  $b$  directions. The absence of inversion symmetry in the  $c$  and  $b$  directions will break their

charge distribution balances, and thus the out-of-plane and in-plane electric polarizations might be expected in bilayer  $\text{ZrI}_2$ . Figure S3 in the Supplemental Material [37] shows the structure and the phonon spectra of the paraelastic-paraelectric state (space group  $Pm1$ ) of bilayer  $\text{ZrI}_2$ , from which we can see pronounced negative frequencies. This indicates the instability of the paraelastic-paraelectric state of bilayer  $\text{ZrI}_2$ , which would experience spontaneous transformation into the ferroelectric-ferroelastic states. This is consistent with the theory of ferroelectric and ferroelastic phase transitions [40–42]. The symmetry of bilayer  $\text{ZrI}_2$  is the same as in the bulk crystal. In addition, compared with bilayer  $\text{ZrI}_2$ , bulk  $\text{ZrI}_2$  (space group  $Pmn2_1$ ) has an additional symmetry element, namely, diagonal glide plane  $\{M_b | \frac{1}{2} 0 \frac{1}{2}\}$ . Thus, the difference between bulk and bilayer  $\text{ZrI}_2$  is that the former has only out-of-plane polarization but no in-plane polarization.

To verify this assumption, we investigate its electric polarizations along the  $c$  and  $b$  directions using the Berry phase approach. As expected, our calculations show that bilayer  $\text{ZrI}_2$  simultaneously presents the out-of-plane and in-plane electric polarizations of  $2.1 \times 10^{-4}$  and  $9.4 \times 10^{-4}$  C/m<sup>2</sup>, respectively. These polarizations are comparable with that of bilayer  $\text{WTe}_2$  ( $3 \times 10^{-4}$  C/m<sup>2</sup>) [43,44] and smaller than those of  $\text{BaTiO}_3$  ( $3 \times 10^{-2}$  C/m<sup>2</sup>) [45,46],  $1T\text{-MX}_2$  ( $M = \text{Mo}, \text{W}; X = \text{S}, \text{Se}, \text{Te}$ ) ( $1.0 \times 10^{-3}$  to  $2.3 \times 10^{-3}$  C/m<sup>2</sup>) [47],  $\text{Sc}_2\text{CO}_2$  ( $1.0 \times 10^{-2}$  C/m<sup>2</sup>) [48], and  $\text{FeO}_2\text{H}$  ( $0.68 \times 10^{-10}$  C/m) [49]. The out-of-plane and in-plane electric polarizations in bilayer  $\text{ZrI}_2$  can also be confirmed by the differential charge density and plane-averaged electrostatic potential analyses. As shown in Fig. 3(d), one can see an apparent charge transfer from the bottom to the top layer, suggesting a spontaneous out-of-plane electric polarization pointing downward. Figure S4(a) in the Supplemental Material [37] gives the plane-averaged electrostatic potential along the  $c$  direction. The appearance of a positive discontinuity ( $\Delta V = 0.03$  eV) between the vacuum levels of the top and bottom layers indicates the charge transfer from the bottom to the top layer, further confirming the out-of-plane electric polarization, while for the case in the  $b$  direction, the different charge density and plane-averaged electrostatic potential also display obvious asymmetry, establishing a spontaneous in-plane polarization [see Figs. 3(e) and S5 in the Supplemental Material [37]]. Therefore, by introducing the perturbation of the van der Waals interaction, the out-of-plane and in-plane electric polarizations are achieved in bilayer  $\text{ZrI}_2$ .

The existence of spontaneous electric polarizations does not guarantee ferroelectrics unless the electric polarizations are switchable. Then we first study the switching of the out-of-plane electric polarization in bilayer  $\text{ZrI}_2$ . We define the state with electric polarization along the  $-c$  direction as *state-1* [Fig. 3(a)] and the other energetically degenerate state with electric polarization along the  $c$  direction as *state-2* [Fig. 3(b)]. The two states are the most stable configuration for bilayer  $\text{ZrI}_2$  (see Fig. S6 in the Supplemental Material [37]). In *state-1*, the horizontal distance  $-b_1$  ( $-b_2$ ) between I3 (I4) and I1 (I2) is found to be  $-0.76$  Å ( $-0.73$  Å); and in *state-2*, the horizontal distance  $b_2$  ( $b_1$ ) between I1 (I2) and I3 (I4) is  $0.73$  Å ( $0.76$  Å). Under a slight interlayer sliding of  $b_1 + b_2 = 1.49$  Å along the  $b$  direction, the *state-1* can be transformed into *state-2* and vice versa, which provides a

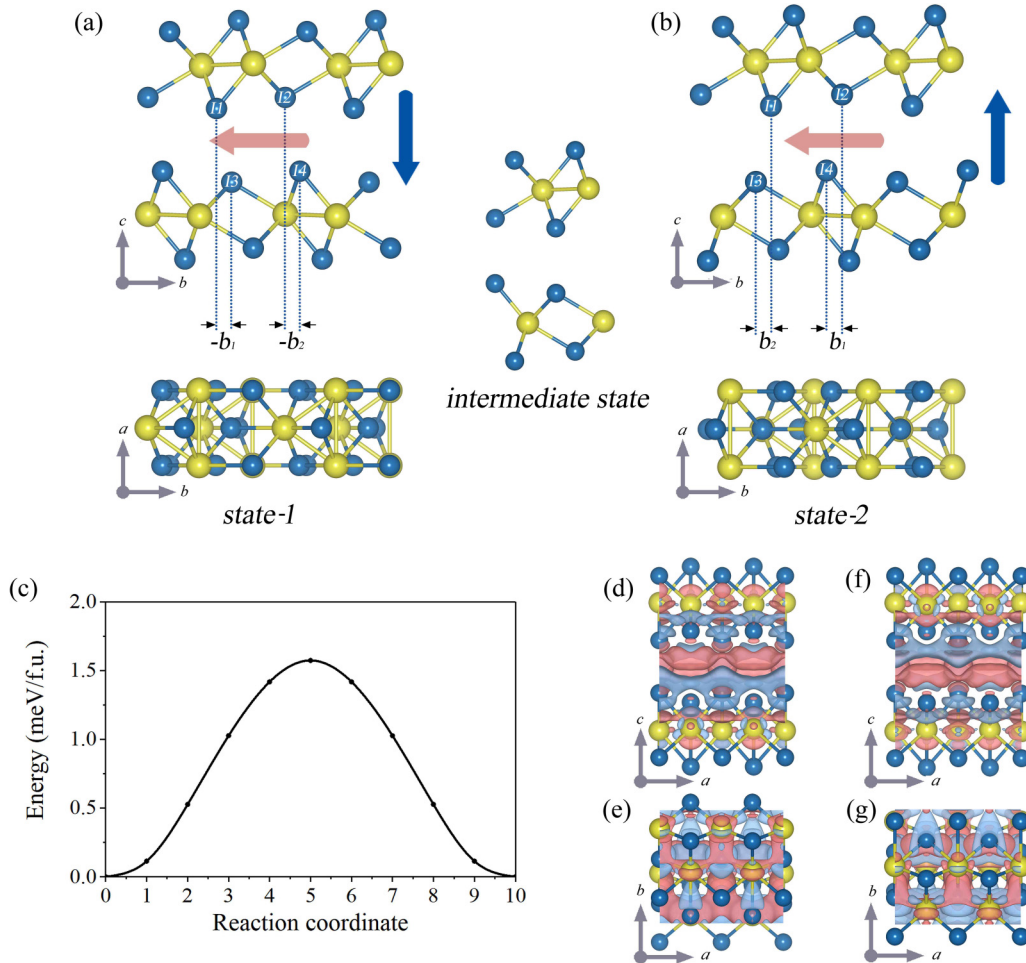


FIG. 3. Out-of-plane ferroelectric switching pathway of bilayer ZrI<sub>2</sub> from (a) *state-1* to (b) *state-2*. Blue and red arrows indicate out-of-plane and in-plane ferroelectric polarization directions, respectively. (c) Energy barrier of 180° out-of-plane ferroelectric switching. Differential charge density diagrams of (d) and (e) *state-1* and (f) and (g) *state-2*; red and blue regions represent electron accumulation and depletion, respectively.

ferroelectric switching pathway. The intermediate state during this process has a  $C_{2v}$  point group with  $\{M_c|0\frac{1}{2}0\}$  symmetry, where  $M_c$  is the mirror plane symmetry perpendicular to the  $c$  direction and  $(0\frac{1}{2}0)$  is half of the lattice translation along the  $b$  direction. In this intermediate state, the out-of-plane electric polarization vanishes. To estimate the possibility of the ferroelectric polarization switching pathway, we investigate the energy barrier using the NEB method. As shown in Fig. 3(c), the energy barrier for 180° reversing the out-of-plane electric polarization is estimated to be 1.6 meV/f.u., which is larger than that of bilayer WTe<sub>2</sub> (0.6 meV/f.u.) [44]. It should be noted that this barrier for sliding ferroelectricity is the collective barrier where the out-of-plane polarizations of all dipoles must be reversed simultaneously, and it does not correlate to the Curie temperature  $T_c$  directly [44]. The Curie temperature for the sliding ferroelectricity in bilayer ZrI<sub>2</sub> depends on the isolated barrier, corresponding to flipping over one dipole while fixing all other surrounding dipoles [44,50,51]. Normally, the in-plane rigidity of 2D materials would result in a large, isolated barrier for sliding ferroelectricity. Note that the Curie temperature for sliding ferroelectricity in bilayer WTe<sub>2</sub> is found to be 350 K experimentally, although its energy

barrier is 0.6 meV/f.u. [43,44]. The mechanism of the sliding ferroelectricity in bilayer ZrI<sub>2</sub> is like previous bilayer systems [43,52,53], which thus is experimentally feasible. To simulate the isolated barrier for switching one dipole, we construct an artificial  $7\times 7$  supercell containing 588 atoms (Fig. S7(a) in the Supplemental Material [37]), wherein the translation is negative in one unit cell but positive in others. Our NEB calculation shows that the energy barrier for flipping one dipole (with fixing the surrounding dipoles) is 0.06 eV/f.u., as shown in Fig. S7(b) in the Supplemental Material [37]. According to the mean field theory and Heisenberg model [44,54] (see Supplemental Material [37]), the Curie temperature can be roughly estimated to be 476 K. This indicates that the sliding ferroelectricity in bilayer ZrI<sub>2</sub> is feasible and robust.

After reversing the out-of-plane ferroelectric polarization, as shown in Fig. 3(f), the electron gain and loss regions along the  $c$  direction are reversed with respect to that of *state-1*. We also find that the in-plane spin textures are reversible upon out-of-plane ferroelectric switching [55] (Fig. S8 in the Supplemental Material [37]). Furthermore, the plane averaged electrostatic potential of *state-2* along the  $c$  direction exhibits a negative discontinuity ( $\Delta V = -0.03$  eV) between the

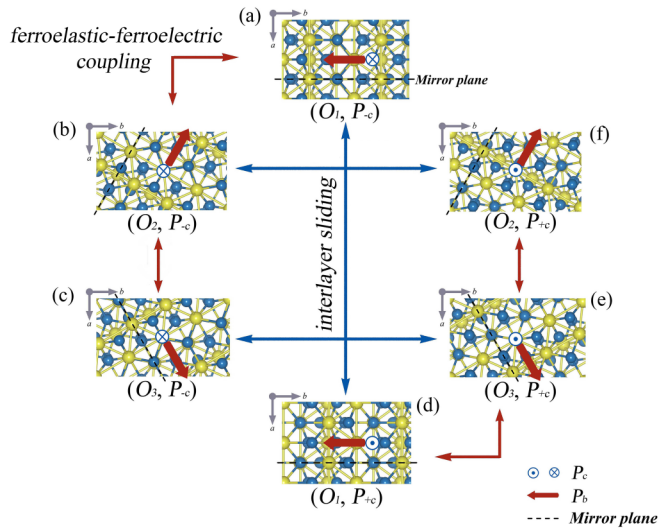


FIG. 4. Schematic diagram of six-logic-state multiferroicity based on the ferroelastic-ferroelectric coupling in bilayer  $\text{ZrI}_2$ . There are three states with different in-plane polarization when the out-of-plane polarization is (a)–(c) downward or (d)–(f) upward. Red and blue one-way arrows indicate in- and out-of-plane ferroelectric polarization directions, respectively. Red and blue two-way arrows indicate in- and out-of-plane ferroelectric polarization are reversed independently by ferroelastic-ferroelectric coupling and interlayer sliding, respectively.

vacuum levels of the top and bottom layers (Fig. S4(b) in the Supplemental Material [37]). Different from the out-of-plane case, as shown in Fig. 3(g), the in-plane differential charge density along the  $b$  direction is not reversed. The underlying physics is that *state-2* can be obtained by a mirror operation on *state-1* with respect to the horizontal plane, which does not affect the direction of in-plane electric polarization. This indicates that interlayer sliding is not applicable for realizing in-plane electric switching in bilayer  $\text{ZrI}_2$ .

Strain tensor (electric polarization vector) is a polar symmetric property tensor of the second rank (first rank). In a ferroelastic (ferroelectric) crystal, polar symmetric property tensors of the second rank (first rank) change with each state shift, but those of the first rank (second rank) are invariable. This fact can be utilized for discerning states of a ferroelastic (ferroelectric) crystal. When the ferroelectricity and ferroelasticity couple with each other, the crystal not only has the characteristics of ferroelectricity and ferroelasticity simultaneously but also has the diagonal effect: change in the polarization vector and change in the strain tensor are accompanied with each other [42]. In detail, both in-plane ferroelectric and ferroelastic switching in bilayer  $\text{ZrI}_2$  are essentially linked with the in-plane anisotropy of crystal structure, thus bilayer  $\text{ZrI}_2$  harbors the diagonal effect mentioned above. The direction of in-plane ferroelectricity in bilayer  $\text{ZrI}_2$  is parallel to the mirror plane  $M_a$ . When the arrangement of atoms in bilayer  $\text{ZrI}_2$  moves slightly under the ferroelastic switching (Fig. S2 in the Supplemental Material [37]), the lattice structure and mirror plane  $M_a$  experience a  $120^\circ$  rotation around the  $c$  axis, which can subsequently cause a  $120^\circ$  rotation of the direction of in-plane polarization. Figures 4(a)–4(c)

show the crystal structures of the three energetically degenerate, but inequivalent, states with in-plane electric polarization. Obviously, they correspond to  $O_1$ ,  $O_2$ , and  $O_3$  ferroelastic states. Therefore, under external mechanical deformations, the ferroelastic transition process is accompanied with the rotation of the direction of in-plane electric polarization. This suggests that the in-plane electric switching pathway follows the pathway of the tristate ferroelastic switching. The energy barrier for  $120^\circ$  reversing the in-plane electric polarization is  $0.07 \text{ eV/f.u.}$ , which suggests the feasibility of in-plane ferroelectricity in bilayer  $\text{ZrI}_2$ . It thus can be concluded that, with perturbation of the van der Waals interaction, in addition to 2D tristate ferroelasticity, bilayer  $\text{ZrI}_2$  exhibits 2D switchable bidirectional ferroelectricity as well.

Figure 2(c) displays the band structure and projected density of states of bilayer  $\text{ZrI}_2$ . Bilayer  $\text{ZrI}_2$  is a semiconductor with an indirect band gap of  $0.30 \text{ eV}$ . The conduction band minimum (CBM) is located between the Y and M points, while the valence band maximum (VBM) is located between the X and  $\Gamma$  points. From the partial densities of electronic states, the VBM and CBM of bilayer  $\text{ZrI}_2$  are mainly contributed by  $d$  orbitals of Zr atoms and  $p$  orbitals of I atoms, respectively. Such a semiconducting characteristic would protect the bidirectional ferroelectricity in bilayer  $\text{ZrI}_2$  from the annoying electron screening effect induced by metallic properties [56]. The substrate effect on the ferroelectric state is discussed in bilayer  $\text{WTe}_2$  experimentally, wherein the substrate is obtained by depositing  $15 \text{ nm}$  Ti/Au on a  $\text{SiO}_2$  surface and does not destabilize the ferroelectric state [57]. As bilayer  $\text{ZrI}_2$  exhibits a higher energy barrier and a comparable polarization with respect to bilayer  $\text{WTe}_2$ , we believe that the ferroelectricity of bilayer  $\text{ZrI}_2$  deposited on a suitable substrate can also be detected.

Based on the coexistence of tristate ferroelasticity and bidirectional ferroelectricity in bilayer  $\text{ZrI}_2$ , we propose a six-logic-state multiferroicity, as shown in Figs. 4(a)–4(f). Here, we refer to out-of-plane ferroelectric polarization along the  $+c$  ( $-c$ ) axis as  $P_{+c}$  ( $P_{-c}$ ) and refer to in-plane ferroelectric-ferroelastic polarization states as  $O_i$  ( $i = 1, 2, 3$ ). The out-of-plane ferroelectricity and in-plane ferroelectricity-ferroelasticity of bilayer  $\text{ZrI}_2$  can be modulated independently. The out-of-plane ferroelectric polarization  $P_{\pm c}$  is switchable using interlayer sliding, realizing the transition between the multiferroic states ( $O_i, P_{+c}$ ) and ( $O_i, P_{-c}$ ). In experiments, the interlayer sliding could be obtained via external electric field [53], surface friction [58], coherent shear phonon generation [59], and strain-induced interlayer shear [60]. Meanwhile, arising from the in-plane ferroelastic-ferroelectric coupling, ferroelasticity and in-plane ferroelectricity can be switched by  $120^\circ$  simultaneously under external mechanical stimulus, achieving the transition among the multiferroic states ( $O_1, P_{\pm c}$ ), ( $O_2, P_{\pm c}$ ), and ( $O_3, P_{\pm c}$ ). Therefore, through the combined effect of external mechanical deformations and interlayer sliding, six distinct logic states can be obtained and switchable, which gives rise to a six-logic-state multiferroicity in bilayer  $\text{ZrI}_2$ . Considering a strongly anisotropic structure and property in bilayer  $\text{ZrI}_2$ , these six logic states can be detected directly by measuring the current voltage curve under small bias or measuring polarization-dependent photoluminescence [7,8]. This is of course superior to the conventional

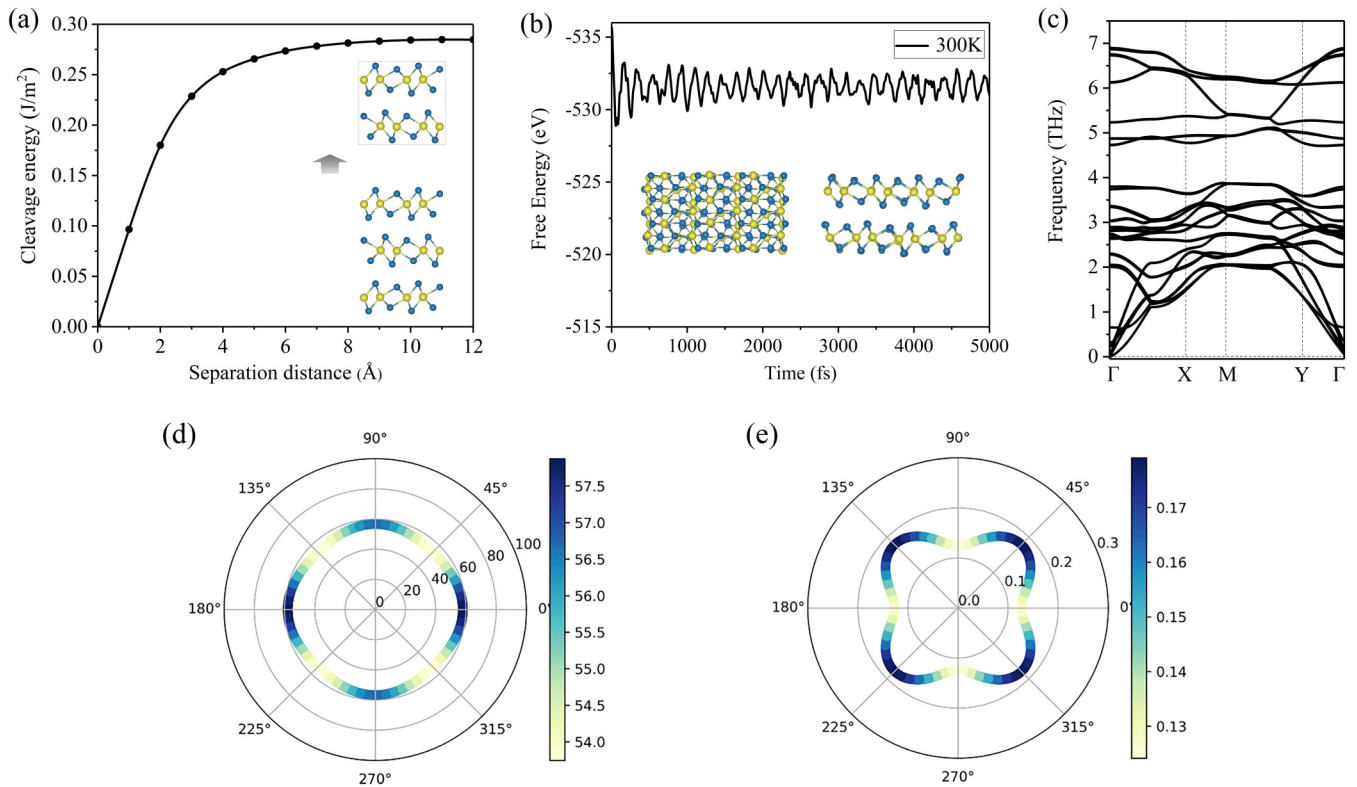


FIG. 5. (a) Cleavage energy varies with separation distance in the process of exfoliating bilayer  $\text{ZrI}_2$ . Inset in (a) is the schematic diagram of exfoliating a bilayer from five-layer  $\text{ZrI}_2$ . (b) Variation of total energy of bilayer  $\text{ZrI}_2$  at 300 K during *ab initio* molecular dynamics (AIMD) simulations. Inset in (b) is the snapshot taken from the end of the AIMD simulation. (c) Phonon spectra of bilayer  $\text{ZrI}_2$ . (d) Young's modulus and (e) Poisson's ratio of bilayer  $\text{ZrI}_2$  as a function of the angle  $\theta$  ( $\theta = 0^\circ$  corresponds to the  $a$  direction).

ferroelastic-ferroelectric multiferroicity in a single-layer lattice that usually shows a four-logic-state multiferroicity, bringing an unprecedented opportunity for exploring high-density multistate data storage.

After confirming the ferroelastic ferroelectricity in bilayer  $\text{ZrI}_2$ , we investigate its experimental feasibility. Bulk  $\text{ZrI}_2$  has been synthesized experimentally [30,31]. Its layered crystal structure facilitates the exfoliation of bilayer  $\text{ZrI}_2$ . The cleavage energy of bilayer  $\text{ZrI}_2$  is estimated to be  $0.28 \text{ J/m}^2$ , comparable with that of  $\text{MnPSe}_3$  ( $0.24 \text{ J/m}^2$ ) [61] and smaller than that of graphene ( $0.37 \text{ J/m}^2$ ) [62], as shown in Fig. 5(a). This indicates that bilayer  $\text{ZrI}_2$  can readily be exfoliated from the existing bulk through mechanical or liquid exfoliation [63]. To determine the stability of bilayer  $\text{ZrI}_2$ , we carry out AIMD simulation [Fig. 5(b)]. After heating at 300 K for 5 ps, the geometry of bilayer  $\text{ZrI}_2$  is well maintained, implying its thermal stability. Phonon spectra calculation is further performed to confirm its stability. As shown in Fig. 5(c), the absence of imaginary vibrational frequencies within the entire Brillouin zone reveals that bilayer  $\text{ZrI}_2$  is dynamically stable. In addition, we calculate its elastic constants, which are found to be  $C_{11} = 124 \text{ N/m}$ ,  $C_{22} = 128 \text{ N/m}$ ,  $C_{12} = 16 \text{ N/m}$ , and  $C_{66} = 48 \text{ N/m}$ . The elastic constants obey the Born criteria:  $C_{11}C_{22} - C_{12}^2 > 0$  and  $C_{66} > 0$  [64,65], indicating that bilayer  $\text{ZrI}_2$  is mechanically stable. Given the elastic constants, the Young's modulus and Poisson's ratio of bilayer  $\text{ZrI}_2$  along the in-plane  $\theta$  are presented in Figs. 5(d) and 5(e) [15,66–70].

Details are reported in the Supplemental Material [37]. These facts enable that the interesting phenomenon discussed above can be readily obtained in experiment.

At last, we discuss the feasibility of ferroelastic-ferroelectric multiferroics in other bilayer systems. As we mentioned above, to design or search for 2D ferroelastic-ferroelectric multiferroics, the single-layer candidates selected here must exhibit ferroelasticity, as ferroelasticity is stubborn. To this end, we could narrow down our choice to the existing single-layer ferroelastic materials [3,4,7,15–17,71]. In addition, for introducing electric polarization using the van der Waals interaction as perturbation, the charge distribution of the materials should be sensitive to interlayer stacking. To satisfy this condition, we could focus on multi-component compounds. Following this design principle, 2D ferroelastic-ferroelectric multiferroics exist extensively in a bilayer lattice, such as BN, AlN, GaN, ZnO,  $\text{MoS}_2$ ,  $1\text{T}'\text{-WTe}_2$ ,  $1\text{T}'\text{-MoTe}_2$ , etc. We wish to stress that the energy barrier for the ferroelastic-ferroelectric switching is a key ingredient which decides whether the ferroelastic-ferroelectric multiferroics are practical or not. Overall, we suggest that this scheme could extensively expand the scope of material candidates for 2D ferroelastic-ferroelectric multiferroics.

In conclusion, we demonstrate a design principle for achieving 2D ferroelastic-ferroelectric multiferroics using the van der Waals interaction as perturbation in a bilayer lattice. Based on first-principles calculations, an example system of

bilayer  $\text{ZrI}_2$  is shown to harbor 2D ferroelastic ferroelectricity. In this system, the ferroelasticity stems from the spontaneous structure polarization, and the in- and out-of-plane ferroelectric polarizations result from interlayer charge redistribution. The out-of-plane ferroelectric polarization associates with interlayer sliding, while the in-plane ferroelectric polarization relates to ferroelastic switching. Based on the coexistence of ferroelasticity and out-of-plane–in-plane ferroelectricity, a promising six-logic-state multiferroicity is proposed. This design scheme is not restricted to bilayer  $\text{ZrI}_2$  but also can be applied to other bilayer lattices. Our results reveal the existence of ferroelastic-ferroelectric multiferroicity in a bilayer lattice and offers a platform for exploring 2D ferroelastic-ferroelectric multiferroics.

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